

Continuity of discrete homomorphisms of diffeomorphism groups

SEBASTIAN HURTADO

Let M and N be two closed C^∞ manifolds and let $\text{Diff}_c(M)$ denote the group of C^∞ diffeomorphisms isotopic to the identity. We prove that any (discrete) group homomorphism between $\text{Diff}_c(M)$ and $\text{Diff}_c(N)$ is continuous. We also show that a nontrivial group homomorphism $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ implies that $\dim(M) \leq \dim(N)$ and give a classification of such homomorphisms when $\dim(M) = \dim(N)$.

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1 Introduction

Let M be a C^∞ manifold and let $\text{Diff}_c(M)$ be the group of C^∞ diffeomorphisms of M which are isotopic to the identity and compactly supported (if M is noncompact). A well-known theorem of Mather, Herman, Thurston and Epstein states that $\text{Diff}_c(M)$ as a discrete group is simple (see Banyaga [4]).

In [7], Filipckewicz proved the following result: Given two C^∞ manifolds M and N , the groups $\text{Diff}_c(M)$ and $\text{Diff}_c(N)$ are isomorphic (as discrete groups) if and only if the manifolds M and N are C^∞ diffeomorphic. Moreover, if such an isomorphism exists it is given by conjugation with a fixed element of $\text{Diff}(M)$. Filipckewicz's theorem implies in particular that two different smooth exotic 7-spheres have different diffeomorphism groups.

The focus of this paper is the study of abstract group homomorphisms between groups of diffeomorphisms. We will make no assumptions on the continuity of the homomorphisms. Let M and N be two manifolds and let $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ be a group homomorphism. Observe that the simplicity of these groups implies that Φ is either trivial or injective.

Some examples of homomorphisms of the type $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ are the following.

- (1) **Inclusion** If $M \subset N$ is an open submanifold of N , the inclusion gives a homomorphism $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$.

- (2) **Coverings** If $p: N \rightarrow M$ is a finite covering, every diffeomorphism $f \in \text{Diff}_c(M)$ can be lifted to a diffeomorphism \tilde{f} of N . These lifts in many cases give a group homomorphism. For example, this is the case when p is an irregular cover (ie $\text{Deck}(M/N) = \{\text{Id}\}$), as in this case the lift \tilde{f} of any diffeomorphism f is unique.
- (3) **Bundles** The unit tangent bundle $UT(M)$, the Grassmannian $\text{Gr}_k(M)$, consisting of k -planes in $T(M)$, product bundles, symmetric products and other bundles over M admit a natural action of $\text{Diff}_c(M)$.

Observe that all the homomorphisms described above are in some sense continuous maps from $\text{Diff}_c(M)$ to $\text{Diff}_c(N)$ in their usual C^∞ topologies. The main result of this paper shows that this is always the case when M is closed. Before stating our main result we need the following definition:

Definition 1.1 For any compact subset $K \subseteq M$, let $\text{Diff}_K(M)$ denote the group of diffeomorphisms in $\text{Diff}_c(M)$ supported in K . A group homomorphism $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ is *weakly continuous* if for every compact set $K \subseteq M$, the restriction $\Phi|_{\text{Diff}_K(M)}$ of Φ to $\text{Diff}_K(M)$ is continuous in the weak topology; see [Section 2.3](#).

Our main theorem is the following:

Theorem 1.2 *Let M and N be C^∞ manifolds. If $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ is a discrete group homomorphism, then Φ is weakly continuous.*

It is worth pointing out that there is no assumption on the dimensions of the manifolds. As a consequence of [Theorem 1.2](#), we will obtain a classification of all possible homomorphisms in the case when $\dim(M) \geq \dim(N)$.

Mann [16] gave a classification of all the nontrivial homomorphisms $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ in the case that N is 1-dimensional. She showed using one-dimensional dynamics techniques (Kopell's lemma, Szekeres theorem etc) that M must be one-dimensional and that these homomorphisms are what she described as "topologically diagonal".

In the case when $M = N = \mathbb{R}$, *topologically diagonal* means that Φ is given in the following way: take a collection of finite disjoint open intervals $I_j \subset \mathbb{R}$ and diffeomorphisms $f_j: M \rightarrow I_j$. For each diffeomorphism $g \in \text{Diff}_c(\mathbb{R})$ the action of $\Phi(g)$ in the interval I_j is given by conjugation by f_j , ie $\Phi(g)(x) = f_j g f_j^{-1}(x)$ for every $x \in I_j$. The action is defined to be the identity everywhere else.

We will prove a generalization of the previous result:

Theorem 1.3 *Let M and N be C^∞ manifolds, let N be closed and $\dim(M) \geq \dim(N)$. If $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ is a nontrivial homomorphism of groups, then $\dim(M) = \dim(N)$ and Φ is “extended topologically diagonal”.*

The definition of extended topologically diagonal is given in [Definition 3.12](#) and is a generalization of what we previously described as topologically diagonal by allowing the possibility of taking finite coverings of M and embedding them into N ; see [Definition 3.12](#).

Observe that as a corollary of [Theorem 1.3](#) we obtain another proof of Filipckewicz’s theorem. The result also gives an affirmative answer to a question of Ghys (see [\[11\]](#)) asking whether a nontrivial homomorphism $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ implies that $\dim(N) \geq \dim(M)$.

The rigidity results we obtain can be compared to analogous results obtained by Aramayona and Souto [\[1\]](#), who proved similar statements for homomorphisms between mapping class groups of surfaces and to deep results of Margulis about rigidity of lattices in linear groups; see [\[21, Chapter 5\]](#).

1.1 Ingredients and main idea of the proof

The key ingredient in the proof of [Theorem 1.2](#) is a theorem of E. Militon. This theorem says roughly that given a sequence h_n converging to the identity in $\text{Diff}_c(M)$ sufficiently fast (a geometric statement), one can construct a finite subset S of $\text{Diff}_c(M)$, such that the group generated by S contains the sequence h_n and such that the word length $l_S(h_n)$ (see [Definition 2.2](#)) of each diffeomorphism h_n in the alphabet S is bounded by a sequence k_n not depending on h_n (an algebraic statement); see [Theorem 2.3](#) for the correct statement. Militon’s theorem is a generalization of a result of Avila [\[3\]](#) about $\text{Diff}(\mathbb{S}^1)$ and is related to results of Calegari and Freedman [\[6\]](#).

Militon’s result is strongly related to the theme of distortion elements in geometry group theory; see Gromov [\[12, Chapter 3\]](#). An element f of a finitely generated group G with generating set S is called distorted if $\lim_{n \rightarrow \infty} l_S(f^n)/n = 0$.

Consider for example the group $\text{BS}(2, 1) = \{a, b \mid bab^{-1} = a^2\}$. This group can be thought as the group generated by the functions $a: x \mapsto x + 1$ and $b: x \mapsto 2x$ in $\text{Diff}(\mathbb{R})$. Observe that $b^n a b^{-n} = a^{2^n}$ for every n and therefore a is distorted (in some sense a is “exponentially” distorted).

An element $f \in \text{Diff}_c(M)$ is said to be recurrent in $\text{Diff}_c(M)$ if f satisfies that $\liminf d_{C^\infty}(f^n, \text{Id}) = 0$, for example an irrational rotation in \mathbb{S}^1 is recurrent (see [Section 2.3](#)). A corollary of Militon’s theorem is that any recurrent element in $\text{Diff}_c(M)$

is distorted in some finitely generated subgroup of $\text{Diff}_c(M)$. Even more, it implies that such an element is “arbitrarily distorted” in the sense that f could be made as distorted as one wants (see [6] for a precise definition). For a nice exposition of the concept of distortion in transformation groups and the definition of the different types of distortion, see [6].

Observe that distorted elements are preserved under group homomorphisms and therefore if one wants to understand the existence of a group homomorphism, it might be fruitful to understand the distortion elements of the groups involved in the homomorphism. One example of this approach can be seen in the work of Franks and Handel [10]. They proved that any homomorphism from a large class of higher rank lattices Γ (for example $\text{SL}_3(\mathbb{Z})$) into $\text{Diff}_\mu(S)$ (the group of diffeomorphisms of a surface preserving a measure μ) is finite using the fact that Γ has distorted elements and showing that any distorted element $f \in \text{Diff}_\mu(S)$ fixes the support of the measure μ . For a more concrete statement of this and a clear exposition, see Franks [9].

The main idea of how to use Milson’s theorem to obtain our rigidity results is illustrated in Section 2.2 with a motivating example that encloses the main idea of Theorem 1.2 and is the heart of this paper.

1.2 Outline

The paper is divided as follows. In Section 2, we will use Milson’s theorem to prove Lemma 2.1, which is the main tool to prove Theorem 1.2. In Section 3, we prove Theorem 1.3 assuming that Φ is weakly continuous; this section is independent of the other sections. In Section 4, we establish some general facts about two constructions in group actions on manifolds that we will use for the proof of Theorem 1.2. In Section 5, we show that Φ is weakly continuous using the results of Section 2 and the facts discussed in Section 4, finishing the proof of Theorems 1.2 and 1.3. Finally, in Section 6, we end up with some questions and remarks related to this work.

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2 Main technique

This is the most important section of the chapter: we will prove Lemma 2.1 which is a slightly weaker version of Theorem 1.2. The main ingredient in the proof of Lemma 2.1

is [Theorem 2.3](#). We will discuss a motivating example in [Section 2.2](#) which avoids some necessary technicalities in the proof of [Lemma 2.1](#) but contains the central point of our discussion.

2.1 Notation

Throughout this section we make use of the following notation: M and N denote C^∞ manifolds, $\text{Diff}_c(M)$ will be the group of C^∞ diffeomorphisms which are compactly supported and isotopic to the identity. The letter Φ will always be a discrete group homomorphism $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ unless stated otherwise. For a compact set K , we let $\text{Diff}_K(M)$ be the subgroup of $\text{Diff}_c(M)$ consisting of diffeomorphisms supported in a compact set K .

We will consider $\text{Diff}_c(M)$ as a topological space endowed with the weak topology. This topology is metrizable and we will denote by d any metric that induces such topology. For more information about the weak topology see [Section 2.3](#).

We are now in position to state the main lemma of this section.

Lemma 2.1 *Let M and N be C^∞ manifolds, let K be any compact subset of M and let $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ be a discrete group homomorphism. Suppose h_n is a sequence in $\text{Diff}_K(M)$ such that*

$$\lim_{n \rightarrow \infty} d_{C^\infty}(h_n, \text{Id}) = 0.$$

Then $\{\Phi(h_n)\}$ contains a subsequence converging to a diffeomorphism H , which is an isometry for a C^∞ Riemannian metric on N .

Observe that the isometry H in [Lemma 2.1](#) is necessarily homotopic to the identity, and therefore if the manifold N does not admit a metric with isometries homotopic to the identity (as for example is the case when N is a closed surface of genus $g \geq 2$), the previous theorem implies the continuity of the homomorphism Φ restricted to $\text{Diff}_K(M)$.

Before stating Milson's theorem, we will need the following standard definition:

Definition 2.2 *Let G be a finitely generated group and let S be a finite generating set. We assume that $S = S^{-1}$. Given an element $g \in G$, the word length $l_S(g)$ of g in S is defined as the minimum integer n such that g can be expressed as a product*

$$g = s_1 s_2 \cdots s_n,$$

where each $s_i \in S$. In a more technical language, $l_S(g)$ is the distance of g to the identity in the Cayley graph corresponding to S .

We are now in position to state Militon's theorem:

Theorem 2.3 *Let M be a compact manifold. There exist two sequences $\{\epsilon_n\} \rightarrow 0$ and $\{k_n\}$ of positive real numbers such that for any sequence h_n of diffeomorphisms in $\text{Diff}_c(M)$ satisfying*

$$d_{C^\infty}(h_n, \text{Id}) \leq \epsilon_n,$$

there exists a finite set $S \subset \text{Diff}_c(M)$ such that

- (1) h_n belongs to the subgroup generated by S ,
- (2) $l_S(h_n) \leq k_n$.

Remark 2.4 The previous theorem is also true in the case where M is not compact and $\text{Diff}_c(M)$ is replaced with $\text{Diff}_K(M)$ for a compact subset K of M .

Remark 2.5 The set S depends on the sequence h_n , but the sequences $\{\epsilon_n\}$ and $\{k_n\}$ are independent of the choice of h_n .

This result is a generalization of a theorem of Avila [3], who proved the same result for the $\text{Diff}_c(\mathbb{S}^1)$ case. The proof of the theorem is related to a construction of Calegari and Freedman [6], who showed that an irrational rotation of \mathbb{S}^2 is "arbitrarily distorted" in $\text{Diff}_c(\mathbb{S}^2)$.

