

# Gauge theory on Aloff-Wallach spaces

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For gauge groups U(1) and SO(3) we classify invariant  $G_2$ -instantons for homogeneous coclosed  $G_2$ -structures on Aloff-Wallach spaces  $X_{k,l}$ . As a consequence, we give examples where  $G_2$ -instantons can be used to distinguish between different strictly nearly parallel  $G_2$ -structures on the same Aloff-Wallach space. In addition to this, we find that while certain  $G_2$ -instantons exist for the strictly nearly parallel  $G_2$ -structure on  $X_{1,1}$ , no such  $G_2$ -instantons exist for the 3-Sasakian one. As a further consequence of the classification, we produce examples of some other interesting phenomena, such as irreducible  $G_2$ -instantons that, as the structure varies, merge into the same reducible and obstructed one and  $G_2$ -instantons on nearly parallel  $G_2$ -manifolds that are not locally energy-minimizing.

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# 1 Introduction

A 3-form  $\varphi$  on an oriented 7-dimensional manifold  $X^7$  is called a  $G_2$ -structure if it takes values in a certain open subbundle  $\Lambda_+^3 \subset \Lambda^3$ . Such 3-forms  $\varphi$  determine (in a nonlinear way) a Riemannian metric  $g_{\varphi}$ . In the case when the holonomy of  $g_{\varphi}$  lies inside the exceptional Lie group  $G_2$ , the pair  $(X^7, \varphi)$  is called a  $G_2$ -manifold, or equivalently  $\varphi$  is said to be torsion free. A  $G_2$ -instanton is a solution to a gauge theoretical equation that can be written in an oriented 7-dimensional manifold  $X^7$  equipped with a  $G_2$ -structure  $\varphi$ . Even though  $G_2$ -instantons have been part of the mathematical literature for over 30 years now (see Corrigan, Devchand, Fairlie and Nuyts [12]), it was only in the past few years that the first nontrivial examples appeared, namely from Sá Earp and Walpuski [25; 26; 27], Clarke [11] and Lotay and Oliveira [22; 24]. This and recent interest in  $G_2$ -instantons is mostly due to the suggestion by Donaldson, Segal and Thomas [14; 15] that it may be possible to use  $G_2$ -instantons to construct an enumerative invariant of  $G_2$ -manifolds. However, adding to the scarcity of examples there are substantial difficulties in constructing such an invariant. In fact, it is conceivable that in order to overcome some of these difficulties

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one may need to consider  $G_2$ -structures that are not torsion free. Indeed, there is a larger class of  $G_2$ -structures, other than just the torsion-free class, with respect to which the  $G_2$ -instanton equation still lies in an elliptic complex. All of this leads us to investigate  $G_2$ -instantons for these more general  $G_2$ -structures. For example, one may ask to what extent  $G_2$ -instantons are persistent under deformations of the  $G_2$ -structure. In this paper we classify homogeneous (invariant)  $G_2$ -instantons on an infinite family of 7-manifolds admitting many such  $G_2$ -structures. As a consequence we find many examples of new phenomena and are able to investigate what happens to the  $G_2$ -instantons when the  $G_2$ -structure varies.

#### 1.1 Preliminaries

Let  $(X^7, \varphi)$  be a compact, oriented, 7-manifold equipped with a  $G_2$ -structure  $\varphi$ . Let  $g_{\varphi}$  be the induced Riemannian metric,  $*_{\varphi}$  the associated Hodge star, and  $\psi$  the 4-form  $*_{\varphi}\varphi$ . If G is a compact, semisimple Lie group and  $P \to X$  is a principal G-bundle, a connection A on P is called a  $G_2$ -instanton if

$$(1-1) F_A \wedge \psi = 0,$$

where  $F_A$  denotes the curvature of A. When the  $G_2$ -structure is coclosed, ie  $d\psi=0$ , the  $G_2$ -instanton equation lies in an elliptic complex and we shall restrict to this case. The torsion-free  $G_2$ -structures correspond to the special case when  $\varphi$  is harmonic. One other special class of coclosed  $G_2$ -structures are the so-called nearly parallel ones, for which  $d\varphi=\lambda\psi$  for some  $\lambda\neq 0$ . If  $\varphi$  is nearly parallel, then  $g_\varphi$  is Einstein with positive scalar curvature. Another perspective on nearly parallel  $G_2$ -structures is that they are exactly those  $G_2$ -structures for which the metric cone ( $\mathbb{R}^+\times X^7, g_C=dr^2+r^2g_\varphi$ ) has holonomy contained in Spin(7).

One other interesting class of connections on a principal bundle over an oriented Riemannian manifold are the Yang-Mills connections. These are defined as the critical points of the Yang-Mills energy

$$E(A) = \frac{1}{2} \int_{X} |F_A|^2,$$

where we use an Ad-invariant inner product to compute the norm  $|F_A|$ . If the  $G_2$ -structure is either torsion free or nearly parallel, then  $G_2$ -instantons are also Yang-Mills connections. Moreover, in the torsion-free case a simple computation (see (2-4)) shows that any  $G_2$ -instanton actually minimizes the Yang-Mills energy.

## 1.2 Summary of the main results

The Aloff–Wallach space  $X_{k,l}$  is defined as the quotient of SU(3) by a U(1) subgroup, whose embedding in SU(3) is determined by two integers k and l. On each  $X_{k,l}$  we consider a real 4–dimensional family  $\mathcal C$  of  $G_2$ –structures, which contains exactly two nearly parallel  $G_2$ –structures. As proved by Cabrera, Monar and Swann [9], for most k and k this family completely exhausts all homogeneous, coclosed  $G_2$ –structures. In fact, for  $k \neq l$ ,  $k \neq 2l$ ,  $k \neq 2l$ , the two nearly parallel  $G_2$ –structures are strict, meaning that the holonomy of the cone metric  $g_C = dr^2 + r^2g_{\varphi}$  on  $\mathbb{R}^+ \times X_{k,l}$  is exactly Spin(7). These and other facts regarding the geometry of Aloff–Wallach spaces are recalled, with more detail, in Section 3. In Section 3.2, we classify invariant connections on each  $X_{k,l}$ . These results are then used in Section 4 to investigate  $G_2$ –instantons on the Aloff–Wallach spaces  $X_{k,l}$ , for  $k \neq l$ ,  $k \neq 2l$ ,  $k \neq 2l$ ,  $k \neq 2l$ . The remaining cases are analyzed separately in Section 5. We now summarize the main results of those sections starting with the more general situation. In Section 4.2 we classify invariant abelian  $G_2$ –instantons with respect to all  $\varphi \in \mathcal{C}$ ; see Theorem 42. Here we only state a corollary, which is proved in the third item of Remark 43:

**Theorem 1** Let  $k \neq l$ ,  $k \neq 2l$ ,  $l \neq -2k$ . For the generic  $\varphi \in \mathcal{C}$  there is a unique invariant  $G_2$ -instanton on any homogeneous complex line bundle over  $X_{k,l}$ . However, for any such k and l, there do exist  $\varphi \in \mathcal{C}$  so that any such bundle has a 1-parameter family of invariant  $G_2$ -instantons.

Then, in Section 4.3, we focus on invariant  $G_2$ -instantons with gauge group SO(3). Any homogeneous SO(3)-bundle on  $X_{k,l}$  can be constructed as

$$P_{\lambda_n} = SU(3) \times_{U(1)_{k,l},\lambda_n} SO(3),$$

where  $\lambda_n$ :  $U(1)_{k,l} \to SO(3)$  is a group homomorphism and the integer  $n \in \mathbb{Z}$  denotes the degree of the induced map between maximal tori. We construct explicit maps  $\sigma_i$ :  $\mathcal{C} \to \mathbb{R}$ , for i = 1, 2, 3, whose significance is given in Theorem 44. Below we give a summarized version of that result, when combined with Theorem 46.

**Theorem 2** Let  $k \neq l$ ,  $k \neq 2l$ ,  $l \neq -2k$ , and let  $\varphi$  be a homogeneous coclosed  $G_2$ -structure on  $X_{k,l}$ . Then invariant and irreducible  $G_2$ -instantons on  $P_{\lambda_n}$  with respect to  $\varphi$  exist if and only if one of the following holds:

 $k \neq \pm l, k \neq 0, l \neq 0, k \neq 2l, l \neq -2k.$ 

- (1) n = k l and  $\sigma_1(\varphi) > 0$ ,
- (2)  $n = 2l + k \text{ and } \sigma_2(\varphi) > 0$ ,
- (3)  $n = -l 2k \text{ and } \sigma_3(\varphi) > 0.$

Moreover, if  $\{\varphi(s)\}_{s\in\mathbb{R}}\subset C$  is a continuous family of  $G_2$ -structures with  $\{\sigma_1(\varphi(s))\}_{s\in\mathbb{R}}$  crossing zero once from above, then as  $\sigma_1(\varphi(s)) \searrow 0$ , two irreducible  $G_2$ -instantons on  $P_{k-l}$  merge and become the same reducible and obstructed  $G_2$ -instanton for  $\sigma_1(\varphi(s)) \leq 0$ . Similar statements hold for  $\sigma_2$  and  $\sigma_3$ .

To better visualize the content of the last part of this theorem we refer the reader to Examples 48 and 49, together with their respectively accompanying Figures 1 and 2. Recall that for  $k \neq l$ ,  $k \neq 2l$ ,  $l \neq -2k$ , the Aloff-Wallach space  $X_{k,l}$  admits two strictly nearly parallel  $G_2$ -structures. As an application of Theorem 2, in Section 4.4, we use  $G_2$ -instantons to distinguish these for many values of k and l. Here we will simply state the following:

**Corollary 3** The are many examples of k and l as in Theorem 2 such that the two inequivalent strictly nearly parallel  $G_2$ -structures on  $X_{k,l}$  always admit invariant and irreducible  $G_2$ -instantons, but on topologically different SO(3)-bundles.

In Section 4.6 we consider a particular example, namely  $X_{1,-1}$ . As one other application of Theorem 2, we show in Section 4.6.1 that  $X_{1,-1}$  admits nonabelian, irreducible  $G_2$ -instantons for a strictly nearly parallel  $G_2$ -structure. These  $G_2$ -instantons are also Yang-Mills, as the  $G_2$ -structure is nearly parallel, but contrary to the torsion-free case we show in Section 4.6.2 that they are not energy-minimizing (not even locally). We refer the reader to Figure 3 for a contour plot of the invariant Yang-Mills functional. The results quoted above can be combined into the following:

**Theorem 4** There is a strictly nearly parallel  $G_2$ -structure  $\varphi$  on  $X_{1,-1}$  such that:

- For gauge group SO(3), there is an irreducible  $G_2$ -instanton A with respect to  $\varphi$ .
- As a Yang–Mills connection, A is not locally energy-minimizing.

We now turn to the case when either k = l or k = 2l or l = -2k, which was excluded from the previous results. Using the action of the Weyl group of SU(3), and up to coverings, we may assume k = l = 1, so that we are working on  $X_{1,1}$ . This case is analyzed in Section 5. As already remarked before, on  $X_{1,1}$  the  $G_2$ -structures we

consider, ie those in C, are not all the homogeneous, coclosed ones. Nevertheless, C does contain nearly parallel  $G_2$ -structures, inducing two different metrics, one of which is 3-Sasakian and the other strictly nearly parallel. There is however, one other homogeneous nearly parallel  $G_2$ -structure not in C, which a Sasaki-Einstein metric. Our first result for  $X_{1,1}$  is Theorem 62, which classifies invariant abelian  $G_2$ -instantons with respect to the  $\varphi \in \mathcal{C}$ . The statement is similar to the case  $k \neq l$  in Theorem 1. As in that case, the generic  $\varphi$  admits a unique invariant  $G_2$ -instanton on any line bundle, however there do exist  $\varphi \in \mathcal{C}$  so that the space of invariant  $G_2$ -instantons on any complex line bundle is 3-dimensional. In fact, this can be interpreted in light of a more general phenomenon explained in Proposition 17. Then, in Theorem 64, we consider SO(3)-bundles over  $X_{1,1}$ , and for all  $\varphi \in \mathcal{C}$  classify irreducible invariant  $G_2$ -instantons on them. The statement is however very similar to that of Theorem 2 and we shall omit it in this introduction. Instead, we state here Corollary 73, which is a direct application of that result. Its content is that the existence of invariant  $G_2$ -instantons, with gauge group SO(3), distinguishes between the  $G_2$ -structures inducing the 3-Sasakian and the strictly nearly parallel metrics.

**Theorem 5** Let  $\varphi^{ts}$  and  $\varphi^{np}$  be respectively the  $G_2$ -structures inducing the 3-Sasakian and the strictly nearly parallel metrics on  $X_{1,1}$ . Then there are no irreducible invariant  $G_2$ -instantons with gauge group SO(3) for  $\varphi^{ts}$ , but such  $G_2$ -instantons do exist for  $\varphi^{np}$ .

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# 2 Gauge theory and coclosed $G_2$ -structures

# 2.1 Background

We begin, in Section 2.1.1, with some basic facts about  $G_2$ -structures<sup>2</sup> and their torsion. In Section 2.1.2 we recall some background on  $G_2$ -gauge theory. In particular, we identify the coclosed  $G_2$ -structures, ie those for which  $d\psi = 0$ , as the ones for which the  $G_2$ -instanton equation lies in an elliptic complex. Then, in Section 2.1.3, we derive

<sup>&</sup>lt;sup>2</sup>See [8] for more on this and other aspects of  $G_2$ -structures.

some general results on the deformation theory of  $G_2$ -instantons. These will be used to give an abstract result, Proposition 13, yielding a criterion for when a  $G_2$ -structure has the property that any circle bundle processes a  $G_2$ -instanton. As a consequence, in Corollary 14 this result is applied in the strictly nearly parallel setting.

#### 2.1.1 Coclosed $G_2$ -structures

Torsion of a  $G_2$ -structure Fernández and Gray first classified the torsion of  $G_2$ -structures in [16] by decomposing  $\nabla \varphi$  into irreducible  $G_2$ -representations. The components of  $d\varphi$  and  $d\psi = d(*\varphi)$  can then be written in terms of those of  $\nabla \varphi$ . What is nontrivial, but easily checked using the representation theory of  $G_2$ , is that the converse is also true. Recall that the 2-forms and 3-forms decompose into irreducible  $G_2$ -representations as  $\Lambda^2 \cong \Lambda^2_7 \oplus \Lambda^2_{14}$  and  $\Lambda^3 = \Lambda^3_1 \oplus \Lambda^3_7 \oplus \Lambda^3_{27}$ , where the subscript denotes the dimension of the representation. The Hodge star is an isomorphism of representations and so induces isomorphic decompositions in  $\Lambda^4$  and  $\Lambda^5$ . Using these decompositions the Fernández-Gray classification can be recast as follows. Given a  $G_2$ -structure  $\varphi$ , we have

$$d\varphi = \tau_0 \psi + 3\tau_1 \wedge \varphi + *\tau_3$$
 and  $d\psi = 4\tau_1 \wedge \psi + \tau_2 \wedge \varphi$ 

for some uniquely determined  $\tau_0 \in \Omega^0(X)$ ,  $\tau_1 \in \Omega^1(X)$ ,  $\tau_2 \in \Omega^2_{14}(X)$  and  $\tau_3 \in \Omega^3_{27}(X)$ . Of special interest to us will be the case when the  $G_2$ -structure is coclosed, ie when  $d\psi = d(*\varphi) = 0$ . Then  $\tau_1 = \tau_2 = 0$  and  $d\varphi = \tau_0 \psi + *\tau_3$ .

For future reference we shall use  $\pi_i$ , for i=1,7,14,27, to denote the projection onto an i-dimensional irreducible representation. For example, if  $\omega$  is a 2-form we shall denote by  $\pi_7(\omega)$  the component of  $\omega \in \Lambda_7^2$ .

Nearly parallel  $G_2$ -structures We now turn to the definition of nearly parallel  $G_2$ -structures. Given a closed, oriented, 7-manifold  $(X^7,\varphi)$  equipped with a  $G_2$ -structure, its metric cone  $(\mathbb{R}^+ \times X^7, g_C = dr^2 + r^2 g_\varphi)$  comes equipped with a Spin(7)-structure determined by  $\Omega = r^3 dr \wedge \varphi + r^4 \psi$ . From the Riemannian holonomy point of view, if  $g_C$  is nonsymmetric its holonomy is one of the groups in the ascending chain

$$\{1\} \subset \operatorname{Sp}(2) \subset \operatorname{SU}(4) \subset \operatorname{Spin}(7) \subset \operatorname{SO}(8).$$

Equivalently, thinking of  $G_2$  as the group stabilizing a nonvanishing spinor in seven dimensions, the groups above are possible stabilizers of spinors in eight dimensions and each is determined by the number of linearly independent spinors fixed. In the

language of spinors, the condition that the holonomy reduces to one of the groups above is then that the respective spinors are parallel. Given a metric g on  $X^7$ , the cone metric  $g_C=dr^2+r^2g$  has holonomy contained in Spin(7) if and only if there is a compatible  $G_2$ -structure  $\varphi$  such that the 4-form  $\Omega=r^3\,dr\wedge\varphi+r^4\psi$  is closed. That is the case if and only if  $d\varphi=4\psi$ , which up to scaling and changing the orientation can be written as

$$(2-1) d\varphi = \lambda \psi,$$

for some  $\lambda \in \mathbb{R} \setminus \{0\}$ .

**Definition 6** A Riemannian manifold  $(X^7, g_{\varphi})$  is said to be nearly parallel if, after possibly scaling the metric  $g_{\varphi}$  and changing the orientation, the holonomy of the metric cone satisfies  $\operatorname{Hol}(g_C) \subseteq \operatorname{Spin}(7)$ . A metric  $g_{\varphi}$  is said to be 3–Sasakian, Sasaki–Einstein, or strictly nearly parallel if, again after possibly scaling the metric  $g_{\varphi}$  and changing the orientation,  $\operatorname{Hol}(g_C)$  is  $\operatorname{Sp}(2)$ ,  $\operatorname{SU}(4)$ , or  $\operatorname{Spin}(7)$ , respectively. A  $G_2$ -structure  $\varphi$  is said to be nearly parallel, 3–Sasakian, Sasaki–Einstein, or strictly nearly parallel if the induced metric  $g_{\varphi}$  is nearly parallel, 3–Sasakian, Sasaki–Einstein, or strictly nearly parallel, respectively.

Equivalently, nearly parallel  $G_2$ -structures are exactly those satisfying (2-1). Notice that, as  $\psi$  is exact, (2-1) implies  $d\psi=0$  so that  $\varphi$  is coclosed, meaning that, from the point of view of torsion of  $G_2$ -structures,  $\tau_1$ ,  $\tau_2$  and  $\tau_3$  all vanish and  $\tau_0=\lambda$  is the only nonzero component. As  $\tau_0$  is the torsion component living in the smallest irreducible representation, this is the sense in which we think of nearly parallel  $G_2$ -structures as close to being parallel.

**Remark 7** In fact, if we require that  $d\psi = 0$  separately and allow  $\lambda$  to vanish, then (2-1) also includes the torsion-free case. This shall be useful as some arguments used for nearly parallel  $G_2$ -structures also work in the torsion-free case.

In [18], the authors classify homogeneous nearly parallel  $G_2$ -manifolds, and give a construction of strictly nearly parallel  $G_2$ -structures starting from 3-Sasakian manifolds. We shall recall and use this construction in Section 2.2.

**2.1.2 Gauge theory** Let G be a compact semisimple Lie group and P a principal G-bundle over a manifold X, equipped with a  $G_2$ -structure  $\varphi$ . Recall that a connection A

on P is called a  $G_2$ -instanton if  $F_A \wedge \psi = 0$ , equivalently if  $\pi_7(F_A) = 0$ , or if the following analogue of anti-self-duality holds:

$$*F_A = -F_A \wedge \varphi.$$

On the other hand, a connection A is said to be Yang–Mills if it is a critical point of the Yang–Mills energy

(2-3) 
$$E(A) = \frac{1}{2} \int_{X} |F_A|^2 \, d\text{vol}_g,$$

and so satisfies the Yang-Mills equation  $d_A^*F_A = 0$ , which together with the Bianchi identity  $d_AF_A = 0$  forms a second-order elliptic system for the connection (up to gauge).  $G_2$ -instantons satisfy a first-order equation which in this generality need not imply they are Yang-Mills connections. Nevertheless we have the following folklore result, which in the nearly parallel case is due to Harland and Nölle [19].

