

# On exceptional quotient singularities

IVAN CHELTSOV  
 CONSTANTIN SHRAMOV

We study exceptional quotient singularities. In particular, we prove an exceptionality criterion in terms of the  $\alpha$ -invariant of Tian, and utilize it to classify four-dimensional and five-dimensional exceptional quotient singularities.

We assume that all varieties are projective, normal, and defined over  $\mathbb{C}$ .

## 1 Introduction

Let  $X$  be a smooth Fano variety (see Iskovskikh and Prokhorov [19]) of dimension  $n$ , and let  $g = g_{i\bar{j}}$  be a Kähler metric with a Kähler form

$$\omega = \frac{\sqrt{-1}}{2\pi} \sum g_{i\bar{j}} dz_i \wedge d\bar{z}_j \in c_1(X).$$

**Definition 1.1** The metric  $g$  is a Kähler–Einstein metric if  $\text{Ric}(\omega) = \omega$ , where  $\text{Ric}(\omega)$  is a Ricci curvature of the metric  $g$ .

Let  $\bar{G} \subset \text{Aut}(X)$  be a compact subgroup. Suppose that  $g$  is  $\bar{G}$ -invariant.

**Definition 1.2** Let  $P_{\bar{G}}(X, g)$  be the set of  $C^2$ -smooth  $\bar{G}$ -invariant functions  $\varphi$  such that

$$\omega + \frac{\sqrt{-1}}{2\pi} \partial\bar{\partial}\varphi > 0$$

and  $\sup_X \varphi = 0$ . Then the  $\bar{G}$ -invariant  $\alpha$ -invariant of the variety  $X$  is the number

$$\alpha_{\bar{G}}(X) = \sup \left\{ \lambda \in \mathbb{Q} \mid \exists C \in \mathbb{R} \text{ such that } \int_X e^{-\lambda\varphi} \omega^n \leq C \text{ for any } \varphi \in P_{\bar{G}}(X, g) \right\}.$$

The number  $\alpha_{\bar{G}}(X)$  was introduced by Tian [42] and Tian and Yau [44] and now it is called the  $\alpha$ -invariant of Tian.

**Theorem 1.3** [42] *The Fano variety  $X$  admits a  $\bar{G}$ -invariant Kähler–Einstein metric if  $\alpha_{\bar{G}}(X) > n/(n + 1)$ .*

The normalized Kähler–Ricci flow on the smooth Fano  $X$  is defined by the equation

$$(1.4) \quad \begin{cases} \frac{\partial \omega(t)}{\partial t} = -\text{Ric}(\omega(t)) + \omega(t), \\ \omega(0) = \omega, \end{cases}$$

where  $\omega(t)$  is a Kähler form such that  $\omega(t) \in c_1(X)$ , and  $t \in \mathbb{R}_{\geq 0}$ . It follows from Cao [8] that the solution  $\omega(t)$  to (1.4) exists for every  $t > 0$ .

**Theorem 1.5** (Tian–Zhu [45]) *If  $X$  admits a Kähler–Einstein metric with a Kähler form  $\omega_{\text{KE}}$ , then any solution to (1.4) converges to  $\omega_{\text{KE}}$  in the sense of Cheeger–Gromov.*

The normalized Kähler–Ricci iteration on the smooth Fano variety  $X$  is defined by the equation

$$(1.6) \quad \begin{cases} \omega_{i-1} = \text{Ric}(\omega_i), \\ \omega_0 = \omega, \end{cases}$$

where  $\omega_i$  is a Kähler form such that  $\omega_i \in c_1(X)$ . It follows from Yau [46] that the solution  $\omega_i$  to (1.6) exists for every  $i \geq 1$ .

**Theorem 1.7** (Rubinstein [35]) *If  $\alpha_{\bar{G}}(X) > 1$  then  $X$  admits a  $\bar{G}$ -invariant Kähler–Einstein metric with a Kähler form  $\omega_{\text{KE}}$  and any solution to (1.6) converges to  $\omega_{\text{KE}}$  in  $C^\infty(X)$ -topology.*

Smooth Fano varieties that satisfy all hypotheses of Theorem 1.7 do exist.

**Example 1.8** Let  $X$  be a smooth del Pezzo surface such that  $K_X^2 = 5$ . Then  $X$  is unique and  $\text{Aut}(X) \cong S_5$ . Moreover, one can show that  $\alpha_{\bar{G}}(X) = 2$  in the case when  $\bar{G} \cong S_5$  or  $\bar{G} \cong A_5$  (see Cheltsov [9, Example 1.11] and Cheltsov and Shramov [11, Theorem A.3]).

Suppose now that  $X = \mathbb{P}^n$  (the simplest possible case). Then the Fubini–Study metric on  $\mathbb{P}^n$  is Kähler–Einstein. Moreover, if  $\bar{G}$  is the maximal compact subgroup of  $\text{Aut}(\mathbb{P}^n)$ , then the only  $\bar{G}$ -invariant metric on  $\mathbb{P}^n$  is the Fubini–Study metric and we have  $\alpha_{\bar{G}}(\mathbb{P}^n) = +\infty$  by Definition 1.2. In particular, the solution to (1.6) is trivial (and constant) in the latter case, since the initial metric  $g$  must be the Fubini–Study metric. On the other hand, the convergence of any solution to (1.6) is not clear in the case when  $\bar{G}$  is a finite group. So, Yanir Rubinstein asked the following question in the spring of 2009.

**Question 1.9** Is there a finite subgroup  $\bar{G} \subset \text{Aut}(\mathbb{P}^n)$  such that  $\alpha_{\bar{G}}(\mathbb{P}^n) > 1$ ?

This paper is inspired by [Question 1.9](#). In particular, we will show that the answer to [Question 1.9](#) is positive in the case when  $n \leq 4$ , which follows from [\[11, Theorem A.3\]](#) and [Theorems 4.1, 4.2, 4.13, 5.6 and 3.21](#).

It came as a surprise that [Question 1.9](#) is strongly related to the notion of exceptional singularity that was introduced by Vyacheslav Shokurov in [\[39\]](#). Let us recall this notion. Let  $(V \ni O)$  be a germ of Kawamata log terminal singularity (see [Kollár \[23, Definition 3.5\]](#)).

**Definition 1.10** [\[39, Definition 1.5\]](#) The singularity  $(V \ni O)$  is said to be *exceptional* if for every effective  $\mathbb{Q}$ -divisor  $D_V$  on the variety  $V$  such that  $(V, D_V)$  is log canonical (see [\[23, Definition 3.5\]](#)) and for every resolution of singularities  $\pi: U \rightarrow V$  there exists at most one  $\pi$ -exceptional divisor  $E \subset U$  such that  $a(V, D_V, E) = -1$ , where the rational number  $a(V, D_V, E)$  can be defined through the equivalence

$$K_U + D_U \sim_{\mathbb{Q}} \pi^*(K_V + D_V) + \sum a(V, D_V, E)E,$$

where the sum is taken over all  $f$ -exceptional divisors, and  $D_U$  is the proper transform of the divisor  $D_V$  on the variety  $U$ .

One can show that exceptional Kawamata log terminal singularities are straightforward generalizations of the Du Val singularities of type  $\mathbb{E}_6$ ,  $\mathbb{E}_7$  and  $\mathbb{E}_8$  (cf [Theorem 4.1](#)), which partially justifies the word “exceptional” in [Definition 1.10](#).

**Remark 1.11** One can easily check (for example, by applying [Theorem 3.11](#)) that the singularity  $(V \ni O)$  is not exceptional if  $V$  is smooth and  $\dim(V) \geq 2$ .

It follows from Shokurov [\[38\]](#), Ishii and Prokhorov [\[18\]](#) and Markushevich and Prokhorov [\[27\]](#) that exceptional Kawamata log terminal singularities do exist in dimensions 2 and 3. The existence in dimension 4 follows from Johnson and Kollár [\[20\]](#) and Prokhorov [\[31, Theorem 4.9\]](#). Actually, exceptional Kawamata log terminal singularities exist in every dimension (see [Example 3.13](#)). We will see later (cf [Theorem 1.14](#), [Remark 1.16](#), [Theorem 1.17](#) and [Conjecture 1.23](#)) that [Question 1.9](#) is *almost* equivalent to the following

**Question 1.12** Are there exceptional *quotient* singularities of dimension  $n + 1$ ?

Recall that quotient singularities are always Kawamata log terminal by [23, Proposition 3.16]. So Question 1.12 fits well to Definition 1.10. Moreover, it follows from Shokurov [39] and Markushevich and Prokhorov [27] that the answer to Question 1.12 is positive for  $n = 1$  and  $n = 2$ , respectively. The purpose of this paper is to study exceptional *quotient* singularities and, in particular, to give positive answers to Questions 1.9 and 1.12 for every  $n \leq 4$ . In a subsequent paper we will show that the answers to Questions 1.9 and 1.12 are still positive for  $n = 5$  and are surprisingly negative for  $n = 6$  (see [10]). So it is hard to predict what would be the answer to Question 1.9 in general. However, we still believe in the following:

**Conjecture 1.13** *For every  $N \in \mathbb{Z}_{>0}$  there exist exceptional quotient singularities of dimension greater than  $N$ .*

Let  $G$  be a finite subgroup in  $\mathrm{GL}_{n+1}(\mathbb{C})$ , where  $n \geq 1$ . Denote by  $Z(G)$  the center and by  $[G, G]$  the commutator of group  $G$ . Let  $\phi: \mathrm{GL}_{n+1}(\mathbb{C}) \rightarrow \mathrm{Aut}(\mathbb{P}^n) \cong \mathrm{PGL}_{n+1}(\mathbb{C})$  be the natural projection. Put  $\bar{G} = \phi(G)$  and put

$$\mathrm{lct}(\mathbb{P}^n, \bar{G}) = \sup \left\{ \lambda \in \mathbb{Q} \mid \begin{array}{l} \text{the log pair } (\mathbb{P}^n, \lambda D) \text{ has log canonical singularities} \\ \text{for every } \bar{G}\text{-invariant effective } \mathbb{Q}\text{-divisor } D \sim_{\mathbb{Q}} -K_{\mathbb{P}^n} \end{array} \right\}.$$

**Theorem 1.14** (See eg [11, Theorem A.3].) *One has  $\mathrm{lct}(\mathbb{P}^n, \bar{G}) = \alpha_{\bar{G}}(\mathbb{P}^n)$ .*

The number  $\mathrm{lct}(\mathbb{P}^n, \bar{G})$  is usually called  $\bar{G}$ -equivariant *global log canonical threshold* of  $\mathbb{P}^n$ . Despite the fact that  $\mathrm{lct}(\mathbb{P}^n, \bar{G}) = \alpha_{\bar{G}}(\mathbb{P}^n)$ , we still prefer to work with the number  $\mathrm{lct}(\mathbb{P}^n, \bar{G})$  throughout this paper, because it is easier to handle than  $\alpha_{\bar{G}}(\mathbb{P}^n)$ . For example, it follows immediately from Definition 3.1 that  $\mathrm{lct}(\mathbb{P}^n, \bar{G}) \leq d/(n+1)$  if the group  $G$  has a semi-invariant of degree  $d$  (a semi-invariant of the group  $G$  is a polynomial whose zeroes define a  $\bar{G}$ -invariant hypersurface in  $\mathbb{P}^n$ ).

**Remark 1.15** A semi-invariant of the group  $G$  is its invariant if  $Z(G) \subseteq [G, G]$  and  $\bar{G}$  is a nonabelian simple group.

Recall that an element  $g \in G$  is called a *reflection* (or sometimes a *quasireflection*) if there is a hyperplane in  $\mathbb{P}^n$  that is pointwise fixed by  $\phi(g)$  (cf Springer [40, Section 4.1]).

**Remark 1.16** Let  $R \subseteq G$  be a subgroup generated by all reflections. Then the quotient  $\mathbb{C}^{n+1}/R$  is isomorphic to  $\mathbb{C}^{n+1}$  (see Shephard and Todd [37] and Springer [40, Theorem 4.2.5]). Moreover, the subgroup  $R \subseteq G$  is normal, and the singularity  $\mathbb{C}^{n+1}/G$  is isomorphic to the singularity  $\mathbb{C}^{n+1}/(G/R)$ . Note that the subgroup  $R$  is trivial if  $G \subset \mathrm{SL}_{n+1}(\mathbb{C})$ . If  $G$  is a trivial group, then the singularity  $\mathbb{C}^{n+1}/G \cong \mathbb{C}^{n+1}$  is not exceptional by Remark 1.11.

Thus to answer [Question 1.12](#) one can always assume that the group  $G$  does not contain reflections. On the other hand, one can easily check that there exists a finite subgroup  $G' \subset \mathrm{SL}_{n+1}(\mathbb{C})$  such that  $\phi(G') = \bar{G}$ . So to answer [Question 1.9](#) one can also assume that  $G \subset \mathrm{SL}_{n+1}(\mathbb{C})$ , which implies, in particular, that the group  $G$  does not contain reflections. Moreover, if the group  $G$  does not contain reflections, then the singularity  $\mathbb{C}^{n+1}/G$  is exceptional if and only if the singularity  $\mathbb{C}^{n+1}/G'$  is exceptional thanks to the following:

**Theorem 1.17** *Let  $G$  be a finite subgroup in  $\mathrm{GL}_{n+1}(\mathbb{C})$  that does not contain reflections. Then*

- *the singularity  $\mathbb{C}^{n+1}/G$  is exceptional if  $\mathrm{lct}(\mathbb{P}^n, \bar{G}) > 1$ ,*
- *the singularity  $\mathbb{C}^{n+1}/G$  is not exceptional if either  $\mathrm{lct}(\mathbb{P}^n, \bar{G}) < 1$  or  $G$  has a semi-invariant of degree at most  $n + 1$ ,*
- *for any subgroup  $G' \subset \mathrm{GL}_{n+1}(\mathbb{C})$  such that  $G'$  does not contain reflections and  $\phi(G') = \bar{G}$ , the singularity  $\mathbb{C}^{n+1}/G$  is exceptional if and only if the singularity  $\mathbb{C}^{n+1}/G'$  is exceptional.*

**Proof** All required assertions immediately follow from [Theorem 3.17](#) (cf [[32](#), Proposition 3.1; [32](#), Lemma 3.1]). □

It should be pointed out that the assumption that  $G$  contains no reflections is crucial for [Theorem 1.17](#).

**Example 1.18** Let  $G$  be a finite subgroup in  $\mathrm{GL}_4(\mathbb{C})$  that is the subgroup number 32 in Shephard and Todd [[37](#), Table VII]. Then the group  $G$  is generated by reflections (see [[37](#)]), so that the singularity  $\mathbb{C}^4/G$  is not exceptional by [Remark 1.16](#). On the other hand, it follows from [Theorem 4.13](#) that  $\mathrm{lct}(\mathbb{P}^3, \bar{G}) \geq 5/4$ , because  $\bar{G} \cong \mathrm{PSp}_4(\mathbb{F}_3)$ . It follows from [Theorem 4.13](#) that there exists a subgroup  $G' \subset \mathrm{SL}_4(\mathbb{C})$  such that  $\bar{G} = \phi(G')$  and the singularity  $\mathbb{C}^4/G'$  is exceptional. One can produce similar examples for two-dimensional and three-dimensional singularities.

By [Theorem 1.17](#) and [[40](#), Section 4.5], if  $G$  is a finite subgroup in  $\mathrm{GL}_2(\mathbb{C})$  that does not contain reflections, then the singularity  $\mathbb{C}^2/G$  is exceptional if and only if  $G$  has no semi-invariants of degree at most 2. A similar result holds in dimension 3.

**Theorem 1.19** [[27](#), Theorem 1.2] *Let  $G$  be a finite group in  $\mathrm{GL}_3(\mathbb{C})$  that does not contain reflections. Then the singularity  $\mathbb{C}^3/G$  is exceptional if and only if  $G$  does not have semi-invariants of degree at most 3.*

For finite subgroups in  $GL_4(\mathbb{C})$ , the assertion of [Theorem 1.19](#) is no longer true.