For sake of completeness, we give a rough summary of how [Theorem 2.3](#) is proved in [3] and Militon [18]. The proof of [Theorem 2.3](#) has two steps. The first step is to show that an element in $\text{Diff}_c(M)$ close to the identity can be written as a product of commutators of elements which are close to the identity; this step is proved using a KAM theory technique. The first step allows one to reduce the general case to the case where all f_n are commutators supported in small open balls. The second step consists of using a finite set of diffeomorphisms to encode the sequence $\{f_n\}$ into a finite S ; this step uses some clever algebraic tricks similar to the ones used in the proof of the simplicity of $\text{Diff}_c(M)$ by Thurston (see [4, page 23]). The tricks depend heavily on the fact that the f_n are products of commutators.

To give a hint of how powerful [Theorem 2.3](#) can be for our purposes, observe the following. Let h_n be a sequence in $\text{Diff}_c(M)$ supported in a compact set K and converging to the identity in $\text{Diff}_c(M)$ as in [Theorem 2.3](#). As a consequence of [Theorem 2.3](#), the sequence $\{h_n\}$ is generated by a finite set of diffeomorphisms S and therefore the set $\Phi(S)$ generates a group containing $\{\Phi(h_n)\}$. As a consequence, there exists a compact set $K' \subset N$ (namely, the union of the support of the generators) such

that every element of the sequence $\{\Phi(h_n)\}$ is supported in K' . This statement is not easy to deduce by elementary means.

The following example is fundamental and contains the main idea in the proof of [Lemma 2.1](#).

2.2 Motivating example

Let \mathbb{S}^2 be the 2–sphere and let $\Phi: \text{Diff}_c(\mathbb{S}^2) \rightarrow \text{Diff}_c(\mathbb{S}^2)$ be a homomorphism of groups. As a consequence of [Theorem 1.3](#) we are going to show that Φ is induced by conjugation via an element of $\text{Diff}(\mathbb{S}^2)$. In particular, f and $\Phi(f)$ are conjugate in $\text{Diff}(\mathbb{S}^2)$ for every $f \in \text{Diff}_c(\mathbb{S}^2)$. In the next proposition we show that this is true for the most basic diffeomorphisms of \mathbb{S}^2 , namely rotations in $\text{SO}(3)$.

Proposition 2.6 *Let \mathbb{S}^2 be the 2–sphere and let $\Phi: \text{Diff}_c(\mathbb{S}^2) \rightarrow \text{Diff}_c(\mathbb{S}^2)$ be a group homomorphism. For any rotation R in $\text{SO}(3)$, we have that $C := \Phi(R)$ preserves a Lipschitz metric g . If the metric g happens to be C^∞ then C is conjugate to a rotation in $\text{Diff}_c(\mathbb{S}^2)$.*

Sketch of proof First consider the case when R is a finite-order rotation. In this case, the diffeomorphism C is an element of finite order, say of order n . If we take any Riemannian metric g' in \mathbb{S}^2 and we consider the average metric $g = \sum_{i=0}^{n-1} (C^i)^* g'$, it is easy to check that g is a Riemannian metric that is invariant under C . It follows from the uniformization theorem of Riemann surfaces that g is conformally equivalent to the standard metric in \mathbb{S}^2 . This implies that C is conjugate to a conformal map of finite order of \mathbb{S}^2 (an element of $\text{PSL}_2(\mathbb{C})$) and this easily implies that C is conjugate to a finite order rotation in $\text{SO}(3)$.

Now consider the case when R has infinite order (an irrational rotation). We want to imitate the proof of the finite order case: let g' be an arbitrary Riemannian metric and consider the sequence of average metrics $g_n = \frac{1}{n} \sum_{i=0}^{n-1} (C^i)^* g'$. If an appropriate subsequence of $\{g_n\}$ converges to a metric g , the metric g would be invariant under C and we would be able to conclude that C is conjugate to a rotation arguing in the same way as in the finite order case. The problem is that to obtain such a convergent subsequence, we need the sequence $\{(C^n)^*(g')\}$ to not behave badly.

The idea then is to obtain bounds in the derivatives of powers of C which would assure that the sequence of average metrics g_n have a nice convergent subsequence. Therefore, we are going to show that $\|D(C^n)\| \leq K$, for some fixed constant K and every integer n .

Suppose such a constant K does not exist. Then there is a subsequence $\{C^{m_n}\}$ such that $\|D(C^{m_n})\| \rightarrow \infty$. Passing through a subsequence, we can assume that the sequence of rotations R^{m_n} is convergent; let us assume it converges to the identity (if R^{m_n} converges to another rotation, a similar argument works). Furthermore, we can assume that $\|D(C^{m_n})\| \geq e^{e^{k_n}}$ and that $d_{C^\infty}(R^{m_n}, \text{Id}) \leq \epsilon_n$, for the constants ϵ_n and k_n in [Theorem 2.3](#).

Using [Theorem 2.3](#), we can find a finite set $S = \{s_1, s_2, \dots, s_l\}$ such that $l_S(R^{m_n}) \leq k_n$ for every n and so we can express $R^{m_n} = \prod_{j=1}^{l_n} s_{i_j}$ for some integers $l_n \leq k_n$. If we take a constant L such that for each generator $\|D(\Phi(s_m))\| \leq L$ for every $m \leq l$, we obtain

$$g(n) = \|DC^{m_n}\| = \left\| D\left(\prod_{j=1}^{l_n} \Phi(s_{i_j})\right) \right\| \leq \prod_{j=1}^{l_n} \|D(\Phi(s_{i_j}))\| \leq L^{k_n}.$$

This gives us a contradiction: on the one hand the sequence $\|D(C^{m_n})\|$ diverges superexponentially (greater than $e^{e^{k_n}}$), and on the other hand, it diverges at most exponentially (less than L^{k_n}).

It is not difficult to show this implies g_n has a convergent subsequence converging to a metric that a priori might be not smooth; see the proof of [Lemma 2.1](#) for more details. □

To promote the metric g in the previous proposition to an actual C^∞ metric we need to have control over higher-derivatives of diffeomorphisms. We would also need an analog for higher-order derivatives of the fact that for any $f, g \in \text{Diff}(\mathbb{S}^2)$, the inequality $\|D(f \circ g)\| \leq \|D(f)\| \|D(g)\|$ holds. The purpose of the next two subsections is to set up the right framework to achieve these tasks.

2.3 Norms of derivatives

In the next two sections we will define “norms” $\|\cdot\|_r$ of any element in $\text{Diff}_c(M)$ whose purpose is to measure how big the r -derivatives of a diffeomorphism are. We are going to use the word “norm” to refer to them but the functions $\|\cdot\|_r$ are not in any sense related to the various definitions of norms in the literature. This and the next subsection are technical and might be a good idea to skip them in a first read. If the reader decides to do that, he/she should have in mind that the r -norm $\|\cdot\|_r$ of a diffeomorphism is an analog of $\|D(\cdot)\|$ for the r -derivative and to take a look at [Lemma 2.11](#), where using the chain rule, we will obtain for any $f, g \in \text{Diff}_c(M)$ a bound for $\|f \circ g\|_r$ in terms of the r -norms of the diffeomorphisms f and g .

The group $\text{Diff}(M)$ has a natural topology known as the “weak topology”. This topology is defined as follows: take a locally finite covering by coordinate charts $\{(U_i, \phi_i)\}_i$ of M .

For every diffeomorphism $f \in \text{Diff}_c(M)$, every compact set $K \subset U_i$ such that $f(K) \subset U_j$, every integer $r \geq 0$ and every real number $\epsilon > 0$, there is a neighborhood $N_{K,\epsilon}^{i,j}(f)$ of f defined as the set of all diffeomorphisms $g \in \text{Diff}(M)$ such that $g(K) \subset U_j$ and such that for every $0 \leq k \leq r$,

$$\|D^k(\phi_j \circ f \circ \phi_i^{-1}) - D^k(\phi_j \circ g \circ \phi_i^{-1})\| \leq \epsilon,$$

where for any function $f: \mathbb{R}^n \rightarrow \mathbb{R}^n$, $D^k(f)$ denotes any k -partial derivative of a component of f .

The topology induced in $\text{Diff}_c(M)$ as a subset of $\text{Diff}(M)$ happens to be metrizable; $\text{Diff}_c(M)$ is actually a Frechét manifold (see Hirsch [14] for more details about this topology). We will denote by “ d_{C^∞} ” any metric which induces such topology in $\text{Diff}_c(M)$.

For the proof of Lemma 2.1 we will need our norm $\|\cdot\|_r$ to have two properties. The first property is that the r -norm should be able to tell when the r -derivatives of a sequence of diffeomorphisms $\{f_n\}$ is diverging or not. The second property is to have a bound for the r -norm of $f \circ g$ in terms of the r -norms of f and g . Based on these needs and the definition of the topology of $\text{Diff}_c(M)$, we will define for an integer $r \geq 1$ the r -norms in $\text{Diff}_c(M)$ as follows.

Let us start first with the case when $M \subseteq \mathbb{R}^n$ is an open subset. In this case, we define for any compactly supported diffeomorphism $f \in \text{Diff}_c(M)$ and for any $r \geq 1$,

$$\|f\|_r = \max \left| \frac{\partial^r f}{\partial x_{i_1} \partial x_{i_2} \dots \partial x_{i_r}} \right|.$$

The maximum is taken over all the partial derivatives of the different components of f and over all the points $x \in M$.

In order to define $\|\cdot\|_r$ for an arbitrary manifold, we use a covering set $\{U_i\}_i$ of M by coordinate charts satisfying the following properties.

- (1) Each U_i is diffeomorphic to a closed ball of \mathbb{R}^n by some diffeomorphism ϕ_i .
- (2) $(\text{int}(U_i), \phi_i)$ is a system of coordinate charts for M .
- (3) Every compact set K intersects a finite number of U_i .

The existence of such covering follows easily from the paracompactness of M .

Let us fix a compact set K in M . After choosing an arbitrary covering with the properties above, we define for every $f \in \text{Diff}_K(M)$

$$\|f\|_{r,K} = \sup_{i,j} \|\phi_j \circ f \circ \phi_i^{-1}\|_r.$$

The supremum is taken over all (i, j) such that $U_i \cap K \neq \emptyset$, $U_j \cap K \neq \emptyset$, and such that the expression $\phi_j \circ f \circ \phi_i^{-1}$ is well defined. The set of (i, j) satisfying these properties is finite and therefore the above expression is always finite.

Remark 2.7 Whenever the compact set K is clear in our context we will suppress the subindex K and denote $\|\cdot\|_{r,K}$ by $\|\cdot\|_r$, but it is important to take into account that $\|\cdot\|_r$ is depending on K .

Lemma 2.8 *Let f_n be a sequence in $\text{Diff}_K(M)$. Suppose there exist constants C and C_r (for every $r \geq 1$) such that*

- (1) $\|D(f_n)\| \leq C$,
- (2) $\|f_n\|_r \leq C_r$ for n sufficiently large (depending on r).

Then there is a subsequence of $\{f_n\}$ converging in the C^∞ topology to a diffeomorphism f satisfying $\|D(f)\| \leq C$ and $\|f\|_r \leq C_r$.

Proof From the bound $\|D(f_n)\| \leq C$, we conclude that the sequence f_n is equicontinuous, and by the Arzela–Ascoli theorem we obtain a subsequence f'_n of f_n converging uniformly to a continuous map $f: K \rightarrow M$.

Similarly, for a fixed integer $r \geq 1$, the inequality $\|f'_n\|_r \leq C_r$ implies there is a subsequence f''_n of f'_n such that the first $(r - 1)$ derivatives of f''_n converge uniformly in any fixed coordinate chart intersecting K . Using Cantor’s diagonal argument, we obtain a subsequence f'''_n of f''_n such that all the r -derivatives of f'''_n converge uniformly, for every coordinate chart involving K . This implies that the map f is C^∞ .

Using that $\|D(f_n)\| \leq C$, we can obtain that f is bi-Lipschitz and therefore invertible. In conclusion f is a diffeomorphism and satisfies $\|D(f)\| \leq C$. □

Observe that even though our definition of the $\|\cdot\|_r$ norms depends strongly on the choice of coordinates charts, the previous statement is independent of the particular choice.

2.3.1 Metrics In the proof of Lemma 2.1, we will also need to be able to measure when a sequence of metrics g_n is “diverging” in the C^∞ sense.