**Proposition 8** [19] If the  $G_2$ -structure is either parallel or nearly parallel, then any  $G_2$ -instanton is a Yang-Mills connection.

**Proof** If the  $G_2$ -structure is either parallel or nearly parallel,  $d\psi = 0$  and  $d\varphi = \lambda \psi$  for some  $\lambda \in \mathbb{R}$ , as in Remark 7. Then, if A is a  $G_2$ -instanton,  $*F_A = F_A \wedge \varphi$  and so

$$d_A*F_A=d_A(F_A\wedge\varphi)=\lambda F_A\wedge\psi=0,$$

where in the last equality we use the Bianchi identity and  $d\varphi = \lambda \psi$ .

The Yang-Mills energy can be equivalently written as

(2-4) 
$$E(A) = -\frac{1}{2} \int_{X} \langle F_A \wedge F_A \rangle \wedge \varphi + \frac{1}{2} \|F_A \wedge \psi\|_{L^2}^2.$$

In particular, if  $\varphi$  is torsion free, then the first term is topological and  $G_2$ -instantons minimize the Yang-Mills energy. It is then a natural question to ask if the same must hold for nearly parallel  $G_2$ -structures. We shall show in Example 28 that is not the case, by providing an example of a nearly parallel  $G_2$ -structure, together with a  $G_2$ -instanton which is unstable as a Yang-Mills connection.

**Remark 9** The variation of the Yang–Mills functional at a connection A is

(2-5) 
$$\delta^{2} E_{A}(a) = \frac{d^{2}}{ds^{2}} \Big|_{s=0} E(A+sa) = \int_{X} |d_{A}a|^{2} - \langle [a \wedge a], F_{A} \rangle,$$

and so we may instead think of the second-order operator  $H = d_A^* d_A a - *[a \wedge *F_A]$ .

When the  $G_2$ -structure  $\varphi$  is coclosed the  $G_2$ -instanton equation lies on the elliptic complex

$$(2-6) \qquad \Omega^{0}(X,\mathfrak{g}_{P}) \xrightarrow{-d_{A} \cdot} \Omega^{1}(X,\mathfrak{g}_{P}) \xrightarrow{d_{A} \cdot \wedge \psi} \Omega^{6}(X,\mathfrak{g}_{P}) \xrightarrow{d_{A}} \Omega^{7}(X,\mathfrak{g}_{P}).$$

Hence, in the coclosed case the  $G_2$ -instanton equation is elliptic modulo gauge (rather than overdetermined). From now on we shall suppose this is the case.

**Remark 10** (1) The reason the  $G_2$ -instanton equation is consistent in the torsion-free case can be interpreted as follows. The  $G_2$ -monopole equation

$$*\nabla_A \Phi = F_A \wedge \psi$$

is always elliptic modulo gauge. Moreover, if  $\varphi$  is coclosed, then the monopole equation,  $d\psi=0$ , and the Bianchi identity,  $d_AF_A=0$ , give  $\Delta_A\Phi=0$ . We can then compute  $\Delta|\Phi|^2=-2|\nabla_A\Phi|^2\leq 0$ , and the maximum principle implies that  $|\Phi|^2$  is constant. Then  $|\nabla_A\Phi|^2$  must vanish, and the monopole equation reduces to the  $G_2$ -instanton equation. Furthermore, the fact that  $\nabla_A\Phi=0$  implies that if  $\Phi\neq 0$ , and G is semisimple, then A must be reducible.

(2) If the  $G_2$ -structure  $\varphi$  is not coclosed one may ask questions similar to those answered in this paper, but for  $G_2$ -monopoles rather than  $G_2$ -instantons.

In particular, if  $(X, \varphi)$  is a compact irreducible  $G_2$ -manifold, ie the holonomy of the metric  $g_{\varphi}$  induced by  $\varphi$  is equal to  $G_2$ , any harmonic 2-form can be shown to be of type  $\Lambda^2_{14}$  and so if  $F \in \Omega^2(X)$  is harmonic and has integer periods, it defines the curvature of a connection on a line bundle whose first Chern class is  $[F]/2\pi i$ . Still in the torsion-free case, Thomas Walpuski [26; 27], using the results of [25], constructed the only known examples of nonabelian  $G_2$ -instantons on compact, irreducible,  $G_2$ -manifolds. There are also examples in the noncompact case; see [11; 24; 22].

**2.1.3 Deformation theory and abelian**  $G_2$ -instantons The main idea for this approach to the deformation theory comes from Remark 10. This suggests that given a coclosed  $G_2$ -structure, instead of studying the deformation theory of an irreducible  $G_2$ -instanton A we may instead study that of a  $G_2$ -monopole  $(A, \Phi)$  with  $\Phi = 0$ . Before restricting to that case suppose for now that  $\Phi \neq 0$ . Then the relevant elliptic complex is

(2-7) 
$$\Omega^{0}(X,\mathfrak{g}_{P}) \xrightarrow{d_{1}} \Omega^{1}(X,\mathfrak{g}_{P}) \oplus \Omega^{0}(X,\mathfrak{g}_{P}) \xrightarrow{d_{2}} \Omega^{1}(X,\mathfrak{g}_{P}),$$

with  $d_1(\phi) = (-d_A\phi, [\phi, \Phi])$  and  $d_2(a, \phi) = *(d_Aa \wedge \psi) - [a, \Phi] - d_A\phi$ . Equivalently, we can consider the elliptic operator

$$d_1^* \oplus d_2$$
:  $\Omega^1(X, \mathfrak{g}_P) \oplus \Omega^0(X, \mathfrak{g}_P) \to \Omega^1(X, \mathfrak{g}_P) \oplus \Omega^0(X, \mathfrak{g}_P)$ 

given by

$$(d_1^* \oplus d_2)(a, \phi) = (*(d_A a \wedge \psi) - d_A \phi, -d_A^* a) + ([\Phi, a], [\Phi, \phi]),$$

which is self-adjoint when  $\varphi$  is coclosed. The following result, which is a consequence of Remark 10, shows that in the coclosed case any infinitesimal monopole deformation of a  $G_2$ -instanton is actually an infinitesimal instanton deformation. This fully justifies studying the deformation theory of the complex (2-7).

**Proposition 11** Let A be an irreducible  $G_2$ -instanton with respect to a coclosed  $G_2$ -structure on a closed manifold. Then, if  $(a, \phi) \in \ker(d_2)$ , where  $d_2$  is the operator associated with (A, 0), we have  $\phi = 0$ .

**Proof** Let  $(a, \phi) \in \ker(d_2)$ . Then  $d_A \phi = *(d_A a \wedge \psi)$  and  $d_A^* a = 0$ . Combining these and using that  $\psi$  is closed, we compute

$$d_A^* d_A \phi = -*d_A (d_A a \wedge \psi) = -*[F_A \wedge a] \wedge \psi.$$

This vanishes as A is a  $G_2$ -instanton and so  $F_A \wedge \psi = 0$ . Then taking the inner product with  $\phi$  gives  $d_A \phi = 0$  and so  $\phi$  must vanish as A is assumed to be irreducible.  $\Box$ 

Next we shall study the operator  $d_1^* \oplus d_2$  for the trivial connection A = d. It will be used later to give an existence result for  $G_2$ -instantons in the abelian case.

#### **Lemma 12** Let L be the operator

$$L: L^{2,1}(\Lambda^0 \oplus \Lambda^1) \to L^2(\Lambda^0 \oplus \Lambda^1),$$

given by  $L(f,a) = (-d^*a, -df + *(da \wedge \psi))$ . Its cokernel can be identified with those  $(g,b) \in \Omega^0(X) \oplus \Omega^1(X)$  such that g is constant and b is a coclosed 1-form satisfying  $d(b \wedge \psi) = 0$ .

In particular, if  $(X, \varphi)$  has the property that there are no coclosed 1-forms b such that  $d(b \wedge \psi) = 0$ , then L is surjective onto  $\Omega_0^0(X) \oplus \Omega^1(X)$ , where  $\Omega_0^0(X)$  denotes the functions with zero average on X.

**Proof** We shall identify the cokernel of L with the kernel of its formal adjoint  $L^*$ , using the  $L^2$  inner product. Then one computes  $L^*(g,b) = (-d^*b, -dg + *d(b \wedge \psi))$ , and so

$$LL^*(g,b) = (\Delta g, dd^*b) + (0, *(d(*d(b \wedge \psi)) \wedge \psi)).$$

By taking the  $L^2$  inner product with (g, b) and using Stokes' theorem we obtain

$$\begin{split} \langle (g,b), LL^*(g,b) \rangle_{L^2} &= \|dg\|_{L^2}^2 + \|d^*b\|_{L^2}^2 + \left\langle b, * \left(d(*d(b \wedge \psi)) \wedge \psi\right) \right\rangle_{L^2} \\ &= \|dg\|_{L^2}^2 + \|d^*b\|_{L^2}^2 + \int_X b \wedge d(*d(b \wedge \psi)) \wedge \psi \\ &= \|dg\|_{L^2}^2 + \|d^*b\|_{L^2}^2 + \int_X d(b \wedge \psi) \wedge *d(b \wedge \psi) \\ &= \|dg\|_{L^2}^2 + \|d^*b\|_{L^2}^2 + \|d(b \wedge \psi)\|_{L^2}^2. \end{split}$$

Hence if (g, b) is in the kernel of  $L^*$ , then also  $LL^*(g, b) = 0$  and the computation above shows that  $dg = d^*b = d(b \wedge \psi) = 0$ .

The next result gives a criterion for an abstract construction of abelian  $G_2$ -instantons.

**Proposition 13** If  $(X, \varphi)$  has no nonzero coclosed 1-forms b such that  $d(b \wedge \psi) = 0$  and B is a complex line bundle over X, then there is a monopole  $(\phi, A)$  on B.

Moreover, if  $\varphi$  is coclosed, then any such monopole is actually a  $G_2$ -instanton and it is unique.

**Proof** We start with any connection  $A_0$  on B and look for  $(\phi, a) \in \Omega^0(X) \oplus \Omega^1(X)$  such that  $(\phi, A_0 + a)$  solves the monopole equation  $d\phi = *(F_{A_0+a} \wedge \psi)$ . This can be rewritten in the form

$$-d\phi + *(d_{A_0}a \wedge \psi) = -*(F_{A_0} \wedge \psi),$$

and so, together with the gauge-fixing condition  $-d_{A_0}^*a=0$ , it suffices to solve the equation  $L(\phi,a)=(0,-*(F_{A_0}\wedge\psi))$ . Since 0 certainly has vanishing average, by Lemma 12 this right-hand side lies in the image of the operator L and we can find  $(\phi,a)$  such that  $(\phi,A_0+a)$  is a monopole on B.

The fact that in the coclosed case the monopoles are actually instantons follows from the discussion in Remark 10. The uniqueness follows from the fact that in this case the operator L is formally self-adjoint. However, since once restricted to  $\Omega_0^0(X) \oplus \Omega^1(X)$  it has no kernel, it is an isomorphism from  $L^{2,1}$  to  $L^2$ .

As a particular example of how to apply the previous result we shall now consider the strictly nearly parallel case.

**Corollary 14** Let  $(X, \varphi)$  be a nearly parallel  $G_2$ -manifold. For any  $\alpha \in H^2(X, \mathbb{Z})$ , there is a unique  $G_2$ -instanton on the complex line bundle B with  $c_1(B) = \alpha$ .

**Proof** We start by showing that in the nearly parallel case we are in the setup of Proposition 13. Suppose  $b \in \Omega^1(X)$  is such that  $d^*b = 0$  and  $d(b \land \psi) = 0$ . First notice that in this case  $\psi$  is exact, so the second equation can be written  $db \land \psi = 0$ . This shows that  $3d^7b = *(*(db \land \psi) \land \psi) = 0$ , which we can rewrite as  $0 = 3d^7b = db - *(db \land \varphi)$ . Hence, taking  $d^*$  of this equation, we find

$$0 = 3d^*d^7b = d^*db - *(db \wedge d\varphi) = d^*db - \lambda(*(db \wedge \psi)) = d^*db,$$

where we have used that  $d\varphi = \lambda \psi$  and  $db \wedge \psi = 0$  by hypothesis. Putting this together with  $d^*b = 0$ , we conclude that  $\Delta b = 0$  and so b is a harmonic 1-form. However, nearly parallel  $G_2$ -structures are Einstein with positive constant, and so have positive Ricci curvature. It then follows from the Bochner formula and Myers theorem that b = 0. We are then in position to apply Proposition 13 and conclude that there is a  $G_2$ -instanton on any line bundle over X.

- **Remark 15** (1) One may wonder if the previous corollary extends from nearly parallel to a more general class of  $G_2$ -structures. We will see in the second bullet of Theorem 67 examples of coclosed  $G_2$ -structures where we do not have uniqueness of abelian  $G_2$ -instantons. See also the second item in Remark 68.
  - (2) The previous proof works equally well for torsion-free, irreducible  $G_2$ -manifolds, ie those with holonomy equal to  $G_2$ . In that case,  $\lambda=0$  and  ${\rm Ric}=0$ , but the irreducibility shows that there are no harmonic 1-forms.
  - (3) In fact, the previous corollary has the following consequence. Any harmonic 2–form on a strictly nearly parallel  $G_2$ –manifold must lie on  $\Lambda^2_{14}$ . As proved by Lorenzo Foscolo [17, Theorem 3.23], a similar result holds for nearly Kähler manifolds.
- **2.1.4**  $S^1$ -invariant  $G_2$ -instantons In Section 3 we will be interested in studying  $G_2$ -instantons that are invariant under the action of a group which acts transitively. Here we make a detour into U(1)-invariant  $G_2$ -instantons, on U(1)-invariant  $G_2$ -structures. We include this section so we can refer to its main computation in the proof

of Proposition 57. Let V be the infinitesimal generator of a U(1)-action preserving a coclosed  $G_2$ -structure, ie  $\mathcal{L}_V \varphi = 0$  and so  $\mathcal{L}_V \psi = 0$  as well. Now let  $\eta \in \Omega^1(X^7)$  be the unique connection form on the circle bundle  $X^7 \to M^6 = X^7/S^1$  such that  $\eta(V) = 1$  and  $\eta|_{V^{\perp}} = 0$ . Then the equation  $\mathcal{L}_V \psi = 0$ , together with  $d\psi = 0$ , shows that both  $\iota_V \psi$  and  $\psi - \eta \wedge \iota_V \psi$  are V-basic, and so are pulled back from  $M^6$ . We may then write

$$\psi = -\eta \wedge \Omega_1 + \tau,$$

where  $\Omega_1$  and  $\tau$  are  $-\iota_V \psi$  and  $\psi - \eta \wedge \iota_V \psi$ , respectively. Moreover, the equations  $\mathcal{L}_V \psi = 0$  and  $d\psi = 0$  further imply

$$d\Omega_1 = 0$$
 and  $d\eta \wedge \Omega_1 = d\tau$ .

In fact, since  $\psi = *\varphi$  is the 4-form associated with the  $G_2$ -structure  $\varphi$ , there must further exist V-semibasic forms  $\omega \in \Omega^2(X)$  and  $\Omega_2 \in \Omega^3(X)$  such that  $\varphi = \eta \wedge \omega + \Omega_2$  and  $\tau = \frac{1}{2}\omega^2$ . In the setting we will be interested in, all the relevant principal bundles P over X can actually be regarded as bundles pulled back from M. Hence, if A is a connection on P over X and A' a connection pulled back from M to X, we have that  $A - A' \in \Omega^0(X, \Lambda^1 \otimes \mathfrak{g}_P)$ . Then, using the splitting  $\Lambda^1 = \langle \eta \rangle \oplus \langle \eta \rangle^\perp$ , we can write  $A - A' = A'' + \varphi \otimes \eta$ , where  $A'' \in \Omega^0(X, \langle \eta \rangle^\perp \otimes \mathfrak{g}_P)$  and  $A' \in \Omega^0(X, \mathcal{g}_P)$ . Defining now A' = A'', the connection A' = A'' may written as  $A' = A'' + \varphi \otimes \eta$ . Its curvature may then be computed to be  $A' = A'' + \varphi \otimes \eta$ , and  $A' = A'' + \varphi \otimes \eta$  and  $A' = A'' + \varphi \otimes \eta$  with A' = A'' semibasic. However, as the connection is assumed to be invariant under the action generated by  $A' = A'' + \varphi \otimes \eta$  and  $A' = A'' + \varphi \otimes \eta$  is actually  $A' = A'' + \varphi \otimes \eta$ . We then compute

$$F_A \wedge \psi = (F_a + d_a \phi \wedge \eta + \phi \otimes d\eta) \wedge (-\eta \wedge \Omega_1 + \tau)$$
  
=  $-\eta \wedge (F_a \wedge \Omega_1 + \phi \otimes d\eta \wedge \Omega_1 + d_a \phi \wedge \tau) + (F_a + \phi \otimes d\eta) \wedge \tau$ ,

and so the  $G_2$ -instanton equation amounts to

$$(2-8) (F_a + \phi \otimes d\eta) \wedge \Omega_1 + d_a \phi \wedge \tau = 0 and (F_a + \phi \otimes d\eta) \wedge \tau = 0.$$

## 2.2 Examples from 3–Sasakian geometry

We start this subsection with a brief discussion of 3–Sasakian geometry, following the nice review paper [6]. Then, starting from a 3–Sasakian manifold, we construct a family of coclosed  $G_2$ –structures containing a strictly nearly parallel structure, and give some existence results for  $G_2$ –instantons; see Propositions 17, 18, and 22.

A 3–Sasakian 7–manifold may be equivalently defined as a Riemannian 7–manifold  $(X^7,g_7)$  equipped with a 3–orthonormal vector field  $\{\xi_i\}_{i=1}^3$  satisfying  $[\xi_i,\xi_j]=\epsilon_{ijk}\xi_k$ . Any 3–Sasakian X is quasiregular in the sense that the vector fields  $\{\xi_i\}_{i=1}^3$  generate a locally free SU(2)–action. The space of leaves  $Z^4$ , equipped with the Riemannian metric  $g_Z$  such that  $\pi\colon X^7\to Z^4$  is an orbifold Riemannian submersion, has the structure of a self-dual, Einstein orbifold with scalar curvature s>0. Let  $g_7$  be the 3–Sasakian metric on  $X^7$  and regard  $\pi\colon X^7\to Z^4$  as an SU(2)– or SO(3)– (orbi)bundle of frames of  $\Lambda^2_-Z^4$ . The Levi-Civita connection of  $Z^4$  equips it with a connection  $\eta=\eta_i\otimes T_i\in\Omega^1(X^7,\mathfrak{so}(3))$ , where the  $T_i$  form a standard basis of  $\mathfrak{so}(3)$  satisfying  $[T_i,T_j]=2\epsilon_{ijk}T_k$ . This has the property that the  $\eta$ –horizontal forms  $\omega_i$  defined by

$$F_{\eta} = d\eta + \frac{1}{2}[\eta \wedge \eta] = \frac{s}{24}\omega_i \otimes T_i$$

form an orthogonal basis of  $(\Lambda_{-}^2 \ker(\eta), g_7|_{\ker(\eta)})$  with  $|\omega_i| = \sqrt{2}$  and  $s \in \mathbb{R}^+$ . We further remark that the metric  $g_7$  can be written as

$$g_7 = \eta^i \otimes \eta^i + \pi^* g_Z.$$

**Remark 16** To make a connection with the holonomy point of view used in Definition 6 we remark that the 2-forms  $\overline{\omega}_i = r dr \wedge \eta_i + \frac{1}{2}r^2 d\eta_i$  equip the cone  $(\mathbb{R}_r^+ \times X, g_C = dr^2 + r^2g_7)$  with a compatible, torsion-free Sp(2)-structure.