**Example 1.20** [[32](#), Example 3.1] Let  $\Gamma \subset SL_2(\mathbb{C})$  be a binary icosahedron group. Put

$$G = \left\{ \left( \begin{array}{cccc} a_{11} & a_{12} & 0 & 0 \\ a_{21} & a_{22} & 0 & 0 \\ 0 & 0 & b_{11} & b_{12} \\ 0 & 0 & b_{21} & b_{22} \end{array} \right) \mid \begin{pmatrix} a_{11} & a_{12} \\ a_{21} & a_{22} \end{pmatrix} \in \Gamma \ni \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix} \right\} \subset SL_4(\mathbb{C}),$$

where  $a_{ij} \in \mathbb{C} \ni b_{ij}$ . Then  $G$  does not have semi-invariants of degree at most 4, because  $\Gamma$  does not have semi-invariants of degree at most 4 (see [[40](#), Section 4.5]). On the other hand, it follows from [[32](#), Proposition 2.1] that the singularity  $\mathbb{C}^4/G$  is not exceptional (cf [Corollary 3.20](#)).

Actually, it is possible to modify the assertion of [Theorem 1.19](#) so that its new version can be generalized to higher dimensions.

**Definition 1.21** (Blichfeldt [[3](#)]) The subgroup  $G \subset GL_{n+1}(\mathbb{C})$  is said to be primitive if there is no nontrivial decomposition  $\mathbb{C}^{n+1} = \bigoplus_{i=1}^r V_i$  such that for any  $g \in G$  and any  $i$  there is some  $j = j(g)$  such that  $g(V_i) = V_j$ .

If  $G$  is primitive, then  $\bar{G} \cong G/Z(G)$  by Schur’s lemma. It follows from [[32](#), Proposition 2.1] that  $G$  must be primitive if  $\mathbb{C}^{n+1}/G$  is exceptional (we give a short proof of this fact in [Corollary 3.20](#)). Moreover, primitivity plays a crucial role in the main result of this paper:

**Theorem 1.22** Let  $G$  be a finite subgroup in  $GL_{n+1}(\mathbb{C})$  that does not contain reflections. Suppose that  $n \leq 4$ . Then the following conditions are equivalent:

- The singularity  $\mathbb{C}^{n+1}/G$  is exceptional.
- $\text{lct}(\mathbb{P}^n, \bar{G}) \geq (n + 2)/(n + 1)$ .
- The group  $G$  is primitive and has no semi-invariants of degree at most  $n + 1$ .

**Proof** The required assertion follows from [Theorems 1.19, 3.17, 3.18, 3.21, 4.13](#) and [5.6](#). □

It appears that in higher dimensions exceptionality cannot be expressed in terms of primitivity and absence of semi-invariants of small degree. In particular, there are nonexceptional six-dimensional quotient singularities arising from primitive subgroups without reflections in  $GL_6(\mathbb{C})$  that have no semi-invariants of degree at most 6 (see

**Example 3.25).** On the other hand, it follows from [Theorem 1.22](#) that we may expect the sufficient condition for exceptionality in [Theorem 1.17](#) to be a necessary condition as well. Namely, inspired by [Theorem 1.22](#) and Tian [43, Question 1] we believe in the following:

**Conjecture 1.23** *Let  $G$  be a finite subgroup in  $GL_{n+1}(\mathbb{C})$  that does not contain reflections. Then the singularity  $\mathbb{C}^{n+1}/G$  is exceptional if and only if  $\text{lct}(\mathbb{P}^n, \bar{G}) > 1$ .*

It follows from [Theorem 1.22](#) that [Conjecture 1.23](#) holds for  $n \leq 4$ . In a subsequent paper we will show that [Conjecture 1.23](#) holds for  $n = 5$  and  $n = 6$  (see [10]). Note that [Conjecture 1.23](#) is a special case of [Conjecture 3.5](#).

To apply [Theorem 1.22](#) we may assume that  $G \subset SL_{n+1}(\mathbb{C})$ , since there exists a finite subgroup  $G' \subset SL_{n+1}(\mathbb{C})$  such that  $\phi(G') = \bar{G}$ . On the other hand, it is well known that there are at most finitely many primitive finite subgroups in  $SL_{n+1}(\mathbb{C})$  up to conjugation (see Collins [12]). Primitive finite subgroups of  $SL_2(\mathbb{C})$  are group-theoretic counterparts of Platonic solids and each of them gives rise to an exceptional singularity (see [Theorem 4.1](#)). Primitive finite subgroups of  $SL_3(\mathbb{C})$  are classified by Blichfeldt in [3]. Prokhorov and Markushevich used Blichfeldt’s classification in [27] to obtain an explicit classification of the subgroups in  $SL_3(\mathbb{C})$  corresponding to three-dimensional exceptional quotient singularities (see [Theorem 4.2](#)). For dimension 2 the same was done by Shokurov (see [Theorem 4.1](#)). Similar classification is possible in dimensions 4 and 5, since primitive finite subgroups of  $SL_4(\mathbb{C})$  and  $SL_5(\mathbb{C})$  are classified by Blichfeldt [3] and Brauer [5], respectively. In fact, we obtain a complete list of finite subgroups in  $SL_4(\mathbb{C})$  and  $SL_5(\mathbb{C})$  that satisfy all hypotheses of [Theorem 1.22](#) (see [Theorems 4.13](#) and [5.6](#)).

While the exceptionality of a quotient singularity  $\mathbb{C}^{n+1}/G$  depends on a lower bound for a global log canonical threshold  $\text{lct}(\mathbb{P}^n, \bar{G})$ , it is interesting to find upper bounds for  $\text{lct}(\mathbb{P}^n, \bar{G})$  as well. Using [40, Section 4.5; 47] and a bit of direct computation, we see that it follows from [Corollary 3.19](#) that

$$\text{lct}(\mathbb{P}^n, \bar{G}) \leq \begin{cases} 6 & \text{if } n = 1, \\ 2 & \text{if } n = 2, \\ 3 & \text{if } n = 3. \end{cases}$$

**Theorem 1.24** *The inequality  $\text{lct}(\mathbb{P}^n, \bar{G}) \leq 4(n + 1)$  holds for every  $n \geq 1$ . Moreover, if  $n \geq 23$ , then  $\text{lct}(\mathbb{P}^n, \bar{G}) \leq 12(n + 1)/5$ .*

**Proof** Let  $p$  be any prime number which does not divide  $|G|$ . Then  $G$  has a semi-invariant of degree at most  $(p - 1)(n + 1)$  by [41, Lemma 2]. Thus, it follows

from [Definition 3.1](#) that  $\text{lct}(\mathbb{P}^n, \bar{G}) \leq p - 1$ . On the other hand, it follows from the Bertrand's postulate (see Ramanujan [\[34\]](#)) that there is a prime number  $p'$  such that  $2n + 3 < p' < 2(2n + 3)$ , which implies that  $p' \leq 4n + 5$ . If  $G$  is not primitive, then  $\text{lct}(\mathbb{P}^n, \bar{G}) \leq 1$  by [Corollary 3.19](#). If  $G$  is primitive, then  $p'$  does not divide  $|G|$  by Feit and Thompson [\[15, Theorem 1\]](#), which completes the proof of the first assertion of the theorem. A similar argument with an additional use of Nagura [\[29\]](#) gives the second assertion for  $n \geq 23$ .  $\square$

In fact, we expect the following to be true (cf [\[41\]](#)).

**Conjecture 1.25** *There exists a universal constant  $C \in \mathbb{R}$  such that  $\text{lct}(\mathbb{P}^n, \bar{G}) \leq C$  for any finite subgroup  $\bar{G} \subset \text{Aut}(\mathbb{P}^n)$  and for any  $n \geq 1$ .*

Let us describe the structure of the paper. In [Section 2](#) we collect auxiliary results. In [Section 3](#) we prove the exceptionality criterion for a singularity  $\mathbb{C}^{n+1}/G$ . In [Section 4](#) we classify exceptional quotient singularities in dimension 4 (see [Theorem 4.13](#)). In [Section 5](#) we classify exceptional quotient singularities in dimension 5 (see [Theorem 5.6](#)). In [Appendix A](#) we prove [Corollary A.2](#) and [Theorem A.9](#) that are used in [Section 5](#).

Many of our results can be obtained by direct computations using the *Atlas of finite groups* [\[13\]](#).

Throughout the paper we use the following standard notation: the symbol  $\mathbb{Z}_n$  denotes the cyclic group of order  $n$ , the symbol  $\mathbb{F}_n$  denotes the finite field consisting of  $n$  elements, the symbol  $S_n$  denotes the symmetric group of degree  $n$ , the symbol  $A_n$  denotes the alternating group of degree  $n$ , the symbols  $\text{GL}$ ,  $\text{PGL}$ ,  $\text{SL}$ ,  $\text{PSL}$ ,  $\text{Sp}_4(\mathbb{F}_3)$  and  $\text{PSP}_4(\mathbb{F}_3)$  denote the corresponding algebraic groups. The symbol  $k.G$  denotes a central extension of a group  $G$  with the center  $\mathbb{Z}_k$  (this might be nonunique).

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## 2 Preliminaries

Throughout the paper we use the standard language of the singularities of pairs (see Kollár [23]). By strictly log canonical singularities we mean log canonical singularities that are not Kawamata log terminal (see [23, Definition 3.5]).

Let  $X$  be a variety, let  $B_X$  and  $D_X$  be effective  $\mathbb{Q}$ -divisors on the variety  $X$  such that the singularities of the log pair  $(X, B_X)$  are Kawamata log terminal, and  $K_X + B_X + D_X$  is a  $\mathbb{Q}$ -Cartier divisor. Let  $Z \subseteq X$  be a closed nonempty subvariety.

**Definition 2.1** The log canonical threshold of the boundary  $D_X$  along  $Z$  is

$$c_Z(X, B_X, D_X) = \sup\{\lambda \in \mathbb{Q} \mid \text{the pair } (X, B_X + \lambda D_X) \text{ is log canonical along } Z\}.$$

Note that the log pair  $(X, B_X + D_X)$  is Kawamata log terminal along  $Z$  if and only if  $c_Z(X, B_X, D_X) > 1$ . For simplicity, we put  $c(X, B_X, D_X) = c_X(X, B_X, D_X)$ . We put  $c_Z(X, D_X) = c_Z(X, B_X, D_X)$  in the case when  $B_X = 0$ . For simplicity, we also put  $c(X, D_X) = c_X(X, D_X)$ .

Apart from some rare but important occasions (cf Section 3), we only need to consider the case when  $B_X = 0$ . So from now on we assume that  $B_X = 0$ .

Let  $\pi: \bar{X} \rightarrow X$  be a birational morphism such that  $\bar{X}$  is smooth. Then

$$K_{\bar{X}} + D_{\bar{X}} \sim_{\mathbb{Q}} \pi^*(K_X + D_X) + \sum_{i=1}^m d_i E_i,$$

where  $D_{\bar{X}}$  is a proper transform of the divisor  $D_X$  on the variety  $\bar{X}$ ,  $d_i \in \mathbb{Q}$ , and  $E_i$  is an exceptional divisor of the morphism  $\pi$ . Put  $D_{\bar{X}} = \sum_{i=1}^r a_i \bar{D}_i$ , where  $a_i \in \mathbb{Q}_{\geq 0}$ , and  $\bar{D}_i$  is a prime Weil divisor on  $\bar{X}$ . Suppose that  $\sum_{i=1}^r \bar{D}_i + \sum_{i=1}^m E_i$  is a divisor with simple normal crossing. Put

$$\mathcal{I}(X, D_X) = \pi_* \mathcal{O}_{\bar{X}} \left( \sum_{i=1}^m \lceil d_i \rceil E_i - \sum_{i=1}^r \lfloor a_i \rfloor \bar{D}_i \right),$$

and let  $\mathcal{L}(X, D_X)$  be a subscheme that corresponds to the ideal sheaf  $\mathcal{I}(X, D_X)$  (the sheaf  $\mathcal{I}(X, D_X)$  is an ideal sheaf, because  $D_X$  is an effective divisor). Put  $\text{LCS}(X, D_X) = \text{Supp}(\mathcal{L}(X, D_X))$ .

**Remark 2.2** If  $(X, D_X)$  is log canonical, then  $\mathcal{L}(X, D_X)$  is reduced.

The subscheme  $\mathcal{L}(X, D_X)$  and locus  $\text{LCS}(X, D_X)$  were introduced by Shokurov [38]. They are called the subscheme of log canonical singularities of the log pair  $(X, D_X)$  and the locus of log canonical singularities of the log pair  $(X, D_X)$ , respectively. Note that the ideal sheaf  $\mathcal{I}(X, D_X)$  is also known as the multiplier ideal sheaf of the log pair  $(X, D_X)$  (see Lazarsfeld [25]).

**Theorem 2.3** [25, Theorem 9.4.8] *Let  $H$  be a nef and big  $\mathbb{Q}$ -divisor on  $X$  such that  $K_X + D_X + H \equiv D$  for some Cartier divisor  $D$  on the variety  $X$ . Then*

$$H^i(\mathcal{I}(X, D_X) \otimes D) = 0$$

for every  $i \geq 1$ .

**Corollary 2.4** [38, Lemma 5.7] *Suppose that  $-(K_X + D_X)$  is nef and big. Then the locus  $\text{LCS}(X, D_X)$  is connected.*

Let  $\mathbb{LCS}(X, D_X)$  be the set that consists of all possible centers of log canonical singularities of the log pair  $(X, D_X)$  (see [11, Definition 2.2]).

**Remark 2.5** Let  $\mathcal{H}$  be a linear system on the variety  $X$  that has no base points. Put  $Z \cap H = \sum_{i=1}^k Z_i$ , where  $H$  is a general divisor in  $\mathcal{H}$ , and  $Z_i$  is an irreducible subvariety in  $H$ . Then  $Z \in \mathbb{LCS}(X, D_X)$  if and only if all subvarieties  $Z_1, \dots, Z_k$  are contained in the set  $\mathbb{LCS}(H, D_X|_H)$ .

If  $Z \in \mathbb{LCS}(X, D_X)$  and no proper subvariety of  $Z$  is contained in  $\mathbb{LCS}(X, D_X)$ , then  $Z$  is said to be a *minimal center* in  $\mathbb{LCS}(X, D_X)$  or *minimal center of log canonical singularities of the log pair  $(X, D_X)$* .

**Lemma 2.6** (Kawamata [21, Proposition 1.5]) *Suppose that  $Z \in \mathbb{LCS}(X, D_X)$  and  $(X, D_X)$  is log canonical. Let  $Z'$  be a center in  $\mathbb{LCS}(X, D_X)$  such that  $\emptyset \neq Z \cap Z' = \sum_{i=1}^k Z_i$ , where  $Z_i \subsetneq Z$  is an irreducible subvariety. Then  $Z_i \in \mathbb{LCS}(X, D_X)$  for every  $i \in \{1, \dots, k\}$ .*

**Theorem 2.7** [22, Theorem 1] *Suppose  $Z \subset X$  is a minimal center in  $\mathbb{LCS}(X, D_X)$  and  $(X, D_X)$  is log canonical. Then  $Z$  is normal and has at most rational singularities. Let  $\Delta$  be an ample  $\mathbb{Q}$ -Cartier  $\mathbb{Q}$ -divisor on  $X$ . Then there exists an effective  $\mathbb{Q}$ -divisor  $B_Z$  on the variety  $Z$  such that*

$$(K_X + D_X + \Delta)|_Z \sim_{\mathbb{Q}} K_Z + B_Z,$$

and  $(Z, B_Z)$  has Kawamata log terminal singularities.

Let  $\bar{G} \subseteq \text{Aut}(X)$  be a finite subgroup such that  $D_X$  is  $\bar{G}$ -invariant. Then  $g(Z) \in \mathbb{LCS}(X, D_X)$  for every  $g \in \bar{G}$ , and the locus  $\mathbb{LCS}(X, D_X)$  is  $\bar{G}$ -invariant.