For a metric $g = \sum_{i,j} g_{i,j} dx_i dx_j$ defined in an closed sets $U \subseteq \mathbb{R}^n$, we define

$$\|g\|_r = \begin{cases} \max_{i,j,x \in U} |g_{i,j}(x)| & \text{for } r = 0, \\ \max_{i,j} \|g_{i,j}\|_r & \text{for } r \geq 1. \end{cases}$$

We defined the previous norm $\|\cdot\|_r$ just for metrics defined in closed subsets of \mathbb{R}^n , the reason being that this shortens the use of new terminology and the length of our proofs.

In the same spirit of Lemma 2.8, if a sequence of metrics g_n defined in a closed set $U \subset \mathbb{R}^n$ satisfies that $\|g_n\|_r \leq D_r$ for some fixed constants D_r , it is easy to show that g_n has a subsequence converging to a C^∞ metric g uniformly in each compact set. We will need to make use of the following fact, which is an easy consequence of the chain rule:

Lemma 2.9 *Let U, V be closed sets of \mathbb{R}^n . Let g be any Riemannian metric defined in U and let $H: V \rightarrow U$ be a diffeomorphism. Suppose for every $r \geq 1$, there exist constants C_r, D_r such that*

- (1) $\|g\|_r \leq D_r,$
- (2) $\|H\|_r \leq C_r.$

Then there are constants D'_r , just depending on C_k and D_k for $k \leq r + 1$, such that $\|H^(g)\|_r \leq D'_r$ for every r .*

Remark 2.10 $H^*(g)$ denotes the pullback of g under H .

2.3.2 Main inequality The next lemma is the analog for higher derivatives of the inequality $\|D(f \circ g)\| \leq \|D(f)\| \|D(g)\|$ that we announced previously.

Lemma 2.11 *For every integer $r \geq 2$, there exist constants K_r such that for any $f, g \in \text{Diff}_c(M)$ the following inequality holds:*

$$\|f \circ g\|_r \leq K_r (\max_{1 \leq l \leq r} \{\|f\|_l + \|g\|_l\})^{r+1}.$$

Proof We will first consider the case when $M = \mathbb{R}^n$. Applying the chain rule r times to each of the components of the partial derivatives of $f \circ g$ we obtain a sum of terms. Each term in this sum is the product of at most $r + 1$ partial derivatives of some f_i

and g_j (f_i, g_j denote components of f and g). The number of terms in this sum is at most a constant K_r (just depending on r and the dimension of M) and each of the terms is less than $\|f\|_l + \|g\|_l$ for some $1 \leq l \leq r$, therefore

$$\|f \circ g\|_r \leq K_r \left(\max_{1 \leq l \leq r} \{\|f\|_l + \|g\|_l\} \right)^{r+1}.$$

For an arbitrary manifold M , we take a covering by coordinate charts (U_i, ϕ_i) as in the definition of the r -norm. For every pair (i, j) such that $((f \circ g)^{-1}(U_j)) \cap U_i \neq \emptyset$ and every coordinate chart (U_k, ϕ_k) such that $f^{-1}(U_k)$ intersects the set $((f \circ g)^{-1}(U_j)) \cap U_i$, we have that

$$\begin{aligned} \|\phi_j \circ f \circ g \circ \phi_i^{-1}\|_r &= \|\phi_j \circ f \circ \phi_k^{-1} \circ \phi_k \circ g \circ \phi_i^{-1}\|_r \\ &\leq K_r \left(\max_{1 \leq l \leq r} \{\|\phi_j \circ f \circ \phi_k^{-1}\|_l + \|\phi_k \circ g \circ \phi_i^{-1}\|_l\} \right)^{r+1} \\ &\leq K_r \left(\max_{1 \leq l \leq r} \{\|f\|_l + \|g\|_l\} \right)^{r+1}. \end{aligned}$$

In the previous equation, the first inequality follows from the \mathbb{R}^n case proved previously. We can conclude the following:

$$\|f \circ g\|_r = \sup_{i,j} \|\phi_j \circ f \circ g \circ \phi_i^{-1}\|_r \leq K_r \left(\max_{1 \leq l \leq r} \{\|f\|_l + \|g\|_l\} \right)^{r+1}. \quad \square$$

2.4 Proof of Lemma 2.1

The following lemma is the only place where we use Milton’s theorem. Roughly, the lemma claims that there exists a compact set $\mathcal{K} \subset \text{Diff}_c(N)$ such that for any sequence h_n converging to the identity and n sufficiently large, $\Phi(h_n)$ is as close to \mathcal{K} as one wants. More precisely:

Lemma 2.12 *Let M and N be two manifolds, let K be any compact subset of M , and let $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ be a group homomorphism. There exists a compact set $K' \subseteq N$ and constants C and C_r such that for every sequence h_n in $\text{Diff}_K(M)$ such that*

$$\lim_{n \rightarrow \infty} d_{C^\infty}(h_n, \text{Id}) = 0,$$

the following hold for n sufficiently large:

- (1) $\Phi(h_n)$ is supported in K' .
- (2) $\|D(\Phi(h_n))\| \leq C$.
- (3) $\|\Phi(h_n)\|_r \leq C_r$.

Remark 2.13 How large n has to be in order for $\|\Phi(h_n)\|_r \leq C_r$ to hold might depend on each particular r . The r -norms $\|\cdot\|_r$ in N are defined with respect to the compact set K' in (1).

In the proof of [Lemma 2.12](#) we will use the following technical fact, which is a consequence of [Lemma 2.11](#).

Lemma 2.14 Fix an integer $r \geq 2$. Let K_i be the constants defined in [Lemma 2.11](#) and s_1, s_2, \dots, s_k be elements of $\text{Diff}_c(M)$. Let L be a constant such that

- (1) $\|s_j\|_i \leq L$ for every $1 \leq i \leq r$ and $1 \leq j \leq k$,
- (2) $\max_{1 \leq i \leq r} \{K_i, 2\} \leq L$.

Then the following inequality holds:

$$\|s_1 \circ s_2 \cdots \circ s_k\|_r \leq L^{(r+2)^{2k}}.$$

Proof The proof goes by induction on k ; the case $k = 1$ is obvious. Suppose it holds for an integer k . Using [Lemma 2.11](#), we have that

$$\begin{aligned} \|s_1 \circ s_2 \cdots \circ s_{k+1}\|_r &\leq L \left(\max_{1 \leq i \leq r} \{\|s_1 \circ s_2 \cdots s_k\|_i + \|s_{k+1}\|_i\} \right)^{r+1} \\ &\leq L \left(\max_{1 \leq i \leq r} \{\|s_1 \circ s_2 \cdots s_k\|_i + L\} \right)^{r+1} \\ &\leq L(L^{(r+2)^{2k}} + L)^{r+1} \leq L(2L^{(r+2)^{2k}})^{r+1} \\ &\leq L(L^{r+1} L^{(r+2)^{2k+1}}) = L^{(r+2)^{2k+1} + (r+2)}. \end{aligned}$$

Using that the inequality $(r + 2)^{2k+1} + (r + 2) \leq (r + 2)^{2(k+1)}$ holds for $r \geq 1$, the results follows for $k + 1$. □

Proof of Lemma 2.12 Existence of K' The proof goes by contradiction. Suppose such a compact set $K' \subset N$ does not exist. Using Cantor’s diagonal argument one can find a sequence $h_n \rightarrow \text{Id}$ such that the supports of $\Phi(h_n)$ are not contained in any compact set. Passing to a subsequence we can assume that $d_{C^\infty}(h_n, \text{Id}) \leq \epsilon_n$ for the sequence $\{\epsilon_n\}$ in [Theorem 2.3](#). Using [Theorem 2.3](#) we obtain a finite set $S = \{s_1, s_2, \dots, s_l\}$ such that the sequence $\{h_n\}$ is contained in the group generated by S . Applying Φ the same is true for the sequence $\{\Phi(h_n)\}$ and $S' = \{\Phi(s_1), \Phi(s_2), \dots, \Phi(s_l)\}$, therefore the support of all the $\Phi(h_n)$ lies in the compact set K'' given by the union of the supports of the elements in S' , which gives us a contradiction.

Bound for $\|D(\cdot)\|$ Suppose that no such constant C exists. Using a diagonal argument we can find a sequence $h_n \in \text{Diff}_K(M)$ converging to the identity and such that the sequence $g(n) := \|D(\Phi(h_n))\|$ diverges. Furthermore, passing to a subsequence we can assume $d_{C^\infty}(h_n, \text{Id}) \leq \epsilon_n$ and $\lim_{n \rightarrow \infty} \log(g(n))/k_n = \infty$, for the constants ϵ_n and k_n defined in [Theorem 2.3](#).

Using [Theorem 2.3](#) we can find a finite set $S = \{s_1, s_2, \dots, s_l\}$ in $\text{Diff}_c(M)$ generating a group containing all the h_n and such that $l_S(h_n) \leq k_n$ for every n . Therefore, we have $h_n = \prod_{j=1}^{l_n} s_{i_j}$ for some constants $l_n \leq k_n$. If we take a constant L such that $\|D(\Phi(s_i))\| \leq L$ for every generator s_i we get that

$$g(n) = \|D(\Phi(h_n))\| = \left\| \prod_{j=1}^{l_n} D(\Phi(s_{i_j})) \right\| \leq \prod_{j=1}^{l_n} \|D(\Phi(s_{i_j}))\| \leq L^{l_n} \leq L^{k_n}.$$

Therefore the inequality $\log(g(n))/k_n \leq \log(L)$ holds, contradicting the fact that $\log(g(n))/k_n$ diverges.

Bound for $\|\cdot\|_r$ The proof is similar to the previous case, but we will need to use [Lemma 2.14](#). Suppose that for a fixed integer r such constant C_r does not exist. In that case, we can find a sequence h_n converging to the identity and such that $g(n) := \|\Phi(h_n)\|_r$ diverges. Passing to a subsequence, we can further assume that $d_{C^\infty}(h_n, \text{Id}) \leq \epsilon_n$ and also that

$$(1) \quad \lim_{n \rightarrow \infty} \frac{\log \log(g(n))}{k_n} = \infty.$$

For the sequences (ϵ_n) and (k_n) in [Theorem 2.3](#).

Using [Theorem 2.3](#), we can find a finite set S generating a subgroup of $\text{Diff}_c(M)$ where the inequality $l_S(h_n) \leq k_n$ holds for every n . Let L be a constant such that $\|\Phi(g)\|_r \leq L$ for every $g \in S$ and which satisfies the hypothesis of [Lemma 2.14](#). Using [Lemma 2.14](#), we have that

$$g(n) = \|\Phi(h_n)\|_r \leq L^{(r+2)^{2k_n}}.$$

Taking logarithms in both sides we obtain

$$\log \log(g(n)) \leq 2k_n \log(r + 2) + \log(\log(L)),$$

which contradicts (1). □

Finally, we will formulate [Lemma 2.1](#) one more time and finish its proof.

Lemma 2.1 *Let M and N be C^∞ manifolds and let $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ be a group homomorphism. Suppose that h_n is a sequence in $\text{Diff}_c(M)$ supported in a compact set K and such that*

$$\lim_{n \rightarrow \infty} d_{C^\infty}(h_n, \text{Id}) = 0.$$

Then $\{\Phi(h_n)\}$ has a convergent subsequence, converging to a diffeomorphism H , which is an isometry for a C^∞ Riemannian metric on N .

Proof From Lemma 2.12 we conclude that given a fixed integer $r \geq 1$, for n large enough the inequality $\|\Phi(h_n)\|_r \leq C_r$ holds. Using Lemma 2.8 we can extract a convergent subsequence from $\{\Phi(h_n)\}$ which is converging to a C^∞ diffeomorphism H . Even more, taking powers of h_n we have the following. For every fixed integer k , the sequence $h_n^k \rightarrow \text{Id}$ and then the inequality $\|\Phi(h_n^k)\|_r \leq C_r$ holds for n large enough. Therefore we can conclude that $\|H^k\|_r \leq C_r$ for every integer k .

Fix a Riemannian metric g' on N and consider the sequence of metrics

$$g_n = \frac{1}{n+1} \sum_{k=0}^n (H^k)^* g'.$$

(The notation $(H^k)^* g'$ denotes the pullback of the metric g' under the diffeomorphism H^k .) Next, we will show that the sequence g_n has a subsequence converging to a C^∞ metric g , which is invariant under H .

To simplify the rest of the proof we will use the following notation. Take coordinate charts (U_i, ϕ_i) of N as in the definition of the $\|\cdot\|_r$ norms (see Section 2.3). For any metric g in N , let g^i be the metric defined in the closed set $\phi_i(U_i) \subset \mathbb{R}^n$ obtained by pullback, more concretely $g^i := (\phi_i^{-1})^* g$.

