

Counting problem on wind-tree models

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We study periodic wind-tree models, that is, billiards in the plane endowed with \mathbb{Z}^2 -periodically located identical connected symmetric right-angled obstacles. We give asymptotic formulas for the number of (isotopy classes of) closed billiard trajectories (up to \mathbb{Z}^2 -translations) on the wind-tree billiard. We also explicitly compute the associated Siegel–Veech constant for generic wind-tree billiards depending on the number of corners on the obstacle.

37D50, 37C35; 30F30, 37A40, 37D40

1 Introduction

The classical wind-tree model corresponds to a billiard in the plane endowed with \mathbb{Z}^2 -periodic obstacles of rectangular shape; the sides of the rectangles are aligned along the lattice, as shown in [Figure 1](#).

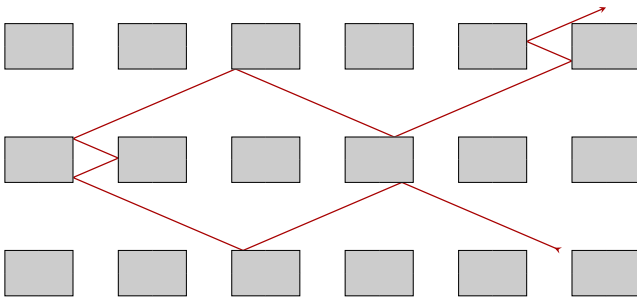


Figure 1: The original wind-tree model

The wind-tree model (in a slightly different version) was introduced by P Ehrenfest and T Ehrenfest [9] in 1912. J Hardy and J Weber [24] studied the periodic version. All these studies had physical motivations.

Several advances on the dynamical properties of the billiard flow in the wind-tree model were obtained recently using geometric and dynamical properties on moduli space of

(compact) flat surfaces; billiard trajectories can be described by the linear flow on a flat surface.

A Avila and P Hubert [2] showed that for all parameters of the obstacle and for almost all directions, the trajectories are recurrent. There are examples of divergent trajectories constructed by V Delecroix [6]. Nonergodicity was proved by K Fraćek and C Ulcigrai [20]. It was proved by Delecroix, Hubert and S Lelièvre [7] that the diffusion rate is independent of the concrete parameter values of the obstacle, and it is equal to $\frac{2}{3}$ for almost any direction and almost any starting point. A generalization of this last result was shown by Delecroix and A Zorich [8] for more complicated obstacles. In this work we study this last variant, corresponding to a billiard in the plane endowed with \mathbb{Z}^2 -periodic obstacles of right-angled polygonal shape, the obstacles being horizontally and vertically symmetric and the sides of the obstacles aligned along the lattice; see Figure 2 for an example.

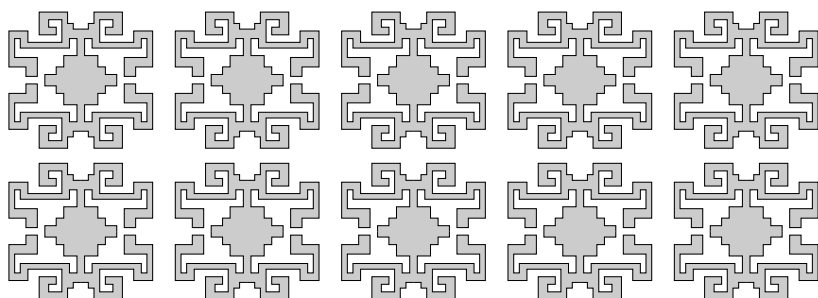


Figure 2: Delecroix–Zorich variant

This work concerns asymptotic formulas for the number of (isotopy classes of) closed billiard trajectories on the wind-tree model. We do not count trajectories which go around a single closed trajectory several times, and we are counting unoriented trajectories. This question has been widely studied in the context of (finite) rational billiards and compact flat surfaces, and it is related to many other questions such as the calculation of the volume of normalized strata (see A Eskin, H Masur and Zorich [14]) or the sum of Lyapunov exponents of the geodesic Teichmüller flow (see Eskin, M Kontsevich and Zorich [10]) on strata of flat surfaces (abelian or quadratic differentials).

Masur [26; 27] proved that for every flat surface X , there exist positive constants $c(X)$ and $C(X)$ such that the number $N(X, L)$ of (maximal) cylinders of closed geodesics of length at most L satisfies

$$c(X)L^2 \leq N(X, L) \leq C(X)L^2$$

for large enough L . W Veech [31] proved that for Veech surfaces there are in fact exact quadratic asymptotics; E Gutkin and C Judge [23] gave a different proof. Another proof for the quadratic upper bound was given by Y Vorobets [34]. Eskin and Masur [12] gave yet another one and proved that for each ergodic probability measure μ on strata of normalized (area-1) flat surfaces, there is a constant $c(\mu)$ such that for almost every surface, $N(X, L) \sim c(\mu) \cdot \pi L^2$, that is,

$$\lim_{L \rightarrow \infty} \frac{N(X, L)}{\pi L^2} = c(\mu).$$

The constant $c(\mu)$ is called the Siegel–Veech constant of the counting problem; it is the constant in the Siegel–Veech formula [12, Theorem 2.2], a Siegel-type formula introduced by Veech [33, Theorem 6.5].

It is still an open problem whether *all* flat surfaces have exact quadratic asymptotics. The particular constants for several Veech surfaces have been computed explicitly by Veech [31; 32], Vorobets [34], Gutkin and Judge [23] and M Schmoll [29]. Constants for some families of non-Veech surfaces were also given by Eskin, Masur and Schmoll [13] and Eskin, J Marklof and D Witte Morris [11]. Eskin, Masur and Zorich [14] computed the Siegel–Veech constants for connected components of all strata of abelian differentials, and also described all possible configurations of cylinders of closed geodesics which might be found on a generic flat surface. In general, the particular constants for Veech surfaces do not coincide with the Siegel–Veech constants of the strata where they live.

The case of quadratic differentials presents extra difficulties. However, J Athreya, Eskin and Zorich [1] gave explicit values for the Siegel–Veech constants on strata of quadratic differentials of genus-zero surfaces. E Goujard [21] generalized this approach to higher genera and obtained some exact values of Siegel–Veech constants for strata of quadratic differentials away from genus zero.

We prove asymptotic formulas for generic wind-tree models with respect to a natural Lebesgue-type measure (see [1; 8]) on the parameters of the wind-tree billiards, that is, the side lengths of the obstacles. Denote by $\mathcal{WT}(m)$ the family of wind-tree billiards such that the obstacle has $4m$ corners with the angle $\frac{\pi}{2}$. All billiards from the original wind-tree family as in Figure 1 live in $\mathcal{WT}(1)$; the billiard in Figure 2 belongs to $\mathcal{WT}(17)$. We denote by $\text{Area}(\Pi/\mathbb{Z}^2)$ the area of a fundamental domain of the \mathbb{Z}^2 -periodic billiard table $\Pi \in \mathcal{WT}(m)$.

Theorem 1.1 For almost every wind-tree billiard $\Pi \in \mathcal{WT}(m)$ the number $N(\Pi, L)$ of (isotopy classes of) closed billiard trajectories of length at most L in Π has quadratic asymptotic growth rate

$$N(\Pi, L) \sim c(m) \cdot \frac{\pi L^2}{\text{Area}(\Pi/\mathbb{Z}^2)},$$

where

$$c(m) = \left(20m^2 - 95m - 78 + 78 \cdot 4^m \frac{(m!)^2}{(2m)!} \right) \frac{1}{6\pi^2}.$$

The constant $c(m)$ is not the Siegel–Veech constant of one particular surface, but corresponds to Siegel–Veech constants of some particular configurations of cylinders on compact flat surfaces associated to generic wind-tree billiards.

On the other hand, Eskin, M Mirzakhani and A Mohammadi [16] showed that for all (area-1) flat surfaces we have weak quadratic asymptotic formulas

$$\lim_{L \rightarrow \infty} \frac{1}{L} \int_0^L \frac{N(X, e^t)}{\pi e^{2t}} dt = c(X),$$

which we write $N(L, X) \sim c(X) \cdot \pi L^2$. The constant $c(X)$ is the Siegel–Veech constant associated to the affine invariant measure supported on the $\text{SL}(2, \mathbb{R})$ -orbit closure of the surface X given by the general invariant measure classification theorem of Eskin and Mirzakhani [15, Theorem 1.4, Definition 1.1].

Using this technology, one can prove weak asymptotic formulas for individual wind-tree billiards. In particular, the following holds.

Theorem 1.2 Let $\Pi \in \mathcal{WT}(m)$ be a wind tree billiard.

- (1) Suppose that one of the following conditions holds:
 - (a) All the parameters of Π are rational.
 - (b) $m = 1$ and there exists a square-free integer $D > 0$ such that the two parameters of Π , say $a, b \in (0, 1)$, can be written as $1/(1 - a) = x + z\sqrt{D}$ and $1/(1 - b) = y + z\sqrt{D}$ with $x, y, z \in \mathbb{Q}$ and $x + y = 1$.

Then

$$N(\Pi, L) \sim c(\Pi) \cdot \frac{\pi L^2}{\text{Area}(\Pi/\mathbb{Z}^2)}.$$

- (2) In any other case, we have the weak asymptotic formula

$$N(\Pi, L) \sim c(\Pi) \cdot \frac{\pi L^2}{\text{Area}(\Pi/\mathbb{Z}^2)}.$$

The case (1) corresponds to (particular cases of) Veech surfaces and formulas for the Siegel–Veech constants can be obtained following an approach similar to the one of Gutkin and Judge [23, Section 6]. In the case (a), when the parameters are rational, it corresponds to square-tiled surfaces and it is possible to obtain formulas similar to the obtained by Eskin, Kontsevich and Zorich [10, Theorem 4]. In the other cases we do not know the Siegel–Veech constants for every wind-tree billiard. However, it depends only on $SL(2, \mathbb{R})$ -orbit closures (of a compact flat surface associated to the wind-tree billiard) and, in particular, it coincides with $c(m)$ for generic billiards.

1.1 Strategy of the proof

We reformulate the counting problem on wind-tree billiards in terms of a counting problem on a \mathbb{Z}^2 -periodic flat surface. This is quite elementary and straightforward. For details on the reduction of the study of the billiard flow to the study of a \mathbb{Z}^2 -cocycle over the linear flow of a finite flat surface, see [7, Section 3].

In general, we can consider an infinite flat surface X_∞ which is a ramified \mathbb{Z}^d -cover over a compact flat surface X , $d \geq 1$ ($d = 2$ in our case). Let Σ be the finite set of singular points of X . Since the intersection form $\langle \cdot, \cdot \rangle$ is nondegenerate between $H^1(X \setminus \Sigma, \mathbb{Z})$ and $H^1(X, \Sigma, \mathbb{Z})$, every such \mathbb{Z}^d -cover is defined by a d -tuple of independent elements $\mathbf{f} = (f_1, \dots, f_d)$ in the relative cohomology group $H^1(S, \Sigma, \mathbb{Z})$, but we restrict ourselves to the case when $\mathbf{f} \in H^1(X, \mathbb{Z}^d)$ — this is the case of the infinite \mathbb{Z}^2 -periodic flat surface associated to a wind-tree model.

We are interested in counting (maximal) cylinders of closed geodesics in X_∞ (up to \mathbb{Z}^d -translations, of course). Cylinders of closed geodesics in the cover X_∞ clearly descend to cylinders in X , but not the other way around. In fact, by definition of the covering, cylinders in the cover X_∞ are exactly the lifts of those cylinders C in X such that γ_C , (the Poincaré dual of the homology class of) its core curve, satisfies $\langle \gamma_C, f_i \rangle = 0$ for each $i = 1, \dots, d$.

One of the main tools used in this kind of problem (and many others) is the $SL(2, \mathbb{R})$ -action on strata of flat surfaces (see eg [12; 14]) and the associated cocycle over the Hodge bundle, the Kontsevich–Zorich cocycle. Let \mathcal{M} be the $SL(2, \mathbb{R})$ -orbit closure of X , let F be a subbundle of the Hodge bundle over \mathcal{M} , invariant with respect to the Kontsevich–Zorich cocycle, and let $f \in F_X$.

Cylinders C in X such that $\langle \gamma_C, f \rangle = 0$ split naturally into two families: (a) the family of cylinders such that $\langle \gamma_C, h \rangle = 0$ for all $h \in F_X$, which we call F -good cylinders,

and (b) the family of cylinders that are not F -good, but for which $\langle \gamma_C, f \rangle = 0$. These latter are called (F, f) -bad cylinders. This notion of F -good cylinders was first introduced by Avila and Hubert [2] in order to give a geometric criterion for recurrence of \mathbb{Z}^d -periodic flat surfaces.

Thus, counting cylinders in a \mathbb{Z}^d -periodic flat surface can be reduced to separately counting cylinders which are $(\bigoplus_j F^{(j)})$ -good cylinders and $(F^{(j)}, f_j)$ -bad cylinders in the compact surface, for some appropriate subbundles $(F^{(j)})_j$.

In the case of the classical wind-tree model, that is, for $m = 1$, Delecroix, Hubert and Lelièvre [7] gave a complete description of the cocycles defining the surfaces and the corresponding decomposition of the Hodge bundle, which allows us to successfully apply this approach. This is extended naturally to the Delecroix–Zorich variant ($m > 1$). In fact, for every $\Pi \in \mathcal{WT}(m)$, there are two cocycles h and v in a compact flat surface $X = X(\Pi)$ defining the \mathbb{Z}^2 -periodic flat surface $X_\infty = X_\infty(\Pi)$ associated to Π and two 2-dimensional equivariant subbundles, which we denote by F^{+-} and F^{-+} , such that $h \in F^{+-}$ and $v \in F^{-+}$.

Using the main result of Eskin and Masur in [12], it is a straightforward remark that we have asymptotic formulas for the number of F -good cylinders with an associated Siegel–Veech constant, for generic surfaces, for any $SL(2, \mathbb{R})$ -ergodic finite measure on any normalized strata. In the case of (F, f) -bad cylinders, this is no longer true. However, in the case of the wind-tree model, we prove the following.

Theorem 1.3 *Let $\Pi \in \mathcal{WT}(m)$ be a wind-tree billiard, $X = X(\Pi)$ the associated compact flat surface and F one of the associated subbundles F^{+-} or F^{-+} . Then for any $f \in F_X$, the number $N_F(f, L)$ of (F, f) -bad cylinders in X of length at most L has **subquadratic** asymptotic growth rate, that is, $N_F(f, L) = o(L^2)$, or, equivalently,*

$$\lim_{L \rightarrow \infty} \frac{N_F(f, L)}{\pi L^2} = 0.$$

We use technology for asymptotic formulas developed by Eskin and Masur [12] in order to prove (a slightly more general version of) **Theorem 1.3**. For this, we need in addition that the relevant subbundles F^{+-} and F^{-+} have nonzero Lyapunov exponents. This is true for almost every wind-tree billiard thanks to one of the main results of Delecroix and Zorich in [8] (namely, Theorem 2). For the statement to be true for every wind-tree billiard, we use (a slightly more general version of) the so-called Forni criterion due to

G Forni [17], a geometric criterion for the positivity of Lyapunov exponents, applied to integer equivariant subbundles.

As a consequence of Theorem 1.3, the proof of Theorem 1.1 is reduced to computing the Siegel–Veech constant associated to configurations of $F^{+-} \oplus F^{-+}$ -good cylinders. Furthermore, Theorem 1.2 becomes a compilation of several different results and we omit its proof here; it is almost identical to the proof of Theorem 1.7 in [1], after the reduction given by Theorem 1.3 to the problem of counting only $F^{+-} \oplus F^{-+}$ -good cylinders.

For the computation of the Siegel–Veech constant associated to configurations of $F^{+-} \oplus F^{-+}$ -good cylinders, use extra symmetries in the surface $X(\Pi)$ to describe it as a cover of lower-genus surfaces. In particular, configurations of $F^{+-} \oplus F^{-+}$ -good cylinders are related to configurations of cylinders on some strata of genus-zero surfaces that lift to homologically trivial cylinders on some strata of genus-one surfaces.

C Boissy [3] described all possible configurations on generic surfaces in genus zero. Using this, we describe all possible configurations of cylinders satisfying the homological conditions ensuring they correspond to $F^{+-} \oplus F^{-+}$ -good cylinders. Then we relate Siegel–Veech constants of configurations in the genus-zero surface with the constant for the higher-genus surface and do the combinatorics. Finally, plugging into the resulting expression the explicit values of the Siegel–Veech constants for configurations on generic surfaces of genus zero obtained by Athreya, Eskin and Zorich [1] and proving certain combinatorial identities for the resulting hypergeometric sums, we obtain the desired explicit value of $c(m)$.

1.2 Side results

As a by-product of our methods, we obtain several results as detailed below.

Area Siegel–Veech constant Following the same strategy, we are able to compute the area Siegel–Veech constant, associated to the counting of the area of maximal families of isotopy classes of compact trajectories. More precisely, we have the analogue of Theorem 1.1:

Theorem 1.4 *For almost every $\Pi \in \mathcal{WT}(m)$, the weighted number $N_{\text{area}}(\Pi, L)$ of maximal families of isotopic closed billiard trajectories of length at most L in Π , where the weight is the area covered by the family, has quadratic asymptotic growth rate*

$$N_{\text{area}}(\Pi, L) \sim c_{\text{area}}(m) \cdot \frac{\pi L^2}{\text{Area}(\Pi/\mathbb{Z}^2)},$$

where

$$c_{\text{area}}(m) = \left(4m - 9 + 9 \cdot 4^m \frac{(m!)^2}{(2m + 1)!} \right) \frac{1}{3\pi^2}.$$

Polynomial diffusion Let $d(\cdot, \cdot)$ be the Euclidean distance on \mathbb{R}^2 and consider the wind-tree billiard table $\Pi \in \mathcal{WT}(m)$ as a subset of \mathbb{R}^2 . Let $(\phi_t^\theta)_{t \in \mathbb{R}}$ be the billiard flow in direction $\theta \in [0, 2\pi)$ on Π , that is, $\phi_t^\theta(x)$ is the position of a particle after time t starting from position $x \in \Pi$ in direction θ .

Applying Forni’s criterion to the relevant subbundles F^{+-} and F^{-+} allows us to show that they have nonzero Lyapunov exponents. Applying the result [8, Corollary 1] of Delecroix and Zorich, which is a generalization of the analogous result for the classical model due to Delecroix, Hubert and Lelièvre [7], we obtain the following.

