

A complex hyperbolic Riley slice

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We study subgroups of $\mathrm{PU}(2, 1)$ generated by two noncommuting unipotent maps A and B whose product AB is also unipotent. We call \mathcal{U} the set of conjugacy classes of such groups. We provide a set of coordinates on \mathcal{U} that make it homeomorphic to \mathbb{R}^2 . By considering the action on complex hyperbolic space $\mathbf{H}_{\mathbb{C}}^2$ of groups in \mathcal{U} , we describe a two-dimensional disc \mathcal{Z} in \mathcal{U} that parametrises a family of discrete groups. As a corollary, we give a proof of a conjecture of Schwartz for $(3, 3, \infty)$ -triangle groups. We also consider a particular group on the boundary of the disc \mathcal{Z} where the commutator $[A, B]$ is also unipotent. We show that the boundary of the quotient orbifold associated to the latter group gives a spherical CR uniformisation of the Whitehead link complement.

20H10, 22E40, 51M10; 57M50

1 Introduction

1.1 Context and motivation

The framework of this article is the study of the deformations of a discrete subgroup Γ of a Lie group H in a Lie group G containing H . This question has been addressed in many different contexts. A classical example is the one where Γ is a Fuchsian group, $H = \mathrm{PSL}(2, \mathbb{R})$ and $G = \mathrm{PSL}(2, \mathbb{C})$. When Γ is discrete, such deformations are called quasi-Fuchsian. We will be interested in the case where Γ is a discrete subgroup of $H = \mathrm{SO}(2, 1)$ and G is the group $\mathrm{SU}(2, 1)$ (or their natural projectivisations over \mathbb{R} and \mathbb{C} , respectively). The geometrical motivation is very similar: In the classical case mentioned above, $\mathrm{PSL}(2, \mathbb{C})$ is the orientation-preserving isometry group of hyperbolic 3-space \mathbf{H}^3 and a Fuchsian group preserves a totally geodesic hyperbolic plane \mathbf{H}^2 in \mathbf{H}^3 . In our case $G = \mathrm{SU}(2, 1)$ is (a triple cover of) the holomorphic isometry group of complex hyperbolic 2-space $\mathbf{H}_{\mathbb{C}}^2$, and the subgroup $H = \mathrm{SO}(2, 1)$ preserves a totally geodesic Lagrangian plane isometric to \mathbf{H}^2 . A discrete subgroup Γ of $\mathrm{SO}(2, 1)$ is called \mathbb{R} -Fuchsian. A second example of this construction is where G is again $\mathrm{SU}(2, 1)$ but now $H = S(U(1) \times U(1, 1))$. In this case H preserves a totally geodesic complex line in $\mathbf{H}_{\mathbb{C}}^2$. A discrete subgroup of H is called \mathbb{C} -Fuchsian. Deformations of

either \mathbb{R} -Fuchsian or \mathbb{C} -Fuchsian groups in $SU(2, 1)$ are called complex hyperbolic quasi-Fuchsian. See Parker and Platis [24] for a survey of this topic.

The title of this article refers to the so-called *Riley slice of Schottky space* (see [19; 1]). Riley considered the space of conjugacy classes of subgroups of $PSL(2, \mathbb{C})$ generated by two noncommuting parabolic maps. This space may be identified with $\mathbb{C} - \{0\}$ under the map that associates the parameter $\rho \in \mathbb{C} - \{0\}$ with the conjugacy class of the group Γ_ρ , where

$$\Gamma_\rho = \left\langle \begin{bmatrix} 1 & 1 \\ 0 & 1 \end{bmatrix}, \begin{bmatrix} 1 & 0 \\ \rho & 1 \end{bmatrix} \right\rangle.$$

Riley was interested in the set of those parameters ρ for which Γ_ρ is discrete. He was particularly interested in the (closed) set where Γ_ρ is discrete and free, which is now called the Riley slice of Schottky space; see Keen and Series [19]. This work has been taken up more recently by Akiyoshi, Sakuma, Wada and Yamashita. In their book [1] they illustrate one of Riley's original computer pictures,¹ Figure 0.2a, and their version of this picture, Figure 0.2b. Riley's main method was to construct the Ford domain for Γ_ρ . The different combinatorial patterns that arise in this Ford domain correspond to the differently coloured regions in these figures from [1]. Riley was also interested in groups Γ_ρ that are discrete but not free. In particular, he showed that when ρ is a complex sixth root of unity then the quotient of hyperbolic 3-space by Γ_ρ is the figure-eight knot complement.

1.2 Main definitions and discreteness result

The direct analogue of the Riley slice in complex hyperbolic plane would be the set of conjugacy classes of groups generated by two noncommuting, unipotent parabolic elements A and B of $SU(2, 1)$. (Note that in contrast to $PSL(2, \mathbb{C})$, there exist parabolic elements in $SU(2, 1)$ that are not unipotent. In fact, there is a 1-parameter family of parabolic conjugacy classes; see for instance Goldman [15, Chapter 6].) This choice would give a four-dimensional parameter space, and we require additionally that AB be unipotent, making the dimension drop to 2. Specifically, we define

$$(1) \quad \mathcal{U} = \{(A, B) \in SU(2, 1)^2 : A, B, AB \text{ all unipotent and } AB \neq BA\} / SU(2, 1).$$

Following Riley, we are interested in the (closed) subset of \mathcal{U} where the group $\langle A, B \rangle$ is discrete and free and our main method for studying this set is to construct the Ford domain for its action on complex hyperbolic space $H_{\mathbb{C}}^2$. We shall also indicate various other interesting discrete groups in \mathcal{U} but these will not be our main focus.

¹Parker has one of Riley's printouts of this picture dated 26th March 1979.

In Section 3.1, we will parametrise \mathcal{U} so that it becomes the open square $(-\frac{\pi}{2}, \frac{\pi}{2})^2$. The parameters we use will be the Cartan angular invariants α_1 and α_2 of the triples of (parabolic) fixed points of (A, AB, B) and (A, AB, BA) , respectively (see Section 2.6 for the definitions). Note that the invariants α_1 and α_2 are defined to lie in the closed interval $[-\frac{\pi}{2}, \frac{\pi}{2}]$. Our assumption that A and B don't commute implies that neither α_1 nor α_2 can equal $\pm\frac{\pi}{2}$ (see Section 3.1).

When α_1 and α_2 are both zero, that is, at the origin of the square, the group $\langle A, B \rangle$ is \mathbb{R} -Fuchsian. The quotient of the Lagrangian plane preserved by $\langle A, B \rangle$ is a hyperbolic thrice-punctured sphere where the three (homotopy classes of) peripheral elements are represented by (the conjugacy classes of) A, B and AB . The space \mathcal{U} can thus be thought of as the slice of the $SU(2, 1)$ -representation variety of the thrice-punctured sphere group defined by the conditions that the peripheral loops are mapped to unipotent isometries.

We can now state our main discreteness result.

Theorem 1.1 *Suppose that $\Gamma = \langle A, B \rangle$ is the group associated to parameters (α_1, α_2) satisfying $\mathcal{D}(4 \cos^2 \alpha_1, 4 \cos^2 \alpha_2) > 0$, where \mathcal{D} is the polynomial given by*

$$\mathcal{D}(x, y) = x^3 y^3 - 9x^2 y^2 - 27xy^2 + 81xy - 27x - 27.$$

Then Γ is discrete and isomorphic to the free group F_2 . This region is \mathcal{Z} in Figure 1.

Note that at the centre of the square, we have $\mathcal{D}(4, 4) = 1225$ for the \mathbb{R} -Fuchsian representation. The region \mathcal{Z} where $\mathcal{D} > 0$ consists of groups Γ whose Ford domain has the simplest possible combinatorial structure. It is the analogue of the outermost region in the two figures from Akiyoshi, Sakuma, Wada and Yamashita [1] mentioned above.

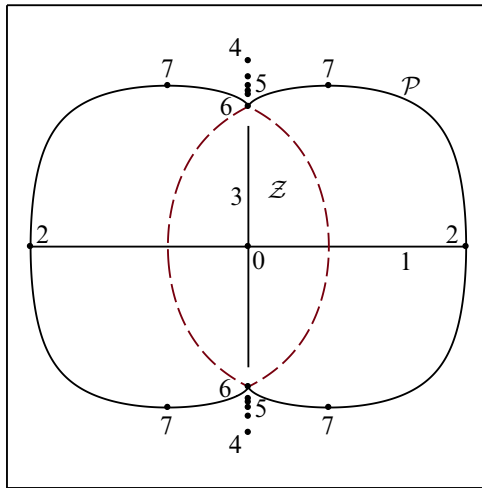
1.3 Decompositions and triangle groups

We will prove in Proposition 3.3 that all pairs (A, B) in \mathcal{U} admit a (unique) decomposition of the form

$$(2) \quad A = ST \quad \text{and} \quad B = TS,$$

where S and T are order-three regular elliptic elements (see Section 2.2). In turn, the group generated by A and B has index three in the one generated by S and T . When either $\alpha_1 = 0$ or $\alpha_2 = 0$ there is a further decomposition making $\langle A, B \rangle$ a subgroup of a triangle group.

Deformations of triangle groups in $PU(2, 1)$ have been considered in many places, including Goldman and Parker [16], Parker, Wang and Xie [25], Pratussevitch [28] and Schwartz [32]. A complex hyperbolic (p, q, r) -triangle is one generated by three complex involutions about (complex) lines with pairwise angles $\frac{\pi}{p}, \frac{\pi}{q}$, and $\frac{\pi}{r}$, where



- 0 \mathbb{R} -Fuchsian representation of the 3-punctured sphere group.
- 1 Horizontal segment corresponding to even word subgroups of ideal triangle groups; see Goldman and Parker [16] and Schwartz [30; 31; 33].
- 2 Last ideal triangle group, contained with index three in a group uniformising the Whitehead link complement obtained by Schwartz [30; 31; 33].
- 3 Vertical segment corresponding to bending groups that have been proved to be discrete by Will [37].
- 4 $(3, 3, 4)$ -group uniformising the figure-eight knot complement. Obtained by Deraux and Falbel [8].
- 5 $(3, 3, n)$ -groups, proved to be discrete by Parker, Wang and Xie [25]. On this picture, $4 \leq n \leq 8$.
- 6 Uniformisation of the Whitehead link complement we obtain in this work.
- 7 Subgroup of the Eisenstein-Picard lattice; see Falbel and Parker [14].

Figure 1: The parameter space for \mathcal{U} . The exterior curve \mathcal{P} corresponds to classes of groups for which $[A, B]$ is parabolic. The central dashed curve bounds the region \mathcal{Z} where we prove discreteness. The labels correspond to various special values of the parameters. Points with the same labels are obtained from one another by symmetries about the coordinate axes. The results of Section 3.3 imply that they correspond to groups conjugate in $\text{Isom}(\mathbf{H}_{\mathbb{C}}^2)$.

p , q and r are integers or ∞ (when one of them is ∞ the corresponding angle is 0). Groups generated by complex reflections of higher order are also interesting; see Mostow [22] for example, but we do not consider them here. For a given triple (p, q, r) with $\min\{p, q, r\} \geq 3$, the deformation space of the (p, q, r) -triangle group

is one-dimensional, and can be thought of as the deformation space of the \mathbb{R} -Fuchsian triangle group. Schwartz [32] develops a series of conjectures about which points in this space yield discrete and faithful representations of the triangle group. For a given triple (p, q, r) , Conjecture 5.1 of [32] states that a complex hyperbolic (p, q, r) -triangle group is a discrete and faithful representation of the Fuchsian one if and only if the words $I_i I_j I_k$ and $I_i I_j I_k I_j$ (with i, j and k pairwise distinct) are nonelliptic. Moreover, depending on p, q and r , he predicts which of these words one should choose.

We now explain the relationship between triangle groups and groups on the axes of our parameter space \mathcal{U} . First consider groups with $\alpha_2 = 0$. Let I_1, I_2 and I_3 be the involutions fixing the complex lines spanned by the fixed points of (A, B) , of (A, AB) and of (B, AB) , respectively. If $\alpha_2 = 0$ then A and B may be decomposed as $A = I_2 I_1$ and $B = I_1 I_3$, and also $\langle A, B \rangle$ has index 2 in $\langle I_1, I_2, I_3 \rangle$ (Proposition 3.8). Since $I_2 I_1 = A, I_1 I_3 = B$ and $I_2 I_3 = AB$ are all unipotent, we see that $\langle I_1, I_2, I_3 \rangle$ is a complex hyperbolic ideal triangle group, as studied by Goldman and Parker [16] and Schwartz [30; 31; 33]. Their results gave a complete characterisation of when such a group is discrete. (Our Cartan invariant α_1 is the same as the Cartan invariant \mathbb{A} used in these papers.)

Theorem 1.2 [16; 31; 33] *Let I_1, I_2 and I_3 be complex involutions fixing distinct, pairwise asymptotic complex lines. Let \mathbb{A} be the Cartan invariant of the fixed points of $I_1 I_2, I_2 I_3$ and $I_3 I_1$.*

- (1) *The group $\langle I_1, I_2, I_3 \rangle$ is a discrete and faithful representation of an (∞, ∞, ∞) -triangle group if and only if $I_1 I_2 I_3$ is nonelliptic. This happens when*

$$|\mathbb{A}| \leq \arccos \sqrt{3/128}.$$

- (2) *When $I_1 I_2 I_3$ is elliptic the group is not discrete. In this case,*

$$\arccos \sqrt{3/128} < |\mathbb{A}| < \frac{\pi}{2}.$$

When $\alpha_1 = 0$ we get an analogous result. In this case, it is the order-three maps S and T from (2) which decompose into products of complex involutions. Namely, if $\alpha_1 = 0$, there exist three involutions I_1, I_2 and I_3 , each fixing a complex line, such that $S = I_2 I_1$ and $T = I_1 I_3$ have order 3 and $ST = A = I_2 I_3$ is unipotent (Proposition 3.8). Furthermore, writing $B = TS = I_1 I_3 I_2 I_1$ we have $[A, B] = (ST^{-1})^3 = (I_2 I_1 I_3 I_1)^3$. A corollary of Theorem 1.1 is a statement analogous to Theorem 1.2 for $(3, 3, \infty)$ -triangle groups, proving a special case of Schwartz [32, Conjecture 5.1]. Compare with the proof of this conjecture for $(3, 3, n)$ -triangle groups given by Parker, Wang and Xie [25].

Theorem 1.3 *Let I_1, I_2 and I_3 be complex involutions fixing distinct complex lines and such that $S = I_2 I_1$ and $T = I_1 I_3$ have order three and $A = ST = I_2 I_3$ is unipotent. Let \mathbb{A} be the Cartan invariant of the fixed points of A, SAS^{-1} and $S^{-1}AS$. The group $\langle I_1, I_2, I_3 \rangle$ is a discrete and faithful representation of the $(3, 3, \infty)$ -triangle group if and only if $I_2 I_1 I_3 I_1 = ST^{-1}$ is nonelliptic. This happens when*

$$|\mathbb{A}| \leq \arccos \sqrt{3/8}.$$

Theorem 1.3 follows directly from **Theorem 1.1** by restricting it to the case where $(\alpha_1, \alpha_2) = (0, \mathbb{A})$. These groups are a special case of those studied by Will [37] from a different point of view. There, using bending, he proved that these groups are discrete as long as $|\mathbb{A}| = |\alpha_2| \leq \frac{\pi}{4}$. The gap between the vertical segment in **Figure 1** and the curve where $[A, B]$ is parabolic illustrates the nonoptimality of the result of [37].

1.4 Spherical CR uniformisations of the Whitehead link complement

The quotient of $H_{\mathbb{C}}^2$ by an \mathbb{R} - or \mathbb{C} -Fuchsian punctured surface group is a disc bundle over the surface. If the surface is noncompact, this bundle is trivial. Its boundary at infinity is a circle bundle over the surface. Such three-manifolds appearing on the boundary at infinity of quotients of $H_{\mathbb{C}}^2$ are naturally equipped with a *spherical CR structure*, which is the analogue of the flat conformal structure in the real hyperbolic case. These structures are examples of (X, G) -structure, with $X = S^3 = \partial H_{\mathbb{C}}^2$ and $G = \text{PU}(2, 1)$. To any such structure on a three-manifold M are associated a holonomy representation $\rho: \pi_1(M) \rightarrow \text{PU}(2, 1)$ and a developing map $D = \tilde{M} \rightarrow X$. This motivates the study of representations of fundamental groups of hyperbolic three-manifolds in $\text{PU}(2, 1)$ and $\text{PGL}(3, \mathbb{C})$ initiated by Falbel [111], and continued by Falbel, Guilloux, Koseleff, Rouillier and Thistlethwaite [12; 13] (see also Heusener, Munoz and Porti [18]). Among $\text{PU}(2, 1)$ representations, *uniformisations* (see Deraux [6, Definition 1.3]) are of special interest. There, the manifold at infinity is the quotient of the discontinuity region by the group action.

For parameter values in the open region \mathcal{Z} , the manifold at infinity of $H_{\mathbb{C}}^2 / \langle S, T \rangle$ is a Seifert fibre space over a $(3, 3, \infty)$ -orbifold. This is obviously true in the case where $\alpha_1 = \alpha_2 = 0$ (the central point on **Figure 1**). Indeed, for these values the group $\langle S, T \rangle$ preserves $H_{\mathbb{R}}^2$ (it is \mathbb{R} -Fuchsian) and the fibres correspond to boundaries of real planes orthogonal to $H_{\mathbb{R}}^2$. As the combinatorics of our fundamental domain remains unchanged in \mathcal{Z} , the topology of the quotient is constant in \mathcal{Z} .

Things become interesting if we deform the group in such a way that a loop on the surface is represented by a parabolic map: the topology of the manifold at infinity can change. A hyperbolic manifold arising in this way was first constructed by Schwartz:

Theorem 1.4 [30] *Let I_1, I_2 and I_3 be as in Theorem 1.2. Let \mathbb{A} be the Cartan invariant of the fixed points of $I_1 I_2, I_2 I_3$ and $I_3 I_1$ and let S be the regular elliptic map cyclically permuting these points. When $I_1 I_2 I_3$ is parabolic, the quotient of $\mathbb{H}_{\mathbb{C}}^2$ by the group $\langle I_1 I_2, S \rangle$ is a complex hyperbolic orbifold with isolated singularities whose boundary at infinity is a spherical CR uniformisation of the Whitehead link complement. These groups have Cartan invariant $\mathbb{A} = \pm \arccos \sqrt[3]{1/28}$.*

Schwartz’s example provides a uniformisation of the Whitehead link complement. More recently, Deraux and Falbel [8] described a uniformisation of the complement of the figure-eight knot. Deraux [7] proved that this uniformisation was flexible: he described a one-parameter deformation of the uniformisation described by Deraux and Falbel [8], each group in the deformation being a uniformisation of the figure-eight knot complement.

Our second main result concerns the $(3, 3, \infty)$ –triangles group from Theorem 1.3, and it states that when $I_2 I_1 I_3 I_1$ is parabolic the associated groups give a uniformisation of the Whitehead link complement which is different from Schwartz’s one. Indeed in our case the cusps of the Whitehead link complement both have unipotent holonomy. In Schwartz’s case, one of them is unipotent whereas the other is screw-parabolic. The representation of the Whitehead link group we consider here was identified from a different point of view by Falbel, Koseleff and Rouillier [13, page 254] in their census of $\text{PGL}(3, \mathbb{C})$ representations of knot and link complement groups.

Theorem 1.5 *Let I_1, I_2 and I_3 be as in Theorem 1.3 and define $S = I_2 I_1$ and $A = I_2 I_3$. Let \mathbb{A} be the Cartan invariant of the fixed points of A, SAS^{-1} and $S^{-1}AS$. When $I_2 I_1 I_3 I_1$ is parabolic, the quotient of $\mathbb{H}_{\mathbb{C}}^2$ by $\langle A, S \rangle$ is a complex hyperbolic orbifold with isolated singularities whose boundary is a spherical CR uniformisation of the Whitehead link complement. These groups have Cartan invariant $\mathbb{A} = \pm \arccos \sqrt[3]{8}$.*

Schwartz’s uniformisation of the Whitehead link complement corresponds to each of the endpoints of the horizontal segment, marked 2 in Figure 1, and our uniformisation corresponds to each of the points on the vertical axis, marked 6 in that figure.

It should be noted that the image of the holonomy representation of our uniformisation of the Whitehead link complement is the group generated by S and T , which is isomorphic to $\mathbb{Z}_3 * \mathbb{Z}_3$. We note in Proposition 3.4 that the fundamental group of the Whitehead link complement surjects onto $\mathbb{Z}_3 * \mathbb{Z}_3$. Furthermore, the group $\mathbb{Z}_3 * \mathbb{Z}_3$ is the fundamental group of the (double) Dehn filling of the Whitehead link complement with slope -3 at each cusp in the standard marking (the same as in SnapPy). This Dehn filling is nonhyperbolic, as can be easily verified using the software SnapPy [5] (it also

follows from Martelli and Petronio [20, Theorem 1.3]). This fact should be compared with Deraux's remark in [6] that all known examples of noncompact finite volume hyperbolic manifold admitting a spherical CR uniformisation also admit an exceptional Dehn filling which is a Seifert fibre space over a (p, q, r) -orbifold with $p, q, r \geq 3$.

1.5 Ideas of the proofs

Proof of Theorem 1.1 The rough idea of this proof is to construct fundamental domains for the groups corresponding to parameters in the region \mathcal{Z} . To this end, we construct their *Ford domains*, which can be thought of as a fundamental domain for a coset decomposition of the group with respect to a parabolic element (here, this element is $A = ST$). The Ford domain is invariant by the subgroup generated by A and we obtain a fundamental domain for the group by intersecting the Ford domain with a fundamental domain for the subgroup generated by A . The sides of the Ford domain are built out of pieces of *isometric spheres* of various group elements (see Sections 2.4 and 4) This method is classical, and is described in the case of the Poincaré disc in of Beardon [2, Section 9.6].

We thus have to consider a 2-parameter family of such polyhedra, and the polynomial \mathcal{D} controls the combinatorial complexity of the Ford domain within our parameter space for \mathcal{U} in the following sense. The null-locus of \mathcal{D} is depicted on Figure 1 as a dashed curve, which bounds the region \mathcal{Z} . In the interior of this curve, the combinatorics of our domain is constant, and stays the same as it is for the \mathbb{R} -Fuchsian group. On the boundary of \mathcal{Z} the isometric spheres of the elements S , S^{-1} and T have a common point. More precisely, the isometric spheres of S^{-1} and T intersect for all values of α_1 and α_2 , but inside \mathcal{Z} their intersection is contained in one of the two connected components of the complement of the isometric sphere of S in $\mathbb{H}_{\mathbb{C}}^2$. When one reaches the boundary curve of \mathcal{Z} , one of their intersection points lies on the isometric sphere of S .

We believe that it should be possible to mimic Riley's approach and to construct regions in our parameter space where the Ford domain is more complicated. However, as with Riley's work, this may only be accessible via computer experiments.

Proof of Theorem 1.5 The groups where $[A, B] = (I_2 I_1 I_3 I_1)^3$ is parabolic are the focus of Section 6 and Theorem 1.5 will follow from Theorem 6.4. In order to prove this result, we analyse in detail our fundamental domain, and show that it gives the classical description of the Whitehead link complement from an ideal octahedron equipped with face identifications. The Whitehead link is depicted in Figure 2. We refer to Ratcliffe [29, Section 10.3] and Thurston [35, Section 3.3] for classical information about the topology of the Whitehead link complement and its hyperbolic structure.

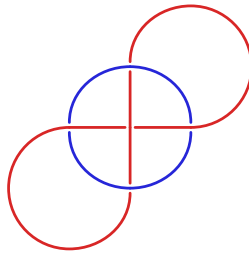


Figure 2: The Whitehead link

1.6 Further remarks

Other discrete groups appearing in \mathcal{U} As well as the ideal triangle groups and bending groups discussed above, there are some other previously studied discrete groups in this family. We give them in (α_1, α_2) coordinates and illustrate them in Figure 1.

- (1) The groups corresponding to $\alpha_1 = 0$ and $\alpha_2 = \pm \arccos \sqrt{1/8}$ have been studied in great detail by Deraux and Falbel [8], who proved that they give a spherical CR uniformisation of the figure-eight knot complement. This illustrates the fact that there is no statement for Theorem 1.3 analogous to the second part of Theorem 1.2: the group from [8] is contained in a discrete (nonfaithful) $(3, 3, \infty)$ -triangle group where $I_2 I_1 I_3 I_1$ is elliptic.
- (2) The groups with parameters $\alpha_1 = 0$ and for which ST^{-1} has order n correspond to the $(3, 3, n)$ -triangle groups studied by Parker, Wang and Xie [25]. The corresponding value of α_2 is given by

$$\alpha_2 = \pm \arccos \sqrt{\frac{1}{8} (4 \cos^2(\frac{\pi}{n}) - 1)}.$$

- (3) The groups where $\alpha_1 = \pm \frac{\pi}{6}$ and $\alpha_2 = \pm \frac{\pi}{3}$ are discrete, since they are subgroups of the Eisenstein–Picard lattice $\text{PU}(2, 1; \mathbb{Z}[\omega])$, where ω is a cube root of unity. That lattice has been studied by Falbel and Parker [14].