Going back to our proof, observe that a diagonal argument shows that g_n has a convergent subsequence if and only if in any coordinate chart, the corresponding sequence of metrics has a convergent subsequence. More concretely, we just need to show that for any fixed integer i , the sequence of metrics $\{g_n^i\}_n$ (as defined in the previous paragraph) has a convergent subsequence.

Observe that $g_n^i = \frac{1}{n+1} \sum_{k=0}^n ((H^k)^* g')^i$. From (1) in Lemma 2.12 the diffeomorphism H is supported in a compact set, therefore for each fixed i the set $\bigcup_k H^k(U_i)$ is contained in the union of a finite number of U_j and so we can assume it intersects nontrivially the sets U_l for $1 \leq l \leq j$. We define $D_r := \max_{1 \leq l \leq j} \|g^l\|_r$.

For every integer k and each $x \in U_i$, the point $H^k(x)$ lies in the interior of U_l for some $1 \leq l \leq j$. Thus we have that $((H^k)^* g)^i = (\phi_l \circ H^k \circ \phi_i^{-1})^* g^l$ in a small

ball around x . Using the inequalities $\|\phi_l \circ H^k \circ \phi_l^{-1}\| \leq C_r$ and $\|g^l\| \leq D_r$, we can apply [Lemma 2.9](#) to obtain constants D'_r such that $0 \leq \|((H^k)^*g)^i\|_r \leq D'_r$ for every integer $r \geq 1$. The previous inequality implies that $\|g_n^i\|_r \leq D'_r$ for every $n \geq 1$ and therefore our sequence $\{g_n^i\}_n$ has a convergent subsequence as we wanted.

In conclusion, we can extract from the sequence g_n a subsequence converging to a C^∞ metric g . To finish our proof we just need to show that the metric g is nondegenerate and invariant under H .

Nondegeneracy As the inequality $\|D(H^k)\| \leq C$ holds for every integer k , we conclude that for each vector $v \in TM$, each of the metrics g_n satisfy the inequality

$$\frac{g'(v, v)}{C^2} \leq g_n(v, v) \leq C^2 g'(v, v).$$

This implies that any convergent subsequence of $\{g_n\}$ converge to a nondegenerate metric and so our g is nondegenerate.

Invariance under H For any vector $v \in TN$, we have

$$\|H^*g_n(v, v) - g_n(v, v)\| = \frac{\|(H^{n+1})^*g(v, v) - g(v, v)\|}{n+1} \leq (C + 1) \frac{\|g(v, v)\|}{n+1}.$$

Taking limits for our subsequence in the previous inequality we obtain that the metric g is invariant under H . □

As a corollary, we obtain a proof of [Theorem 1.2](#) in the case N is noncompact.

Corollary 2.15 *Let M and N be C^∞ manifolds and suppose that N is noncompact. Then any group homomorphism $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ is weakly continuous.*

Proof Let K be any compact set in M . Arguing by contradiction, suppose h_n is a sequence converging to the identity in $\text{Diff}_K(M)$ but such that $\Phi(h_n)$ is not converging to the identity. We can suppose that $d_{C^\infty}(\Phi(h_n), \text{Id}) \geq C > 1$ for every $n \geq 1$. By [Lemma 2.1](#) there is a subsequence of $\Phi(h_n)$ converging to an isometry H in N and by (1) in [Lemma 2.12](#) the isometry H should be the identity outside a compact set K' of N , therefore H is the identity everywhere contradicting that $d_{C^\infty}(\Phi(h_n), \text{Id}) \geq C > 1$. □

3 Continuous case

3.1 Notation

Let M and N denote two C^∞ manifolds of dimension m and n respectively. For the rest of this section we will assume that N is closed. If U is an open subset of M , we

define

$$G_U = \{f \in \text{Diff}_c(M) \mid \text{supp}(f) \subset U\}.$$

We recall the definition of “weak continuity” given in [Section 1](#).

Definition 3.1 For any compact subset $K \subseteq M$, let $\text{Diff}_K(M)$ denote the group of diffeomorphisms in $\text{Diff}_c(M)$ supported in K . A group homomorphism $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ is *weakly continuous* if for every compact set $K \subseteq M$, the restriction $\Phi|_{\text{Diff}_K(M)}$ of Φ to $\text{Diff}_K(M)$ is continuous.

Remark 3.2 If M is closed, this definition is equivalent to Φ being continuous in the usual sense.

3.2 Weak continuity vs continuity

The concept of weak continuity for a group homomorphism $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ is not equivalent to our homomorphism Φ being continuous in the weak topology of $\text{Diff}_c(M)$ and one should not expect such continuity to hold. For example, take the open unit ball $B \subset \mathbb{R}^n$. The homomorphism induced by the inclusion $i: B \rightarrow \mathbb{R}^n$ is a group homomorphism which is not a continuous map in the weak topology.

Take a sequence of diffeomorphisms f_n supported in small disjoint balls B_n contained in B such that each B_n is centered at a point p_n and has radius r_n in such a way that the sequence p_n converges to a point in $\partial\bar{B}$, the radii $r_n \rightarrow 0$ and such that $D_{p_n}(f_n) = 2 \text{Id}$. The sequence f_n converges to the identity in $\text{Diff}_c(B)$ in the weak topology because restricted to every compact set $K \subset B$, the sequence f_n is the identity for large n . Nonetheless f_n does not converge in $\text{Diff}_c(\mathbb{R}^n)$ and therefore Φ is not continuous.

In this section, we will assume that Φ is always *weakly continuous*. The main purpose of this section is to establish the following result:

Theorem 3.3 *If $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ is a nontrivial weakly continuous homomorphism of groups, then $\dim(M) \leq \dim(N)$. If $\dim(M) = \dim(N)$, then Φ is extended topologically diagonal.*

The definition of “extended topologically diagonal” will be given in [Definition 3.12](#). The assumption of weak continuity is very strong for a homomorphism between groups of diffeomorphisms. As a proof of that, we recall the following classic result (see Montgomery and Zippin [[19](#), Chapter 5]):

Theorem 3.4 (Montgomery, Zippin) *Let Φ be a continuous homomorphism from a finite-dimensional Lie group G to the group $\text{Diff}_c(M)$ of C^∞ diffeomorphisms of a manifold M . Then the map $\pi: M \times G \rightarrow M$ given by $\pi(x, g) = \Phi(g)x$ is a C^∞ map in both variables (x, g) simultaneously.*

Corollary 3.5 *If $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ is weakly continuous and X is a compactly supported vector field in M generating a flow $f_t \in \text{Diff}_c(M)$, then $\Phi(f_t)$ is a flow in $\text{Diff}_c(N)$ generated by some vector field Y supported in N .*

Proof $H(t) = \Phi(f_t)$ defines a homomorphism from \mathbb{R} to $\text{Diff}(M)$. $H(t)$ is continuous by the weak continuity of Φ and therefore is a C^∞ flow by [Theorem 3.4](#). We obtain that $\Phi(f_t)$ is generated by some vector field Y in N . □

In our proofs, we will use the following known fact. For a proof of this fact, see [\[4\]](#).

Lemma 3.6 (Fragmentation property) *Let M be a manifold and \mathcal{B} be an open cover of M ; then $\text{Diff}_c(M)$ is generated by the following set:*

$$\mathcal{C} = \{f \in \text{Diff}_c(M) \text{ such that } \text{supp}(f) \subset B_0 \text{ for some } B_0 \in \mathcal{B}\}.$$

In the next two subsections we will construct some examples of homomorphisms between groups of diffeomorphisms when $\dim(M) = \dim(N)$.

3.3 Examples

When $\dim(M) = \dim(N)$, there are two fundamental ways of constructing examples of homomorphisms of the type $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$. The first one is to embed a finite number of copies of M into N and to act in such copies in the obvious way (topologically diagonal). The second one consists of homomorphisms coming from lifting diffeomorphisms of M to a covering N . The main theorem of this section shows that if Φ is continuous, all possible homomorphisms are combinations of these two examples. We will discuss these two fundamental examples in more detail.

3.3.1 Topologically diagonal

Definition 3.7 A homomorphism $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ is *topologically diagonal* if there exists a finite collection of disjoint open sets $U_i \subseteq N$ and diffeomorphisms $\rho_i: M \rightarrow U_i$, so that for every $f \in \text{Diff}_c(M)$ we have

$$\Phi(f)(x) = \begin{cases} \rho_i \circ f \circ \rho_i^{-1}(x) & \text{if } x \in U_i, \\ x & \text{otherwise.} \end{cases}$$

Observe that if $M \neq N$ and N is connected, then M has to be necessarily noncompact for the previous definition to make sense. The fact that $\{U_i\}_i$ is a finite collection is also necessary, even though we may be able to embed an infinite collection of manifolds M in a closed manifold N , if the collection is not finite, the homomorphism Φ will not be well defined. To better illustrate this last assertion, observe the following

Let $M = \mathbb{R}^n$ and suppose there is an infinite collection of open sets $U_i \subset N$ giving rise to a homomorphism Φ as in Definition 3.7. Take a diffeomorphism f supported in the unit ball around the origin in \mathbb{R}^n , such that $f(0) = 0$ and $Df(0) = 2 \text{Id}$.

Using the notation of ρ_i as in Definition 3.7, consider the sequence $\rho_i(0) = p_i$ in N . By assumption, $\{p_i\}_i$ is an infinite sequence and therefore has an accumulation point p in $N \setminus \bigcup_i U_i$. We have that $D(\Phi(f))(p_i) = 2 \text{Id}$, and by continuity we have that $D(\Phi(f))(p) = 2 \text{Id}$. It is not difficult to see by the compactness of N that there is a another set of points $q_i \rightarrow p$ such that $\Phi(f)q_i = q_i$ and that $D(\Phi(f))(q_i) = \text{Id}$, which implies that $D(\Phi(f))(p) = \text{Id}$, a contradiction.

3.3.2 Finite coverings Many times when N is a finite covering of M there is a homomorphism $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ obtained by lifting a given $f \in \text{Diff}_c(M)$ to an appropriate diffeomorphism \tilde{f} of N . For example, if M and N are closed hyperbolic surfaces, every diffeomorphism of M isotopic to the identity can be lifted to the universal covering \mathbb{H}^2 (hyperbolic plane). Even more, it can be lifted to a unique homeomorphism $f': \mathbb{H}^2 \rightarrow \mathbb{H}^2$ such that f' restricts to the identity in $\partial\mathbb{H}^2 = \mathbb{S}^1$. This lift commutes with all the covering translations, and so one can project f' to a diffeomorphism \tilde{f} of our covering surface N . The uniqueness of these lifts ensures that $\tilde{f}g = \tilde{f}\tilde{g}$. Therefore, we obtain a homomorphism $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$.

Unfortunately, this is not true for all covering maps $\pi: N \rightarrow M$. For example, if we let $M = N = \mathbb{S}^1$ and $\pi: \mathbb{S}^1 \xrightarrow{2} \mathbb{S}^1$, the obvious 2-cover, any of the lifts of the rotation r_2 in \mathbb{S}^1 of order 2, has order 4, and therefore it is impossible to construct a homomorphism Φ that commutes with p . The obstruction as we will see, comes from the fact that $\pi_1(\text{Diff}_c(\mathbb{S}^1)) \cong \mathbb{Z}$ is generated by a full rotation around the circle and that $\pi: \mathbb{S}^1 \xrightarrow{2} \mathbb{S}^1$ corresponds to the subgroup $2\mathbb{Z}$ of \mathbb{Z} .

In a more precise way, we have the following situation. Let $G = \text{Diff}_c(M)$, H be the group consisting of lifts of elements of G to N and L be the group of deck transformations corresponding to the covering map $\pi: N \rightarrow M$, we have an exact sequence of discrete groups

$$(2) \quad e \rightarrow L \rightarrow H \rightarrow G \rightarrow e.$$

We want to understand when this sequence splits.

For sake of completeness, we mention a useful criterion for proving this. For a proof, see Brown [5, page 104].

Lemma 3.8 *The sequence $e \rightarrow L \rightarrow H \rightarrow G \rightarrow e$ splits if and only if the two following conditions are satisfied.*

- *The natural map $H \rightarrow \text{Out}(L)$ lifts to $\text{Aut}(L)$.*
- *The element in $H^3(G, Z(L))$ defined by the exact sequence is trivial ($Z(L)$ denotes the centralizer of L).*

As a consequence of [Theorem 1.2](#) (whose proof will be given in [Section 5](#)) our homomorphism $\Phi: G \rightarrow H$ is continuous (when M and N are closed) and therefore showing that the previous sequence splits discretely is equivalent to show it splits continuously. Therefore, we just need to understand in which case there exists a continuous homomorphism $\Phi: G \rightarrow H$ which splits our sequence.