Theorem 1.5 *For every wind-tree billiard $\Pi \in \mathcal{WT}(m)$ there exists $\delta(\Pi) > 0$ such that for almost every direction $\theta \in [0, 2\pi)$ and every starting point (with infinite forward orbit), we have*

$$\limsup_{t \rightarrow \infty} \frac{\log d(x, \phi_t^\theta(x))}{\log t} = \delta(\Pi).$$

Here, $\delta(\Pi)$ is the polynomial diffusion rate and coincides with the Lyapunov exponent mentioned above. Note that this result is already known for $m = 1$ and the diffusion rate δ is $\frac{2}{3}$ independently of the billiard table (see [7, Theorem 1]). For $m > 1$, the result is known for almost all $\Pi \in \mathcal{WT}(m)$, with $\delta(m) = 4^m(m!)^2/(2m+1)!$, also independent of the billiard (see [8, Theorem 1]). Moreover, the value of $\delta(\Pi)$ depends only on $\text{SL}(2, \mathbb{R})$ -orbit closures (of the compact flat surface associated to the wind-tree billiard). Anyway, this result is interesting because the diffusion rate $\delta(\Pi)$ is *positive* for every $\Pi \in \mathcal{WT}(m)$.

Recurrence Avila and Hubert [2] gave a geometric criterion for the recurrence of a \mathbb{Z}^d -periodic flat surface in terms of good cylinders and proved the recurrence for the original wind-tree model. Using this criterion, our approach allows us to prove the recurrence for the Delecroix–Zorich variant. More precisely, we have the following.

Theorem 1.6 *For every wind-tree billiard $\Pi \in \mathcal{WT}(m)$, the billiard flow in Π is recurrent for almost every direction $\theta \in [0, 2\pi)$.*

This result is already known for $m = 1$ (see [2, Theorem 1]). Moreover, as explained to us by Delecroix, a criterion for recurrence due to N Chevallier and J-P Conze [5, Corollary 1.2] allows us to conclude that the billiard flow ϕ_t^θ is recurrent in Π for almost every direction $\theta \in [0, 2\pi)$ if the polynomial diffusion rate (see above) satisfies $\delta(\Pi) < \frac{1}{2}$. However, we only know that the polynomial diffusion rate is less than $\frac{1}{2}$ for almost every $\Pi \in \mathcal{WT}(m)$ and only for $m > 2$.

1.3 Structure of the paper

In Section 2 we briefly recall all the background necessary to formulate and prove the results. In Section 3 we do the reduction of the counting problem on general \mathbb{Z}^d -periodic flat surfaces to the counting of $(\bigoplus_j F^{(j)})$ -good cylinders and $(F^{(j)}, f_i)$ -bad cylinders in the compact surface, for some appropriate subbundles $(F^{(j)})_j$ of the Hodge bundle. In Section 4 we prove Theorem 4.1, a slightly more general version of Theorem 1.3, but with the extra condition that some particular Lyapunov exponent is positive. In Section 5 we show that the relevant Lyapunov exponent is positive by applying Forni's criterion to integer equivariant subbundles, which completes the proof of Theorem 1.3 and allows us to reduce the problem to the counting of $F^{+-} \oplus F^{-+}$ -good cylinders. In Section 6 we study configurations of cylinders on generic genus-zero surfaces in order to describe $F^{+-} \oplus F^{-+}$ -good cylinders. In Section 6.1 we show which configurations of cylinders on generic genus-zero surfaces lift to $F^{+-} \oplus F^{-+}$ -good cylinders in the higher-genus surface by means of topological considerations. Then, in Section 6.2, we describe how these cylinders lift to the higher-genus surface, that is, the number of cylinders we obtain and their length. With this, we are able to relate in Section 6.3 the Siegel–Veech constants of the genus-zero and the higher-genus surfaces.

Finally, in Section 7 we compute the Siegel–Veech constant of $F^{+-} \oplus F^{-+}$ -good cylinders: we count the possible configurations taking part in the computations and plug in the explicit values of the Siegel–Veech constants obtained by Athreya, Eskin and Zorich [1]. This allows us to conclude the computations by means of a combinatorial identity for certain hypergeometric sums proved separately in an appendix.

Side results mentioned above are proved in Section 8.

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2 Background

2.1 Flat surfaces

For an introduction and general references to this subject, we refer the reader to the surveys of Zorich [37], Forni and Matheus [18], and Wright [36].

Flat surfaces and strata Let S be a compact Riemann surface of genus g . Let $\alpha = \{n_1, \dots, n_k\} \subset \mathbb{N}$ be a partition of $2g - 2$ and $\mathcal{H}(\alpha)$ be a stratum of abelian differentials on S , that is, the space of pairs $X = (S, \omega)$ where ω is a holomorphic 1-form on S with zeros of degrees $n_1, \dots, n_k \in \mathbb{N}$. Let $\Sigma = \Sigma(\omega)$ be the set of singularities of X , the zeros of ω . The form ω defines a canonical flat metric on S with conical singularities of angle $2\pi(n + 1)$ at zeros of degree n of ω .

We also consider strata $\mathcal{Q}(d_1, \dots, d_k)$ of meromorphic quadratic differentials with at most simple poles on S , the spaces of pairs (S, q) where q is a meromorphic quadratic differential on M with zeros of order d_1, \dots, d_k , $d_i \in \{-1\} \cup \mathbb{N}$ for $i = 1, \dots, k$ (in a slight abuse of vocabulary, we are considering poles as zeros of order -1) and $\sum_{i=1}^k d_i = 4g - 4$. The quadratic differential q also defines a canonical flat metric with conical singularities of angle $\pi(d + 2)$ at zeros of order d of q .

In this paper, a quadratic differential is not the square of an abelian differential and a flat surface is the Riemann surface with the flat metric corresponding to an abelian or quadratic differential.

The area of a flat surface is the one obtained from the flat metric. Let $\mathcal{H}_1(\alpha)$ denote the codimension-1 subspace of (flat) area 1 on $\mathcal{H}(\alpha)$.

$\mathrm{SL}(2, \mathbb{R})$ -action and the Teichmüller geodesic flow There is a natural action of $\mathrm{SL}(2, \mathbb{R})$ on strata of abelian differentials, which generalizes the action of $\mathrm{SL}(2, \mathbb{R})$

on the space $GL(2, \mathbb{R})/SL(2, \mathbb{Z})$ of flat tori. Let

$$g_t = \begin{pmatrix} e^t & 0 \\ 0 & e^{-t} \end{pmatrix} \quad \text{and} \quad r_\theta = \begin{pmatrix} \cos \theta & \sin \theta \\ -\sin \theta & \cos \theta \end{pmatrix}.$$

The element $r_\theta \in SL(2, \mathbb{R})$ acts by $(S, \omega) \mapsto (S, e^{i\theta}\omega)$. This has the effect of rotating the flat surface by the angle $\theta \in [0, 2\pi)$. The action of $(g_t)_{t \in \mathbb{R}}$ is called the Teichmüller geodesic flow.

Affine invariant measures and manifolds Each stratum carries a natural Lebesgue measure, invariant under the action of $SL(2, \mathbb{R})$, which is given by the pullback of the Lebesgue measure on $H^1(S, \Sigma, \mathbb{C}) \cong \mathbb{C}^{2g+k-1}$.

An affine invariant manifold is an $SL(2, \mathbb{R})$ -invariant closed subset of $\mathcal{H}_1(\alpha)$, which looks like an affine subspace in period coordinates (see eg [37, Section 3]). Each affine invariant manifold \mathcal{M} is the support of an ergodic $SL(2, \mathbb{R})$ -invariant probability measure $\nu_{\mathcal{M}}$. Locally, in period coordinates, this measure is (up to normalization) the restriction of Lebesgue measure to the subspace \mathcal{M} (see [15] for the precise definitions). Eskin, Mirzakhani and Mohammadi [16] proved that any $SL(2, \mathbb{R})$ -orbit closure is an affine invariant manifold. The most important case of an affine invariant manifold is a connected component of a stratum $\mathcal{H}_1(\alpha)$. Masur [25] and Veech [30] independently proved that in this case, the total mass of this measure is finite and ergodic with respect to the Teichmüller geodesic flow. The associated affine measure is known as the Masur–Veech measure.

Hodge bundle and the Kontsevich–Zorich cocycle The (real) Hodge bundle H^1 is the real vector bundle of dimension $2g$ over an affine invariant manifold \mathcal{M} whose fiber over $X = (S, \omega)$ is the real cohomology $H_X^1 = H^1(S, \mathbb{R})$. Each fiber H_X^1 has a natural lattice $H_X^1(\mathbb{Z}) = H^1(S, \mathbb{Z})$ which allows identification of nearby fibers and definition of the Gauss–Manin (flat) connection. The monodromy of the Gauss–Manin connection restricted to $SL(2, \mathbb{R})$ -orbits provides a cocycle called the Kontsevich–Zorich cocycle, which we denote by $A(g, X)$, for $g \in SL(2, \mathbb{R})$ and $X \in \mathcal{M}$. This cocycle is symplectic because it preserves the intersection form $\langle f_1, f_2 \rangle = \int_S f_1 \wedge f_2$ on $H^1(S, \mathbb{R})$, which is a symplectic form on the $2g$ -dimensional real vector space $H^1(S, \mathbb{R})$. Let $\|\cdot\|_\omega$ be the Hodge norm (for the precise definition see eg [18, Section 3.4]). The Hodge norm depends continuously on (S, ω) , but is not preserved by the Kontsevich–Zorich cocycle in general.

Lyapunov exponents For an affine invariant manifold \mathcal{M} , we know from Oseledets’ theorem that there are real numbers $\lambda_1(\mathcal{M}) \geq \dots \geq \lambda_{2g}(\mathcal{M})$, the Lyapunov exponents, and a measurable g_t -equivariant filtration $H^1(S, \mathbb{R}) = V_1(X) \supset \dots \supset V_{2g}(X) = \{0\}$ of the Hodge bundle at $\nu_{\mathcal{M}}$ -almost every $X = (S, \omega) \in \mathcal{M}$ such that

$$\lim_{t \rightarrow \infty} \frac{1}{t} \log \|A(g_t, X)f\|_{g_t \omega} = \lambda_i$$

for every $f \in V_i \setminus V_{i+1}$.

Theorem 2.1 (Chaika and Eskin [4]) *Let X be a flat surface and \mathcal{M} the $SL(2, \mathbb{R})$ -orbit closure of X . Then for almost every $\theta \in [0, 2\pi)$ we have the g_t -equivariant filtration $H^1(S, \mathbb{R}) = V_1(r_\theta X) \supset \dots \supset V_{2g}(r_\theta X) = \{0\}$, and, for every $f \in V_i \setminus V_{i+1}$,*

$$\lim_{t \rightarrow \infty} \frac{1}{t} \log \|A(g_t, r_\theta X)f\|_{g_t r_\theta \omega} = \lambda_i(\mathcal{M}).$$

The set $\Lambda(\mathcal{M})$ of Lyapunov exponents is called the Lyapunov spectrum (of the Kontsevich–Zorich cocycle over the Teichmüller flow on \mathcal{M}). The fact that the Kontsevich–Zorich cocycle is symplectic means that the Lyapunov spectrum is always symmetric: $\Lambda(\mathcal{M}) = -\Lambda(\mathcal{M})$.

Equivariant subbundles of the Hodge bundle Let \mathcal{M} be an affine invariant submanifold and F a subbundle of the Hodge bundle over \mathcal{M} . We say that F is equivariant if it is invariant under the Kontsevich–Zorich cocycle, and we say that F is irreducible if it has no proper equivariant subbundles. Since \mathcal{M} is $SL(2, \mathbb{R})$ -invariant, by the definition of the Kontsevich–Zorich cocycle, a flat (locally constant) subbundle is always equivariant.

Previous discussion about Lyapunov exponents applies in this context as well and we have that, as before, for every $X = (S, \omega) \in \mathcal{M}$ such that \mathcal{M} is the $SL(2, \mathbb{R})$ -orbit closure of X and for almost every $\theta \in [0, 2\pi)$, there is a g_t -equivariant filtration $F_{r_\theta X} = U_1(r_\theta X) \supset \dots \supset U_r(r_\theta X) = \{0\}$, where $r = \text{rank } F = \dim F_X$ and, for every $f \in U_i \setminus U_{i+1}$,

$$\lim_{t \rightarrow \infty} \frac{1}{t} \log \|A(g_t, r_\theta X)f\|_{g_t r_\theta \omega} = \lambda_i(\mathcal{M}, F).$$

The Lyapunov spectrum restricted to F is $\Lambda(\mathcal{M}, F) = \{\lambda_i(\mathcal{M}, F)\}_{i=1}^r \subset \Lambda(\mathcal{M})$.

Remark 2.2 If F is irreducible and admits a nonzero Lyapunov exponent in its Lyapunov spectrum, then F is symplectic with respect to the intersection form, that

is, the symplectic intersection form is nondegenerate on F (this is a nontrivial fact that can be deduced from [15, Theorem A.9], which in turn is deduced from [19]). In particular, F is an even-dimensional subbundle and, as before, the associated Lyapunov spectrum is symmetric: $\Lambda(\mathcal{M}, F) = -\Lambda(\mathcal{M}, F)$.

We denote by F^\dagger the symplectic complement of F and, when F is symplectic, define $F_X^{\text{pr}}(\mathbb{Z}) = \text{pr}_{F_X} H_X^1(\mathbb{Z})$, where $\text{pr}_{F_X}: H_X^1 \rightarrow F_X$ is the symplectic projection, that is, the first component of the decomposition $H_X^1 = F_X \oplus F_X^\dagger$.

We denote by $F_X(\mathbb{Z}) = F_X \cap H_X^1(\mathbb{Z})$ the set of integer cocycles in F_X . We say that F is defined over \mathbb{Z} if it is generated by integer cocycles, that is, if $F_X = \langle F_X(\mathbb{Z}) \rangle_{\mathbb{R}}$. When F is defined over \mathbb{Z} , $F_X(\mathbb{Z})$ is a lattice in F_X . If, in addition, F is symplectic, we have that $F_X^{\text{pr}}(\mathbb{Z})$ is also a lattice and $F_X(\mathbb{Z}) \subset F_X^{\text{pr}}(\mathbb{Z})$.

2.2 Counting problem

We are interested in the counting of closed geodesics of bounded length on flat surfaces.

Cylinders of closed geodesics and saddle connections Together with every closed regular geodesic in a flat surface $X = (S, \omega)$ (or in (S, q)) we have a bunch of parallel closed regular geodesics. A cylinder on a flat surface is a maximal open annulus filled by isotopic simple closed regular geodesics. A cylinder C is isometric to the product of an open interval and a circle, and its core curve γ_C is the geodesic projecting to the middle of the interval. A saddle connection is a geodesic joining two different singularities or a singularity to itself, with no singularities in its interior. Cylinders are always bounded by parallel saddle connections.

Holonomy Integrating ω (or a locally defined square-root of q) along the core curve of a cylinder, a saddle connection or, more generally, any homology class $\gamma \in H_1(S, \Sigma, \mathbb{Z})$, we get a complex number. Considered as a planar vector, this complex number represents the affine holonomy along γ and we denote this holonomy vector by $\text{hol}_\omega(\gamma)$. In particular, in the case of a cylinder or saddle connection, its euclidean length corresponds to the modulus of its holonomy vector.

Systole Let $\text{sys}(X)$ be the systole of the flat surface X , that is, the length of its shortest saddle connection, and let $K_\epsilon = \{X : \text{sys}(X) \geq \epsilon\}$. The set of K_ϵ for $\epsilon > 0$ forms a compact exhaustion on any affine invariant manifold (which is never compact).

Counting problem and Siegel–Veech constants Consider the set of all cylinders on a flat surface X and consider its image $V(X) \in \mathbb{R}^2 \cong \mathbb{C}$ under the holonomy map:

$V(X) = \{\text{hol } \gamma_C : C \text{ is a cylinder in } X\}$. This is a discrete subset of \mathbb{R}^2 . We are concerned with the asymptotic behavior of the number $N(X, L) = \#V(X) \cap B(L)$ of cylinders in X of length at most L , when $L \rightarrow \infty$.

Theorem 2.3 (Eskin and Masur [12]) *Let \mathcal{M} be an affine invariant manifold. Then there is a constant $c(\mathcal{M})$ such that for $\nu_{\mathcal{M}}$ -almost all $X \in \mathcal{M}$ we have*

$$(1) \quad \lim_{L \rightarrow \infty} \frac{N(X, L)}{\pi L^2} = c(\mathcal{M}),$$

where $c(\mathcal{M})$ is the Siegel–Veech constant given by the Siegel–Veech formula

$$(2) \quad c(\mathcal{M}) = \frac{1}{\pi \rho^2} \int_{\mathcal{M}} N(Y, \rho) \, d\nu_{\mathcal{M}}(Y).$$

We use some of the tools developed by Eskin and Masur when proving this theorem. In particular, the following are of special utility to us.

Theorem 2.4 [12, Theorem 5.1(b)] *For any $X \in \mathcal{H}(\alpha)$ and all $\delta, \rho > 0$,*

$$N(X, \rho) \leq \frac{c(\rho, \delta)}{\text{sys}(X)^{1+\delta}}.$$

Theorem 2.5 [12, Theorem 5.2] *For any $X \in \mathcal{H}(\alpha)$, any $\beta < 2$ and all $t > 0$,*

$$\int_0^{2\pi} \frac{d\theta}{\text{sys}(g_t r_\theta X)^\beta} \leq c(X, \beta).$$

We remark that these two results are true for *every* flat surface, in contrast to [Theorem 2.3](#), which holds for *almost every* flat surface.

Configurations of cylinders A collection $\mathbf{C} = \{C_1, \dots, C_n\}$ of cylinders determines the data on combinatorial geometry of the decomposition of $S \setminus \mathbf{C}$. It determines the number of components, their boundary structures, the singularity data for each component and how the components are glued to each other. These data are referred to as configurations of cylinders (see [14]). The multiplicity of a configuration is the number of cylinders it defines. We reserve the notion of configuration for geometric types of possible collections of cylinders, and not for the collections themselves.

In this work, we are only concerned with multiplicity-one configurations, that is, those defining a single cylinder. We are also concerned with some homological conditions — and not only the geometric combinatorics — when considering configurations (see

Section 3). However, this information is also carried by configurations because of topological considerations.