The strictly nearly parallel  $G_2$ -structure  $\varphi$  constructed in [18] determines a Riemannian metric  $g_{\varphi}$  which is a squash of the 3-Sasakian metric  $g_7$ . We shall consider the 1-parameter family of  $G_2$ -structures  $\{\varphi_t\}_{t\in\mathbb{R}\setminus 0}$  such that

(2-9) 
$$\varphi_t = t^3 \eta_1 \wedge \eta_2 \wedge \eta_3 + t \frac{s}{48} (\eta_1 \wedge \omega_1 + \eta_2 \wedge \omega_2 + \eta_3 \wedge \omega_3),$$

which determines  $g_{\varphi_t} = t^2(\eta_1^2 + \eta_2^2 + \eta_3^2) + \pi^*g_Z$  and

$$\psi_t = \frac{1}{6} \left( \frac{s}{48} \right)^2 \omega_i \wedge \omega_i + t^2 \frac{s}{48} (\eta_1 \wedge \eta_2 \wedge \omega_3 + \eta_2 \wedge \eta_3 \wedge \omega_1 + \eta_3 \wedge \eta_1 \wedge \omega_2).$$

Recall that, up to scaling, the condition that  $\varphi_t$  be nearly parallel can be written as  $d\varphi_t = \lambda \psi_t$  for some constant  $\lambda > 0$ . In our case we can easily compute from  $\frac{s}{24}\omega_i = d\eta^i + \epsilon_{ijk}\eta^j \wedge \eta^k$  that

$$d\varphi_t = t(t^2 + 1)\frac{s}{24}(\eta_1 \wedge \eta_2 \wedge \omega_3 + \eta_2 \wedge \eta_3 \wedge \omega_1 + \eta_3 \wedge \eta_1 \wedge \omega_2) + 2t\left(\frac{s}{48}\right)^2(\omega_1 \wedge \omega_1 + \omega_2 \wedge \omega_2 + \omega_3 \wedge \omega_3).$$

Then the equation  $d\varphi_t = \lambda \psi_t$  becomes the system  $12t = \lambda$  and  $t^2 + 1 = 2\lambda t$ , which has the solutions  $t = \frac{1}{\sqrt{5}}$ ,  $\lambda = \frac{12}{\sqrt{5}}$  and  $t = -\frac{1}{\sqrt{5}}$ ,  $\lambda = -\frac{12}{\sqrt{5}}$ . Note that we can scale  $\lambda$  by scaling the metric and change the sign of  $\lambda$  by changing the orientation. Conversely, it is possible to show that given a positive Einstein, anti-self-dual orbifold  $(Z, g_Z)$  there is an SO(3)- or SU(2)-bundle  $\pi \colon X^7 \to Z$  equipped with a 3-Sasakian structure [6], so having a strictly nearly parallel  $G_2$ -structure as above. We further remark that this converse statement may however produce nonsmooth  $X^7$ . We are now in position to give some examples of  $G_2$ -instantons, starting first with SU(2)-invariant instantons and then with  $S^1$ -invariant examples.

**Proposition 17** For any  $b_1, b_2, b_3 \in \mathbb{R}$  the 1-form  $\eta = b_1\eta_1 + b_2\eta_2 + b_3\eta_3$  equips the trivial complex line bundle over  $X^7$  with a  $G_2$ -instanton with respect to  $\varphi_{1/\sqrt{2}}$ .

Moreover, if L is a complex line bundle over  $X^7$  admitting a  $G_2$ -instanton with respect to  $\varphi_{1/\sqrt{2}}$ , then L actually has a real 3-parameter family of  $G_2$ -instantons.

**Proof** The connection  $\eta=b_1\eta_1+b_2\eta_2+b_3\eta_3$  is not only  $S^1$ -invariant but also SU(2)-invariant. Its curvature is  $d\eta$  and to show that  $d\eta \wedge \psi_{1/\sqrt{2}}=0$  it is enough to show that  $d\eta_1 \wedge \psi_{1/\sqrt{2}}=0$ . The  $d\eta_2$  and  $d\eta_3$  equations are dealt with similarly. So we compute

$$d\eta_1 \wedge \psi_t = \left(\frac{s}{24}\omega_1 - 2\eta_{23}\right) \wedge \left(\frac{1}{6}\left(\frac{s}{48}\right)^2 \omega_i \wedge \omega_i + t^2 \frac{s}{48}(\eta_{23} \wedge \omega_1 + \cdots)\right)$$
$$= 2\left(\frac{s}{48}\right)^2 \left(t^2 - \frac{1}{2}\right) \eta_{23} \wedge \omega_1 \wedge \omega_1,$$

which vanishes if and only if  $t = \frac{1}{\sqrt{2}}$ .

The second part of the theorem follows immediately from the fact that the  $G_2$ -instanton equation is linear in the abelian case.

**Proposition 18** Let A be a self-dual connection on a bundle over a positive, self-dual, Einstein orbifold  $(Z, g_Z)$ . Then, for all t > 0, the  $G_2$ -structure  $\varphi_t$  is coclosed and:

- $\pi^*A$  is a  $G_2$ -instanton on  $X^7$  with respect to  $\varphi_t$ . In particular,  $\pi^*A$  is a  $G_2$ -instanton for the strictly nearly parallel  $G_2$ -structure  $\varphi_{1/\sqrt{5}}$ .
- $\pi^*A$  is Yang–Mills with respect to  $\varphi_t$ .

**Proof** The fact that the  $G_2$ -structure  $\varphi_t$  is coclosed for any t > 0 follows from computing that  $d\psi_t = 0$ . This follows easily from the fact that  $\eta_1 \wedge \eta_2 \wedge \eta_3$  is closed

(in fact exact) and that each  $\omega_i \wedge \omega_i$  is closed as well, since  $d\omega_i = 2\epsilon_{ijk}\omega_j \wedge \eta_k$  and  $\omega_i \wedge \omega_j = 0$  for  $i \neq j$ . This shows that  $\varphi_t$  is coclosed.

We start by proving the first bullet in the statement, ie that A pulls back to a  $G_2$ -instanton. Let  $F_A$  denote the curvature of A, which is self-dual by hypothesis. Hence, as  $\pi$  is a Riemannian submersion with respect to all  $g_{\varphi_t}$ ,  $\pi^* F_A \wedge \omega_i = 0$  for i = 1, 2, 3. It is then easy to check that  $\pi^* F_A \wedge \psi_t = 0$ .

Now we prove the second bullet in the proposition. To ease notation denote by A the pullback of such a self-dual connection. Then  $F_A$  takes values in  $\Lambda^2_+ \otimes \mathfrak{g}_P$  and we compute

(2-10) 
$$d_A(*_{g_{\varphi_t}}F_A) = d_A(F_A \wedge \varphi_t) = F_A \wedge d\varphi_t.$$

However,  $d\varphi_t = t^3 d(\eta_{123}) + t d\eta_i \wedge \omega_i + t\eta_i \wedge d\omega_i$  and it is easy to check that  $d(\eta_{123}) = \omega_1 \wedge \eta_{23} + \text{cp}$  and  $\eta_i \wedge d\omega_i = 2(\eta_{13} \wedge \omega_2 - \eta_{12} \wedge \omega_3) + \text{cp}$ , where cp denotes cyclic permutations. Putting all these together we have

$$d\varphi_t = t\omega_i \wedge \omega_i + (t^2 - 6t)(\omega_1 \wedge \eta_{23} + \omega_2 \wedge \eta_{31} + \omega_3 \wedge \eta_{12}).$$

As  $F_A$  is self-dual,  $F_A \wedge \omega_i = 0$ , and hence, inserting  $d\varphi_t$  into (2-10), we conclude that  $d_A(*F_A) = 0$  and A is Yang–Mills.

**Remark 19** One may also consider the  $G_2$ -structures obtained by scaling differently each of the  $\eta_i$ , while keeping them orthonormal, ie

$$\varphi_{a,b,c} = abc\eta_1 \wedge \eta_2 \wedge \eta_3 + a\eta_1 \wedge \omega_1 + b\eta_2 \wedge \omega_2 + c\eta_3 \wedge \omega_3.$$

It is easy to check that any such  $G_2$ -structure is coclosed if and only if a = b = c.

We now change the point of view on  $(X^7,g_7)$  equipped with its 3–Sasakian structure, and regard it as a Sasakian manifold with respect to any of the Reeb vector fields  $\xi_q=q_1\xi_1+q_2\xi_2+q_3\xi_3$ , for a unit quaternion  $q=q_1i+q_2j+q_3k\in {\rm Im}(\mathbb{H})$ . In fact, the resulting Sasakian manifold is always quasiregular and does not depend on q. Take  $\xi=\xi_1$  for example, ie  $(X^7,\xi_1,g_7)$ , then the leaf space  $(Y^6,\omega_{\rm KE}=\frac{1}{2}d\eta_1)$  is a Kähler–Einstein Fano orbifold. In fact  $Y^6$  is the twistor space associated with the quaternionic Kähler structure on Z. Moreover, Y is smooth if and only if Z is. In fact, the twistor space also comes equipped with a nearly Kähler structure; see [23]. The next result relates this nearly Kähler structure with the  $G_2$ -structure  $\varphi_{1/\sqrt{2}}$  on X. We came across it after a conversation with Mark Haskins, so it may be known to experts. However, we were unable to locate a reference.

**Proposition 20** Let  $(X^7, g^7)$  be a 3–Sasakian manifold. Then  $(\iota_{\xi_1/t}\varphi_t, -\iota_{\xi_1/t}\psi_t)$  are basic with respect to  $\xi_1$  and equip the twistor space with a nearly Kähler structure if and only if  $t = \pm \frac{1}{\sqrt{2}}$ .

**Proof** The forms  $\omega = \iota_{\xi_1/t} \varphi_t$ ,  $\Omega_1 = -\iota_{\xi_1/t} \psi_t$  and  $\Omega_2 = \varphi_t - t \eta_1 \wedge \iota_{\xi_1/t} \varphi_t$  are all basic with respect to  $\xi_1$  and so they are the pullback of forms on the twistor Y. We denote these also by  $\omega$ ,  $\Omega_1$  and  $\Omega_2$ , respectively, and we must check these equip  $Y^6$  with a nearly Kähler structure. Back in  $X^7$  these can be written as

$$\omega = t^2 \eta_{23} + \frac{s}{48} \omega_1, \quad \Omega_1 = \frac{st}{48} (\eta_2 \wedge \omega_3 - \eta_3 \wedge \omega_2), \quad \Omega_2 = -\frac{st}{48} (\eta_2 \wedge \omega_2 + \eta_3 \wedge \omega_3).$$

Then we compute that  $d\omega = -3\lambda\Omega_1$  and  $d\Omega_2 = 2\lambda\omega_1^2$  for some  $\lambda$  if and only if  $t = \pm \frac{1}{\sqrt{2}}$ , in which case  $\lambda = \mp \sqrt{2}$  and so  $(\omega, \Omega_1)$  does equip  $Y^6$  with a nearly Kähler structure.

**Remark 21** In particular, using the notation introduced in the proof of the previous proposition, we can recover the  $G_2$ -structure  $\varphi_t$  by

$$\varphi_t = t\eta_1 \wedge \omega + \Omega_2$$
 and  $\psi_t = -t\eta_1 \wedge \Omega_1 + \frac{1}{2}\omega^2$ .

As a consequence, we have:

**Proposition 22** Let A be a pseudo-Hermitian Yang–Mills (pHYM) connection for the nearly Kähler structure  $(\omega, \Omega_1)$  on  $Y^6$ . Then its pullback is a  $G_2$ -instanton with respect to  $\varphi_{1/\sqrt{2}}$ .

**Proof** If A is pHYM, its curvature F satisfies  $F \wedge \omega^2 = 0 = F \wedge \Omega_1$ . Then, writing  $\varphi_{1/\sqrt{2}}$  in terms of  $(\omega, \Omega_1)$  as in Remark 21, we have  $F \wedge \psi_{1/\sqrt{2}} = 0$  and so A is a  $G_2$ -instanton with respect to  $\varphi_{1/\sqrt{2}}$ .

- **Remark 23** (1) Every nearly parallel  $G_2$ -manifold carries a metric-compatible connection A, in the tangent bundle whose holonomy is in  $G_2$ . Therefore, by the Ambrose-Singer theorem,  $F_A$  takes values in  $\Lambda^2 \otimes \mathfrak{g}_2$ . This connection is metric-compatible and has antisymmetric torsion, and then one can show that  $F_A$  takes values in  $S^2(\Lambda^2)$ ; see Proposition 3.1 in [19] for example. Putting all this together we see that actually  $F_A$  takes values in  $S^2(\Lambda^2_{14})$ , as  $\mathfrak{g}_2 \cong \Lambda^2_{14}$ , and so is a  $G_2$ -instanton.
  - (2) A similar statement to Proposition 22 holds for the pullback of an HYM connection on  $Y^6$  with respect to its Kähler–Einstein structure  $\omega_{\rm KE} = \frac{1}{2} \, d\eta_1$ . Namely, the pullback of such an HYM connection yields a  $G_2$ -instanton for  $\varphi^{\rm ts}$ .

## 2.3 Deformation theory revisited

In this subsection we shall restrict to the case where  $(X^7, \varphi)$  is a nearly parallel  $G_2$ -manifold and prove some rigidity results regarding  $G_2$ -instantons on them. Then, in Section 2.3.2, we prove that on nearly parallel manifolds there are  $G_2$ -instantons which are not locally energy-minimizing. Recall, from formula (2-4), that the analogous statement for torsion-free  $G_2$ -structures is always false.

**2.3.1 Rigidity** The fact that nearly parallel manifolds are Einstein with positive Einstein constant gives some hope of obtaining higher regularity for the moduli space of  $G_2$ -instantons than on torsion-free  $G_2$ -manifolds. In this direction we have:

**Proposition 24** Let  $(X^7, \varphi)$  be a nearly parallel  $G_2$ -manifold and A be a  $G_2$ instanton with the property that all the eigenvalues of the endomorphism of  $\Omega^1(X)$ given by  $b \mapsto -14(*[*(F_A^{14}) \land b])$  are smaller than  $s_{\varphi}$ , where  $s_{\varphi} > 0$  is the scalar
curvature of  $g_{\varphi}$ . Then A is rigid as a  $G_2$ -instanton and (A,0) unobstructed as a
monopole. Moreover, if A is irreducible, then (A,0) is also rigid as a monopole.

**Proof** Let A be a connection as in the statement. Then we shall consider the operators  $d_1$  and  $d_2$  from the complex (2-7), associated with (A, 0). As  $\varphi$  is coclosed these can be written as

$$d_2(a, \phi) = *(d_A a \wedge \psi) - d_A \phi$$
 and  $d_2^* b = (*d_A b \wedge \psi, -d_A^* b),$ 

while  $d_1(\psi) = (-d_A\psi, 0)$  and  $d_1^*(a, \phi) = -d_A^*a$ . Then the operator  $d_1^* \oplus d_2$  which controls the deformation theory of the  $G_2$ -instanton equation is

$$(d_1^* \oplus d_2)(a, \phi) = (*(d_A a \wedge \psi) - d_A \phi, -d_A^* a),$$

which is self-adjoint. In order to study its infinitesimal deformations we must therefore study its kernel. So let A be as in the statement and  $(a, \phi) \in \ker(d_1^* \oplus d_2)$ . Then  $*(d_A a \wedge \psi) = d_A \phi$  and  $d_A^* a = 0$ , and moreover as  $\varphi$  is coclosed we have that

$$0 = (d_1^* \oplus d_2)^2(a, \phi)$$
  
=  $(\Delta_A \phi + *([F_A \wedge a] \wedge \psi), *d_A(*(d_A a \wedge \psi) \wedge \psi) + d_A d_A^*a).$ 

Then, if A is an irreducible  $G_2$ -instanton, the first entry gives  $\Delta_A \phi = 0$ . Hence, taking the inner product with  $\phi$  and integrating by parts we get  $d_A \phi = 0$ . From the second

entry above and using that  $d\varphi = \lambda \psi$  we compute

$$0 = 3*d_A*d_A^7 a + d_A d_A^* a$$

$$= *d_A*(d_A a - *(d_A a \wedge \varphi)) + d_A d_A^* a$$

$$= \Delta_A a - *([F_A \wedge a] \wedge \varphi) + \lambda(*(d_A a \wedge \psi))$$

$$= \Delta_A a - *([F_A \wedge a] \wedge \varphi),$$

where in the last equality we used that  $*(d_A a \wedge \psi) = d_A \phi = 0$ . Putting this together with the Weitzenböck formula  $\Delta_A a = \nabla_A^* \nabla_A a + *[*F_A \wedge a] + \text{Ric}(a)$ , we obtain

$$\nabla_A^* \nabla_A a + *[(*F_A + F_A \wedge \varphi) \wedge a] + \operatorname{Ric}(a) = 0.$$

As  $F_A \wedge \varphi = -2(*F_A^7) + *F_A^{14}$ , and  $g_{\varphi}$  is Einstein with positive scalar curvature  $s_{\varphi} > 0$ , ie Ric  $= \frac{s}{7}$  id, we have

$$\nabla_A^* \nabla_A a + *[*(2F_A^{14} - F_A^7) \wedge a] + \frac{s_{\varphi}}{7} a = 0.$$

If A is as in the hypothesis of the statement, then taking the inner product with b, the sum of the last two terms is positive and so we have

$$\|\nabla_A a\|_{L^2}^2 + \mu \|a\|_{L^2}^2 \le 0,$$

for some  $\mu > 0$ . We conclude that a must vanish identically and as we have already seen  $d_A \phi = 0$ . Hence, any infinitesimal monopole deformation of (A,0) is of the form  $(0,\phi)$  for some  $\phi$  satisfying  $d_A \phi = 0$ . These can obviously be integrated as the path  $\{(A,t\phi)\}_{t\in\mathbb{R}}$  and so this is a purely monopole deformation which keeps the connection A the same  $G_2$ -instanton.

Exactly the same proof shows that  $d_2$  is surjective (by showing that  $\ker(d_2^*) = 0$ ), proving that (A,0) is unobstructed as a monopole. Moreover, if A is irreducible, then  $d_A \phi = 0$  implies that  $\phi$  must vanish and so (A,0) is also rigid as a monopole.  $\Box$ 

**Corollary 25** Let  $(X^7, \varphi)$  be a nearly parallel  $G_2$ -manifold. Then

- (1) abelian  $G_2$ -instantons are rigid;
- (2) flat connections are rigid as  $G_2$ -instantons.

One may wonder if the rigidity of abelian  $G_2$ -instantons extends from strictly nearly parallel  $G_2$ -structures to a more general class, say coclosed ones. We will see a counterexample to this in the second bullet of Theorem 67; see also the second item in Remark 68.

We shall now comment on the relation of Proposition 24 to the  $G_2$ -instantons we constructed earlier in this section.

- **Remark 26** (1) Through Corollary 14 we know that there is a unique  $G_2$ -instanton on every complex line bundle L over a nearly parallel  $G_2$ -manifold. This actually supersedes Corollary 25.
  - (2) A similar result to Corollary 25 holds for nearly Kähler manifolds; see Theorem 1 in [10]. In fact, also in that case any complex line bundle admits a unique pseudo-Hermitian Yang–Mills connection. See Theorem 3.23 and Remark 3.25 in [17].

It is also possible to find examples of  $G_2$ -instantons on strictly nearly parallel  $G_2$ -manifolds for which Proposition 24 does not apply:

**Example 27** Consider a self-dual, Einstein 4–orbifold  $(Z, g_Z)$ , with positive scalar curvature admitting a family of self-dual connections (eg  $\mathbb{S}^4$ ). Then, by Proposition 18, these connections lift to a family of  $G_2$ -instantons for a strictly nearly parallel  $G_2$ -structure constructed on the principal SO(3)-bundle associated with  $\Lambda^2_-Z$ . Therefore, in this case  $G_2$ -instantons have nontrivial moduli and so the hypothesis in Proposition 24 must fail.

**2.3.2** Yang–Mills unstable  $G_2$ -instantons Let A be a  $G_2$ -instanton for a nearly parallel  $G_2$ -structure  $\varphi$  such that  $d\varphi = \lambda \psi$ . We have seen, in Proposition 8, that such  $G_2$ -instantons are actually Yang–Mills connections. Moreover, (2-4) and the subsequent discussion show that in the torsion-free case a  $G_2$ -instanton minimizes the Yang–Mills energy. That need not be the case for strictly nearly parallel  $G_2$ -structures as we now show with a counterexample.