Observe that H is a covering of G , and so the existence of such continuous section Φ is equivalent to the covering H being trivial: H as a topological space should consist of the union of disjoint copies of G . This happens if and only if every element of L lies in different components of H . In conclusion, our sequence splits if there is no deck transformation L isotopic to the identity in H .

In conclusion, our exact sequence (2) splits if and only if there is no deck transformation L of the covering $\pi: N \rightarrow M$, which is isotopic to the identity in $\text{Diff}_c(N)$.

Observe that if there is a path $\gamma \in H$ connecting the identity to a nontrivial deck transformation L , projecting γ down to G we obtain a loop γ' representing a nontrivial element in $\pi_1(G, e)$, the fundamental group of G based at the identity.

To obtain a more precise statement of when this happens, we will need the following definition.

Take an arbitrary point $x \in M$. There is a natural evaluation map $E_x: \text{Diff}_c(M) \rightarrow M$ given by $E_x(f) = f(x)$ and so we have a natural homomorphism of fundamental groups

$$E_x^*: \pi_1(\text{Diff}_c(M), e) \rightarrow \pi_1(M, x).$$

Observe the following fact:

Proposition 3.9 *A closed loop $\gamma \in \pi_1(G, e)$ has a lift to H connecting the identity to a nontrivial deck transformation if and only if $E_x^*(\gamma)$ does not lift to a closed loop in N .*

Proof In one direction, if a loop $\gamma \in \pi_1(G, e)$ satisfies $E_x^*(\gamma) \notin \pi_1(N)$, the lift of $E_x^*(\gamma)$ to N is not a closed loop. Therefore, the lift of γ to $H \subset \text{Diff}_c(N)$ starting at the identity has to have the other endpoint in a nontrivial lift of the identity of $\text{Diff}_c(M)$, that is, a nontrivial deck transformation. The other direction is trivial. \square

Summarizing all the previous discussion, we have the following criterion:

Lemma 3.10 *Let $\pi : N \rightarrow M$ be a covering map. Then the following statements are equivalent:*

- (1) *There exists a unique continuous homomorphism $\pi^* : \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ such that for every $f \in \text{Diff}_c(N)$, the diagram*

$$\begin{array}{ccc}
 N & \xrightarrow{\pi^*(f)} & N \\
 \pi \downarrow & & \downarrow \pi \\
 M & \xrightarrow{f} & M
 \end{array}$$

commutes.

- (2) *The inclusion $E_x^*(\pi_1(\text{Diff}_c(M), e)) \subset \pi_1(N)$ holds.*

Observe that if f_t is a path in $\text{Diff}_c(M)$ representing a loop α of $\pi_1(\text{Diff}_c(M), e)$ based at the identity and γ_s is a loop based at x representing an element of $\pi_1(M)$, then the family of paths $\{f_t(\gamma_s)\}$ gives us a homotopy between the loops γ and $(E_x^*(f_t))^{-1}\gamma(E_x^*(f_t))$. This shows that the image of E_x^* is a central subgroup of $\pi_1(M)$. In particular, we obtain the following useful corollary:

Corollary 3.11 *If $\pi : N \rightarrow M$ is a covering map and $\pi_1(M)$ is centerless, then there is a homomorphism $\pi^* : \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ as in [Lemma 3.10](#).*

3.4 Extended topologically diagonal

Based on the previous two examples we make the following definition:

Definition 3.12 A homomorphism $\Phi : \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ is *extended topologically diagonal* (see [Figure 1](#)) if there exists a finite collection of disjoint open sets $U_i \subseteq N$ and a set of finite coverings $\pi_i : M_i \rightarrow M$, together with a collection of diffeomorphisms $\rho_i : M_i \rightarrow U_i$ such that for every $f \in \text{Diff}_c(M)$,

$$\Phi(f)(x) = \begin{cases} \rho_i \circ \pi_i^*(f) \circ \rho_i^{-1}(x) & \text{if } x \in U_i, \\ x & \text{otherwise,} \end{cases}$$

where $\pi_i^* : \text{Diff}_c(M) \rightarrow \text{Diff}_c(M_i)$ are the homomorphisms coming from the finite coverings π_i as in the previous subsection.

Remark 3.13 The coverings have to be finite in order for Φ to be an actual homomorphism into $\text{Diff}_c(N)$. See the comments at the end of [Section 3.3.1](#).

We will illustrate the previous definition with the following example:

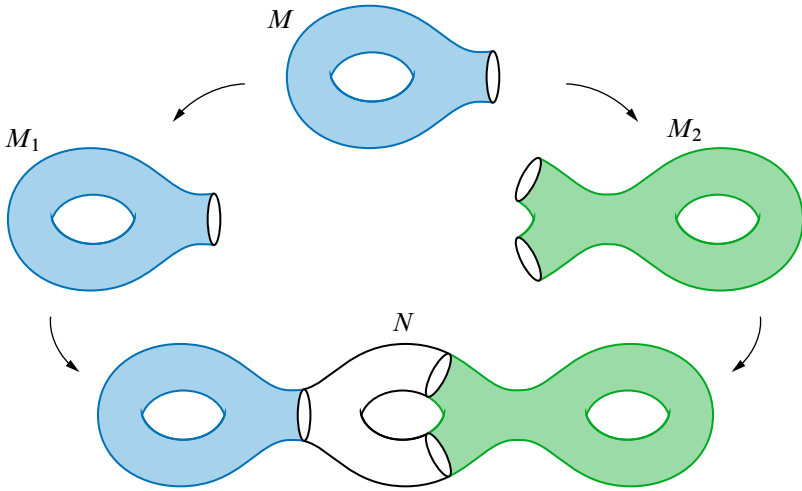


Figure 1: An extended topologically diagonal embedding

Example 3.1 Let M be the once-punctured 2–dimensional torus and let N be the closed orientable surface of genus 3. Take M_1 to be a copy of M and M_2 to be the twice-punctured torus (which is a double cover of M) as in [Figure 1](#). We embed M_1 and M_2 into N as illustrated in [Figure 1](#) and obtain a homomorphism $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$.

We are now in position to give a proof of the main result of this section.

3.5 Proof of [Theorem 3.3](#)

In order to prove [Theorem 3.3](#), we will need to make the following definitions:

Definition 3.14 For any group $G \subseteq \text{Diff}_c(N)$, we define

$$\text{supp}_1(G) = \{p \in N \text{ such that } g(p) \neq p \text{ for some } g \in G\}.$$

For each $x \in M$, let us define

$$S_x = \bigcap_{r>0} \text{supp}_1(\Phi(G_{B_r})),$$

where B_r is an open ball of radius r around x . We construct the sets S_x thinking of constructing the maps ρ_i in the definition of *extended topologically diagonal*. We would like to show that S_x consists of a discrete set of points and that the correspondence $S_x \rightarrow x$ give us a collection of coverings from some open sets of N to M .

Let $N' := N \setminus \text{fix}(\Phi(\text{Diff}_c(M)))$ be the set of points in N that are not fixed by the action of $\text{Diff}_c(M)$.

Lemma 3.15 *Let $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ be a nontrivial homomorphism. For every $x \in M$ we have the following.*

- (1) S_x is a nonempty set.
- (2) For any $f \in \text{Diff}_c(M)$, $\Phi(f)S_x = S_{f(x)}$.
- (3) $N' = \bigcup_{x \in M} S_x$.

Proof We start with the proof of item (3). If $p \in N'$, there is an element f_0 in $\text{Diff}_c(M)$ such that $\Phi(f_0)(p) \neq p$. Using the fragmentation property, we can express f as a product of diffeomorphisms supported in balls of radius one and so we obtain a diffeomorphism f_1 supported in $B_1 \subset M$ such that $\Phi(f_1)(p) \neq p$. We can iterate this inductive procedure and construct a diffeomorphism $f_n \in \text{Diff}_c(M)$ supported in a ball B_n of radius at most $1/n$, such that $B_n \subset B_{n-1}$ and such that $\Phi(f_n)p \neq p$. We define $x := \bigcap_n B_n$. It is clear that $p \in S_x$, so item (3) follows.

Item (2) follows by conjugating f and applying Φ . Item (1) is a direct consequence of (2) and (3). □

The following two lemmas are technical lemmas needed for the proof of [Lemma 3.18](#).

Lemma 3.16 *Given $x_0 \in M$ and $U \subseteq M$ an open set containing x_0 , there exists a neighborhood \mathcal{N}_{x_0} of x_0 in M and a continuous map $\Psi: \mathcal{N}_{x_0} \rightarrow G_U$ such that for every $x \in \mathcal{N}_{x_0}$ we have that $\Psi(x)(x_0) = x$.*

Proof Using coordinate charts is enough to check the case when $M = \mathbb{R}^n$ and $x_0 = 0$. For $1 \leq i \leq n$, let X_i be vector fields in \mathbb{R}^n supported in U such that $\{X_i(0)\}_i$ is a linearly independent set of vectors. If f_t^i are the flows generated by X_i , we have that $f_t^i \in G_U$.

We define for $t = (t_1, t_2, \dots, t_n) \in (-\epsilon, \epsilon)^n$, the map $\rho: (-\epsilon, \epsilon)^n \rightarrow \mathbb{R}^n$ by $\rho(t) = f_{t_1}^1 f_{t_2}^2 \cdots f_{t_n}^n(0)$. By our choice of X_i , for sufficiently small ϵ , ρ is a diffeomorphism onto a small neighborhood \mathcal{N}_0 of $0 \in \mathbb{R}^n$.

If $\rho^{-1}(x) = (t_1(x), t_2(x), \dots, t_n(x))$, we can define the map $\Psi: \mathcal{N}_0 \rightarrow G_U$ by $\Psi(x) = f_{t_1(x)}^1 f_{t_2(x)}^2 \cdots f_{t_n(x)}^n$. It is clear that Ψ is continuous and $\Psi(x)(0) = x$. □

Lemma 3.17 *Let $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}(N)$ be a weakly continuous homomorphism and let p be a point in N . There are no $n + 1$ pairwise disjoint open subsets $U_i \subset M$, $i = 1, 2, \dots, n + 1$, such that every subgroup $\Phi(G_{U_i})$ does not fix p .*

Proof Suppose that such a point p exists. As a consequence of the simplicity of G_{U_i} , any element of G_{U_i} can be written as a product of a finite number of flows. Therefore, for every $1 \leq i \leq n + 1$, there exists a flow f_t^i supported in U_i , such that $\Phi(f_t^i)$ does not fix p . Observe that the vector fields X_i generating the flows $\Phi(f_t^i)$ satisfy that $X_i(p) \neq 0$. Also, as $\Phi(f_t^i)$ and $\Phi(f_t^j)$ commute, $[X_i, X_j] = 0$ for every i, j .

Take a maximal collection of vector fields $\mathcal{C} = \{X_1, X_2, \dots, X_k\}$ in N such that $X_1(p), X_2(p), \dots, X_k(p)$ are linearly independent and such that all the X_j comes from flows in different $\Phi(G_{U_i})$. Observe that k must be less than or equal to n ; therefore we can assume without loss of generality that if f_t is any flow in $G_{U_{n+1}}$ and Y is the vector field corresponding to the flow $\Phi(f_t)$, then $Y(p)$ is a linear combination of elements in $\{X_1(p), X_2(p), \dots, X_k(p)\}$.

If we consider the open set

$$U = \{q \in N \mid X_1(q), X_2(q), \dots, X_k(q) \text{ are linearly independent}\},$$

the vector fields in \mathcal{C} define a foliation F on U by the Frobenius integrability theorem. Let L be the leaf of F containing p . Observe that as $Y(p)$ is a linear combination of elements of $\{X_1(p), X_2(p), \dots, X_k(p)\}$ and $[Y, X_i] = 0$, then we have that Y is tangent everywhere to the leaves defined by \mathcal{C} . It also follows that if $q \in U$, then $\Phi(g_t)q \in U$ for every t . In conclusion, we have that $\Phi(g_t)$ necessarily preserves L .