If  $Z$  is a minimal center in  $\mathbb{LCS}(X, D_X)$  and  $(X, D_X)$  is log canonical, then it follows from Lemma 2.6 that

$$Z \cap g(Z) \neq \emptyset \iff Z = g(Z)$$

for every  $g \in \bar{G}$ .

**Lemma 2.8** *Suppose that  $Z$  is a minimal center in  $\mathbb{LCS}(X, D_X)$ , the log pair  $(X, D_X)$  is log canonical, and  $D_X$  is ample. Let  $\epsilon$  be an arbitrary rational number such that  $\epsilon > 1$ . Then there exists an effective  $\bar{G}$ -invariant  $\mathbb{Q}$ -divisor  $D$  on the variety  $X$  such that*

$$\mathbb{LCS}(X, D) = \bigcup_{g \in \bar{G}} \{g(Z)\},$$

the log pair  $(X, D)$  is log canonical, and the equivalence  $D \sim_{\mathbb{Q}} \epsilon D_X$  holds.

**Proof** Take  $m \in \mathbb{Z}$  such that  $mD_X$  is a very ample Cartier divisor. Take a general divisor  $R$  in the linear system  $|nmD_X|$  such that  $Z \subset \text{Supp}(R)$  and  $R$  is  $\bar{G}$ -invariant, where  $n \gg 0$ . Then

$$\bigcup_{g \in \bar{G}} \{g(Z)\} \subseteq \mathbb{LCS}(X, \lambda D_X + \mu R) \subseteq \mathbb{LCS}(X, D_X)$$

for some positive rational numbers  $\lambda$  and  $\mu$  such that  $\lambda < 1 \leq \lambda + \mu nm < \epsilon$ . One has  $\lambda D_X + \mu R \sim_{\mathbb{Q}} (\lambda + \mu nm) D_X$ .

It follows from the generality of the divisor  $R$  that  $(X, \mu R)$  is Kawamata log terminal, and

$$\mathbb{LCS}(X, \lambda D_X + \mu R) = \bigcup_{g \in \bar{G}} g(Z),$$

because  $\lambda < 1$  and  $n \gg 0$ . Then there is  $\theta \in \mathbb{Q}_{>0}$  such that  $0 < 1 - \theta\mu \leq \lambda < 1$  and

$$\bigcup_{g \in \bar{G}} \{g(Z)\} \subseteq \mathbb{LCS}(X, (1 - \theta\mu) D_X + \mu R) \subseteq \mathbb{LCS}(X, \lambda D_X + \mu R),$$

but the log pair  $(X, (1 - \theta\mu) D_X + \mu R)$  is log canonical at the general point of  $Z$ .

Note that for a fixed  $R$ , the number  $\theta$  is a function of  $\mu$ . In the above process, we can choose the number  $\mu$  so that  $1 \leq 1 - \theta\mu + \mu nm < \epsilon$  and

$$\mathbb{LCS}(X, (1 - \theta\mu) D_X + \mu R) = \bigcup_{g \in \bar{G}} \{g(Z)\},$$

because  $Z$  is a minimal center in  $\text{LCS}(X, D_X)$  (see Lemma 2.6). Put

$$D = (1 - \theta\mu)D_X + \mu R + \frac{\epsilon - 1 - \theta\mu + \mu nm}{nm} M,$$

where  $M$  is a general  $\bar{G}$ -invariant divisor in  $|R|$ . Then  $D$  is the required divisor.  $\square$

Suppose now that  $X = \mathbb{P}^n$ . In this case we can say much more about the locus  $\text{LCS}(X, D_{\mathbb{P}^n})$  and the set  $\text{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$ .

**Lemma 2.9** *Let  $H$  be a hyperplane in  $\mathbb{P}^n$ , and let  $\mu$  be a nonnegative rational number such that  $D_{\mathbb{P}^n} \sim_{\mathbb{Q}} \mu H$ . Suppose that the locus  $\text{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$  is an equidimensional subvariety in  $\mathbb{P}^n$  of codimension  $s$ . Put*

$$r = \begin{cases} \lceil \mu - s - 1 \rceil & \text{if } \mu \notin \mathbb{Z}, \\ \lceil \mu - s - 1 \rceil + 1 & \text{if } \mu \in \mathbb{Z}. \end{cases}$$

Then  $r \geq 0$  and

$$\text{deg}(\text{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})) \leq \binom{s+r}{r}.$$

**Proof** Put  $Y = \text{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$ . Let  $\Pi \subset \mathbb{P}^n$  be a general linear subspace of dimension  $s$ . Put  $D = D_{\mathbb{P}^n}|_{\Pi}$  and  $\Lambda = H \cap \Pi$ . Then  $\text{deg}(Y) = |Y \cap \Pi|$  and  $\text{LCS}(\Pi, D) = Y \cap \Pi$  by Remark 2.5. One has  $K_{\Pi} + D \sim_{\mathbb{Q}} (\mu - s - 1)\Lambda$ .

It follows from Theorem 2.3 that there is an exact sequence of cohomology groups

$$0 \longrightarrow H^0(\mathcal{O}_{\Pi}(r\Lambda) \otimes \mathcal{I}(\Pi, D)) \longrightarrow H^0(\mathcal{O}_{\Pi}(r\Lambda)) \longrightarrow H^0(\mathcal{O}_{\mathcal{L}(\Pi, D)}) \longrightarrow 0,$$

and  $\text{Supp}(\mathcal{L}(\Pi, D)) = \text{LCS}(\Pi, D) = Y \cap \Pi \neq \emptyset$ . Therefore, we see that  $r \geq 0$  and

$$\text{deg}(Y) = |Y \cap \Pi| \leq h^0(\mathcal{O}_{\mathcal{L}(\Pi, D)}) \leq h^0(\mathcal{O}_{\Pi}(r\Lambda)) = h^0(\mathcal{O}_{\mathbb{P}^s}(r)) = \binom{s+r}{r},$$

which completes the proof.  $\square$

Let  $\phi: \text{GL}_{n+1}(\mathbb{C}) \rightarrow \text{Aut}(\mathbb{P}^n) \cong \text{PGL}_{n+1}(\mathbb{C})$  be the natural projection, and let  $G$  be a finite subgroup in  $\text{GL}_{n+1}(\mathbb{C})$  such that  $\bar{G} = \phi(G)$ .

**Remark 2.10** If  $G$  does not have semi-invariants of degree at most  $k$ , then every  $\bar{G}$ -orbits in  $\mathbb{P}^n$  contains at least  $k + 1$  points, because every  $\bar{G}$ -orbit consisting of  $s$  points defines a  $\bar{G}$ -invariant hypersurface in  $\mathbb{P}^n$  that is a union of  $s$  hyperplanes.

**Lemma 2.11** *Let  $H$  be a hyperplane in  $\mathbb{P}^n$ , and let  $\mu$  be a nonnegative rational number such that  $D_{\mathbb{P}^n} \sim_{\mathbb{Q}} \mu H$ . Suppose that  $G$  does not have semi-invariants of degree at most  $\lfloor \mu \rfloor$ . Then  $\text{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$  does not contain subvarieties in  $\mathbb{P}^n$  of codimension 1. If in addition  $\lfloor \mu \rfloor \leq n + 1$  and the log pair  $(\mathbb{P}^n, D_{\mathbb{P}^n})$  is log canonical, then  $\text{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$  does not contain points.*

**Proof** Suppose that  $\text{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$  contains an irreducible subvariety  $Y \subset \mathbb{P}^n$  of codimension 1. Let  $R$  be the  $\bar{G}$ -orbit of the subvariety  $Y$ . Then

$$D_{\mathbb{P}^n} = aR + \Delta$$

for some rational number  $a \geq 1$  and some effective  $\mathbb{Q}$ -divisor  $\Delta$  on  $\mathbb{P}^n$ . Since  $D_{\mathbb{P}^n} \sim_{\mathbb{Q}} \mu H$ , we see that  $R$  is a hypersurface in  $\mathbb{P}^n$  of degree at most  $\lfloor \mu/a \rfloor \leq \lfloor \mu \rfloor$ , which is impossible, because  $G$  does not have semi-invariants of degree at most  $\lfloor \mu \rfloor$ .

We see that  $\text{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$  does not contain subvarieties in  $\mathbb{P}^n$  of codimension 1. Let us show that  $\text{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$  does not contain points provided that  $\lfloor \mu \rfloor \leq n + 1$  and the log pair  $(\mathbb{P}^n, D_{\mathbb{P}^n})$  is log canonical.

Suppose that  $\lfloor \mu \rfloor \leq n + 1$ , the log pair  $(\mathbb{P}^n, D_{\mathbb{P}^n})$  is log canonical, and  $\text{LCS}(\mathbb{P}^n, D_{\mathbb{P}^n})$  contains a point  $P \in \mathbb{P}^n$ . Let us show that these assumptions lead to a contradiction.

Let  $\Sigma$  be the  $\bar{G}$ -orbit of the point  $P$ , and let  $\epsilon$  be a rational number such that  $\epsilon > 1$  and  $\lfloor \epsilon \mu \rfloor \leq n + 1$ . Then it follows from [Lemma 2.8](#) that there is an effective  $\bar{G}$ -invariant  $\mathbb{Q}$ -divisor  $D$  on  $\mathbb{P}^n$  such that  $D \sim_{\mathbb{Q}} \epsilon \mu H$ , the log pair  $(\mathbb{P}^n, D)$  is log canonical and  $\Sigma = \text{LCS}(\mathbb{P}^n, D)$ .

Since  $\lfloor \epsilon \mu \rfloor \leq n + 1$ , it follows from [Theorem 2.3](#) that

$$H^0(\mathcal{O}_{\mathbb{P}^n}(1) \otimes \mathcal{I}(\mathbb{P}^n, D)) = 0,$$

because  $K_{\mathbb{P}^n} + D \sim_{\mathbb{Q}} (\epsilon \mu - n - 1)H$  and  $\epsilon \mu - n - 1 < 1$ . Therefore, it follows from the exact sequence of cohomology groups

$$0 \longrightarrow H^0(\mathcal{O}_{\mathbb{P}^n}(1) \otimes \mathcal{I}(\mathbb{P}^n, D)) \longrightarrow H^0(\mathcal{O}_{\mathbb{P}^n}(1)) \longrightarrow H^0(\mathcal{O}_{\Sigma}) \longrightarrow 0$$

that  $|\Sigma| \leq n + 1$ , which is impossible because  $G$  does not have semi-invariants of degree at most  $\lfloor \mu \rfloor \leq n + 1$ . □

**Remark 2.12** If  $G$  is conjugate to a subgroup in  $\text{GL}_{n+1}(\mathbb{R})$ , then the subgroup  $G$  has an invariant of degree 2, which implies that  $\text{lct}(\mathbb{P}^n, \bar{G}) \leq 2/(n + 1)$ .

**Remark 2.13** If  $Z$  is a  $\bar{G}$ -invariant, then there is a homomorphism  $\xi: \bar{G} \rightarrow \text{Aut}(Z)$  that must be a monomorphism provided that  $Z$  is not contained in a linear subspace of  $\mathbb{P}^n$ , because eigenvectors that correspond to a fixed eigenvalue of any matrix in  $\text{GL}_{n+1}(\mathbb{C})$  form a vector subspace in  $\mathbb{C}^{n+1}$ .

**Theorem 2.14** *Let  $C$  be a smooth irreducible curve of genus  $g \geq 2$ . Then  $|\text{Aut}(C)| \leq 84(g - 1)$ .*

**Proof** The required inequality is the famous Hurwitz bound (see Breuer [6, Theorem 3.17]). □

### 3 Exceptionality criterion

Let  $X$  be a variety, let  $B_X$  be an effective  $\mathbb{Q}$ -divisor on  $X$  such that the log pair  $(X, B_X)$  has at most Kawamata log terminal singularities, and the divisor  $-(K_X + B_X)$  is ample. Recall that  $(X, B_X)$  is usually called a *log Fano variety*. Let  $\bar{G} \subset \text{Aut}(X)$  be a finite subgroup such that the divisor  $B_X$  is  $\bar{G}$ -invariant.

**Definition 3.1** The global  $\bar{G}$ -invariant log canonical threshold of the log Fano variety  $(X, B_X)$  is a real number  $\text{lct}(X, B_X, \bar{G})$  that can be defined as

$$\inf \left\{ c(X, B_X, D_X) \in \mathbb{Q} \mid \begin{array}{l} D_X \text{ is a } \bar{G}\text{-invariant } \mathbb{Q}\text{-Cartier effective } \mathbb{Q}\text{-divisor} \\ \text{on the variety } X \text{ such that } D_X \sim_{\mathbb{Q}} -(K_X + B_X) \end{array} \right\}.$$

For simplicity, we put  $\text{lct}(X, B_X, \bar{G}) = \text{lct}(X, \bar{G})$  if  $B_X = 0$ . Similarly, we put  $\text{lct}(X, B_X, \bar{G}) = \text{lct}(X, B_X)$  if  $\bar{G}$  is trivial. Finally, we put  $\text{lct}(X, B_X, \bar{G}) = \text{lct}(X)$  if  $B_X = 0$  and  $\bar{G}$  is trivial. Then it follows from [11, Theorem A.3] that  $\text{lct}(X, \bar{G}) = \alpha_{\bar{G}}(X)$  if  $X$  is smooth and  $B_X = 0$  (see Definition 1.2).

**Remark 3.2** Suppose that  $B_X = 0$ . Put  $V = X/\bar{G}$ . Let  $\theta: X \rightarrow V$  be the quotient map. Then

$$K_X \sim_{\mathbb{Q}} \theta^*(K_V + R_V),$$

where  $R_V$  is a ramification  $\mathbb{Q}$ -divisor of the morphism  $\theta$ . Note that  $-(K_V + R_V)$  is an ample  $\mathbb{Q}$ -Cartier divisor, and  $(V, R_V)$  is Kawamata log terminal by [23, Proposition 3.16]. Moreover, it follows from [23, Proposition 3.16] that  $\text{lct}(X, \bar{G}) = \text{lct}(V, R_V)$ .

**Example 3.3** Suppose that  $X \cong \mathbb{P}^1$ . Then  $B_X = \sum_{i=1}^n a_i P_i$ , where  $P_i$  is a point, and  $a_i \in \mathbb{Q}$  such that  $0 \leq a_i < 1$ . We may assume that  $a_0 \leq \dots \leq a_n$ . Then

$$\text{lct}(X, B_X) = \frac{1 - a_n}{2 - \sum_{i=1}^n a_i},$$

where  $\sum_{i=1}^n a_i < 2$ , because the divisor  $-(K_X + B_X)$  is ample. Moreover, it follows from Remark 3.2 that  $\text{lct}(X, \bar{G}) = 2/\lambda$ , where  $\lambda$  is the length of a  $\bar{G}$ -orbit of the smallest length (cf Theorem 4.1).

**Lemma 3.4** *The global log canonical threshold  $\text{lct}(X, B_X, \bar{G})$  is equal to*

$$\inf \left\{ c \left( X, B_X, \sum_{i=1}^r a_i \mathcal{D}_i \right) \left| \begin{array}{l} \mathcal{D}_i \text{ is a linear system and } a_i \in \mathbb{Q}_{\geq 0} \\ \text{for every } i \in \{1, \dots, r\}, \sum_{i=1}^r a_i \mathcal{D}_i \text{ is } \bar{G}\text{-invariant,} \\ \text{and } \sum_{i=1}^r a_i \mathcal{D}_i \sim_{\mathbb{Q}} -(K_X + B_X) \end{array} \right. \right\}.$$

**Proof** The required assertion follows from Definition 3.1 and [23, Theorem 4.8].  $\square$

In general, it is unknown whether  $\text{lct}(X, B_X, \bar{G})$  is a rational number or not (cf [43, Question 1]). Of course, we expect that  $\text{lct}(X, B_X, \bar{G})$  is rational. Moreover, we expect the following to be true.