Comparison with the classical Riley slice There is, conjecturally, one extremely significant difference between the classical Riley slice and our complex hyperbolic version. The boundary of the classical Riley slice is not a smooth curve and has a dense set of points where particular group elements are parabolic (see for instance the beautiful picture in the introduction of Keen and Series [19]). On the other hand, we believe that in the complex hyperbolic case, discreteness is completely controlled by the commutator $[A, B]$, or equivalently ST^{-1} , as is true for the two cases where

$\alpha_1 = 0$ or $\alpha_2 = 0$ described above. If this is true, then the boundary of the set of (classes of) discrete and faithful representations in $SU(2, 1)$ of the three punctured sphere group with unipotent peripheral holonomy is piecewise smooth, and it is given by the simple closed curve \mathcal{P} in Figure 1. This curve provides a one-parameter family of (conjecturally discrete) representations that connects Schwartz's uniformisation of the Whitehead link complement to ours. We believe that all these representations give uniformisations of the Whitehead link complement as well, but we are not able to prove this with our techniques. What seems to happen is that if one deforms our uniformisation by following the curve \mathcal{P} , the number of isometric spheres contributing to the boundary at infinity of the Ford domain becomes too large to be understood using our techniques. Possibly, this is because deformations of fundamental domains with tangencies between bisectors are complicated. This should be compared to Deraux's construction [7] of deformations of the figure-eight knot complement mentioned above. There, he had to use a different domain to the one by Deraux and Falbel [8], which also has tangencies between the bisectors.

1.7 Organisation of the article

This article is organised as follows. In Section 2 we present the necessary background facts on complex hyperbolic space and its isometries. In Section 3, we describe coordinates on the space of (conjugacy classes of) group generated by two unipotent isometries with unipotent product. Section 4 is devoted to the description of the isometric spheres that bound our fundamental domains. We state and apply the Poincaré polyhedron theorem in Section 5. In Section 6, we focus on the specific case where the commutator becomes parabolic, and prove that the corresponding manifold at infinity is homeomorphic to the complement of the Whitehead link. In Section 7, we give the technical proofs which we have omitted for readability in the earlier sections.

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2 Preliminary material

Throughout we will work in the complex hyperbolic plane using a projective model and will therefore pass from projective objects to lifts of them. Our convention is that the same letter will be used to denote a point in $\mathbb{C}P^2$ and a lift of it to \mathbb{C}^3 with a bold font for the lift. As an example, each time p is a point of $\mathbf{H}_{\mathbb{C}}^2$, \mathbf{p} will be a lift of p to \mathbb{C}^3 .

2.1 The complex hyperbolic plane

The standard reference for complex hyperbolic space is Goldman’s book [15]. A lot of information can also be found in Chen and Greenberg’s paper [3]; see also the survey articles [24; 38].

Let H be the matrix

$$H = \begin{bmatrix} 0 & 0 & 1 \\ 0 & 1 & 0 \\ 1 & 0 & 0 \end{bmatrix}.$$

The Hermitian product on \mathbb{C}^3 associated to H is given by $\langle \mathbf{x}, \mathbf{y} \rangle = \mathbf{y}^* H \mathbf{x}$. The corresponding Hermitian form has signature $(2, 1)$, and we denote by V_- (resp. V_0 and V_+) the associated negative (resp. null and positive) cones in \mathbb{C}^3 .

Definition 2.1 The *complex hyperbolic plane* $\mathbf{H}_{\mathbb{C}}^2$ is the image of V_- in $\mathbb{C}P^2$ by projectivisation and its boundary $\partial \mathbf{H}_{\mathbb{C}}^2$ is the image of V_0 in $\mathbb{C}P^2$. The complex hyperbolic plane is endowed with the *Bergman metric*

$$ds^2 = \frac{-4}{\langle \mathbf{z}, \mathbf{z} \rangle^2} \det \begin{pmatrix} \langle \mathbf{z}, \mathbf{z} \rangle & \langle d\mathbf{z}, \mathbf{z} \rangle \\ \langle \mathbf{z}, d\mathbf{z} \rangle & \langle d\mathbf{z}, d\mathbf{z} \rangle \end{pmatrix}.$$

The Bergman metric is equivalent to the *Bergman distance function* ρ defined by

$$\cosh^2 \left(\frac{\rho(\mathbf{m}, \mathbf{n})}{2} \right) = \frac{\langle \mathbf{m}, \mathbf{n} \rangle \langle \mathbf{n}, \mathbf{m} \rangle}{\langle \mathbf{m}, \mathbf{m} \rangle \langle \mathbf{n}, \mathbf{n} \rangle},$$

where \mathbf{m} and \mathbf{n} are lifts of m and n to \mathbb{C}^3 .

Let $\mathbf{z} = [z_1, z_2, z_3]^T$ be a (column) vector in $\mathbb{C}^3 - \{\mathbf{0}\}$. Then $\mathbf{z} \in V_-$ (resp. V_0) if and only if $2 \operatorname{Re}(z_1 \bar{z}_3) + |z_2|^2 < 0$ (resp. $= 0$). Vectors in V_0 with $z_3 = 0$ must have $z_2 = 0$ as well. Such a vector is unique up to scalar multiplication. We call its projectivisation the *point at infinity* $q_{\infty} \in \partial \mathbf{H}_{\mathbb{C}}^2$. If $z_3 \neq 0$ then we can use inhomogeneous coordinates with $z_3 = 1$. Writing $\langle \mathbf{z}, \mathbf{z} \rangle = -2u$, we give $\mathbf{H}_{\mathbb{C}}^2 \cup \partial \mathbf{H}_{\mathbb{C}}^2 - \{q_{\infty}\}$ *horospherical coordinates* $(z, t, u) \in \mathbb{C} \times \mathbb{R} \times \mathbb{R}_{\geq 0}$, defined as follows: a point $q \in \mathbf{H}_{\mathbb{C}}^2 \cup \partial \mathbf{H}_{\mathbb{C}}^2$ with

horospherical coordinates (z, t, u) is represented by the following vector, which we call its *standard lift*:

$$(3) \quad \mathbf{q} = \begin{bmatrix} -|z|^2 - u + it \\ z\sqrt{2} \\ 1 \end{bmatrix} \quad \text{if } q \neq q_\infty, \quad \mathbf{q}_\infty = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix} \quad \text{if } q = q_\infty.$$

Points of $\partial\mathbf{H}_\mathbb{C}^2 - \{q_\infty\}$ have $u = 0$ and we will abbreviate $(z, t, 0)$ to $[z, t]$.

Horospherical coordinates give a model of complex hyperbolic space analogous to the upper half-plane model of the hyperbolic plane. The *Cygan metric* d_{Cyg} on $\partial\mathbf{H}_\mathbb{C}^2 - \{q_\infty\}$ plays the role of the Euclidean metric on the upper half-plane. It is defined by the distance function

$$(4) \quad d_{\text{Cyg}}(p, q) = |\langle \mathbf{p}, \mathbf{q} \rangle|^{1/2} = \left| |z - w|^2 + i(t - s + \text{Im}(z\bar{w})) \right|^{1/2},$$

where p and q have horospherical coordinates $[z, t]$ and $[w, s]$. We may extend this metric to points p and q in $\mathbf{H}_\mathbb{C}^2$ with horospherical coordinates (z, t, u) and (w, s, v) by writing

$$d_{\text{Cyg}}(p, q) = \left| |z - w|^2 + |u - v| + i(t - s + \text{Im}(z\bar{w})) \right|^{1/2}.$$

If (at least) one of p and q lies in $\partial\mathbf{H}_\mathbb{C}^2$ then the formula $d_{\text{Cyg}}(p, q) = |\langle \mathbf{p}, \mathbf{q} \rangle|^{1/2}$ is still valid.

2.2 Isometries

Since the Bergman metric and distance function are both given solely in terms of the Hermitian form, any unitary matrix preserving this form is an isometry. Similarly, complex conjugation of points in \mathbb{C}^3 leaves both the metric and the distance function unchanged. Hence, complex conjugation is also an isometry.

Define $U(2, 1)$ to be the group of unitary matrices preserving the Hermitian form and $\text{PU}(2, 1)$ to be the projective unitary group obtained by identifying nonzero scalar multiples of matrices in $U(2, 1)$. We also consider the subgroup $\text{SU}(2, 1)$ of matrices in $U(2, 1)$ with determinant 1.

Proposition 2.2 *Every Bergman isometry of $\mathbf{H}_\mathbb{C}^2$ is either holomorphic or antiholomorphic. The group of holomorphic isometries is $\text{PU}(2, 1)$, acting by projective transformations. Every antiholomorphic isometry is complex conjugation followed by an element of $\text{PU}(2, 1)$.*

Elements of $\text{SU}(2, 1)$ fall into three types, according to the number and type of the fixed points of the corresponding isometry. Namely, an isometry is *loxodromic* (resp. *parabolic*) if it has exactly two fixed points (resp. one fixed point) on $\partial\mathbf{H}_\mathbb{C}^2$. It is

called *elliptic* when it has (at least) one fixed point inside $\mathbf{H}_{\mathbb{C}}^2$. An elliptic element $A \in \text{SU}(2, 1)$ is called *regular elliptic* whenever it has three distinct eigenvalues, and *special elliptic* if it has a repeated eigenvalue. The following criterion distinguishes the different isometry types:

Proposition 2.3 [15, Theorem 6.2.4] *Let \mathcal{F} be the polynomial given by $\mathcal{F}(z) = |z|^4 - 8 \text{Re}(z^3) + 18|z|^2 - 27$, and A be a nonidentity matrix in $\text{SU}(2, 1)$. Then:*

- (1) A is loxodromic if and only if $\mathcal{F}(\text{tr}A) > 0$.
- (2) A is regular elliptic if and only if $\mathcal{F}(\text{tr}A) < 0$.
- (3) If $\mathcal{F}(\text{tr}A) = 0$, then A is either parabolic or special elliptic.

We will be especially interested in elements of $\text{SU}(2, 1)$ with trace 0 or trace 3.

Lemma 2.4 [15, Section 7.1.3] (1) *A matrix A in $\text{SU}(2, 1)$ is regular elliptic of order three if and only if its trace is equal to zero.*

- (2) *Let (p, q, r) be three pairwise distinct points in $\partial\mathbf{H}_{\mathbb{C}}^2$, not contained in a common complex line. Then there exists a unique order-three regular elliptic isometry E such that $E(p) = q$ and $E(q) = r$.*

Suppose that $T \in \text{SU}(2, 1)$ has trace equal to 3. Then all eigenvalues of T equal 1, that is, T is *unipotent*. If T is diagonalisable then it must be the identity; if it is nondiagonalisable then it must fix a point of $\partial\mathbf{H}_{\mathbb{C}}^2$. Conjugating within $\text{SU}(2, 1)$ if necessary, we may assume that T fixes q_{∞} . This implies that T is upper triangular with each diagonal element equal to 1.

Lemma 2.5 [15, Section 4.2] *Suppose that $[w, s] \in \partial\mathbf{H}_{\mathbb{C}}^2 - \{q_{\infty}\}$. Then there is a unique $T_{[w,s]} \in \text{SU}(2, 1)$ taking the point $[0, 0] \in \partial\mathbf{H}_{\mathbb{C}}^2$ to $[w, s]$. As a matrix this map is*

$$(5) \quad T_{[w,s]} = \begin{bmatrix} 1 & -\bar{w}\sqrt{2} & -|w|^2 + is \\ 0 & 1 & w\sqrt{2} \\ 0 & 0 & 1 \end{bmatrix}.$$

Moreover, composition of such elements gives $\partial\mathbf{H}_{\mathbb{C}}^2 - \{q_{\infty}\}$ the structure of the Heisenberg group

$$[w, s] \cdot [z, t] = [w + z, s + t - 2\text{Im}(z\bar{w})]$$

and $T_{[w,s]}$ acts as left Heisenberg translation on $\partial\mathbf{H}_{\mathbb{C}}^2 - \{q_{\infty}\}$.

The action of $T_{[w,s]}$ on horospherical coordinates is

$$T_{[w,s]}: (z, t, u) \mapsto (w + z, s + t - 2\text{Im}(z\bar{w}), u).$$

An important observation is that this is an affine map, namely a translation and shear.

We can restate Lemma 2.5 in an invariant way. This result is actually true for any parabolic conjugacy class, as a special case of [26, Proposition 3.1].

Proposition 2.6 *Let (p_1, p_2, p_3) be a triple of pairwise distinct points in $\partial H_{\mathbb{C}}^2$. Then there is a unique unipotent element of $\text{PU}(2, 1)$ fixing p_1 and taking p_2 to p_3 .*

Proof We can choose $A \in \text{SU}(2, 1)$ taking p_1 to q_{∞} and p_2 to $[0, 0]$. The result then follows from Lemma 2.5. □

2.3 Totally geodesic subspaces

Maximal totally geodesic subspaces of $H_{\mathbb{C}}^2$ have real dimension 2, and they fall into two types. Complex lines are intersections with $H_{\mathbb{C}}^2$ of projective lines in $\mathbb{C}P^2$. By Hermitian duality, any complex line L is polar to a point in $\mathbb{C}P^2$ that is outside the closure of $H_{\mathbb{C}}^2$. Any lift of this point is called a polar vector to L . Any two distinct points p and q in the closure of $H_{\mathbb{C}}^2$ belong to a unique complex line, and a vector polar to this line is given by $p \boxtimes q = H \overline{p \wedge q}$. This can be verified directly using $\langle x, y \rangle = y^* H x$ and the fact that, here, $H^2 = 1$. A more general description of cross-products in Hermitian vector spaces can be found in [15, Section 2.2.7].

The other type of maximal totally geodesic subspace is a Lagrangian plane. Lagrangian planes are $\text{PU}(2, 1)$ images of the set of real points $H_{\mathbb{R}}^2 \subset H_{\mathbb{C}}^2$. In particular, real planes are fixed points sets of antiholomorphic isometric involutions (sometimes called *real symmetries*). The symmetry fixing $H_{\mathbb{R}}^2$ is complex conjugation. In turn, the symmetry about any other Lagrangian plane $M \cdot H_{\mathbb{R}}^2$, where $M \in \text{SU}(2, 1)$, is given by $z \mapsto M M^{-1} \bar{z} = M (M^{-1} z)$. Note that the matrix $N = M \overline{M^{-1}}$ satisfies $N \bar{N} = \text{id}$: this reflects the fact that real symmetries are involutions. We refer the reader to [15, Chapters 3 and 4].

2.4 Isometric spheres

Definition 2.7 For any $B \in \text{SU}(2, 1)$ that does not fix q_{∞} , the *isometric sphere* of B (denoted by $\mathcal{I}(B)$) is defined to be

$$(6) \quad \mathcal{I}(B) = \{p \in H_{\mathbb{C}}^2 \cup \partial H_{\mathbb{C}}^2 : |\langle p, q_{\infty} \rangle| = |\langle p, B^{-1}(q_{\infty}) \rangle| = |\langle B(p), q_{\infty} \rangle|\},$$

where p is the standard lift of $p \in H_{\mathbb{C}}^2 \cup \partial H_{\mathbb{C}}^2$ given in (3).

The *interior* of $\mathcal{I}(B)$ is the component of its complement in $H_{\mathbb{C}}^2 \cup \partial H_{\mathbb{C}}^2$ that does not contain q_{∞} , namely,

$$\{p \in H_{\mathbb{C}}^2 \cup \partial H_{\mathbb{C}}^2 : |\langle p, q_{\infty} \rangle| > |\langle p, B^{-1}(q_{\infty}) \rangle|\}.$$

The *exterior* of $\mathcal{I}(B)$ is the component that contains the point at infinity q_{∞}

Suppose B is written as a matrix as

$$(7) \quad B = \begin{bmatrix} a & b & c \\ d & e & f \\ g & h & j \end{bmatrix}.$$

Then $B^{-1}(q_\infty) = [\bar{j}, \bar{h}, \bar{g}]^T$. Thus B fixes q_∞ if and only if $g = 0$. If B does not fix q_∞ (that is, $g \neq 0$) the horospherical coordinates of $B^{-1}(q_\infty)$ are

$$B^{-1}(q_\infty) = \left[\frac{\bar{h}}{\bar{g}\sqrt{2}}, \operatorname{Im}\left(\frac{\bar{j}}{\bar{g}}\right) \right].$$

Lemma 2.8 [15, Section 5.4.5] *Let $B \in \operatorname{PU}(2, 1)$ be an isometry of $\mathbf{H}_\mathbb{C}^2$ not fixing q_∞ .*

- (1) *The transformation B maps $\mathcal{I}(B)$ to $\mathcal{I}(B^{-1})$, and the interior of $\mathcal{I}(B)$ to the exterior of $\mathcal{I}(B^{-1})$.*
- (2) *For any $A \in \operatorname{PU}(2, 1)$ fixing q_∞ and such that the corresponding eigenvalue has unit modulus, we have $\mathcal{I}(B) = \mathcal{I}(AB)$.*

Using the characterisation (4) of the Cygan metric in terms of the Hermitian form, the following lemma is obvious:

Lemma 2.9 *Suppose that $B \in \operatorname{SU}(2, 1)$ written in the form (7) does not fix q_∞ . Then the isometric sphere $\mathcal{I}(B)$ is the Cygan sphere in $\mathbf{H}_\mathbb{C}^2 \cup \partial\mathbf{H}_\mathbb{C}^2$ with centre $B^{-1}(q_\infty)$ and radius $r_A = 1/|g|^{1/2}$.*

The importance of isometric spheres is that they form the boundary of the *Ford polyhedron*. This is the limit of Dirichlet polyhedra as the centre point approaches $\partial\mathbf{H}_\mathbb{C}^2$; see [15, Section 9.3]. The Ford polyhedron D for a discrete group Γ is the intersection of the (closures of the) exteriors of all isometric spheres for elements of Γ not fixing q_∞ . That is,

$$D_\Gamma = \{p \in \mathbf{H}_\mathbb{C}^2 \cup \partial\mathbf{H}_\mathbb{C}^2 : |\langle p, q_\infty \rangle| \geq |\langle p, B^{-1}q_\infty \rangle| \text{ for all } B \in \Gamma \text{ with } B(q_\infty) \neq q_\infty\}.$$

Of course, just as for Dirichlet polyhedra, to construct the Ford polyhedron one must check infinitely many equalities. Therefore our method will be to guess the Ford polyhedron and check this using the Poincaré polyhedron theorem. When q_∞ is either in the domain of discontinuity or is a parabolic fixed point, the Ford polyhedron is preserved by Γ_∞ , the stabiliser of q_∞ in Γ . It is a fundamental polyhedron for the partition of Γ into Γ_∞ -cosets. In order to obtain a fundamental domain for Γ , one must intersect the Ford domain with a fundamental domain for Γ_∞ .

2.5 Cygan spheres and geographical coordinates

We now give some geometrical results about Cygan spheres. They are, in particular, applicable to isometric spheres. The Cygan sphere $\mathcal{S}_{[0,0]}(r)$ of radius $r > 0$ with centre the origin $[0, 0]$ is the (real) hypersurface of $H_{\mathbb{C}}^2 \cup \partial H_{\mathbb{C}}^2$ described in horospherical coordinates by

$$(8) \quad \mathcal{S}_{[0,0]}(r) = \{(z, t, u) : (|z|^2 + u)^2 + t^2 = r^4\}.$$

From (8) we immediately see that when written in horospherical coordinates the interior of $\mathcal{S}_{[0,0]}(r)$ is convex. The Cygan sphere $\mathcal{S}_{[w,s]}(r)$ of radius r with centre $[w, s]$ is the image of $\mathcal{S}_{[0,0]}(r)$ under the Heisenberg translation $T_{[w,s]}$. Since Heisenberg translations are affine maps in horospherical coordinates, we see that the interior of any Cygan sphere is convex. This immediately gives:

Proposition 2.10 *The intersection of two Cygan spheres is connected.*

Cygan spheres are examples of bisectors (otherwise called spinal hypersurfaces) and their intersection is an example of what Goldman calls an intersection of covertical bisectors. Thus Proposition 2.10 is a restatement of [15, Theorem 9.2.6]. There is a natural system of coordinates on bisectors in terms of totally geodesic subspaces; see [15, Section 5.1]. In particular for Cygan spheres, these are defined as follows:

Definition 2.11 Let $\mathcal{S}_{[0,0]}(r)$ be the Cygan sphere with centre the origin $[0, 0]$ and radius $r > 0$. The point $g(\alpha, \beta, w)$ of $\mathcal{S}_{[0,0]}(r)$ with *geographical coordinates* (α, β, w) is the point whose lift to \mathbb{C}^3 is

$$(9) \quad g(\alpha, \beta, w) = \begin{bmatrix} -r^2 e^{-i\alpha} \\ r w e^{i(-\alpha/2+\beta)} \\ 1 \end{bmatrix},$$

where $\beta \in [0, \pi)$, $\alpha \in [-\frac{\pi}{2}, \frac{\pi}{2}]$ and $w \in [-\sqrt{2 \cos \alpha}, \sqrt{2 \cos \alpha}]$,

Let $\mathcal{S}_{[z,t]}(r)$ be the Cygan sphere with centre $[z, t]$ and radius r . Then geographical coordinates on $\mathcal{S}_{[z,t]}(r)$ are obtained from the ones on $\mathcal{S}_{[0,0]}(r)$ by applying the Heisenberg translation $T_{[z,t]}$ to the vector (9).

We will only be interested in geographical coordinates on $\mathcal{S}_{[0,0]}(1)$, the unit Cygan sphere centred at the origin. Note that for the point $g(\alpha, \beta, w)$ of this sphere, $\langle g(\alpha, \beta, w), g(\alpha, \beta, w) \rangle = w^2 - 2 \cos \alpha$. Therefore the horospherical coordinates of $g(\alpha, \beta, w)$ are

$$\left(\frac{1}{\sqrt{2}} w e^{i(-\alpha/2+\beta)}, \sin \alpha, \cos \alpha - \frac{1}{2} w^2 \right)$$

In particular, the points of $\mathcal{S}_{[0,0]}(1)$ on $\partial\mathbf{H}_{\mathbb{C}}^2$ are those with $w = \pm\sqrt{2\cos\alpha}$.

The level sets of α and β are totally geodesic subspaces of $\mathbf{H}_{\mathbb{C}}^2$; see [15, Example 5.1.8].

Proposition 2.12 *Let $\mathcal{S}_{[w,s]}(r)$ be a Cygan sphere with geographical coordinates (α, β, w) .*

- (1) *For each $\alpha_0 \in (-\frac{\pi}{2}, \frac{\pi}{2})$, the set of points $L_{\alpha_0} = \{g(\alpha, \beta, w) \in \mathcal{S}_{[w,s]}(r) : \alpha = \alpha_0\}$ is a complex line, called a slice of $\mathcal{S}_{[w,s]}(r)$.*
- (2) *For each $\beta_0 \in [0, \pi)$, the set of points $R_{\beta_0} = \{g(\alpha, \beta, w) \in \mathcal{S}_{[w,s]}(r) : \beta = \beta_0\}$ is a Lagrangian plane, called a meridian of $\mathcal{S}_{[w,s]}(r)$.*
- (3) *The set of points with $w = 0$ is the spine of $\mathcal{S}_{[w,s]}(r)$. It is a geodesic contained in every meridian.*

Remark 2.13 From (8), it is easy to see that projections of boundaries of Cygan spheres onto the z -factor are closed Euclidean discs in \mathbb{C} . This corresponds to the vertical projection onto \mathbb{C} in the Heisenberg group. This fact is often useful to prove that two Cygan spheres are disjoint.

2.6 Cartan’s angular invariant

Élie Cartan defined an invariant of triples of pairwise distinct points p_1, p_2, p_3 in $\partial\mathbf{H}_{\mathbb{C}}^2$; see [15, Section 7.1]. For any lifts \mathbf{p}_j of p_j to \mathbb{C}^3 , this invariant is defined by $\arg(-\langle \mathbf{p}_1, \mathbf{p}_2 \rangle \langle \mathbf{p}_2, \mathbf{p}_3 \rangle \langle \mathbf{p}_3, \mathbf{p}_1 \rangle)$, where the argument is chosen to lie in $(-\pi, \pi]$. We state here some important properties of \mathbb{A} .