Remark 2.6 Let \mathcal{C} be a configuration of cylinders and consider now $N_{\mathcal{C}}(X, L)$, the number of cylinders in X of length at most L forming a configuration of type \mathcal{C} . Then the analogue of Theorem 2.3 is also true in this context (see [12; 14]), with the Siegel–Veech constant associated to this counting problem depending also on the configuration: $c_{\mathcal{C}}(\mathcal{M}) = c(\mathcal{C}, \mathcal{M})$.

2.3 Generic configuration of cylinders in genus zero and associated Siegel–Veech constants

Boissy [3] described all generic configurations of cylinders for flat surfaces in genus zero and Athreya, Eskin and Zorich [1] provided the values of the corresponding Siegel–Veech constants. In this section we recall briefly these results (cf [8, Section 4.2]).

According to [3, Theorem 2.2] and [28, Main Theorem], for almost any flat surface in any stratum of meromorphic quadratic differentials with at most simple poles on the sphere, different from $\mathcal{Q}(-1^4)$, every single regular closed geodesic corresponds to one of the two configurations described below.

Pocket configurations These configurations are defined by single cylinders bounded by a saddle connection joining a fixed pair of poles P_{j_1}, P_{j_2} and by a saddle connection joining a fixed zero P_i of order $d_i \geq 1$ to itself (see Figure 3). By convention, the holonomy associated to these configurations corresponds to closed geodesics and not to the saddle connection joining the two poles, which is half the length of the closed geodesic.

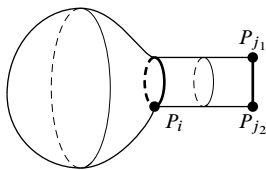


Figure 3: A pocket configuration, formed by cylinders bounded by a saddle connection joining two fixed poles on one side and by a saddle connection joining a fixed zero to itself on the other.

The Siegel–Veech constant $c_{j_1, j_2; i}^{\text{pocket}}$ corresponding to these configurations has the form [1, Theorem 4.5]

$$c_{j_1, j_2; i}^{\text{pocket}} = \frac{d_i + 1}{k - 4} \frac{1}{2\pi^2}.$$

If we consider the union of several pocket configurations, fixing the poles P_{j_1}, P_{j_2} and consider any zero P_i on the boundary of the cylinder, then the resulting Siegel–Veech constant $c_{j_1, j_2}^{\text{pocket}}$ corresponding to this configuration has the form [1, Corollary 4.7]

$$(3) \quad c_{j_1, j_2}^{\text{pocket}} = \frac{1}{2\pi^2}.$$

Dumbbell configurations These configurations are defined by single cylinders bounded by saddle connections joining fixed zeros to themselves on each side (see Figure 4). Say that these two fixed zeros P_{i_1}, P_{i_2} have orders $d_{i_1}, d_{i_2} \geq 1$, respectively. Such a cylinder separates the original surface W into two flat spheres. Let $P_{i_{11}}, \dots, P_{i_{1k_1}}$ be the singularities (zeros and poles) on one part and $P_{i_{21}}, \dots, P_{i_{2k_2}}$ those on the other part. In particular, we have $i_1 \in \{i_{11}, \dots, i_{1k_1}\}$ and $i_2 \in \{i_{21}, \dots, i_{2k_2}\}$. All this information is carried by the configuration.

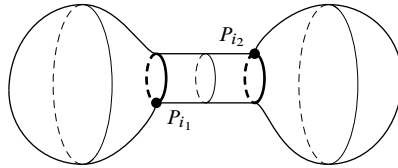


Figure 4: A dumbbell configuration, consisting of two flat spheres joined by a cylinder whose boundary components are saddle connections joining a zero to itself.

Denoting by d_i the order of the singularity P_i , we can represent the sets (with multiplicities) of orders of all zeros and poles $\alpha := \{d_1, \dots, d_k\}$ as a disjoint union of the two subsets

$$\alpha = \{d_{i_{11}}, \dots, d_{i_{1k_1}}\} \sqcup \{d_{i_{21}}, \dots, d_{i_{2k_2}}\} =: \alpha_1 \sqcup \alpha_2.$$

The corresponding Siegel–Veech constant $c_{i_1, i_2; \alpha_1, \alpha_2}^{\text{dumbbell}}$ is given by [1, Theorem 4.8]

$$(4) \quad c_{i_1, i_2; \alpha_1, \alpha_2}^{\text{dumbbell}} = (d_{i_1} + 1)(d_{i_2} + 1) \frac{(k_1 - 3)!(k_2 - 3)!}{(k - 4)!} \frac{1}{2\pi^2}.$$

2.4 Wind-tree model

The wind-tree model corresponds to a billiard Π in the plane endowed with \mathbb{Z}^2 -periodic horizontally and vertically symmetric right-angled obstacles, where the sides of the obstacles are aligned along the lattice as in Figures 1 and 2.

From billiards to flat surfaces Recall that in the classical case of a billiard in a rectangle we can glue a flat torus out of four copies of the billiard table and unfold billiard trajectories to flat geodesics of the same length on the resulting flat torus.

In the case of the wind-tree model we also start by gluing a flat surface out of four copies of the infinite billiard table Π . The resulting surface $X_\infty = X_\infty(\Pi)$ is \mathbb{Z}^2 -periodic with respect to translations by vectors of the original lattice. Passing to the \mathbb{Z}^2 -quotient, we get a compact flat surface $X = X(\Pi)$. For the case of the original wind-tree billiard, with rectangular obstacles, the resulting flat surface is represented in Figure 5. It has genus 5 and belongs to the stratum $\mathcal{H}(2^4)$ (see [7, Section 3] for details).

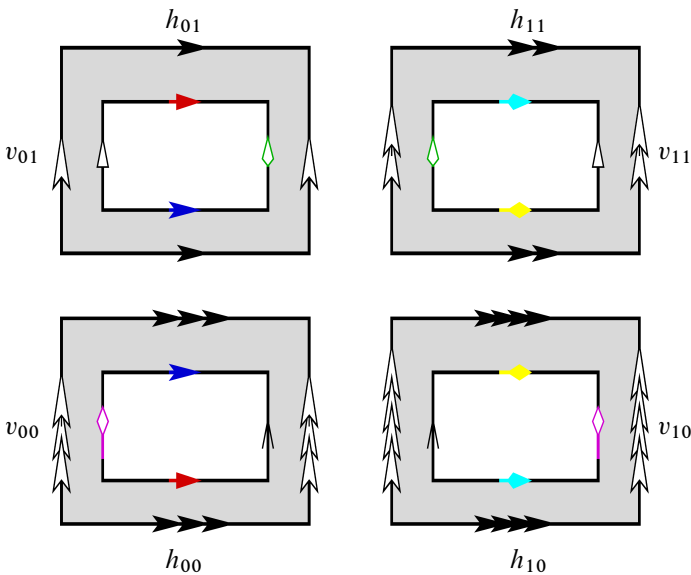


Figure 5: The flat surface X obtained as quotient over \mathbb{Z}^2 of an unfolded wind-tree billiard table [8, Figure 5]

Similarly, when the obstacle has $4m$ corners with the angle $\frac{\pi}{2}$ — and $4(m-1)$ corners with angle $\frac{3\pi}{2}$ — the same construction gives a flat surface of genus $4m + 1$ in $\mathcal{H}(2^{4m})$, consisting of four flat tori with holes (four copies of a \mathbb{Z}^2 fundamental domain of Π , the holes corresponding to the obstacles) with corresponding identifications, as in the classical setting ($m = 1$; see Figure 5). Let $\mathcal{WT}(m)$ denote the set of wind-tree billiards Π whose obstacles have $4m$ corners with angle $\frac{\pi}{2}$. The space $\mathcal{WT}(m)$ has a natural Lebesgue measure coming from the consideration of lengths and position of the sides of the obstacle. The construction $\Pi \mapsto X(\Pi)$ defines a map $\mathcal{WT}(m) \rightarrow \mathcal{H}(2^{4m})$ and we define $\mathcal{B}(m)$ to be the image of this map, that is, the set of all compact surfaces

$X(\Pi)$ such that $\Pi \in \mathcal{WT}(m)$, and we consider in $\mathcal{B}(m)$ the pushforward of the measure on $\mathcal{WT}(m)$.

Extra symmetries Note that any resulting flat surface $X \in \mathcal{B}(m)$ has (at least) the group $(\mathbb{Z}_2)^3$ as a group of isometries. We have the isometry τ_h , interchanging the pairs of flat tori with holes in the same rows by parallel translations, the isometry τ_v , interchanging columns, and ι , the isometry acting on each of the four tori with holes as the central symmetry with the center in the center of the hole (rotation by π).

Consider the quotient W_h of the flat surface X by the subgroup $(\mathbb{Z}_2)^2$ of isometries spanned by τ_h and $\iota \circ \tau_v$. The resulting surface W_h (see Figure 6, left) belongs to the stratum $\mathcal{Q}(1^{2m}, -1^{2m})$. In particular, it has genus 1, say $W_h = (\mathbb{T}^2, q_h)$. Similarly, $W_v = X / \langle \tau_v, \iota \circ \tau_h \rangle = (\mathbb{T}^2, q_v) \in \mathcal{Q}(1^{2m}, -1^{2m})$. The surface W obtained as the quotient of the original flat surface X by the entire group $(\mathbb{Z}_2)^3$ (see Figure 6, right) belongs to the stratum $\mathcal{Q}(1^m, -1^{m+4})$. In particular, it has genus zero, say $W = (\mathbb{CP}^1, q)$. Clearly, W_h and W_v are ramified double covers over W with ramification points at four (out of $m+4$) simple poles of the flat surface W (see [8, Sections 3.1–3.2] for details). Moreover, W_h and W_v share three out of their four ramified simple poles.

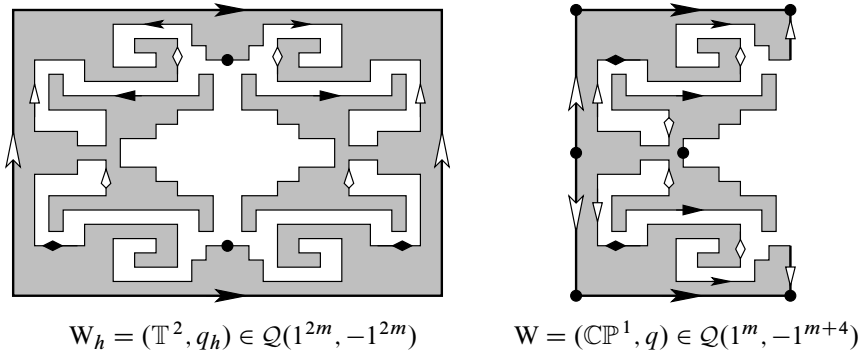


Figure 6: The flat surface W_h is a double cover over the underlying surface W branched at the four simple poles represented by bold dots [8, Figure 7].

Decomposition of the Hodge bundle The isometries τ_h and τ_v decompose the Hodge bundle over \mathcal{M} . In fact, we have that

$$H_X^1 = E^{++} \oplus E^{+-} \oplus E^{-+} \oplus E^{--},$$

where E^{++} is the vector space invariant under τ_h and τ_v , E^{+-} the vector space invariant under τ_h and anti-invariant under τ_v , etc. This decomposition is flat, defined

over \mathbb{Z} and symplectic; each subbundle is symplectic and the sum is orthogonal with respect to the intersection form.

Description of the \mathbb{Z}^2 -cover Now consider the cohomology classes $h, v \in H^1(X, \mathbb{Z})$ Poincaré-dual to the cycles $h_{00} - h_{01} + h_{10} - h_{11}$ and $v_{00} - v_{10} + v_{01} - v_{11}$, respectively (see Figure 5), as elements of the fiber over the point X of the (real) Hodge bundle H^1 over the $SL(2, \mathbb{R})$ -orbit closure of $X \in \mathcal{B}(m)$. The pair $(h, v) \in H^1(X, \mathbb{Z}^2)$ defines the \mathbb{Z}^2 -covering X_∞ of X and the coordinates of this \mathbb{Z}^2 -cocycle defining X_∞ belong to $E^{+-} \oplus E^{-+}$. More precisely, we have that $h \in E^{+-}$ and $v \in E^{-+}$.

Relevant subbundles We further consider $F^{+-} \subset E^{+-}$, the vector space invariant under τ_h and $\iota \circ \tau_v$, which is naturally isomorphic to the Hodge bundle over $W_h = (\mathbb{T}^2, q_h)$. Thus, F^{+-} is a 2-dimensional, defined over \mathbb{Z} , flat — it is locally defined by two cocycles in $H^1(X, \mathbb{Z})$ and the Gauss–Manin connection — and symplectic subbundle of the Hodge bundle. In particular, it is continuous and equivariant (invariant with respect to the Kontsevich–Zorich cocycle). Analogously, we consider $F^{-+} \subset E^{-+}$, the vector space invariant under τ_v and $\iota \circ \tau_h$, with the analogous properties. We have that $h \in F^{+-}$ and $v \in F^{-+}$ (see [8, Lemma 3.1]).

Theorem 2.7 (Delecroix and Zorich [8]) *For almost every billiard $\Pi \in \mathcal{WT}(m)$, the $GL(2, \mathbb{R})$ -orbit closure of $W(\Pi)$ coincides with the whole stratum $\mathcal{Q}(1^m, -1^{m+4})$, and the Lyapunov exponents on the $SL(2, \mathbb{R})$ -orbit closure of $X(\Pi)$ over the subbundles F^{+-} and F^{-+} are $\pm\delta(m)$, where*

$$\delta(m) = \frac{(2m)!!}{(2m+1)!!} = 4^m \frac{(m!)^2}{(2m+1)!} > 0.$$

Here, the double factorial means the product of all even (correspondingly odd) natural numbers from 2 to $2m$ (correspondingly from 1 to $2m+1$). For the original wind-tree model, that is, when $m = 1$, this was first shown by Delecroix, Hubert and Lelièvre [7]. In this case we have, in particular, that $F^{+-} = E^{+-}$, $F^{-+} = E^{-+}$ and $\delta(1) = \frac{2}{3}$.

Since the subbundles F^{+-} and F^{-+} have nonzero Lyapunov exponents and are 2-dimensional, they are irreducible, and hence symplectic (see Remark 2.2).

In this work, we are concerned with counting closed trajectories in the wind-tree billiard. Obviously, any closed trajectory can be translated by an element in \mathbb{Z}^2 to obtain a new closed trajectory. Then we shall count (isotopy classes of) closed trajectories of bounded length in the wind-tree billiard up to \mathbb{Z}^2 -translations. There is a one-to-one correspondence between billiard trajectories in Π and geodesics in X_∞ . But X_∞ is

the \mathbb{Z}^2 -covering of X given by $h, v \in H^1(X, \mathbb{Z})$, which means that closed curves γ in X lift to closed curves in X_∞ if and only if $\langle \gamma, h \rangle = \langle \gamma, v \rangle = 0$. This is a general fact about \mathbb{Z}^d -periodic flat surfaces.

3 Counting problem in \mathbb{Z}^d -periodic flat surfaces

We consider an infinite \mathbb{Z}^d -periodic flat surface X_∞ which is a ramified cover over a compact flat surface $X = (S, \omega)$, the covering group being \mathbb{Z}^d , with $d \geq 1$. Let Σ be the finite set of singular points of X . Since the intersection form $\langle \cdot, \cdot \rangle$ is nondegenerate between $H^1(S \setminus \Sigma, \mathbb{Z})$ and $H^1(S, \Sigma, \mathbb{Z})$, every such \mathbb{Z}^d -cover is defined by a d -tuple of independent elements $f = (f_1, \dots, f_d)$ in the relative cohomology group $H^1(S, \Sigma, \mathbb{Z})$.

We are interested in counting cylinders in X_∞ modulo \mathbb{Z}^d -translations. Cylinders in the cover X_∞ clearly descend to cylinders in X , but not the other way around. In fact, by definition of the covering, the monodromy of a closed curve γ is translation by $(\langle \gamma, f_i \rangle)_{i=1}^d \in \mathbb{Z}^d$. It follows that cylinders in the cover X_∞ are exactly the lifts of those cylinders C in X whose core curves γ_C satisfy $\langle \gamma_C, f_i \rangle = 0$ for each $i = 1, \dots, d$. In this case the monodromy is always trivial and cylinders in X_∞ are always isometric to their projection on X . When a cylinder C does not satisfy this condition, it lifts to X_∞ as a strip, isometric to the product of an open interval and a straight line.

We restrict ourselves to the case when f is an absolute covector, that is, it is a d -tuple of independent elements in the *absolute* cohomology group $H^1(S, \mathbb{Z})$. Let \mathcal{M} be the $SL(2, \mathbb{R})$ -orbit closure of X , let F be an equivariant subbundle of the Hodge bundle over \mathcal{M} and let $f \in F_X$.

Cylinders C in X such that $\langle \gamma_C, f \rangle = 0$ split naturally into two families: (a) the family of cylinders such that $\langle \gamma_C, h \rangle = 0$ for all $h \in F_X$, which we call *F-good cylinders*, and (b) the family of cylinders that are not *F-good*, but for which $\langle \gamma_C, f \rangle = 0$. These latter are called *(F, f)-bad cylinders*. The notion of *F-good* cylinders was first introduced by Avila and Hubert [2] in order to give a geometric criterion for recurrence of \mathbb{Z}^d -periodic flat surfaces.

Thus, counting cylinders in the \mathbb{Z}^d -periodic flat surface can be reduced to counting separately cylinders which are $(\bigoplus_j F^{(j)})$ -good cylinders and $(F^{(j)}, f_i)$ -bad cylinders in the compact surface, for some appropriate subbundles $(F^{(j)})_j$.

Remark 3.1 When F is symplectic (in particular, if $\Lambda(F) \neq \{0\}$; see Remark 2.2), *F-good* cylinders are exactly those for which $\text{pr}_{F_X} \gamma_C = 0$. If, in addition, F is

2-dimensional (in particular, irreducible if $\Lambda(F) \neq \{0\}$), C is an (F, f) -bad cylinder if and only if $\text{pr}_{F_X} \gamma_C \neq 0$ is collinear with f .

Since the Kontsevich–Zorich cocycle preserves the intersection form and F is equivariant, it is clear that the set of F -good cylinders is $\text{SL}(2, \mathbb{R})$ -equivariant. Then classical results can be applied. In particular, the main result of [12] implies that if there is at least one F -good cylinder in X , then we can deduce that F -good cylinders have quadratic asymptotic growth rate (with positive Siegel–Veech constant) for $\nu_{\mathcal{M}}$ -almost every flat surface in \mathcal{M} , the $\text{SL}(2, \mathbb{R})$ -orbit closure of X . However, this is no longer true in the case of (F, f) -bad cylinders.