As the diffeomorphism g_t was an arbitrary flow in $G_{U_{n+1}}$, we have obtained a non-trivial homomorphism $\Psi: G_{U_{n+1}} \rightarrow \text{Diff}(L)$. Even more, the image of this map is abelian, since if Y and Z are vector fields coming from $\Phi(G_{U_{n+1}})$, both Y and Z commute with all the elements of \mathcal{C} , and therefore Y and Z can be shown to be linear combinations of vector fields in \mathcal{C} . This contradicts the simplicity of $G_{U_{n+1}}$. \square

Lemma 3.18 *If x, y are 2 distinct points on M , then $S_x \cap S_y = \emptyset$.*

Proof From Lemma 3.17, for any $n + 1$ different points x_1, x_2, \dots, x_{n+1} in M we have that $S_{x_1} \cap S_{x_2} \cap \dots \cap S_{x_{n+1}} = \emptyset$. Let k be the largest integer such that there exist x_1, x_2, \dots, x_k distinct points on M such that $S_{x_1} \cap S_{x_2} \cap \dots \cap S_{x_k} \neq \emptyset$. We are going to show that $k = 1$.

Let p be an arbitrary point in $S_{x_1} \cap S_{x_2} \cap \dots \cap S_{x_k}$. By Lemma 3.16, we can find pairwise disjoint neighborhoods \mathcal{N}_{x_i} of M and continuous maps $\Psi_i: \mathcal{N}_{x_i} \rightarrow \text{Diff}_c(M)$,

such that $\Psi_i(y)(x_i) = y$ for every $y \in \mathcal{N}_{x_i}$. Even more, we can suppose that the support of the elements in the image of Ψ_i and Ψ_j have disjoint support if $i \neq j$.

Let $V = \prod_i \mathcal{N}_{x_i} \subseteq M^k$. We define the map $\Psi: V \rightarrow N$ given by

$$\Psi(y_1, y_2, \dots, y_k) = \Phi\left(\prod_i \Psi_i(y_i)\right)(p).$$

We have that Ψ is continuous because Φ and all the Ψ_i are continuous. Observe that $(\prod_i \Psi_i(y_i))(x_l) = y_l$ for every $1 \leq l \leq k$, therefore $\Phi(\prod_i \Psi_i(y_i))(p) \in S_{y_1} \cap S_{y_2} \cap \dots \cap S_{y_k}$ by property (2) in [Lemma 3.15](#). By maximality of k , we obtain that Ψ is injective. It follows then that $\dim(M^k) \leq \dim(N)$ and therefore $k = 1$ and $\dim(M) = \dim(N)$. □

As a corollary of the last paragraph we obtain:

Corollary 3.19 *If $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ is a weakly continuous homomorphism and $\dim(N) < \dim(M)$, then Φ is trivial.*

Corollary 3.20 *If $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ is a weakly continuous homomorphism of groups and $\dim(M) = \dim(N)$, then for each $p \in N'$ we have that $\Phi(\text{Diff}_c(M))p$ is an open set of M .*

Using the previous corollary, we obtain that for our set $N' := N \setminus \text{fix}(\Phi(\text{Diff}_c(M)))$ defined before [Lemma 3.15](#), we have that $N' = \bigcup_i U_i$ where each U_i is an open set defined as $U_i := \Phi(\text{Diff}_c(M))p_i$ for some point $p_i \in N'$. Observe that each U_i is a connected open set where the action of $\text{Diff}_c(M)$ is transitive. To finish the proof of [Theorem 3.3](#), we just need to show the following:

Lemma 3.21 *If $\Phi(\text{Diff}_c(M))$ acts transitively on N , then there is a C^∞ covering map $\pi: N \rightarrow M$ such that for every $f \in \text{Diff}_c(M)$ the following diagram commutes:*

$$\begin{array}{ccc} N & \xrightarrow{\Phi(f)} & N \\ \pi \downarrow & & \downarrow \pi \\ M & \xrightarrow{f} & M \end{array}$$

In other words, $\Phi = \pi^*$ as in [Section 3.3.2](#).

Proof Let $\pi: N \rightarrow M$ be the map defined by $\pi(p) = x$ if $p \in S_x$. Observe that the map is well defined by Lemma 3.18 and is surjective by our transitivity assumption. Next, we will show that π is locally a C^∞ diffeomorphism.

Using Lemma 3.16, we can find a neighborhood \mathcal{N}_x of M and a continuous map $\Psi: \mathcal{N}_x \rightarrow \text{Diff}_c(M)$ such that $\Psi(y)(x) = y$ for every $y \in \mathcal{N}_x$. We can define the map $\rho: \mathcal{N}_x \rightarrow N$ given by

$$\rho(y) = \Phi(\Psi(y))(p).$$

Observe that ρ is continuous because Φ is weakly continuous and injective because $\rho(y) \in S_y$ and Lemma 3.18. We also have that $\pi \circ \rho = \text{Id}$ which implies that π is a covering map. To show that π is a C^∞ map it is enough to show that ρ is a C^∞ diffeomorphism onto its image.

The fact that ρ is smooth follows from Theorem 3.4: it is enough to prove that the image of a C^∞ embedded curve γ passing through x is a C^∞ curve. Given γ , we can construct a C^∞ compactly supported vector field X such that γ is a flow line of this vector field. The vector field X defines a flow f_t and by Corollary 3.5 we have that $\Phi(f_t)$ is a C^∞ flow. Observe that $\rho(f_t(x)) \in S_{f_t(x)} = \Phi(f_t)S_x$. Using that ρ is locally a homeomorphism for t sufficiently small we have that $\rho(f_t(x)) = \Phi(f_t)p$ and therefore $\rho(\gamma)$ is smooth. □

4 Blowups and gluing of actions

In the proof of Theorem 1.2 we are going to make use of the following two constructions on group actions on manifolds.

4.1 Blowups

Given a closed manifold N and a closed embedded submanifold $L \subset N$ of positive codimension, let $\text{Diff}_c(N, L)$ be the group of diffeomorphisms of N preserving L setwise. Suppose we have a group $G \subseteq \text{Diff}_c(N, L)$. We will show how to construct a natural action of G on N^σ , where N^σ is the manifold obtained by blowing up N along L .

Intuitively, the blowup N^σ is obtained by compactifying $N \setminus L$ by the unit normal bundle of L in N . More concretely, fix a Riemannian metric on N and let $N(L)$ be the unit normal bundle of our submanifold L in that metric. The normal neighborhood theorem states that the map

$$p_0: N(L) \times (0, \epsilon) \rightarrow N$$

defined by sending (v, t) to the point $\gamma_v(t)$ in N at distance t along the geodesic with initial vector v , is a diffeomorphism onto a neighborhood of L for sufficiently small ϵ .

We now define formally N^σ , the *blowup* of N along L , as

$$N^\sigma = (N(L) \times [0, \epsilon)) \cup (N \setminus L) / p_0.$$

The blowup N^σ is a compact manifold with $N(L)$ as its boundary and there is a canonical smooth map $\pi: N^\sigma \rightarrow N$. The smooth structure on the blowup N^σ does not depend on the metric, N^σ can be also described as the compactification of $N \setminus L$ by the set of directions up to positive scale in $\bigcup_{q \in L} (T_q N / T_q L)$.

In order to describe the smooth structure on N^σ in more detail, suppose for the rest of this section that L consists of a single point p (the more general case can be treated in a similar way).

Let x_1, x_2, \dots, x_n be coordinate charts around p in N , where $x_i(p) = 0$ for every $1 \leq i \leq n$ and let v be a direction up to positive scale in $T_p(N)$. Without loss of generality, assume that in our coordinate charts we have that $v = \langle v_1, v_2, \dots, v_{n-1}, 1 \rangle$. Then, there is a corresponding set of coordinate charts $\xi_1, \xi_2, \dots, \xi_{n-1}, x_n$ around v in N^σ , where v corresponds to the point $\xi_i = v_i, x_n = 0$ and the change of coordinates for $x_n > 0$ is given by $x_j = x_n \xi_j$.

The next lemma is the main fact that we need about blowups of actions and implies that for a given group action $\Phi: G \rightarrow \text{Diff}_c(N)$, preserving a submanifold L , there is a corresponding action $\Phi': G \rightarrow \text{Diff}_c(N^\sigma)$ in the corresponding blowup N^σ , more precisely:

Lemma 4.1 *There is a “blowup” map $\sigma: \text{Diff}_c(N, L) \rightarrow \text{Diff}_c(N^\sigma)$ such that for every $h \in \text{Diff}_c(N, L)$ the following diagram commutes:*

$$\begin{array}{ccc} N^\sigma & \xrightarrow{\sigma(h)} & N^\sigma \\ \pi \downarrow & & \downarrow \pi \\ N & \xrightarrow{h} & N \end{array}$$

Even more, σ is a group homomorphism.

Proof For any h in $\text{Diff}_c(N)$ we will define $\sigma(h)$ as follows: if $x \in N^\sigma \setminus \partial N^\sigma$ we define $\sigma(h)(x) = h(x)$. On the boundary ∂N^σ , we can define $\sigma(h)$ as the projectivization (up to positive scale) of the map $Dh(p): T_p N \rightarrow T_p N$. It is easy to see $\sigma(h)$ is continuous.

We need to check that $\sigma(h)$ is a smooth map: suppose in our coordinate charts x_1, x_2, \dots, x_n around p in N that the diffeomorphism h is given locally by $h = (h_1, \dots, h_n)$, where p correspond to $0 \in \mathbb{R}^n$ and $h(0) = 0$. Given a direction v (up to positive scale) at the origin, such as $v = \langle v_1, v_2, \dots, v_{n-1}, 1 \rangle$, there exists some j such that $\nabla h_j(p)(v_i, 1) \neq 0$, so we can assume that $\nabla h_j(p)(v_i, 1) > 0$. In our coordinate charts $\xi_1, \xi_2, \dots, \xi_{n-1}, x_n$ explained previously, we have that $\sigma(h)$ is given by $\sigma(h) = (h_1^\sigma, h_2^\sigma, \dots, h_n^\sigma)$ where

$$h_i^\sigma(\xi, x_n) = \begin{cases} \frac{h_i(x_n \xi, x_n)}{h_j(x_n \xi, x_n)} & \text{if } i \neq j, \\ h_j(x_n \xi, x_n) & \text{if } i = j, \end{cases}$$

where $\xi := \langle \xi_1, \xi_2, \dots, \xi_{n-1} \rangle$ and $x_n \neq 0$.

When $x_n = 0$ (in the boundary), we have that

$$h_i^\sigma(\xi, 0) = \lim_{x_n \rightarrow 0} \frac{h_i(x_n \xi, x_n)}{h_j(x_n \xi, x_n)} = \frac{\nabla h_i(0)\langle \xi, 1 \rangle}{\nabla h_j(0)\langle \xi, 1 \rangle}.$$

Additionally, observe that we have $\frac{\partial}{\partial x_n} h_j(x_n \xi, x_n) = \nabla h_j(x_n \xi, x_n)(\xi, 1)$ and that $\nabla h_j(0)(\xi, 1) \neq 0$.

All the previous formulas imply that the derivatives of any order of $\sigma(h)$ exist for points in the boundary ∂N^σ and therefore $\sigma(h)$ belongs to $\text{Diff}_c(N^\sigma)$.

We still need to show that $\sigma: \text{Diff}_c(N, L) \rightarrow \text{Diff}(N^\sigma)$ is a group homomorphism, more precisely, we need to show that for any $f, g \in \text{Diff}_c(N, L)$, the equality $\sigma(fg) = \sigma(f)\sigma(g)$ holds. This equality is obvious for points in $N^\sigma \setminus \partial N^\sigma$ and it follows from the chain rule for points in ∂N^σ . □

For more details and other aspects about the previous blowup construction, see Kronheimer and Mrowka [15, Section 2.5]. A related blowup for diffeomorphisms is studied in great detail in Arone and Kankaanrinta [2].

4.2 Gluing actions along a boundary

Given N_1 and N_2 two compact manifolds with nonempty boundary, suppose there is a diffeomorphism $\alpha: \partial N^1 \rightarrow \partial N^2$. Denote by $N = N^1 \cup N^2$ the manifold obtained by gluing N^1 and N^2 along the boundary using α . Suppose we have actions $\Phi_i: G \rightarrow \text{Diff}_c(N^i)$ in such a way that the actions of every element $g \in G$ coincide in the common boundary. More precisely, suppose that for each $g \in G$, the equality $\Phi_2(g) = \alpha \circ \Phi_1(g) \circ \alpha^{-1}$ holds for points in ∂N^2 .

In general, if we glue $\Phi_1(g)$ and $\Phi_2(g)$ along the common boundary, we will not obtain a C^∞ diffeomorphism of N . Nonetheless, the following theorem of Parkhe [20] gives a way to modify the actions in such a way that the resulting glued action of G on our manifold N is a truly C^∞ action.