**Conjecture 3.5** *There is an effective  $\bar{G}$ -invariant  $\mathbb{Q}$ -divisor  $D_X$  on  $X$  such that  $\text{lct}(X, B_X, \bar{G}) = c(X, B_X, D_X) \in \mathbb{Q}$  and  $D_X \sim_{\mathbb{Q}} -(K_X + B_X)$ .*

Let  $(V \ni O)$  be a germ of a Kawamata log terminal singularity, and let  $\pi: W \rightarrow V$  be a birational morphism such that the exceptional locus of  $\pi$  consists of one irreducible divisor  $E \subset W$  such that  $O \in \pi(E)$ , the log pair  $(W, E)$  has purely log terminal singularities (see [23, Definition 3.5]), and  $-E$  is a  $\pi$ -ample  $\mathbb{Q}$ -Cartier divisor.

**Theorem 3.6** *The birational morphism  $\pi: W \rightarrow V$  does exist.*

**Proof** Modulo the Log Minimal Model Program in dimension  $\dim(V)$ , the existence of the morphism  $\pi$  follows from [31, Proposition 2.9] in the case when  $V$  has  $\mathbb{Q}$ -factorial singularities. It follows from [24, Theorem 1.5] that the  $\mathbb{Q}$ -factoriality condition in [31, Proposition 2.9] can be removed. Moreover, the proofs of [31, Proposition 2.9] and [24, Theorem 1.5] only need the Log Minimal Model Program for log pairs with big boundaries, which is proved now in [2].  $\square$

We say that  $\pi: W \rightarrow V$  is a *plt blow up* of the singularity  $(V \ni O)$ .

**Definition 3.7** [31, Definition 4.1] We say that  $(V \ni O)$  is *weakly-exceptional* if it has unique plt blow up.

Weakly-exceptional Kawamata log terminal singularities do exist (see [24, Example 2.2]).

**Lemma 3.8** [24, Corollary 1.7] *If  $(V \ni O)$  is weakly-exceptional, then  $\pi(E) = O$ .*

Let  $R_1, \dots, R_s$  be irreducible components of  $\text{Sing}(W)$  such that  $\dim(R_i) = \dim(W) - 2$  and  $R_i \subset E$  for every  $i \in \{1, \dots, s\}$ . Put

$$\text{Diff}_E(0) = \sum_{i=1}^s \frac{m_i - 1}{m_i} R_i,$$

where  $m_i$  is the smallest positive integer such that  $m_i E$  is Cartier at a general point of  $R_i$ .

**Lemma 3.9** [23, Theorem 7.5] *The variety  $E$  is normal, and  $(E, \text{Diff}_E(0))$  is Kawamata log terminal.*

Therefore, if  $\pi(E) = O$ , then the log pair  $(E, \text{Diff}_E(0))$  is a log Fano variety, because  $-E$  is  $\pi$ -ample.

**Theorem 3.10** [24, Theorem 2.1] *The singularity  $(V \ni O)$  is weakly-exceptional if and only if  $\pi(E) = O$  and  $\text{lct}(E, \text{Diff}_E(0)) \geq 1$ .*

**Theorem 3.11** [31, Theorem 4.9] *The singularity  $(V \ni O)$  is exceptional if and only if  $\pi(E) = O$  and  $c(E, \text{Diff}_E(0), D_E) > 1$  for every effective  $\mathbb{Q}$ -divisor  $D_E$  on the variety  $E$  such that  $D_E \sim_{\mathbb{Q}} -(K_E + \text{Diff}_E(0))$ .*

In particular, we see that if the assertion of [Conjecture 3.5](#) is true, then  $(V \ni O)$  is exceptional if and only if  $\pi(E) = O$  and  $\text{lct}(E, \text{Diff}_E(0)) > 1$  holds.

**Corollary 3.12** *If  $(V \ni O)$  is exceptional, then  $(V \ni O)$  is weakly-exceptional.*

It should be pointed out that [Theorem 3.11](#) is an applicable criterion. For instance, it can be used to construct exceptional singularities of any dimension.

**Example 3.13** Suppose that  $(V \ni O)$  is a Brieskorn–Pham hypersurface singularity

$$\sum_{i=0}^n x_i^{a_i} = 0 \subset \mathbb{C}^{n+1} \cong \text{Spec}(\mathbb{C}[x_0, x_1, \dots, x_n]),$$

where  $n \geq 3$  and  $2 \leq a_0 < a_1 < \dots < a_n$ . Arguing as in the proof of [\[4, Theorem 34\]](#), we see that it follows from [Theorem 3.11](#) that the singularity  $(V \ni O)$  is exceptional if

$$1 < \sum_{i=0}^n \frac{1}{a_i} < 1 + \min \left\{ \frac{1}{a_0}, \frac{1}{a_1}, \dots, \frac{1}{a_n} \right\}$$



and  $a_0, a_1, \dots, a_n$  are pairwise coprime. This is satisfied if  $a_0, a_1, \dots, a_n$  are primes and

$$(3.14) \quad \frac{1}{a_0} + \frac{1}{a_1} + \dots + \frac{1}{a_{n-1}} < 1 < \frac{1}{a_0} + \frac{1}{a_1} + \dots + \frac{1}{a_{n-1}} + \frac{1}{a_n}.$$

We use induction to construct the  $(n+1)$ -tuple  $(a_0, a_1, \dots, a_n)$  such that  $a_0, a_1, \dots, a_n$  are prime integers, and the  $(n+1)$ -tuple  $(a_0, a_1, \dots, a_n)$  satisfies the inequality (3.14).

If  $n = 3$ , then the four-tuple  $(a_0, a_1, a_2, a_3) = (2, 3, 7, 41)$  satisfies the inequality (3.14).

Suppose that  $n \geq 4$ , and there are prime numbers  $2 \leq c_0 < c_1 < c_2 < \dots < c_{n-1}$  such that

$$\frac{1}{c_0} + \frac{1}{c_1} + \dots + \frac{1}{c_{n-2}} < 1 < \frac{1}{c_0} + \frac{1}{c_1} + \dots + \frac{1}{c_{n-2}} + \frac{1}{c_{n-1}},$$

and assume that  $c_{n-1} > 8$  is the largest prime with these properties (for the fixed numbers  $c_0, \dots, c_{n-2}$ ). It follows from  $c_{n-1} > 8$  that there are prime numbers  $p_1, p_2$  and  $p_3$  such that  $c_{n-1} < p_1 < p_2 < p_3 < 2c_{n-1}$  (see [34, page 209, (18)]). Put  $(a_0, a_1, \dots, a_n) = (c_0, \dots, c_{n-2}, p_2, p_3)$ . Then

$$\sum_{i=0}^{n-2} \frac{1}{a_i} + \frac{1}{p_2} < \sum_{i=0}^{n-2} \frac{1}{a_i} + \frac{1}{p_1} \leq 1 < \sum_{i=0}^{n-2} \frac{1}{c_i} + \frac{1}{2c_{n-1}} + \frac{1}{2c_{n-1}} < \sum_{i=0}^{n-2} \frac{1}{a_i} + \frac{1}{p_2} + \frac{1}{p_3}$$

by the maximality assumption imposed on  $c_{n-1}$ . So the  $(n+1)$ -tuple  $(a_0, a_1, \dots, a_n)$  satisfies the inequality (3.14), which completes the construction<sup>1</sup>.

Suppose, in addition, that  $(V \ni O)$  is a quotient singularity  $\mathbb{C}^{n+1}/G$ , where  $n \geq 1$  and  $G$  is a finite subgroup in  $GL_{n+1}(\mathbb{C})$ . Put  $\bar{G} = \phi(G)$ , where  $\phi: GL_{n+1}(\mathbb{C}) \rightarrow \text{Aut}(\mathbb{P}^n) \cong \text{PGL}_{n+1}(\mathbb{C})$  is the natural projection.

**Remark 3.15** Let  $\eta: \mathbb{C}^{n+1} \rightarrow V$  be the quotient map. Then there is a commutative diagram

$$\begin{array}{ccc} U & \xrightarrow{\omega} & W \\ \gamma \downarrow & & \downarrow \pi \\ \mathbb{C}^{n+1} & \xrightarrow{\eta} & V, \end{array}$$

where  $\gamma$  is the blow up of  $O$ , the morphism  $\omega$  is the quotient map that is induced by the lifted action of  $G$  on the variety  $U$ , and  $\pi$  is a birational morphism. Moreover,  $\pi$  is a plt blow up of the singularity  $\mathbb{C}^{n+1}/G$ .

<sup>1</sup> Alternatively, one can use the Sylvester sequence to construct  $(a_0, \dots, a_n)$  explicitly (suggested by S. Galkin).

Thus, to prove the existence of a plt blow up of the quotient singularity  $\mathbb{C}^{n+1}/G$  we do not need to use [Theorem 3.6](#).

**Theorem 3.16** *Suppose that the group  $G \subset \mathrm{GL}_{n+1}(\mathbb{C})$  does not contain reflections. Then the singularity  $\mathbb{C}^{n+1}/G$  is weakly-exceptional if and only if  $\mathrm{lct}(\mathbb{P}^n, \bar{G}) \geq 1$ .*

**Proof** Let us use the notation and assumptions of [Remark 3.15](#). Let  $F$  be the exceptional divisor of the blow up  $\gamma$ . Put  $E = \omega(F)$ . Then  $F \cong \mathbb{P}^n$  and  $E \cong \mathbb{P}^n/\bar{G}$ . Since the group  $G$  does not contain reflections, it follows from [Remark 3.2](#) that  $\mathrm{lct}(\mathbb{P}^n, \bar{G}) = \mathrm{lct}(E, \mathrm{Diff}_E(0))$ , which implies that the singularity  $\mathbb{C}^{n+1}/G$  is weakly-exceptional if and only if  $\mathrm{lct}(\mathbb{P}^n, \bar{G}) \geq 1$  by [Theorem 3.11](#).  $\square$

**Theorem 3.17** *Suppose that the group  $G \subset \mathrm{GL}_{n+1}(\mathbb{C})$  does not contain reflections. Then the singularity  $\mathbb{C}^{n+1}/G$  is exceptional if and only if for any  $\bar{G}$ -invariant effective  $\mathbb{Q}$ -divisor  $D$  on  $\mathbb{P}^n$  such that  $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^n}$  the log pair  $(\mathbb{P}^n, D)$  is Kawamata log terminal.*

**Proof** Arguing as in the proof of [Theorem 3.16](#) and using [Theorem 3.11](#) together with [\[23, Proposition 3.16\]](#), we obtain the required assertion.  $\square$

Recall that the subgroup  $G \subset \mathrm{GL}_{n+1}(\mathbb{C})$  is said to be transitive if the corresponding  $(n+1)$ -dimensional representation is irreducible (see [\[3\]](#)). Note that  $G$  is transitive if it is primitive. As an easy application of [Theorems 3.17](#) and [3.16](#) in conjunction with [Lemma 3.4](#) one can establish the relation between the primitivity of the group  $G$  (transitivity, respectively) and the exceptionality of the singularity  $\mathbb{C}^{n+1}/G$  (weak-exceptionality, respectively).

**Theorem 3.18** *Suppose that the group  $G \subset \mathrm{GL}_{n+1}(\mathbb{C})$  is not primitive (not transitive, respectively). Then there exists a  $\bar{G}$ -invariant effective  $\mathbb{Q}$ -divisor  $D$  on  $\mathbb{P}^n$  such that  $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^n}$  and the pair  $(\mathbb{P}^n, D)$  is not Kawamata log terminal (not log canonical, respectively).*

**Proof** We will only prove that if the group  $G$  is not primitive, then there exists a  $\bar{G}$ -invariant effective  $\mathbb{Q}$ -divisor  $D$  on  $\mathbb{P}^n$  such that  $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^n}$  and the pair  $(\mathbb{P}^n, D)$  is not Kawamata log terminal, since the remaining assertion can be proved similarly.

Suppose that  $G$  is not primitive. Then there is a nontrivial decomposition

$$\mathrm{Spec}(\mathbb{C}[x_0, x_1, \dots, x_n]) \cong \mathbb{C}^{n+1} = \bigoplus_{i=1}^r V_i$$

such that  $g(V_i) = V_j$  for all  $g \in G$ . We may assume that  $\dim(V_1) \leq \dots \leq \dim(V_r)$ . Put  $d = \dim(V_1)$ . Then  $d \leq \lfloor (n+1)/2 \rfloor$ . We may assume that  $V_1 \subset \mathbb{C}^{n+1}$  is given by  $x_d = x_{d+1} = x_{d+2} = \dots = x_n = 0$ . Let  $\mathcal{M}_1$  be a linear system on  $\mathbb{P}^n$  that consists of hyperplanes that are given by

$$\sum_{i=0}^{d-1} \lambda_i x_i = 0 \subset \mathbb{P}^n \cong \text{Proj}(\mathbb{C}[x_0, x_1, \dots, x_n]),$$

where  $\lambda_i \in \mathbb{C}$ . Let  $\mathcal{M}_1, \dots, \mathcal{M}_s$  be the  $\bar{G}$ -orbit of the linear system  $\mathcal{M}_1$ . Then

$$\frac{n+1}{s} \left( \sum_{i=1}^s \mathcal{M}_i \right) \sim_{\mathbb{Q}} -K_{\mathbb{P}^n},$$

where  $s \leq \lfloor (n+1)/d \rfloor$ . Let  $\Lambda \subset \mathbb{P}^n$  be a linear subspace that is given by the equations  $x_0 = \dots = x_d = 0$ . Then

$$\frac{n+1}{s} \text{mult}_{\Lambda} \left( \sum_{i=1}^s \mathcal{M}_i \right) \geq \frac{n+1}{s} \text{mult}_{\Lambda}(\mathcal{M}_1) = \frac{n+1}{s} \geq d = n - \dim(\Lambda),$$

which implies the desired assertion by [Lemma 3.4](#). □

**Corollary 3.19** *Suppose that the group  $G \subset \text{GL}_{n+1}(\mathbb{C})$  is not primitive (not transitive, respectively). Then  $\text{lct}(\mathbb{P}^n, \bar{G}) \leq 1$  ( $\text{lct}(\mathbb{P}^n, \bar{G}) < 1$ , respectively).*

Applying [Theorems 3.16, 3.17](#) and [3.18](#), we obtain the following.

**Corollary 3.20** [[32, Proposition 2.1](#)] *Suppose that the group  $G \subset \text{GL}_{n+1}(\mathbb{C})$  does not contain reflections. Then the group  $G$  is primitive (transitive, respectively) provided that the singularity  $\mathbb{C}^{n+1}/G$  is exceptional (weakly-exceptional, respectively).*

Let us show how to apply [Theorems 3.16](#) and [3.17](#) (cf [[9, Example 1.9](#)]).

**Theorem 3.21** *Suppose that  $G \subset \text{GL}_3(\mathbb{C})$ . Then  $\text{lct}(\mathbb{P}^2, \bar{G}) \geq 4/3$  if and only if  $G$  does not have semi-invariants of degree at most 3.*

**Proof** Suppose that the subgroup  $G$  does not have semi-invariants of degree at most 3. To complete the proof we must show that  $\text{lct}(\mathbb{P}^2, \bar{G}) \geq 4/3$ , because the remaining implication is obvious.

Suppose that the strict inequality  $\text{lct}(\mathbb{P}^2, \bar{G}) < 4/3$  holds. Then there exist a positive rational number  $\lambda < 4/3$  and an effective  $\bar{G}$ -invariant  $\mathbb{Q}$ -divisor  $D$  on  $\mathbb{P}^2$  such that  $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^2}$ , and the log pair  $(\mathbb{P}^2, \lambda D)$  is strictly log canonical. Applying [Lemma 2.11](#), we obtain a contradiction. □

Using Theorems 3.17 and 3.21, we obtain the following.