Proposition 2.14 [15, Sections 7.1.1 and 7.1.2] (1) $-\frac{\pi}{2} \leq \mathbb{A}(p_1, p_2, p_3) \leq \frac{\pi}{2}$ for any triple of pairwise distinct points p_1, p_2, p_3 .

- (2) $\mathbb{A}(p_1, p_2, p_3) = \pm\frac{\pi}{2}$ if and only if p_1, p_2, p_3 lie on the same complex line.
- (3) $\mathbb{A}(p_1, p_2, p_3) = 0$ if and only if p_1, p_2, p_3 lie on the same Lagrangian plane.
- (4) Two triples p_1, p_2, p_3 and q_1, q_2, q_3 have $\mathbb{A}(p_1, p_2, p_3) = \mathbb{A}(q_1, q_2, q_3)$ if and only if there exists $A \in \text{SU}(2, 1)$ such that $A(p_j) = q_j$ for $j = 1, 2, 3$.
- (5) Two triples p_1, p_2, p_3 and q_1, q_2, q_3 have $\mathbb{A}(p_1, p_2, p_3) = -\mathbb{A}(q_1, q_2, q_3)$ if and only if there exists an antiholomorphic isometry A such that $A(p_j) = q_j$ for $j = 1, 2, 3$.

The following proposition will be useful to us when we parametrise the family of classes of groups Γ .

Proposition 2.15 *Let $(\alpha_1, \alpha_2) \in (-\frac{\pi}{2}, \frac{\pi}{2})^2$. Then there exists a unique $\text{PU}(2, 1)$ -class of quadruples (p_1, p_2, p_3, p_4) of pairwise distinct boundary points of $\mathbf{H}_{\mathbb{C}}^2$ such that:*

- (1) *The complex lines L_{12} and L_{34} respectively spanned by (p_1, p_2) and (p_3, p_4) are orthogonal.*
- (2) *$\mathbb{A}(p_1, p_3, p_2) = \alpha_1$ and $\mathbb{A}(p_1, p_3, p_4) = \alpha_2$.*

Proof Since $\text{PU}(2, 1)$ acts transitively on pairs of distinct points of $\partial\mathbf{H}_{\mathbb{C}}^2$, we may assume using the Siegel model, that the points p_i are given in Heisenberg coordinates by

$$(10) \quad p_1 = q_{\infty}, \quad p_2 = [0, 0], \quad p_3 = [1, t], \quad p_4 = [z, s].$$

Using the standard lifts given in Section 2.1 (denoted by \mathbf{p}_i), we see by a direct computation using the Hermitian cross-product that

$$\langle \mathbf{p}_1 \boxtimes \mathbf{p}_2, \mathbf{p}_3 \boxtimes \mathbf{p}_4 \rangle = |z|^2 - 1 + i(t - s).$$

Thus the condition $L_{12} \perp L_{34}$ gives $|z| = 1$ and $t = s$. We thus write $z = e^{i\theta}$ with $\theta \in [0, 2\pi)$. Now computing the triple products we see that

$$\begin{aligned} \mathbb{A}(p_1, p_3, p_2) &= \arg(1 - it), \\ \mathbb{A}(p_1, p_3, p_4) &= \arg(1 - \bar{z}) = \arg(2ie^{i\theta/2} \sin(\frac{1}{2}\theta)). \end{aligned}$$

In particular, α_1 and α_2 determine the values of t and θ . □

3 The parameter space

3.1 Coordinates

Our space of interest is the following:

Definition 3.1 Let \mathcal{U} be the set of $\text{PU}(2, 1)$ -conjugacy classes of nonelementary pairs (A, B) such that A , B and AB are unipotent.

Here, by nonelementary, we mean that the two isometries A and B have no common fixed point in $\partial\mathbf{H}_{\mathbb{C}}^2$. In fact, a slightly stronger statement will follow from Theorem 3.2 below. Namely A and B do not preserve a common complex line and so they have no common fixed point in $\mathbb{C}P^2$ (see Section 2.3). Another way to see this is that if A in $\text{PU}(2, 1)$ is unipotent and preserves a complex line, then its action on that complex line is via a unipotent element of $\text{SL}(2, \mathbb{R})$ (that is, parabolic with trace $+2$). It is

well known that if A and B are unipotent elements of $SL(2, \mathbb{R})$ whose product is also unipotent then A and B must share a fixed point (if A , B and AB are all parabolic with distinct fixed points, at least one of them should have trace -2).

Note that $BA = A^{-1}(AB)A = B(AB)B^{-1}$ and so if AB is unipotent then so is BA . If p_{AB} and p_{BA} in $\partial H_{\mathbb{C}}^2$ are the fixed points of AB and BA then we have $A(p_{BA}) = p_{AB}$ and $B(p_{AB}) = p_{BA}$. From Proposition 2.6 this means that A and B are uniquely determined by the fixed points of A , B , AB and BA . We describe a set of coordinates on \mathcal{U} expressed in terms of the Cartan invariants of triples of these fixed points.

Theorem 3.2 *There is a bijection between \mathcal{U} and the open square $(\alpha_1, \alpha_2) \in (-\frac{\pi}{2}, \frac{\pi}{2})^2$, which is given by the map*

$$\Lambda: (A, B) \mapsto (\mathbb{A}(p_A, p_{AB}, p_B), \mathbb{A}(p_A, p_{AB}, p_{BA})),$$

where p_A , p_B , p_{AB} and p_{BA} are the parabolic fixed points of the corresponding isometries.

This result can be seen as a special case of the main result of [26]. For completeness, we include here a direct proof.

Proof First, the two quantities $\alpha_1 = \mathbb{A}(p_A, p_{AB}, p_B)$ and $\alpha_2 = \mathbb{A}(p_A, p_{AB}, p_{BA})$ are invariant under $PU(2, 1)$ -conjugation and thus the map Λ is well-defined. Let us first prove that the image of Λ is contained in $(-\frac{\pi}{2}, \frac{\pi}{2})^2$. In other words, we must show $\alpha_1 \neq \pm \frac{\pi}{2}$ and $\alpha_2 \neq \pm \frac{\pi}{2}$.

Fix a choice of lifts p_A , p_B , p_{AB} and p_{BA} for the fixed points of A , B , AB and BA . Since the fixed points are assumed to be distinct, we see that the Hermitian product of each pair of these vectors does not vanish. The conditions $A(p_{BA}) = p_{AB}$ and $B(p_{AB}) = p_{BA}$ imply that there exist two nonzero complex numbers λ and μ satisfying

$$A p_{BA} = \lambda p_{AB} \quad \text{and} \quad B p_{AB} = \mu p_{BA}.$$

As AB is unipotent, its eigenvalue associated to p_{AB} is 1, and therefore $\lambda\mu = 1$. Moreover, using the fact that p_A and p_B are eigenvectors of A and B with eigenvalue 1, we have

$$\begin{aligned} \langle p_{BA}, p_A \rangle &= \langle A p_{BA}, A p_A \rangle = \lambda \langle p_{AB}, p_A \rangle, \\ \langle p_{AB}, p_B \rangle &= \langle B p_{AB}, B p_B \rangle = \mu \langle p_{BA}, p_B \rangle. \end{aligned} \tag{11}$$

Using $\lambda\mu = 1$ and (11), it is not hard to show that $n_1 = \lambda p_{AB} - p_{BA}$ is a polar vector for the complex line L_1 spanned by p_A and p_B (see Section 2.3). Moreover, $\langle p_{AB}, n_1 \rangle = -\langle p_{AB}, p_{BA} \rangle \neq 0$. Thus p_{AB} does not lie on L_1 . That is, the three points p_A , p_B , p_{AB} do not lie on the same complex line and so $\alpha_1 \neq \pm \frac{\pi}{2}$.

Likewise, again using $\lambda\mu = 1$ and (11) we find that $\mathbf{n}_2 = \langle \mathbf{p}_B, \mathbf{p}_{AB} \rangle \mathbf{p}_A - \langle \mathbf{p}_A, \mathbf{p}_{AB} \rangle \mathbf{p}_B$ is a polar vector for L_2 and $\langle \mathbf{p}_A, \mathbf{n}_2 \rangle = -\langle \mathbf{p}_A, \mathbf{p}_{AB} \rangle \langle \mathbf{p}_A, \mathbf{p}_B \rangle \neq 0$. Hence p_A does not lie on L_2 and so $\alpha_2 \neq \pm \frac{\pi}{2}$. We remark that, by construction, we have $\langle \mathbf{n}_1, \mathbf{n}_2 \rangle = 0$ and so in fact L_1 and L_2 are orthogonal.

To see that Λ is surjective, fix (α_1, α_2) in $(-\frac{\pi}{2}, \frac{\pi}{2})^2$ and define

$$(12) \quad x_1 = \sqrt{2 \cos \alpha_1} \quad \text{and} \quad x_2 = \sqrt{2 \cos \alpha_2} \quad \text{for } \alpha_i \in (-\frac{\pi}{2}, \frac{\pi}{2}),$$

so $x_1, x_2 \in \mathbb{R}_+^*$. Now consider the elements of $SU(2, 1)$

$$(13) \quad A = \begin{bmatrix} 1 & -x_1 x_2^2 & -x_1^2 x_2^2 e^{-i\alpha_2} \\ 0 & 1 & x_1 x_2^2 \\ 0 & 0 & 1 \end{bmatrix} \quad \text{and} \quad B = \begin{bmatrix} 1 & 0 & 0 \\ x_1 x_2^2 e^{-i\alpha_1} & 1 & 0 \\ -x_1^2 x_2^2 e^{i\alpha_2} & -x_1 x_2^2 e^{i\alpha_1} & 1 \end{bmatrix},$$

Clearly, A and B are unipotent, and AB is also unipotent since $\text{tr}(AB) = 3$. The four fixed points can be lifted to the vectors

$$(14) \quad \mathbf{p}_A = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \quad \mathbf{p}_B = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, \quad \mathbf{p}_{AB} = \begin{bmatrix} -e^{i\alpha_1} \\ x_1 e^{i\alpha_2} \\ 1 \end{bmatrix}, \quad \mathbf{p}_{BA} = \begin{bmatrix} -e^{i\alpha_1} \\ -x_1 e^{-i\alpha_2} \\ 1 \end{bmatrix}.$$

They satisfy $\mathbb{A}(\mathbf{p}_A, \mathbf{p}_{AB}, \mathbf{p}_B) = \alpha_1$ and $\mathbb{A}(\mathbf{p}_A, \mathbf{p}_{AB}, \mathbf{p}_{BA}) = \alpha_2$. Note that when either α_1 or α_2 tends to $\pm \frac{\pi}{2}$ (that is, x_1 or x_2 , respectively, tends to 0), A and B both tend to the identity matrix.

To see that Λ is injective, it suffices to prove that the quadruple $(\mathbf{p}_A, \mathbf{p}_B, \mathbf{p}_{AB}, \mathbf{p}_{BA})$ is uniquely determined by (α_1, α_2) up to isometry. Indeed, once this quadruple is fixed, A and B are uniquely determined by Proposition 2.6. The above discussion has proved that for any pair (A, B) in \mathcal{U} the two complex lines spanned by $(\mathbf{p}_A, \mathbf{p}_B)$ and $(\mathbf{p}_{AB}, \mathbf{p}_{BA})$, respectively, are orthogonal. The result then follows straightforwardly from Proposition 2.15. □

From now on, we will identify any conjugacy class of pair in \mathcal{U} with its representative given by (13). We will repeatedly use the notation $x_i = \sqrt{2 \cos \alpha_i}$ from (12) and, when necessary, we will freely combine x_i with trigonometric notation. It should be noted that the unipotent isometry A given by (13) is equal to the Heisenberg translation $T_{[\ell_A, t_A]}$ (see Lemma 2.5), where

$$(15) \quad \begin{aligned} \ell_A &= \frac{1}{\sqrt{2}} x_1 x_2^2 = 2 \cos \alpha_1 \cos^2 \alpha_2, \\ t_A &= x_1^2 x_2^2 \sin \alpha_2 = 4 \cos \alpha_1 \cos \alpha_2 \sin \alpha_2. \end{aligned}$$

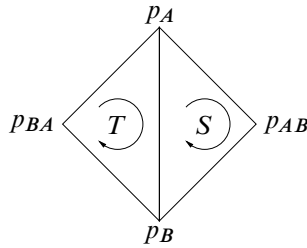


Figure 3: Action of S and T on the tetrahedron $(p_A, p_B, p_{AB}, p_{BA})$

3.2 Products of order-3 elliptics

The following proposition gives a decomposition of pairs in \mathcal{U} that we will use in the rest of this work.

Proposition 3.3 *For any pair $(A, B) \in \mathcal{U}$, there exists a unique pair of isometries (S, T) such that:*

- (1) *Both S and T have order three, and they cyclically permute (p_A, p_{AB}, p_B) and (p_A, p_B, p_{BA}) , respectively.*
- (2) *$A = ST$ and $B = TS$.*

Proof The first item is a direct consequence of Lemma 2.4 (note that neither of the triples (p_A, p_{AB}, p_B) or (p_A, p_B, p_{BA}) is contained in a complex line by Theorem 3.2). The action of S and T is summed up on Figure 3. From this, we see that ST (resp. TS) fixes p_A (resp. p_B) and maps p_{BA} to p_{AB} (resp. p_{AB} to p_{BA}). Provided that ST and TS are unipotent, this suffices to prove the second item by Proposition 2.6. To see that ST and TS are indeed unipotent, we can use the lifts of p_A, p_B, p_{AB} and p_{BA} given by (14). In this case we have

$$(16) \quad \begin{aligned} S &= e^{-i\alpha_1/3} \begin{bmatrix} e^{i\alpha_1} & x_1 e^{i\alpha_1 - i\alpha_2} & -1 \\ -x_1 e^{i\alpha_2} & -e^{i\alpha_1} & 0 \\ -1 & 0 & 0 \end{bmatrix}, \\ T &= e^{i\alpha_1/3} \begin{bmatrix} 0 & 0 & -1 \\ 0 & -e^{-i\alpha_1} & -x_1 e^{-i\alpha_1 - i\alpha_2} \\ -1 & x_1 e^{i\alpha_2} & e^{-i\alpha_1} \end{bmatrix}, \end{aligned}$$

where, as usual, $x_i = \sqrt{2 \cos \alpha_i}$; see (12). Computing the products ST and TS gives the result. □

We will use the notation S and T for these order-three symmetries throughout the paper. A more geometric proof of the existence of order-three elliptic isometries decomposing pairs of parabolics as above can be found in a slightly more general context in [26].

One consequence of the existence of this decomposition as a product of order-three elliptics is that any group generated by a pair (A, B) in \mathcal{U} is the image of the fundamental group of the Whitehead link complement by a morphism to $\text{PU}(2, 1)$. This follows directly from the following:

Proposition 3.4 *The free product $\mathbb{Z}_3 * \mathbb{Z}_3$ is a quotient of the fundamental group of the Whitehead link complement.*

Proof The fundamental group of the Whitehead link complement is presented by $\pi = \langle u, v \mid \text{rel}(u, v) \rangle$, where

$$\text{rel}(u, v) = [u, v] \cdot [u, v^{-1}] \cdot [u^{-1}, v^{-1}] \cdot [u^{-1}, v].$$

Making the substitution $u = st$ and $v = tst$, we observe $\text{rel}(st, tst) = [st, s^{-1}t^{-3}s^{-2}]$. This relation is trivial whenever $s^3 = t^3 = 1$. Therefore, one defines a morphism $\mu: \pi \rightarrow \mathbb{Z}_3 * \mathbb{Z}_3$ by setting $\mu(u) = st$ and $\mu(v) = tst$. The morphism μ is surjective: t is the image of vu^{-1} and s the image of u^2v^{-1} . \square

3.3 Symmetries of the moduli space

The parameters (α_1, α_2) determine Γ up to $\text{PU}(2, 1)$ conjugation. We now show that there is an antiholomorphic conjugation that changes the sign of both α_1 and α_2 .

Proposition 3.5 *There is an antiholomorphic involution ι with the properties:*

- (1) ι interchanges p_A and p_B and interchanges p_{AB} and p_{BA} .
- (2) ι conjugates S to T and A to B (and vice versa).
- (3) ι conjugates the group Γ with parameters (α_1, α_2) to the group with parameters $(-\alpha_1, -\alpha_2)$.

Proof The action on \mathbb{C}^3 of ι is

$$\iota : \begin{bmatrix} z_1 \\ z_2 \\ z_3 \end{bmatrix} \mapsto \begin{bmatrix} \bar{z}_3 \\ e^{-i\alpha_1} \bar{z}_2 \\ \bar{z}_1 \end{bmatrix}.$$

It is easy to see that ι^2 is the identity and that ι sends p_A to p_B and sends p_{AB} to $(-e^{-i\alpha_1})p_{BA}$. Projectivising gives the first part.

Since A is the unique unipotent map fixing p_A and sending p_{BA} to p_{AB} , we see $\iota A \iota$ is the unique unipotent map fixing $\iota(p_A) = p_B$ and sending $\iota(p_{BA}) = p_{AB}$ to $\iota(p_{AB}) = p_{BA}$. Thus $\iota A \iota = B$ and so $\iota B \iota = A$. Applying **Proposition 3.3** we see that $\iota S \iota = T$ and $\iota T \iota = S$, proving the second part.

The parameters for the group $\iota\Gamma\iota$ are $\mathbb{A}(\iota p_A, \iota p_{AB}, \iota p_B) = \mathbb{A}(p_B, p_{BA}, p_A) = -\alpha_1$ and $\mathbb{A}(\iota p_A, \iota p_{AB}, \iota p_{BA}) = \mathbb{A}(p_B, p_{BA}, p_{AB}) = -\alpha_2$. This completes the proof. \square

There are other symmetries of the parameter space \mathcal{U} that, in general, do not arise from conjugation by isometries.

Proposition 3.6 *Let $\phi_h: (\alpha_1, \alpha_2) \mapsto (\alpha_1, -\alpha_2)$ and $\phi_v: (\alpha_1, \alpha_2) \mapsto (-\alpha_1, \alpha_2)$ denote the symmetries about the horizontal and vertical axes of the (α_1, α_2) -square. Then $\phi_h \circ \phi_v$ induces the conjugation by ι given in Proposition 3.5. Moreover:*

- (1) *The symmetry ϕ_h induces the changes of generators $(S, T) \mapsto (T^{-1}, S^{-1})$ and $(A, B) \mapsto (A^{-1}, B^{-1})$.*
- (2) *The symmetry ϕ_v induces the changes of generators $(S, T) \mapsto (S^{-1}, T^{-1})$ and $(A, B) \mapsto (B^{-1}, A^{-1})$,*

Proof Applying the change ϕ_h to the points in (14) and multiplying by the diagonal element $\text{diag}(1, -1, 1) \in \text{PU}(2, 1)$ fixes p_A and p_B and swaps p_{AB} and p_{BA} . Therefore it sends S to the map cyclically permuting (p_A, p_{BA}, p_B) , which is T^{-1} . Similarly it sends T to S^{-1} .

It is clear that the change of generators $(S, T) \mapsto (T^{-1}, S^{-1})$ sends $A = ST$ to $T^{-1}S^{-1} = A^{-1}$ and $B = TS$ to $S^{-1}T^{-1} = B^{-1}$.

The change of generators $(A, B) \mapsto (A^{-1}, B^{-1})$ fixes p_A and p_B . Since it sends AB to $A^{-1}B^{-1} = (BA)^{-1}$, it sends p_{AB} to p_{BA} , and similarly sends p_{BA} to p_{AB} . From this we can calculate the new Cartan invariants and we obtain the symmetry ϕ_h .

Hence all three conditions in the first part are equivalent. The second part then follows the first part and Proposition 3.5 by first applying ϕ_h and then conjugating by ι . \square

The fixed-point sets of these automorphisms are related to \mathbb{R} -decomposability and \mathbb{C} -decomposability of Γ .

Definition 3.7 (compare Will [36]) A pair (S, T) of elements in $\text{PU}(2, 1)$ is \mathbb{R} -decomposable if there exist three antiholomorphic involutions $(\iota_1, \iota_2, \iota_3)$ such that $S = \iota_2\iota_1$ and $T = \iota_1\iota_3$.

A pair (S, T) of elements in $\text{PU}(2, 1)$ is \mathbb{C} -decomposable if there exists three involutions (I_1, I_2, I_3) in $\text{PU}(2, 1)$ such that $S = I_2I_1$ and $T = I_1I_3$.

The properties of \mathbb{R} - and \mathbb{C} -decomposability have also been studied (in the special case of pairs of loxodromic isometries) from the point of view of traces in $\text{SU}(2, 1)$ in [36], and (in the general case) using cross-ratios in [27]. We could take either point of view here, but instead we choose to argue directly with fixed points.

Proposition 3.8 *Let (A, B) be in \mathcal{U} and (S, T) be the corresponding elliptic isometries.*

- (1) *If $\alpha_1 = 0$, then the pair (S, T) is \mathbb{C} -decomposable and the pair (A, B) is \mathbb{R} -decomposable. In particular, $\langle S, T \rangle$ has index 2 in a $(3, 3, \infty)$ -triangle group.*
- (2) *If $\alpha_2 = 0$, then the pair (S, T) is \mathbb{R} -decomposable and the pair (A, B) is \mathbb{C} -decomposable. In particular, $\langle A, B \rangle$ has index two in a complex hyperbolic ideal triangle group.*

Proof Consider the antiholomorphic involution $\iota_1: [z_1, z_2, z_3] \mapsto [\bar{z}_1, -\bar{z}_2, \bar{z}_3]$. Applying ι_1 to the points in (14) with $\alpha_1 = 0$, we see that ι_1 fixes p_A and p_B and interchanges p_{AB} and p_{BA} . Therefore ι_1 conjugates A to A^{-1} and B to B^{-1} . Hence $A\iota_1 A\iota_1$ and $\iota_1 B\iota_1 B$ are the identity. That is, $\iota_2 = A\iota_1$ and $\iota_3 = \iota_1 B$ are involutions. Hence (A, B) is \mathbb{R} -decomposable.

Again assuming $\alpha_1 = 0$, consider the holomorphic involution defined by $I_1 = \iota_1 \iota$ (where ι is the involution defined in Proposition 3.5). Then I_1 fixes p_{AB} and p_{BA} and interchanges p_A and p_B . Therefore, it conjugates S to S^{-1} and T to T^{-1} . This means $I_2 = SI_1$ and $I_3 = I_1T$ are involutions. Hence (S, T) is \mathbb{C} -decomposable.

Now consider the holomorphic involution $I'_1: [z_1, z_2, z_3] \mapsto [z_1, -z_2, z_3]$. This fixes p_A and p_B and when $\alpha_2 = 0$ it interchanges p_{AB} and p_{BA} . As above this means $I'_2 = AI'_1$ and $I'_3 = I'_1B$ are involutions and (A, B) is \mathbb{C} -decomposable. Finally, define $\iota'_1 = I'_1 \iota$. Arguing as above, again with $\alpha_2 = 0$, we see that $\iota'_2 = S\iota'_1$ and $\iota'_3 = \iota'_1 T$ are involutions. Hence (S, T) is \mathbb{R} -decomposable. □

As indicated above, when $\alpha_1 = 0$ the group generated by (I_1, I_2, I_3) is a $(3, 3, \infty)$ reflection triangle group. This group can be thought of as a limit as n tends to infinity of the $(3, 3, n)$ -triangle groups which have been studied by Parker, Wang and Xie [25]. The special case $(3, 3, 4)$ has been studied by Falbel and Deraux [8]. Both [8] and [25] constructed Dirichlet domains, and the Ford domain we construct can be seen as a limit of these. Moreover, \mathbb{R} -decomposability of the pair (A, B) when $\alpha_1 = 0$ can be used to show that these groups correspond to the bending representations of the fundamental group of a 3-punctured sphere that have been studied in [37]. Ideal triangle groups have been studied in great detail in [16; 31; 30; 33; 34].

3.4 Isometry type of the commutator

The isometry type of the commutator will play an important role in the rest of this paper. It is easily described using the order-three elliptic maps given by Proposition 3.3.

Proposition 3.9 *The commutator $[A, B]$ has the same isometry type as ST^{-1} . More precisely, consider $\mathcal{G}(x_1^4, x_2^4) = \mathcal{G}(4 \cos^2 \alpha_1, 4 \cos^2 \alpha_2)$, where*

$$\mathcal{G}(x, y) = x^2y^4 - 4x^2y^3 + 18xy^2 - 27.$$

Then $[A, B]$ is loxodromic (resp. parabolic, elliptic) if and only if $\mathcal{G}(x_1^4, x_2^4)$ is positive (resp. zero, negative).