Theorem 4.2 *There are homeomorphisms Ψ_1 and Ψ_2 of N^1 and N^2 with the following properties.*

- (1) *For any $f \in \text{Diff}_c(N^i)$, the map $\Psi_i f \Psi_i^{-1} \in \text{Diff}_c(N^i)$.*
- (2) *If $f_1 \in \text{Diff}_c(N^1)$ and $f_2 \in \text{Diff}_c(N^2)$ coincide in the common boundary, then the diffeomorphism f defined by $\Psi_i f_i \Psi_i^{-1}$ in N^i for $i = 1, 2$ is a C^∞ diffeomorphism of N .*

Thus, if we define new homomorphisms $\Phi'_i: G \rightarrow \text{Diff}_c(N_i)$ by $\Phi'_i(g) = \Psi_i \Phi_i(g) \Psi_i^{-1}$, we can glue both Φ'_1 and Φ'_2 to obtain a homomorphism $\Phi': G \rightarrow \text{Diff}_c(N)$.

5 Proof of Theorem 1.2

In this section, we will use the results of Section 2 together with the facts discussed in Section 4 to give a proof of Theorem 1.2. This result along with Theorem 3.3 completes also the proof of Theorem 1.3.

From now on, we denote $G = \text{Diff}_c(\mathbb{R}^n)$. As in Section 3, if B is an embedded open ball contained in \mathbb{R}^n , we denote

$$G_B = \{f \in \text{Diff}_c(M) \mid \text{supp}(f) \subset B\}.$$

In the proof of Theorem 1.2 we are going to make use of the following basic fact:

Lemma 5.1 *We say Φ is weakly continuous if for some ball $B \subset M$ whose closure is a closed ball embedded in M . We have that the restriction $\Phi|_{G_B}$ of Φ to the subgroup G_B is continuous.*

Proof Conjugating with elements of $\text{Diff}_c(M)$ we get that for any embedded ball B' , the homomorphism $\Phi|_{\text{Diff}_c(B')}$ is continuous. From the proof of the fragmentation lemma [4, Lemma 2.1.8], one obtains that if the sequence f_n tending to Id is supported in a compact set K and \mathcal{C} is a finite covering of K by sufficiently small balls, then for large n , the diffeomorphisms f_n can be written as products of diffeomorphisms supported in open sets of \mathcal{C} . Furthermore, we can achieve this with at most k factors for each open set of \mathcal{C} (for some constant k) and it can be done in such a way that these diffeomorphisms are also converging to the identity. \square

We will proceed to give an outline of our main result, [Theorem 1.2](#).

Outline of the proof of [Theorem 1.2](#) The proof goes by induction in the dimension of N . Consider any open ball B whose closure is a closed ball embedded in M . By [Lemma 5.1](#), to show that Φ is weakly continuous, it is enough to show that Φ is weakly continuous when we restrict to the subgroup G_B .

We are going to use many times the following fact: Φ is weakly continuous if there is no sequence f_n in G_B , such that $f_n \rightarrow \text{Id}$ and $\Phi(f_n) \rightarrow A$, where A is a nontrivial isometry in some Riemannian metric on N . This fact is an immediate consequence of [Lemma 2.1](#).

Assume such a nontrivial isometry A exists. If we take a ball B' disjoint from B , the actions of $G_{B'}$ and A commute. If A has a nontrivial fixed point set L , then $G_{B'}$ preserves L setwise, a manifold of lower dimension than N . Therefore, we can blowup the action at L and show by induction that Φ is continuous.

If A acts freely instead, we will obtain an action of $G_{B'}$ on the space $N' := N/H$, where $H = \overline{\langle A \rangle}$ is the closure of the subgroup generated by A . If the group H is infinite, N' is a manifold of lower dimension than N and we can proceed to prove the continuity by induction. If H is finite, we will show a way to replace H by a similar infinite group of isometries \hat{H} to proceed as in the case where H is infinite. \square

In the proof of [Theorem 1.2](#), we are going to make use of the following known fact:

Lemma 5.2 *If N is a closed Riemannian manifold and H is a nontrivial closed connected subgroup of the group of isometries of N , then $\text{fix}(H) = \{p \in N \mid h(p) = p \text{ for every } h \in H\}$ is a closed submanifold of positive codimension.*

We will also need the following technical lemma:

Lemma 5.3 *If $\Phi: \text{Diff}_c(B_0) \rightarrow \text{Diff}_c(N)$ is a weakly continuous homomorphism, then there exists an embedded open ball $B_2 \subset B_1$ and a point $p \in N$ such that for any diffeomorphism f supported in B_2 , the equality $\Phi(f)p = p$ holds.*

Proof Define $n = \dim(N) + 1$ and consider an arbitrary point $p \in N$. For $1 \leq i \leq n$, let U_i be disjoint embedded balls contained in B_1 . Using [Lemma 3.17](#), we conclude that for at least one of these balls, which we denote by B_2 , the restricted homomorphism $\Phi|_{G_{B_2}}$ fixes p . \square

By [Lemma 5.1](#), [Theorem 1.2](#) is a direct consequence of the following:

Lemma 5.4 *Let N be a closed manifold. If $\Phi: \text{Diff}_c(\mathbb{R}^m) \rightarrow \text{Diff}_c(N)$ is a group homomorphism, then Φ is weakly continuous.*

Proof We proceed by induction on the dimension of N . The proof for the case $n = 0$ is trivial and the case $n = 1$ is proved in [16].

Let B be the unit ball in \mathbb{R}^n . Suppose that Φ is not weakly continuous. By Lemma 2.1, there is a ball $B_0 \subset B$ strictly contained in B and a sequence $f_n \in G_{B_0}$ such that $f_n \rightarrow \text{Id}$ and $\Phi(f_n) \rightarrow A$, where A is a nontrivial isometry for some Riemannian metric on N . Defining $H := \overline{\langle A \rangle}$, H is a closed subgroup of the Lie group of isometries of N .

For the rest of the proof, we let $B_1 \subseteq B$ be an embedded ball disjoint from B_0 . Observe that as B_0 and B_1 are disjoint, the groups $\Phi(G_{B_0})$ and $\Phi(G_{B_1})$ commute.

First we show that we can assume H acts freely:

Lemma 5.5 *If H does not act freely, then Φ is weakly continuous.*

Proof Suppose that H does not act freely. We can replace our group H with a nontrivial stabilizer of some point $p \in N$ and then assume that $L = \text{fix}(H) \neq \emptyset$. By Lemma 5.2, L is a closed submanifold of positive codimension.

Observe that the action of $\Phi(G_{B_1})$ on N commutes with the action of H and therefore L is invariant under $\Phi(G_{B_1})$. Using Lemma 4.1 we can blowup the action Φ at L to obtain an action $\Phi^\sigma: G_{B_1} \rightarrow N^\sigma$. This new action preserves the boundary $\partial N^\sigma = N(S)$, and therefore we obtain a homomorphism $\Phi^\sigma|_{\partial N^\sigma}: \text{Diff}_c(\mathbb{R}^m) \rightarrow \text{Diff}(\partial N^\sigma)$ that by the inductive hypothesis is weakly continuous.

To prove that Φ is weakly continuous, we will need to define the following objects: Let N^1 and N^2 be two copies of N^σ . Additionally, let $N' := N^1 \cup N^2$ be the manifold obtained by gluing N^1, N^2 in the obvious way. Finally, consider the homomorphisms $\Phi_i: G_{B_1} \rightarrow \text{Diff}_c(N^i)$ equal to Φ^σ for $i = 1, 2$.

Observe that the previous homomorphisms define an action of G_{B_1} on N' , but this action might not be smooth in the submanifold corresponding to the glued boundaries. Using the gluing theorem described in Section 4.2, we can conjugate the actions in each N^i by homeomorphisms and obtain a smooth action $\Phi': G_{B_1} \rightarrow \text{Diff}_c(N')$.

We are now going to make use of the following trick: we will show that Φ' is weakly continuous using the induction hypothesis and then we will show that the continuity of Φ' implies the continuity of Φ .

Let us show that $\Phi': G_{B_1} \rightarrow \text{Diff}_c(N')$ is weakly continuous. By Lemma 2.1, it is enough to show the following: if $f_n \in G_{B_1}$ is a sequence such that $f_n \rightarrow \text{Id}$ and $\Phi'(f_n) \rightarrow A$, where A is an isometry for N' , then A is trivial.

By the inductive hypothesis, the restriction of Φ' to the submanifold corresponding to the glued boundaries is continuous, therefore A is trivial in a codimension-one submanifold. As any orientation-preserving isometry that is trivial in a codimension-one submanifold is trivial everywhere, we obtain that A must be trivial.

Now we show that the weak continuity of Φ' implies Φ is weakly continuous as well. Let f_n be a sequence in G_{B_1} such that $f_n \rightarrow \text{Id}$ and $\Phi(f_n) \rightarrow A$, where A is an isometry of N . From the previous paragraph, we have that $\Phi'(f_n) \rightarrow \text{Id}$. This implies that $\Phi^\sigma(f_n) \rightarrow \text{Id}$ (at least topologically) and therefore $\Phi(f_n) \rightarrow \text{Id}$. In conclusion, A must be trivial and by Lemma 2.1, the homomorphism Φ is continuous. \square

We can now assume that the action of H in N is free. Next, we will prove that if H is infinite, then Φ is continuous.

Lemma 5.6 *If H is infinite and acts freely, then Φ is weakly continuous.*

Proof Let B_1 be a ball in M disjoint from B_0 . The actions of $\Phi(G_{B_1})$ and H on the manifold N commute. If we let $N' := N/H$, then N' is a smooth manifold because the action is free. The dimension of N' is lower than the dimension of N , moreover, we have that Φ descends to a homomorphism $\Phi': G_{B_1} \rightarrow \text{Diff}_c(N')$.

By induction, Φ' is continuous. Let $p \in N'$ be an arbitrary point. By Lemma 5.3, there is a ball $B_2 \subseteq B_1$ such that $\Phi'(G_{B_2})p = p$. Therefore, $\Phi(G_{B_2})$ preserves the manifold $H_p = \{h(p) \mid h \in H\}$, furthermore we can assume that the dimension of H_p is lower than the dimension of N . In conclusion, we obtain a homomorphism $\Phi'': G_{B_2} \rightarrow \text{Diff}_c(H_p)$ that by the inductive hypothesis is continuous.

We are going to deduce the weak continuity of Φ from the continuity of Φ' and Φ'' . By Lemma 2.1, it is enough to show that if $f_n \in G_{B_2}$ is a sequence such that $f_n \rightarrow \text{Id}$ and $\Phi(f_n) \rightarrow A$, where A is an isometry of N , then $A = \text{Id}$.

Take a point $q \in H_p$. We are going to show that $A(q) = q$ and that the derivative $D_q(A)$ of A at q is trivial. Given that A is an isometry of some metric, these two facts are enough to show that A is trivial. The fact that $A(q) = q$ follows from the fact that $\Phi''(f_n) \rightarrow \text{Id}$.

We now prove that the derivative $D_q A$ is trivial. We can split the tangent space $T_q(N)$ of N at q as

$$T_q(N) = T_q(H_p) \oplus W,$$

where $T_q(H_p)$ is the tangent space of H_p at q and W is the orthogonal complement of $T_q(H_p)$ in $T_q(N)$. Observe that as $\Phi''(f_n) \rightarrow \text{Id}$, then $D_q A$ preserves $T_q(H_p)$ and $D_q|_{T_q(H_p)} = \text{Id}$. In a similar way, considering the fact that $\Phi'(f_n) \rightarrow \text{Id}$, if we let π_W denote the orthogonal projection of $T_q(N)$ onto W , we have that $\pi_W \circ D_q A = \text{Id}$.

These two facts imply that the linear map $D_q(A)$ must be the identity: $D_q(A)$ has a matrix decomposition in blocks, each block corresponding to the subspaces $T_q(H_p)$ and W . In this decomposition, $D_q A$ is an upper-triangular matrix and the diagonal blocks are the identity, therefore, all the eigenvalues of $D_q A$ are equal to one. Since A is an isometry, $D_q A$ is an orthogonal matrix will all its eigenvalues equal to one and therefore $D_q A$ must be the identity.

Thus $A(q) = q$ and $D_q A = \text{Id}$. As A is an isometry, A must be trivial. □

The only remaining case to finish the proof of Lemma 5.4 is the case where H is a finite group and H acts freely on N . We will show that if Φ were not continuous, we can replace H with an infinite group of isometries \hat{H} that would reduce the proof of this case to the previous two lemmas.