**Corollary 3.22** *Suppose that the group  $G \subset \text{GL}_3(\mathbb{C})$  does not contain reflections. Then the following are equivalent:*

- *The singularity  $\mathbb{C}^3/G$  is exceptional.*
- *The subgroup  $G$  does not have semi-invariants of degree at most 3.*
- *The inequality  $\text{lct}(\mathbb{P}^2, \bar{G}) \geq 4/3$  holds.*

Arguing as in the proof of Theorem 3.21, we easily obtain a similar assertion that can be used for the classification of three-dimensional weakly exceptional quotient singularities (see [36]).

**Theorem 3.23** *Suppose that  $G \subset \text{GL}_3(\mathbb{C})$ . Then  $\text{lct}(\mathbb{P}^2, \bar{G}) \geq 1$  if and only if  $G$  does not have semi-invariants of degree at most 2.*

**Proof** The proof is left to the reader. □

Suppose that  $n + 1 = 2l$  for some integer  $l \geq 2$ . Let  $G_1 \subset \text{SL}_2(\mathbb{C})$  and  $G_2 \subset \text{SL}_l(\mathbb{C})$  be finite subgroups, let  $\mathbb{M}$  be the vector space of  $(2 \times l)$ -matrices with entries in  $\mathbb{C}$ . For every  $(g_1, g_2) \in G_1 \times G_2$  and every  $M \in \mathbb{M}$ , put

$$(g_1, g_2)(M) = g_1 M g_2^{-1} \in \mathbb{M} \cong \mathbb{C}^{2l},$$

which induces a homomorphism  $\varphi: G_1 \times G_2 \rightarrow \text{SL}_{2l}(\mathbb{C})$ . Note that  $|\ker(\varphi)| \leq 2$  if  $n$  is even, and  $\varphi$  is a monomorphism if  $n$  is odd.

**Lemma 3.24** *Suppose that  $G = \varphi(G_1 \times G_2)$ . Then  $\text{lct}(\mathbb{P}^n, \bar{G}) < 1$ .*

**Proof** Put  $s = l - 1$ . Let  $\psi: \mathbb{P}^1 \times \mathbb{P}^s \rightarrow \mathbb{P}^n$  be the Segre embedding. Put  $Y = \psi(\mathbb{P}^1 \times \mathbb{P}^s)$  and let  $\mathcal{Q}$  be the linear system consisting of all quadric hypersurfaces in  $\mathbb{P}^n$  that pass through the subvariety  $Y$ . Then  $\mathcal{Q}$  is a nonempty  $\bar{G}$ -invariant linear system. The log pair  $(\mathbb{P}^n, l\mathcal{Q})$  is not log-canonical along  $Y$ , which implies that  $\text{lct}(\mathbb{P}^n, \bar{G}) < 1$  by Lemma 3.4. □

As an application of Lemma 3.24 one obtains nonexceptionality of some quotient singularities.

**Example 3.25** (cf Theorem 1.22) Suppose that  $G = \varphi(G_1 \times G_2)$  and  $l = 3$ . Then the singularity  $\mathbb{C}^6/G$  is not exceptional by Theorem 1.17 and Lemma 3.24. On the other hand, if  $G_1 \cong 2.A_5$  and  $G_2 \cong 3.A_6$ , then  $G$  has no semi-invariants of degree at most 6 which can be shown by direct computation.

Suppose that  $l = 2$ . The transposition of matrices in  $\mathbb{M}$  induces an involution  $\iota \in \mathrm{SL}_4(\mathbb{C})$ .

**Lemma 3.26** *If  $G$  is generated by  $\varphi(G_1 \times G_2)$  and  $\iota$ , then  $\mathrm{lct}(\mathbb{P}^3, \bar{G}) < 1$ .*

**Proof** See the proof of [Lemma 3.24](#). □

## 4 Four-dimensional case

Shokurov [\[38\]](#) and Prokhorov and Markushevich [\[27\]](#) obtained an explicit classification of exceptional quotient singularities of dimension 2 and 3. Namely, for Gorenstein quotient singularities they prove the following.

**Theorem 4.1** [\[38, Example 5.2.3\]](#) *Let  $G$  be the finite subgroup in  $\mathrm{SL}_2(\mathbb{C})$ . Then the singularity  $\mathbb{C}^2/G$  is exceptional if and only if  $G$  is a binary central extension of one of the following groups:  $A_4$ ,  $S_4$  or  $A_5$ .*

**Theorem 4.2** [\[27, Theorem 3.13\]](#) *Let  $G$  be a finite subgroup in  $\mathrm{SL}_3(\mathbb{C})$ . Then the singularity  $\mathbb{C}^3/G$  is exceptional if and only if  $G$  is one of the following subgroups:*

- a central extension of  $\mathrm{PSL}_2(\mathbb{F}_7)$ , which is isomorphic to either  $\mathrm{PSL}_2(\mathbb{F}_7)$  or  $\mathbb{Z}_3 \times \mathrm{PSL}_2(\mathbb{F}_7)$ ,
- a nontrivial central extension  $3.A_6$  of the alternating group  $A_6$  by  $\mathbb{Z}_3$ ,
- the Hessian group, which can be characterized by the exact sequence

$$1 \longrightarrow \mathbb{H}(3, \mathbb{F}_3) \longrightarrow G \longrightarrow S_4 \longrightarrow 1,$$

where  $\mathbb{H}(3, \mathbb{F}_3)$  is the Heisenberg group consisting of all unipotent  $(3 \times 3)$ -matrices with entries in  $\mathbb{F}_3$ ,

- the normal subgroup of the Hessian group of index 3 that contains  $\mathbb{H}(3, \mathbb{F}_3)$ .

The purpose of this section is to present an analogous classification for exceptional singularities of dimension 4 (see [Theorem 4.13](#)), and prove some relevant results.

Let  $\bar{G}$  be a finite subgroup in  $\mathrm{Aut}(\mathbb{P}^3)$ , and let  $\phi: \mathrm{GL}_4(\mathbb{C}) \rightarrow \mathrm{Aut}(\mathbb{P}^3)$  be the natural projection. Then there is a finite subgroup in  $\mathrm{SL}_4(\mathbb{C})$  such that  $\phi(G) = \bar{G}$ . Moreover, if  $G$  is primitive, then it follows from [\[3; 14\]](#) that one may assume that  $Z(G) \subseteq [G, G]$ , where  $Z(G)$  and  $[G, G]$  are the center and the commutator of the group  $G$ , respectively.

As a warming-up we start with a result that can be applied to a classification of four-dimensional weakly exceptional quotient singularities (see [\[36\]](#)).

**Theorem 4.3** *The inequality  $\text{lct}(\mathbb{P}^3, \bar{G}) \geq 1$  holds if and only if the following three conditions are satisfied: the group  $G$  is transitive, the group  $G$  does not have semi-invariants of degree at most 3, and<sup>2</sup> there is no  $\bar{G}$ -invariant smooth rational cubic curve in  $\mathbb{P}^3$ .*

**Proof** Let us prove the  $\Rightarrow$  part. If  $G$  has a semi-invariant of degree at most 3, then  $\text{lct}(\mathbb{P}^3, \bar{G}) \leq 3/4$  by [Definition 3.1](#). If  $G$  is not transitive, then  $\text{lct}(\mathbb{P}^3, \bar{G}) < 1$  by [Corollary 3.19](#).

Suppose that there is a  $\bar{G}$ -invariant smooth rational cubic curve  $C \subset \mathbb{P}^3$ . Let  $R \subset \mathbb{P}^3$  be the surface that is swept out by lines that are tangent to  $C$ . Then  $c(\mathbb{P}^3, R) = 5/6$  the surface  $R$  is  $\bar{G}$ -invariant, and  $\text{deg}(R) = 4$ . Hence, we see that  $\text{lct}(\mathbb{P}^3, \bar{G}) \leq 5/6$ .

Let us prove the  $\Leftarrow$  part. Suppose that  $G$  is transitive, the subgroup  $G$  has no semi-invariants of degree at most 3, there is no  $\bar{G}$ -invariant smooth rational cubic curve in  $\mathbb{P}^3$ , but  $\text{lct}(\mathbb{P}^3, \bar{G}) < 1$ .

There is an effective  $\bar{G}$ -invariant  $\mathbb{Q}$ -divisor  $D$  on  $\mathbb{P}^3$  such that  $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^3}$  and a positive rational number  $\lambda < 1$  such that  $(\mathbb{P}^3, \lambda D)$  is strictly log canonical. Let  $S$  be an irreducible subvariety of  $\mathbb{P}^3$  that is a minimal center in  $\text{LCS}(\mathbb{P}^3, \lambda D)$ . By [Lemma 2.8](#), we may assume that

$$\text{LCS}(\mathbb{P}^3, \lambda D) = \bigcup_{g \in \bar{G}} \{g(S)\},$$

where  $\dim(S) \neq 2$ , because  $G$  has no semi-invariants of degree at most 3.

The locus  $\text{LCS}(\mathbb{P}^3, \lambda D)$  is connected by [Corollary 2.4](#). Then  $S$  is  $\bar{G}$ -invariant by [Lemma 2.6](#). Since the group  $G$  is transitive, we see that  $S$  is not a point. We see that  $S$  is a curve. Then  $\text{deg}(S) \leq 3$  by [Lemma 2.9](#), and  $S$  is not contained in a plane, because  $G$  is transitive. Hence  $S$  is a smooth rational cubic curve.  $\square$

Combining [Remark 2.13](#), [Theorem 4.3](#) and the classification of finite subgroups in  $\text{PGL}_2(\mathbb{C})$ , we easily obtain the following result (cf [Theorem 3.23](#)).

**Corollary 4.4** *The inequality  $\text{lct}(\mathbb{P}^3, \bar{G}) \geq 1$  holds if the following three conditions are satisfied: the group  $G$  is transitive, the group  $G$  does not have semi-invariants of degree at most 3, and the group  $\bar{G}$  is not isomorphic to the alternating group  $A_5$ .*

---

<sup>2</sup>One can show that the third condition of [Theorem 4.3](#) is not redundant. Namely, if  $G \subset \text{SL}_4(\mathbb{C})$  is a primitive group isomorphic to  $2.A_5$ , then  $G$  has no semi-invariants of degree at most 3, but there is a  $\bar{G}$ -invariant twisted cubic in  $\mathbb{P}^3$ . In fact, the primitive group  $G \cong 2.A_5$  gives essentially the only example of this kind.

The main purpose of this section is to prove the following result (cf [Theorem 1.19](#)).

**Theorem 4.5** *The inequality  $\text{lct}(\mathbb{P}^3, \bar{G}) \geq 5/4$  holds if the following three conditions are satisfied: the group  $G$  is primitive, the group  $G$  does not have semi-invariants of degree at most 4, and the inequality  $|\bar{G}| \geq 169$  holds.*

**Proof** Suppose that  $G$  is primitive and does not have semi-invariants of degree at most 4, the inequality  $|\bar{G}| \geq 169$  holds, but  $\text{lct}(\mathbb{P}^3, \bar{G}) < 5/4$ . Let us derive a contradiction.

There is an effective  $\bar{G}$ -invariant  $\mathbb{Q}$ -divisor  $D$  on  $\mathbb{P}^3$  such that  $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^3}$  and a positive rational number  $\lambda < 5/4$  such that  $(\mathbb{P}^3, \lambda D)$  is strictly log canonical.

Let  $S$  be an irreducible subvariety in  $\mathbb{P}^3$  that is a minimal center in  $\text{LCS}(\mathbb{P}^3, \lambda D)$ . Then  $S$  is a curve by [Lemma 2.11](#).

Note that  $g(S) \in \text{LCS}(\mathbb{P}^3, \lambda D)$  for every  $g \in \bar{G}$ , because the divisor  $D$  is  $\bar{G}$ -invariant. It follows from [Lemma 2.6](#) that

$$S \cap g(S) \neq \emptyset \iff S = g(S)$$

for every  $g \in \bar{G}$ . It follows from [Lemma 2.8](#) that we may assume that

$$\text{LCS}(\mathbb{P}^3, \lambda D) = \bigcup_{g \in \bar{G}} \{g(S)\}.$$

Let  $\mathcal{I}$  be the multiplier ideal sheaf of the log pair  $(\mathbb{P}^3, \lambda D)$ , and let  $\mathcal{L}$  be the log canonical singularities subscheme of the log pair  $(\mathbb{P}^3, \lambda D)$ . Then there is an exact sequence

$$(4.6) \quad 0 \longrightarrow H^0(\mathcal{O}_{\mathbb{P}^3}(1) \otimes \mathcal{I}) \longrightarrow H^0(\mathcal{O}_{\mathbb{P}^3}(1)) \longrightarrow H^0(\mathcal{O}_{\mathcal{L}} \otimes \mathcal{O}_{\mathbb{P}^3}(1)) \longrightarrow 0$$

by [Theorem 2.3](#). Then it follows from [Theorem 2.7](#) that  $S$  is a smooth curve of genus  $g$  such that  $2g - 2 < \text{deg}(S)$ .

Let  $Z$  be the  $\bar{G}$ -orbit of the curve  $S$ . Then  $Z$  is smooth and  $\text{deg}(Z) \leq 6$  by [Lemma 2.9](#). Then  $2g - 2 < \text{deg}(S) \leq 6$ , which implies that  $g \leq 3$ . Note that  $Z = \mathcal{L}$  by [Remark 2.2](#), because  $(\mathbb{P}^3, \lambda D)$  is log canonical. Moreover, the curve  $Z$  is not contained in a plane, because  $G$  is transitive.

Let  $r$  be the number of irreducible components of  $Z$ . Then  $6 \geq \text{deg}(Z) = r \text{deg}(S)$ , which implies that  $r \leq 6$ . Note that  $r = 0$  if  $r \geq 3$ .

Using (4.6) and the Riemann–Roch theorem, we see that

$$(4.7) \quad 4 = h^0(\mathcal{O}_{\mathcal{L}} \otimes \mathcal{O}_{\mathbb{P}^3}(1)) = r(\text{deg}(S) - g + 1),$$

because  $\mathcal{L} = Z$  and  $2g - 2 < \deg(S)$ . In particular, we see that  $r \leq 2$ .

One has  $\deg(S) \neq 1$ , because  $G$  is primitive. Thus  $S$  is not contained in a plane, because otherwise the  $\bar{G}$ -orbit of the plane spanned by  $S$  would give a semi-invariant of  $G$  of degree 1 or 2. Thus, we have  $6 \geq \deg(Z) = r\deg(S) \geq 3r$ .

If  $r = 2$ , then  $\deg(S) = 3$  and  $g = 0$ , which contradicts the equality (4.7). We see that  $r = 1$  and  $Z = S$ . Then  $g \leq 1$  by Theorem 2.14 and Remark 2.13, because  $|\bar{G}| \geq 169$ .

Arguing as in the proof of Theorem 4.3, we see that  $g \neq 0$ , because  $G$  does not have semi-invariants of degree 4. Then it follows from (4.7) that  $g = 1$  and  $\deg(S) = 4$ . We see that  $S = Q_1 \cap Q_2$ , where  $Q_1$  and  $Q_2$  are irreducible quadrics in  $\mathbb{P}^3$ .

Let  $\mathcal{P}$  be a pencil generated by  $Q_1$  and  $Q_2$ . Then  $\mathcal{P}$  contains exactly 4 singular surfaces, which are simple quadric cones. This means that there is a  $\bar{G}$ -orbit in  $\mathbb{P}^3$  consisting of at most 4 points, which is impossible by Remark 2.10. □

In the rest of this section we will refine the assertion of Theorem 4.5 by removing the assumption that  $\bar{G}$  contains at least 169 elements and providing an explicit list of possible finite subgroups in  $\text{PGL}_4(\mathbb{C})$  that satisfy all hypothesis of Theorem 4.5 (cf Theorems 4.1 and 4.2). Let us start with the following example.