**Example 28** Equip the 7-dimensional sphere,  $\mathbb{S}^7$ , with the nearly parallel  $G_2$ -structure  $\varphi^{ts}$  induced from the 3-Sasakian one, as in Remark 19. Then  $g_{\varphi^{ts}}$  is the round metric. Now consider the Hopf bundle  $\pi_H \colon \mathbb{S}^7 \to \mathbb{S}^4$ . A verbatim repetition of the proof of Proposition 18 shows that the pullback, via  $\pi_H$ , of a self-dual connection on  $\mathbb{S}^4$  is also a  $G_2$ -instanton with respect to  $\varphi^{ts}$ . Hence, if A is the pullback of a charge 1 self-dual connection on  $\mathbb{S}^4$ , it is a  $G_2$ -instanton for  $\varphi^{ts}$ . As  $d\varphi^{ts} = 4\psi^{ts}$ , we have that A is also a (nonflat) Yang-Mills connection. However, it is shown in [5] that any nonflat Yang-Mills connection on  $S^n$ , where n > 4, is Yang-Mills unstable.

**Remark 29** We have also proved in Proposition 18 that the pullback of a Yang–Mills connection on a quaternion-Kähler manifold is both a  $G_2$ -instanton and a Yang–Mills connection, with respect to any of the  $G_2$ -structures  $\varphi_t$ , for t > 0. Hence, the example above also works also for any  $\varphi_t$  with t in a neighborhood of 1.

# 3 Aloff–Wallach spaces

We begin, in Section 3.1, by summarizing some facts about the geometry of homogeneous, coclosed  $G_2$ -structures on Aloff-Wallach spaces. Then in Section 3.2 we determine all the invariant connections on homogeneous SO(3)-bundles over the Aloff-Wallach spaces and use them in Sections 4 and 5 to classify invariant  $G_2$ -instantons on the Aloff-Wallach spaces. As a consequence, we discover that  $G_2$ -instantons can distinguish between different strictly nearly parallel  $G_2$ -structures on the same Aloff-Wallach space. We also produce examples of some interesting phenomena, for instance, irreducible  $G_2$ -instantons that merge into the same reducible  $G_2$ -instanton as the  $G_2$ -structure varies. This particular phenomenon was expected to occur, but these are the first examples. In Section 4.6 we shall also give examples of  $G_2$ -instantons for a nearly parallel  $G_2$ -structure in  $X_{1,-1}$ . Some of these are then shown to not be locally energy-minimizing. In fact, they are saddles of the invariant Yang-Mills functional. Further, in Section 5.3 we show that the existence of  $G_2$ -instantons distinguishes between a 3-Sasakian and a strictly nearly parallel  $G_2$ -structure on  $X_{1,1}$ .

### 3.1 Geometry of coclosed $G_2$ -structures

Let  $k, l \in \mathbb{Z}$ , and let  $U(1)_{k,l}$  be a circle subgroup of SU(3) consisting of elements of the form

$$\begin{pmatrix} e^{ik\theta} & 0 & 0 \\ 0 & e^{il\theta} & 0 \\ 0 & 0 & e^{im\theta} \end{pmatrix},$$

where k+l+m=0. The Aloff-Wallach space  $X_{k,l}=\mathrm{SU}(3)/U(1)_{k,l}$  is the quotient of SU(3) by this circle subgroup. We shall now recall some basic facts about the geometry and topology of the Aloff-Wallach spaces. Aloff-Wallach spaces inherited their name from [1], where they were shown to admit homogeneous metrics with positive curvature, for  $klm \neq 0$  (see also page 18 of the survey paper [30]). Later, Wang showed in [29] that Aloff-Wallach spaces admit homogeneous Einstein metrics with positive scalar curvature, not all of which are the ones considered by Aloff

and Wallach. In [4, page 116], the authors show that each  $X_{k,l}$  admits at least two homogeneous Einstein metrics. The authors further show, that for  $X_{k,k}$  (and those related to it through the action of the Weyl group of SU(3); see Remark 34) one of these is 3–Sasakian and the other strictly nearly parallel, while on the other  $X_{k,l}$  they are both strictly nearly parallel. As a side remark, we mention that there are examples of different pairs (k,l) such that the corresponding Aloff–Wallach spaces are homeomorphic, but not diffeomorphic [21].

Regarding coclosed  $G_2$ -structures, Aloff-Wallach spaces were shown to admit a real 4-dimensional family of homogeneous, coclosed  $G_2$ -structures as described in [9]. We now give details of this family of homogeneous coclosed  $G_2$ -structures on  $X_{k,l}$ . Let

$$s = \frac{\sqrt{k^2 + l^2 + m^2}}{\sqrt{6}},$$

and write the canonical left-invariant form on SU(3) as

$$\mu = \frac{1}{\sqrt{2}} \begin{pmatrix} \frac{i}{s} \left( \frac{k}{\sqrt{3}} \eta + \frac{l-m}{3} \omega_4 \right) & \omega_1 + i \omega_5 & -\omega_3 + i \omega_7 \\ -\omega_1 + i \omega_5 & \frac{i}{s} \left( \frac{l}{\sqrt{3}} \eta + \frac{m-k}{3} \omega_4 \right) & \omega_2 + i \omega_6 \\ \omega_3 + i \omega_7 & -\omega_2 + i \omega_7 & \frac{i}{s} \left( \frac{m}{\sqrt{3}} \eta + \frac{k-l}{3} \omega_4 \right) \end{pmatrix}.$$

Let  $(\{e_i\}_{i=1}^7, H)$  be the vector fields dual to  $(\{\omega_i\}_{i=1}^7, \eta)$ , using the SU(3)-invariant metric  $\omega_1^2 + \omega_2^2 + \omega_3^2 + \omega_4^2 + \omega_5^2 + \omega_6^2 + \omega_7^2 + \eta^2$ . Then  $\sqrt{6}sH$  is the infinitesimal generator of the  $\mathfrak{u}(1)_{k,l}$ -action.

Let A, B, C and D be nonzero constants. The  $G_2$ -structures under consideration are given by

(3-1) 
$$\varphi = ABC(\omega_{123} - \omega_{167} + \omega_{257} - \omega_{356}) - D\omega_4 \wedge (A^2\omega_{15} + B^2\omega_{26} + C^2\omega_{37}).$$

The metric  $g_{\varphi}$  and the 4-form  $\psi = *_{\varphi} \varphi$  associated to the  $G_2$ -structure are

$$g_{\varphi} = A^{2}(\omega_{1}^{2} + \omega_{5}^{2}) + B^{2}(\omega_{2}^{2} + \omega_{6}^{2}) + C^{2}(\omega_{3}^{2} + \omega_{7}^{2}) + D^{2}\omega_{4}^{2},$$

$$\psi = ABCD(\omega_{4567} - \omega_{2345} + \omega_{1346} - \omega_{1247}) + B^{2}C^{2}\omega_{2367} + A^{2}C^{2}\omega_{1357} + A^{2}B^{2}\omega_{1256},$$

Here we fixed the orientation induced by the volume form  $\operatorname{vol}_{\varphi} = 7A^2B^2C^2D\omega_{1234567}$ . Also, notice that this family of  $G_2$ -structures is, up to scaling, only 3-dimensional. The exterior derivatives of the  $\{\omega_i\}_{i=1}^7$  and  $\eta$  may be computed using the Maurer-Cartan formula  $d\mu = -\mu \wedge \mu$ . Here we use these formulas to compute the exterior derivatives

of  $\varphi$  and  $\psi$ , to get information about the torsion of these  $G_2$ -structures. We find

$$\begin{split} \sqrt{2} \, d\varphi &= D(A^2 + B^2 + C^2)(-\omega_{4567} + \omega_{2345} - \omega_{1346} + \omega_{1247}) \\ &+ \left(\frac{D}{s}(kA^2 + mB^2) - 4ABC\right)\omega_{1256} + \left(\frac{D}{s}(lB^2 + kC^2) - 4ABC\right)\omega_{2367} \\ &+ \left(\frac{D}{s}(mC^2 + lA^2) - 4ABC\right)\omega_{1357}, \end{split}$$

 $d\psi = 0$ .

From these we can extract the torsion component  $\tau_0$ :

$$\frac{7}{\sqrt{2}}\tau_0 = -4\left(\frac{A}{BC} + \frac{B}{AC} + \frac{C}{AB}\right) + \frac{D}{s}\left(\frac{l}{C^2} + \frac{k}{B^2} + \frac{m}{A^2}\right).$$

**Definition 30** Let C denote the spaces of  $G_2$ -structures of the form (3-1).

**Lemma 31** Let  $k \neq \pm l$ ,  $l \neq \pm m$ ,  $m \neq k$ . Then the space of homogeneous coclosed  $G_2$ -structures C may be identified with  $(\mathbb{R}^+)^2 \times (\mathbb{R} \setminus \{0\})^2$ . Moreover, given  $(A, B, C, D) \in C$ , the corresponding  $G_2$ -structure can be written as in (3-1).

**Proof** It follows from the analysis in [9] that for  $k \neq \pm l$ ,  $l \neq \pm m$ ,  $m \neq k$ , any homogeneous, coclosed  $G_2$ -structure is one of those considered above. These are precisely those with s' = 0, in that reference. Now notice that the  $G_2$ -structures (3-1) are parametrized by  $(A, B, C, D) \in (\mathbb{R} \setminus \{0\})^4$  minus the coordinate hyperplanes. Moreover, (3-1) stays invariant by any of the following maps:  $(A, B) \mapsto (-A, -B)$ ,  $(B, C) \mapsto (-B, -C)$  and  $(A, C) \mapsto (-A, -C)$ . These discrete symmetries give rise to a  $(\mathbb{Z}_2 \times \mathbb{Z}_2)$ -action on  $(\mathbb{R} \setminus \{0\})^4$ , generated by the first two symmetries. Hence, the  $G_2$ -structures in (3-1) are parametrized by  $(\mathbb{R} \setminus \{0\})^4/(\mathbb{Z}_2 \times \mathbb{Z}_2)$ . Taking a fundamental domain for the  $(\mathbb{Z}_2 \times \mathbb{Z}_2)$ -action we may equally well regard the space of  $G_2$ -structures as in (3-1) as  $\mathbb{R}_+^4 \times \mathbb{R}_+^8 \times (\mathbb{R}_C \setminus \{0\}) \times (\mathbb{R}_D \setminus \{0\})$ .

- **Remark 32** (1) Up to a cover, and the action of the Weyl group (see Remark 34), the restrictions in the lemma above can be simply written as  $(k, l) \notin \{(1, 1), (1, -1)\}$ .
  - (2) In the case when  $(k, l) \in \{(1, 1), (1, -1)\}$  we will continue to use  $\mathcal{C}$  to denote the  $G_2$ -structures as in (3-1). However, in that case there are homogeneous coclosed  $G_2$ -structures that can not be written as in (3-1) and so are not in  $\mathcal{C}$ .
  - (3) We know that  $\tau_1 = \tau_2 = 0$  because the  $G_2$ -structure is coclosed, and we can compute  $\tau_3$  by  $\tau_3 = *(d\varphi \tau_0 \psi)$ .

A  $G_2$ -structure of the form (3-1) is nearly parallel, ie  $d\varphi = \lambda \psi$ , when (A, B, C, D) satisfy

(3-2) 
$$A^{2} + B^{2} + C^{2} + \sqrt{2}\lambda ABC = 0,$$
$$D(kA^{2} + mB^{2}) - 4sABC - \sqrt{2}\lambda sA^{2}B^{2} = 0,$$
$$D(lB^{2} + kC^{2}) - 4sABC - \sqrt{2}\lambda sB^{2}C^{2} = 0,$$
$$D(lA^{2} + mC^{2}) - 4sABC - \sqrt{2}\lambda sA^{2}C^{2} = 0.$$

By fixing an orientation we can suppose that  $\lambda > 0$ . Then, in [9], it is shown that for  $k \neq \pm l$ ,  $l \neq \pm m$ ,  $m \neq \pm l$ , the system (3-2) admits precisely eight solutions. Moreover, up to the action of  $\mathbb{Z}_2 \times \mathbb{Z}_2$  alluded to in the proof of Lemma 31, these eight solutions give only two nonequivalent solutions  $\varphi \in \mathcal{C}$ , which are in fact strictly nearly parallel. The following result completely determines the connected component in  $\mathcal{C}$  in which each of these structures lives.

**Lemma 33** Let  $k \neq \pm l$ ,  $l \neq \pm m$ ,  $m \neq \pm l$ , and let  $\varphi^{np_1}, \varphi^{np_2} \in \mathcal{C}$  denote the two strictly nearly parallel  $G_2$ -structures. Then  $C(\varphi^{np_1})$  and  $C(\varphi^{np_2})$  have the same sign, while those of  $D(\varphi^{np_1})$  and  $D(\varphi^{np_2})$  are opposite. Moreover, sign(C) is constrained by  $\lambda C < 0$  and determines the orientation.

**Proof** Fix an orientation and suppose that  $\lambda > 0$ . Then the first equation in (3-2) implies that ABC must be negative for any such  $\varphi$ . On the other hand, it follows from the analysis in the bottom of page 413 in [9] that the two solutions have different signs of ABCD and so they must in fact have different signs of D. Choosing  $\varphi \in C$ , we have A > 0 and B > 0, so we must also have C < 0 (as ABC < 0), which then implies each of the solutions has a different sign of D.

- **Remark 34** (1) The Weyl group of SU(3) moves the  $U(1)_{k,l}$  subgroup inducing an action in the set of Aloff–Wallach spaces. In fact, this action is generated by  $X_{k,l}\mapsto X_{l,k}$  and  $X_{k,l}\mapsto X_{k,m}$ , which can be combined to generate the order 3 element  $\sigma\colon X_{k,l}\to X_{l,m}$ , ie cyclic permutations of (k,l,m). Hence, up to coverings and this action, there is no loss in supposing that k and l are coprime and that  $k\geq 0$  and  $-l\leq k\leq 2l$ .
  - (2) Consider the U(2)-subgroup of SU(3) generated by the image of the homomorphism SU(2)  $\times$   $U(1) \rightarrow$  SU(3) given by

$$(A, e^{i\theta}) \mapsto \operatorname{diag}(Ae^{i\theta}, \operatorname{det}(Ae^{i\theta})^{-1}).$$

As  $\mathbb{CP}^2 \cong SU(3)/U(2)$ , we obtain a canonical fibration

$$\pi_1: X_{k,l} \to \mathbb{CP}^2$$
,

whose fibers one can check to be the lens spaces  $U(2)/U(1)_{k,l} \cong S^3/\mathbb{Z}_{|k+l|}$ , if  $k+l\neq 0$ , or  $S^1\times S^2$ , if k+l=0. In fact, using the order 3 element  $\sigma$ , we may obtain two more fibrations  $\pi_2=\pi_1\circ\sigma$  and  $\pi_3=\pi_1\circ\sigma^2$  of  $X_{k,l}$  over  $\mathbb{CP}^2$ . At least two of these have fibers  $S^3/\mathbb{Z}_p$  for a nonzero  $p\in\{|k|,|l|,|m|\}$ .

#### 3.2 Invariant connections

Given a Lie group G, a principal G-bundle P over  $X_{k,l} = \mathrm{SU}(3)/U(1)_{k,l}$  is said to be  $\mathrm{SU}(3)$ -homogeneous (or just homogeneous) if there is a lift of the  $\mathrm{SU}(3)$ -action on  $X_{k,l}$  to the total space which commutes with the right G-action on P. In general, homogeneous  $\mathrm{SO}(3)$ -principal bundles over  $X_{k,l}$  are determined by their isotropy homomorphisms  $\lambda_n \colon U(1) \to \mathrm{SO}(3)$ , and are constructed via

$$P_n = SU(3) \times_{(U(1)_{k-1}, \lambda_n)} SO(3),$$

where the possible group homomorphisms  $\lambda_n$  are parametrized by  $n \in \mathbb{Z}$ . Explicitly we can think of SO(3) as SU(2)/ $\mathbb{Z}_2$ , where  $\mathbb{Z}_2$  acts via multiplication by minus the identity matrix, -1, then  $\lambda_n$  is given by

$$\lambda_n(\theta) = \begin{pmatrix} e^{i(n/2)\theta} & 0\\ 0 & e^{-i(n/2)\theta} \end{pmatrix} \pmod{-1}.$$

**Definition 35** Let  $\{T_1, T_2, T_3\}$  be a basis for  $\mathfrak{su}(2)$  such that  $[T_i, T_j] = 2\epsilon_{ijk}T_k$ . Then the canonical invariant connection on  $P_n$  is

$$A_c^n = \frac{n}{2} \frac{\eta}{\sqrt{6}s} \otimes T_1.$$

Using the Maurer–Cartan equations, the curvature of the canonical invariant connection  $A_c^n$  is found to be

$$F_c^n = -\frac{n}{12s^2}((k-l)\omega_{15} + (l-m)\omega_{26} + (m-k)\omega_{37}).$$

Wang's theorem [28] classifies invariant connections on homogeneous bundles. In our situation, Wang's theorem says that SU(3)-invariant connections on  $P_n$  are in bijection with morphisms of U(1)-representations

$$\Lambda: (\mathfrak{m}, \mathrm{Ad}) \to (\mathfrak{so}(3), \mathrm{Ad} \circ \lambda_n),$$

where m is the  $U(1)_{k,l}$ -Ad complement to  $\langle H \rangle$  in  $\mathfrak{su}(3)$ . If (k,l) is not in the Weyl orbit of (1,1) and  $n \neq 0$ , these split into irreducible real representations as

$$\mathfrak{m} = \langle X_1, X_5 \rangle_{k-l} \oplus \langle X_2, X_6 \rangle_{l-m} \oplus \langle X_3, X_7 \rangle_{m-k} \oplus \langle X_4 \rangle,$$
  

$$\mathfrak{so}(3) = \langle T_1 \rangle \oplus \langle T_2, T_3 \rangle_n,$$

where the weight of each 2-dimensional irreducible representation is indicated by a subscript. It will be useful to use the notation  $V_1 = \langle X_1, X_5 \rangle$ ,  $V_2 = \langle X_2, X_6 \rangle$  and  $V_3 = \langle X_3, X_7 \rangle$  (these are simply the real root spaces of  $\mathfrak{su}(3)$ ). Applying Schur's lemma and Wang's theorem [28] we have:

**Lemma 36**  $((k, l) \neq (1, 1))$  Let  $A^n \in \Omega^1(SU(3), \mathfrak{so}(3))$  be the connection 1-form of an invariant connection on  $P_n$  over  $X_{k,l}$ , for (k, l) not in the Weyl orbit of (1, 1). Then it is left-invariant and can be written as  $A^n = A_c^n + (A^n - A_c^n)$ , where  $(A - A_c^n) \in \mathfrak{m}^* \otimes \mathfrak{so}(3)$ , extended as a left-invariant 1-form with values in  $\mathfrak{so}(3)$ , is given by

$$A - A_c^n = a_1 \psi_1 + a_2 \psi_2 + a_3 \psi_3 + b \omega_4 \otimes T_1.$$

Here the  $\psi_i$  denote isomorphisms  $\psi_i \colon V_i \xrightarrow{\sim} \langle T_2, T_3 \rangle$  with  $|\psi| \in \{0, 1\}$  with respect to the fixed basis, and the  $a_i, b \in \mathbb{R}$  are constants. Moreover, each  $a_i$  must vanish if the weight of  $V_i$  is not equal to n, ie

$$a_1 = 0$$
 if  $n \neq k - l$ ,  
 $a_2 = 0$  if  $n \neq l - m$ ,  
 $a_3 = 0$  if  $n \neq m - k$ .

- **Remark 37** (1) The order 3 element of the Weyl group W permutes the different roots and so the different root spaces. In particular, there is no loss in considering the Aloff–Wallach spaces up to the action of W. Hence, in the previous lemma when we consider the case  $k \neq l$ , it is implicit that also  $l \neq m$  or  $m \neq k$ .
  - (2) Since it is not possible to have k l = l m = m k = n without forcing k = l = m = n = 0, we must have  $a_1 a_2 a_3 = 0$ . This splits us into seven cases to be analyzed below.

**Lemma 38** ((k,l)=(1,1)) Let  $A^n \in \Omega^1(\mathrm{SU}(3),\mathfrak{so}(3))$  be the connection 1-form of an invariant connection on  $P_n$  over  $X_{1,1}$ . Then it is left-invariant and can be written as  $A^n = A_c^n + (A^n - A_c^n)$ , where  $(A - A_c^n) \in \mathfrak{m}^* \otimes \mathfrak{so}(3)$ , extended as a left-invariant 1-form with values in  $\mathfrak{so}(3)$ , is given by

$$A - A_c^n = a_1 \chi + a_2 \psi_2 + a_3 \psi_3.$$

Here the  $\psi_i$  denote isomorphisms  $\psi_i \colon V_i \xrightarrow{\sim} \langle T_2, T_3 \rangle$  with  $|\psi| = 1$  with respect to the fixed basis, and  $\chi \colon \langle X_1, X_5, X_4 \rangle \to \mathfrak{so}(3)$  denotes a linear map, which in the case  $n \neq 0$  must take values in  $\langle T_1 \rangle \subset \mathfrak{so}(3)$ . Moreover,

$$a_2 = 0$$
 if  $n \neq 3$ ,  
 $a_3 = 0$  if  $n \neq -3$ .