For $f \in F_X$, define the set $V_F(f)$ of holonomy vectors of (F, f) -bad cylinders in X . We have that $V_F(A(g, X)f) = gV_F(f)$, since F is equivariant and the Kontsevich–Zorich cocycle respects the intersection form. Finally, let

$$N_F(f, L) = \#V_F(f) \cap B(L)$$

be the number of (F, f) -bad cylinders in X of length bounded by L .

4 Bad cylinders have subquadratic asymptotic growth rate

In this section, we prove the following general result about bad cylinders which applies to some \mathbb{Z}^d -periodic flat surfaces and, in particular, to the family of wind-tree models we are interested in.

Theorem 4.1 *Let X be a flat surface and F a 2-dimensional equivariant continuous subbundle of the Hodge bundle on \mathcal{M} , the $\text{SL}(2, \mathbb{R})$ -orbit closure of X . Suppose that F is defined over \mathbb{Z} and has nonzero Lyapunov exponents. Then for all $f \in F_X$ the number $N_F(f, L)$ of (F, f) -bad cylinders in X of length at most L has subquadratic asymptotic growth rate, that is, $N_F(f, L) = o(L^2)$ or, equivalently,*

$$\lim_{L \rightarrow \infty} \frac{N_F(L, f)}{\pi L^2} = 0.$$

Remark 4.2 When F is 2-dimensional, symplectic (in particular, when it has nonzero Lyapunov exponents) and defined over \mathbb{Z} , if $f \in F_X$ is not collinear with an integer cocycle, then there are no (F, f) -bad cylinders, since $\text{pr}_{F_X} \gamma_C$ is always a rational multiple of an integer cocycle. Since the notion of bad cylinder is clearly projective, the proof of Theorem 4.1 is then reduced to proving the conclusion only for $f \in F_X(\mathbb{Z})$, instead of for all $f \in F_X$.

To prove [Theorem 4.1](#) we use technology for asymptotic formulas for counting closed geodesics developed by Eskin and Masur [[12](#)]. In particular, the next proposition, which is a restatement of [Proposition 3.5](#) and [Lemma 8.1](#) in [[12](#)], is a key step in the proof.

Proposition 4.3 *Let $\mathcal{V} \subset \mathbb{R}^2 \setminus \{0\}$, define $\mathcal{N}(\mathcal{V}, T) := \#\mathcal{V} \cap B(T)$ and suppose that $\mathcal{N}(\mathcal{V}, T) < \infty$ for all $T > 0$. Then for all $\rho, t > 0$, we have*

$$\mathcal{N}(\mathcal{V}, 2\rho e^t) - \mathcal{N}(\mathcal{V}, \rho e^t) \leq c(\rho)e^{2t} \int_0^{2\pi} \mathcal{N}(g_t r_\theta \mathcal{V}, 4\rho) d\theta.$$

Hence, the proof of [Theorem 4.1](#) is reduced to showing the following:

Theorem 4.4 *Under the hypothesis of [Theorem 4.1](#), for every $f \in F_X(\mathbb{Z})$ and all $\rho > 0$, we have*

$$\lim_{t \rightarrow \infty} \int_0^{2\pi} N_F(A(g_t, r_\theta X) f, \rho) d\theta = 0.$$

Proof of [Theorem 4.1](#) It is clear that $V_F(\cdot) \subset \mathbb{R}^2 \setminus \{0\}$ is $SL(2, \mathbb{R})$ -equivariant and $N_F(f, L)$ is finite, since it is bounded by $N(X, L)$, the number of all cylinders of length bounded by L , and $N(X, L) \leq c(X)L^2$ [[27](#)]. Then, by [Proposition 4.3](#), we have that, for all $f \in F_X(\mathbb{Z})$, all $\rho > 0$ and all $t > 0$,

$$N_F(f, 2\rho e^t) - N_F(f, \rho e^t) \leq c(\rho)e^{2t} \int_0^{2\pi} N_F(A(g_t, r_\theta X) f, 4\rho) d\theta.$$

But then, by [Theorem 4.4](#),

$$\limsup_{t \rightarrow \infty} \frac{N_F(f, 2\rho e^t) - N_F(f, \rho e^t)}{\rho^2 e^{2t}} \leq \frac{c(\rho)}{\rho^2} \lim_{t \rightarrow \infty} \int_0^{2\pi} N_F(A(g_t, r_\theta X) f, 4\rho) d\theta = 0.$$

That is,

$$\limsup_{T \rightarrow \infty} \frac{N_F(f, 2T) - N_F(f, T)}{T^2} = 0.$$

It follows that

$$\begin{aligned} \bar{c}_F(f) &:= \limsup_{L \rightarrow \infty} \frac{N_F(f, L)}{\pi L^2} = \limsup_{T \rightarrow \infty} \frac{1}{4\pi} \frac{N_F(f, 2T)}{T^2} \\ &= \frac{1}{4\pi} \limsup_{T \rightarrow \infty} \left(\frac{N_F(f, 2T) - N_F(f, T)}{T^2} + \frac{N_F(f, T)}{T^2} \right) \\ &\leq \frac{1}{4\pi} \left(\limsup_{T \rightarrow \infty} \frac{N_F(f, 2T) - N_F(f, T)}{T^2} + \limsup_{T \rightarrow \infty} \frac{N_F(f, T)}{T^2} \right) \\ &= \frac{1}{4\pi} (0 + \bar{c}_F(f)) = \frac{1}{4\pi} \bar{c}_F(f), \end{aligned}$$

and hence $\bar{c}_F(f) = 0$. We conclude that

$$\lim_{L \rightarrow \infty} \frac{N_F(f, L)}{\pi L^2} = 0. \quad \square$$

4.1 Proof of Theorem 4.4

In order to show that

$$\lim_{t \rightarrow \infty} \int_0^{2\pi} N_F(A(g_t, r_\theta X) f, \rho) \, d\theta = 0,$$

we split the integral according to whether $g_t r_\theta X \in K_\epsilon = \{\text{sys} \geq \epsilon\}$ or not, and show that both parts tend to zero as $t \rightarrow \infty$ and $\epsilon \rightarrow 0$.

When $g_t r_\theta X \in K_\epsilon$, the corresponding part of the integral tends to zero as a consequence of the following proposition, whose proof is postponed to Section 4.2.

Proposition 4.5 *Under the hypothesis of Theorem 4.4, for all $f \in F_X(\mathbb{Z})$, all $\rho, \epsilon > 0$ and almost every θ , we have*

$$N_F(A(g_t, r_\theta X) f, \rho) \cdot \mathbf{1}_{K_\epsilon}(g_t r_\theta X) = 0$$

for sufficiently large t , $t \geq t_0(x, \rho, \epsilon, \theta)$.

Remark 4.6 The intuition behind this apparently technical proposition is the following. By hypothesis, the Lyapunov exponent of $f \in F_X(\mathbb{Z})$ is positive and then, for almost every θ , $A(g_t, r_\theta X) f$ becomes very long for large t . Without loss of generality, we can suppose that f is primitive. Therefore, no short cycle (of length bounded by ρ) can have projection on F_X collinear with $A(g_t, r_\theta X) f$, because this latter is primitive and longer. We formalize this idea in Section 4.2.

Recall that $N_F(f, L) \leq N(X, L)$. Furthermore, $N(\cdot, \rho)$ is bounded in K_ϵ . Indeed, by Theorem 2.4, for $\delta = 1$,

$$\mathbf{1}_{K_\epsilon} N(\cdot, \rho) \leq \mathbf{1}_{K_\epsilon} \frac{c(\rho, 1)}{\text{sys}^2} \leq \frac{c(\rho, 1)}{\epsilon^2} = c(\rho, \epsilon).$$

Then, for fixed $\rho, \epsilon > 0$,

$$\begin{aligned} & \int_0^{2\pi} N_F(A(g_t, r_\theta X) f, \rho) \cdot \mathbf{1}_{K_\epsilon}(g_t r_\theta X) \, d\theta \\ & \leq c(\rho, \epsilon) \cdot \left| \left\{ \theta \in [0, 2\pi) : N_F(A(g_t, r_\theta X) f, \rho) \cdot \mathbf{1}_{K_\epsilon}(g_t r_\theta X) \neq 0 \right\} \right|, \end{aligned}$$

where $|\cdot|$ is the Lebesgue measure on $[0, 2\pi)$. Finally, by Proposition 4.5, the right side of the inequality tends to zero as t tends to infinity. That is,

$$(5) \quad \lim_{t \rightarrow \infty} \int_0^{2\pi} N_F(A(g_t, r_\theta X) f, \rho) \cdot \mathbf{1}_{K_\epsilon}(g_t r_\theta X) \, d\theta = 0.$$

For the rest of the integral we use the following.

Lemma 4.7 For any flat surface X , any $\beta < 2$ and all $\epsilon > 0$, we have

$$|\{\theta \in [0, 2\pi) : \text{sys}(g_t r_\theta X) < \epsilon\}| < c(X, \beta) \epsilon^\beta$$

for all $t > 0$.

Proof We have

$$\begin{aligned} |\{\theta \in [0, 2\pi) : \text{sys}(g_t r_\theta X) < \epsilon\}| &= \int_0^{2\pi} \mathbf{1}_{\text{sys} < \epsilon}(g_t r_\theta X) \, d\theta \\ &\leq \int_0^{2\pi} \mathbf{1}_{\text{sys} < \epsilon}(g_t r_\theta X) \cdot \frac{\epsilon^\beta}{\text{sys}(g_t r_\theta X)^\beta} \, d\theta \\ &\leq \epsilon^\beta \int_0^{2\pi} \frac{d\theta}{\text{sys}(g_t r_\theta X)^\beta}. \end{aligned}$$

Then, by Theorem 2.5, we conclude that

$$|\{\theta \in [0, 2\pi) : \text{sys}(g_t r_\theta X) < \epsilon\}| \leq c(X, \beta) \epsilon^\beta. \quad \square$$

Moreover, since $N_F(f, \rho) \leq N(X, \rho)$ and, by Theorem 2.4, for any $\delta > 0$ we have

$$N(X, \rho) \leq \frac{c(\delta, \rho)}{\text{sys}(X)^{1+\delta}},$$

it follows that

$$\begin{aligned} &\int_0^{2\pi} N_F(A(g_t, r_\theta X) f, \rho) \cdot \mathbf{1}_{\text{sys} < \epsilon}(g_t r_\theta X) \, d\theta \\ &\leq \sum_{n=0}^{\infty} \int_0^{2\pi} N(g_t r_\theta X, \rho) \cdot \mathbf{1}_{\text{sys} \in [\frac{\epsilon}{2^{n+1}}, \frac{\epsilon}{2^n})}(g_t r_\theta X) \, d\theta \\ &\leq c(\delta, \rho) \sum_{n=0}^{\infty} \int_0^{2\pi} \frac{1}{\text{sys}(g_t r_\theta X)^{1+\delta}} \cdot \mathbf{1}_{\text{sys} \in [\frac{\epsilon}{2^{n+1}}, \frac{\epsilon}{2^n})}(g_t r_\theta X) \, d\theta \\ &\leq c(\delta, \rho) \sum_{n=0}^{\infty} \int_0^{2\pi} \frac{1}{(\epsilon/2^{n+1})^{1+\delta}} \cdot \mathbf{1}_{\text{sys} \in [\frac{\epsilon}{2^{n+1}}, \frac{\epsilon}{2^n})}(g_t r_\theta X) \, d\theta \end{aligned}$$

$$\begin{aligned} &\leq c(\delta, \rho) \sum_{n=0}^{\infty} \frac{2^{(n+1)(1+\delta)}}{\epsilon^{1+\delta}} \int_0^{2\pi} \mathbf{1}_{\text{sys} < \frac{\epsilon}{2^n}}(g_t r_\theta X) \, d\theta \\ &\leq c(\delta, \rho) \sum_{n=0}^{\infty} \frac{2^{(n+1)(1+\delta)}}{\epsilon^{1+\delta}} |\{\theta \in [0, 2\pi) : \text{sys}(g_t r_\theta X) < \epsilon/2^n\}|. \end{aligned}$$

Then, by Lemma 4.7, for $1 + \delta < \beta < 2$,

$$\begin{aligned} (6) \quad \lim_{t \rightarrow \infty} \int_0^{2\pi} N_F(A(g_t, r_\theta X) f, \rho) \cdot \mathbf{1}_{\text{sys} < \epsilon}(g_t r_\theta X) \, d\theta & \\ &\leq c(\delta, \rho) \sum_{n=0}^{\infty} \frac{2^{(n+1)(1+\delta)}}{\epsilon^{1+\delta}} c(X, \beta) \frac{\epsilon^\beta}{2^{n\beta}} \\ &\leq c(\delta, \rho, X, \beta) \epsilon^{\beta - (1+\delta)}. \end{aligned}$$

Joining both parts of the integral, (5) and (6), we obtain that, for every $\epsilon, \delta, \rho > 0$, $f \in F_X(\mathbb{Z})$ and $1 + \delta < \beta < 2$,

$$\lim_{t \rightarrow \infty} \int_0^{2\pi} N_F(A(g_t, r_\theta X) f, \rho) \, d\theta \leq 0 + c(\delta, \rho, X, \beta) \epsilon^{\beta - (1+\delta)}.$$

Then, fixing $\rho > 0$, $0 < \delta < 1$ and $1 + \delta < \beta < 2$, and letting $\epsilon \rightarrow 0$, we conclude that

$$\lim_{t \rightarrow \infty} \int_0^{2\pi} N_F(A(g_t, r_\theta X) f, \rho) \, d\theta = 0. \quad \square$$

4.2 Proof of Proposition 4.5

The first step is to show that, for a cylinder, being bounded in length implies having bounded projection in F_X .

Lemma 4.8 *Let $\rho > 0$ and let $K \subset \mathcal{M}$ be a compact subset. Then, for all $X' \in K$ and all cylinders C on X' such that $|\text{hol}_{\omega'} \gamma_C| \leq \rho$, we have that*

$$\|\text{pr}_{F_{X'}}[\gamma_C]\|_{\omega'} \leq c(\rho, K, F).$$

Proof Let $\mathcal{C}(\rho, X')$ be the finite set of cylinders on X' of length at most ρ . Then $c_0(\rho, X', F) = \max\{\|\text{pr}_{F_{X'}}[\gamma]\|_{\omega'} : C \in \mathcal{C}(\rho, X')\}$ is finite.

Define $\Gamma(\rho, X') = \{\gamma_C : C \in \mathcal{C}(\rho, X')\}$. Then, since F is continuous, $\text{pr}_{F(\cdot)}(\cdot)$ is continuous and since the Hodge norm $\|\cdot\|_{(\cdot)}$ is continuous, there is a neighborhood $U(X')$ of X' in \mathcal{M} such that, for all $\bar{X} = (\bar{S}, \bar{\omega}) \in U(X')$,

- $\Gamma(\rho, \bar{X}) \subset \Gamma(2\rho, X')$ (after local identification), and
- $\|\text{pr}_{F_{\bar{X}}} \cdot\|_{\bar{\omega}} \leq 2\|\text{pr}_{F_{X'}} \cdot\|_{\omega'}$.

Therefore, if \bar{C} is a cylinder in $\bar{X} \in U(X')$ with $|\text{hol}_{\bar{\omega}} \gamma_{\bar{C}}| \leq \rho$, then

$$\|\text{pr}_{F_{\bar{X}}}[\gamma_{\bar{C}}]\|_{\bar{\omega}} \leq 2\|\text{pr}_{F_{X'}}[\gamma_{\bar{C}}]\|_{\omega'} \leq 2c_0(2\rho, X', F) =: c(\rho, X', F).$$

Since $U(X')$ is open and K is compact, there is a finite set $A \subset K$ such that $K \subset \bigcup_{X' \in A} U(X')$. Taking $c(\rho, K, F) = \max_{X' \in A} c(\rho, X', F)$ completes the proof. \square

Since F is 2-dimensional and has nonzero Lyapunov exponents, it is symplectic and its Lyapunov spectrum is symmetric (see Remark 2.2), say $\Lambda(\mathcal{M}, F) = \{\pm\lambda\}$, $\lambda > 0$. Moreover, since $f \in F_X(\mathbb{Z})$ is an integer covector, its associated Lyapunov exponent has to be positive. Then, for almost every θ , we have that

$$\lim_{t \rightarrow \infty} \frac{\log \|A(g_t, r_\theta X)f\|_{g_t r_\theta \omega}}{t} = \lambda > 0;$$

in particular, for almost every θ and sufficiently large t , $t \geq t_0(r_\theta X, f)$, we have

$$(7) \quad \|A(g_t, r_\theta X)f\|_{g_t r_\theta \omega} \geq e^{\frac{\lambda}{2}t}.$$

Recall that, since F is defined over \mathbb{Z} , $F_X^{\text{pr}}(\mathbb{Z}) = \text{pr}_{F_X} H_X^1(\mathbb{Z})$ is a lattice and $F_X(\mathbb{Z}) \subset F_X^{\text{pr}}(\mathbb{Z})$. Let $m = m(f)$ be a positive integer such that $\frac{1}{m}f$ is a primitive element in the lattice $F_X^{\text{pr}}(\mathbb{Z})$, and let $c(\rho, \epsilon, F)$ be the constant given by Lemma 4.8 for $K = K_\epsilon$. Then, for large t , $t \geq t_0(\epsilon, \rho, f)$, we have

$$(8) \quad e^{\frac{\lambda}{2}t} > m(f)c(\rho, \epsilon, F).$$

Therefore, putting (7) and (8) together, for almost every θ and all t sufficiently large, $t \geq t_0(\epsilon, \rho, \theta, X, f)$, we have that

$$\|A(g_t, r_\theta X)f\|_{g_t r_\theta \omega} \geq e^{\frac{\lambda}{2}t} > m(f)c(\rho, \epsilon, F).$$

Fix θ and t as before, consider $X_t = g_t r_\theta X$, $\omega_t = g_t r_\theta \omega$ and $f_t = A(g_t, r_\theta X)f$, and suppose that $X_t \in K_\epsilon$. Now, if γ is the core curve of a cylinder in X_t such that $|\text{hol}_{\omega_t} \gamma| \leq \rho$, then

$$\|\text{pr}_{F_{X_t}}[\gamma]\|_{\omega_t} \leq c(\rho, \epsilon, F) < \frac{1}{m}\|f_t\|_{\omega_t},$$

where the first inequality is given by Lemma 4.8, for $X' = X_t$ and $K = K_\epsilon$.