Proof First, from $A = ST$, $B = TS$ and the fact that S and T have order 3, we see that

$$[A, B] = ABA^{-1}B^{-1} = STTST^{-1}S^{-1}S^{-1}T^{-1} = (ST^{-1})^3.$$

This implies that $[A, B]$ has the same isometry type as ST^{-1} unless ST^{-1} is elliptic of order three, in which case $[A, B]$ is the identity. This would mean that A and B commute, which cannot be because their fixed point sets are disjoint.

Representatives of S and T in $SU(2, 1)$ are given in (16). A direct calculation using these matrices shows that $\text{tr}(ST^{-1}) = x_1^2x_2^4e^{i\alpha_1/3}$. The function $\mathcal{G}(x_1^4, x_2^4)$ above is obtained by plugging this value in the function \mathcal{F} given in Proposition 2.3. \square

The null locus of $\mathcal{G}(4 \cos^2 \alpha_1, 4 \cos^2 \alpha_2)$ in the square $(-\frac{\pi}{2}, \frac{\pi}{2})^2$ is a curve, which we will refer to as the *parabolicity curve* and denote by \mathcal{P} . It is depicted on Figure 4. Similarly, the region where \mathcal{G} is positive (thus $[A, B]$ loxodromic) will be denoted by \mathcal{L} . It is a topological disc, which is the connected component of the complement of the curve \mathcal{P} that contains the origin. The region where $[A, B]$ is elliptic will be denoted by \mathcal{E} .

4 Isometric spheres and their intersections

4.1 Isometric spheres for S , S^{-1} and their A -translates

In this section we give details of the isometric spheres that will contain the sides of our polyhedron D . The polyhedron D is our guess for the Ford polyhedron of Γ , subject to the combinatorial restriction discussed in Section 4.2.

We start with the isometric spheres $\mathcal{I}(S)$ and $\mathcal{I}(S^{-1})$ for S and its inverse. From the matrix for S given in (16), using Lemma 2.9 we see that $\mathcal{I}(S)$ and $\mathcal{I}(S^{-1})$ have radius $1/|-e^{-i\alpha_1/3}|^{1/2} = 1$ and centres $S^{-1}(q_\infty) = p_B$ and $S(q_\infty) = p_{AB}$, respectively; see (14). In particular, $\mathcal{I}(S)$ is the Cygan sphere $\mathcal{S}_{[0,0]}(1)$ of radius 1 centred at the origin; see (8). In our computations we will use geographical coordinates in $\mathcal{I}(S)$ as in Definition 2.11. The polyhedron D will be the intersection of the exteriors of $\mathcal{I}(S^{\pm 1})$ and all their translates by powers of A . We now fix some notation:

Definition 4.1 For $k \in \mathbb{Z}$ let \mathcal{I}_k^+ be the isometric sphere $\mathcal{I}(A^k S A^{-k}) = A^k \mathcal{I}(S)$ and let \mathcal{I}_k^- be the isometric sphere $\mathcal{I}(A^k S^{-1} A^{-k}) = A^k \mathcal{I}(S^{-1})$.

With this notation, we have:

Proposition 4.2 For any integer $k \in \mathbb{Z}$, the isometric sphere \mathcal{I}_k^+ has radius 1 and is centred at the point with Heisenberg coordinates $[k\ell_A, kt_A]$, where ℓ_A and t_A are as in (15). Similarly, the isometric sphere \mathcal{I}_k^- has radius 1 and centre the point with Heisenberg coordinates $[k\ell_A + \sqrt{\cos \alpha_1} e^{i\alpha_2}, -\sin \alpha_1]$.

Proof As A is unipotent and fixes q_∞ , it is a Cygan isometry, and thus preserves the radius of isometric spheres. This gives the part about radius. Moreover, it follows directly from (13) that A^k acts on the boundary of $\mathbf{H}_\mathbb{C}^2$ by left Heisenberg multiplication by $[k\ell_A, kt_A]$. This gives the part about centres by a straightforward verification. \square

The following proposition describes a symmetry of the family $\{\mathcal{I}_k^\pm : k \in \mathbb{Z}\}$ which will be useful in the study of intersections of the isometric spheres \mathcal{I}_k^\pm .

Proposition 4.3 Let φ be the antiholomorphic isometry $S\iota = \iota T$, where ι is as in Proposition 3.5. Then $\varphi^2 = A$, and φ acts on the Heisenberg group as a screw motion preserving the affine line parametrised by

$$(17) \quad \Delta_\varphi = \left\{ \delta_\varphi(x) = \left[x + \frac{i}{2} \sqrt{\cos \alpha_1} \sin \alpha_2, x \sqrt{\cos \alpha_1} \sin \alpha_2 - \frac{1}{2} \sin \alpha_1 \right] : x \in \mathbb{R} \right\}.$$

Moreover, φ acts on isometric spheres as $\varphi(\mathcal{I}_k^+) = \mathcal{I}_k^-$ and $\varphi(\mathcal{I}_k^-) = \mathcal{I}_{k+1}^+$ for all $k \in \mathbb{Z}$.

Proof Using the fact that $T = \iota S \iota$ we see that $A = ST = S\iota S\iota = \varphi^2$. Moreover, $\varphi(p_A) = S\iota(p_A) = S(p_B) = p_A$. Hence φ is a Cygan isometry. It follows by direct calculation that φ sends $\delta_\varphi(x)$ to $\delta_\varphi(x + \frac{1}{2}\ell_A)$, and so preserves Δ_φ . Moreover,

$$\varphi(p_{BA}) = S\iota(p_{BA}) = S(p_{AB}) = p_B, \quad \varphi(p_B) = S\iota(p_B) = S(p_A) = p_{AB}.$$

Hence, φ sends \mathcal{I}_{-1}^- to \mathcal{I}_0^+ since it is a Cygan isometry mapping the centre of \mathcal{I}_{-1}^- to the centre of \mathcal{I}_0^+ . Similarly, φ sends \mathcal{I}_0^+ to \mathcal{I}_0^- . The action on other isometric spheres follows since $\varphi^2 = A$. \square

4.2 A combinatorial restriction

The following section is the crucial technical part of our work. As most of the proofs are computational, we will omit many of them here; they will be provided in Section 7. We are now going to restrict our attention to those parameters in the region \mathcal{L} such that the three isometric spheres $\mathcal{I}_0^+ = \mathcal{I}(S)$, $\mathcal{I}_0^- = \mathcal{I}(S^{-1})$ and $\mathcal{I}_{-1}^- = \mathcal{I}(T)$ have no

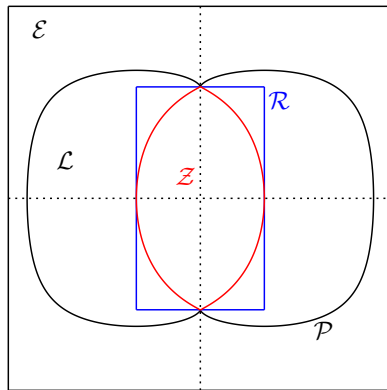


Figure 4: The parameter space, with the parabolicity curve \mathcal{P} and the regions \mathcal{E} and \mathcal{L} . The region \mathcal{Z} is the central region, which is contained in the rectangle \mathcal{R} .

triple intersection. We will describe the region we are interested in by an inequality on α_1 and α_2 . Prior to stating it, let us fix a little notation.

We let $\alpha_2^{\text{lim}} = \arccos \sqrt{3/8}$. The two points $(0, \pm\alpha_2^{\text{lim}})$ are the cusps of the curve \mathcal{P} ; they satisfy $\mathcal{G}(4 \cos^2 0, 4 \cos^2 \alpha_2^{\text{lim}}) = \mathcal{G}(4, \frac{3}{2}) = 0$ (see Figure 4). Now, let \mathcal{R} be the rectangle (depicted in Figure 4) defined by

$$(18) \quad \mathcal{R} = \{(\alpha_1, \alpha_2) : |\alpha_1| \leq \frac{\pi}{6}, |\alpha_2| \leq \alpha_2^{\text{lim}}\}.$$

We remark that in Lemma 7.3 we will prove that when $(\alpha_1, \alpha_2) \in \mathcal{R}$, the commutator $[A, B]$ is nonelliptic. This means that \mathcal{R} is contained in the closure of \mathcal{L} .

Definition 4.4 Let \mathcal{Z} be the subset of \mathcal{R} where the triple intersection $\mathcal{I}_0^+ \cap \mathcal{I}_{-1}^- \cap \mathcal{I}_0^-$ is empty.

The following proposition characterises those points (α_1, α_2) that lie in \mathcal{Z} :

Proposition 4.5 A parameter $(\alpha_1, \alpha_2) \in \mathcal{R}$ is in \mathcal{Z} if and only if it satisfies

$$\mathcal{D}(x_1^4, x_2^4) = \mathcal{D}(4 \cos^2 \alpha_1, 4 \cos^2 \alpha_2) > 0,$$

where \mathcal{D} is the polynomial given by

$$\mathcal{D}(x, y) = x^3 y^3 - 9x^2 y^2 - 27x y^2 + 81x y - 27x - 27.$$

The region \mathcal{Z} is depicted in Figure 4; it is the interior of the central region of the figure. In fact, \mathcal{Z} is the region in all of \mathcal{L} where $\mathcal{I}_0^+ \cap \mathcal{I}_{-1}^- \cap \mathcal{I}_0^-$ is empty, but, as proving this is more involved, we restrict ourselves to the rectangle \mathcal{R} . This provides a priori

bounds on the parameters α_1 and α_2 that will make our computations easier. We will prove [Proposition 4.5](#) in [Section 7.3](#). It relies on [Proposition 4.6](#), describing the set of points where $\mathcal{D}(x_1^4, x_2^4) > 0$, and on [Proposition 4.7](#), which gives geometric properties of the triple intersection. Proofs of [Propositions 4.6](#) and [4.7](#) will be given in [Sections 7.2](#) and [7.1](#), respectively.

Proposition 4.6 *The region \mathcal{Z} is an open topological disc in \mathcal{R} , symmetric about the axes and intersecting them in the intervals*

$$\left\{ \alpha_2 = 0, -\frac{\pi}{6} < \alpha_1 < \frac{\pi}{6} \right\} \quad \text{and} \quad \left\{ \alpha_1 = 0, -\alpha_2^{\lim} < \alpha_2 < \alpha_2^{\lim} \right\}.$$

Moreover, the intersection of the closure of \mathcal{Z} with the parabolicity curve \mathcal{P} consists of the two points $(0, \pm\alpha_2^{\lim})$.

Proposition 4.7 (1) *The triple intersection $\mathcal{I}_0^+ \cap \mathcal{I}_0^- \cap \mathcal{I}_{-1}^-$ is contained in the meridian m of \mathcal{I}_0^+ defined in geographical coordinates by $\beta = \frac{1}{2}(\pi - \alpha_1)$.*

(2) *If the triple intersection $\mathcal{I}_0^+ \cap \mathcal{I}_0^- \cap \mathcal{I}_{-1}^-$ is nonempty, it contains a point in $\partial\mathbf{H}_{\mathbb{C}}^2$.*

The second part of [Proposition 4.7](#) is not true for general triples of bisectors. It will allow us to restrict ourselves to the boundary of $\mathbf{H}_{\mathbb{C}}^2$ to prove [Proposition 4.5](#). Restricting ourselves to the region \mathcal{Z} will considerably simplify the combinatorics of the family of isometric spheres $\{\mathcal{I}_k^{\pm} : k \in \mathbb{Z}\}$. The following fact will be crucial in our study; compare [Figure 5](#).

Proposition 4.8 *Fix a point (α_1, α_2) in \mathcal{Z} . Then the isometric sphere \mathcal{I}_0^+ is contained in the exterior of the isometric spheres \mathcal{I}_k^{\pm} for all k , except for \mathcal{I}_1^+ , \mathcal{I}_{-1}^+ , \mathcal{I}_0^- and \mathcal{I}_{-1}^- .*

The proof of [Proposition 4.8](#) will be detailed in [Section 7.4](#). We can give more information about the intersections \mathcal{I}_0^{\pm} with these four other isometric spheres; compare [Figure 5](#).

Proposition 4.9 *If $(\alpha_1, \alpha_2) \in \mathcal{Z}$, then the intersection $\mathcal{I}_{-1}^- \cap \mathcal{I}_0^-$ is contained in the interior of \mathcal{I}_0^+ .*

Proof Since the point p_B is the centre of \mathcal{I}_0^+ , it lies in its interior. Moreover, p_B lies on both \mathcal{I}_{-1}^- and \mathcal{I}_0^- ; indeed, $\langle p_{AB}, p_B \rangle = \langle p_{BA}, p_B \rangle = 1$. By convexity of Cygan spheres (see [Proposition 2.10](#)), the intersection of the latter two isometric spheres is connected. This implies that $\mathcal{I}_{-1}^- \cap \mathcal{I}_0^-$ is contained in the interior of \mathcal{I}_0^+ , for otherwise $\mathcal{I}_0^+ \cap \mathcal{I}_{-1}^- \cap \mathcal{I}_0^-$ would not be empty. \square

Using [Proposition 4.3](#), applying powers of φ to [Propositions 4.8](#) and [4.9](#) gives the following results describing all pairwise intersections:

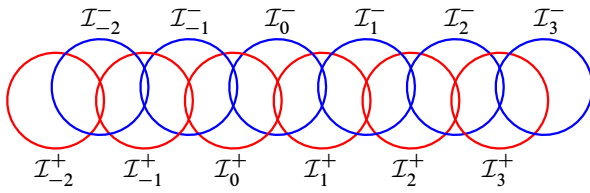


Figure 5: Vertical projections of the isometric spheres \mathcal{I}_k^\pm for small values of k at the point $(\alpha_1, \alpha_2) = (0.4, 0.3)$

Corollary 4.10 Fix $(\alpha_1, \alpha_2) \in \mathcal{Z}$. Then for all $k \in \mathbb{Z}$:

- (1) \mathcal{I}_k^+ is contained in the exterior of all isometric spheres in $\{\mathcal{I}_k^\pm : k \in \mathbb{Z}\}$ except $\mathcal{I}_{k-1}^+, \mathcal{I}_{k-1}^-, \mathcal{I}_k^-$ and \mathcal{I}_{k+1}^+ . Moreover, $\mathcal{I}_k^+ \cap \mathcal{I}_{k-1}^- \cap \mathcal{I}_k^- = \emptyset$ and $\mathcal{I}_k^+ \cap \mathcal{I}_{k-1}^+$ (resp. $\mathcal{I}_k^+ \cap \mathcal{I}_{k+1}^+$) is contained in the interior of \mathcal{I}_{k-1}^- (resp. \mathcal{I}_k^-).
- (2) \mathcal{I}_k^- is contained in the exterior of all isometric spheres in $\{\mathcal{I}_k^\pm : k \in \mathbb{Z}\}$ except $\mathcal{I}_{k-1}^-, \mathcal{I}_k^+, \mathcal{I}_{k+1}^+$, and \mathcal{I}_{k+1}^- . Moreover, $\mathcal{I}_k^- \cap \mathcal{I}_k^- \cap \mathcal{I}_{k+1}^- = \emptyset$ and $\mathcal{I}_k^- \cap \mathcal{I}_{k-1}^-$ (resp. $\mathcal{I}_k^- \cap \mathcal{I}_{k+1}^-$) is contained in the interior of \mathcal{I}_k^+ (resp. \mathcal{I}_{k+1}^+).

Proposition 4.8 and Corollary 4.10 are illustrated in Figure 5.

5 Applying the Poincaré polyhedron theorem inside \mathcal{Z}

5.1 The Poincaré polyhedron theorem

For the proof of our main result we need to use the Poincaré polyhedron theorem for coset decompositions. The general principle of this result is described in [2, Section 9.6] in the context of the Poincaré disc. A generalisation to the case of $\mathbf{H}_{\mathbb{C}}^2$ has already appeared in Mostow [22] and Deraux, Parker and Paupert [9]. In these cases the stabiliser of the polyhedron was assumed to be finite. In our case the stabiliser is the infinite cyclic group generated by the unipotent parabolic map A . There are two main differences from the version given in [9]. First, we allow the polyhedron D to have infinitely many facets; the stabiliser group Υ is also infinite, but we require that there are only finitely many Υ -orbits of facets. Secondly, we allow the boundary D to intersect $\partial\mathbf{H}_{\mathbb{C}}^2$ in an open set, which we refer to as the ideal boundary of D . In fact, the version we need has many things in common with the version given by Parker, Wang and Xie [25]. A more general statement will appear in Parker’s book [23]. In what follows we will adapt our statement of the Poincaré theorem to the case we have in mind.

The polyhedron and its cell structure Let D be an open polyhedron in $\mathbf{H}_{\mathbb{C}}^2$ and let \bar{D} denote its closure in $\overline{\mathbf{H}_{\mathbb{C}}^2} = \mathbf{H}_{\mathbb{C}}^2 \cup \partial\mathbf{H}_{\mathbb{C}}^2$. We define the ideal boundary $\partial_\infty D$ of D to be the intersection of \bar{D} with $\partial\mathbf{H}_{\mathbb{C}}^2$. This polyhedron has a natural cell structure

which we suppose is locally finite inside $H_{\mathbb{C}}^2$. We suppose that the facets of D of all dimensions are piecewise smooth submanifolds of $\overline{H_{\mathbb{C}}^2}$. Let $\mathcal{F}_k(D)$ be the collection of facets of codimension k having nontrivial intersection with $H_{\mathbb{C}}^2$. We suppose that facets are closed subsets of $\overline{H_{\mathbb{C}}^2}$. We write f° to denote the interior of a facet f , that is, the collection of points of f that are not contained in $\partial H_{\mathbb{C}}^2$ or any facet of a lower dimension (higher codimension). Elements of $\mathcal{F}_1(D)$ and $\mathcal{F}_2(D)$ are called *sides* and *ridges* of D , respectively. Since D is a polyhedron, $\mathcal{F}_0(D) = \overline{D}$ and each ridge in $\mathcal{F}_2(D)$ lies in exactly two sides in $\mathcal{F}_1(D)$. Similarly, the intersection of facets of D with $\partial H_{\mathbb{C}}^2$ gives rise to a polyhedral structure on a subset of $\partial_\infty D$. We let $\mathcal{IF}_k(D)$ denote the ideal facets of $\partial_\infty D$ of codimension k such that each facet in $\mathcal{IF}_k(D)$ is contained in some facet of $\mathcal{F}_\ell(D)$ with $\ell < k$. In particular, we will also need to consider *ideal vertices* in $\mathcal{IF}_4(D)$. These are either the endpoints of facets in $\mathcal{F}_3(D)$ or else they are points of $\partial H_{\mathbb{C}}^2$ contained in (at least) two facets of D that do not intersect inside $H_{\mathbb{C}}^2$. Note that, since we have defined ideal facets to be subsets of facets, it may be that $\partial H_{\mathbb{C}}^2$ contains points of $\partial_\infty D$ not contained in any ideal facet. In the case we consider, there will be one such point, namely the point at ∞ fixed by A .

The side pairing We suppose that there is a *side pairing* $\sigma: \mathcal{F}_1(D) \rightarrow \text{PU}(2, 1)$ satisfying the following conditions:

- (1) For each side $s \in \mathcal{F}_1(D)$ with $\sigma(s) = S$ there is another side $s^- \in \mathcal{F}_1(D)$ such that S maps s homeomorphically onto s^- preserving the cell structure. Moreover, $\sigma(s^-) = S^{-1}$. Furthermore, if $s = s^-$ then $S = S^{-1}$ and S is an involution. In this case, we call $S^2 = \text{id}$ a *reflection relation*.
- (2) For each $s \in \mathcal{F}_1(D)$ with $\sigma(s) = S$ we have

$$\overline{D} \cap S^{-1}(\overline{D}) = s \quad \text{and} \quad D \cap S^{-1}(D) = \emptyset.$$

- (3) For each w in the interior s° of s there is an open neighbourhood $U(w) \subset H_{\mathbb{C}}^2$ of w contained in $\overline{D} \cup S^{-1}(\overline{D})$.

In the example we consider, D will be the Ford domain of a group. In particular, each side s will be contained in the isometric sphere $\mathcal{I}(S)$ of $S = \sigma(s)$. Indeed, $s = \mathcal{I}(S) \cap \overline{D}$. By construction we have $S: \mathcal{I}(S) \mapsto \mathcal{I}(S^{-1})$ and in this case $s^- = \mathcal{I}(S^{-1}) \cap \overline{D}$. The polyhedron D will be the (open) infinite-sided polyhedron formed by the intersection of the exteriors of all the $\mathcal{I}(S)$ where $S = \sigma(s)$ and s varies over $\mathcal{F}_1(D)$. By construction, the sides of D are smooth hypersurfaces (with boundary) in $H_{\mathbb{C}}^2$.

Suppose that D is invariant under a group Υ that is *compatible* with the side pairing map in the sense that for all $P \in \Upsilon$ and $s \in \mathcal{F}_1(D)$ we have $P(s) \in \mathcal{F}_1(D)$ and $\sigma(Ps) = P\sigma(s)P^{-1}$. We call the latter a *compatibility relation*. We suppose that there

are finitely many Υ -orbits of facets in each $\mathcal{F}_k(D)$. Since $P \in \Upsilon$ cannot fix a side $s \in \mathcal{F}_1(D)$ pointwise, subdividing sides if necessary, we suppose that if $P \in \Upsilon$ maps a side in $\mathcal{F}_1(D)$ to itself then P is the identity. In particular, given sides s_1 and s_2 in $\mathcal{F}_1(D)$, there is at most one $P \in \Upsilon$ sending s_1 to s_2 . In the example of a Ford domain, Υ will be Γ_∞ , the stabiliser of the point ∞ in the group Γ .

Ridges and cycle relations Consider a ridge $r_1 \in \mathcal{F}_2(D)$. Then r_1 is contained in precisely two sides of D , say s_0^- and s_1 . Consider the ordered triple (r_1, s_0^-, s_1) . The side pairing map $\sigma(s_1) = S_1$ sends s_1 to the side s_1^- preserving its cell structure. In particular, $S_1(r_1)$ is a ridge of s_1^- , say r_2 . Let s_2 be the other side, containing r_2 . Then we obtain a new ordered triple (r_2, s_1^-, s_2) . Now apply $\sigma(s_2) = S_2$ to r_2 and repeat. Because there are only finitely many Υ -orbits of ridges, we eventually find an m such that the ordered triple $(r_{m+1}, s_m^-, s_{m+1}) = (P^{-1}r_1, P^{-1}s_0^-, P^{-1}s_1)$ for some $P \in \Upsilon$ (note that, by hypothesis, P is unique). We define a map $\rho: \mathcal{F}_2(D) \rightarrow \text{PU}(2, 1)$ called the *cycle transformation* by $\rho(r_1) = P \circ S_m \circ \dots \circ S_1$. (Note that for any ridge $r_1 = s_0^- \cap s_1$, the cycle transformation map $\rho(r_1) = R$ depends on a choice of one of the sides s_0^- and s_1 . If we choose the other one then the ridge cycle becomes R^{-1} . This follows from the fact that then $\sigma(s_j^-) = \sigma(s_j)^{-1}$ and from the compatibility relations.) By construction, the cycle transformation $R = \rho(r_1)$ maps the ridge r_1 to itself setwise. However, R may not be the identity on r_1 , nor on $\mathbf{H}_{\mathbb{C}}^2$. Nevertheless, we suppose that R has order n . The relation $R^n = \text{id}$ is called the *cycle relation* associated to r_1 .

Writing the cycle transformation $\rho(r_1) = R$ in terms of P and the S_j , we let $\mathcal{C}(r_1)$ be the collection of suffix subwords of R^n . That is,

$$\mathcal{C}(r_1) = \{S_j \circ \dots \circ S_1 \circ R^k : 0 \leq j \leq m - 1, 0 \leq k \leq n - 1\}.$$

We say that *the cycle condition* is satisfied at r_1 provided:

- (1) $r_1 = \bigcap_{C \in \mathcal{C}(r_1)} C^{-1}(\bar{D})$.
- (2) If $C_1, C_2 \in \mathcal{C}(r_1)$ with $C_1 \neq C_2$, then $C_1^{-1}(D) \cap C_2^{-1}(D) = \emptyset$.
- (3) For each $w \in r_1^\circ$ there is an open neighbourhood $U(w)$ of w such that

$$U(w) \subset \bigcup_{C \in \mathcal{C}(r_1)} C^{-1}(\bar{D}).$$

Ideal vertices and consistent horoballs Suppose that the set $\mathcal{IF}_4(D)$ of ideal vertices of D is nonempty. In our applications, there are no edges (that is, $\mathcal{F}_3(D)$ is empty) and the only ideal vertices arise as points of tangency between the ideal boundaries of ridges in $\mathcal{F}_2(D)$. In order to simplify our discussion below, we will only treat this case. We require that there is a system of *consistent horoballs* based at the ideal vertices and

their images under the side pairing maps (see [10, page 152] for the definition). For each ideal vertex $\xi \in \mathcal{IF}_4(D)$, the consistent horoball H_ξ is a horoball based at ξ with the following property: Let $\xi \in \mathcal{IF}_4(D)$ and let $s \in \mathcal{F}_1(D)$ be a side with $\xi \in s$. Then the side pairing $S = \sigma(s)$ maps ξ to a point ξ^- in s^- . Note that ξ^- is not necessarily an ideal vertex (since it could be that ξ is a point of tangency between two sides whose closures in $\overline{H_C^2}$ are otherwise disjoint and ξ^- may be a point of tangency between two nested bisectors only one of which contributes a side of D). In our case this does not happen and so we may assume ξ^- also lies in $\mathcal{IF}_4(D)$ and so has a consistent horoball H_{ξ^-} . In order for these horoballs to form a system of consistent horoballs we require that for each ideal vertex ξ and each side s with $\xi \in s$ the side pairing map $\sigma(s)$ should map the horoball H_ξ onto the horoball H_{ξ^-} . In particular, any cycle of side pairing maps sending ξ to itself must also send H_ξ to itself.