**Proof** The proof in this case is similar and we simply give the main steps. As before the proof amounts to using Wang's theorem [28] to find the invariant connections. One must split the corresponding representations into irreducibles as

$$\mathfrak{m} = \langle X_1 \rangle \oplus \langle X_5 \rangle \oplus \langle X_4 \rangle \oplus \langle X_2, X_6 \rangle_3 \oplus \langle X_3, X_7 \rangle_{-3},$$

$$\mathfrak{so}(3) = \begin{cases} \langle T_1 \rangle \oplus \langle T_2, T_3 \rangle_n & \text{if } n \neq 0, \\ \langle T_1 \rangle \oplus \langle T_2 \rangle \oplus \langle T_3 \rangle & \text{if } n = 0. \end{cases}$$

Then the conclusion follows from a similar application of Schur's lemma.

**3.2.1 Case splitting, for**  $k \neq l$  We shall now consider the case when  $X_{k,l}$  is such that (k,l) is not in the Weyl orbit of (1,1); the other case will be investigated separately. Here we use Lemma 36 in order to write down all the possible connection 1-forms, up to invariant gauge transformations. We shall analyze the different cases corresponding to the different values of n.

Case 0  $(n \neq k - l, l - m, m - k)$  In this case  $a_1 = a_2 = a_3 = 0$  and so every connection is reducible, with

$$A^n = \left(\frac{n}{2}\frac{\eta}{\sqrt{6}s} + b\omega_4\right) \otimes T_1.$$

**Case 1** (n = k - l) In this case  $a_2 = a_3 = 0$  and we may use our gauge freedom to write the isomorphism  $\psi_1: V_1 \xrightarrow{\sim} \langle T_2, T_3 \rangle$  as  $\psi_1 = \omega_1 \otimes T_2 + \omega_5 \otimes T_3$ . Then

$$A^{k-l} = \left(\frac{k-l}{2} \frac{\eta}{\sqrt{6s}} + b\omega_4\right) \otimes T_1 + a_1(\omega_1 \otimes T_2 + \omega_5 \otimes T_3).$$

Case 2 (n = l - m) Now we must have  $a_1 = a_3 = 0$  and as in Case 1 we may use our gauge freedom to fix the form of  $\psi_2$ . We can write the connection form as

$$A^{l-m} = \left(\frac{l-m}{2} \frac{\eta}{\sqrt{6s}} + b\omega_4\right) \otimes T_1 + a_2(\omega_2 \otimes T_2 + \omega_6 \otimes T_3).$$

Case 3 (n = m - k) Similarly, in this case  $a_1 = a_2 = 0$  and we can write the connection form as

$$A^{m-k} = \left(\frac{m-k}{2} \frac{\eta}{\sqrt{6s}} + b\omega_4\right) \otimes T_1 + a_3(\omega_3 \otimes T_2 + \omega_7 \otimes T_3).$$

Case 4 (n = m - k = l - m), ie n = l = -k In this case  $a_1 = 0$  and we exhaust our gauge freedom in fixing  $\psi_2 = \omega_2 \otimes T_2 + \omega_6 \otimes T_3$ , so that

$$\psi_3 = \omega_3 \otimes (\cos(\beta)T_2 + \sin(\beta)T_3) + \omega_7 \otimes (-\sin(\beta)T_2 + \cos(\beta)T_3)$$

is dependent on an angle parameter  $\beta$ . The connection form is

$$A^{l} = \left(\frac{l}{2}\frac{\eta}{\sqrt{6s}} + b\omega_{4}\right) \otimes T_{1} + a_{2}(\omega_{2} \otimes T_{2} + \omega_{6} \otimes T_{3}) + a_{3}\left(\omega_{3} \otimes (\cos(\beta)T_{2} + \sin(\beta)T_{3}) + \omega_{7} \otimes (-\sin(\beta)T_{2} + \cos(\beta)T_{3})\right).$$

Case 5 (n = l - m = k - l), ie n = k = -m. This is similar to Case 4, but with  $a_2 = 0$ . The connection form is

$$A^{k} = \left(\frac{k}{2} \frac{\eta}{\sqrt{6}s} + b\omega_{4}\right) \otimes T_{1} + a_{1}(\omega_{1} \otimes T_{2} + \omega_{5} \otimes T_{3}) + a_{3}(\omega_{3} \otimes (\cos(\beta)T_{2} + \sin(\beta)T_{3}) + \omega_{7} \otimes (-\sin(\beta)T_{2} + \cos(\beta)T_{3})).$$

Case 6  $(a_3 = 0 \text{ and } n = k - l = m - k)$ , so that n = m = -l) This is similar to Cases 4 and 5, except that we use  $\alpha$  for the angle parameter. The connection form is

$$A^{m} = \left(\frac{m}{2} \frac{\eta}{\sqrt{6}s} + b\omega_{4}\right) \otimes T_{1} + a_{1}(\omega_{1} \otimes T_{2} + \omega_{5} \otimes T_{3}) + a_{2}(\omega_{2} \otimes (\cos(\alpha)T_{2} + \sin(\alpha)T_{3}) + \omega_{6} \otimes (-\sin(\alpha)T_{2} + \cos(\alpha)T_{3})).$$

3.2.2 Case splitting, for k = l = 1 Now we use Lemma 38 to write down the possible connection 1-forms for an invariant connection on  $P_n$  over  $X_{1,1}$ , splitting into cases depending on the value of n.

Case 0  $(n \neq 3, -3, 0)$  In this case,

$$A^{n} = \left(\frac{n}{2}\frac{\eta}{\sqrt{6}} + b\omega_4 + a_1\omega_1 + a_5\omega_5\right) \otimes T_1,$$

where  $a_1, a_5, b \in \mathbb{R}$ .

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Case 1 (n = 0) In this case,

$$A^{0} = \omega_{1} \otimes c_{1} + \omega_{4} \otimes c_{4} + \omega_{5} \otimes c_{5},$$

where  $c_1, c_4, c_5 \in \mathfrak{so}(3)$ .

Case 2 (n = 3) In this case,

$$A^{-3} = \left(\frac{3}{2}\frac{\eta}{\sqrt{6}} + b\omega_4 + a_1\omega_1 + a_5\omega_5\right) \otimes T_1 + a_2(\omega_2 \otimes T_2 + \omega_6 \otimes T_3),$$

where  $a_1, a_2, a_5, b \in \mathbb{R}$ .

Case 3 (n = -3) In this case.

$$A^{3} = \left(-\frac{3}{2}\frac{\eta}{\sqrt{6}} + b\omega_{4} + a_{1}\omega_{1} + a_{5}\omega_{5}\right) \otimes T_{1} + a_{3}(\omega_{3} \otimes T_{2} + \omega_{7} \otimes T_{3}),$$

where  $a_1, a_3, a_5, b \in \mathbb{R}$ .

**3.2.3 Topology of the homogenous bundles**  $P_n$  Recall from the beginning of Section 3.2 that given a group homomorphism  $\lambda_n$ :  $U(1) \to SO(3)$  we may construct the homogeneous bundle

$$P_n = SU(3) \times_{(U(1)_{k,l},\lambda_n)} SO(3)$$

over  $X_{k,l}$ . In this section we compute the first Pontryagin and second Stiefel-Whitney classes of the associated vector bundle  $E_n$  with respect to standard action of SO(3) on  $\mathbb{R}^3$ . To compute its characteristic classes it will be convenient to use a lift of  $E_n$  to a  $\mathrm{Spin}^c(3) = U(2)$ -bundle  $W_n$ . Then the adjoint bundle  $\mathfrak{g}_{W_n}$  of  $W_n$  splits as  $\mathfrak{g}_{W_n} \cong \mathbb{R} \oplus E_n$ , where  $\mathbb{R}$  denotes the trivial bundle. We can then compute the characteristics of  $E_n$  via the Chern classes of  $W_n$  as

$$w_2(E_n) = c_1(W_n) \pmod{2}$$
 and  $p_1(E_n) = c_1(W_n)^2 - 4c_2(W_n)$ .

To state the result we recall some facts about the cohomology ring of  $X_{k,l}$  [21], namely, that  $H^2(X_{k,l},\mathbb{Z})\cong\mathbb{Z}$  and that the square of its generator is the generator of  $H^4(X_{k,l},\mathbb{Z})\cong\mathbb{Z}_{k^2+l^2+kl}$ . We now state and prove:

**Lemma 39** The associated homogeneous SO(3)-bundle  $E_n$  has

$$w_2(E_n) = n \pmod{2}$$
 and  $p_1(E_n) = n^2 \pmod{k^2 + kl + l^2}$ .

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**Proof** The first step towards the computation is to notice that, for any  $n \in \mathbb{Z}$ , there is actually a homogeneous lift of  $P_n$  to a  $\mathrm{Spin}^c(3) = U(2)$ -bundle. To see this, we make the identification  $\mathrm{SU}(2) \times U(1)/\mathbb{Z}_2 \cong U(2)$  by the isomorphism  $[(A, e^{i\theta})] \mapsto \mathrm{diag}(e^{i\theta}, e^{i\theta})A$ , and it is easy to see that there is a group homomorphism  $\tau \colon U(2) \to \mathrm{SO}(3)$  which is simply  $\tau([A, e^{i\theta}]) = A \in \mathrm{SU}(2)/\mathbb{Z}_2 \cong \mathrm{SO}(3)$ .

**Remark 40** One other way to describe this is by considering the adjoint action of U(2) on its Lie algebra. This decomposes as  $\mathfrak{u}(2) = \mathbb{R} \oplus \mathfrak{so}(3)$ , and U(2) acts on  $\mathfrak{so}(3) \cong \mathbb{R}^3$  via SO(3).

Then the bundle  $P_n$  can be homogeneously lifted to a U(2)-bundle if and only if there is a group homomorphism  $\mu_n$ :  $U(1) \to U(2)$  such that  $\lambda_n = \tau \circ \mu_n$ . That is indeed the case, as we can simply check that

$$\mu_n(e^{i\theta}) = \begin{bmatrix} e^{in\theta/2} & 0\\ 0 & e^{-in\theta/2} \end{bmatrix}, e^{in\theta/2} \in SU(2) \times U(1)/\mathbb{Z}_2$$

does the job. Then the canonical invariant connection on  $W_n = \mathrm{SU}(3) \times_{(U(1)_{k,l},\mu_n)} U(2)$  is  $A_c^n = n\eta/(\sqrt{6}s) \otimes \mathrm{diag}(i,0)$  and its curvature  $F_c^n = n\,d\eta/(\sqrt{6}s) \otimes \mathrm{diag}(i,0)$ . Then  $c_1(W_n) = [i\,\mathrm{tr}(F_n^c)] = -n[d\eta]/(\sqrt{6}s)$  with  $[d\eta]/(\sqrt{6}s)$  being the generator of  $H^2(X_{k,l},\mathbb{Z})$ , and so  $w_2(E_n) = n\pmod{2}$ . We now turn to the computation of  $p_1(E_n)$ , which besides  $c_1(W_n)$  also requires  $c_2(W_n)$ , which we can check to be zero using the formula  $\frac{1}{2}[\mathrm{tr}(F_n^c \wedge F_n^c) - \mathrm{tr}(F_n^c)^2]$ . Therefore, we conclude that  $p_1(E_n) = n^2 \in \mathbb{Z}_{k^2+l^2+kl}$ , finishing the proof of Lemma 39.

A short computation also yields:

Corollary 41 Let 
$$n_1 = k - l$$
,  $n_2 = l - m$ ,  $n_3 = m - k$ . Then 
$$w_2(E_{n_1}) = k - l \pmod{2}, \qquad p_1(E_{n_1}) = -3kl \pmod{k^2 + kl + l^2},$$
 
$$w_2(E_{n_2}) = k \pmod{2}, \qquad p_1(E_{n_2}) = -3k^2 \pmod{k^2 + kl + l^2},$$
 
$$w_2(E_{n_3}) = l \pmod{2}, \qquad p_1(E_{n_3}) = -3l^2 \pmod{k^2 + kl + l^2}.$$

# 4 Gauge theory on $X_{k,l}$ , with $(k, l) \neq (1, 1)$

This section is concerned with stating and proving the main results of our paper, namely Theorems 42 and 44, which classify all invariant  $G_2$ -instantons with gauge

groups U(1) and SO(3), for any  $G_2$ -structure  $\varphi \in \mathcal{C}$  as in Definition 30. Recall that, as proved in [9], for  $k \neq \pm l$ ,  $l \neq \pm m$ ,  $m \neq \pm k$ , these are in fact all the homogeneous coclosed  $G_2$ -structures on  $X_{k,l}$ . Then, in Theorem 46, we use the classification to show that in any Aloff-Wallach space as above, there are irreducible  $G_2$ -instantons, with gauge group SO(3), which as the  $G_2$ -structure varies merge into the same reducible and obstructed  $G_2$ -instanton. This phenomenon was expected to be possible and Theorem 46 gives plenty of explicit examples; see for instance Examples 48 and 49, together with their accompanying Figures 1 and 2, representing the merge of the  $G_2$ -instantons. As a consequence of Theorem 44 we give in Section 4.4 examples of Aloff-Wallach spaces where  $G_2$ -instantons can be used to distinguish between the two inequivalent strictly nearly parallel  $G_2$ -structures. More precisely, we show that in these examples there always exist invariant and irreducible  $G_2$ -instantons, which however live on topologically different SO(3)-bundles.

In Section 4.6, we fix (k,l) = (1,-1) and a nearly parallel  $G_2$ -structure on  $X_{1,-1}$ . After finding the corresponding invariant  $G_2$ -instantons we show that any irreducible such  $G_2$ -instanton is not a local minimum of the Yang-Mills functional. In fact, they are saddles of the invariant Yang-Mills functional.

## 4.1 $G_2$ -instantons

Before stating the main results we introduce some quantities which will simplify the notation later on:

$$\Gamma = A^{2}B^{2}(m-k) + A^{2}C^{2}(l-m) + B^{2}C^{2}(k-l),$$
  

$$\Delta = A^{2}B^{2}l + A^{2}C^{2}k + B^{2}C^{2}m.$$

Note that for a given Aloff-Wallach space  $X_{k,l}$  each of these quantities only depends on the  $G_2$ -structure (3-1) and varies continuously with it.

#### 4.2 Abelian case

We start below by stating the result classifying  $G_2$ -instantons with gauge group U(1). In this abelian case, some particular examples of the instantons appearing in our classification are already present in [20, Equation (3.29)]. In this case, the possible homogeneous bundles are parametrized by  $n \in \mathbb{Z}$ , which denotes the degree of the homomorphism  $\lambda_n \colon U(1)_{k,l} \to U(1)$  used to constructed the bundle  $Q_n = \mathrm{SU}(3) \times_{(U(1)_{k,l},\lambda_n)} U(1)$ .

**Theorem 42** (abelian case) Let  $(k, l) \neq (1, 1)$  and A be a  $G_2$ -instanton on a line bundle over  $X_{k,l}$  equipped with the  $G_2$ -structure (3-1). Then one of the following holds:

(1)  $\Delta \neq 0$ , in which case there is a unique  $G_2$ -instanton in any homogeneous line bundle. For instance, if A lives on the bundle associated with  $\lambda_n$ , its connection 1-form is

$$A = \frac{n}{2} \left( \frac{1}{\sqrt{6}s} \eta + \frac{\Gamma}{3\sqrt{2}s\Delta} \omega_4 \right).$$

- (2)  $\Delta = 0$ , but  $\Gamma \neq 0$ , in which case A lives in the trivial homogenous bundle (ie that associated with  $\lambda_0$ ), and A is simply one of the 1-forms  $b\omega_4$ , for some  $b \in \mathbb{R}$ .
- (3)  $\Delta = 0$  and  $\Gamma = 0$ , in which case there is a real 1-parameter family of such instantons on any homogeneous line bundle.

**Proof** Any abelian  $G_2$ -instanton can also be interpreted as a reducible SU(2)-instanton. Hence, we can use the formula for the connection in the previous section. More precisely, for the instanton to be reducible we must have  $a_1 = a_2 = a_3 = 0$ , so

$$A^n = \frac{n}{2\sqrt{6}s}\eta + b\omega_4.$$

Its curvature is

$$F^n = F_c^n + b \, d\omega_4,$$

where

$$F_c^n = -\frac{n}{12s^2}((k-l)\omega_{15} + (l-m)\omega_{26} + (m-k)\omega_{37}),$$
  
$$d\omega_4 = \frac{1}{\sqrt{2}s}(m\omega_{15} + k\omega_{26} + l\omega_{37}).$$

Then we write  $\psi = -D\omega_4 \wedge \Omega_2 + \frac{1}{2}\omega^2$ , with  $\Omega_2$  and  $\omega^2$  the pullbacks of differential forms on the flag manifold  $\mathbb{F}_2 = \mathrm{SU}(3)/T^2$ , and determined by this relation. As in Section 2.1.4, more precisely, (2-8), we compute that the  $G_2$ -instanton equation reduces to the equations

$$(F_c^n + b d\omega_4) \wedge \Omega_2 = 0$$
 and  $(F_c^n + b d\omega_4) \wedge \omega^2 = 0$ .

It is easy to check that  $F_c^n \wedge \Omega_2 = 0 = d\omega_4 \wedge \Omega_2$  always. We are, therefore, reduced to the second equation, which turns into

$$-n\Gamma + 6\sqrt{2}s\Delta b = 0,$$

where  $\Gamma$  and  $\Delta$  are as in the beginning of this section. In particular we see that  $F_c^n \wedge \Omega_2 = 0$  if and only if  $\Gamma = 0$  and  $d\omega_4 \wedge \Omega_2 = 0$  if and only if  $\Delta = 0$ . Therefore, if  $\Delta \neq 0$  there is exactly one SU(3)-invariant instanton, whose connection form is

$$A^{n} = \frac{n}{2} \left( \frac{1}{\sqrt{6}s} \eta + \frac{\Gamma}{3\sqrt{2}s\Delta} \omega_{4} \right) \otimes T_{1}.$$

However, if  $\Delta = 0$  there are no instantons unless  $n\Gamma = 0$  as well, in which case there is a 1-parameter family of instantons as we can chose b arbitrarily.

A few remarks are in order, related to how the existence of invariant abelian  $G_2$ -instantons varies with the  $G_2$ -structure.

**Remark 43** (1) For a fixed Aloff–Wallach space  $X_{k,l}$  both  $\Delta$  and  $\Gamma$  vary smoothly with the  $G_2$ –structure, and generically  $\Delta \neq 0$ . Note that  $\Delta = 0$  defines a hypersurface in the space of coclosed homogeneous  $G_2$ –structures.

- (2) Suppose that we vary the  $G_2$ -structure always keeping  $\Gamma \neq 0$ , but crossing the hypersurface defined by  $\Delta = 0$ . We see that the instantons on the bundles  $Q_n$ , for  $n \neq 0$ , "disappear" when  $\Delta = 0$  and "reappear" on the other side of the hypersurface.
- (3) For any (k, l) it is easy to find examples where the situation  $\Delta = 0 = \Gamma$  occurs. These equations, ie  $\Delta = 0$  and  $\Gamma = 0$ , can also be written as

$$A^{2}(B^{2} - C^{2})l = B^{2}(C^{2} - A^{2})k,$$

$$C^{2}(A^{2} - B^{2})(l - k) = A^{2}(B^{2} - C^{2})(k + l).$$

For example, it is easy to see that any  $G_2$ -structure having  $A^2 = B^2 = C^2$  satisfies these equations.