Recall that under our hypothesis, an (F, f_t) -bad cylinder C in X_t has to satisfy that $\text{pr}_{F_{X_t}}[\gamma_C] \neq 0$ is collinear with f_t (see Remark 3.1). But no element in $F_{X_t}^{\text{pr}}(\mathbb{Z})$ collinear with f_t can be shorter than $\frac{1}{m}f_t$, since this last is primitive in the lattice $F_{X_t}^{\text{pr}}(\mathbb{Z})$, by definition of m and, evidently, $\text{pr}_{F_{X_t}}[\gamma]$ belongs to $F_{X_t}^{\text{pr}}(\mathbb{Z})$.

Then γ , as before, cannot be the core curve of an (F, f_t) -bad cylinder in X_t . And thus, $N_F(A(g_t, r_\theta X)f, \rho) = N_F(f_t, \rho) = 0$ for θ and t as before. That is, for all $f \in F_X(\mathbb{Z})$, all $\rho, \epsilon > 0$ and almost every θ ,

$$N_F(A(g_t, r_\theta X)f, \rho) \cdot \mathbf{1}_{K_\epsilon}(X_t) = 0$$

for sufficiently large t , $t \geq t_0(x, \rho, \epsilon, \theta)$. □

5 Application to wind-tree models

In this section we apply previous discussion to wind-tree models. As we have seen, there is an identification between cylinders (up to \mathbb{Z}^2 -translations) in the infinite billiard $\Pi \in \mathcal{WT}(m)$ and the union of $(F^{+-} \oplus F^{-+})$ -good cylinders, (F^{+-}, h) -bad cylinders and (F^{-+}, v) -bad cylinders in $X = X(\Pi) \in \mathcal{B}(m)$. Moreover, the subbundles F^{+-} and F^{-+} are always 2-dimensional flat subbundles defined over \mathbb{Z} and, by [Theorem 2.7](#), we know that $\Lambda(\mathcal{M}, F^{+-}) = \Lambda(\mathcal{M}, F^{-+}) = \{\pm\delta(m)\}$ for almost every $X \in \mathcal{B}(m)$, where \mathcal{M} is the $SL(2, \mathbb{R})$ -orbit closure of X and $\delta(m) > 0$. In particular, for almost every $X \in \mathcal{B}(m)$, F^{+-} and F^{-+} satisfy the hypothesis of [Theorem 4.1](#).

This suffices for the almost-everywhere statement of [Theorem 1.1](#), but it does not for the everywhere statement of [Theorem 1.2](#). However, an adaptation of Forni’s criterion [[17](#)] allows us to prove that the top Lyapunov exponents of F^{+-} and F^{-+} are in fact positive.

Theorem 5.1 (Forni’s criterion for integer equivariant subbundles) *Let \mathcal{M} be an affine invariant manifold and F be an equivariant subbundle of the Hodge bundle on \mathcal{M} defined over \mathbb{Z} . Suppose that there exists a flat surface $X \in \mathcal{M}$ and a family of parallel closed geodesics in X such that the space generated by the (Poincaré dual of the) homology classes of these closed geodesics is a subspace of F_X of dimension $d \geq 1$. Then the top d Lyapunov exponents on F are strictly positive, that is,*

$$\lambda_1(\mathcal{M}, F) \geq \dots \geq \lambda_d(\mathcal{M}, F) > 0.$$

Proof The proof follows as the original proof of [[17](#), Theorem 1.6]. In fact, as communicated to us by C Matheus, the main steps of the proof are:

- (1) [[17](#), Section 3] The unstable bundle of the Kontsevich–Zorich cocycle is $\nu_{\mathcal{M}}$ -almost everywhere transverse to all integral isotropic subspaces (see [[17](#), Lemma 3.1]). In our case, we can restrict the unstable bundle to the equivariant subbundle F and this statement remains true since the subbundle F is defined over \mathbb{Z} .

(2) [17, Section 4] The conclusion of [17, Lemma 4.4] about the convergence of a $d \times d$ -block of the second fundamental form to $-\text{Id}$ along an isotropic subspace transverse to the (Poincaré dual of the) d -dimensional subspace generated by the closed geodesics remains true when restricting to the subbundle F ; the proof relies only on classical formulas for the period matrix near the boundary of the Deligne–Mumford compactification of the moduli space of abelian differentials (see [17, Lemma 4.1]).

(3) [17, Section 5] Finally, the proof of [17, Theorem 1.6] remains valid since the argument combines the two previous points with a hypothesis of local product structure, which is always true by Eskin and Mirzakhani [15, Theorem 1.4] (see remark following [17, Definition 1.3]). \square

Corollary 5.2 *For every $X \in \mathcal{B}(m)$, the subbundles F^{+-} and F^{-+} defined on the $\text{SL}(2, \mathbb{R})$ -orbit closure of X satisfy the hypothesis of Theorem 4.1.*

Proof We already know that the subbundles F^{+-} and F^{-+} are 2-dimensional flat subbundles defined over \mathbb{Z} . Then it remains to prove that they have nonzero Lyapunov exponents.

Let F_X be the (Poincaré dual of the) symplectic subspace generated by the cycles h_{ij}, v_{ij} for $i, j \in \{0, 1\}$ (see Figure 5). This defines a flat (that is, a locally constant) subbundle of the Hodge bundle, which is clearly defined over \mathbb{Z} . Moreover, F has rank 8 and is symplectic. In particular, its Lyapunov spectrum is symmetric. Taking the closed geodesics given by $h_{00}, h_{10}, h_{01}, h_{11}$, which are horizontal and homologically independent, and applying Theorem 5.1, we conclude that F has four positive Lyapunov exponents and therefore all eight Lyapunov exponents are nonzero. Finally, we note that F^{+-} and F^{-+} are subbundles of F and, in particular, their Lyapunov spectra are contained in that of F . Thus, they have nonzero Lyapunov exponents. \square

Thus, by Theorem 4.1, (F^{+-}, h) -bad cylinders and (F^{-+}, v) -bad cylinders in X have subquadratic asymptotic growth rate, proving Theorem 1.3. Thus, asymptotic formulas for the wind-tree model correspond to those of $(F^{+-} \oplus F^{-+})$ -good cylinders. In particular, this justifies the conclusion of Theorem 1.2, so we have weak asymptotic formulas for every wind-tree model.

For simplicity, henceforth, we will say simply “good cylinders” for the $(F^{+-} \oplus F^{-+})$ -good cylinders, and “bad cylinders” for the (F^{+-}, h) and (F^{-+}, v) -bad cylinders.

As a direct consequence of Theorem 1.3 and an adapted version of Theorem 2.3 (see Remark 2.6), we have the following.

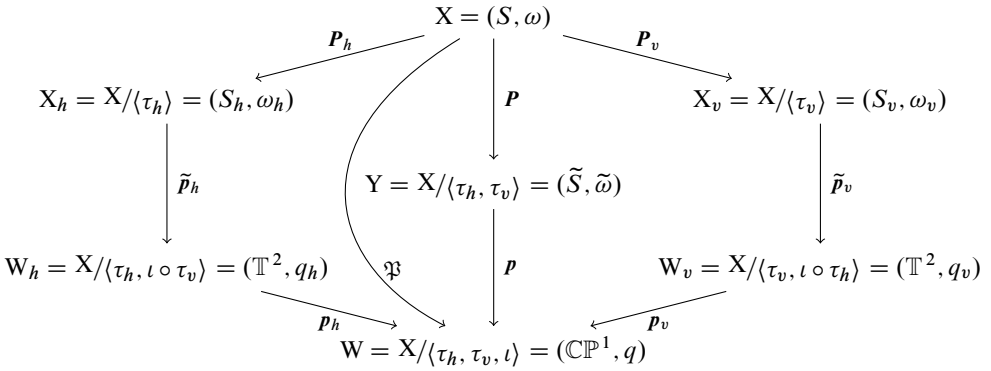


Figure 7: Notation for surfaces and covering maps

Corollary 5.3 For almost every wind-tree billiard $\Pi \in \mathcal{WT}(m)$, the number $N(\Pi, L)$ of closed billiard trajectories of length bounded by L in Π has quadratic asymptotic growth rate,

$$N(\Pi, L) \sim \frac{1}{4} c_{\text{good}}(\mathcal{M}) \frac{\pi L^2}{\text{Area}(\Pi/\mathbb{Z}^2)},$$

where $c_{\text{good}}(\mathcal{M})$ is the Siegel–Veech constant associated to the counting problem of good cylinders in \mathcal{M} , the $\text{SL}(2, \mathbb{R})$ -orbit closure of $X(\Pi)$.

The factor $\frac{1}{4}$ comes from the fact that $\text{Area}(X(\Pi)) = 4 \cdot \text{Area}(\Pi/\mathbb{Z}^2)$.

In addition, a cylinder in X is a good cylinder if (and only if) the homology class of its core curve projects trivially to F^{+-} and to F^{-+} (see Remark 3.1). We have also the following useful characterization of good cylinders (see Figure 7 for notation).

Lemma 5.4 Let C be a cylinder in X . Then C is a good cylinder in X if and only if the core curve of C projects to homologically trivial curves in W_h and W_v .

Proof Let γ be the core curve of C . Then C is an F^{+-} -good cylinder in X if and only if $\text{pr}_{F^{+-}}[\gamma] = 0$. But F^{+-} is naturally isomorphic to $H^1(W_h)$ via the pushforward of the covering map p_h . Then $\text{pr}_{F^{+-}}[\gamma] = 0$ if and only if $p_{h*}[\gamma] = [p_h\gamma] = 0$. Analogously, the same holds for F^{-+} and W_v . And good cylinders are exactly those which are F^{+-} and F^{-+} -good cylinders. \square

Then good cylinders in X are exactly those which project to homologically trivial cylinders in the flat surfaces W_h and W_v . Cylinders in X also project to the flat

surface W , of genus zero. The $SL(2, \mathbb{R})$ -orbit closure \mathcal{M} of X projects to the $SL(2, \mathbb{R})$ -orbit closure \mathcal{L} of W , and for almost every $X \in \mathcal{B}(m)$, $\mathbb{R}\mathcal{L}$ coincides with the whole stratum $\mathcal{Q}(1^m, -1^{m+4})$ [8, Proposition 2]. Moreover, we have seen in Section 2.3 that generic flat surfaces in $\mathcal{Q}(1^m, -1^{m+4})$ have only two types of configurations of cylinders, the so called pocket and dumbbell configurations. But generic flat surfaces are not pertinent to our study. In fact, the set of flat surfaces $W \in \mathcal{Q}(1^m, -1^{m+4})$ coming from wind-tree billiards is negligible. However, we have the following.

Proposition 5.5 *For almost any wind-tree billiard $\Pi \in \mathcal{WT}(m)$ the following property holds. Consider a cylinder in $W(\Pi) = X(\Pi)/\langle t, \tau_h, \tau_v \rangle$ and suppose it is neither horizontal nor vertical. Then the cylinder is part of one of the configurations described in Section 2.3, that is, a pocket or a dumbbell configuration.*

Proof See [1, Proposition 2.2] (whose proof mimics that of [14, Theorem 7.4]). \square

Corollary 5.6 *For almost every wind-tree billiard $\Pi \in \mathcal{WT}(m)$,*

$$c_{\text{good}}(\mathcal{M}) = c_{\text{good}}^{\text{pocket}}(\mathcal{M}) + c_{\text{good}}^{\text{dumbbell}}(\mathcal{M}),$$

where $c_{\text{good}}^{\text{pocket}}(\mathcal{M})$ (resp. $c_{\text{good}}^{\text{dumbbell}}(\mathcal{M})$) is the Siegel–Veech constant associated to the counting problem of configurations of good cylinders in \mathcal{M} (the $SL(2, \mathbb{R})$ -orbit closure of $X(\Pi)$) that project to pocket (resp. dumbbell) configurations in $\mathcal{Q}(1^m, -1^{m+4})$.

It follows that the study of configurations of cylinders on generic flat surfaces in $\mathcal{Q}(1^m, -1^{m+4})$ suffices for our purposes.

6 Configurations of good cylinders

Here we show which conditions a cylinder in $W = (\mathbb{C}\mathbb{P}^1, q) \in \mathcal{L} = \mathcal{Q}(1^m, -1^{m+4})$ has to satisfy so that it lifts to a good cylinder in $X = (S, \omega) \in \mathcal{M}$, and then we interpret this in terms of configurations of generic surfaces of genus zero, that is, pocket and dumbbell configurations (see Section 2.3).

Recall that, by Lemma 5.4, a cylinder in X is good if it projects to a homologically trivial cylinder in the surfaces W_h and W_v , of genus 1. Then our classification will consist in finding the configurations on W which lift to homologically trivial closed geodesics in W_h and W_v .

Since there are clear analogies between objects with subindex h and subindex v (see Figure 7), in this section we will use the label \mathbf{o} for both labels h and v . Thus, any result in terms of labels \mathbf{o} will give the corresponding result for h and v .

6.1 Cylinders in W that lift to good cylinders in X

Let C be a cylinder in the genus-zero surface W . Then, since all curves are homologically trivial on W , the core curve of C , say γ , cuts the surface in two components, say W_1 and W_2 .

For our purposes here, the only relevant information about C we need, is the number q_l of cone singularities of angle 3π and the number r_l of ramified poles in W_l for the double cover $\mathbf{p}_\mathbf{o}: W_\mathbf{o} \rightarrow W$, $l = 1, 2$. The number p_l of unramified poles for $\mathbf{p}_\mathbf{o}$ in W_l is also relevant, but since W_l is a genus-zero surface with only simple zeros and poles and a single boundary component, then

$$4g(W_l) - 4 = -4 = q_l - p_l - r_l - 2,$$

and p_l can be written in terms of q_l and r_l as $p_l = q_l - r_l + 2$, $l = 1, 2$. Also, $q_2 = m - q_1$ and $r_2 = 4 - r_1$, so we will only consider $r = r_1$ and $q = q_1$.

Remark that the number r depends on the configuration as well as on the double cover $\mathbf{p}_\mathbf{o}$ (of which there are two, \mathbf{p}_h and \mathbf{p}_v), while q does not depend on the double cover. Let the former number be $r_\mathbf{o} = r(C, \mathbf{p}_\mathbf{o})$. Furthermore, since W_1 and W_2 were arbitrarily chosen, we can fix them such that $r_\mathbf{o} = r_1 \leq r_2$. Note that $|r_h - r_v| \leq 1$, since three out of four ramified poles are shared by both covering maps. In particular, we can always choose W_1 and W_2 coherently such that $r_\mathbf{o} = r_{\mathbf{o}1} \leq r_{\mathbf{o}2}$, for both coverings. Furthermore, there is only one way to do this unless $r_h = r_v = 2$. Note that with this setting, $r_h, r_v \in \{0, 1, 2\}$. Let $W' = W_2$ and $W'_\mathbf{o} = \mathbf{p}_\mathbf{o}^{-1}W'$, and recall that $\mathbf{p}_{\mathbf{o}*}: \pi_1(W_\mathbf{o}) \rightarrow \pi_1(W)$ is the pushforward of the projection $\mathbf{p}_\mathbf{o}: W_\mathbf{o} \rightarrow W$, which sends closed curves in $W_\mathbf{o}$ to closed curves in W . In particular, $b_\mathbf{o} = \#\mathbf{p}_{\mathbf{o}*}^{-1}(\gamma)$ is the number of curves (connected components) in $\mathbf{p}_\mathbf{o}^{-1}(\gamma)$, and $b_\mathbf{o} \in \{1, 2\}$, since $\mathbf{p}_\mathbf{o}$ is a double cover.

Remark 6.1 In particular, $b_\mathbf{o}$ is the number of boundary components of the surface $W'_\mathbf{o}$. This number also defines the monodromy of the core curve γ of C for $\mathbf{p}_\mathbf{o}$. In fact, $b_\mathbf{o} = 2$ means that γ has two $\mathbf{p}_{\mathbf{o}*}$ -preimages and, since $\mathbf{p}_\mathbf{o}$ is a double cover, this gives trivial monodromy. Nontrivial monodromy (equal to \mathbb{Z}_2) arises when $b_\mathbf{o} = 1$.

Lemma 6.2 *Let C be a cylinder in W and γ its core curve, and consider $b_o = \#p_{o*}^{-1}(\gamma)$. Then $b_o = 4 - r_o - 2g(W'_o)$. In particular, $b_o \equiv r_o \pmod 2$.*

Proof Clearly W' has one boundary component, which is equal to γ . Note that b_o is the number of boundary components of W'_o : $b_o = \#p_{o*}^{-1}(\gamma) \in \{1, 2\}$.

In W' there are $4 - r_o$ ramified and $m - (q - r_o + 2)$ unramified poles for p_o , and $m - q$ simple zeros. Thus, we have $2(m - q + 2 - r_h)$ poles and $2(m - q)$ simple zeros in W'_o . But then

$$4g(W'_o) - 4 = 2(m - q) - 2(m - q + 2 - r_o) - 2b_o.$$

That is, $b_o = 4 - r_o - 2g(W'_o)$ and, in particular, $b_o \equiv r_o \pmod 2$. □

Proposition 6.3 *Let C be a cylinder in W . Then C lifts to good cylinders in X if and only if $r_h, r_v \in \{0, 1\}$.*

Proof Let γ be the core curve of C . Then we want to show that if $\gamma_o \in p_{o*}^{-1}(\gamma)$, then $[\gamma_o] = 0$ if and only if $r_o \neq 2$. Note that, since $g(W_o) = 1$, a homologically trivial curve always cuts the surface into a genus-zero surface and a genus-one surface.

As before, let $W' = W_2$ and $W'_o = p_o^{-1}W'$. By the previous lemma, we know that $\#p_{o*}^{-1}(\gamma) = b_o = 4 - r_o - 2g(W'_o)$ and $b_o \equiv r_o \pmod 2$. Then:

- If $r_o = 0$, then $b_o = 2$ and $g(W'_o) = 1$. That is, γ has two p_{o*} -preimages ($b_o = 2$) bounding a genus-one surface ($g(W'_o) = 1$) in W_o . But $g(W_o) = 1$, and therefore both p_{o*} -preimages of γ are homologically trivial (see eg [Figure 8](#), left and [Figure 9](#), left).
- When $r_o = 1$, we have $b_o = 1$ and $g(W'_o) = 1$. It follows that γ has one p_{o*} -preimage which is homologically trivial (see eg [Figure 8](#), middle and [Figure 9](#), middle).
- Finally, if $r_o = 2$, then $b_o = 2$ and $g(W'_o) = 0$. Therefore, γ has two p_{o*} -preimages, and together they bound each of two genus-zero surfaces which form the genus-one surface W_h (see eg [Figure 8](#), right and [Figure 9](#), right). Then both preimages of γ are homologically nontrivial. □

Thus, we know which cylinders in W lift to good cylinders in X . It remains to see how these cylinders lift, that is, the number of cylinders in X we obtain and their length.