Statement of the Poincaré polyhedron theorem We can now state the version of the Poincaré polyhedron theorem that we need (compare [22] or [9]).

Theorem 5.1 *Let D be a smoothly embedded polyhedron D in H_C^2 together with a side pairing $\sigma: \mathcal{F}_1(D) \rightarrow \text{PU}(2, 1)$. Let $\Upsilon < \text{PU}(2, 1)$ be a group of automorphisms of D compatible with the side pairing and suppose that each $\mathcal{F}_k(D)$ contains finitely many Υ -orbits. Fix a presentation for Υ with generating set \mathcal{P}_Υ and relations \mathcal{R}_Υ . Let Γ be the group generated by \mathcal{P}_Υ and the side pairing maps $\{\sigma(s)\}$. Suppose that the cycle condition is satisfied for each ridge in $\mathcal{F}_2(D)$ and that there is a system of consistent horoballs at all the ideal vertices of D (if any). Then:*

- (1) *The images of D under the cosets of Υ in Γ tessellate H_C^2 . That is, $H_C^2 \subset \bigcup_{A \in \Gamma} A(\overline{D})$ and $D \cap A(D) = \emptyset$ for all $A \in \Gamma - \Upsilon$.*
- (2) *The group Γ is discrete and a fundamental domain for its action on H_C^2 is obtained from the intersection of D with a fundamental domain for Υ .*
- (3) *A presentation for Γ (with respect to the generating set $\mathcal{P}_\Upsilon \cup \{\sigma(s)\}$) has the following set of relations: the relations \mathcal{R}_Υ in Υ , the compatibility relations between σ and Υ , the reflection relations and the cycle relations.*

5.2 Application to our examples

We are now going to apply [Theorem 5.1](#) to the group generated by S and A . Explicit matrices for these transformations are provided in [\(13\)](#) and [\(16\)](#). Our aim is to prove:

Theorem 5.2 *Suppose that (α_1, α_2) is in \mathcal{Z} . That is, $\mathcal{D}(4 \cos^2 \alpha_1, 4 \cos^2 \alpha_1) > 0$, where $\mathcal{D}(x, y)$ is the polynomial defined in [Proposition 4.5](#). Then the group $\Gamma = \langle S, A \rangle$ associated to the parameters (α_1, α_2) is discrete and has the presentation*

$$(19) \quad \langle S, A : S^3 = (A^{-1}S)^3 = \text{id} \rangle.$$

We obtain the presentation $\langle S, T : S^3 = T^3 = \text{id} \rangle$ by changing generators to S and $T = A^{-1}S$.

Definition of the polyhedron and its cell structure The infinite polyhedron we consider is the intersection of the exteriors of all the isometric spheres in $\{\mathcal{I}_k^\pm : k \in \mathbb{Z}\}$.

Definition 5.3 We call D the intersection of the exteriors of all isometric spheres \mathcal{I}_k^+ and \mathcal{I}_k^- with centres $A^k S^{-1}(q_\infty)$ and $A^k S(q_\infty)$, respectively:

$$(20) \quad D = \{q \in \mathbf{H}_\mathbb{C}^2 : d_{\text{Cyg}}(q, A^k S^{\pm 1}(q_\infty)) > 1 \text{ for all } k \in \mathbb{Z}\}.$$

The set of sides of D is $\mathcal{F}_1(D) = \{s_k^+, s_k^- : k \in \mathbb{Z}\}$, where $s_k^+ = \mathcal{I}_k^+ \cap \bar{D}$ and $s_k^- = \mathcal{I}_k^- \cap \bar{D}$.

Using [Corollary 4.10](#) we can completely describe s_k^+ and s_k^- .

Proposition 5.4 *The side s_k^\pm is topologically a solid cylinder in $\mathbf{H}_\mathbb{C}^2 \cup \partial\mathbf{H}_\mathbb{C}^2$. More precisely, s_k^\pm is a product $D \times [0, 1]$, where for each $t \in [0, 1]$, the fibre $D \times \{t\}$ is homeomorphic to a closed disc in $\bar{\mathbf{H}}_\mathbb{C}^2$ whose boundary is contained in $\partial\mathbf{H}_\mathbb{C}^2$. The intersection of ∂s_k^+ (resp. ∂s_k^-) with $\mathbf{H}_\mathbb{C}^2$ is the disjoint union of the topological discs $s_k^+ \cap s_{k-1}^-$ and $s_k^+ \cap s_k^-$ (resp. $s_k^- \cap s_k^+$ and $s_k^- \cap s_{k+1}^-$).*

Proof Since s_k^+ is contained in \mathcal{I}_k^+ , its only possible intersections with other sides are contained in $\mathcal{I}_{k-1}^+, \mathcal{I}_{k-1}^-, \mathcal{I}_{k+1}^+$ and \mathcal{I}_{k+1}^- by [Corollary 4.10](#). Since $\mathcal{I}_k^+ \cap \mathcal{I}_{k-1}^+$ and $\mathcal{I}_k^+ \cap \mathcal{I}_{k+1}^+$ are contained in the interiors of other isometric spheres, the intersections $s_k^+ \cap s_{k-1}^+$ and $s_k^+ \cap s_{k+1}^+$ are empty. Also, $\mathcal{I}_k^+ \cap \mathcal{I}_{k-1}^- \cap \mathcal{I}_k^- = \emptyset$ and so $s_k^+ \cap s_{k-1}^-$ and $s_k^+ \cap s_k^-$ are disjoint. Since isometric spheres are topological balls and their pairwise intersections are connected, the description of s_k^+ follows. A similar argument describes s_k^- . □

The side pairing $\sigma : \mathcal{F}_1(D) \rightarrow \text{PU}(2, 1)$ is defined by

$$(21) \quad \sigma(s_k^+) = A^k S A^{-k}, \quad \sigma(s_k^-) = A^k S^{-1} A^{-k}.$$

Let $\Upsilon = \langle A \rangle$ be the infinite cyclic group generated by A . By construction the side pairing σ is compatible with Υ . Furthermore, using [Proposition 5.4](#) the set of ridges is $\mathcal{F}_2(D) = \{r_k^+, r_k^- : k \in \mathbb{Z}\}$, where $r_k^+ = s_k^+ \cap s_k^-$ and $r_k^- = s_k^+ \cap s_{k-1}^-$. We can now verify that σ satisfies the first condition of being a side pairing.

Proposition 5.5 *The side pairing map $\sigma(s_k^+) = A^k S A^{-k}$ is a homeomorphism from s_k^+ to s_k^- . Moreover, $\sigma(s_k^+)$ sends $r_k^+ = s_k^+ \cap s_k^-$ to itself and sends $r_k^- = s_k^+ \cap s_{k-1}^-$ to $r_{k+1}^- = s_k^- \cap s_{k+1}^-$.*

Proof By applying powers of A we need only need to consider the case where $k = 0$. First, the ridge $r_0^+ = s_0^+ \cap s_0^- = \mathcal{I}(S) \cap \mathcal{I}(S^{-1})$ is defined by the triple equality

$$(22) \quad | \langle z, q_\infty \rangle | = | \langle z, S^{-1} q_\infty \rangle | = | \langle z, S q_\infty \rangle |.$$

The map S cyclically permutes $p_B = S^{-1}(q_\infty)$, $p_A = q_\infty$ and $p_{AB} = S(q_\infty)$, and so maps r_0^+ to itself. Similarly, consider $r_0^- = s_0^+ \cap s_{-1}^-$. The side pairing map S sends $A^{-1}S(q_\infty)$, the centre of \mathcal{I}_{-1}^- , to

$$\begin{aligned} S(A^{-1}S)(q_\infty) &= S(T^{-1}S^{-1})S(q_\infty) = ST^2(q_\infty) \\ &= (ST)S^{-1}(ST)(q_\infty) = AS^{-1}(q_\infty), \end{aligned}$$

which is the centre of \mathcal{I}_1^+ , where we have used $A^{-1} = T^{-1}S^{-1}$, $T^{-1} = T^2$ and $ST(q_\infty) = q_\infty$. Therefore, $r_0^- = s_0^+ \cap s_{-1}^-$ is sent to $r_1^- = s_0^- \cap s_1^+$, as claimed. The rest of the result follows from our description of s_k^\pm in Proposition 5.4. \square

Local tessellation We now prove local tessellation around the sides and ridges of D .

- s_k^\pm Since $\sigma(s_k^\pm) = A^k S^{\pm 1} A^{-1}$ sends the exterior of \mathcal{I}_k^\pm to the interior of \mathcal{I}_k^\mp we see that D and $A^k S^{\pm 1} A^{-k}(D)$ have disjoint interiors and cover a neighbourhood of each point in s_k^\mp . Together with Proposition 5.5 this means σ satisfies the three conditions of being a side pairing.
- r_0^+ Consider the case of $r_0^+ = s_0^+ \cap s_0^- = \mathcal{I}(S) \cap \mathcal{I}(S^{-1})$, which is given by (22). Observe that r_0^+ is mapped to itself by S . Using Proposition 5.5, we see that when constructing the cycle transformation for r_0^+ we have one ordered triple (r_0^+, s_0^-, s_0^+) and the cycle transformation $\rho(r_0^+) = S$. The cycle relation is $S^3 = \text{id}$ and $\mathcal{C}(r_0^+) = \{\text{id}, S, S^2\}$. Consider an open neighbourhood U_0^+ of r_0^+ that intersects no other ridge. The intersection of D with U_0^+ is the same as the intersection of U_0^+ with the Ford domain D_S for the order-three group $\langle S \rangle$. Since S has order three, this Ford domain is the intersection of the exteriors of $\mathcal{I}(S)$ and $\mathcal{I}(S^{-1})$. For z in D_S , $| \langle z, q_\infty \rangle |$ is the smallest of the three quantities in (22). Applying $S = \sigma(s_0^+)$ and $S^{-1} = \sigma(s_0^-)$ gives regions $S(D_S)$ and $S^{-1}(D_S)$ where one of the other two quantities is the smallest. Therefore $U_0^+ \cap S(U_0^+) \cap S^{-1}(U_0^+)$ is an open neighbourhood of r_0^+ contained in $D \cup S(D) \cup S^{-1}(D)$. This proves the cycle condition at r_0^+ .
- r_0^- Now consider $r_0^- = s_0^+ \cap s_{-1}^-$. When constructing the cycle transformation for r_0^- we start with the ordered triple (r_0^-, s_{-1}^-, s_0^+) . Applying $S = \sigma(s_0^+)$ to r_0^- gives the ordered triple (r_1^-, s_0^-, s_1^+) , which is simply $(Ar_0^-, As_{-1}^-, As_0^+)$. Thus the cycle transformation of r_0^- is $\rho(r_0^-) = A^{-1}S = T^{-1}$, which has order 3. Therefore the cycle relation is $(A^{-1}S)^3 = \text{id}$, and $\mathcal{C}(r_0^-) = \{\text{id}, A^{-1}S, (A^{-1}S)^2\}$. Noting that \mathcal{I}_0^+ has centre $S^{-1}(q_\infty) = S^{-1}A(q_\infty) = T(q_\infty)$ and \mathcal{I}_{-1}^- has centre $A^{-1}S(q_\infty) =$

$T^{-1}(q - \infty)$, we see $\mathcal{I}_0^+ = \mathcal{I}(T^{-1})$ and $\mathcal{I}_0^- = \mathcal{I}(T)$. Therefore a similar argument involving the Ford domain for $\langle T \rangle$ shows that the cycle condition is satisfied at r_0^- .

- r_k^\pm Using compatibility of the side pairings with the cyclic group $\Upsilon = \langle A \rangle$, we see that $\rho(r_k^+) = A^k S A^{-k}$ with cycle relation $(A^k S A^{-k})^3 = A^k S^3 A^{-k} = \text{id}$ and that the cycle condition is satisfied at r_k^+ . Likewise, r_k^- is mapped by ρ to $A^k (A^{-1} S) A^{-k} = A^{k-1} S A^{-k}$ and $(A^{k-1} S A^{-k})^3 = A^k (A^{-1} S) A^{-k} = \text{id}$, so the cycle condition is satisfied at r_k^- .

This is sufficient to prove [Theorem 5.2](#) by applying the Poincaré polyhedron theorem when D has no ideal vertices, that is, to all groups Γ in the interior of \mathcal{Z} . In particular, Γ is generated by the generator A of Υ and the side pairing maps. Using the compatibility relations, there is only one side pairing map up to the action of Υ , namely S . There are no reflection relations, and (again up to the action of Υ) the only cycle relations are $S^3 = \text{id}$ and $(A^{-1} S)^3 = \text{id}$. Thus the Poincaré polyhedron theorem gives the presentation (19). This completes the proof of [Theorem 5.2](#).

For groups on the boundary of \mathcal{Z} the same result is also true. This follows from the fact (Chuckrow’s theorem) that the algebraic limit of a sequence of discrete and faithful representations of a nonvirtually nilpotent group in $\text{Isom}(\mathbf{H}_{\mathbb{C}}^n)$ is discrete and faithful (see for instance [4, Theorem 2.7] or [21] for a more general result in the framework of negatively curved groups).

We do not need to apply the Poincaré polyhedron theorem for these groups. However, to describe the manifold at infinity for the limit groups, we will need to know a fundamental domain, and we will have to go through a similar analysis in the next section.

6 The limit group

In this section, we consider the group Γ^{lim} , and unless otherwise stated, the parameters α_1 and α_2 will always be assumed to be equal to 0 and α_2^{lim} , respectively. We know already that Γ^{lim} is discrete and isomorphic to $\mathbb{Z}_3 * \mathbb{Z}_3$. Our goal is to prove that its manifold at infinity is homeomorphic to the complement of the Whitehead link. For these values of the parameters, the maps $S^{-1}T$ and ST^{-1} are unipotent parabolic (see the results of [Section 3.4](#)), and we denote by $V_{S^{-1}T}$ and $V_{ST^{-1}}$, respectively, the sets of (parabolic) fixed points of conjugates of $S^{-1}T$ and ST^{-1} by powers of A .

- (1) As in the previous section, we apply the Poincaré polyhedron theorem, this time to the group Γ^{lim} . We obtain an infinite A -invariant polyhedron, still denoted by D , which is a fundamental domain for A -cosets. This polyhedron is slightly more complicated than the one in the previous section due to the appearance of ideal vertices that are the points in $V_{S^{-1}T}$ and $V_{ST^{-1}}$.

- (2) We analyse the combinatorics of the ideal boundary $\partial_\infty D$ of this polyhedron. More precisely, we will see that the quotient of $\partial_\infty D \setminus (\{p_A\} \cup V_{S^{-1}T} \cup V_{ST^{-1}})$ by the action of the group $\langle S, T \rangle$ is homeomorphic the complement of the Whitehead link, as stated in [Theorem 6.4](#).

6.1 Matrices and fixed points

Before going any further, we provide specific expressions for the various objects we consider at the limit point. When $\alpha_1 = 0$ and $\alpha_2 = \alpha_2^{\text{lim}}$, the map φ described in [Proposition 4.3](#) is given in Heisenberg coordinates by

$$(23) \quad \varphi: [z, t] \mapsto [\bar{z} + \sqrt{3/8} + i\sqrt{5/8}, -t + x\sqrt{5/2} + y\sqrt{3/2}].$$

In particular its invariant line Δ_φ is parametrised by

$$(24) \quad \Delta_\varphi = \{ \delta_\varphi(x) = [x + i\sqrt{5/32}, x\sqrt{5/8}] : x \in \mathbb{R} \}.$$

The parabolic map $A = \varphi^2$ acts on Δ_φ as $A: \delta_\varphi(x) \mapsto \delta_\varphi(x + \sqrt{3/2})$. As a matrix it is given by

$$(25) \quad A = \begin{bmatrix} 1 & -\sqrt{3} & -\frac{3}{2} + \frac{i\sqrt{15}}{2} \\ 0 & 1 & \sqrt{3} \\ 0 & 0 & 1 \end{bmatrix}.$$

We can decompose A into the product of regular elliptic maps S and T , where

$$S = \begin{bmatrix} 1 & \frac{\sqrt{3}}{2} - \frac{i\sqrt{5}}{2} & -1 \\ -\frac{\sqrt{3}}{2} - \frac{i\sqrt{5}}{2} & -1 & 0 \\ -1 & 0 & 0 \end{bmatrix}, \quad T = \begin{bmatrix} 0 & 0 & -1 \\ 0 & -1 & -\frac{\sqrt{3}}{2} + \frac{i\sqrt{5}}{2} \\ -1 & \frac{\sqrt{3}}{2} + \frac{i\sqrt{5}}{2} & 1 \end{bmatrix}.$$

These maps cyclically permute (p_A, p_{AB}, p_B) and (p_A, p_B, p_{BA}) , where

$$(26) \quad p_A = \begin{bmatrix} 1 \\ 0 \\ 0 \end{bmatrix}, \quad p_B = \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix}, \quad p_{AB} = \begin{bmatrix} -1 \\ \frac{\sqrt{3}}{2} + \frac{i\sqrt{5}}{2} \\ 1 \end{bmatrix}, \quad p_{BA} = \begin{bmatrix} -1 \\ -\frac{\sqrt{3}}{2} + \frac{i\sqrt{5}}{2} \\ 1 \end{bmatrix}.$$

Using $\alpha_1 = 0$, we will occasionally use the facts from [Proposition 3.8](#) that (S, T) is \mathbb{C} -decomposable and (A, B) is \mathbb{R} -decomposable.

As mentioned above, in the group Γ^{lim} the elements ST^{-1} , $S^{-1}T$, TST , STS and the commutator $[A, B] = (ST^{-1})^3$ are unipotent parabolic. For future reference, we provide here lifts of their fixed points, both as vectors in \mathbb{C}^3 and in terms of

geographical coordinates $g(\alpha, \beta)$ (we omit the w coordinate — since we are on the boundary at infinity, it is equal to $\sqrt{2 \cos \alpha}$):

$$\begin{aligned}
 p_{ST^{-1}} &= \begin{bmatrix} -\frac{1}{4} + \frac{i\sqrt{15}}{4} \\ \frac{\sqrt{3}}{4} + \frac{i\sqrt{5}}{4} \\ 1 \end{bmatrix} = g\left(\arccos \frac{1}{4}, \frac{\pi}{2}\right), \\
 p_{S^{-1}T} &= \begin{bmatrix} -\frac{1}{4} - \frac{i\sqrt{15}}{4} \\ -\frac{\sqrt{3}}{4} + \frac{i\sqrt{5}}{4} \\ 1 \end{bmatrix} = g\left(-\arccos \frac{1}{4}, \frac{\pi}{2}\right), \\
 p_{TST} &= \begin{bmatrix} -1 \\ -\frac{3\sqrt{3}}{4} + \frac{i\sqrt{5}}{4} \\ 1 \end{bmatrix} = g\left(0, -\arccos \sqrt{27/32}\right), \\
 p_{STS} &= \begin{bmatrix} -1 \\ \frac{3\sqrt{3}}{4} + \frac{i\sqrt{5}}{4} \\ 1 \end{bmatrix} = g\left(0, \arccos \sqrt{27/32}\right).
 \end{aligned}
 \tag{27}$$

It follows from (23) that φ acts on these parabolic fixed points as follows:

$$(28) \quad \cdots \rightarrow p_{T^{-1}STST} \xrightarrow{\varphi} p_{TST} \xrightarrow{\varphi} p_{S^{-1}T} \xrightarrow{\varphi} p_{ST^{-1}} \xrightarrow{\varphi} p_{STS} \xrightarrow{\varphi} p_{STSTST^{-1}} \rightarrow \cdots .$$

6.2 The Poincaré theorem for the limit group

The limit group has extra parabolic elements. Therefore, in order to apply the Poincaré theorem, we must construct a system of consistent horoballs at these parabolic fixed points (see Section 5.1).

Lemma 6.1 *The isometric spheres \mathcal{I}_1^+ and \mathcal{I}_{-1}^- are tangent at $p_{ST^{-1}}$. The isometric spheres \mathcal{I}_{-1}^+ and \mathcal{I}_0^- are tangent at $p_{S^{-1}T}$.*

Proof It is straightforward to verify that $|\langle p_{ST^{-1}}, p_{BA} \rangle| = |\langle p_{ST^{-1}}, A(p_B) \rangle| = 1$, and therefore $p_{ST^{-1}}$ belongs to both \mathcal{I}_{-1}^- and \mathcal{I}_1^+ . Projecting vertically — see Remark 2.13 — we see that the projections of \mathcal{I}_{-1}^- and \mathcal{I}_1^+ are tangent discs and, as they are strictly convex, their intersection contains at most one point. This gives the result. The other tangency is along the same lines. \square

A consequence of Lemma 6.1 is that the parabolic fixed points are tangency points of isometric spheres. The following lemma is proved in Section 7.1.

Lemma 6.2 *For the group Γ^{lim} the triple intersection $\mathcal{I}_0^+ \cap \mathcal{I}_0^- \cap \mathcal{I}_{-1}^-$ contains exactly two points, namely the parabolic fixed points $p_{ST^{-1}}$ and $p_{S^{-1}T}$.*

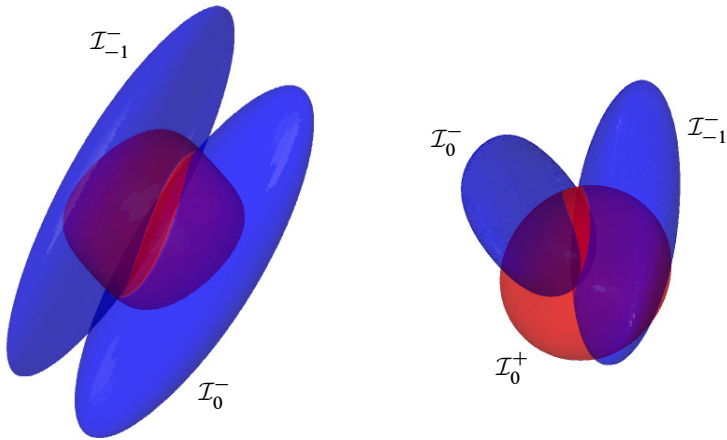


Figure 6: Two realistic views of the isometric spheres \mathcal{I}_0^+ , \mathcal{I}_1^+ and \mathcal{I}_0^- for the limit group Γ^{lim} . The thin bicon is \mathcal{B}_0^+ (defined in Proposition 6.5). Compare with Figures 7 and 12

In other words, $p_{S^{-1}T}$ is fixed by $(A^{-1}SA)(S^{-1})(ASA^{-1})(S^{-1}) = (T^{-1}S)^3$. This is parabolic and so preserves all horoballs based at $p_{S^{-1}T}$.

Therefore, we can define a system of horoballs as follows. Let U_0^+ be a horoball based at $p_{S^{-1}T}$, disjoint from the closure of any side not containing $p_{S^{-1}T}$ in its closure. Now define horoballs U_k^+ and U_k^- by applying the side pairing maps to U_0^+ . Since every cycle in the graph (29) gives rise either to the identity map or to a parabolic map, this process is well-defined and gives rise to a consistent system of horoballs. Therefore we can apply the Poincaré polyhedron theorem for the two limit groups. Using the same arguments as we did for groups in the interior of \mathcal{Z} , we see that Γ has the presentation (19).