- (4) The conditions  $\Delta = 0$  and  $\Gamma = 0$  are independent of scaling the metric as expected.
- (5) Both  $\Gamma$  and  $\Delta$  are independent of D. This can be understood directly from the proof, as follows. Recall that  $(\omega, \Omega_2)$  induces an SU(3)-structure on the flag  $\mathbb{F}_2 = \mathrm{SU}(3)/T^2$ . Then it follows from the proof of Proposition 57 that

$$F_c^n \wedge \Omega_2 = 0 = d\omega_4 \wedge \Omega_2$$

always. Notice that both  $F_c^n$  and  $d\omega_4$  are the pullback of 2-forms from  $\mathbb{F}_2$ . Hence  $F_c^n \wedge \Omega_2$  and  $d\omega_4 \wedge \Omega_2$  measure the components of these 2-forms in  $\Lambda^{2,0}$  with respect to the complex structure on  $\mathbb{F}_2$  induced by  $\Omega_2$ . In particular, the canonical connection  $A_c^n$ , which is induced from a connection on  $\mathbb{F}_2$ , is always pseudoholomorphic.

Furthermore, the proof also shows that  $F_c^n \wedge \omega^2$  and  $d\omega_4 \wedge \omega^2$  are proportional to  $\Gamma$  and  $\Delta$  respectively. Given that  $F_c^n$  and  $d\omega_4$ , as 2-forms on  $\mathbb{F}_2$ , are of type (1,1), the constants  $\Gamma$  and  $\Delta$  measure the components of these 2-forms along  $\omega$ . Thus,  $A_c^n$  is pHYM with respect to  $(\omega, \Omega_2)$  if and only if  $\Gamma = 0$ .

(6) Any abelian connection can be written as a direct sum of connections with gauge group U(1), so there is no loss of generality in working with gauge group U(1) when investigating abelian connections.

#### 4.3 Nonabelian case

In this section we prove Theorem 44, which classifies invariant and irreducible  $G_2$ —instantons on SO(3)—bundles, with respect to the  $G_2$ —structures  $\varphi \in \mathcal{C}$  on the  $X_{k,l}$ , for  $k \neq \pm l$ ,  $l \neq \pm m$ ,  $m \neq \pm k$ . Recall that in these cases, the  $G_2$ —structures in  $\mathcal{C}$  are in fact all the homogeneous coclosed  $G_2$ —structures on  $X_{k,l}$ . Then we prove Theorem 46, which yields examples of irreducible  $G_2$ —instantons that, as the  $G_2$ —structure varies, merge into the same reducible and obstructed  $G_2$ —instanton (see also Examples 48 and 49).

The reason for focusing our attention on irreducible  $G_2$ -instantons is that any reducible one is already taken into consideration by Theorem 42. Recall, from the previous section, that the homogenous SO(3)-bundles are also parametrized by an integer  $n \in \mathbb{Z}$  and we denote them by  $P_n$ .

**Theorem 44** (nonabelian case) Let  $(k,l) \neq (1,1)$  and  $X_{k,l}$  be an Aloff-Wallach space equipped with one of the  $G_2$ -structures  $\varphi$  in (3-1) and  $n \in \mathbb{Z}$ . Then irreducible and invariant  $G_2$ -instantons on  $P_n$  exist if and only if:

(1) n = k - l and  $\sigma_1(\varphi) = 3(m/2 - s(AD)/(BC))\Delta + ((k-l)/2)\Gamma > 0$ , in which case the instantons have  $a_2 = a_3 = 0$ ,

$$a_1^2 = \frac{1}{12B^2C^2s^2} \left( 3\left(\frac{m}{2} - s\frac{AD}{BC}\right)\Delta + \frac{k-l}{2}\Gamma \right) \quad \text{and} \quad b = \frac{1}{\sqrt{2}} \left( -\frac{m}{2s} + \frac{AD}{BC}\right);$$

(2) n = l - m and  $\sigma_2(\varphi) = 3(k/2 - s(BD)/(AC))\Delta + ((l - m)/2)\Gamma > 0$ , in which case the instantons have  $a_1 = a_3 = 0$ ,

$$a_2^2 = \frac{1}{12A^2C^2s^2} \left( 3\left(\frac{k}{2} - s\frac{BD}{AC}\right) \Delta + \frac{l-m}{2}\Gamma \right)$$
 and  $b = \frac{1}{\sqrt{2}} \left( -\frac{k}{2s} + \frac{BD}{AC} \right);$ 

(3) n = m - k and  $\sigma_3(\varphi) = 3(l/2 - s(CD)/(AB))\Delta + ((m-k)/2)\Gamma > 0$ , in which case the instantons have  $a_1 = a_2 = 0$ ,

$$a_3^2 = \frac{1}{12B^2A^2s^2} \left( 3\left(\frac{l}{2} - s\frac{CD}{AB}\right)\Delta + \frac{m-k}{2}\Gamma \right) \quad \text{and} \quad b = \frac{1}{\sqrt{2}} \left( -\frac{l}{2s} + \frac{CD}{AB}\right).$$

**Proof** Let  $A^n$  be an irreducible, invariant  $G_2$ -instanton on  $P_n$  over  $X_{k,l}$ . In order to compute the instanton equations we must compute the curvature  $F^n$  first. This may be found by the formula

$$F^{n} = F_{c}^{n} + d_{A_{c}^{n}}(A^{n} - A_{c}^{n}) + \frac{1}{2}[A^{n} - A_{c}^{n}, A^{n} - A_{c}^{n}],$$

and the Maurer-Cartan equations. Our strategy for finding instantons will be simply to solve the equations  $F^n \wedge \psi = 0$  for the  $a_i$  and b in each of the cases listed above.

Case 0  $(n \neq k-l, l-m, m-k)$  Here  $a_1 = a_2 = a_3 = 0$ , so  $A^n$  is always reducible and we immediately deduce that for A to be irreducible we are reduced to one of the items in the statement. We also remark that the  $G_2$ -instantons arising from this case are precisely those from Theorem 42.

Case 1 (n = k - l) Here  $a_2 = a_3 = 0$ , and

$$A^{k-l} = \left(\frac{k-l}{2\sqrt{6}s}\eta + b\omega_4\right) \otimes T_1 + a_1(\omega_1 \otimes T_2 + \omega_5 \otimes T_3),$$

whose curvature  $F^{l-k}$  is

$$\begin{split} \frac{1}{12s^2} \Big( \big( -(k-l)^2 + 6\sqrt{2}smb + 24s^2a_1^2 \big) \omega_{15} + \big( -(k-l)(l-m) + 6\sqrt{2}skb \big) \omega_{26} \\ &+ \big( -(k-l)(m-k) + 6\sqrt{2}slb \big) \omega_{37} \Big) \otimes T_1 \\ &+ \frac{a_1}{\sqrt{2}} \Big( \omega_{23} - \omega_{67} - \Big( \frac{m}{s} + 2\sqrt{2}b \Big) \omega_{45} \Big) \otimes T_2 \\ &+ \frac{a_1}{\sqrt{2}} \Big( -\omega_{27} + \omega_{36} - \Big( \frac{m}{s} + 2\sqrt{2}b \Big) \omega_{14} \Big) \otimes T_3. \end{split}$$

The equations resulting from  $F^{k-l} \wedge \psi = 0$  are

$$6\sqrt{2}s\Delta b + 24B^2C^2s^2a_1^2 - (k-l)\Gamma = 0,$$
  
$$a_1BC(2ADs - BC(2\sqrt{2}sb + m)) = 0.$$

Hence, if  $a_1 = 0$  we obtain the same reducible instanton as in Case 0 and Theorem 42, while if  $a_1 \neq 0$ , the solutions satisfy

$$a_1^2 = \frac{1}{12B^2C^2s^2} \left( 3\left(\frac{m}{2} - s\frac{AD}{BC}\right) \Delta + \frac{k-l}{2}\Gamma \right),$$

$$b = \frac{1}{\sqrt{2}} \left( -\frac{m}{2s} + \frac{AD}{BC} \right).$$

Therefore, in this case the existence of SU(3)-invariant irreducible instantons depends on the sign of  $\sigma_1 = 3(m/2 - s(AD)/(BC))\Delta + ((k-l)/2)\Gamma$ .

Case 2 (n = l - m) As this case is very similar to Case 1, we will omit the details. We must have  $a_1 = a_3 = 0$  and if  $a_2 \neq 0$ , solutions to  $F^{l-m} \wedge \psi = 0$  must satisfy

$$a_{2}^{2} = \frac{1}{12A^{2}C^{2}s^{2}} \left( 3\left(\frac{k}{2} - s\frac{BD}{AC}\right) \Delta + \frac{l - m}{2}\Gamma \right),$$

$$b = \frac{1}{\sqrt{2}} \left( -\frac{k}{2s} + \frac{BD}{AC} \right).$$

The sign of  $\sigma_2 = 3(k/2 - s(BD)/(AC))\Delta + ((l-m)/2)\Gamma$  determines whether solutions exist.

Case 3 (n=m-k) Again, we will omit the details. Now  $a_1=a_2=0$  and if  $a_3 \neq 0$ , the equation  $F^{m-k} \wedge \psi = 0$  gives

$$a_3^2 = \frac{1}{12B^2A^2s^2} \left( 3\left(\frac{l}{2} - s\frac{CD}{AB}\right) \Delta + \frac{m - k}{2}\Gamma \right),$$

$$b = \frac{1}{\sqrt{2}} \left( -\frac{l}{2s} + \frac{CD}{AB} \right).$$

The sign of  $\sigma_3 = 3(l/2 - s(CD)/(AB))\Delta + ((m-k)/2)\Gamma$  determines whether solutions exist.

Case 4 (n = m - k = l - m), and so n = l = -k Recall that in this case we have an angle parameter  $\beta$ . Then the equation  $F^l \wedge \psi = 0$  becomes

$$6\sqrt{2}s\Delta b + 24A^2s^2(B^2a_3^2 + C^2a_2^2) - l\Gamma = 0,$$

$$a_2(2BDs + AC(-2\sqrt{2}sb + l)) = 0,$$

$$a_3\sin(\beta)(2CDs - AB(2\sqrt{2}sb + l)) = 0,$$

$$a_3\cos(\beta)(2CDs - AB(2\sqrt{2}sb + l)) = 0.$$

Squaring and summing the last two equations we are left with

$$a_3(2CDs - AB(2\sqrt{2}sb + l)) = 0.$$

This together with the second equation then implies that either  $a_3 = 0$  or  $a_2 = 0$ , in which case we can then use an invariant gauge transformation to set  $\beta = 0$ . We have then reduced this case to Cases 2 and 3 above. In particular, the existence of  $G_2$ -instantons is determined by the signs of  $\sigma_3$  and  $\sigma_2$  (note that here we have l = -k).

**Cases 5 and 6** These cases exhibit the same phenomena as in the last one and so reduce to Cases 1, 2 and 4 above. □

**Remark 45** Fix  $X_{k,l}$  and the bundle  $P_{k-l}$ . Then Theorem 44(1) shows that for a  $G_2$ -structure  $\varphi$  such that  $\sigma_1(\varphi)>0$  there are two irreducible  $G_2$ -instantons. In addition, we also have a reducible  $G_2$ -instanton given by Theorem 42 (with n=k-l). Varying  $\varphi$  so that  $\sigma_1(\varphi)\searrow 0$ , the two irreducible, invariant  $G_2$ -instantons existent when  $\sigma_1>0$  merge with the reducible abelian  $G_2$ -instanton from Theorem 42. Indeed, it is easy to check that if  $\sigma_1=0$  (and  $\Delta\neq 0$ ) then  $a_1=0$  and  $b=n\Gamma/(6\sqrt{2}s\Delta)$ . We shall see below that the resulting  $G_2$ -instanton is obstructed. From Theorem 44(2)-(3), a similar phenomena holds on the bundles  $P_{l-m}$  and  $P_{m-k}$ .

**Theorem 46** Let n = k - l, and suppose  $\{\varphi(s)\}_{s \in \mathbb{R}}$  is a continuous family of homogeneous, coclosed  $G_2$ -structures such that  $\sigma_1(\varphi(s)) > 0$  for s < 0 and  $\sigma_1(\varphi(s)) < 0$  for s > 0. Then, as  $s \nearrow 0$ , the two irreducible  $G_2$ -instantons on  $P_n$  from Theorem 44 merge and become the same reducible and obstructed  $G_2$ -instanton when  $s \ge 0$ .

**Proof** Recall that an invariant connection on  $P_{k-l}$  can be written as

$$A = A_c^{k-l} + b\omega_4 \otimes T_1 + a_1(\omega_1 \otimes T_2 + \omega_5 \otimes T_3).$$

Similarly, an invariant 1-form with values in the adjoint bundle can be written as

$$a = x\omega_4 \otimes T_1 + y(\omega_1 \otimes T_2 + \omega_5 \otimes T_3),$$

for some  $x, y \in \mathbb{R}$ . Using these it is easy to compute

$$\begin{aligned} d_{A}a &= (x \, d\omega_{4} + 4a_{1} y \omega_{1} \wedge \omega_{5}) \otimes T_{1} \\ &+ \left( y \left( d\omega_{1} - \frac{k-l}{\sqrt{6}s} \eta \wedge \omega_{5} \right) + 2(by + xa_{1}) \omega_{5} \wedge \omega_{4} \right) \otimes T_{2} \\ &+ \left( y \left( d\omega_{5} + \frac{k-l}{\sqrt{6}s} \eta \wedge \omega_{1} \right) - 2(by + xa_{1}) \omega_{1} \wedge \omega_{4} \right) \otimes T_{3}. \end{aligned}$$

We are now ready to find the invariant Lie algebra valued 1-forms a which lie in the cokernel of the deformation operator of the  $G_2$ -instanton equation  $L(\cdot) = *(d_A \cdot \wedge \psi)$ .

As the  $G_2$ -structure is coclosed L is self-adjoint and we can identify the cokernel with its own kernel. Hence  $a \in \ker(L)$  if and only if  $d_A a \wedge \psi = 0$ , which we compute to be equivalent to

$$\sqrt{2}\Delta x + 8B^2C^2sa_1y = 0,$$

$$(4-2) -4BCsa_1x + \left(\sqrt{2}\left(2\frac{AD}{BC}s - m\right) - 4sb\right)BCy = 0.$$

Hence, there is a nonzero solution (x, y) if and only if the linear operator in the left-hand side of (4-1)–(4-2) is not invertible, ie its determinant vanishes:

$$(4-3) 32B^3C^3s^2a_1^2 + (4ADs - 2BCm - 4\sqrt{2}BCbs)\Delta = 0.$$

Inserting into (4-3) the formulas in Theorem 44 for the reducible instantons when n = k - l we obtain

$$\frac{8}{3}BCs^2\sigma_1=0,$$

which holds if and only if  $\sigma_1 = 0$ . We have thus proved that as the instantons from Theorem 44 on  $P_{k-l}$  merge, when  $\sigma_1 = 0$  they become reducible and obstructed before disappearing.

**Remark 47** A similar statement to Theorem 46 holds for n = l - m and n = m - k, with  $\sigma_1$  replaced by  $\sigma_2$  and  $\sigma_3$  respectively.

Here are two examples of this phenomenon.

**Example 48** On the Aloff–Wallach space  $X_{1,-1}$  consider the  $G_2$ –structures given by B=1, C=1 and D=1 with A allowed to vary freely in order to make  $\sigma_1$  change sign. Then, as A varies, the condition for irreducible  $G_2$ –instantons on  $P_2$  to exist is that  $\sigma_1(\varphi)=2(1-A^2)$  be positive, which happens if and only if  $A^2<1$ . See Figure 1 for a plot of  $a_1$  (the "irreducible part" of the connections) as A varies. There one can clearly see that the irreducible  $G_2$ –instantons merge into the same reducible and obstructed (by Theorem 46)  $G_2$ –instanton.

**Example 49** Similarly we consider  $G_2$ -instantons on  $P_6$  over  $X_{1,-5}$ , equipped with the  $G_2$ -structures having B=C=D=1. In this case the existence of irreducible  $G_2$ -instantons is controlled by the positivity of  $\sigma_1(\varphi)=(A^2-1)(12\sqrt{7}A-42)$ , which is positive if and only if  $A^2<1$  or  $A>\frac{\sqrt{7}}{2}$ . Figure 2 illustrates the two irreducible  $G_2$ -instantons merging into the same reducible and obstructed  $G_2$ -instanton.

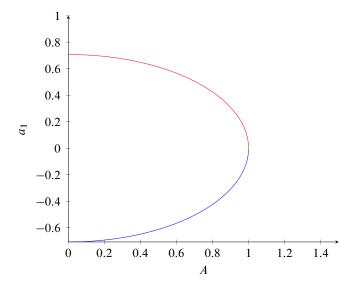


Figure 1: Instantons on  $P_2$  over  $X_{1,-1}$ 

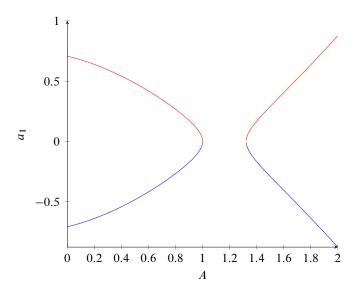


Figure 2: Instantons on  $P_6$  over  $X_{1,-5}$ 

**Remark 50** The phenomenon described above can be interpreted as the  $G_2$  analogue of a family of stable holomorphic bundles in a Kähler manifold, that become polystable as either the Kähler metric or the complex structure varies; see for example [2] and [3].<sup>3</sup>

<sup>&</sup>lt;sup>3</sup>We thank Mark Stern for these references.

### 4.4 Distinguishing strictly nearly parallel structures

Suppose that  $k \neq \pm l$ ,  $l \neq \pm m$ ,  $m \neq \pm k$ . As remarked in Section 3, it is shown in [9] that the system (3-2) yields two inequivalent solutions  $\varphi^{\mathrm{np_1}}, \varphi^{\mathrm{np_2}} \in \mathcal{C}$ , which are strictly nearly parallel. In this section we will give examples of  $X_{k,l}$  where the  $G_2$ -instantons can be used to distinguish between  $\varphi^{\mathrm{np_1}}$  and  $\varphi^{\mathrm{np_2}}$ . More precisely, we shall prove that in many examples of k and l the structures  $\varphi^{\mathrm{np_1}}$  and  $\varphi^{\mathrm{np_2}}$  do admit invariant and irreducible  $G_2$ -instantons with gauge group SO(3). However, the  $G_2$ -instantons live on topologically different SO(3)-bundles.

To fix notation, let  $\varphi^+$  denote the solution of (3-2) that satisfies  $C(\varphi^+) > 0$  and  $D(\varphi^+) > 0$ , and let  $\varphi^-$  denote the solution satisfying  $C(\varphi^-) > 0$  and  $D(\varphi^-) < 0$ . Let  $A^\pm, \ldots, D^\pm$  denote the parameters determining the nearly parallel  $G_2$ -structures  $\varphi^\pm$ . While it is possible to solve equations (3-2) symbolically, the resulting formulas are extremely unwieldy, so we will instead just give approximations.

Example 51 
$$(k = 1, l = 2)$$
 On  $X_{1,2}$ ,  
 $A^+ = 2.822, \quad B^+ = 2.296, \quad C^+ = 1.797, \quad D^+ = 2.496,$   
 $\sigma_1(\varphi^+) = -694.918, \quad \sigma_2(\varphi^+) = -357.130, \quad \sigma_3(\varphi^+) = 102.969,$ 

while

$$A^{-} = 1.699, \quad B^{-} = 2.639, \quad C^{-} = 2.720, \quad D^{-} = -1.727,$$
  
 $\sigma_{1}(\varphi^{-}) = 257.213, \quad \sigma_{2}(\varphi^{-}) = -623.289, \quad \sigma_{3}(\varphi^{-}) = -676.142.$ 

Hence, Theorem 44 implies that for  $\varphi^+$ , irreducible, invariant  $G_2$ -instantons exist only on the bundle  $P_{-4}$ , while for  $\varphi^-$ , irreducible, invariant  $G_2$ -instantons exist only on the bundle  $P_{-1}$ . These bundles are topologically distinct: indeed using the formulas from Corollary 41 we find that  $w_2(E_{-4}) = 0 \pmod{2}$  and  $p_1(E_{-4}) = 2 \pmod{7}$ , while  $w_2(E_{-1}) = 1 \pmod{2}$  and  $p_1(E_{-1}) = 1 \pmod{7}$ .