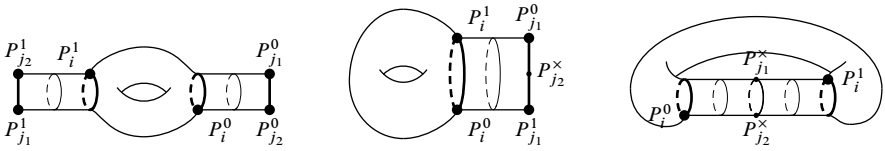


Figure 8: Possible liftings for p_o of a pocket configuration. Left: A torus with two “pockets”. Middle: A torus with a “pocket” twice longer. Right: A torus with a homologically nontrivial cylinder.

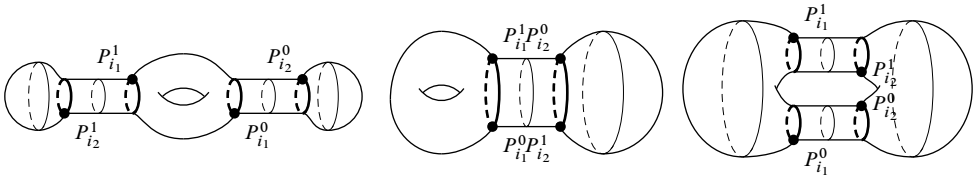


Figure 9: Possible liftings for p_o of a dumbbell configuration. Left ($r_o = 0$): a torus joined to two flat spheres by homologically trivial cylinders. Middle ($r_o = 1$): a torus joined to a flat sphere by a homologically trivial cylinder twice longer. Right ($r_o = 2$): two flat spheres joined by two homologically nontrivial cylinders.

6.2 How cylinders in W lift to good cylinders in X

Here we examine the lifts to X of those cylinders in W that lift to good cylinders in X . More precisely, we determine the number of cylinders in X we obtain and their lengths. To do this, we will lift one by one the covering maps $p_o: W_o \rightarrow W$, then $\tilde{p}_o: X_o \rightarrow W_o$ and finally $P_o: X \rightarrow X_o$ (see Figure 7). Recall we are using the label o instead of h and v .

The following is a direct consequence of Remark 6.1 and Lemma 6.2.

Lemma 6.4 *Let C be a cylinder in W . Then the core curve γ of C has trivial monodromy for p_o if $r_o \neq 1$, and monodromy equal to \mathbb{Z}_2 if $r_o = 1$.*

Proof From Remark 6.1, we know that the number b_o defines the monodromy of γ , being trivial for $b_o = 2$ and equal to \mathbb{Z}_2 when $b_o = 1$. But, by Lemma 6.2, we also know that $b_o \equiv r_o \pmod 2$, and $r_o \in \{0, 1, 2\}$. □

The meaning of the previous lemma is made apparent in Figures 8 and 9.

Lemma 6.5 *Let C_o be a cylinder in W_o such that $r_o(p_o(C_o)) \neq 2$. Then the core curve of C_o has trivial monodromy for $\tilde{p}_o: X_o \rightarrow W_o$.*

Proof Let γ_o be the core curve of C_o . Since $r_o(p_o(C_o)) \neq 2$, by Proposition 6.3 and Lemma 5.4, γ_o is homologically trivial. Then it cuts the surface W_o into two components. Let W'_o be one of these two components and consider $X'_o = \tilde{p}_o^{-1}W'_o$.

Let q^\backslash be the number of double zeros and b^\backslash the number of boundary components on X'_o . Then $4g(X'_o) - 4 = 4q^\backslash - 2b^\backslash$, and $b^\backslash \equiv 0 \pmod 2$. That is, $b^\backslash = 2$ and γ_o has two \tilde{p}_o^* -preimages. Since \tilde{p}_o is a double cover, γ_o has trivial monodromy. \square

Thus, the possible \tilde{p}_o -liftings in the surface X_o of a cylinder C_o in the surface W_o (with $r_o(p_o(C_o)) \neq 2$) are as depicted in Figure 10 or Figure 11.

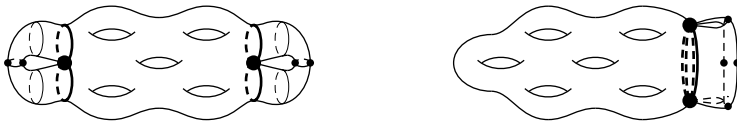


Figure 10: Possible \tilde{p}_o -liftings in X_o of cylinders in W_o coming from a pocket configuration in W . Left: $r_o = 0$. Right: $r_o = 1$.

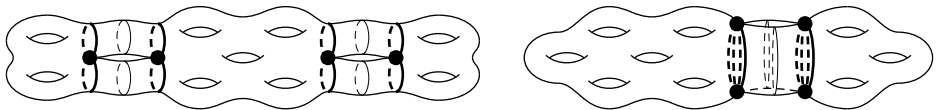


Figure 11: Possible \tilde{p}_o -liftings in X_o of cylinders in W_o coming from a dumbbell configuration in W . Left: $r_o = 0$. Right: $r_o = 1$.

Finally, we can describe how cylinders in W lift to good cylinders in X . Recall that $\mathfrak{P}: X \rightarrow W$ is a covering of degree 8.

Lemma 6.6 *Let C be a cylinder in W and γ be its core curve. Suppose that $r_h, r_v \in \{0, 1\}$. Then:*

- (1) *If $r_h = r_v = 0$, then γ has trivial monodromy for \mathfrak{P} . In particular, γ has eight \mathfrak{P}^* -preimages of the same length as γ .*
- (2) *In any other case, γ has monodromy \mathbb{Z}_2 for \mathfrak{P} . In particular, γ has four \mathfrak{P}^* -preimages twice as long as γ .*

Proof Recall first that $\mathfrak{P}: X \rightarrow W$ is a covering of degree 8, $\mathfrak{P} = p_o \circ \tilde{p}_o \circ P_o$ and also $\mathfrak{P} = p \circ P$, where $P: X \rightarrow Y$ and $p: Y \rightarrow W$ (see Figure 7 for the notation).

- (1) Suppose $r_h = r_v = 0$. By Lemma 6.4, we know that γ has trivial monodromy for both p_h and p_v . Then, by Lemma 6.5, we deduce that γ has trivial monodromy for $p_h \circ \tilde{p}_h$ and for $p_v \circ \tilde{p}_v$. Then the monodromy of γ for $\mathfrak{P} = p_o \circ \tilde{p}_o \circ P_o$ can be at most \mathbb{Z}_2 , since $P_o: X \rightarrow X_o$ is a double cover.

Suppose it is \mathbb{Z}_2 . Then the monodromy for \mathbf{P}_o of the corresponding curves $\bar{\gamma}_{oi}$, $i = 1, \dots, 4$, in \mathbf{X}_o is \mathbb{Z}_2 . This means, in particular, that τ_h and τ_v fix the corresponding curves $\bar{\gamma}_i$, $i = 1, \dots, 4$, in X . Consider $D = \mathbf{P}_*(\{\bar{\gamma}_i\}_{i=1}^4)$ and note that $D = \mathbf{p}^{-1}(\gamma)$. Then, since τ_h and τ_v fix each $\bar{\gamma}_i$, $i = 1, \dots, 4$, we have that $\#D = 4$, but \mathbf{p} is a double cover, so this is impossible. Thus, assuming that the monodromy for \mathfrak{A} of γ is \mathbb{Z}_2 , we get a contradiction. Therefore, the monodromy is trivial (see Figure 12, left and Figure 13, left).

(2) For the other cases, we will prove that γ has monodromy \mathbb{Z}_2 . Remember we are assuming that $r_h, r_v \neq 2$. We split into two further cases:

(a) Suppose $r_h = r_v = 1$. From Lemma 6.4 we know that γ has monodromy \mathbb{Z}_2 for both \mathbf{p}_h and \mathbf{p}_v . Then, by Lemma 6.5, we deduce that γ has monodromy \mathbb{Z}_2 for $\mathbf{p}_h \circ \tilde{\mathbf{p}}_h$ and for $\mathbf{p}_v \circ \tilde{\mathbf{p}}_v$. Then the monodromy of γ for $\mathfrak{A} = \mathbf{p}_o \circ \tilde{\mathbf{p}}_o \circ \mathbf{P}_o$ can be \mathbb{Z}_2 or \mathbb{Z}_4 , since \mathbf{P}_o is a double cover.

Suppose it is \mathbb{Z}_4 . Then the monodromy for \mathbf{P}_o of the corresponding curves $\bar{\gamma}_{oi}$, $i = 1, 2$, in \mathbf{X}_o is \mathbb{Z}_2 , and τ_h and τ_v fix each $\bar{\gamma}_i$, $i = 1, 2$, in X .

We remark first that τ_h and τ_v are orientation-preserving isometric involutions. Then their restrictions to any fixed cylinder must be either the identity or a rotation by half the length of the cylinder. In particular, $\check{\gamma}_i := \mathfrak{A}(\bar{\gamma}_i) = \bar{\gamma}_i / \langle \tau_h, \tau_v \rangle$ has at least half the length of $\bar{\gamma}_i$, $i = 1, 2$, that is, at least twice the length of γ . But $\check{\gamma}_i \in \mathbf{p}^{-1}(\gamma)$ for $i = 1, 2$, and \mathbf{p} is a double cover, so it is impossible to have two \mathbf{p} -preimages of at least twice the length. Thus, assuming that the monodromy of γ for \mathfrak{A} is \mathbb{Z}_4 , we get a contradiction. Therefore, the monodromy is \mathbb{Z}_2 (see Figure 12, right and Figure 13, right).

(b) Suppose that $r_h = 0$ and $r_v = 1$. Then, as before, we find that γ has trivial monodromy for $\mathbf{p}_h \circ \tilde{\mathbf{p}}_h$, and monodromy \mathbb{Z}_2 for $\mathbf{p}_v \circ \tilde{\mathbf{p}}_v$. Then, since \mathbf{P}_h and \mathbf{P}_v are double covers, γ has trivial or \mathbb{Z}_2 monodromy for $\mathbf{p}_h \circ \tilde{\mathbf{p}}_h \circ \mathbf{P}_h$ and monodromy \mathbb{Z}_2 or \mathbb{Z}_4 for $\mathbf{p}_v \circ \tilde{\mathbf{p}}_v \circ \mathbf{P}_v$. But $\mathbf{p}_h \circ \tilde{\mathbf{p}}_h \circ \mathbf{P}_h = \mathbf{p}_v \circ \tilde{\mathbf{p}}_v \circ \mathbf{P}_v = \mathfrak{A}$, and therefore the only alternative is to have monodromy equal to \mathbb{Z}_2 (see Figure 12, left and Figure 13, left). Analogously, we have monodromy \mathbb{Z}_2 for $r_h = 1$ and $r_v = 0$. □

6.3 Relation between Siegel–Veech constants in $\mathcal{Q}(1^m, -1^{m+4})$ and their liftings to \mathcal{M}

We conclude the study of which and how cylinders in W lift to good cylinders in X by relating the Siegel–Veech constants of configurations in W and their liftings to X .

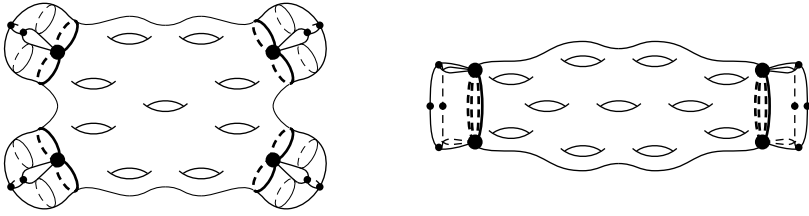


Figure 12: Lifting of a pocket configuration in W to X . Left: $r_h = r_v = 0$. Right: other cases ($r_h, r_v \neq 2$).

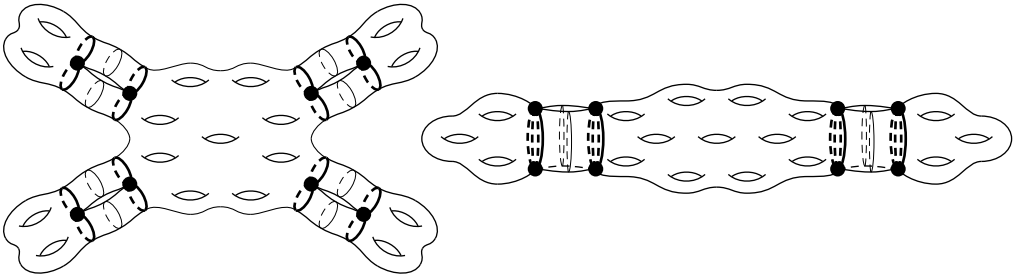


Figure 13: Lifting of a dumbbell configuration in W to X . Left: $r_h = r_v = 0$. Right: other cases ($r_h, r_v \neq 2$).

Let \mathcal{L} be an invariant affine submanifold in $\mathcal{Q}(1^m, -1^{m+4})$ and let μ be the associated affine invariant measure on \mathcal{L} . Consider the locus \mathcal{M} of all possible \mathfrak{P} -covers of surfaces from \mathcal{L} . By construction, this gives an $SL(2, \mathbb{R})$ -equivariant one-to-one correspondence between \mathcal{L} and \mathcal{M} . In particular, \mathcal{M} is an affine invariant submanifold on $\mathcal{H}(2^{4m})$. Let ν be the affine invariant measure on \mathcal{M} . Note that μ is the direct image of ν with respect to the projection $\mathcal{M} \rightarrow \mathcal{L}$.

Let $c = c_{\mathcal{L}}(\mathcal{C})$ be the Siegel–Veech constant associated to the counting of a multiplicity-one configuration \mathcal{C} of cylinders in \mathcal{L} (see Section 2.2 for the definitions). Then the configuration \mathcal{C} induces a cylinder configuration $\bar{\mathcal{C}}$ on the covering space \mathcal{M} , defined by the covering maps \mathfrak{P} . Let $\bar{c} = c_{\bar{\mathcal{C}}}(\mathcal{M})$ be the associated Siegel–Veech constant. The lemma below relates c and \bar{c} . It is the analogue of Lemma 1.1 in [10] and Lemma 4.1 in [8], adapted for our purposes.

We say that \mathcal{C} is a *pocket-like* configuration if the singularities in one of the boundary components of the cylinder are only poles. If this is the case, then there are exactly two poles in that boundary component. Denote by $r_h(\mathcal{C})$ and $r_v(\mathcal{C})$ the values of r_h and r_v in the cylinders defined by configuration \mathcal{C} . These values are well defined, since a configuration defines all that data. Call the pair (r_h, r_v) the *profile of the*

configuration \mathcal{C} . We say that \mathcal{C} is a *good configuration* if it is a multiplicity-one configuration of cylinders in \mathcal{L} such that $r_h(\mathcal{C}), r_v(\mathcal{C}) \in \{0, 1\}$.

Lemma 6.7 *Let \mathcal{C} be a good configuration.*

- (1) *If \mathcal{C} is pocket-like, then:*
 - (a) *If \mathcal{C} has profile $(0, 0)$, then $\bar{c} = 32c$.*
 - (b) *In any other case, $\bar{c} = 4c$.*
- (2) *If \mathcal{C} is not pocket-like, then:*
 - (a) *If \mathcal{C} has profile $(0, 0)$, then $\bar{c} = 64c$.*
 - (b) *In any other case, $\bar{c} = 8c$.*

Proof First of all, suppose we know the exact number and the relative lengths of cylinders in X we obtain by lifting a cylinder from configuration \mathcal{C} in W . Say, a cylinder from \mathcal{C} in W is lifted to n cylinders in X and their lengths are s times the length of γ . Then

$$N_{\bar{c}}(X, L) = nN_{\mathcal{C}}(W, s^{-1}L)$$

and therefore

$$\bar{c} = \frac{n}{s^2} \frac{\text{Area}(X)}{\text{Area}(W)} c = 8 \frac{n}{s^2} c,$$

where we used the fact that $\text{Area}(X) = 8 \text{Area}(W)$, since X is a metric 8-fold covering of W . But we know, by Lemma 6.6, the exact number of \mathfrak{P}_* -preimages of the core curve γ of \mathcal{C} , and the relative length of these, depending on r_h and r_v .

If \mathcal{C} is not a pocket-like configuration, then there is at least one singularity in each boundary of the cylinder in W which is not a pole. Then, for each \mathfrak{P}_* -preimage $\bar{\gamma}$ of its core curve γ , there is a cylinder in X with core curve $\bar{\gamma}$ (see Figure 13). Thus, the values of n and s are given by Lemma 6.6. That is, $n = 8$ and $s = 1$ for profile $(0, 0)$, and $n = 4$, $s = 2$, for all other profiles of good configurations.

In the case of pocket-like configurations, the poles defining the pocket-like configuration become regular points in the interior of the corresponding cylinders in X (see Figure 12) and, therefore, each cylinder in X has two \mathfrak{P}_* -preimages of γ in its interior, instead of one, as in the case of non-pocket-like configurations. Hence, the number n of cylinders in X obtained by lifting a cylinder in W is half the number of \mathfrak{P}_* -preimages of γ , which is given by Lemma 6.6. That is, in the case of pocket-like configurations, we have that $n = 4$ and $s = 1$ for profile $(0, 0)$, and $n = 2$, $s = 2$ for all other profiles of good configurations. □

Remark 6.8 If we were working with the area Siegel–Veech constant instead of the classical Siegel–Veech constant, there would be no difference for pocket-like or non-pocket-like configurations in the previous result, since the area Siegel–Veech constant depends only on monodromy.

7 Siegel–Veech constants of good configurations for generic surfaces

In this section we use the results of the previous section to compute the exact value of the Siegel–Veech constant of good configurations for generic surfaces in $\mathcal{Q}(1^m, -1^{m+4})$ with respect to the Masur–Veech measure.

Recall that for almost every surface in $\mathcal{L} = \mathcal{Q}(1^m, -1^{m+4})$, the only possible configurations are pocket and dumbbell configurations. Both configurations are multiplicity-one configurations, that is, they define a single cylinder.