6.3 The boundary of the limit orbifold

Theorem 6.4 *The manifold at infinity of the group Γ^{lim} is homeomorphic to the Whitehead link complement.*

The ideal boundary of D is made up of those pieces of the isometric spheres \mathcal{I}_k^\pm that are outside all other isometric spheres in $\{\mathcal{I}_k^\pm : k \in \mathbb{Z}\}$. Recall that the (ideal boundary of) the side s_k^\pm is the part of $\partial\mathcal{I}_k^\pm$ which is outside (the ideal boundary of) all other isometric spheres. In this section, when we speak of sides and ridges we implicitly mean their intersection with $\partial\mathbf{H}_\mathbb{C}^2$.

We will see that each isometric sphere in $\{\mathcal{I}_k^\pm : k \in \mathbb{Z}\}$ contributes a side s_k^\pm made up of one quadrilateral, denoted by \mathcal{Q}_k^\pm , and one bicon \mathcal{B}_k^\pm . A very similar configuration of

isometric spheres has been observed by Deraux and Falbel [8]. We begin by analysing the contribution of \mathcal{I}_0^+ .

Proposition 6.5 *The side $(s_0^+)^{\circ}$ of D has two connected components:*

- (1) *One of them is a quadrilateral, denoted by \mathcal{Q}_0^+ , whose vertices are points $p_{ST^{-1}}$, $p_{S^{-1}T}$, $p_{ST S}$ and p_{TST} (all of which are parabolic fixed points)*
- (2) *The other is a bigon, denoted by \mathcal{B}_0^+ , whose vertices are $p_{ST^{-1}}$ and $p_{S^{-1}T}$*

Proof Since isometric spheres are strictly convex, the ideal boundaries of the ridges $r_0^+ = \mathcal{I}_0^+ \cap \mathcal{I}_0^-$ and $r_0^- = \mathcal{I}_0^+ \cap \mathcal{I}_{-1}^-$ are Jordan curves on \mathcal{I}_0^+ . We still denote them by r_0^{\pm} . The interiors of these curves are respectively the connected components containing p_{AB} and p_{BA} . By Lemma 6.2 in Section 7.1, r_0^+ and r_0^- have two intersection points, namely $p_{S^{-1}T}$ and $p_{ST^{-1}}$, and their interiors are disjoint. As a consequence the common exterior of the two curves has two connected components, and the points $p_{S^{-1}T}$ and $p_{ST^{-1}}$ lie on the boundary of both.

To finish the proof, consider the involution ι_1 defined in the proof of Proposition 3.8. (Note that since $\alpha_1 = 0$, this involution conjugates Γ^{lim} to itself.) In Heisenberg coordinates it is defined by $\iota_1: [z, t] \mapsto [-\bar{z}, -t]$ and is clearly a Cygan isometry. As in Proposition 3.8, ι_1 fixes p_A and p_B and it interchanges p_{AB} and p_{BA} . Thus it conjugates S to T^{-1} , and so it interchanges $p_{ST^{-1}}$ and $p_{S^{-1}T}$ and it interchanges $p_{ST S}$ and p_{TST} . Moreover, since it is a Cygan isometry, ι_1 preserves \mathcal{I}_0^+ and interchanges \mathcal{I}_{-1}^- and \mathcal{I}_0^- and thus it also exchanges the two curves r_0^+ and r_0^- . Again, since it is a Cygan isometry, it maps interior to interior and exterior to exterior for both curves. As a consequence, the two connected components of the common exterior are either exchanged or both preserved.

Now consider the point with Heisenberg coordinates $[i, 0]$. It is fixed by ι_1 , and belongs to the common exterior of both r_0^+ and r_0^- . This implies that both connected components are preserved. Finally, since $p_{ST S} \in \mathcal{I}_0^+ \cap \mathcal{I}_0^-$ and $p_{TST} \in \mathcal{I}_0^+ \cap \mathcal{I}_{-1}^-$ are exchanged by ι_1 , these two points belong to the closure of the same connected component. As a consequence, one of the two connected components has $p_{ST^{-1}}$, $p_{S^{-1}T}$, $p_{ST S}$ and p_{TST} on its boundary. This is the quadrilateral. The other one has $p_{ST^{-1}}$ and $p_{S^{-1}T}$ on its boundary. This is the bigon. □

We now apply powers of A to get a result about all the isometric sphere intersections in the ideal boundary of D . Define $\mathcal{Q}_0^- = \varphi(\mathcal{Q}_0^+)$ and $\mathcal{B}_0^- = \varphi(\mathcal{B}_0^+)$. Then applying powers of A we define quadrilaterals $\mathcal{Q}_k^{\pm} = A^k(\mathcal{Q}_0^{\pm})$ and bigons $\mathcal{B}_k^{\pm} = A^k(\mathcal{B}_0^{\pm})$. The action of the Heisenberg translation A and the glide reflection φ are:

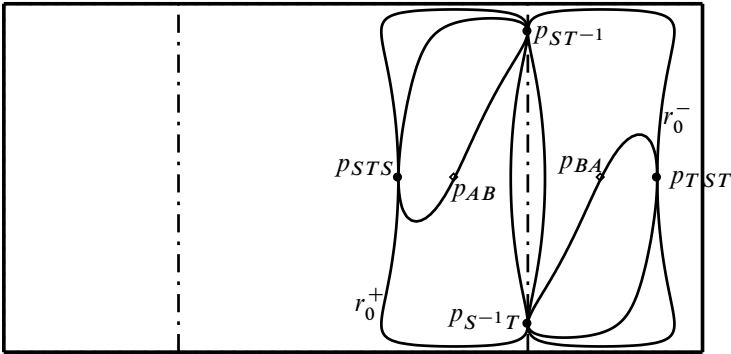


Figure 7: Intersections of the isometric spheres \mathcal{I}_0^- , \mathcal{I}_{-1}^- , \mathcal{I}_1^+ and \mathcal{I}_{-1}^+ with \mathcal{I}_0^+ in the boundary of $\mathbf{H}_\mathbb{C}^2$, viewed in geographical coordinates. Recall that $r_0^+ = \mathcal{I}_0^+ \cap \mathcal{I}_0^-$ and $r_0^- = \mathcal{I}_0^+ \cap \mathcal{I}_{-1}^-$. Here $\alpha \in [-\frac{\pi}{2}, \frac{\pi}{2}]$ is the vertical coordinate, and $\beta \in [-\pi, \pi]$ the horizontal one. The vertical dash-dotted segments $\beta = \pm \frac{\pi}{2}$ are the two halves of the boundary of the meridian m . The bigon between the two curves r_0^+ and r_0^- is \mathcal{B}_0^+ (see Proposition 6.5). Compare to [8, Figure 2].

Corollary 6.6 For the group Γ^{lim} , the (ideal boundary of) the side s_k^\pm is the union of the quadrilateral \mathcal{Q}_k^\pm and the bigon \mathcal{B}_k^\pm . The action of A and φ are as follows:

- (1) A maps \mathcal{Q}_k^\pm to \mathcal{Q}_{k+1}^\pm and \mathcal{B}_k^\pm to \mathcal{B}_{k+1}^\pm .
- (2) φ maps \mathcal{Q}_k^+ to \mathcal{Q}_k^- , \mathcal{Q}_k^- to \mathcal{Q}_{k+1}^+ , \mathcal{B}_k^+ to \mathcal{B}_k^- and \mathcal{B}_k^- to \mathcal{B}_{k+1}^+ .

In order to understand the combinatorics of the sides of D , we describe the edges of the faces lying in \mathcal{I}_0^+ . The three points $p_{S^{-1}T}$, $p_{ST^{-1}}$ and p_{STS} lie on the ridge $r_0^+ = \mathcal{I}_0^+ \cap \mathcal{I}_0^-$. Likewise, the points $p_{ST^{-1}}$, $p_{S^{-1}T}$ and p_{TST} lie on the ridge $r_0^- = \mathcal{I}_0^+ \cap \mathcal{I}_{-1}^-$. Indeed, these points divide (the ideal boundaries of) these ridges into three segments. We have listed the ideal vertices in positive cyclic order (see Figure 7). Using the graph (29), the action of the cycle transformations $\rho(s_0^+) = S$ and $\rho(r_0^-) = A^{-1}S = T^{-1}$ on these ideal vertices, and hence on the segments of the ridges, is

$$\begin{array}{ccccccc}
 p_{S^{-1}T} & \xrightarrow{S} & p_{ST^{-1}} & \xrightarrow{S} & p_{STS} & \xrightarrow{S} & p_{S^{-1}T}, \\
 p_{ST^{-1}} & \xrightarrow{A^{-1}S} & p_{S^{-1}T} & \xrightarrow{A^{-1}S} & p_{TST} & \xrightarrow{A^{-1}S} & p_{ST^{-1}}.
 \end{array}$$

Furthermore, S maps p_{TST} to $p_{STSTS^{-1}}$.

The quadrilateral \mathcal{Q}_0^+ has two edges $[p_{S^{-1}T}, p_{TST}] \cup [p_{TST}, p_{ST^{-1}}]$ in the ridge r_0^- and two edges $[p_{ST^{-1}}, p_{STS}] \cup [p_{STS}, p_{S^{-1}T}]$ in the ridge r_0^+ . It is sent by S to the quadrilateral \mathcal{Q}_0^- with two edges $[p_{ST^{-1}}, p_{STSTS^{-1}}] \cup [p_{STSTS^{-1}}, p_{STS}]$ in r_1^-

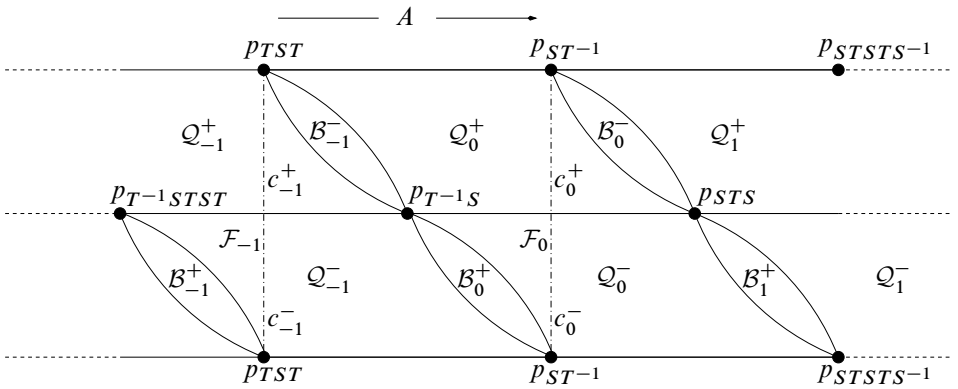


Figure 8: A combinatorial picture of ∂D . The top and bottom lines are identified.

and two edges $[p_{STS}, p_{S^{-1}T}] \cup [p_{S^{-1}T}, p_{ST^{-1}}]$ in r_0^+ . Similarly, the edges of the bigon B_0^+ are the remaining segments in r_0^- and r_0^+ , both with endpoints $p_{S^{-1}T}$ and $p_{ST^{-1}}$. It is sent by S to the bigon B_0^- with vertices $p_{ST^{-1}}$ and p_{STS} .

Applying powers of A gives the other quadrilaterals and bigons. As usual, the image under A^k can be found by adding k to each subscript and conjugating each side pairing map and ridge cycle by A^k . The combinatorics of D is summarised on Figure 8.

Lemma 6.7 *The line Δ_φ given in (24) is contained in the complement of D .*

Proof As noted above, A acts on Δ_φ as a translation through $\sqrt{3}/2$. We claim that the segment of Δ_φ with parameter $x \in [-\sqrt{3}/8, \sqrt{3}/8]$ is contained in the interior of \mathcal{I}_0^+ . Applying powers of A we see that each point of Δ_φ is contained in \mathcal{I}_k^+ for some k . Hence the line is in the complement of D .

Consider $\delta_\varphi(x) \in \Delta_\varphi$ with $x^2 \leq \frac{3}{8}$. The Cygan distance between p_B and $\delta_\varphi(x)$ satisfies

$$d_{\text{Cyg}}(p_B, \delta_\varphi(x))^4 = \left| -x^2 - \frac{5}{32} + ix\sqrt{5/8} \right|^2 = x^4 + \frac{15}{16}x^2 + \frac{25}{1} - 24 \leq \frac{529}{1024}.$$

Since $d_{\text{Cyg}}(p_B, \delta_\varphi(x)) < 1$ this means $\delta_\varphi(x)$ is in the interior of \mathcal{I}_0^+ , as claimed. \square

The following result, which will be proved in Section 7.5, is crucial for proving Theorem 6.4.

Proposition 6.8 *There exists a homeomorphism $\Psi: \mathbb{R}^3 \rightarrow \partial H_{\mathbb{C}}^2 - \{q_\infty\}$ mapping the exterior of $S^1 \times \mathbb{R}$, that is, $\{(x, y, z) : x^2 + y^2 \geq 1\}$, homeomorphically onto D and such that $\Psi(x, y, z + 1) = A\Psi(x, y, z)$, that is, Ψ is equivariant with respect to unit translation along the z axis and A .*

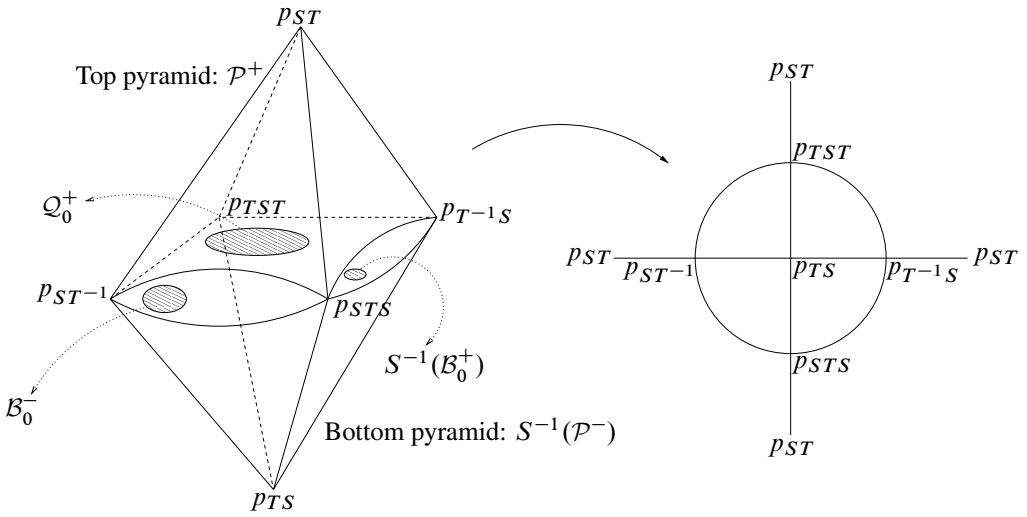


Figure 9: A combinatorial picture of the octahedron

As a consequence of Proposition 6.8, D admits an A -invariant 1-dimensional foliation, the leaves being the images of radial lines $\{(r \cos \theta_0, r \sin \theta_0, z_0) : r \geq 1\}$ that foliate the exterior of $S^1 \times \mathbb{R}$. Each of these leaves is a curve connecting a point of ∂D with q_∞ . We can now prove Theorem 6.4.

Proof of Theorem 6.4. The union $Q_0^+ \cup B_0^+ \cup Q_0^- \cup B_0^-$ is a fundamental domain for the action of A on the boundary cylinder ∂D . As the foliation obtained above is A -invariant, the cone to the point q_∞ built over it via the foliation is a fundamental domain for the action of A over D , and thus it is a fundamental domain for the action of Γ^{lim} on the region of discontinuity $\Omega(\Gamma^{\text{lim}})$.

This fundamental domain is the union of two pyramids \mathcal{P}^+ and \mathcal{P}^- , with respective bases $Q_0^+ \cup B_0^-$ and $Q_0^- \cup B_0^+$, and common vertex $q_\infty = p_{ST}$. The two pyramids share a common face, which is a triangle with vertices p_{STS} , p_{T-1S} and p_{ST} . Cutting and pasting, consider the union $\mathcal{P}^+ \cup S^{-1}(\mathcal{P}^-)$. It is again a fundamental domain for Γ^{lim} . The apex of $S^{-1}(\mathcal{P}^-)$ is $S^{-1}(q_\infty) = p_B = p_{TS}$. The image under S^{-1} of Q_0^- is Q_0^+ , and the bigon B_0^+ is mapped by S^{-1} to another bigon connecting p_{T-1S} to p_{STS} . Since $B_0^- = S(B_0^+)$, this new bigon is the image of B_0^- under $S^{-2} = S$.

The resulting object is a polyhedron (a combinatorial picture is provided on Figure 9), whose faces are triangles and bigons. The faces of this octahedron are paired as follows:

$$\begin{aligned}
 TS: (p_{TS}, p_{T^{-1}S}, p_{STS}) &\mapsto (p_{TS}, p_{TST}, p_{TS^{-1}}), \\
 ST: (p_{ST}, p_{TST}, p_{T^{-1}S}) &\mapsto (p_{ST}, p_{ST^{-1}}, p_{STS}), \\
 T: (p_{ST}, p_{TST}, p_{ST^{-1}}) &\mapsto (p_{TS}, p_{T^{-1}S}, p_{TST}), \\
 S: (p_{TS}, p_{ST^{-1}}, p_{STS}) &\mapsto (p_{ST}, p_{STS}, p_{S^{-1}T}), \\
 S: (p_{ST^{-1}}, p_{STS}) &\mapsto (p_{STS}, p_{S^{-1}T}).
 \end{aligned}$$

The last line is the bigon identification between \mathcal{B}_0^- and $S^{-1}(\mathcal{B}_0^+)$. As the triangle $(p_{TS}, p_{ST^{-1}}, p_{STS})$ and the bigon \mathcal{B}_0^- share a common edge and have the same face pairing, they can be combined into a single triangle, as well as their images. Thus the last two lines may be combined into a single side with side pairing map S . We therefore obtain a true combinatorial octahedron. The face identifications given above make the quotient manifold homeomorphic to the complement of the Whitehead link (compare for instance [35, Section 3.3]). □

7 Technicalities

7.1 The triple intersections: proofs of Proposition 4.7 and Lemma 6.2

In this section we first prove Proposition 4.7, which states that the triple intersection must contain a point of $\partial H_{\mathbb{C}}^2$, and then we analyse the case of the limit group Γ^{lim} , giving a proof of Lemma 6.2. First recall that the isometric spheres \mathcal{I}_0^- and \mathcal{I}_{-1}^- are the unit Heisenberg spheres with centres given respectively in geographical coordinates by (see Section 2.5)

$$\begin{aligned}
 (30) \quad p_{AB} &= S(\infty) = g\left(-\alpha_1, -\frac{1}{2}\alpha_1 + \alpha_2, \sqrt{2 \cos \alpha_1}\right), \\
 p_{BA} &= A^{-1}S(\infty) = g\left(-\alpha_1, -\frac{1}{2}\alpha_1 - \alpha_2 + \pi, \sqrt{2 \cos \alpha_1}\right).
 \end{aligned}$$

Consider the two functions of points $q = g(\alpha, \beta, w) \in \mathcal{I}_0^+$ defined by

$$\begin{aligned}
 f_{\alpha_1, \alpha_2}^{[0]}(q) &= 2 \cos^2\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) + \cos(\alpha - \alpha_1) \\
 &\quad - 4wx_1 \cos\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) \cos\left(\beta + \frac{1}{2}\alpha_1 - \alpha_2\right) + w^2x_1^2, \\
 f_{\alpha_1, \alpha_2}^{[-1]}(q) &= 2 \cos^2\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) + \cos(\alpha - \alpha_1) \\
 &\quad + 4wx_1 \cos\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) \cos\left(\beta + \frac{1}{2}\alpha_1 + \alpha_2\right) + w^2x_1^2.
 \end{aligned}$$

These functions characterise those points on \mathcal{I}_0^+ that belong to \mathcal{I}_0^- and \mathcal{I}_{-1}^- .

Lemma 7.1 *A point q on \mathcal{I}_0^+ lies on \mathcal{I}_0^- (resp. in its interior or exterior) if and only if it satisfies $f_{\alpha_1, \alpha_2}^{[0]}(q) = 0$ (resp. is negative or is positive). Similarly, a point q on \mathcal{I}_0^+ lies on \mathcal{I}_{-1}^- (resp. in its interior or exterior) if and only if it satisfies $f_{\alpha_1, \alpha_2}^{[-1]}(q) = 0$ (resp. is negative or is positive).*

Proof A point $q \in \mathcal{I}_0^+$ lies on \mathcal{I}_0^- (resp. in its interior or exterior) if and only if its Cygan distance from the centre of \mathcal{I}_0^- , which is the point p_{AB} , equals 1 (resp. is less than 1 or greater than 1). Equivalently (see Section 2.4), the following quantity vanishes (resp. is positive or negative):

$$\begin{aligned}
 (31) \quad & |\langle q, p_{AB} \rangle|^2 - 1 \\
 &= |-e^{-i\alpha} + wx_1 e^{-i\alpha/2 + i\beta - i\alpha_2} - e^{-i\alpha_1}|^2 - 1 \\
 &= |-2 \cos(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1) + wx_1 e^{i\beta + i\alpha_1/2 - i\alpha_2}|^2 - 1 \\
 &= 4 \cos^2(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1) - 1 + w^2 x_1^2 - 4 \cos(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1) wx_1 \cos(\beta + \frac{1}{2}\alpha_1 - \frac{1}{2}\alpha) \\
 &= f_{\alpha_1, \alpha_2}^{[0]}(q).
 \end{aligned}$$

On the last line we used $2 \cos^2(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1) = 1 + \cos(\alpha - \alpha_1)$. This proves the first part of the lemma and the second is obtained by a similar computation. \square

Corollary 7.2 For given (α_1, α_2) , if the sum $f_{\alpha_1, \alpha_2}^{[0]} + f_{\alpha_1, \alpha_2}^{[-1]}$ is positive for all q , then the triple intersection $\mathcal{I}_0^+ \cap \mathcal{I}_0^- \cap \mathcal{I}_{-1}^-$ is empty.

See Figure 7. We can now prove Proposition 4.7.

Proof of Proposition 4.7 To prove the first part, note that a necessary condition for a point $q \in \mathcal{I}_0^+$ to be in the intersection $\mathcal{I}_0^- \cap \mathcal{I}_{-1}^-$ is that $f_{\alpha_1, \alpha_2}^{[0]}(q) - f_{\alpha_1, \alpha_2}^{[-1]}(q) = 0$. By a simple computation, we see that this difference is

$$f_{\alpha_1, \alpha_2}^{[0]}(q) - f_{\alpha_1, \alpha_2}^{[-1]}(q) = -8wx_1 \cos(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1) \cos(\beta + \frac{1}{2}\alpha_1) \cos \alpha_2.$$

Since α_1 and α_2 lie in $(-\frac{\pi}{2}, \frac{\pi}{2})$ and $\alpha \in [-\frac{\pi}{2}, \frac{\pi}{2}]$, the only solutions are

$$\cos(\beta + \frac{1}{2}\alpha_1) = 0 \quad \text{or} \quad w = 0.$$

Thus either $p = g(\alpha, \beta, w)$ lies on the meridian m , or on the spine of \mathcal{I}_0^+ , and hence on every meridian, in particular on m (compare with Proposition 2.12).

To prove the second part of Proposition 4.7, assume that the triple intersection contains a point $q = g(\alpha, \frac{\pi}{2} - \frac{1}{2}\alpha_1, w)$ inside $\mathbf{H}_{\mathbb{C}}^2$, that is, such that $w^2 < 2 \cos \alpha$, and

$$f_{\alpha_1, \alpha_2}^{[0]}(q) + f_{\alpha_1, \alpha_2}^{[-1]}(q) = 0.$$

In view of Corollary 7.2, we only need to prove that there exists a point on ∂m where the above sum is nonpositive, and use the intermediate value theorem. To do so, let $\tilde{\alpha}$ be defined by the condition $2 \cos \tilde{\alpha} = w^2$ and such that $\tilde{\alpha}$ and α_1 have opposite signs. Since $w^2 < 2 \cos \alpha$, these conditions imply that $|\tilde{\alpha}| > |\alpha|$. We claim that the point $\tilde{q} = g(\tilde{\alpha}, \pi - \frac{1}{2}\alpha_1, w)$ is satisfactory. Indeed, the conditions on $\tilde{\alpha}$ give

$$|\alpha - \alpha_1| \leq |\alpha| + |\alpha_1| < |\tilde{\alpha}| + |\alpha_1| = |\tilde{\alpha} - \alpha_1|,$$

where the last inequality follows from the fact that $\tilde{\alpha}$ and α_1 have opposite signs. Therefore,

$$(32) \quad \cos\left(\frac{1}{2}\tilde{\alpha} - \frac{1}{2}\alpha_1\right) < \cos\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right).$$

On the other hand, we have

$$(33) \quad \begin{aligned} f_{\alpha_1, \alpha_2}^{[0]}(q) + f_{\alpha_1, \alpha_2}^{[-1]}(q) &= 4 \cos^2\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) + 2 \cos(\alpha - \alpha_1) - 8wx_1 \cos\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) \sin \alpha_2 + 2w^2x_1^2 \\ &= 8 \cos^2\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) - 2 - 8wx_1 \cos\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) \sin \alpha_2 + 2w^2x_1^2. \end{aligned}$$

We claim this is an increasing function of $\cos\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right)$. In order to see this, observe that its derivative with respect to this variable is

$$16 \cos\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) - 8wx_1 \sin \alpha_2 > 16 \cos\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) - 16\sqrt{\cos \alpha \cos \alpha_1} \geq 0,$$

where we used $x_1 = \sqrt{2 \cos \alpha_1}$, $w < \sqrt{2 \cos \alpha}$ and $\sin \alpha_2 \leq 1$. Therefore,

$$\begin{aligned} 0 &= f_{\alpha_1, \alpha_2}^{[0]}(q) + f_{\alpha_1, \alpha_2}^{[-1]}(q) \\ &= 8 \cos^2\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) - 2 - 8wx_1 \cos\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) \sin \alpha_2 + 2w^2x_1^2 \\ &> 8 \cos^2\left(\frac{1}{2}\tilde{\alpha} - \frac{1}{2}\alpha_1\right) - 2 - 8wx_1 \cos\left(\frac{1}{2}\tilde{\alpha} - \frac{1}{2}\alpha_1\right) \sin \alpha_2 + 2w^2x_1^2 \\ &= f_{\alpha_1, \alpha_2}^{[0]}(\tilde{q}) + f_{\alpha_1, \alpha_2}^{[-1]}(\tilde{q}). \end{aligned}$$

This proves our claim. □

We now prove [Lemma 6.2](#), which completely describes the triple intersection at the limit point.