**Example 52** 
$$(k = 1, l = 3)$$
 On  $X_{1,3}$ ,

$$A^+ = 2.813, \quad B^+ = 2.385, \quad C^+ = 1.760, \quad D^+ = 2.304,$$
  
 $\sigma_1(\varphi^+) = -1304.737, \quad \sigma_2(\varphi^+) = -794.177, \quad \sigma_3(\varphi^+) = 286.314,$ 

while

$$A^- = 1.702, \quad B^- = 2.615, \quad C^- = 2.737, \quad D^- = -1.764,$$
  
 $\sigma_1(\varphi^-) = 468.212, \quad \sigma_2(\varphi^-) = -1124.808, \quad \sigma_3(\varphi^-) = -1272.289.$ 

Hence, for  $\varphi^+$ , irreducible, invariant  $G_2$ -instantons exist only on the bundle  $P_{-5}$ , while for  $\varphi^-$ , irreducible, invariant  $G_2$ -instantons exist only on the bundle  $P_{-2}$ . The bundles are topologically distinct:  $w_2(E_{-5}) = 1 \pmod 2$  and  $p_1(E_{-5}) = 12 \pmod 13$ , while  $w_2(E_{-2}) = 0 \pmod 2$  and  $p_1(E_{-2}) = 4 \pmod 13$ .

**Example 53** (k = 1, l = 4) On  $X_{1,4}$ ,

$$A^{+} = 2.806, \quad B^{+} = 2.425, \quad C^{+} = 1.746, \quad D^{+} = 2.208,$$
  
 $\sigma_{1}(\varphi^{+}) = -2113.761, \quad \sigma_{2}(\varphi^{+}) = -1378.207, \quad \sigma_{3}(\varphi^{+}) = 526.442,$ 

while

$$A^{-} = 1.011, \quad B^{-} = 2.425, \quad C^{-} = 1.746, \quad D^{-} = -1.792,$$
  
 $\sigma_{1}(\varphi^{-}) = 349.253, \quad \sigma_{2}(\varphi^{-}) = -1593.714, \quad \sigma_{3}(\varphi^{-}) = -823.167.$ 

Hence, for  $\varphi^+$ , irreducible, invariant  $G_2$ -instantons exist only on the bundle  $P_{-6}$ , while for  $\varphi^-$ , irreducible, invariant  $G_2$ -instantons exist only on the bundle  $P_{-3}$ . The bundles are topologically distinct:  $w_2(E_{-6}) = 0 \pmod{2}$  and  $p_1(E_{-6}) = 15 \pmod{21}$ , while  $w_2(E_{-3}) = 1 \pmod{2}$  and  $p_1(E_{-3}) = 9 \pmod{21}$ .

**Example 54** (k = 2, l = 3) On  $X_{2,3}$ ,

$$A^+ = 2.827, \quad B^+ = 2.197, \quad C^+ = 1.848, \quad D^+ = 2.668,$$
  
 $\sigma_1(\varphi^+) = -1857.936, \quad \sigma_2(\varphi^+) = -753.703, \quad \sigma_3(\varphi^+) = 107.336,$ 

while

$$A^- = 1.698, \quad B^- = 2.658, \quad C^- = 2.707, \quad D^- = -1.708,$$
  
 $\sigma_1(\varphi^-) = 705.209, \quad \sigma_2(\varphi^-) = -1726.540, \quad \sigma_3(\varphi^-) = -1812.541.$ 

Hence, for  $\varphi^+$ , irreducible, invariant  $G_2$ -instantons exist only on the bundle  $P_{-7}$ , while for  $\varphi^-$ , irreducible, invariant  $G_2$ -instantons exist only on the bundle  $P_{-1}$ . The bundles are topologically distinct:  $w_2(E_{-7}) = 1 \pmod 2$  and  $p_1(E_{-7}) = 11 \pmod 19$ , while  $w_2(E_{-1}) = 1 \pmod 2$  and  $p_1(E_{-1}) = 1 \pmod 19$ .

**Example 55** (k = 2, l = 11) On  $X_{2,11}$ ,

$$A^{+} = 2.800, \quad B^{+} = 2.456, \quad C^{+} = 1.736, \quad D^{+} = 2.132,$$
  
 $\sigma_{1}(\varphi^{+}) = -14809.573, \quad \sigma_{2}(\varphi^{+}) = -10158.191, \quad \sigma_{3}(\varphi^{+}) = 4009.812,$ 

while

$$A^{-} = 1.706, B^{-} = 2.584, C^{-} = 2.755, E^{-} = -1.823,$$
  
 $\sigma_{1}(\varphi^{-}) = 5116.368, \quad \sigma_{2}(\varphi^{-}) = -12243.994, \quad \sigma_{3}(\varphi^{-}) = -14559.716.$ 

Hence, for  $\varphi^+$ , irreducible, invariant  $G_2$ -instantons exist only on the bundle  $P_{-15}$ , while for  $\varphi^-$ , irreducible, invariant  $G_2$ -instantons exist only on the bundle  $P_{-9}$ . The bundles are topologically distinct:  $w_2(E_{-15}) = 1 \pmod{2}$  and  $p_1(E_{-15}) = 78 \pmod{147}$ , while  $w_2(E_{-9}) = 1 \pmod{2}$  and  $p_1(E_{-9}) = 81 \pmod{147}$ .

**Remark 56** We did not find any Aloff–Wallach space for which one of the strictly nearly parallel  $G_2$ –structures does not admit irreducible, invariant  $G_2$ –instantons with gauge group SO(3).

### 4.5 Yang-Mills connections

It is interesting to consider the question: what conditions on a  $G_2$ -structure ensure that a  $G_2$ -instanton is a Yang-Mills connection? Proposition 8 says that this is the case for parallel and nearly parallel  $G_2$ -structures. In this section we shall characterize the homogeneous coclosed  $G_2$ -structures  $\varphi \in \mathcal{C}$  for which an abelian  $G_2$ -instanton is a critical point for the Yang-Mills energy.

**Proposition 57** Equip  $X_{k,l}$  with a  $G_2$ -structure (3-1) such that  $\Delta \neq 0$ . Let  $A^n$  be the unique  $G_2$ -instanton on the line bundle associated with  $\lambda_n$ . Then A is a critical point for the Yang-Mills energy if and only if the  $G_2$ -structure satisfies

$$(4-4) A^2 B^2 (A^2 - B^2) l + A^2 C^2 (C^2 - A^2) k + B^2 C^2 (B^2 - C^2) m = 0.$$

**Proof** From the proof of Theorem 42 we have

$$A^{n} = \frac{n}{2} \left( \frac{1}{\sqrt{6}s} \eta + \frac{\Gamma}{3\sqrt{2}s\Lambda} \omega_{4} \right) \otimes T_{1}.$$

The Yang-Mills energy for an invariant abelian connection

$$A^n = \left(\frac{n}{2\sqrt{6}s}\eta + b\omega_4\right) \otimes T_1$$

is

$$E(b) = \frac{1}{144s^4} \left( \frac{1}{A^4} (6\sqrt{2}bms - n(k-l))^2 + \frac{1}{B^4} (6\sqrt{2}bms - n(l-m))^2 + \frac{1}{C^4} (6\sqrt{2}bms - n(m-k))^2 \right).$$

Then we require that at the  $G_2$ -instanton, ie when  $b = n\Gamma/(6\sqrt{2}s\Delta)$ , there be a critical point of E(b), which immediately yields (4-4).

For completeness we also remark that, in general, the critical points of E have

$$b = -\frac{n}{6\sqrt{2}s} \frac{A^4 B^4 l(k-m) + A^4 C^4 k(m-l) + B^4 C^4 m(l-k)}{A^4 B^4 l^2 + A^4 C^4 k^2 + B^4 C^4 m^2}.$$

**Remark 58** (1) If  $\Delta = 0$  then only one of the  $G_2$ -instantons in the 1-parameter family described in Theorem 42 is a critical point for the Yang-Mills energy.

- (2) For a given  $X_{k,l}$  condition (4-4) describes a hypersurface in the space of homogeneous coclosed  $G_2$ -structures, containing the nearly parallel  $G_2$ -structures.
- (3) One can carry out a similar analysis to determine conditions on the  $G_2$ -structure so that the irreducible  $G_2$ -instantons described in Theorem 44 are Yang-Mills. The space of such  $G_2$ -structures is cut out in  $\mathcal{C}$  by two real algebraic equations.

# **4.6** For a nearly parallel structure on $X_{1,-1}$

We shall now see an example of a nearly parallel  $G_2$ -structure on an Aloff-Wallach space, namely  $X_{1,-1}$ , for which instantons do exist and do not minimize the Yang-Mills-Higgs energy.

**4.6.1**  $G_2$ -instantons The precise statement we shall prove in this section is:

**Theorem 59** Let  $\varphi$  be the nearly parallel  $G_2$ -structure on  $X_{1,-1}$ .

- (1) For each n, there is a unique, invariant,  $G_2$ -instanton on the line bundle  $L_n = SU(3) \times_{U(1)_{1,-1},\rho_n} \mathbb{C}$ .
- (2) Let A be an irreducible and invariant  $G_2$ -instanton, with gauge group SO(3) on  $X_{1,-1}$ . Then A lives on the bundle  $P_{-1}$ . Moreover, such instantons do exist.

The rest of this section is dedicated to proving this result. First we must obtain the strictly nearly parallel  $G_2$ -structure on  $X_{1,-1}$ . This is of the form (3-1), with

$$A = -4\sqrt{\frac{2}{5}}, \quad B = \frac{4}{15}\sqrt{75 + 15\sqrt{5}}, \quad C = -\frac{4}{15}\sqrt{75 - 15\sqrt{5}}, \quad D = -\frac{16}{45}\sqrt{30},$$

as a straightforward computation shows. We shall now compute  $G_2$ -instantons for this structure, starting with abelian ones on the bundles  $L_n = SU(3) \times_{\lambda_n} \mathbb{C}$ . The

invariant connections are of the form  $\frac{n}{2}\eta + a_4\omega_4$  and the  $G_2$ -instanton equation  $(\frac{n}{2}d\eta + a_4 d\omega_4) \wedge \psi = 0$  gives

$$\frac{256}{135}\sqrt{6}\left(\sqrt{3}n - \frac{18}{\sqrt{5}}a_4\right)\omega_{1234567} = 0.$$

Hence, we must have  $a_4 = n \frac{\sqrt{15}}{18}$  and the resulting  $G_2$ -instanton has curvature

$$F = \frac{1}{\sqrt{2}}n(-\omega_{15} + \frac{1}{2}(\omega_{26} + \omega_{37}) + \frac{\sqrt{5}}{6}(\omega_{26} - \omega_{37})).$$

We turn now to nonabelian  $G_2$ -instantons, namely those with gauge group SO(3) that we constructed before. We start by considering the case n=k-l=2, ie instantons on the bundle on  $P_2=\mathrm{SU}(3)\times_{\lambda_2}\mathrm{SO}(3)$ . Inserting the  $A,\,B,\,C$  and D associated with the nearly parallel  $G_2$ -structure into our general formula one can check that the quantity inside the square root is negative and so there are no invariant, irreducible,  $G_2$ -instantons on  $P_2$ . In fact, to be a little more explicit we shall explain all the steps underlying that computation in this case. First, the more general invariant connection on  $P_2$  has  $a_2=a_3=0$  and so is of the form

$$A = \left(\frac{1}{\sqrt{6}}\eta + a_4\omega_4\right) \otimes T_1 + a_1(\omega_1 \otimes T_2 + \omega_5 \otimes T_3).$$

We compute its curvature  $F_A$  as before and set  $F_A \wedge \psi = 0$ , which yields the equations

$$3\sqrt{30}a_4 - 20a_1^2 - 5 = 0,$$

$$(4-6) a_1(2\sqrt{2} + \sqrt{15}a_4) = 0.$$

From (4-6) we see that either  $a_1=0$ , in which case the connection is reducible, or  $a_4=-2\sqrt{\frac{2}{15}}$ . Inserting this into (4-5) we then have to solve  $-20a_1^2-17=0$ , which has no real solutions. Alternatively we could have just found that  $\sigma_1=-\frac{14336}{225}$ , whose being negative shows that there are no irreducible instantons on  $P_2$ .

We analyze now the case when n = l - m or n = m - k as in both these cases we have n = -1. In this case an invariant connection must have  $a_1 = 0$ , while  $a_2$  and  $a_3$  can be nonzero. However, as we have seen in our analysis of the general case, the  $G_2$ -instanton equations imply that at least one of these vanishes. In fact, after inserting the values of A, B, C and D into the formulas of Theorem 44, we can check that  $\sigma_2 < 0$  and  $\sigma_3 > 0$ . Hence, there are irreducible  $G_2$ -instantons and any such has  $a_2 = 0$ ,

$$a_3 = \pm \frac{1}{6}\sqrt{-21 + 12\sqrt{5}}$$
 and  $a_4 = -\frac{\sqrt{6}}{36}(4\sqrt{5} - 13)$ .

The quantities appearing inside the square root are positive and so these solutions do correspond to genuine  $G_2$ -instantons for the nearly parallel  $G_2$ -structure on  $X_{1,-1}$ . For completeness we write the curvature of such an instanton in the usual way with

$$F_{1} = \frac{1}{2}\omega_{15} + \left(\frac{5}{6} - \frac{1}{3}\sqrt{5}\right)\omega_{26} + \left(-\frac{5}{2} + \sqrt{5}\right)\omega_{37},$$

$$F_{2} = \pm \frac{\sqrt{2}}{12}\sqrt{-21 + 12\sqrt{5}}\left(\omega_{12} - \omega_{56} + \frac{4\sqrt{3}}{9}(-1 + \sqrt{5})\omega_{47}\right),$$

$$F_{3} = \pm \frac{\sqrt{2}}{12}\sqrt{-21 + 12\sqrt{5}}\left(-\omega_{16} + \omega_{25} + \frac{4\sqrt{3}}{9}(-1 + \sqrt{5})\omega_{34}\right).$$

**4.6.2** Yang–Mills unstable  $G_2$ -instantons Let A be a  $G_2$ -instanton for a nearly parallel or torsion-free  $G_2$ -structure  $\varphi$ , ie such that  $d\varphi = \lambda \psi$  for  $\lambda \in \mathbb{R}$ . We have seen, in Proposition 8, that such  $G_2$ -instantons are actually Yang–Mills connections. Moreover, (2-4) and the subsequent discussion show that in the torsion-free case a  $G_2$ -instanton minimizes the Yang–Mills energy, and so is Yang–Mills stable. That need not be the case for nearly parallel  $G_2$ -structures as we now show with a counterexample on the nearly parallel  $X_{1,-1}$ .

**Proposition 60** The irreducible  $G_2$ -instantons constructed in the second item of Theorem 59, over the nearly parallel  $X_{1,-1}$ , are unstable as Yang-Mills connections.

**Proof** In order to demonstrate instability, it will be sufficient to consider the Yang–Mills energy only for invariant connections with  $a_2 = 0$ . We will denote  $a_3$  simply by a. The Yang–Mills energy for the connection

$$A^{-1} = \left(-\frac{\eta}{2\sqrt{6}} + b\omega_4\right) \otimes T_1 + a(\omega_3 \otimes T_2 + \omega_7 \otimes T_3)$$

on  $P_{-1}$  is

$$E(a,b) = \frac{25}{4096} + \frac{15}{65536}(3 - \sqrt{5})(12b - \sqrt{6})^2 + \frac{45}{32768}(3 + \sqrt{5})(8a^2 - 2\sqrt{6}b - 1)^2 + \frac{15}{1024}a^2(5 - \sqrt{5}) + \frac{405}{65536}a^2(5 + \sqrt{5})(4b - \sqrt{6})^2.$$

A routine calculation shows that, as expected from Proposition 8, the  $G_2$ -instantons at

$$a = \pm \frac{1}{6}\sqrt{-21 + 12\sqrt{5}}$$
 and  $b = -\frac{\sqrt{6}}{36}(4\sqrt{5} - 13)$ 

are critical points for this energy. For both of these  $G_2$ -instantons the determinant and trace of the Hessian of E(a,b) are

$$\det(\operatorname{Hess}(E)) = \frac{196425}{524288} - \frac{83025}{262144}\sqrt{5} < 0 \quad \text{and} \quad \operatorname{tr}(\operatorname{Hess}(E)) = \frac{735}{8192} + \frac{4155}{8192}\sqrt{5} > 0.$$

Thus they are critical points of index one, hence unstable as Yang–Mills connections.

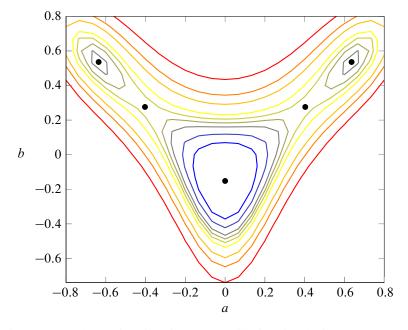


Figure 3: Level sets of the invariant Yang–Mills functional with  $a_2 = 0$ . One can see three local minima. The global minimum is on top and is a reducible  $G_2$ –instanton. There are also two saddles which lie on straight lines from the reducible  $G_2$ –instanton to the other local minima. Those saddle points correspond to the irreducible  $G_2$ –instantons.

- **Remark 61** (1) It is not difficult to check that the reducible  $G_2$ -instanton is the global minimum for the Yang-Mills energy among all invariant connections on the bundle  $P_{-1}$  (ie even when  $a_2 \neq 0$ ).
  - (2) When restricting to the  $a_2 = 0$  case there are three local minima of the Yang–Mills energy: the reducible  $G_2$ -instanton, and a pair of Yang–Mills connections that are not  $G_2$ -instantons; see Figure 3. The two irreducible  $G_2$ -instantons are the two saddles in that figure.

# 5 Gauge theory on $X_{1,1}$

In this section we study  $G_2$ —instantons on  $X_{1,1}$ , with respect to the  $G_2$ -structures (3-1). This case was excluded from the previous section, since here the existence result for invariant connections, Lemma 38, requires a separate analysis. We further remark that in the case (k, l) = (1, 1), the form (3-1) for the  $G_2$ -structure does not yield the most general homogeneous coclosed  $G_2$ -structure. We start by proving Theorems 62 and 64,

which are the analogues of Theorems 42 and 44, classifying abelian and nonabelian  $G_2$ -instantons on  $X_{1,1}$ . Then, in Theorem 65, we prove that the same phenomenon as in Theorem 46 occurs in the case of  $X_{1,1}$ . Namely, we prove that on  $X_{1,1}$  there are irreducible invariant  $G_2$ -instantons, with gauge group SO(3), that as the  $G_2$ -structure varies merge into the same reducible and obstructed one.

Then, in Section 5.3, we specialize to a certain subfamily of  $G_2$ -structures in  $\mathcal C$  and write down the explicit formulas for the  $G_2$ -instantons in this subfamily. The main results here are Theorems 67 and 69. In particular, this last one proves that there are two bundles (one of which is the trivial one) carrying irreducible  $G_2$ -instantons, with gauge group SO(3), for a continuous family of  $G_2$ -structures. Also, we prove in Theorem 71 that as the fibers of a projection  $\pi\colon X_{1,1}\to\mathbb{CP}^2$  collapse, the irreducible  $G_2$ -instantons in the trivial bundle converge to the pullback of a connection from  $\mathbb{CP}^2$ . We also show this cannot be true for the  $G_2$ -instantons in the other bundle. Finally, in Corollary 73 we prove that while there are no invariant irreducible  $G_2$ -instantons with gauge group SO(3) for the 3-Sasakian structure on  $X_{1,1}$ , these do exist for the strictly nearly parallel one.

#### 5.1 Abelian case

The following theorem is the analogue of Theorem 42, classifying invariant  $G_2$ -instantons on  $X_{1,1}$  with gauge group U(1). Note that for (k, l) = (1, 1),

$$\Gamma = 3A^2(C^2 - B^2)$$
 and  $\Delta = A^2B^2 + A^2C^2 - 2B^2C^2$ .

**Theorem 62** Equip  $X_{1,1}$  with the  $G_2$ -structure (3-1). Let  $A^n$  be an invariant  $G_2$ -instanton on the line bundle  $Q_n$  over  $X_{1,1}$ . Then:

- (1) If  $AD + BC \neq 0$ , then one of the following holds:
  - (a)  $\Delta \neq 0$ , in which case  $A^n$  is the unique  $G_2$ -instanton on  $Q_n$ . Its connection 1-form is

$$A^{n} = \frac{n}{2} \left( \frac{1}{\sqrt{6}} \eta + \frac{\Gamma}{3\sqrt{2}\Delta} \omega_{4} \right).$$

- (b)  $\Delta = 0$ , but  $\Gamma \neq 0$ , in which case n = 0 and so A lives in the trivial homogenous bundle (ie that associated with  $\lambda_0$ ), and  $A^n$  is simply one of the 1-forms  $b\omega_4$ , for some  $b \in \mathbb{R}$ .
- (c)  $\Delta = 0$  and  $\Gamma = 0$ , in which case there is a real 1-parameter family of such instantons on each  $Q_n$ .