By [Proposition 6.3](#), a multiplicity-one configuration is a good configuration if and only if $r_h, r_v \in \{0, 1\}$, where r_h and r_v are the number of ramified poles for \mathbf{p}_h and \mathbf{p}_v , respectively, in a component of the surface W after cutting along the core curve of the cylinder defined by the configuration. Lastly, recall that \mathbf{p}_h and \mathbf{p}_v have four ramified poles each, three of which are shared. In particular, there are five “special” poles: the three shared ramified poles and one more for each one of \mathbf{p}_h and \mathbf{p}_v .

Good pocket configurations Recall that in a pocket configuration, we have a single cylinder bounded by a saddle connection joining a fixed pair of poles P_{j_1}, P_{j_2} on one side and by a separatrix loop emitted from a fixed zero P_i of order $d_i \geq 1$ on the other side (see [Figure 3](#)). Then r_h and r_v , as defined in the previous section, are the numbers of ramified poles among the poles P_{j_1} and P_{j_2} of the configuration for the double covers \mathbf{p}_h and \mathbf{p}_v , respectively. By [Proposition 6.3](#), the configuration is good if and only if $r_h, r_v \in \{0, 1\}$. Recall that the profile of the configuration is the pair (r_h, r_v) .

Profile $(0, 0)$ means that none of the ramified poles for \mathbf{p}_h or \mathbf{p}_v coincide with the poles P_{j_1} and P_{j_2} defining the pocket configuration. Then, since there are $m - 1 = (m + 4) - 5$ poles which are unramified poles for both \mathbf{p}_h and \mathbf{p}_v , there are exactly $\binom{m-1}{2} = (m - 1)(m - 2)/2$ pocket configurations of profile $(0, 0)$.

In order to have profile $(1, 1)$, we should have one ramified and one unramified pole for both \mathbf{p}_h and \mathbf{p}_v , or one which is ramified for \mathbf{p}_h but unramified for \mathbf{p}_v and vice

versa. This latter case occurs once, because p_h and p_v share three out of four of their ramified poles. The former case happens exactly $\binom{3}{1}\binom{m-1}{1} = 3m - 3$ times. Therefore, we have $3m - 2$ pocket configurations of profile $(1, 1)$.

Profile $(1, 0)$ occurs when one of the poles is ramified for p_h but unramified for p_v and the other is unramified for both p_h and p_v . Then there are $\binom{1}{1}\binom{m-1}{1} = m - 1$ pocket configurations of profile $(1, 0)$. Similarly, we have $m - 1$ pocket configurations of profile $(0, 1)$.

Summarizing good profiles and applying Lemma 6.7, we get that good pocket configurations contribute $c_{\text{good}}^{\text{pocket}}(\mathcal{M})$ to the Siegel–Veech constant of good cylinders in \mathcal{M} ; this contribution is $16(m - 1)(m - 2) + 4((3m - 2) + 2(m - 1))$ times the Siegel–Veech constant for pocket configurations in \mathcal{L} . Thus, by formula (3),

$$c_{\text{good}}^{\text{pocket}}(\mathcal{M}) = (4m^2 - 7m + 4) \frac{2}{\pi^2}.$$

Good dumbbell configurations Recall that in this configuration, we have a single cylinder, bounded by a saddle connection joining a zero to itself on each side (see Figure 4). Such a cylinder separates the original surface W into two parts. This yields a partition of $\alpha = \{1^m, -1^{m+4}\}$ (where superindices stand for the multiplicities) into two subsets $\alpha = \alpha_1 \sqcup \alpha_2$, which is also considered to be part of the configuration, and we consider α_1 to contain the r_h ramified poles for p_h and the r_v ramified poles for p_v . We stress the fact that, even if there are several singularities with the same degree, we differentiate them, so they are named and, by a slight abuse of notation, we consider this information to be also carried by the partition.

For $l = 1, 2$, let $k_l = \#\alpha_l$, counting multiplicities, and note that $k = k_1 + k_2 = 2m + 4$. Let q be the number of simple zeros in α_1 . Then there are $k_1 - q$ poles in α_1 , but also, by topological considerations, we have that this number is equal to $q + 2$, since we are restricted to a genus-zero surface with one boundary component. Therefore, we will always have that $\alpha_1 = \{1^q, -1^{q+2}\}$ and $\alpha_2 = \{1^{m-q}, -1^{m-q+2}\}$ (up to the names of the singularities). In particular, $k_1 = 2q + 2$ and $k_2 = 2m - 2q + 2$. Thus, in this context, formula (4) becomes

$$(9) \quad c_{i_1, i_2; \alpha_1, \alpha_2}^{\text{dumbbell}} = \frac{(2q - 1)!(2m - 2q - 1)!}{(2m)!} \frac{2}{\pi^2}.$$

Since this value depends only on q , it is natural to try to group configurations sharing this number q and study the corresponding combinatorics. But, by Lemma 6.7, different

profiles give different weights when lifted to \mathcal{M} . Hence, we have to consider different profiles separately.

For dumbbell configurations, profile $(0, 0)$ means that there are only unramified poles in α_1 , that is, all the five ramified poles for \mathbf{p}_h and \mathbf{p}_v are in α_2 . Then the combinatorics are given by the remaining $m - 1$ poles and the m simple zeros.

Hence, to compute the number of these configurations, that is, dumbbell configurations of profile $(0, 0)$ with q simple zeros in α_1 , we have to choose q of the m (named) simple zeros and $q + 2$ of the remaining $m - 1$ (named) poles, to have in total $q + 2$ poles in α_1 , as required by the topology. Finally, we have to choose one of q zeros to be located at the boundary of the cylinder on one side and one of $m - q$ zeros to be located at the boundary of the cylinder on the other side. For any given q , where $1 \leq q \leq m - 1$, the count gives

$$\binom{m}{q} \binom{m-1}{q+2} q(m-q)$$

dumbbell configurations of profile $(0, 0)$.

In order to have profile $(1, 1)$, there are two possibilities. The first one is to have one simple pole in α_1 which is ramified for \mathbf{p}_h but unramified for \mathbf{p}_v and one which is ramified for \mathbf{p}_v but unramified for \mathbf{p}_h . In this case, there is only one choice for these two ramified poles, because \mathbf{p}_h and \mathbf{p}_v share three out of four of their ramified poles. The three ramified poles shared by \mathbf{p}_h and \mathbf{p}_v are then in α_2 . As before, we have to choose q of the m simple zeros to be in α_1 , one of them to be in a boundary component of the cylinder and one of the remaining $m - q$ simple zeros to be in the other boundary component. For poles, since we have already taken two poles to be in α_1 , we have to choose q poles among the $m - 1$ unramified poles, to have $q + 2$ poles in total, as required by the topology. Then this case of profile $(1, 1)$ occurs $\binom{m}{q} \binom{m-1}{q} q(m - q)$ times.

The other case which gives profile $(1, 1)$ is when there is only one ramified pole for both \mathbf{p}_h and \mathbf{p}_v in α_1 and all the remaining ramified poles (for \mathbf{p}_h and \mathbf{p}_v) are in α_2 . Thus, there are three possibilities in choosing the common ramified pole and therefore, by an analogous computation, this case happens $\binom{m}{q} \binom{3}{1} \binom{m-1}{q+1} q(m - q)$ times. Then, for fixed q , $1 \leq q \leq m - 1$, we have

$$\binom{m}{q} \left[3 \binom{m-1}{q+1} + \binom{m-1}{q} \right] q(m - q)$$

dumbbell configurations of profile $(1, 1)$.

Profile $(1, 0)$ occurs when only one of the poles in α_1 is ramified for \mathbf{p}_h but unramified for \mathbf{p}_v and all others are unramified for both \mathbf{p}_h and \mathbf{p}_v . Then, by an analogous computation, there are $\binom{m}{q} \binom{1}{1} \binom{m-1}{q+1} q(m-q)$ dumbbell configurations of profile $(1, 0)$. Similarly, we have

$$\binom{m}{q} \binom{m-1}{q+1} q(m-q)$$

dumbbell configurations of profile $(0, 1)$.

In summary, by [Lemma 6.7](#), good dumbbell configurations contribute to the Siegel–Veech constant of good cylinders in \mathcal{M} by

$$\binom{m}{q} \left[64 \binom{m-1}{q+2} + 8 \left(3 \binom{m-1}{q+1} + \binom{m-1}{q} + 2 \binom{m-1}{q+1} \right) \right] q(m-q)$$

times the Siegel–Veech constant for a dumbbell configuration in \mathcal{L} with q simple zeros in α_1 , that is,

$$c_{q,\text{good}}^{\text{dumbbell}}(\mathcal{M}) = 8 \binom{m}{q} \left[8 \binom{m-1}{q+2} + 5 \binom{m-1}{q+1} + \binom{m-1}{q} \right] q(m-q) c_q^{\text{dumbbell}},$$

where c_q^{dumbbell} is given by formula [\(9\)](#). Finally, summing up all the contribution of good dumbbell configurations and plugging in formula [\(9\)](#), we obtain that

$$\begin{aligned} (10) \quad c_{\text{good}}^{\text{dumbbell}}(\mathcal{M}) &= 8 \sum_{q=1}^{m-1} \binom{m}{q} \left[8 \binom{m-1}{q+2} + 5 \binom{m-1}{q+1} + \binom{m-1}{q} \right] \\ &\quad \cdot q(m-q) \frac{(2q-1)!(2m-2q-1)!}{(2m)!} \frac{2}{\pi^2} \\ &= 8 \sum_{q=1}^{m-1} \binom{m}{q} \left[8 \binom{m-1}{q+2} + 5 \binom{m-1}{q+1} + \binom{m-1}{q} \right] \frac{1}{4} \frac{(2q)!(2m-2q)!}{(2m)!} \frac{2}{\pi^2} \\ &= \frac{4}{\pi^2} \sum_{q=1}^{m-1} \frac{\binom{m}{q}}{\binom{2m}{2q}} \left[8 \binom{m-1}{q+2} + 5 \binom{m-1}{q+1} + \binom{m-1}{q} \right]. \end{aligned}$$

But, by [Proposition A.1](#), formula [\(10\)](#) can be written as

$$c_{\text{good}}^{\text{dumbbell}}(\mathcal{M}) = \frac{4}{\pi^2} \sum_{q=1}^{m-1} \frac{\binom{m}{q}}{\binom{2m}{2q}} \left[8 \binom{m-1}{q+2} + 5 \binom{m-1}{q+1} + \binom{m-1}{q} \right]$$

$$\begin{aligned}
 &= \frac{4}{\pi^2} \left[8 \left(\frac{1}{6}m^2 - \frac{13}{6}m - 3 + \frac{5}{2}4^m \frac{(m!)^2}{(2m)!} \right) \right. \\
 &\quad \left. + 5 \left(m + 2 - \frac{3}{2}4^m \frac{(m!)^2}{(2m)!} \right) + \left(-1 + \frac{1}{2}4^m \frac{(m!)^2}{(2m)!} \right) \right] \\
 &= \frac{2}{3\pi^2} \left[8 \left(m^2 - 13m - 18 + 15 \cdot 4^m \frac{(m!)^2}{(2m)!} \right) \right. \\
 &\quad \left. + 5 \left(6m + 12 - 9 \cdot 4^m \frac{(m!)^2}{(2m)!} \right) + \left(-6 + 3 \cdot 4^m \frac{(m!)^2}{(2m)!} \right) \right] \\
 &= \frac{2}{3\pi^2} \left(8m^2 - 74m - 90 + 78 \cdot 4^m \frac{(m!)^2}{(2m)!} \right).
 \end{aligned}$$

We conclude the computation of the Siegel–Veech constant for good cylinders in \mathcal{M} , for generic surfaces, summing up the contribution of pocket and dumbbell good configurations:

$$\begin{aligned}
 (11) \quad c_{\text{good}}(\mathcal{M}) &= c_{\text{good}}^{\text{pocket}}(\mathcal{M}) + c_{\text{good}}^{\text{dumbbell}}(\mathcal{M}) \\
 &= (4m^2 - 7m + 4) \frac{2}{\pi^2} + \left(8m^2 - 74m - 90 + 78 \cdot 4^m \frac{(m!)^2}{(2m)!} \right) \frac{2}{3\pi^2} \\
 &= \left(20m^2 - 95m - 78 + 78 \cdot 4^m \frac{(m!)^2}{(2m)!} \right) \frac{2}{3\pi^2}.
 \end{aligned}$$

8 Side results

8.1 Area Siegel–Veech constant

Following the same treatment, we can deduce that for almost every wind-tree billiard $\Pi \in \mathcal{WT}(m)$, the number $N_{\text{area}}(\Pi, L)$ has quadratic asymptotic growth rate and

$$N_{\text{area}}(\Pi, L) \sim c_{a,\text{good}}(\mathcal{M}) \frac{\pi L^2}{\text{Area}(\Pi/\mathbb{Z}^2)},$$

where $c_{a,\text{good}}(\mathcal{M})$ is the area Siegel–Veech constant associated to the counting problem of the area of good cylinders in \mathcal{M} , the $\text{SL}(2, \mathbb{R})$ -orbit closure of $X(\Pi)$.

Note that, unlike the case of the classical (nonweighted) counting, in this case we do not have the factor $\frac{1}{4}$ (see [Corollary 5.3](#)). This is because, in the weighted counting, the area is already taken into consideration.

Moreover, for almost every wind-tree billiard $\Pi \in \mathcal{WT}(m)$,

$$c_{a,\text{good}}(\mathcal{M}) = c_{a,\text{good}}^{\text{pocket}}(\mathcal{M}) + c_{a,\text{good}}^{\text{dumbbell}}(\mathcal{M}),$$

where $c_{a,\text{good}}^{\text{pocket}}(\mathcal{M})$ (resp. $c_{a,\text{good}}^{\text{dumbbell}}(\mathcal{M})$) corresponds to the area Siegel–Veech constant associated to configurations of good cylinders in \mathcal{M} which project to pocket (resp. dumbbell) configurations in $\mathcal{Q}(1^m, -1^{m+4})$.

Furthermore, there is a relation between classical Siegel–Veech constants and area Siegel–Veech constants for configurations \mathcal{C} of cylinders in $\mathcal{L} = \mathcal{Q}(1^m, -1^{m+4})$:

$$c_{a,\mathcal{C}}(\mathcal{L}) = \frac{1}{2m+1} c_{\mathcal{C}}(\mathcal{L}).$$

This is a consequence of a generalization of Vorobets’ formula [35, Theorem 1.6(b)], proved by Athreya, Eskin and Zorich [1, Proposition 4.9] for any configuration of cylinders on any strata $\mathcal{Q}(d_1, \dots, d_k)$ of quadratic differentials on $\mathbb{C}\mathbb{P}^1$.

Then we can relate the Siegel–Veech constant on \mathcal{M} with that of \mathcal{L} , using the analogue of Lemma 6.7 (keeping in mind Remark 6.8; in this case $\bar{c}_a = 8c_a$ for profile $(0, 0)$ and $\bar{c}_a = 2c_a$ for other profiles of good cylinders). Thus, analogously to the analysis in Section 7, we obtain

$$\begin{aligned} c_{a,\text{good}}(\mathcal{M}) &= \frac{1}{2m+1} \left[8 \frac{(m-1)(m-2)}{2} + 2((3m-2) + 2(m-1)) \right] \frac{1}{2\pi^2} \\ &\quad + \frac{1}{2m+1} \left[8 \left(\frac{1}{6}m^2 - \frac{13}{6}m - 3 + \frac{5}{2} \cdot 4^m \frac{(m!)^2}{(2m)!} \right) \right. \\ &\quad \left. + 2 \left(5 \left(m + 2 - \frac{3}{2} \cdot 4^m \frac{(m!)^2}{(2m)!} \right) + \left(-1 + \frac{1}{2} \cdot 4^m \frac{(m!)^2}{(2m)!} \right) \right) \right] \frac{1}{2\pi^2} \\ &= \left(4m - 9 + 9 \cdot 4^m \frac{(m!)^2}{(2m+1)!} \right) \frac{1}{3\pi^2}. \end{aligned}$$

8.2 Polynomial diffusion rate

The main result of Delecroix, Hubert and Lelièvre in [7] relates the polynomial diffusion rate on the classical model to the Lyapunov exponents of the subbundles F^{+-} and F^{-+} . In this case, the polynomial diffusion rate is $\frac{2}{3}$ for every wind-tree billiard in $\mathcal{WT}(1)$. This result was generalized by Delecroix and Zorich [8] for $m \geq 2$. However, in the general case, the value of the diffusion rate is also explicitly known but only for almost every wind-tree billiard in $\mathcal{WT}(m)$ and numerically for some explicit examples (see [8, Remark 2]).

The explicit values of the polynomial diffusion rate for all wind-tree billiards in $\mathcal{WT}(m)$, $m \geq 2$, is still an open problem. However, an application of Forni’s criterion for integer equivariant subbundles (Theorem 5.1) allows us to show that the relevant Lyapunov

exponents are always positive, for every wind-tree billiard in $\mathcal{WT}(m)$, for all $m \geq 1$ (Corollary 5.2). Thus, we can conclude that we have always positive polynomial diffusion rate.

8.3 Recurrence

A geometric criterion for the recurrence of the directional linear flow on \mathbb{Z}^d -periodic flat surfaces in terms of good cylinders by Avila and Hubert [2] says that if the positive g_t -orbit of the compact surface accumulates on a flat surface with a vertical good cylinder, then the vertical linear flow on the \mathbb{Z}^d -periodic flat surface is recurrent ([2, Proposition 2]).

A result of Chaika and Eskin [4] allows us to extend this criterion. In fact, we have the following.