**Example 4.8** (See Blichfeldt [3, Section 123] and Nieto [30].) Let  $\mathbb{H}$  be a subgroup in  $\text{SL}_4(\mathbb{C})$  that is conjugate to the subgroup generated by

$$\begin{pmatrix} 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \end{pmatrix}, \begin{pmatrix} 0 & 1 & 0 & 0 \\ 1 & 0 & 0 & 0 \\ 0 & 0 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 \\ 0 & 0 & -1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix}, \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & -1 & 0 & 0 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & -1 \end{pmatrix},$$

and let  $N \subset \text{SL}_4(\mathbb{C})$  be the normalizer of the subgroup  $\mathbb{H}$ . There is an exact sequence of groups<sup>3</sup>

$$1 \longrightarrow \tilde{\mathbb{H}} \xrightarrow{\alpha} N \xrightarrow{\beta} S_6 \longrightarrow 1,$$

where  $\tilde{\mathbb{H}} = \langle \mathbb{H}, \text{diag}(\sqrt{-1}) \rangle$ . One can show that  $N$  is a primitive subgroup of  $\text{SL}_4(\mathbb{C})$ .

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<sup>3</sup>The choice of the epimorphism  $\beta$  is not canonical even up to conjugation, due to the existence of outer automorphisms of  $S_6$ . There are essentially two possible choices of  $\beta$ . To fix one of them we use the fact that the subspace  $W \subset \text{Sym}^4(\mathbb{C}^4)$  of  $\tilde{\mathbb{H}}$ -invariant quartics is five-dimensional; moreover, the group  $N/\tilde{\mathbb{H}}$  acts on  $W$ , and  $W$  is an irreducible representation of  $N/\tilde{\mathbb{H}}$  (cf the proof of Lemma 4.12 and references therein). We choose  $\beta$  so that  $W$  corresponds to the standard five-dimensional representation of  $S_6$  twisted by the sign representation. Another way to describe the choice of  $\beta$  is through introducing the action of  $N/\tilde{\mathbb{H}}$  on the space  $W' = \Lambda^2(\mathbb{C}^4)$  (see [30]).

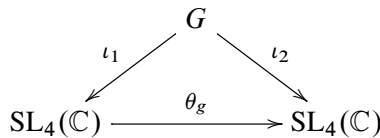


The following theorem provides an explicit list of possible finite subgroups in  $\mathrm{PGL}_4(\mathbb{C})$  that satisfy all hypotheses of [Theorem 4.5](#):

**Theorem 4.9** (See [[3](#), Chapter VII; [14](#), Section 8.5].) *Let  $G$  be a primitive subgroup of  $\mathrm{SL}_4(\mathbb{C})$  such that  $Z(G) \subseteq [G, G]$ . Then one of the following possibilities holds:*

- either  $G$  satisfies the hypotheses of [Lemma 3.24](#) or [Lemma 3.26](#),
- or  $G$  is one of the following groups:
  - $A_5$  or  $S_5$ ,
  - $\mathrm{SL}_2(\mathbb{F}_5)$ ,
  - $\mathrm{SL}_2(\mathbb{F}_7)$ ,
  - $2.A_6$ , which is a central extension of the group  $A_6 \cong \bar{G}$ ,
  - $2.S_6$ , which is a central extension<sup>4</sup> of the group  $S_6 \cong \bar{G}$ ,
  - $2.A_7$ , which is a central extension of the group  $A_7 \cong \bar{G}$ ,
  - $\mathrm{Sp}_4(\mathbb{F}_3)$ ,
  - in the notation of [Example 4.8](#), a primitive subgroup in  $N$  that contains  $\alpha(\tilde{\mathbb{H}})$ .

It should be pointed out that [Theorem 4.9](#) describes primitive subgroups of  $\mathrm{SL}_4(\mathbb{C})$  up to conjugation. Namely, if there are two monomorphisms  $\iota_1: G \rightarrow \mathrm{SL}_4(\mathbb{C})$  and  $\iota_2: G \rightarrow \mathrm{SL}_4(\mathbb{C})$  such that both subgroups  $\iota_1(G)$  and  $\iota_2(G)$  are primitive, then it follows from [[3](#), Chapter VII] that  $\iota_1(G)$  and  $\iota_2(G)$  are conjugate, but it may happen that the representations of the group  $G$  given by  $\iota_1$  and  $\iota_2$  are nonisomorphic, ie there is no element  $g \in \mathrm{SL}_4(\mathbb{C})$  that makes the diagram



commutative, where  $\theta_g$  is the conjugation by  $g$  (cf [[13](#)]).

**Lemma 4.10** *Suppose that  $G \cong 2.A_6$ . Then  $G$  has no semi-invariants of degree at most 4.*

**Proof** Semi-invariants of  $G$  are its invariants by [Remark 1.15](#), and  $G$  has no odd degree invariants, because  $G$  contains a scalar matrix whose nonzero entries are  $-1$ .

To complete the proof, it is enough to prove that  $G$  has no invariants of degree 4.

<sup>4</sup>There are three nonisomorphic nontrivial central extensions of the group  $S_6$  with the center isomorphic to  $\mathbb{Z}_2$ , two of which are embedded in  $\mathrm{SL}_4(\mathbb{C})$  (cf [[13](#)]). But up to conjugation there is only one subgroup of  $\mathrm{PGL}_4(\mathbb{C})$  isomorphic to  $S_6$ .

Let  $V \cong \mathbb{C}^4$  be the irreducible representation of the group  $G$  that corresponds to the embedding  $G \subset \mathrm{SL}_4(\mathbb{C})$ . Without loss of generality, we may assume that  $\Lambda^2 V \cong \mathbb{C}^6$  is a permutation representation of the group  $G/Z(G) \cong A_6$ , because  $G$  has two four-dimensional irreducible representations, which give one subgroup  $G \subset \mathrm{SL}_4(\mathbb{C})$  up to conjugation.

Let  $\chi$  be the character of the representation  $V$ , and let  $\chi_4$  be the character of the representation  $\mathrm{Sym}^4(V)$ . Then

$$\chi_4(g) = \frac{1}{24}(\chi(g)^4 + 6\chi(g)^2\chi(g^2) + 3\chi(g^2)^2 + 8\chi(g)\chi(g^3) + 6\chi(g^4))$$

for every  $g \in G$ . The values of the characters  $\chi$  and  $\chi_4$  are listed in [Table 1](#). In this

	$[5, 1]_{10}$	$[5, 1]_5$	$[4, 2]_8$	$[3, 3]_6$	$[3, 3]_3$	$[3, 1, 1, 1]_6$	$[3, 1, 1, 1]_3$	$[2, 2, 1, 1]_4$	$z$	$e$
#	144	144	180	40	40	40	40	90	1	1
$\chi$	1	-1	0	-1	1	2	-2	0	-4	4
$\chi_4$	0	0	-1	2	2	-4	-4	3	35	35

Table 1

table, the first row lists the types of the elements in  $G$  (for example, the symbol  $[5, 1]_{10}$  denotes the set<sup>5</sup> of order 10 elements whose image in  $A_6$  is a product of disjoint cycles of length 5 and 1), and  $z$  and  $e$  are the nontrivial element in the center of  $G$  and the identity element, respectively.

Now one can check that the inner product of the character  $\chi_4$  and the trivial character is zero, which implies that the subgroup  $G$  does not have invariants of degree 4.  $\square$

**Lemma 4.11** *If  $G \cong 2.S_6$  or  $G \cong 2.A_7$ , then  $G$  has no semi-invariants of degree at most 4.*

**Proof** Recall that these groups contain  $2.A_6$  and we can apply [Lemma 4.10](#).  $\square$

**Lemma 4.12** *Under the assumptions of [Theorem 4.9](#) the subgroup  $G$  has no semi-invariants of degree at most 4 if and only if  $G$  is one of the following groups:*

- $2.A_6, 2.S_6$  or  $2.A_7$ ,
- $\mathrm{Sp}_4(\mathbb{F}_3)$ ,

<sup>5</sup> Note that these sets do not coincide with conjugacy classes. For example, the image of the set of the elements of type  $[5, 1]_{10}$  under the natural projection  $2.A_6 \rightarrow A_6$  is a union of two different conjugacy classes in  $A_6$ .

- in the notation of [Example 4.8](#), a subgroup of  $N$  that satisfies one of the following four conditions:
  - $G = N$ ,
  - $\alpha(\tilde{\mathbb{H}}) \subsetneq G$  and  $\beta(G) \cong A_6$ ,
  - $\alpha(\tilde{\mathbb{H}}) \subsetneq G$  and  $\beta(G) \cong S_5$ , where the embedding  $\beta(G) \subset S_6$  is nonstandard, ie the standard one twisted by an outer automorphism of  $S_6$ ,
  - $\alpha(\tilde{\mathbb{H}}) \subsetneq G$  and  $\beta(G) \cong A_5$ , where the embedding  $\beta(G) \subset S_6$  is nonstandard.

**Proof** Let  $d$  be the smallest positive number such  $G$  has an semi-invariant of degree  $d$ . If  $G \cong 2.A_6$ , then  $d \geq 5$  by [Lemma 4.10](#). If  $G \cong 2.S_6$  or  $G \cong 2.A_7$ , then  $d \geq 5$  by [Lemma 4.11](#). In fact, one can check by direct computation that  $d = 8$  if  $G \cong 2.A_6$  or  $G \cong 2.S_6$  or  $G \cong 2.A_7$ . If  $G \cong \text{SL}_2(\mathbb{F}_7)$ , then the equality  $d = 4$  holds by [\[26\]](#) and [Remark 1.15](#). If  $G \cong \text{Sp}_4(\mathbb{F}_3)$ , then the equality  $d = 12$  holds by [\[28\]](#) and [Remark 1.15](#).

Suppose that  $G \cong \text{SL}_2(\mathbb{F}_5) \cong 2.A_5$ . Then there is a  $\bar{G}$ -invariant smooth rational cubic curve  $C \subset \mathbb{P}^3$ , because the representation  $G \rightarrow \text{GL}_4(\mathbb{C})$  is a symmetric square of a two-dimensional representation of the group  $G$ . The surface swept out by the lines tangent to the curve  $C$  is a  $\bar{G}$ -invariant surface of degree 4 (cf proof of [Theorem 4.3](#)). Therefore, the inequality  $d \leq 4$  holds<sup>6</sup>.

Let us use the notation of [Example 4.8](#). By [Theorem 4.9](#), [Remark 2.12](#) and [Lemmas 3.24](#) and [3.26](#), to complete the proof we may assume that  $G$  is a primitive subgroup in  $N$  that contains  $\alpha(\tilde{\mathbb{H}})$ .

One can show that the group  $\tilde{\mathbb{H}}$  has no invariants of degree less than 4 and its invariants of degree 4 form a five-dimensional vector space  $W$  (see eg [\[33, Lemma 3.18\]](#)).

The group  $\beta(G)$  naturally acts on  $W$ . Moreover, the subgroup  $G$  has an invariant of degree 4 if and only if the representation  $W$  has a one-dimensional subrepresentation of the group  $\beta(G)$ . On the other hand, it follows from [\[30\]](#) that if  $G = N$ , then  $W$  is an irreducible representation of  $\beta(G) = S_6$ .

It follows from [\[3, Section 123\]](#) that, up to conjugation, there exist exactly 9 possibilities for the subgroup  $G \subset N$  such that  $G$  is primitive. These possibilities are listed in [Table 2](#). In this table, the first column lists the labels of the subgroup  $G$  according to [\[3, Section 123\]](#) and the last column lists the dimensions of the irreducible  $\beta(G)$ -subrepresentations of  $W$ .

Note that  $\mathbb{H} \subset \tilde{\mathbb{H}}$  has no semi-invariants of degree 3, because  $\mathbb{H}$  has no invariants of degree 3, the center of the group  $\mathbb{H}$  coincides with its commutator and acts nontrivially on cubic forms.

<sup>6</sup>Actually, one can show that  $d = 4$  in this case.

Label of the group $G$	$\beta(G)$	Generators of the subgroup $\beta(G) \subseteq S_6$	Splitting type
$13^\circ$	$\mathbb{Z}_5$	(24635)	1, 1, 1, 1, 1
$14^\circ$	$\mathbb{Z}_5 \rtimes \mathbb{Z}_2$	(24635), (36)(45)	1, 2, 2
$15^\circ$	$\mathbb{Z}_5 \rtimes \mathbb{Z}_4$	(24635), (3465)	1, 2, 2
$16^\circ$	$A_5$	(24635), (34)(56)	1, 4
$17^\circ$	$A_5$	(24635), (12)(36)	5
$18^\circ$	$S_5$	(24635), (56)	1, 4
$19^\circ$	$S_5$	(24635), (12)(34)(56)	5
$20^\circ$	$A_6$	(24635), (12)(34)	5
$21^\circ$	$S_6$	(24635), (12)	5

Table 2

The subgroups of  $N$  described in Lemma 4.12 are the subgroups  $21^\circ, 20^\circ, 19^\circ, 17^\circ$ , respectively. We see that  $d \leq 4$  if  $G$  is the subgroup  $13^\circ, 14^\circ, 15^\circ, 16^\circ$  or  $18^\circ$ . On the other hand, if  $G$  is the subgroup  $17^\circ, 19^\circ, 20^\circ$  or  $21^\circ$ , then the subgroup  $G$  has neither semi-invariants of degree less than 4, nor invariants of degree 4. Let us prove that the subgroup  $17^\circ$  does not have semi-invariants of degree 4. Since the absence of semi-invariants of degree 4 implies the absence of semi-invariants of degree 2, this would imply that in the case when  $G$  is the subgroup  $17^\circ, 19^\circ, 20^\circ$  or  $21^\circ$  of the group  $N$  the inequality  $d \geq 5$  holds<sup>7</sup>.

Suppose that  $G$  is the subgroup  $17^\circ$ , and suppose, in addition, that  $G$  does have a semi-invariant  $\Phi$  of degree 4. Let us show that this assumption leads to a contradiction.

Note that the polynomial  $\Phi$  is not  $\tilde{\mathbb{H}}$ -invariant, because  $\Phi$  is not  $G$ -invariant and  $G/\tilde{\mathbb{H}} \cong \beta(G) \cong A_5$  is a simple group. Let  $Z$  be the center of the group  $\tilde{\mathbb{H}}$ . Put  $\bar{\mathbb{H}} = \phi(\tilde{\mathbb{H}})$ . Then  $\bar{\mathbb{H}}/Z \cong \bar{\mathbb{H}} \cong \mathbb{Z}_2^4$ , and  $Z$  acts trivially on  $\Phi$ . Thus, there is a homomorphism  $\xi: \bar{\mathbb{H}} \rightarrow \mathbb{C}^*$  such that  $\ker(\xi) \neq \bar{\mathbb{H}}$ , which implies that  $\ker(\xi) \cong \mathbb{Z}_2^3$ , because  $\text{im}(\chi)$  is a cyclic group. Let  $\theta: \bar{G} \rightarrow \text{Aut}(\bar{\mathbb{H}})$  be the homomorphism such that

$$\theta(g)(h) = ghg^{-1} \in \bar{\mathbb{H}} \cong \mathbb{Z}_2^4$$

for all  $g \in \bar{G}$  and  $h \in \bar{\mathbb{H}}$ . Consider  $\bar{\mathbb{H}}$  as a vector space over  $\mathbb{F}_2$ . Then  $\theta$  induces a monomorphism  $\tau: \beta(G) \rightarrow \text{GL}_4(\mathbb{F}_2)$  and  $\ker(\xi)$  is a  $\text{im}(\tau)$ -invariant subspace. But  $\text{im}(\tau) \cong A_5$  has no nontrivial three-dimensional representations over  $\mathbb{F}_2$ , because

<sup>7</sup>In fact, one can check by direct computation that  $d = 8$  if  $G$  is the subgroup  $17^\circ, 19^\circ, 20^\circ$  or  $21^\circ$ .