Lemma 5.7 *If H is finite and acts freely, one of the following alternatives hold.*

- (1) Φ is weakly continuous.
- (2) There exists a metric on N and an infinite subgroup of isometries \hat{H} of N , together with an embedded ball $B' \subseteq \mathbb{R}^n$ such that the action of $\Phi(G_{B'})$ commutes with the action of \hat{H} .

Proof Let $N_0 := N$, $H_0 := H$, $N_1 := N_0/H_0$ and let B_1 be a ball in M disjoint from B_0 . The homomorphism Φ descends to a homomorphism $\Phi_1: G_{B_1} \rightarrow \text{Diff}(N_1)$ as the groups G_{B_1} and H_0 commute with each other. One can check that if Φ_1 is continuous, then Φ is continuous. Therefore, if Φ_1 is not continuous, there is a sequence $f_{n,1} \in G_{B_1}$ such that $f_{n,1} \rightarrow \text{Id}$ and such that $\Phi_1(f_{n,1}) \rightarrow A_1 \in \text{Diff}(N_1)$, where A_1 is a nontrivial isometry on some metric on N_1 . Let $H_1 = \langle A_1 \rangle$. If H_1 does not act freely or H_1 is infinite, we can use Lemmas 5.5 and 5.6 to conclude that Φ_1 is continuous; therefore we can assume H_1 is finite and fixed point free.

We are now in the same situation we were in at the beginning of the proof of this lemma. For that reason, we can repeat this procedure infinitely many times as follows:

We construct for each integer k , the following objects: a ball $B_k \subset B$ disjoint from the balls B_j for $j < k$, a closed manifold $N_k = N_{k-1}/H_{k-1}$ and a group homomorphism $\Phi_k: G_{B_k} \rightarrow \text{Diff}(N_k)$ descending from Φ_{k-1} . Together with a sequence $\{f_{n,k}\} \in G_{B_k}$ such that as $n \rightarrow \infty$, we have that $f_{n,k} \rightarrow \text{Id}$ and $\Phi_k(f_{n,k}) \rightarrow A_k$, where A_k is a nontrivial isometry on some metric on N_k and the group $H_k = \langle A_k \rangle$ is a nontrivial finite group of diffeomorphisms acting freely on N_k .

Observe that the only way this procedure cannot be repeated infinitely times is if for some k , the homomorphism Φ_k were weakly continuous, but this would imply that Φ is weakly continuous, therefore we can assume the previous objects are defined for every integer $k \geq 1$. Next, we are going to show how to get an infinite group of isometries for N from our H_k . Let us define

$$\widehat{H}_k = \{h \in \text{Diff}_c(N) \mid h \text{ is a lift of some diffeomorphism } h_k \in H_k\}.$$

Each diffeomorphism h_k of H_k can be lifted to a diffeomorphism of N : the diffeomorphism A_k is the limit of the sequence $\Phi_k(g_{n,k})$. We consider the sequence $\{\Phi(g_{n,k})\}$ that consist of lifts of $\Phi_k(g_{n,k})$. By Lemma 2.1 this sequence has a convergent subsequence converging to a diffeomorphism A'_k of N which is necessarily a lift of A_k .

Observe that in fact \widehat{H}_k is a finite subgroup of $\text{Diff}_c(N)$ and that $\widehat{H}_{k-1} \subset \widehat{H}_k$; this last inclusion strict.

We define the group $\widehat{H} := \bigcup_{k \geq 0} \widehat{H}_k$. Observe that \widehat{H} is necessarily an infinite group. We will prove that \widehat{H} is invariant under a Riemannian metric on N in a similar way that we prove Lemma 2.1. In order to do that, we will show the following bounds:

Lemma 5.8 *Every $h \in \widehat{H}$ satisfies the bounds*

- (1) $\|h\|_r \leq C_r$ for every $r \geq 1$,
- (2) $\|D(h)\| \leq C$,

for the constants C, C_r in Lemma 2.12.

Proof We will prove by induction on k that for every $h \in \widehat{H}_k$, there exists a sequence $h_n \in G_B$ such that $h_n \rightarrow \text{Id}$ and $\Phi(h_n) \rightarrow h$. By Lemma 2.12, this is enough to show that such bounds in the derivatives hold.

This statement is obvious for $k = 0$. Suppose it is true for $j \leq k - 1$. Consider the projection homomorphism $\Psi: \widehat{H}_k \rightarrow H_k$ and observe that the kernel of Ψ is exactly \widehat{H}_{k-1} .

By definition $H_k = \langle A_k \rangle$. First, we will show that A_k has a lift to N belonging to \widehat{H}_k and satisfying our induction hypothesis. Consider the sequence $f_{n,k} \in G_{B_k}$ such that as $n \rightarrow \infty$, we have that $f_{n,k} \rightarrow \text{Id}$ and $\Phi_k(f_{n,k}) \rightarrow A_k$ as in the construction of the A_k . We have that for every n , the diffeomorphism $\Phi(f_{n,k})$ is a lift of $\Phi_k(f_{n,k})$ to $\text{Diff}_c(N)$. Using Lemma 2.1, we can find a subsequence $f_{n_i,k}$ of $f_{n,k}$, such that $\Phi(f_{n_i,k}) \rightarrow A'_k$, where A'_k is a diffeomorphism of N . The diffeomorphism A'_k is necessarily a lift of A_k and therefore A'_k belongs to \widehat{H}_k and satisfies our induction hypothesis.

To finish the induction, observe that as H_k is cyclic, every element of \widehat{H}_k is a product of an element of $\ker(\Psi) = \widehat{H}_{k-1}$ and a power of A'_k . Therefore, to conclude that every element of \widehat{H}_k satisfies the induction hypothesis, it is enough to show that if $f, g \in \widehat{H}_k$ satisfy the induction hypothesis, then fg satisfies the hypothesis too. This is easy to prove: if there exist sequences $\{f_n\}, \{g_n\}$ such that $f_n \rightarrow \text{Id}$, $g_n \rightarrow \text{Id}$ and such that $\Phi(f_n) \rightarrow f$, $\Phi(g_n) \rightarrow g$, then the sequence $\{f_n g_n\}$ satisfies that $f_n g_n \rightarrow \text{Id}$ and $\Phi(f_n g_n) \rightarrow fg$. \square

To conclude the proof of [Lemma 5.7](#), we would proceed in a similar way as we did in [Lemma 2.1](#). We will show that \widehat{H} preserves a Riemannian metric g in N as follows: take an arbitrary metric g' for N and average g with respect to the groups \widehat{H}_n . More precisely, consider the sequence of metrics

$$g_n = \frac{1}{|\widehat{H}_n|} \sum_{h \in \widehat{H}_n} h^*(g').$$

Observe that g_n is invariant under \widehat{H}_n . Therefore, if there is a convergent subsequence of g_n converging to a metric g , then g is invariant under \widehat{H} . To ensure the existence of such a convergent subsequence, we will use the same argument used in the proof of [Lemma 2.1](#) taking into account the bounds obtained in [Lemma 5.8](#). In conclusion, \widehat{H} is an infinite group of isometries of N . To finish the proof of [Lemma 5.7](#), take an embedded ball $B' \subset B$ in N disjoint from all the balls B_n and apply [Lemma 5.6](#). \square

This completes the proof of [Lemma 5.4](#). \square

6 Questions and remarks

6.1 Higher dimensions

Maybe the most natural question to ask in view of [Theorem 1.3](#) is whether it is possible to obtain a characterization of homomorphisms $\Phi: \text{Diff}_c(M) \rightarrow \text{Diff}_c(N)$ in the case that $\dim(M) < \dim(N)$. All the known homomorphisms known to the author are built from pieces, each piece coming from some natural bundles over M or over $\text{Sym}_k(M)$ (the set of unordered k points in M). These bundles could be products, coverings, or somewhat similar to the tangent bundle or other bundles where one has some kind of linear action in the fiber. The pieces are glued along submanifolds where the actions in both sides agree.

6.2 Lower regularity

One might expect similar results for the groups $\text{Diff}_c^r(M)$ of C^r diffeomorphisms of a manifold M . Some of the techniques here might apply to such problems in the case when $r \geq 2$ or $r \geq 3$. One of the reasons to believe it is possible to do so is that $\text{Diff}_c^\infty(M) \subset \text{Diff}_c^r(M)$ and therefore one might be able to show some sort of continuity for homomorphisms of the type $\Phi: \text{Diff}_c^\infty(M) \rightarrow \text{Diff}_c^r(M)$ using Milon's theorem.

It is important to point out that Milon's theorem might be very difficult to generalize to the C^r category: one of the main steps in the proof of Milon's Theorem uses a KAM technique and such techniques typically have a loss of regularity if $r < \infty$. In the topological category things seem to be much more difficult as every homeomorphism $f \in \text{Homeo}_0(M)$ is *arbitrarily distorted* (see [6]).

6.3 Discrete homomorphisms between Lie groups

One might also try to understand discrete homomorphisms between simple Lie groups. For example, one can show that any discrete homomorphism from $\text{SO}(3)$ to itself is conjugate to the standard one. The same is true for $\text{SL}_2(\mathbb{R})$. For $\text{SL}_2(\mathbb{C})$, the situation is a little bit different, one can use a nontrivial field automorphism of \mathbb{C} , to get a homomorphism from $\text{SL}_2(\mathbb{C})$ to itself that is not conjugate to the standard one. Nonetheless, one can show that any of the homomorphism between $\text{SL}_2(\mathbb{C})$ and itself come from this construction.

An observation worth mentioning is that if the homomorphism Φ is measurable, then Φ is continuous and in fact C^∞ ; see Zimmer [21, Appendix B.3]. Therefore, a nonstandard homomorphism is necessarily not measurable (as in the examples for $\text{SL}_2(\mathbb{C})$ described above).

Other more difficult set of questions related to the Zimmer program (see Fisher [8]) come from asking whether a discrete homomorphism of groups from a simple Lie group G to $\text{Diff}_c(M)$ comes from a standard embedding. For example, Matsumoto [17] shows that any action of $\text{PSL}_2(\mathbb{R})$ in $\text{Diff}_c(\mathbb{S}^1)$ is conjugate to the standard action. One might wonder if that is also the case for \mathbb{S}^2 and $\text{PSL}_3(\mathbb{R})$:

6.3.1 Question Is every homomorphism $\Phi: \text{PSL}_3(\mathbb{R}) \rightarrow \text{Diff}(\mathbb{S}^2)$ conjugate to the standard embedding?

Using the fact that $\text{PSL}_2(\mathbb{C})$ acts in \mathbb{S}^2 and $\text{SO}(3) \subset \text{PSL}_2(\mathbb{C})$ one can compose the action of $\text{PSL}_2(\mathbb{C})$ by a nontrivial field automorphism of \mathbb{C} to obtain a nonstandard action of $\text{SO}(3)$ in \mathbb{S}^2 . Nonetheless one can still ask if the following is true in the volume preserving setting:

6.3.2 Question Does there exist a homomorphism $\Phi: \mathrm{SO}(3) \rightarrow \mathrm{Diff}_\mu(\mathbb{S}^2)$ that is not conjugate to the standard one?

For this type of question, the technique used here does not seem to work as the distortion elements in any linear group are at most exponentially distorted. Nevertheless, studying the distorted elements involved might give some useful information.

6.4 Distortion elements in groups of diffeomorphisms

Note that Milonov's theorem implies that if M is a closed manifold and f is an infinite order isometry on M then f is arbitrarily distorted. The converse to this statement is not true: there are examples of diffeomorphisms f in $\mathrm{Diff}_c(M)$ that are not isometries but are still recurrent (f is recurrent if it satisfies $\liminf_n d_{C^\infty}(f^n, \mathrm{Id}) = 0$) and therefore arbitrarily distorted as a consequence of Milonov's theorem. Some of these examples can be constructed using the Anosov–Katok method. For example, one can use the construction in Herman [13] to obtain such examples.

6.4.1 Question Is it possible to obtain a classification of all possible distorted (or arbitrarily distorted as defined in [6]) elements in $\mathrm{Diff}_c(\mathbb{S}^1)$ or $\mathrm{Diff}_c(\mathbb{S}^2)$?

This might be useful to show that certain discrete groups cannot act by diffeomorphisms on \mathbb{S}^1 and \mathbb{S}^2 .

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Department of Mathematics, University of California
Berkeley, CA 94720, USA

shurtado@math.berkeley.edu, shurtados16@gmail.com

Proposed: Danny Calegari

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Seconded: Benson Farb, Leonid Polterovich

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