Proof of Lemma 6.2 From the first part of [Proposition 4.7](#) we see that any point $q = g(\alpha, \beta, w)$ in $\mathcal{I}_0^+ \cap \mathcal{I}_0^- \cap \mathcal{I}_{-1}^-$ must lie on \mathfrak{m} , that is, $\beta = \frac{1}{2}(\pi - \alpha_1)$. For such points it is enough to show that $f_{0, \alpha_2^{\text{lim}}}^{[0]}(q) + f_{0, \alpha_2^{\text{lim}}}^{[-1]}(q) = 0$. Substituting $\alpha_1 = 0$ and $\sin \alpha_2 = \sqrt{5/8}$, this becomes

$$\begin{aligned} f_{0, \alpha_2^{\text{lim}}}^{[0]}(q) + f_{0, \alpha_2^{\text{lim}}}^{[-1]}(q) &= 4 \cos^2\left(\frac{1}{2}\alpha\right) + \cos \alpha - 4\sqrt{5}w \cos\left(\frac{1}{2}\alpha\right) + 4w^2 \\ &= (2 \cos\left(\frac{1}{2}\alpha\right) - \sqrt{5}w)^2 + (2 \cos \alpha - w^2). \end{aligned}$$

In order to vanish, both terms must be zero. Hence $w^2 = 2 \cos \alpha$ and $2 \cos\left(\frac{1}{2}\alpha\right) = \sqrt{5}w = \sqrt{10 \cos \alpha}$, noting w cannot be negative since $\alpha \in \left[-\frac{\pi}{2}, \frac{\pi}{2}\right]$. This means $\alpha = \pm \arccos \frac{1}{4}$ and $w = \sqrt{2 \cos \alpha} = \frac{1}{\sqrt{2}}$. Therefore, the only points in $\mathcal{I}_0^+ \cap \mathcal{I}_0^- \cap \mathcal{I}_{-1}^-$ have geographical coordinates $g\left(\pm \arccos \frac{1}{4}, \frac{\pi}{2}, \frac{1}{\sqrt{2}}\right)$. Using [\(27\)](#), we see these points are $p_{ST^{-1}}$ and $p_{S^{-1}T}$. □

7.2 The region \mathcal{Z} is an open disc in the region \mathcal{L} : proof of Proposition 4.6

Consider the group $\Gamma_{\alpha_1, \alpha_2}$ and, as before, write $x_1^4 = 4 \cos^2 \alpha_1$ and $x_2^4 = 4 \cos^2 \alpha_2$. Recall, from Proposition 3.9, that (α_1, α_2) is in \mathcal{L} (resp. \mathcal{P}) if $\mathcal{G}(x_1^4, x_2^4) > 0$ (resp. $= 0$), where

$$(34) \quad \mathcal{G}(x, y) = x^2 y^4 - 4x^2 y^3 + 18xy^2 - 27.$$

Recall this means $[A, B]$ is loxodromic (resp. parabolic). Also (α_1, α_2) is in the rectangle \mathcal{R} if and only if $(x_1^4, x_2^4) \in [3, 4] \times [\frac{3}{2}, 4]$. From Proposition 4.5, the point $(\alpha_1, \alpha_2) \in \mathcal{R}$ is in \mathcal{Z} (resp. $\partial\mathcal{Z}$) if $\mathcal{D}(x_1^4, x_2^4) > 0$ (resp. $= 0$), where

$$(35) \quad \mathcal{D}(x, y) = x^3 y^3 - 9x^2 y^2 - 27xy^2 + 81xy - 27x - 27.$$

Lemma 7.3 *Suppose $(\alpha_1, \alpha_2) \in \mathcal{R}$. Then $(\alpha_1, \alpha_2) \in \mathcal{L} \cup \mathcal{P}$, that is, the commutator $[A, B]$ is loxodromic or parabolic (see Section 3.4). Moreover, $(\alpha_1, \alpha_2) \in \mathcal{P}$ if and only if $(\alpha_1, \alpha_2) = (0, \pm\alpha_2^{\lim})$.*

Proof We first claim that the function $\mathcal{G}(x, y)$ has no critical points in $(0, \infty) \times (0, \infty)$. Indeed, the first partial derivatives of $\mathcal{G}(x, y)$ are

$$\mathcal{G}_x(x, y) = 2y^2(x y^2 - 4xy + 9), \quad \mathcal{G}_y(x, y) = 4xy(x y^2 - 3xy + 9).$$

These are not simultaneously zero for any positive values of x and y . As a consequence, the minimum of \mathcal{G} on $[3, 4] \times [\frac{3}{2}, 4]$ is attained on the boundary of this rectangle. We then have

$$\begin{aligned} \mathcal{G}(x, \frac{3}{2}) &= \frac{27}{16}(4-x)(5x-4), & \mathcal{G}(x, 4) &= 9(32x-3), \\ \mathcal{G}(3, y) &= 9(y-1)(y^3-3y^2+3y+3), & \mathcal{G}(4, y) &= (2y+1)(2y-3)^3. \end{aligned}$$

It is a simple exercise to check that under the assumptions that $(x, y) \in [3, 4] \times [\frac{3}{2}, 4]$, all four of these terms are positive, except for when $(x, y) = (4, \frac{3}{2})$, in which case $\mathcal{G}(4, \frac{3}{2}) = 0$. Then $(x_1^4, x_2^4) = (4, \frac{3}{2})$ if and only if $(\alpha_1, \alpha_2) = (0, \pm\alpha_2^{\lim})$; compare to Figure 4. □

Lemma 7.4 *The region \mathcal{Z} is an open topological disc in \mathcal{R} symmetric about the axes and intersecting them in the intervals*

$$\{\alpha_2 = 0, \frac{\pi}{6} < \alpha_1 < \frac{\pi}{6}\} \quad \text{and} \quad \{\alpha_1 = 0, -\alpha_2^{\lim} < \alpha_2 < \alpha_2^{\lim}\}.$$

Moreover, the only points of $\partial\mathcal{Z}$ that lie in the boundary of \mathcal{R} are $(\alpha_1, \alpha_2) = (0, \pm\alpha_2^{\lim})$ and $(\alpha_1, \alpha_2) = (\pm\frac{\pi}{6}, 0)$.

Proof First we examine the values of $\mathcal{D}(x, y)$ on the boundary of $[3, 4] \times [\frac{3}{2}, 4]$:

$$(36) \quad \begin{aligned} \mathcal{D}(x, \frac{3}{2}) &= \frac{27}{8}(x-4)(x^2-2x+2), & \mathcal{D}(x, 4) &= (x-3)(3+8x)^2, \\ \mathcal{D}(3, y) &= 27(y-4)(y-1)^2, & \mathcal{D}(4, y) &= (16y-15)(2y-3)^2. \end{aligned}$$

We claim that, for any $y_0 \in [\frac{3}{2}, 4]$, the polynomial $\mathcal{D}(x, y_0)$ has exactly one root in $[3, 4]$. Indeed, we have $\mathcal{D}(3, y_0) \leq 0 \leq \mathcal{D}(4, y_0)$ and thus $\mathcal{D}(x, y_0)$ has at least one such root. The x -derivative of \mathcal{D} is

$$\partial_x \mathcal{D}(x, y) = 3(x-3)y^2(xy+3y-6) + 27(y-1)^3,$$

which is positive when $x \in [3, 4]$ and $y \in [\frac{3}{2}, 4]$. Thus $\mathcal{D}(x, y_0)$ is increasing, and the root is unique.

Similarly, we claim that, for any $x_0 \in [3, 4]$, the polynomial $\mathcal{D}(x_0, y)$ has a unique root in $[\frac{3}{2}, 4]$. It is clear from (36) when $x_0 = 4$; there the root is $y = \frac{3}{2}$. Now suppose $3 \leq x_0 < 4$. Arguing as before, we have $\mathcal{D}(x_0, \frac{3}{2}) < 0 \leq \mathcal{D}(x_0, 4)$. However, it is not true that $\mathcal{D}(x_0, y)$ is a monotone function of y . The partial derivative of $\mathcal{D}(x, y)$ with respect to y is

$$\partial_y \mathcal{D}(x, y) = 3x(x^2y^2 - 6xy - 18y + 27).$$

Therefore, for a fixed $x_0 \in [3, 4)$ we have $\partial_y \mathcal{D}(x_0, \frac{3}{2}) = \frac{27}{4}x_0^2(x_0 - 4) < 0$. Since $\mathcal{D}(x_0, y)$ is a cubic with leading coefficient $x_0^3 > 0$ and such that both $\mathcal{D}(x_0, \frac{3}{2})$ and $\partial_y \mathcal{D}(x_0, \frac{3}{2})$ are negative, we see that $\mathcal{D}(x_0, y)$ has exactly one zero in $(\frac{3}{2}, \infty)$. Since $\mathcal{D}(x_0, 4) \geq 0$, this zero must lie in $(\frac{3}{2}, 4]$, as claimed.

Thus the zero-locus of $\mathcal{D}(x, y)$ in $[3, 4] \times [\frac{3}{2}, 4]$ is the graph of a continuous bijection connecting the two points $(3, 4)$ and $(4, \frac{3}{2})$. The polynomial $\mathcal{D}(x, y)$ is positive in the part of $[3, 4] \times [\frac{3}{2}, 4]$ above the zero-locus, that is, containing the point $(x, y) = (4, 4)$ (see Figure 10). Likewise, it is negative in the part below the zero locus, that is, containing the point $(x, y) = (3, \frac{3}{2})$. Changing coordinates to (α_1, α_2) , we see that the zero locus of $\mathcal{D}(4 \cos^2 \alpha_1, 4 \cos^2 \alpha_2)$ in the rectangle $[0, \frac{\pi}{6}] \times [0, \alpha_2^{\text{lim}}]$ is the graph of a

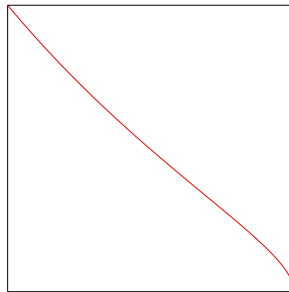


Figure 10: The null locus of $\mathcal{D}(x, y)$ in the rectangle $[3, 4] \times [\frac{3}{2}, 4]$

continuous bijection connecting the points $(\alpha_1, \alpha_2) = (\frac{\pi}{6}, 0)$ and $(0, \alpha_2^{\text{lim}})$. Moreover, \mathcal{D} is positive on the part below this curve, in particular on the interval $\alpha_1 = 0$ and $0 \leq \alpha_2 < \alpha_2^{\text{lim}}$ and the interval $\alpha_2 = 0$ and $0 \leq \alpha_1 < \frac{\pi}{6}$. The region \mathcal{Z} is the union of the four copies of this region by the symmetries about the horizontal and vertical coordinate axes. It is clearly a disc and contains the relevant parts of the axes. This completes the proof. \square

Combining Lemmas 7.3 and 7.4 proves Proposition 4.6.

7.3 Condition for no triple intersections: proof of Proposition 4.5

In this section we find a condition on (α_1, α_2) that characterises the set \mathcal{Z} where the triple intersection of isometric spheres $\mathcal{I}_0^+ \cap \mathcal{I}_0^- \cap \mathcal{I}_{-1}^-$ is empty.

Lemma 7.5 *The triple intersection $\mathcal{I}_0^+ \cap \mathcal{I}_0^- \cap \mathcal{I}_{-1}^-$ is empty if and only if $f_{\alpha_1, \alpha_2}(\alpha) > 0$ for all $\alpha \in [-\frac{\pi}{2}, \frac{\pi}{2}]$, where*

$$(37) \quad f_{\alpha_1, \alpha_2}(\alpha) = 4 \cos^2\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) + 2 \cos(\alpha - \alpha_1) + 8 \cos \alpha \cos \alpha_1 - 16 \sqrt{\cos \alpha \cos \alpha_1} \cos\left(\frac{1}{2}\alpha - \frac{1}{2}\alpha_1\right) |\sin \alpha_2|.$$

Proof By Corollary 7.2, it is enough to show that $f_{\alpha_1, \alpha_2}^{[0]} + f_{\alpha_1, \alpha_2}^{[-1]} > 0$. This sum is made explicit in (33). In view of the second part of Proposition 4.7, we can restrict our attention to showing that the triple intersection $\mathcal{I}_0^+ \cap \mathcal{I}_0^- \cap \mathcal{I}_{-1}^-$ contains no points of $\partial H_{\mathbb{C}}^2$. That is, we may assume $w = \pm \sqrt{2 \cos \alpha}$. Using the first part of Proposition 4.7 we restrict our attention to points m in the meridian \mathfrak{m} where $\beta = \frac{1}{2}(\pi - \alpha_1)$. The triple intersection is empty if and only if the sum $f_{\alpha_1, \alpha_2}^{[0]}(q) + f_{\alpha_1, \alpha_2}^{[-1]}(q)$ is positive for any value of α , where $q = g(\alpha, \pi - \frac{1}{2}\alpha_1, \pm \sqrt{2 \cos \alpha})$. When $w \sin \alpha_2$ is negative, all terms in (33) are positive. Therefore we may suppose $w \sin \alpha_2 = \sqrt{2 \cos \alpha_1} |\sin \alpha_2| \geq 0$. Substituting these values in the expression for $f_{\alpha_1, \alpha_2}^{[0]}(q) + f_{\alpha_1, \alpha_2}^{[-1]}(q)$ given in (33) gives the function $f_{\alpha_1, \alpha_2}(\alpha)$ in (37). \square

We want to convert (37) into a polynomial expression in a function of α . The numerical condition given in the statement of Proposition 4.5 will follow from the next lemma.

Lemma 7.6 *If $\alpha \in [-\frac{\pi}{2}, \frac{\pi}{2}]$ is a zero of f_{α_1, α_2} then $T_\alpha = \tan(\frac{1}{2}\alpha) \in [-1, 1]$ is a root of the quartic polynomial $L_{\alpha_1, \alpha_2}(T)$, where*

$$(38) \quad L_{\alpha_1, \alpha_2}(T) = T^4(2x_1^4x_2^4 - 4x_1^2x_2^4 + x_1^4 + 10x_1^2 + 1) - 8T^3 \sin \alpha_1(x_1^2x_2^4 - x_1^2 - 1) - 2T^2(2x_1^4x_2^4 + 3x_1^4 - 9) + 8T \sin \alpha_1(x_1^2x_2^4 - x_1^2 + 1) + (2x_1^4x_2^4 + 4x_1^2x_2^4 + x_1^4 - 10x_1^2 + 1).$$

Proof Squaring the two lines of (37) and using $\sqrt{2 \cos \alpha_1} |\sin \alpha_2| \geq 0$, we see that the condition $f_{\alpha_1, \alpha_2}(\alpha) = 0$ is equivalent to

$$(39) \quad (1 + 2 \cos(\alpha - \alpha_1) + 4 \cos \alpha \cos \alpha_1)^2 = 64 \cos \alpha \cos \alpha_1 \cos^2\left(\frac{1}{2}(\alpha - \alpha_1)\right) \sin^2 \alpha_2.$$

After rearranging and expanding, we obtain the following polynomial equation in $\cos \alpha$ and $\sin \alpha$:

$$\begin{aligned} 0 = & 4(8 \cos^2 \alpha_1 \cos^2 \alpha_2 + 2 \cos^2 \alpha_1 - 1) \cos^2 \alpha \\ & + 8 \cos \alpha_1 \sin \alpha_1 (4 \cos^2 \alpha_2 - 1) \cos \alpha \sin \alpha \\ & + 4 \cos \alpha_1 (8 \cos^2 \alpha_2 - 5) \cos \alpha + 4 \sin \alpha_1 \sin \alpha - 4 \cos^2 \alpha_1 + 5. \end{aligned}$$

Substituting $\tan\left(\frac{1}{2}\alpha\right) = T$, $2 \cos \alpha_1 = x_1^2$ and $2 \cos \alpha_2 = x_2^2$ into this equation gives $L_{\alpha_1, \alpha_2}(T)$. □

Before proving Proposition 4.5, we analyse the situation on the axes $\alpha_1 = 0$ and $\alpha_2 = 0$.

Lemma 7.7 *Let $L_{\alpha_1, \alpha_2}(T)$ be given by (38).*

- (1) *When $\alpha_2 = 0$ and $-\frac{\pi}{6} < \alpha_1 < \frac{\pi}{6}$, the polynomial $L_{\alpha_1, 0}(T)$ has two real double roots T_- and T_+ , where $T_- < -1$ and $T_+ > 1$, and no other roots.*
- (2) *When $\alpha_1 = 0$ and $0 < \alpha_2 < \alpha_2^{\text{lim}}$ or $-\alpha_2^{\text{lim}} < \alpha_2 < 0$, the polynomial $L_{0, \alpha_2}(T)$ has no real roots.*

Proof First, substituting $\alpha_2 = 0$ in (38) we find $L_{(\alpha_1, 0)} = M_{\alpha_1}(T)^2$, where

$$M_{\alpha_1}(T) = T^2(3x_1^2 - 1) - 4T \sin \alpha_1 - (3x_1^2 + 1).$$

The condition on α_1 guarantees that $3x_1^2 - 1 > 0$ and so, as T tends to $\pm\infty$, also $M_{\alpha_1}(T)$ tends to $+\infty$. On the other hand,

$$M_{\alpha_1}(-1) = 4 \sin \alpha_1 - 2 < 0, \quad M_{\alpha_1}(1) = -4 \sin \alpha_1 - 2 < 0.$$

Therefore $M_{\alpha_1}(T)$ has two real roots $T_- < -1$ and $T_+ > 1$, as claimed. Since $M_{\alpha_1}(T)$ is quadratic, it cannot have any more roots. In particular, it is negative for $-1 \leq T \leq 1$.

Secondly, we substitute $\alpha_1 = 0$ in (38), giving

$$L_{0, \alpha_2}(T) = (5T^2 - \frac{1}{5}(8x_2^4 + 3))^2 + \frac{32}{25}(2x_2^4 - 3)(4 - x_2^4).$$

When $\alpha_2 \in (-\alpha_2^{\text{lim}}, \alpha_2^{\text{lim}})$ and $\alpha_2 \neq 0$, we have $x_2^4 = 4 \cos^2 \alpha_2 \in (\frac{3}{2}, 4)$. In particular, this means that $(2x_2^4 - 3)(4 - x_2^4) > 0$ and so $L_{0, \alpha_2}(T)$ has no real roots, proving the second part. □

We note that if $\alpha_1 = \alpha_2 = 0$ then $L_{0, 0}(T)$ has double roots at $T = \pm\sqrt{7/5}$ and, if $\alpha_1 = 0$ and $\alpha_2 = \pm\alpha_2^{\text{lim}}$, then $L_{0, \pm\alpha_2^{\text{lim}}}(T)$ has double roots at $T = \pm\sqrt{3/5}$.

Lemma 7.8 If $(\alpha_1, \alpha_2) \in \mathcal{Z}$ then the polynomial $L_{\alpha_1, \alpha_2}(T)$ has no roots T in $[-1, 1]$.

Proof We analyse the number, type (real or nonreal) and location of roots of the polynomial $L_{\alpha_1, \alpha_2}(T)$ when $(\alpha_1, \alpha_2) \in \mathcal{R}$. As $L_{\alpha_1, \alpha_2}(T)$ has real coefficients, whenever it has only simple roots, its root set is of one of the following types:

- (a) two pairs of complex conjugate nonreal simple roots,
- (b) a pair of nonreal complex conjugate simple roots and two simple real roots,
- (c) four simple real roots.

But the set of roots of a polynomial is a continuous map (in bounded degree) for the Hausdorff distance on compact subsets of \mathbb{C} . In particular, the root set type of $L_{\alpha_1, \alpha_2}(T)$ is a continuous function of α_1 and α_2 . This implies that it is not possible to pass from one of the above types to another without passing through a polynomial having a double root.

We compute the discriminant $\Delta_{\alpha_1, \alpha_2}$ of $L_{\alpha_1, \alpha_2}(T)$ (a computer may be useful to do so):

$$(40) \quad \Delta_{\alpha_1, \alpha_2} = 2^{16} x_1^4 (x_1^4 + 1)^2 (2x_1^2 (2 - x_1^2) (4 - x_2^4) + (3x_1^2 - 1)^2) (4 - x_2^4)^2 \cdot \mathcal{D}(x_1^4, x_2^4),$$

where $\mathcal{D}(x, y)$ is as in Proposition 4.5, and $x_i = \sqrt{2 \cos \alpha_i}$. The polynomial $L_{\alpha_1, \alpha_2}(T)$ has a multiple root in \mathbb{C} if and only if $\Delta_{\alpha_1, \alpha_2} = 0$. Let us examine the different factors.

- The first two factors x_1^4 and $(x_1^4 + 1)^2$ are positive when $(\alpha_1, \alpha_2) \in (-\frac{\pi}{2}, \frac{\pi}{2})^2$.
- Note that $(2 - x_1^2)(4 - x_2^4) \geq 0$ and $(3x_1^2 - 1)^2 > 0$ when $\sqrt{3} \leq x_1^2 \leq 2$ and $x_2^4 \leq 4$, and so the third factor is positive.

Thus, the only factors of $\Delta_{\alpha_1, \alpha_2}$ that can vanish on \mathcal{R} are $(4 - x_2^4)^2 = 16 \sin^4 \alpha_2$ and $\mathcal{D}(x_1^4, x_2^4)$. In particular $L_{\alpha_1, \alpha_2}(T)$ has a multiple root in \mathbb{C} if and only if one of these two factors vanishes. We saw in Proposition 4.6 that the subset of \mathcal{R} where $\mathcal{D}(x_1^4, x_2^4) > 0$ is a topological disc \mathcal{Z} , symmetric about the α_1 and α_2 axes and intersecting them in the intervals $\{\alpha_2 = 0, -\frac{\pi}{6} < \alpha_1 < \frac{\pi}{6}\}$ and $\{\alpha_1 = 0, -\alpha_2^{\lim} < \alpha_2 < \alpha_2^{\lim}\}$. Therefore, the rectangle \mathcal{R} contains two open discs on which $\Delta_{\alpha_1, \alpha_2} > 0$, namely

$$\mathcal{Z}^+ = \{(\alpha_1, \alpha_2) \in \mathcal{Z} : \alpha_2 > 0\}, \quad \mathcal{Z}^- = \{(\alpha_1, \alpha_2) \in \mathcal{Z} : \alpha_2 < 0\}.$$

These two sets each contain an open interval of the α_2 axis. We saw in the second part of Lemma 7.7 that on both these intervals $L_{\alpha_1, \alpha_2}(T)$ has no real roots, that is its roots are of type (a). Therefore it has no real roots on all of \mathcal{Z}^+ and \mathcal{Z}^- .

Only those points of \mathcal{Z} in the interval $\{\alpha_2 = 0, -\frac{\pi}{6} < \alpha_1 < \frac{\pi}{6}\}$ still need to be considered. We saw in the first part of Lemma 7.7 that, for such points, $L_{\alpha_1, \alpha_2}(T)$ has no roots with $-1 \leq T \leq 1$. This completes the proof of Proposition 4.5. \square

7.4 Pairwise intersection: proof of Proposition 4.8

Proposition 4.8 will follow from the next lemma.