$|\mathrm{GL}_3(\mathbb{F}_2)| = 168$  is not divisible by  $|A_5| = 60$ . Thus, we see that there is a nonzero element  $t \in \overline{\mathbb{H}}$  such that  $t$  is  $\mathrm{im}(\tau)$ -invariant. Let  $F$  be the stabilizer of  $t$  in  $\mathrm{GL}_4(\mathbb{F}_2)$ . Then  $A_5 \cong \mathrm{im}(\tau) \subset F$ , which is impossible, because  $|F| = 1344$  is not divisible by  $|A_5| = 60$ .  $\square$

Combining the previous results we obtain the following.

**Theorem 4.13** *Let  $G$  be a finite subgroup in  $\mathrm{SL}_4(\mathbb{C})$ . Then the following conditions are equivalent:*

- *The singularity  $(V \ni O)$  is exceptional.*
- *The inequality  $\mathrm{lct}(\mathbb{P}^3, \overline{G}) \geq 5/4$  holds.*
- *The group  $G$  is primitive and  $G$  does not have semi-invariants of degree at most 4.*
- *$\overline{G} = \phi(G')$ , where  $G'$  is one of the 8 subgroups listed in [Lemma 4.12](#).*

**Proof** This follows from Theorems [1.17](#), [4.5](#) and [4.9](#) and [Lemma 4.12](#).  $\square$

## 5 Five-dimensional case

The purpose of this section is to present an explicit classification of exceptional five-dimensional singularities (see [Theorem 5.6](#), cf Theorems [4.1](#), [4.2](#) and [4.13](#)), and prove some relevant results.

Let  $\overline{G}$  be a finite subgroup in  $\mathrm{Aut}(\mathbb{P}^4)$ , and consider the natural projection

$$\phi: \mathrm{SL}_5(\mathbb{C}) \rightarrow \mathrm{Aut}(\mathbb{P}^4) \cong \mathrm{PGL}_5(\mathbb{C}).$$

Then there is a finite subgroup  $G \subset \mathrm{SL}_5(\mathbb{C})$  such that  $\phi(G) = \overline{G}$ . Suppose that  $G$  is primitive. Then we may assume that  $Z(G) \subseteq [G, G]$  (see [\[5; 14\]](#)).

**Example 5.1** (cf [Appendix A](#)) Let  $\mathbb{H}$  be the Heisenberg group of all unipotent  $(3 \times 3)$ -matrices with entries in  $\mathbb{F}_5$ . Then there is a monomorphism  $\rho: \mathbb{H} \rightarrow \mathrm{SL}_5(\mathbb{C})$ . Let  $\mathbb{H}\mathbb{M}$  be the normalizer of the subgroup  $\rho(\mathbb{H}) \subset \mathrm{SL}_5(\mathbb{C})$ . Then there is an exact sequence

$$1 \longrightarrow \mathbb{H} \xrightarrow{\alpha} \mathbb{H}\mathbb{M} \xrightarrow{\beta} \mathrm{SL}_2(\mathbb{F}_5) \longrightarrow 1,$$

and  $\mathbb{H}\mathbb{M}$  is a primitive subgroup in  $\mathrm{SL}_5(\mathbb{C})$  (see [\[5, Theorem 9A; 17\]](#)).

**Theorem 5.2** (See [5; 14, Section 8.5].) *Let  $G$  be a finite primitive subgroup in  $\mathrm{SL}_5(\mathbb{C})$  such that  $Z(G) \subseteq [G, G]$ . Then  $G$  is one of the groups  $A_5$ ,  $A_6$ ,  $S_5$ ,  $S_6$ ,  $\mathrm{PSL}_2(\mathbb{F}_{11})$ ,  $\mathrm{PSp}_4(\mathbb{F}_3)$ , or, in the notation of [Example 5.1](#), a primitive subgroup of  $\mathbb{H}\mathbb{M}$  that contains  $\alpha(\mathbb{H})$ .*

Note that if there are two monomorphisms  $\iota_1: G \rightarrow \mathrm{SL}_5(\mathbb{C})$  and  $\iota_2: G \rightarrow \mathrm{SL}_5(\mathbb{C})$  such that both subgroups  $\iota_1(G)$  and  $\iota_2(G)$  are primitive, then  $\iota_1(G)$  and  $\iota_2(G)$  are conjugate.

**Lemma 5.3** *Suppose that  $G$  is one of the following groups:  $A_5$ ,  $A_6$ ,  $S_5$ ,  $S_6$ ,  $\mathrm{PSL}_2(\mathbb{F}_{11})$  or  $\mathrm{PSp}_4(\mathbb{F}_3)$ . Then  $G$  has an invariant of degree at most 4, which implies that  $\mathrm{lct}(\mathbb{P}^4, \bar{G}) \leq 4/5$ .*

**Proof** If  $G$  is  $A_5$ ,  $A_6$ ,  $S_5$  or  $S_6$ , then  $G$  has an invariant of degree 2 by [Remark 2.12](#). If  $G \cong \mathrm{PSp}_4(\mathbb{F}_3)$ , then  $G$  has an invariant of degree 4 (see [7]). If  $G \cong \mathrm{PSL}_2(\mathbb{F}_{11})$ , then  $G$  has an invariant of degree 3 (see [1]).  $\square$

**Lemma 5.4** *In the notation of [Example 5.1](#), suppose that  $\alpha(\mathbb{H}) \subsetneq G \subseteq \mathbb{H}\mathbb{M}$ . Then  $G$  has no semi-invariants of degree at most 5 if and only if either  $G = \mathbb{H}\mathbb{M}$  or  $G$  is a subgroup of  $\mathbb{H}\mathbb{M}$  of index 5.*

**Proof** Let  $V$  be the vector space of  $\mathbb{H}$ -invariant forms of degree 5. Then the group  $\mathbb{H}\mathbb{M}/\alpha(\mathbb{H}) \cong \mathrm{SL}_2(\mathbb{F}_5) \cong 2.A_5$  naturally acts on the vector space  $V$ . Moreover, it follows from [17, Theorem 3.5] that  $V = V' \oplus V''$ , where  $V'$  and  $V''$  are three-dimensional  $\mathrm{im}(\beta)$ -invariant linear subspaces that arise from two nonequivalent three-dimensional representations of the group  $A_5$ , respectively. Therefore, we see that  $G$  has a semi-invariant of degree 5 if and only if  $V'$  has a  $\beta(G)$ -invariant one-dimensional subspace.

Let  $Z \cong \mathbb{Z}_2$  be the center of the group  $\mathbb{H}\mathbb{M}/\alpha(\mathbb{H}) \cong 2.A_5$ . Then  $2.A_5/Z \cong A_5$ . Moreover, either  $\beta(G)$  is cyclic, or  $Z \subseteq \beta(G)$  and  $\beta(G)/Z$  is one of the following subgroups of  $A_5$ : dihedral group of order 6, dihedral group of order 10, the group  $\mathbb{Z}_2 \times \mathbb{Z}_2$ , the group  $A_4$ , the group  $A_5$ .

If  $\beta(G)$  is cyclic, then  $V'$  is a sum of one-dimensional  $\beta(G)$ -invariant linear subspaces. Hence we may assume that  $Z \subseteq \beta(G)$ . Recall that  $Z \cong \mathbb{Z}_2$  acts trivially on  $V'$ . Thus, if  $\beta(G)/Z \cong \mathbb{Z}_2 \times \mathbb{Z}_2$ , then  $V'$  is a sum of one-dimensional  $\beta(G)$ -invariant subspaces.

If  $\beta(G)/Z$  is a dihedral group, then  $V'$  must have one-dimensional  $\beta(G)$ -invariant subspace, because irreducible representations of dihedral groups are one-dimensional or two-dimensional.

If  $\beta(G)/Z \cong A_5$  or  $\beta(G)/Z \cong A_4$ , then  $V'$  is an irreducible representation of  $\beta(G)/Z$ , which implies that  $V'$  is an irreducible representation of the group  $\beta(G)$ . Now using [Corollary A.2](#), we complete the proof.  $\square$

The main purpose of this section is to prove the following result.

**Theorem 5.5** *In the notation of [Example 5.1](#), let  $G$  be a subgroup of the group  $\mathbb{HM}$  of index 5. Then  $\text{lct}(\mathbb{P}^4, \bar{G}) \geq 6/5$ .*

Combining the previous results we obtain the following.

**Theorem 5.6** *Let  $G$  be a finite subgroup in  $\text{SL}_5(\mathbb{C})$ . Then the following conditions are equivalent:*

- *The singularity  $(V \ni O)$  is exceptional.*
- *The inequality  $\text{lct}(\mathbb{P}^4, \bar{G}) \geq 6/5$  holds.*
- *The group  $G$  is primitive and  $G$  does not have semi-invariants of degree at most 5.*
- *In the notation of [Example 5.1](#), either  $G \cong \mathbb{HM}$  or  $G$  is isomorphic to a subgroup of the group  $\mathbb{HM}$  of index 5.*

**Proof** The required assertion follows from [Theorems 1.17, 5.5, 5.2](#) and [Lemmas 5.4](#) and [5.3](#).  $\square$

In the remaining part of this section we will prove [Theorem 5.5](#). Let us use the notation of [Example 5.1](#). Suppose that  $G$  be a subgroup of the group  $\mathbb{HM}$  of index 5.

**Lemma 5.7** *Let  $\Lambda$  be a  $\bar{G}$ -invariant subset of  $\mathbb{P}^4$ . Then  $\Lambda$  consists of at least 10 points.*

**Proof** The required assertion follows from [Lemma 5.4](#) and [Corollary A.2](#).  $\square$

Suppose that  $\text{lct}(\mathbb{P}^4, \bar{G}) < 6/5$ . Let us derive a contradiction.

There is a rational positive number  $\lambda < 6/5$  and an effective  $\bar{G}$ -invariant  $\mathbb{Q}$ -divisor  $D$  on  $\mathbb{P}^5$  such that  $D \sim_{\mathbb{Q}} -K_{\mathbb{P}^4}$  and the log pair  $(\mathbb{P}^4, \lambda D)$  is strictly log canonical. Let  $S$  be an irreducible subvariety of  $\mathbb{P}^4$  that is a minimal center in  $\text{LCS}(\mathbb{P}^4, \lambda D)$ . Then  $S$  is either a curve or a surface by [Lemma 2.11](#).

Let  $Z$  be the  $\bar{G}$ -orbit of the subvariety  $S \subset \mathbb{P}^4$ , and let  $r$  be the number of irreducible components of the subvariety  $Z$ . We may assume that

$$\text{LCS}(\mathbb{P}^4, \lambda D) = \bigcup_{g \in \bar{G}} \{g(S)\}$$

by Lemma 2.8. Then  $\text{Supp}(Z) = \text{LCS}(\mathbb{P}^4, \lambda D)$ . It follows from Lemma 2.6 that

$$S \cap g(S) \neq \emptyset \iff S = g(S)$$

for every  $g \in \bar{G}$ . Then  $\text{deg}(Z) = r \text{deg}(S)$ .

Let  $\mathcal{I}$  be the multiplier ideal sheaf of the log pair  $(\mathbb{P}^4, \lambda D)$ , and let  $\mathcal{L}$  be the log canonical singularities subscheme of the log pair  $(\mathbb{P}^4, \lambda D)$ . By Theorem 2.3, there is an exact sequence

$$(5.8) \quad 0 \longrightarrow H^0(\mathcal{O}_{\mathbb{P}^4}(n) \otimes \mathcal{I}) \longrightarrow H^0(\mathcal{O}_{\mathbb{P}^4}(n)) \longrightarrow H^0(\mathcal{O}_{\mathcal{L}} \otimes \mathcal{O}_{\mathbb{P}^4}(n)) \longrightarrow 0$$

for every  $n \geq 1$ . Note that  $Z = \mathcal{L}$  by Remark 2.2.

**Lemma 5.9** *The center  $S$  is not a curve.*

**Proof** Suppose that  $S$  is a curve. Then it follows from Theorem 2.7 that  $S$  is a smooth curve of genus  $g$  such that  $2g - 2 < \text{deg}(S)$ . Moreover, it follows from Lemma 2.9 that  $\text{deg}(Z) \leq 10$ . Then  $2g - 2 < \text{deg}(S) \leq 10$ , which implies that  $g \leq 5$ . The curve  $Z$  is not contained in a hyperplane, because  $G$  is transitive. Then  $10 \geq \text{deg}(Z) = r \text{deg}(S)$ , which implies that  $r \leq 10$ .

Using (5.8) and the Riemann–Roch theorem, we see that

$$(5.10) \quad 5 = h^0(\mathcal{O}_{\mathcal{L}} \otimes \mathcal{O}_{\mathbb{P}^3}(1)) = r(\text{deg}(S) - g + 1),$$

because  $\mathcal{L} = Z$  and  $2g - 2 < \text{deg}(S)$ . Thus, either  $r = 1$  or  $r = 5$ .

If  $r = 5$ , then  $\text{deg}(S) = 2$  and  $g = 0$ , which contradicts (5.10). We see that  $r = 1$ . Thus  $S$  is a  $\bar{G}$ -invariant irreducible curve of genus  $g \leq 5$ , which is impossible by Lemma A.8. □

We see that  $S$  is a surface. Then  $\text{deg}(Z) \leq 10$  by Lemma 2.9. It follows from Theorem 2.7 that  $S$  is normal and has at most rational singularities, and there is an effective  $\mathbb{Q}$ -divisor  $B_S$  and an ample  $\mathbb{Q}$ -divisor  $\Delta$  on the surface  $S$  such that

$$K_S + B_S + \Delta \sim_{\mathbb{Q}} \mathcal{O}_{\mathbb{P}^4}(1)|_S,$$

and the log pair  $(S, B_S)$  has Kawamata log terminal singularities. Therefore, the equality  $r = 1$  holds, since two irreducible surfaces in  $\mathbb{P}^4$  have nonempty intersection. Thus, we see that the surface  $S = Z$  is  $\bar{G}$ -invariant.



**Lemma 5.11** *The surface  $S$  is not contained in a hyperplane in  $\mathbb{P}^4$ .*

**Proof** The required assertion follows from the fact that  $G$  is transitive. □

**Lemma 5.12** *The surface  $S$  is not contained in a quadric hypersurface in  $\mathbb{P}^4$ .*

**Proof** Suppose that there is a quadric hypersurface  $Q \subset \mathbb{P}^4$  such that  $S \subset Q$ . Then  $Q$  is irreducible by Lemma 5.11. Moreover, it follows from Lemma 5.4 that there is a quadric hypersurface  $Q' \subset \mathbb{P}^4$  such that  $S \subseteq Q \cap Q'$ , because otherwise the quadric  $Q$  would be  $\bar{G}$ -invariant. Then  $Q'$  is irreducible by Lemma 5.11.

Suppose that  $S = Q \cap Q'$ . If  $S$  is nonsingular, consider a pencil  $\mathcal{P}$  generated by the quadrics  $Q$  and  $Q'$ . Then  $\mathcal{P}$  contains exactly 5 singular quadrics, which are simple quadric cones. This means that there is a  $\bar{G}$ -orbit in  $\mathbb{P}^4$  consisting of at most 5 points, which is impossible, because  $G$  has no semi-invariants of degree up to 5. Therefore, the surface  $S$  is singular.

It follows from [16] that  $|\text{Sing}(S)| \leq 4$ , because  $S$  has canonical singularities since  $S$  is a complete intersection that has Kawamata log terminal singularities. But  $\text{Sing}(S)$  is  $\bar{G}$ -invariant, which contradicts Lemma 5.7.

We see that  $S \neq Q \cap Q'$ . Therefore, it follows from Lemma 5.11 that either  $S$  is a cone over a smooth rational cubic curve, or  $S$  is a smooth cubic scroll.

If  $S$  is a cone, then its vertex is  $\bar{G}$ -invariant, which is impossible since  $G$  is transitive. Thus, we see that  $S$  is a smooth cubic scroll. Then there is a unique line  $L \subset S$  such that  $L^2 = -1$ , which implies that  $L$  must be  $\bar{G}$ -invariant, which is again impossible, because  $G$  is transitive. □

Let  $H$  be a hyperplane section of the surface  $S \subset \mathbb{P}^4$ .