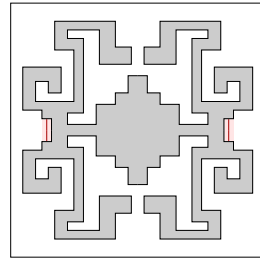
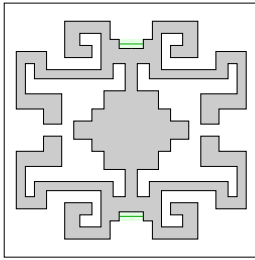
Theorem 8.1 *Let X be a flat surface, \mathcal{M} its $\mathrm{SL}(2, \mathbb{R})$ -orbit closure and F a continuous equivariant subbundle. Let \mathbf{f} be a d -tuple of elements in $F_X(\mathbb{Z})$ and consider X_∞ , the \mathbb{Z}^d -periodic flat surface defined by X and \mathbf{f} . Suppose that there exists $Y \in \mathcal{M}$ with an F -good cylinder. Then, for almost every $\theta \in [0, 2\pi)$, the linear flow in direction θ is recurrent on X_∞ .*

Proof By [4, Theorem 1.1], for almost every $\theta \in [0, 2\pi)$, $r_{-\theta}X$ is Birkhoff generic for the g_t -flow with respect to $\nu_{\mathcal{M}}$. Since $Y \in \mathcal{M}$ has an F -good cylinder, then $Y' = r_\phi Y$ has a vertical cylinder for some $\phi \in [0, 2\pi)$. Obviously $Y' \in \mathcal{M}$ and, since $r_{-\theta}X$ is Birkhoff generic, its positive g_t -orbit accumulates on Y' . Then, by [2, Proposition 2], the linear flow in direction θ is recurrent in X_∞ . \square

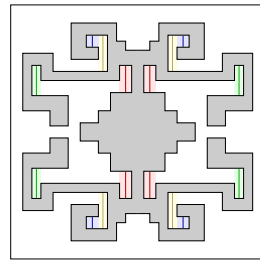
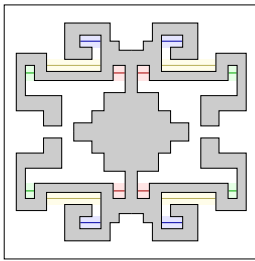
Thus, to prove the recurrence of every wind-tree billiard $\Pi \in \mathcal{WT}(m)$, we shall show that we can find good cylinders in the compact surface $X(\Pi)$.

For $m = 1$ this was first proved by Avila and Hubert [2, Lemma 4]. Consider $m \geq 2$ and recall that the obstacles of a wind-tree billiard $\Pi \in \mathcal{WT}(m)$ are horizontally and vertically symmetric right-angled polygons with $4m$ corners with the angle $\frac{\pi}{2}$ and $4(m-1)$ corners with the angle $\frac{3\pi}{2}$.

If the obstacle has two consecutive angles $\frac{3\pi}{2}$, then we have (horizontal or vertical) good cylinders of profile $(1, 0)$, $(0, 1)$ or $(0, 0)$. In fact, if the two consecutive angles are symmetric with respect to the vertical reflection, then we obtain horizontal good cylinders of profile $(1, 0)$ as in Figure 14(a). Similarly, if the angles are symmetric



(a) Horizontal good cylinder of profile $(1, 0)$ (b) Vertical good cylinder of profile $(0, 1)$



(c) Horizontal good cylinder of profile $(0, 0)$ (d) Vertical good cylinder of profile $(0, 0)$

Figure 14: Good cylinders for obstacles with two consecutive corners with angle $\frac{3\pi}{2}$

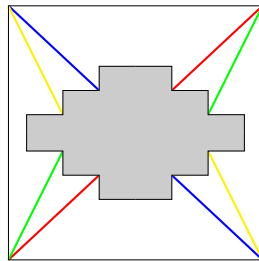


Figure 15: Core curves of good cylinders of profile $(1, 1)$ for obstacles with no consecutive corners with angle $\frac{3\pi}{2}$

with respect to the horizontal reflection, then we have vertical good cylinders of profile $(0, 1)$ as in Figure 14(b). In other cases, we obtain horizontal or vertical good cylinders of profile $(0, 0)$ as in Figure 14(c) and Figure 14(d).

If there are no consecutive corners of angles $\frac{3\pi}{2}$, then there are good cylinders of profile $(1, 1)$ as in Figure 15.

Thus, for every $\Pi \in \mathcal{WT}(m)$, we can exhibit good cylinders in $X(\Pi)$ and then, by [Theorem 8.1](#), we conclude that the billiard flow in direction θ is recurrent for almost every $\theta \in [0, 2\pi)$.

Appendix Combinatorial identities

In this appendix we prove the following identities.

Proposition A.1 *For any $m \in \mathbb{N}$ the following identities hold:*

$$(12) \quad \sum_{q=1}^{m-1} \frac{\binom{m}{q} \binom{m-1}{q+2}}{\binom{2m}{2q}} = \frac{1}{6}m^2 - \frac{13}{6}m - 3 + \frac{5}{2}4^m \frac{(m!)^2}{(2m)!},$$

$$(13) \quad \sum_{q=1}^{m-1} \frac{\binom{m}{q} \binom{m-1}{q+1}}{\binom{2m}{2q}} = m + 2 - \frac{3}{2}4^m \frac{(m!)^2}{(2m)!},$$

$$(14) \quad \sum_{q=1}^{m-1} \frac{\binom{m}{q} \binom{m-1}{q}}{\binom{2m}{2q}} = -1 + \frac{1}{2}4^m \frac{(m!)^2}{(2m)!}.$$

Proof Define

$$B(m, s) := \sum_{q=1}^{m-1} \frac{\binom{m}{q} \binom{m-1}{q+s}}{\binom{2m}{2q}}$$

and note that

$$\frac{\binom{m}{q} \binom{m-1}{q+s}}{\binom{2m}{2q}} = \frac{m!(m-1)!}{(2m)!} \binom{2q}{q} \binom{2m-2q}{m-q} \frac{q!}{(q+s)!} \frac{(m-q)!}{(m-1-q-s)!}.$$

Consider

$$A(m, s) = \sum_{q=0}^m \binom{2q}{q} \binom{2m-2q}{m-q} \frac{q!}{(q+s)!} \frac{(m-q)!}{(m-1-q-s)!}.$$

Then

$$(15) \quad B(m, s) = \frac{m!(m-1)!}{(2m)!} A(m, s) - \binom{m-1}{s}.$$

Now we can write

$$\frac{(m-q)!}{(m-1-q-s)!} = \prod_{i=0}^s (m-q-i) =: P^{(m,s)}(q),$$

where $P^{(m,s)}$ is a computable polynomial of degree $s + 1$, and suppose

$$P^{(m,s)}(q) = \sum_{j=0}^{s+1} p_j^{(m,s)} q^j.$$

Then we can write

$$A(m, s) = \sum_{j=0}^{s+1} p_j^{(m,s)} \sum_{q=0}^m \binom{2q}{q} \binom{2m-2q}{m-q} \frac{q!}{(q+s)!} q^j$$

and define

$$D(m, s, j) = \sum_{q=0}^m \binom{2q}{q} \binom{2m-2q}{m-q} \frac{q!}{(q+s)!} q^j,$$

so that

$$(16) \quad A(m, s) = \sum_{j=0}^{s+1} p_j^{(m,s)} D(m, s, j).$$

Note that

$$\begin{aligned} D(m, s, j) &= \sum_{q=0}^m \binom{2q}{q} \binom{2m-2q}{m-q} \frac{q!}{(q+s)!} q^j \\ &= \sum_{q=0}^m \binom{2q}{q} \binom{2m-2q}{m-q} \frac{q!}{(q+s)!} q^j \frac{q+s+1}{q+s+1} \\ &= \sum_{q=0}^m \binom{2q}{q} \binom{2m-2q}{m-q} \frac{q!}{(q+s+1)!} q^j (q+s+1) \\ &= D(m, s+1, j+1) + (s+1) D(m, s+1, j). \end{aligned}$$

Then D satisfies the recurrence relation

$$(17) \quad D(m, s, j) = D(m, s-1, j-1) - s D(m, s, j-1)$$

and, in particular, we can deduce that $D(m, s, j)$ can be written as a linear combination of $D(m, i, 0)$, $i = 1, \dots, s$, and $D(m, 0, l)$, $0 \leq l \leq j - s$. But, since j takes values in $\{0, \dots, s + 1\}$, for the $D(m, 0, l)$ terms, we are interested only in $D(m, 0, 1)$ and $D(m, 0, 0)$. The value of $D(m, 0, 0)$ is given in [22, (3.90)]:

$$(18) \quad D(m, 0, 0) = \sum_{q=0}^m \binom{2q}{q} \binom{2m-2q}{m-q} = 4^m.$$

On the other hand,

$$\begin{aligned}
 D(m, 0, 1) &= \sum_{q=0}^m \binom{2q}{q} \binom{2m-2q}{m-q} q \\
 &= \sum_{r=0}^m \binom{2m-2r}{m-r} \binom{2r}{r} (m-r) \\
 &= mD(m, 0, 0) - D(m, 0, 1).
 \end{aligned}$$

Then $2D(m, 0, 1) = mD(m, 0, 0)$ and, by the identity (18),

$$(19) \quad D(m, 0, 1) = \frac{m}{2} 4^m.$$

Remark A.2 In fact, it is not difficult to show that $D(m, 0, l) = (m/2)^l 4^m, l \geq 0$.

For the other terms, of the form $D(m, i, 0)$, we use the identity [22, (3.95)]:

$$(20) \quad \mathcal{X}(m, i) := \sum_{q=0}^m \binom{2q}{q} \binom{2m-2q}{m-q} \frac{i}{q+i} = \frac{\binom{2m+2i-1}{m+i}}{\binom{2i-1}{i}}.$$

But a simple partial fraction decomposition gives

$$\frac{q!}{(q+i)!} = \prod_{j=1}^i \frac{1}{q+j} = \sum_{j=1}^i \frac{(-1)^{j-1}}{(j-1)!(i-j)!} \frac{1}{q+j} = \sum_{j=1}^i \frac{(-1)^{j-1}}{j!(i-j)!} \frac{j}{q+j},$$

and thus

$$(21) \quad D(m, i, 0) = \sum_{j=1}^i \frac{(-1)^{j-1}}{(j)!(i-j)!} \mathcal{X}(m, j).$$

Proof of identity (14)

Following previous discussion, $P^{(m,0)}(q) = m - q$ and then, by (16), we have that

$$A(m, 0) = mD(m, 0, 0) - D(m, 0, 1) = \frac{m}{2} 4^m,$$

where the last equality comes from (18) and (19). Finally, from (15), we have that

$$B(m, 0) = \frac{m!(m-1)!}{(2m)!} A(m, 0) - \binom{m-1}{0} = \frac{1}{2} 4^m \frac{(m!)^2}{(2m)!} - 1,$$

which is (14).

Proof of identity (13)

Note that $P^{(m,1)}(q) = m^2 - m - (2m - 1)q + q^2$. Then, by (16), we have that

$$A(m, 1) = (m^2 - m)D(m, 1, 0) - (2m - 1)D(m, 1, 1) + D(m, 1, 2).$$

Using the recurrence rule (17), we have that

$$D(m, 1, 1) = D(m, 0, 0) - D(m, 1, 0),$$

$$D(m, 1, 2) = D(m, 0, 1) - D(m, 1, 1) = D(m, 0, 1) - D(m, 0, 0) + D(m, 1, 0).$$

It follows that

$$\begin{aligned} A(m, 1) &= (m^2 - m + (2m - 1) + 1)D(m, 1, 0) - (2m - 1 + 1)D(m, 0, 0) + D(m, 0, 1) \\ &= (m^2 + m)D(m, 1, 0) - 2mD(m, 0, 0) + D(m, 0, 1). \end{aligned}$$

By identity (21) for $i = 1$, $D(m, 1, 0) = \mathcal{X}(1)$, and from (20),

$$D(m, 1, 0) = \mathcal{X}(1) = \binom{2m+1}{m+1} = \frac{(2m+1)!}{m!(m+1)!}.$$

Therefore

$$\begin{aligned} A(m, 1) &= (m^2 + m) \frac{(2m+1)!}{m!(m+1)!} - 2m4^m + \frac{m}{2}4^m \\ &= \frac{(2m+1)!}{m!(m-1)!} - \frac{3m}{2}4^m, \end{aligned}$$

where we have also used (18) and (19). Thus, from (15),

$$\begin{aligned} B(m, 1) &= \frac{m!(m-1)!}{(2m)!} A(m, 1) - \binom{m-1}{1} \\ &= \frac{m!(m-1)!}{(2m)!} \left(\frac{(2m+1)!}{m!(m-1)!} - \frac{3m}{2}4^m \right) - (m-1) \\ &= 2m+1 - \frac{3}{2}4^m \frac{(m!)^2}{(2m)!} - (m-1) \\ &= m+2 - \frac{3}{2}4^m \frac{(m!)^2}{(2m)!}, \end{aligned}$$

which is (13).

Proof of identity (12)

(For the sake of readability, we will omit m from the notation in this part.) From (16), we have that

$$A(2) = p_0^{(2)} D(2, 0) + p_1^{(2)} D(2, 1) + p_2^{(2)} D(2, 2) + p_3^{(2)} D(2, 3),$$

where

$$P^{(2)}(q) = \sum_{j=0}^3 p_j^{(2)} q^j = (m^3 - 3m^2 + 2m) - (3m^2 - 6m + 2)q + (3m - 3)q^2 - q^3.$$

Using the recurrence rule (17), we have that

$$D(2, 1) = D(1, 0) - 2D(2, 0),$$

$$\begin{aligned} D(2, 2) &= D(1, 1) - 2D(2, 1) \\ &= D(0, 0) - D(1, 0) - 2(D(1, 0) - 2D(2, 0)) \\ &= D(0, 0) - 3D(1, 0) + 4D(2, 0), \end{aligned}$$

$$\begin{aligned} D(2, 3) &= D(1, 2) - 2D(2, 2) \\ &= D(0, 1) - D(1, 1) - 2(D(0, 0) - 3D(1, 0) + 4D(2, 0)) \\ &= D(0, 1) - D(0, 0) + D(1, 0) - 2D(0, 0) + 6D(1, 0) - 8D(2, 0) \\ &= D(0, 1) - 3D(0, 0) + 7D(1, 0) - 8D(2, 0). \end{aligned}$$

It follows that

$$\begin{aligned} A(2) &= p_0^{(2)} D(2, 0) + p_1^{(2)} D(2, 1) + p_2^{(2)} D(2, 2) + p_3^{(2)} D(2, 3) \\ &= p_3^{(2)} D(0, 1) + (p_2^{(2)} - 3p_3^{(2)})D(0, 0) + (p_1^{(2)} - 3p_2^{(2)} + 7p_3^{(2)})D(1, 0) \\ &\quad + (p_0^{(2)} - 2p_1^{(2)} + 4p_2^{(2)} - 8p_3^{(2)})D(2, 0) \\ &= -D(0, 1) + 3mD(0, 0) + q_1^{(2)} D(1, 0) + q_2^{(2)} D(2, 0) \\ &= \frac{5m}{2} 4^m + q_1^{(2)} D(1, 0) + q_2^{(2)} D(2, 0), \end{aligned}$$

where we have used (18), (19) and the values $p_3^{(2)} = -1$ and $p_2^{(2)} = 3m - 3$. We have also defined $q_1^{(2)} = p_1^{(2)} - 3p_2^{(2)} + 7p_3^{(2)}$ and $q_2^{(2)} = p_0^{(2)} - 2p_1^{(2)} + 4p_2^{(2)} - 8p_3^{(2)}$.

Thus, by identity (21),

$$\begin{aligned}
 A(2) &= \frac{5m}{2}4^m + q_1^{(2)}\mathcal{X}(1) + q_2^{(2)}(\mathcal{X}(1) - \frac{1}{2}\mathcal{X}(2)) \\
 &= \frac{5m}{2}4^m + (q_1^{(2)} + q_2^{(2)})\mathcal{X}(1) - \frac{1}{2}q_2^{(2)}\mathcal{X}(2) \\
 &= \frac{5m}{2}4^m + (p_0^{(2)} - p_1^{(2)} + p_2^{(2)} - p_3^{(2)})\mathcal{X}(1) - \frac{1}{2}(p_0^{(2)} - 2p_1^{(2)} + 4p_2^{(2)} - 8p_3^{(2)})\mathcal{X}(2) \\
 &= \frac{5m}{2}4^m + (m^3 - m)\mathcal{X}(1) - \frac{1}{2}(m^3 + 3m^2 + 2m)\mathcal{X}(2).
 \end{aligned}$$

Plugging in identity (20), we obtain

$$\begin{aligned}
 A(2) &= \frac{5m}{2}4^m + (m^3 - m)\binom{2m+1}{m+1} - \frac{1}{2}(m^3 + 3m^2 + 2m)\frac{\binom{2m+3}{m+2}}{\binom{3}{2}} \\
 &= \frac{5m}{2}4^m + (m-1)m(m+1)\frac{(2m+1)!}{m!(m+1)!} - \frac{1}{6}m(m+1)(m+2)\frac{(2m+3)!}{(m+1)!(m+2)!} \\
 &= \frac{5m}{2}4^m + ((m-1) - \frac{1}{3}(2m+3))\frac{(2m+1)!}{m!(m-1)!} \\
 &= \frac{5m}{2}4^m + \frac{1}{3}(m-6)\frac{(2m+1)!}{m!(m-1)!}.
 \end{aligned}$$

Finally, by (15),

$$\begin{aligned}
 B(2) &= \frac{m!(m-1)!}{(2m)!}A(2) - \binom{m-1}{2} \\
 &= \frac{m!(m-1)!}{(2m)!}\left(\frac{5m}{2}4^m + \frac{1}{3}(m-6)\frac{(2m+1)!}{m!(m-1)!}\right) - \frac{1}{2}(m-1)(m-2) \\
 &= \frac{5}{2}4^m\frac{(m!)^2}{(2m)!} + \frac{1}{3}(2m^2 - 11m - 6) - \frac{1}{2}(m^2 - 3m + 2) \\
 &= \frac{5}{2}4^m\frac{(m!)^2}{(2m)!} + \frac{1}{6}(m^2 - 13m - 18),
 \end{aligned}$$

which is (12). □

Remark A.3 The proof of Proposition A.1 gives a procedure or algorithm to compute $A(m, s)$ and $B(m, s)$ for all $s \geq 0$. An algorithm is not a formula, and evidently, the complexity increases enormously when s becomes larger. However, with this method, it is possible to show that $B(m, s)$ has the form

$$(2m+1)\mathcal{P}_s(m) + (-1)^s\frac{2s+1}{2}4^m\frac{(m!)^2}{(2m)!} - \binom{m-1}{s},$$

where \mathcal{P}_s is a polynomial of degree $s - 1$ (in particular, $\mathcal{P}_0 = 0$), which can also be explicitly computed. Moreover, \mathcal{P}_s can be determined from the fact that $B(m, s) = 0$ for $m = 1, \dots, s + 1$. In particular,

$$\mathcal{P}_s(m) = (-1)^{s+1} \frac{2s+1}{2} 4^m \frac{(m!)^2}{(2m+1)!}$$

for $m = 1, \dots, s$. In any case, we do not perform the computations here.

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