Lemma 7.9 *If $0 < x \leq 4$ and $\mathcal{D}(x, y) \geq 0$ then $xy \geq 6$, with equality if and only if $(x, y) = (4, \frac{3}{2})$.*

Proof Substituting $y = 6/x$ in (35) and simplifying, we obtain

$$\mathcal{D}\left(x, \frac{6}{x}\right) = -\frac{27(x-4)(x-9)}{x}.$$

When $0 < x \leq 4$ we see immediately that this is nonpositive and equals zero if and only if $x = 4$. This means that $xy - 6$ has a constant sign on the region where $\mathcal{D}(x, y) > 0$. Checking at $(x, y) = (4, 4)$, we see that it is positive. □

Proof of Proposition 4.8 To prove the disjointness of the given isometric spheres we calculate the Cygan distance between their centres. Since all the isometric spheres have radius 1, if we can show their centres are a Cygan distance at least 2 apart, then the spheres are disjoint. (Note that the Cygan distance is not a path metric, so it may be the distance is less than 2 but the spheres are still disjoint. This will not be the case in our examples.)

The centre of I_k^+ is

$$A^k(p_B) = \left[\frac{1}{\sqrt{2}}kx_1x_2^2, kx_1^2x_2^2 \sin \alpha_2 \right];$$

see Proposition 4.2. We will show that $d_{\text{Cyg}}(A^k(p_B), p_B)^4 > 16$ when $k^2 \geq 4$ and $(\alpha_1, \alpha_2) \in \mathcal{R}$, that is, $(x_1^4, x_2^4) \in [3, 4] \times [\frac{3}{2}, 4]$:

$$d_{\text{Cyg}}(A^k(p_B), p_B)^4 = \frac{1}{4}(k^4x_1^4x_2^8 + k^2x_1^4x_2^4(4-x_2^4)) \geq \frac{27}{16}k^4.$$

This number is greater than 16 when $k \geq 2$ or $k \leq -2$ as claimed. Using Proposition 4.2 again, the centre of I_k^- is

$$A^k(p_{AB}) = \left[\frac{1}{\sqrt{2}}(kx_1x_2^2 + x_1e^{i\alpha_2}), -\sin \alpha_1 \right].$$

We suppose that the pair $(x_1^4, x_2^4) \in [3, 4] \times [\frac{3}{2}, 4]$ satisfies $x_1^4x_2^4 \geq 6$, which is valid for $(\alpha_1, \alpha_2) \in \mathcal{Z}$ by Lemma 7.9. Then

$$\begin{aligned} d_{\text{Cyg}}(A^k(p_{AB}), p_B)^4 &= \frac{1}{4}((k(k+1)x_1^2x_2^4 + x_1^2)^2 + 4 - x_1^4) \\ &= 1 + \frac{1}{4}(k^2(k+1)^2x_1^4x_2^8 + 2k(k+1)x_1^4x_2^4) \\ &\geq \left(\frac{3}{2}k(k+1) + 1\right)^2. \end{aligned}$$

This number is at least 16 when $k \geq 1$ or $k \leq -2$, as claimed. Moreover, we have equality exactly when $k = 1$ or $k = -2$ and when $x_1^4x_2^4 = 6$ and $x_2^4 = \frac{3}{2}$; that is, when $(x_1^4, x_2^4) = (4, \frac{3}{2})$. □

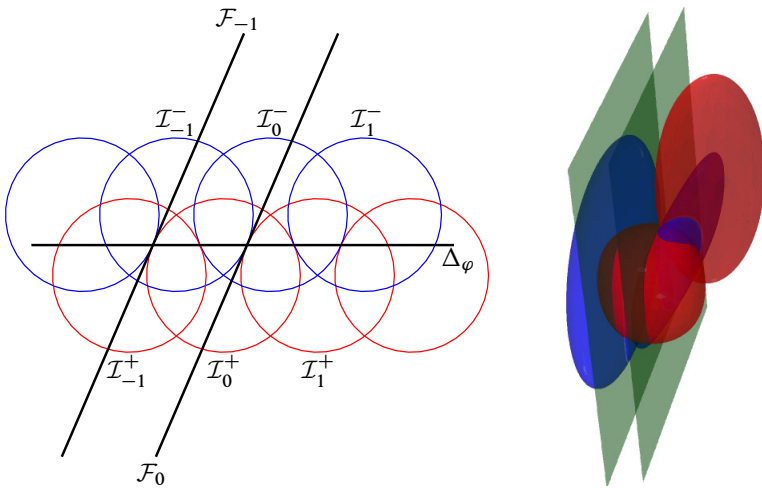


Figure 11: Vertical projection and realistic view of the isometric spheres and the fans F_0 and F_{-1} for the parameter values $\alpha_1 = 0$, $\alpha_2 = \alpha_2^{\text{lim}}$. Compare with Figure 5.

7.5 The ideal boundary $\partial_\infty D$ is a cylinder: proof of Proposition 6.8

To prove Proposition 6.8, we adopt the following strategy:

Step 1 First, we intersect D with a fundamental domain D_A for the action of A on the Heisenberg group. The domain D_A is bounded by two parallel vertical planes F_{-1} and F_0 that are boundaries of fans in the sense of [17]. These two fans are such that $A(F_{-1}) = F_0$ (see Figure 11 for a view of the situation in vertical projection). We analyse the intersections of F_0 and F_{-1} with D , and show that they are topological circles, denoted by c_{-1} and c_0 with $A(c_{-1}) = c_0$.

Step 2 Secondly, we consider the subset of the complement of D which is contained in D_A , and prove that it is a 3-dimensional ball that intersects F_{-1} and F_0 along topological discs (bounded by c_{-1} and c_0). This proves that $D \cap D_A$ is the complement a solid tube in D_A , which is unknotted using Lemma 6.7. Finally, we prove that, gluing together copies by powers of A of $D \cap D_A$, we indeed obtain the complement of a solid cylinder.

We construct a fundamental domain D_A for the cyclic group $\langle A \rangle$ of Heisenberg translations. The domain D_A will be bounded by two fans, chosen to intersect as few bisectors as possible. The fan F_0 will pass through $p_{ST^{-1}}$ and will be tangent to both \mathcal{I}_1^+ and \mathcal{I}_{-1}^- ; compare Figure 11. Similarly, $F_{-1} = A^{-1}(F_0)$ will pass through $A^{-1}(p_{ST^{-1}}) = p_{TST}$ and be tangent to both \mathcal{I}_0^+ and \mathcal{I}_{-2}^- . We first give F_0 and F_{-1}

in terms of horospherical coordinates and then we give them in terms of their own geographical coordinates (see [17]). In horospherical coordinates they are

$$(41) \quad F_0 = \{[x + iy, t] : 3x\sqrt{3} - y\sqrt{5} = \frac{\sqrt{2}}{2}\},$$

$$(42) \quad F_{-1} = \{[x + iy, t] : 3x\sqrt{3} - y\sqrt{5} = -4\sqrt{2}\}.$$

This leads to the definition of D_A :

$$(43) \quad D_A = \{[x + iy, t] : -4\sqrt{2} \leq 3x\sqrt{3} - y\sqrt{5} \leq \frac{\sqrt{2}}{2}\}.$$

We choose geographical coordinates (ξ, η) on F_0 : the lines where ξ is constant (resp. η is constant) are boundaries of complex lines (resp. Lagrangian planes). These coordinates correspond to the double foliation of fans by real planes and complex lines, which is described in [17, Section 5.2]. The particular choice is made so that the origin is the midpoint of the centres of \mathcal{I}_0^+ and \mathcal{I}_0^- . Doing so gives the fan F_0 as the set of points $f(\xi, \eta)$:

$$f(\xi, \eta) = \left\{ \left[\frac{1}{4\sqrt{2}}(\sqrt{5}\xi + \sqrt{3} + 3i\sqrt{3}\xi + i\sqrt{5}), \eta - \frac{\xi}{4} \right] : \xi, \eta \in \mathbb{R} \right\}.$$

The standard lift of $f(\xi, \eta)$ is given by

$$f(\xi, \eta) = \begin{bmatrix} -\xi^2 - \frac{\sqrt{15}}{4}\xi - \frac{1}{4} + i\eta - \frac{i}{4}\xi \\ \frac{\sqrt{5}}{4}\xi + \frac{\sqrt{3}}{4} + \frac{3i\sqrt{3}}{4}\xi + \frac{i\sqrt{5}}{4} \\ 1 \end{bmatrix}.$$

Using the convexity of Cygan spheres, we see that their intersection with F_0 (or F_{-1}) is one of: empty, a point or a topological circle. For the particular fans and isometric spheres of interest to us, the possible intersections are summarised in the following result:

Proposition 7.10 *The intersections of the fans F_{-1} and F_0 with the isometric spheres \mathcal{I}_k^\pm are empty, except for those indicated in the following table:*

\cap	\mathcal{I}_{-2}^-	\mathcal{I}_{-2}^+	\mathcal{I}_{-1}^-	\mathcal{I}_{-1}^+	\mathcal{I}_0^-	\mathcal{I}_0^+	\mathcal{I}_1^-	\mathcal{I}_1^+
F_0	\emptyset	\emptyset	$\{p_{ST-1}\}$	\emptyset	a circle	a circle	\emptyset	$\{p_{ST-1}\}$
F_{-1}	$\{p_{TST}\}$	\emptyset	a circle	a circle	\emptyset	$\{p_{TST}\}$	\emptyset	\emptyset

Moreover, the point p_{S-1T} belongs to the interior of D_A . The parabolic fixed points $A^k(p_{ST-1})$ lie outside D_A for all $k \geq 1$ and $k \leq -1$; parabolic fixed points $A^k(p_{S-1T})$ lie outside D_A for all $k \neq 0$.

A direct consequence of this proposition is that the only point in the closure of the quadrilateral \mathcal{Q}^-_1 and the bigon \mathcal{B}^-_1 that lie on F_0 is their vertex p_{TST} .

Proof The part about intersections of fans and isometric spheres is proved easily by projecting vertically onto \mathbb{C} , as in the proof of Proposition 4.8 (see Figure 11). Note that as isometric spheres are strictly convex, their intersections with a plane is either empty or a point or a topological circle. The part about the parabolic fixed points is a direct verification using (41) as well as (27). \square

We need to be slightly more precise about the intersection of F_0 with \mathcal{I}_0^+ and \mathcal{I}_0^- .

Proposition 7.11 *The intersection of F_0 with $\mathcal{I}_0^+ \cup \mathcal{I}_0^-$ (and thus with ∂D) is a topological circle c_0 , which is the union of two topological segments c_0^+ and c_0^- , where the segment c_0^\pm is the part of $F_0 \cap \mathcal{I}_0^\pm$ that is outside \mathcal{I}_0^\mp . The two segments c_0^+ and c_0^- have the same endpoints; one of them is $p_{ST^{-1}}$, and we will denote the other by q_0 . Moreover, the point q_0 lies on the segment $[p_{STS}, p_{S^{-1}T}]$ of $\mathcal{I}_0^+ \cap \mathcal{I}_0^-$.*

The point q_0 appears in Figures 12, 13 and 14.

Proof The point $f(\xi, \eta)$ of the fan F_0 lies on \mathcal{I}_0^+ whenever $1 = |\langle f(\xi, \eta), \mathbf{p}_B \rangle|$ and on \mathcal{I}_0^- whenever $1 = |\langle f(\xi, \eta), \mathbf{p}_{AB} \rangle|$. We first find all points on $F_0 \cap \mathcal{I}_0^+ \cap \mathcal{I}_0^-$. These correspond to simultaneous solutions to

$$(44) \quad 1 = |\langle f(\xi, \eta), \mathbf{p}_B \rangle| = |\langle f(\xi, \eta), \mathbf{p}_{AB} \rangle|$$

Computing these products and rearranging, we obtain

$$|\langle f(\xi, \eta), \mathbf{p}_B \rangle|^2 = (\xi^2 + \frac{1}{4})^2 + \xi^2 + \eta^2 + \frac{1}{2}\xi(\sqrt{15}\xi^2 + \frac{\sqrt{15}}{4} - \eta),$$

$$|\langle f(\xi, \eta), \mathbf{p}_{AB} \rangle|^2 = (\xi^2 + \frac{1}{4})^2 + \xi^2 + \eta^2 - \frac{1}{2}\xi(\sqrt{15}\xi^2 + \frac{\sqrt{15}}{4} - \eta).$$

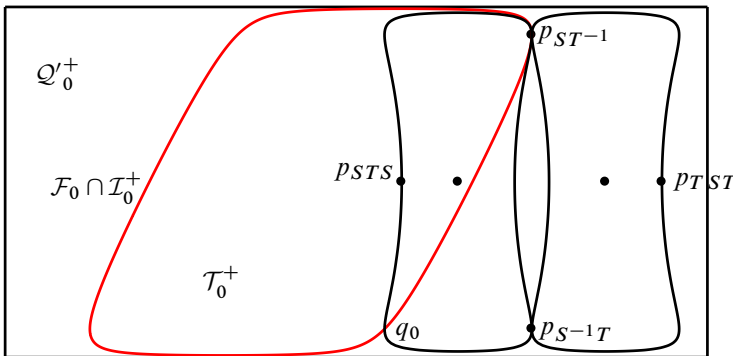


Figure 12: The intersection of F_0 with \mathcal{I}_0^+ drawn on \mathcal{I}_0^+ in geographical coordinates

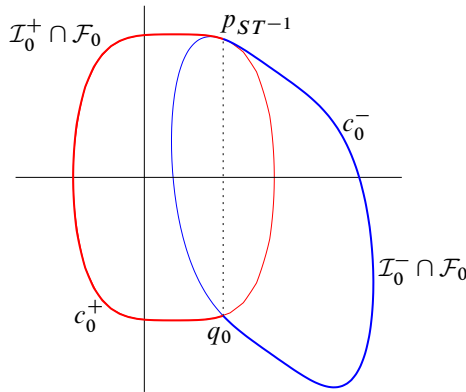


Figure 13: The intersection of F_0 with $\mathcal{I}_0^+ \cap \mathcal{I}_0^-$. The disc \mathcal{D}_0 is the interior of $c_0 = c_0^+ \cap c_0^-$. The two segments c_0^+ and c_0^- are the thicker parts of $F_0 \cap \mathcal{I}_0^+$ and $F_0 \cap \mathcal{I}_0^-$.

Subtracting, we see that solutions to (44) must either have $\xi = 0$ or $\eta = \sqrt{15}(\xi^2 + \frac{1}{4})$. Substituting these solutions into $1 = |\langle f(\xi, \eta), p_B \rangle|^2$, we see first that $\xi = 0$ implies $1 = \eta^2 + \frac{1}{16}$, and secondly that $\eta = \sqrt{15}(\xi^2 + \frac{1}{4})$ implies

$$1 = (\xi^2 + \frac{1}{4})^2 + \xi^2 + 15(\xi^2 + \frac{1}{4})^2 = (4\xi^2 + 1)^2 + \xi^2.$$

Clearly the only solution to this equation is $\xi = 0$. So both cases lead to the solutions $(\xi, \eta) = (0, \pm \frac{\sqrt{15}}{4})$. Thus the only points satisfying (44), that is, the points in $F_0 \cap \mathcal{I}_0^+ \cap \mathcal{I}_0^-$, are

$$f(0, \frac{\sqrt{15}}{4}) = [\frac{\sqrt{3+i\sqrt{5}}}{4\sqrt{2}}, \frac{\sqrt{15}}{4}] \quad \text{and} \quad f(0, -\frac{\sqrt{15}}{4}) = [\frac{\sqrt{3+i\sqrt{5}}}{4\sqrt{2}}, -\frac{\sqrt{15}}{4}].$$

Note that the first of these points is $p_{ST^{-1}}$. We call the other point q_0 .

These two points divide $F_0 \cap \mathcal{I}_0^+$ and $F_0 \cap \mathcal{I}_0^-$ into two arcs. It remains to decide which of these arcs is outside the other isometric sphere. Clearly

$$|\langle f(\xi, \eta), p_B \rangle| > |\langle f(\xi, \eta), p_{AB} \rangle| \quad \text{if and only if} \quad \xi(\sqrt{15}\xi^2 + \frac{\sqrt{15}}{4} - \eta) > 0.$$

Close to $\eta = -\frac{\sqrt{15}}{4}$ we see this quantity changes sign only when ξ does. This means that if $f(\xi, \eta) \in \mathcal{I}_0^-$ with $\xi > 0$ then $f(\xi, \eta)$ is in the exterior of \mathcal{I}_0^+ . Similarly, if $f(\xi, \eta) \in \mathcal{I}_0^+$ with $\xi < 0$ then $f(\xi, \eta)$ is in the exterior of \mathcal{I}_0^- . In other words, c_0^+ is the segment of $F_0 \cap \mathcal{I}_0^+$ where $\xi < 0$ and c_0^- is the segment of $F_0 \cap \mathcal{I}_0^-$ where $\xi > 0$.

Finally, consider the involution $I_2 = SI_1$ in $\text{PU}(2, 1)$ from the proof of Proposition 3.8. (Note that since $\alpha_1 = 0$, this involution conjugates Γ^{lim} to itself.) The involution I_2 preserves F_0 , acting on it by sending $f(\xi, \eta)$ to $f(-\xi, \eta)$, and hence interchanging

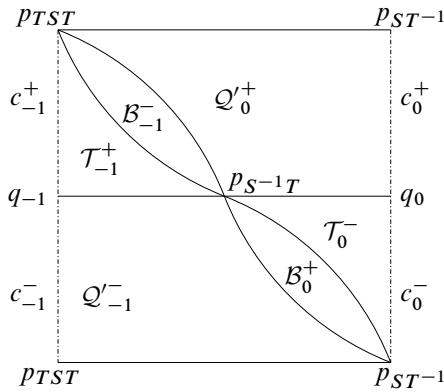


Figure 14: A combinatorial picture of the intersection of ∂D with D_A . The top and bottom lines are identified. The curve c_0 corresponds to the right-hand side of the figure.

the components of its complement. In Heisenberg coordinates I_2 is given by

$$(45) \quad I_2: [x + iy, t] \longleftrightarrow [-x - iy + \sqrt{3/8} + i\sqrt{5/8}, t - \sqrt{5/2}x + \sqrt{3/2}y].$$

As I_2 is elliptic and fixes the point q_∞ , it is a Cygan isometry (see Section 2.4). Since it interchanges p_B and p_{AB} , it also interchanges \mathcal{I}_0^+ and \mathcal{I}_0^- . Hence their intersection is preserved setwise. The involution I_2 also interchanges p_{S-1T} and p_{STS} contained in $\mathcal{I}_0^+ \cap \mathcal{I}_0^-$ (but not on F_0). Therefore, these two points lie in different components of the complement of F_0 . Hence there must be a point of F_0 on the segment $[p_{S-1T}, p_{STS}]$. This point cannot be p_{S-1T} , and so must be q_0 (see Figure 12). \square

Let D^c denote the closure of the complement of D in $\partial H_C^2 - \{q_\infty\}$.

Proposition 7.12 *The closure of the intersection $D^c \cap D_A$ is a solid tube homeomorphic to a 3-ball.*

Proof We describe the combinatorial cell structure of $D^c \cap D_A$; see Figure 14. Using Proposition 7.11, it is clear D^c intersects F_0 in a topological disc whose boundary circle is made up of two edges c_0^\pm and two vertices p_{S-1T} and q_0 . Combinatorially, this is a bigon. Applying A^{-1} we see D^c intersects F_{-1} in a bigon with boundary made up of edges c_{-1}^\pm and two vertices p_{TST} and q_{-1} .

Moreover, Proposition 7.11 immediately implies that c_0 cuts Q_0^\pm into a quadrilateral and a triangle, which we denote by $Q_0'^\pm$ and T_0^\pm . Since D_A contains p_{S-1T} and p_{TST} , we see that D_A contains $Q_0'^+$ and T_0^- . These have vertex sets

$$\{p_{S-1T}, p_{TST}, p_{S-1T}, q_0\} \quad \text{and} \quad \{p_{S-1T}, p_{S-1T}, q_0\},$$

respectively. Applying A^{-1} we see that c_{-1} cuts Q_{-1}^\pm into a quadrilateral, denoted

by \mathcal{Q}'_{-1}^\pm , and a triangle, denoted by \mathcal{T}'_{-1}^\pm . Of these, the quadrilateral \mathcal{Q}'_{-1}^- and the triangle \mathcal{T}'_{-1}^+ lie in D_A . Finally, the bigons \mathcal{B}_0^+ and \mathcal{B}_{-1}^- also lie in D_A .

In summary, the boundary of $D^c \cap D_A$ has a combinatorial cell structure with five vertices $\{p_{ST^{-1}}, p_{S^{-1}T}, p_{TST}, q_0, q_{-1}\}$ and eight faces,

$$\{\mathcal{Q}'_0^+, \mathcal{Q}'_{-1}^-, \mathcal{T}_0^-, \mathcal{T}'_{-1}^+, \mathcal{B}_0^+, \mathcal{B}_{-1}^-, F_0 \cap D^c, F_{-1} \cap D^c\}.$$

These are respectively two quadrilaterals, two triangles and four bigons. Therefore, in total the cell structure has $\frac{1}{2}(2 \times 4 + 2 \times 3 + 4 \times 2) = 11$ edges. Therefore the Euler characteristic of $\partial(D^c \cap D_A)$ is

$$\chi(\partial(D^c \cap D_A)) = 5 - 11 + 8 = 2.$$

Hence $\partial(D^c \cap D_A)$ is indeed a sphere. This means $D^c \cap D_A$ is a ball, as claimed. \square

Remark 7.13 The combinatorial structure described on [Figure 14](#) is quite simple. However, the geometric realisation of this structure is much more intricate. As an example, there are fans F parallel to F_0 and F_{-1} whose intersections with D^c are disconnected. This means that the foliation described right after [Proposition 6.8](#) that is used in the proof of [Theorem 6.4](#) is actually quite “distorted”.

Proposition 7.14 *There is a homeomorphism $\Psi_A: \mathbb{R}^2 \times [0, 1] \rightarrow D_A$ that satisfies $\Psi_A(x, y, 1) = A\Psi_A(x, y, 0)$ and such that Ψ_A restricts to a homeomorphism from the exterior of $S^1 \times [0, 1]$, that is, $\{(x, y, z) : x^2 + y^2 \geq 1, 0 \leq z \leq 1\}$, to $D \cap D_A$.*

Proof We have shown in [Proposition 7.12](#) that $D^c \cap D_A$ is a solid tube homeomorphic to a 3–ball and (using [Proposition 7.11](#)) that D^c intersects ∂D_A in two discs, one in F_0 bounded by c_0 and the other in F_{-1} bounded by c_{-1} . This means we can construct a homeomorphism Ψ_A^c from the solid cylinder $\{(x, y, z) : x^2 + y^2 \leq 1, 0 \leq z \leq 1\}$ to $D^c \cap D_A$ such that the restriction of Ψ_A^c to $S^1 \times [0, 1]$ is a homeomorphism to $\partial D \cap D_A$, with $\Psi_A^c: S^1 \times \{0\} \mapsto c_{-1}$ and $\Psi_A^c: S^1 \times \{1\} \mapsto c_0$. Adjusting Ψ_A^c if necessary, we can assume that $\Psi_A^c(x, y, 1) = A\Psi_A^c(x, y, 0)$.

Furthermore, in [Lemma 6.7](#), we showed that D^c contains the invariant line Δ_φ of φ . This means that the cylinder $D^c \cap D_A$ is a thickening of $\Delta_\varphi \cap D_A$ and so, in particular, it cannot be knotted. Hence Ψ_A^c can be extended to a homeomorphism $\Psi_A: \mathbb{R}^2 \times [0, 1] \rightarrow D_A$ satisfying $\Psi_A(x, y, 1) = A\Psi_A(x, y, 0)$. In particular, Ψ maps $\{(x, y, z) : x^2 + y^2 \geq 1, 0 \leq z \leq 1\}$ homeomorphically to $D \cap D_A$, as claimed. \square

Finally, we prove [Proposition 6.8](#) by extending $\Psi_A: \mathbb{R}^2 \times [0, 1] \rightarrow D_A$ equivariantly to a homeomorphism $\Psi: \mathbb{R}^3 \mapsto \partial H_C^2 - \{q_\infty\}$. That is, if $(x, y, z+k) \in \mathbb{R}^3$ with $k \in \mathbb{Z}$ and $z \in [0, 1]$, we define $\Psi(x, y, z+k) = A^k(x, y, z)$. Since $\Psi(x, y, 1) = A\Psi(x, y, 0)$, there is no ambiguity at the boundary.

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