**Lemma 5.13** *The equalities  $H \cdot H = -H \cdot K_S = 5$  and  $\chi(\mathcal{O}_S) = 0$  hold.*

**Proof** It follows from Corollary A.2 that there is  $m \geq 0$  such that  $h^0(\mathcal{O}_{\mathbb{P}^4}(3) \otimes \mathcal{I}) = 5m$ . Let us show that this is possible only if  $H \cdot H = -H \cdot K_S = 5$  and  $\chi(\mathcal{O}_S) = 0$ .

It follows from the Riemann–Roch theorem and Theorem 2.3 that

$$(5.14) \quad h^0(\mathcal{O}_S(nH)) = \chi(\mathcal{O}_S(nH)) = \chi(\mathcal{O}_S) + \frac{n^2}{2}(H \cdot H) - \frac{n}{2}(H \cdot K_S)$$

for any  $n \geq 1$ . It follows from Lemma 5.11, the equality (5.14) and the exact sequence (5.8) that

$$(5.15) \quad 5 = h^0(\mathcal{O}_S(H)) = \chi(\mathcal{O}_S) + \frac{1}{2}(H \cdot H) - \frac{1}{2}(H \cdot K_S),$$

and it follows from Lemma 5.12, the equality (5.14) and the exact sequence (5.8) that

$$(5.16) \quad 15 = h^0(\mathcal{O}_S(2H)) = \chi(\mathcal{O}_S) + 2(H \cdot H) - (H \cdot K_S).$$

It follows from Lemmas 2.9, 5.11 and 5.12 that  $4 \leq H \cdot H = \deg(S) \leq 10$ .

Suppose that  $H \cdot H = 10$ . It follows from the equalities (5.15) and (5.16) that  $\chi(\mathcal{O}_S) = 5$  and  $H \cdot K_S = H \cdot H = 10$ , which is impossible, because  $H \sim_{\mathbb{Q}} K_S + B_S + \Delta$ , where  $\Delta$  is ample and  $B_S$  is effective. Thus  $H \cdot H \leq 9$ .

It follows from the equalities (5.15) and (5.16) that

$$H \cdot K_S = 3\chi(\mathcal{O}_S) - 5 = 3(H \cdot H) - 20.$$

It follows from the equality (5.14) and the exact sequence (5.8) that

$$h^0(\mathcal{O}_{\mathbb{P}^4}(3) \otimes \mathcal{I}) = 35 - h^0(\mathcal{O}_S(3H)) = 35 - (\chi(\mathcal{O}_S) + \frac{9}{2}(H \cdot H) - \frac{3}{2}(H \cdot K_S)) = 5m,$$

which implies that  $H \cdot H = 5$ ,  $\chi(\mathcal{O}_S) = 0$  and  $H \cdot K_S = -5$ , because  $4 \leq H \cdot H \leq 9$ .  $\square$

Let  $\pi: U \rightarrow S$  be the minimal resolution of the surface  $S$ . Then  $\kappa(U) = -\infty$  and

$$1 - h^1(\mathcal{O}_U) = 1 - h^1(\mathcal{O}_S) = h^2(\mathcal{O}_S) = h^2(\mathcal{O}_U) = h^0(\mathcal{O}_U(K_U)) = 0,$$

because  $S$  has rational singularities and  $\kappa(U) = -\infty$  since  $H \cdot K_S = -5 < 0$ .

**Corollary 5.17** *The surface  $S$  is birational to  $E \times \mathbb{P}^1$ , where  $E$  is smooth elliptic curve.*

By Remark 2.13, there is a monomorphism  $\xi: \bar{G} \rightarrow \text{Aut}(Y)$ , which contradicts Corollary A.11.

The obtained contradiction completes the proof of Theorem 5.5.

## Appendix A Horrocks–Mumford group

Let  $\mathbb{H}$  be the Heisenberg group of all unipotent  $(3 \times 3)$ -matrices with entries in  $\mathbb{F}_5$ . Then

$$\mathbb{H} = \langle x, y, z \mid x^5 = y^5 = z^5 = 1, xz = zx, yz = zy, xy = zyx \rangle$$

for some  $x, y, z \in \mathbb{H}$ . There is a monomorphism  $\rho: \mathbb{H} \rightarrow \mathrm{SL}_5(\mathbb{C})$  such that

$$\rho(x) = \begin{pmatrix} 0 & 0 & 0 & 0 & 1 \\ 1 & 0 & 0 & 0 & 0 \\ 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 1 & 0 \end{pmatrix}, \quad \rho(y) = \begin{pmatrix} \zeta & 0 & 0 & 0 & 0 \\ 0 & \zeta^2 & 0 & 0 & 0 \\ 0 & 0 & \zeta^3 & 0 & 0 \\ 0 & 0 & 0 & \zeta^4 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{pmatrix},$$

where  $\zeta$  is a nontrivial fifth root of unity. Let us identify  $\mathbb{H}$  with  $\mathrm{im}(\rho)$ . Then  $Z(\mathbb{H}) \cong \mathbb{Z}_5$  and

$$\begin{pmatrix} \zeta & 0 & 0 & 0 & 0 \\ 0 & \zeta & 0 & 0 & 0 \\ 0 & 0 & \zeta & 0 & 0 \\ 0 & 0 & 0 & \zeta & 0 \\ 0 & 0 & 0 & 0 & \zeta \end{pmatrix} \in Z(\mathbb{H}),$$

where  $Z(\mathbb{H})$  is the center of  $\mathbb{H}$ . Let  $\phi: \mathrm{GL}_5(\mathbb{C}) \rightarrow \mathrm{PGL}_5(\mathbb{C})$  be the natural projection.

**Lemma A.1** [17, Section 1] *Let  $\chi: \mathbb{H} \rightarrow \mathrm{GL}_N(\mathbb{C})$  be an irreducible representation of  $\mathbb{H}$ . Then either  $N = 1$  and  $Z(\mathbb{H}) \subseteq \ker(\chi)$ , or  $N$  is divisible by 5.*

Take  $n \in \mathbb{Z}_{\geq 0}$ . Then  $\mathbb{H}$  naturally acts on  $H^0(\mathcal{O}_{\mathbb{P}^4}(n))$ .

**Corollary A.2** *Let  $V$  be a  $\mathbb{H}$ -invariant subspace in  $H^0(\mathcal{O}_{\mathbb{P}^4}(n))$ . Then either  $\dim(V)$  is divisible by 5, or  $n$  is divisible by 5.*

Let  $\mathbb{HM} \subset \mathrm{SL}_5(\mathbb{C})$  be the normalizer of the subgroup  $\mathbb{H}$ . Then there is an exact sequence

$$1 \longrightarrow \mathbb{H} \xrightarrow{\alpha} \mathbb{HM} \xrightarrow{\beta} \mathrm{SL}_2(\mathbb{F}_5) \longrightarrow 1,$$

and it follows from [17, Section 1] that there is a subgroup  $\mathbb{M} \subset \mathbb{HM}$  such that  $\mathbb{HM} = \mathbb{H} \rtimes \mathbb{M}$  and  $\mathbb{M} \cong \beta(\mathbb{M}) = \mathrm{SL}_2(\mathbb{F}_5) \cong 2.A_5$ . Put  $\bar{\mathbb{H}} = \phi(\mathbb{H})$  and  $\overline{\mathbb{HM}} = \phi(\mathbb{HM})$ . Then  $\overline{\mathbb{HM}}/\bar{\mathbb{H}} \cong \mathrm{SL}_2(\mathbb{F}_5)$  and  $\bar{\mathbb{H}} \cong \mathbb{Z}_5 \times \mathbb{Z}_5$ . Let  $Z(\mathbb{HM})$  be the center of the group  $\mathbb{HM}$ . Then  $Z(\mathbb{HM}) = Z(\mathbb{H}) \cong \mathbb{Z}_5$ .

**Corollary A.3** *The group  $\overline{\mathbb{HM}}$  is isomorphic to  $\mathbb{HM}/Z(\mathbb{HM})$ .*

Let  $G$  be a subgroup of the group  $\mathbb{HM}$  of index 5. Then  $G \cong \mathbb{H} \rtimes 2.A_4 \subset \mathbb{H} \rtimes 2.A_5$  and  $|\bar{G}| = 600$ , where  $\bar{G} = \phi(G)$ . Let  $Z(G)$  be the center of the group  $G$ . Then  $Z(G) = Z(\mathbb{HM}) = Z(\mathbb{H}) \cong \mathbb{Z}_5$ .

**Lemma A.4** *Let  $g$  be an element of the group  $\bar{G}$  such that  $gh = hg \in \bar{G}$  for every element  $h \in \bar{\mathbb{H}}$ . Then  $g \in \bar{\mathbb{H}}$ .*

**Proof** The required assertion follows from [17, Section 1]. □

**Lemma A.5** *Let  $F$  be a proper normal subgroup of  $2.A_4$ . Then either  $F \cong \mathbb{Z}_2$  is a center of the group  $2.A_4$ , or  $F \cong \mathbb{Q}_8$ , where  $\mathbb{Q}_8$  is the quaternion group of order 8.*

**Proof** The only nontrivial normal subgroup of the group  $A_4$  is isomorphic to the group  $\mathbb{Z}_2 \times \mathbb{Z}_2$ . □

**Lemma A.6** *The group  $\bar{\mathbb{H}}$  contains no proper nontrivial subgroups that are normal in  $\bar{G}$ .*

**Proof** Let  $\theta: \overline{\mathbb{H}\mathbb{M}} \rightarrow \text{Aut}(\bar{\mathbb{H}})$  be the homomorphism such that

$$\theta(g)(h) = ghg^{-1} \in \bar{\mathbb{H}}$$

for all  $g \in \overline{\mathbb{H}\mathbb{M}}$  and  $h \in \bar{\mathbb{H}}$ . Then  $\ker(\theta) = \bar{\mathbb{H}}$  by Lemma A.4.

The homomorphism  $\theta$  induces an isomorphism  $\tau: \mathbb{M} \rightarrow \text{SL}_2(\mathbb{F}_5)$ .

Let  $F \subset \mathbb{M}$  be a subgroup such that  $\beta(F) = \beta(G) \cong 2.A_4$ . Then  $G = \mathbb{H} \rtimes F$ .

Suppose that the group  $\bar{\mathbb{H}}$  contains a proper nontrivial subgroup that is a normal subgroup of the group  $\bar{G}$ . Let us consider  $\bar{\mathbb{H}}$  as a two-dimensional vector space over  $\mathbb{F}_5$ . Then  $\mathbb{F}_5^2 \cong \bar{\mathbb{H}} = V_0 \oplus V_1$ , where  $V_0$  and  $V_1$  are one-dimensional  $\tau(F)$ -invariant subspaces, since  $|2.A_4| = 24$  is coprime to 5.

By Lemma A.4, the homomorphism  $\tau$  induces a monomorphism

$$F \longrightarrow \text{GL}_1(\mathbb{F}_5) \times \text{GL}_1(\mathbb{F}_5) \cong \mathbb{Z}_4 \times \mathbb{Z}_4,$$

which implies that  $F$  is an abelian group, which is not the case. □

**Lemma A.7** *The group  $\bar{G}$  does not contain proper normal subgroups not containing  $\bar{\mathbb{H}}$ .*

**Proof** Suppose that  $\bar{G}$  contains a normal subgroup  $\bar{G}'$  such that  $\bar{\mathbb{H}} \not\subseteq \bar{G}'$ . Then the intersection  $\bar{G}' \cap \bar{\mathbb{H}}$  consists of the identity element in  $G$  by Lemma A.6. Hence

$$\bar{G}' \cong \beta(\bar{G}') \subseteq \beta(\bar{G}) \cong 2.A_4,$$

which implies that  $\bar{G}'$  is isomorphic to a normal subgroup of the group  $2.A_4$ .

Let  $\bar{Z}$  be the center of  $\bar{G}'$ . Then  $\bar{Z}$  is a normal subgroup of the group  $\bar{G}$ . Thus, we have  $\bar{Z} \cong \mathbb{Z}_2$  by Lemma A.5. Hence  $\bar{Z}$  is contained in the center of  $\bar{G}$ , which contradicts Lemma A.4. □

**Lemma A.8** *Let  $E$  be a smooth irreducible curve of genus  $g \leq 8$ . Then there is no monomorphism  $\bar{G} \rightarrow \text{Aut}(E)$ .*

**Proof** By classification of finite subgroups in  $\text{PGL}_2(\mathbb{C})$  the case  $g = 0$  is impossible. The cases  $2 \leq g \leq 8$  are impossible by [Theorem 2.14](#). Therefore, we may assume that  $E$  is an elliptic curve.

Let us consider  $E$  as an abelian group. Then there is an exact sequence

$$1 \longrightarrow E \xrightarrow{\iota} \text{Aut}(E) \xrightarrow{\nu} \mathbb{Z}_n \longrightarrow 1$$

for some  $n \in \{2, 4, 6\}$ .

Suppose that there is a monomorphism  $\theta: \bar{G} \rightarrow \text{Aut}(E)$ . Then  $\theta(\bar{\mathbb{H}}) \subset \iota(E)$ , because  $\iota(E)$  contains all the elements of  $\text{Aut}(E)$  of order 5.

Let  $g$  be any element of  $\bar{G}$  such that  $\theta(g) \in \iota(E)$ . Then  $\theta(g)\theta(h) = \theta(h)\theta(g)$  for every  $h \in \bar{\mathbb{H}}$ , because  $\iota(E)$  is an abelian group, and thus  $g \in \bar{\mathbb{H}}$  by [Lemma A.4](#). Hence  $\theta(\bar{G}) \cap \iota(E) = \theta(\bar{\mathbb{H}})$ , which implies that  $\nu(\bar{G}) \cong \beta(\bar{G}) \cong 2A_4$ , which is absurd.  $\square$

The main purpose of this section is to prove the following result.

**Theorem A.9** *Let  $E$  be a smooth elliptic curve. Then there is no exact sequence of groups*

$$(A.10) \quad 1 \longrightarrow G' \xrightarrow{\iota} \bar{G} \xrightarrow{\nu} G'' \longrightarrow 1,$$

where  $G'$  and  $G''$  are subgroups of the groups  $\text{Aut}(\mathbb{P}^1)$  and  $\text{Aut}(E)$ , respectively.

**Proof** Suppose that the exact sequence of groups [\(A.10\)](#) does exist. Then  $\iota$  is not an isomorphism, because the group  $\text{Aut}(\mathbb{P}^1)$  does not contain subgroups isomorphic to  $\bar{G}$ . The monomorphism  $\nu$  is not an isomorphism by [Lemma A.8](#). Then  $\bar{\mathbb{H}} \subset \iota(G')$  by [Lemma A.7](#). But  $\text{Aut}(\mathbb{P}^1)$  contains no subgroups isomorphic to  $\bar{\mathbb{H}}$ , which is a contradiction.  $\square$

**Corollary A.11** *There is no monomorphism  $\bar{G} \rightarrow \text{Bir}(E \times \mathbb{P}^1)$ , where  $E$  is a smooth elliptic curve.*

We believe that there is a simpler proof of [Theorem A.9](#).

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School of Mathematics, University of Edinburgh

James Clerk Maxwell Building, Mayfield Road, Edinburgh, EH93JZ, UK

Steklov Institute of Mathematics

8 Gubkina street, Moscow, 119991, Russia

IC, CS: Laboratory of Algebraic Geometry, GU-HSE

7 Vavilova street, Moscow 117312, Russia

[i.cheltsov@ed.ac.uk](mailto:i.cheltsov@ed.ac.uk), [shramov@mccme.ru](mailto:shramov@mccme.ru)

<http://www.maths.ed.ac.uk/cheltsov/>

Proposed: Gang Tian

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