- (2) If AD + BC = 0, then one of the following holds:
  - (a)  $\Delta \neq 0$ , in which case there is a real 2-parameter family of such  $G_2$ instantons on  $Q_n$ , and  $A^n$  is given by

$$A^{n} = \frac{n}{2} \left( \frac{1}{\sqrt{6}} \eta + \frac{\Gamma}{3\sqrt{2}\Delta} \omega_4 + a_1 \omega_1 + a_5 \omega_5 \right),$$

for some  $a_1, a_5 \in \mathbb{R}$ .

- (b)  $\Delta = 0$ , but  $\Gamma \neq 0$ , in which case n = 0 and so A lives in the trivial homogenous bundle (ie that associated with  $\lambda_0$ ), and A is simply one of the 1-forms  $b\omega_4 + a_1\omega_1 + a_5\omega_5$ , for some  $a_1, a_5, b \in \mathbb{R}$ .
- (c)  $\Delta = 0$  and  $\Gamma = 0$ , in which case there is a real 3-parameter family of such instantons on each  $Q_n$ .

**Proof** Any abelian  $G_2$ -instanton can be interpreted as a reducible SO(3)-instanton. Hence, we can use the formulas from Section 3.2.2 for the connection form

$$A^{n} = \frac{n}{2} \frac{\eta}{\sqrt{6}} + b\omega_{4} + a_{1}\omega_{1} + a_{5}\omega_{5}.$$

For this connection the 6-form  $F^n \wedge \psi$  becomes

$$\sqrt{2}BC(AD + BC)(a_1\omega_{234567} + a_5\omega_{123467}) + (\frac{1}{\sqrt{2}}\Delta a_4 - \frac{1}{12}n\Gamma)\omega_{123567}.$$

If we equate this to zero, then the result follows from splitting into the various possible cases and simple algebraic manipulations.

- **Remark 63** The condition that  $\Delta = 0 = \Gamma$  and AD + BC = 0 can occur. Take for example a  $G_2$ -structure with A = B = C and D = -A. In this case there is a 3-parameter family of invariant  $G_2$ -instantons on any complex line bundle over  $X_{1,1}$ .
  - The existence of this real 3-parameter family for these  $G_2$ -structures can be understood in light of Proposition 17.

#### 5.2 Nonabelian case

Next we have the analogue of Theorem 44, classifying invariant, irreducible  $G_2$ -instantons over  $X_{1,1}$  with gauge group SU(2).

**Theorem 64** Equip  $X_{1,1}$  with the  $G_2$ -structure (3-1). Then invariant, irreducible  $G_2$ -instantons exist on the bundle  $P_{\lambda_n}$  if and only if:

(1) n = 0 and  $-\Delta(1 + (AD)/(BC)) > 0$ , in which case the  $G_2$ -instanton has connection 1-form

$$A^{0} = a_{4}\omega_{4} \otimes T_{1} + a_{1}(\omega_{1} \otimes T_{2} + \omega_{5} \otimes T_{3}),$$

where the  $a_i$  satisfy

$$a_1^2 = \frac{-\Delta}{4B^2C^2} \left( 1 + \frac{AD}{BC} \right)$$
 and  $a_4 = \frac{1}{\sqrt{2}} \left( 1 + \frac{AD}{BC} \right)$ ;

(2) n = 3 and  $\sigma_2(\varphi) = 3(\frac{1}{2} - (BD)/(AC))\Delta + \frac{3}{2}\Gamma > 0$ , in which case  $a_1 = a_5 = 0$ ,

$$a_2^2 = \frac{1}{12A^2C^2} \left( 3\left(\frac{1}{2} - \frac{BD}{AC}\right)\Delta + \frac{3}{2}\Gamma \right) \quad and \quad b = \frac{1}{\sqrt{2}} \left( -\frac{1}{2} + \frac{BD}{AC} \right);$$

(3) n = -3 and  $\sigma_3(\varphi) = 3(\frac{1}{2} - (CD)/(AB))\Delta - \frac{3}{2}\Gamma > 0$ , in which case  $a_1 = a_5 = 0$ ,

$$a_3^2 = \frac{1}{12A^2B^2} \left( 3\left(\frac{1}{2} - \frac{CD}{AB}\right)\Delta - \frac{3}{2}\Gamma \right) \quad and \quad b = \frac{1}{\sqrt{2}} \left( -\frac{1}{2} + \frac{CD}{AB} \right).$$

**Proof** We follow the same strategy as in the proof of Theorem 44, splitting into the cases described above.

Case 0  $(n \neq 0, 3, -3)$  Here  $A^n$  is always reducible so there cannot be an invariant, irreducible instanton. We note that the reducible  $G_2$ -instantons arising from this case are exactly those appearing in Theorem 62.

Cases 2 and 3 (n = 3, -3) These cases can be handled in the same way as the second and third items in Theorem 44, so we omit the details.

Case 1 (n = 0) Here any invariant connection is simply a left-invariant, and  $Ad(U(1)_{1,1})$ -invariant, 1-form with values in  $\mathfrak{so}(3)$ . We write it as

$$A^{0} = \omega_{1} \otimes c_{1} + \omega_{4} \otimes c_{4} + \omega_{5} \otimes c_{5},$$

where  $c_1, c_4, c_5 \in \mathfrak{so}(3)$ . We compute the curvature of this connection using the formula  $F^0 = dA^0 + \frac{1}{2}[A^0 \wedge A^0]$ . This gives

$$F^{0} = d\omega_{1} \otimes c_{1} + d\omega_{4} \otimes c_{4} + d\omega_{5} \otimes c_{5} + \omega_{14} \otimes [c_{1}, c_{4}] + \omega_{15} \otimes [c_{1}, c_{5}] + \omega_{45} \otimes [c_{4}, c_{5}].$$

The equation  $F^0 \wedge \psi = 0$ , after a small amount of simplification, yields

$$BC[c_1, c_4] = -\sqrt{2}(AD + BC)c_5,$$
  

$$BC[c_4, c_5] = -\sqrt{2}(AD + BC)c_1,$$
  

$$\sqrt{2} B^2C^2[c_1, c_5] = -\Delta c_4.$$

Bracketing the third equation with  $c_4$  gives us  $[[c_1, c_5], c_4] = 0$ . We first assume that  $[c_1, c_5] \neq 0$ , which by the third equation implies  $c_4 \neq 0$ . This being the case, we may change gauge to require that

$$c_1 = r_1 T_2$$
,  $c_4 = r_4 T_1$  and  $c_5 = r_5 T_3$ 

for some nonzero real constants  $r_1$ ,  $r_4$  and  $r_5$ . With this choice, the system becomes

$$2BCr_{1}r_{4} = \sqrt{2}(AD + BC)r_{5},$$

$$2BCr_{4}r_{5} = \sqrt{2}(AD + BC)r_{1},$$

$$2\sqrt{2}B^{2}C^{2}r_{1}r_{5} = -\Delta r_{4}.$$

Since we have assumed that the  $r_i$  are nonzero, we must have  $\Delta \neq 0$  and  $AD + BC \neq 0$ . The solutions to these equations are readily found to be

$$r_1^2 = \frac{-\Delta}{4B^2C^2} \left( 1 + \frac{AD}{BC} \right), \quad r_5 = \pm r_1 \quad \text{and} \quad r_4 = \pm \frac{1}{\sqrt{2}} \left( 1 + \frac{AD}{BC} \right),$$

which seems to yield four solutions, provided

$$-\left(1 + \frac{AD}{BC}\right) > 0.$$

However, the solutions differing only by the  $\pm$  sign are gauge equivalent: we can change gauge to send  $T_1$  to  $-T_1$ , and  $T_3$  to  $-T_3$ . At this point we set  $a_1 = r_1$  and  $a_4 = r_4$  yielding the result in the statement.

If  $[c_1, c_5] = 0$  then we may by change of gauge fix  $c_1 = \lambda_1 T_1$  and  $c_5 = \lambda_5 T_1$  for some (possibly zero) constants  $\lambda_1$  and  $\lambda_5$ . Then, considering the first equation  $BC[c_1, c_4] = -\sqrt{2}(AD + BC)c_5$ , we must have  $[c_1, c_4] = 0$ . Therefore the connection is reducible, and the solutions will correspond to abelian  $G_2$ -instantons already described in Theorem 42.

With exactly the same method as in Theorem 65 we can prove that when the  $G_2$ -instantons merge they become reducible and obstructed.

**Theorem 65** Let  $\{\varphi(s)\}_{s\in\mathbb{R}}$  be a continuous family of  $G_2$ -structures as in (3-1) such that  $\sigma_1(\varphi(s)) > 0$  for s < 0 and  $\sigma_1(\varphi(s)) < 0$  for s > 0. Then, as  $s \nearrow 0$ , the two irreducible  $G_2$ -instantons on  $P_{\lambda_0}$  from Theorem 44 merge and become the same reducible and obstructed  $G_2$ -instanton when they disappear for  $s \le 0$ .

**Remark 66** A similar statement holds for the  $G_2$ -instantons on  $P_{\lambda_{\pm}}$  with  $\sigma_1$  replaced by  $\sigma_2$  and  $\sigma_3$  respectively.

# 5.3 An example of merging $G_2$ -instantons on $X_{1,1}$

We may think of  $\pi_1\colon X_{1,1}\to\mathbb{CP}^2$  as in Remark 34, ie as an SO(3)-bundle over  $\mathbb{CP}^2$ , which is a quaternion-Kähler 4-manifold (self-dual, Einstein) with positive scalar curvature. The discussion before Proposition 18, in Section 2.2, shows that  $X_{1,1}$  carries two nearly parallel  $G_2$ -structures, one inducing a 3-Sasakian metric and the other inducing a strictly nearly parallel one. This last one will be contained in the family of  $G_2$ -structures we consider in this section. Proposition 18 gives some examples of  $G_2$ -instantons on  $X_{1,1}$  by pulling back self-dual connections on  $\mathbb{CP}^2$ . In fact, on any line bundle over  $\mathbb{CP}^2$  there is one such connection that is SU(3)-invariant, namely the canonical connection  $\frac{n}{2\sqrt{6}}\eta$  on the degree n-bundle. In what follows we shall confirm this fact and we will also obtain other examples of  $G_2$ -instantons that are not pulled back from  $\mathbb{CP}^2$ .

In this subsection we will consider the  $G_2$ -structures in the family (3-1) that satisfy C=B and D=A. This is, up to scaling, the 1-parameter family in the hypothesis of Proposition 18 with t proportional to A/B. For completeness we note that the  $G_2$ -structure in (3-1) gives

$$\psi = B^4 \left( \omega_{2367} - \frac{A^2}{B^2} (\omega_{15} \wedge \Omega_1 + \omega_{45} \wedge \Omega_2 - \omega_{14} \wedge \Omega_3) \right),$$

where  $\Omega_1=\omega_{26}-\omega_{73}$ ,  $\Omega_2=\omega_{23}-\omega_{67}$  and  $\Omega_3=\omega_{27}-\omega_{36}$  form an orthonormal basis for the pullback of the space of anti-self-dual 2-forms on  $\mathbb{CP}^2$ . One can then check that this family contains one of the homogeneous nearly parallel  $G_2$ -structures on  $X_{1,1}$ . In fact, one can check that  $A=-2\sqrt{2}/\lambda$  and  $B=2/\lambda$  satisfy  $d\varphi=\lambda\psi$ .

For the structures we are considering,

$$AD + BC = A^2 + B^2 \neq 0$$
,  $\Delta = 2B^2(A^2 - B^2)$  and  $\Gamma = 0$ ,

and thus Theorem 62(1)(a) tells us that for  $\Delta \neq 0$ , ie  $A^2 \neq B^2$ , there is a unique  $G_2$ -instanton on  $Q_n$ . This has b=0 and so is precisely the canonical invariant connection  $\frac{n}{2\sqrt{6}}\eta$ . Its curvature is

$$\frac{n}{2\sqrt{6}}\,d\eta = -\frac{n}{4}(\omega_{26} + \omega_{73}),$$

and as remarked before, is actually the pullback from  $\mathbb{CP}^2$  of a self-dual 2-form. On the other hand, Theorem 62(1)(c) shows that when  $A^2 = B^2$  there is a 1-parameter family of  $G_2$ -instantons, namely any of the connections  $\frac{n}{2\sqrt{6}}\eta + b\omega_4$ , for  $b \in \mathbb{R}$ . We state these conclusions as:

**Theorem 67** Let  $A, B \in \mathbb{R}^+$  and equip  $X_{1,1} = SU(3)/U(1)_{1,1}$  with the  $G_2$ -structure  $\varphi_{A,B} = A^3 \omega_{145} + AB^2 (\omega_{123} - \omega_{167} + \omega_{257} - \omega_{356} - \omega_{426} - \omega_{437}).$ 

If L is a complex line bundle over  $X_{1,1}$  with  $c_1(L) = n \in \mathbb{Z} \cong H^2(X_{1,1}, \mathbb{Z})$ , then:

- If  $A^2 \neq B^2$ , the canonical connection  $\frac{n}{2\sqrt{6}}\eta$  is the unique invariant  $G_2$ -instanton on L.
- If  $A^2 = B^2$ , then the connections  $\frac{n}{2\sqrt{6}}\eta + b\omega_4$  are  $G_2$ -instantons for any  $b \in \mathbb{R}$ . These are the unique invariant  $G_2$ -instantons on L.
- **Remark 68** (1) The canonical connection  $\frac{n}{2\sqrt{6}}\eta$  is the pullback of a self-dual connection on  $\mathbb{CP}^2$ . Therefore, the fact that it is a  $G_2$ -instanton with respect to  $\varphi_{A,B}$  also follows from Proposition 18. Its uniqueness for the nearly parallel structure is also a consequence of Corollary 14, however uniqueness amongst invariant ones for other structures in the family  $\{\varphi_{A,B}\}_{A\neq B}$  is not.
  - (2) The abelian instantons constructed for A = B show that the uniqueness part of Corollary 14 does not extend from nearly parallel to general coclosed  $G_2$ -structures. In fact, not even the rigidity stated in Corollary 25 holds.

We turn now to invariant, irreducible, nonabelian  $G_2$ -instantons. We start with the case n = k - l = 0. Theorem 64 tells us that  $G_2$ -instantons on  $P_0$  exist if and only if

$$-2B^{2}(A^{2} - B^{2})\left(1 + \frac{A^{2}}{B^{2}}\right) > 0,$$

or in other words if and only if  $B^2 > A^2$ . In this case we have

$$A^{0} = a_{4}\omega_{4} \otimes T_{1} + a_{1}(\omega_{1} \otimes T_{2} + \omega_{5} \otimes T_{3}),$$

where the  $a_i$  must satisfy

$$a_1 = \pm \sqrt{\frac{B^4 - A^4}{2B^4}}$$
 and  $a_4 = \frac{A^2 + B^2}{\sqrt{2}B^2}$ .

The curvature of these connections is

$$F = F_1 \otimes T_1 + F_2 \otimes T_2 + F_3 \otimes T_3$$

with

(5-1) 
$$F_1 = -\left(\frac{A^2}{B^2} + 1\right) \left(\frac{A^2}{B^2}\omega_{15} - \frac{1}{2}(\omega_{26} - \omega_{73})\right),$$

(5-2) 
$$F_2 = \mp \sqrt{1 - \frac{A^4}{B^4}} \left( \frac{A^2}{B^2} \omega_{45} - \frac{1}{2} (\omega_{23} - \omega_{67}) \right),$$

(5-3) 
$$F_3 = \mp \sqrt{1 - \frac{A^4}{B^4}} \left( \frac{A^2}{B^2} \omega_{14} - \frac{1}{2} (\omega_{36} - \omega_{27}) \right).$$

The other cases in which there exist nontrivial invariant connections are when  $n=\pm 3$ . Notice that  $P_3$  and  $P_{-3}$  are interchanged by the automorphism of SU(3) given by  $g\mapsto g^{-1}$ . This automorphism preserves  $U(1)_{1,1}$  and so descends to a diffeomorphism of  $X_{1,1}=X_{-1,-1}$ . We shall therefore consider only the case n=3 where  $a_1=a_3=0$ . Also in this case, our work above gives that there are irreducible, invariant  $G_2$ -instantons on  $P_3$  (resp.  $P_{-3}$ ) if and only if

$$\sigma_2 = \sigma_3 = 3B^2(B^2 - A^2) \ge 0,$$

ie  $B^2 > A^2$ . In that case we have

$$a_2 = \pm \frac{1}{2} \sqrt{-1 + \frac{B^2}{A^2}}$$
 and  $a_4 = \frac{1}{2\sqrt{2}}$ ,

and their curvature is such that

(5-4) 
$$F_1 = -\frac{1}{2}\omega_{15} - \left(1 - \frac{B^2}{2A^2}\right)\omega_{26} + \omega_{37},$$

(5-5) 
$$F_2 = \mp \frac{1}{\sqrt{2}} \sqrt{-1 + \frac{B^2}{A^2}} \left( \omega_{46} + \frac{1}{2} (\omega_{13} - \omega_{57}) \right),$$

(5-6) 
$$F_3 = \pm \frac{1}{\sqrt{2}} \sqrt{-1 + \frac{B^2}{A^2}} \left( -\omega_{24} + \frac{1}{2} (\omega_{17} - \omega_{35}) \right).$$

As before these are clearly irreducible and not pulled back from  $\mathbb{CP}^2$  via  $\pi$ . We have thus proved:

**Theorem 69** For  $A, B \in \mathbb{R}^+$ , let  $\varphi_{A,B}$  be the  $G_2$ -structure on  $X_{1,1} = SU(3)/U(1)_{1,1}$  from Theorem 67. Let  $\nabla_A$  be an SU(3)-invariant, irreducible  $G_2$ -instanton for  $\varphi_{A,B}$ , with gauge group SO(3). Then either:

- (1)  $\nabla_A$  lives on  $P_0$ , the trivial SO(3)-bundle over  $X_{1,1}$ , in which case the following hold:
  - If A < B, then  $\nabla_A$  is one of two  $G_2$ -instantons on  $P_0$ , having curvature as in equations (5-1)–(5-3).
  - If  $A \ge B$ , there is no invariant, irreducible  $G_2$ -instanton on  $P_0$ .
- (2)  $\nabla_A$  lives on one of the bundles  $P_3$  or  $P_{-3}$ , in which case the following hold:
  - If A < B, then  $\nabla_A$  is one of two invariant, irreducible  $G_2$ -instantons on  $P_{\pm 3}$ . If  $\nabla_A$  lives on  $P_3$ , its curvature is as in equations (5-4)–(5-6).
  - If  $A \ge B$ , there is no invariant, irreducible  $G_2$ -instanton on either  $P_{\pm 3}$ .
- **Remark 70** Both in  $P_0$  and  $P_3$ , the  $G_2$ -instantons  $(\nabla_A)_{A,B}$  constructed above become abelian when A = B.
  - None of the irreducible  $G_2$ -instantons on  $P_0$  and  $P_3$  constructed for A < B is pulled back from  $\mathbb{CP}^2$  and so none follows from Proposition 18.

The instantons on  $P_0$  and  $P_3$  constructed above are quite different. In fact, looking at the expressions for the curvature of these, we see that by metrically collapsing the fibers of  $\pi\colon X_{1,1}\to \mathbb{CP}^2$  by sending A to 0, the instantons constructed on  $P_0$  converge to the pullback of a connection on  $\mathbb{CP}^2$ . However, this property does not hold for those constructed on  $P_3$ . More precisely, we have:

**Theorem 71** Let  $(\nabla_A)_{A,B}$  be the  $G_2$ -instanton associated with  $\varphi_{A,B}$  on  $P_0$ . Then there is an SO(3)-connection  $\nabla$  on  $\mathbb{CP}^2$  such that as  $A \to 0$ ,  $(\nabla_A)_{A,B}$  converges uniformly with all its derivatives to  $\pi^*\nabla$ .

Let  $(\widetilde{\nabla}_A)_{A,B}$  be the  $G_2$ -instanton associated with  $\varphi_{A,B}$  on  $P_3$ . There is no connection  $\nabla$  on  $\mathbb{CP}^2$  such that  $(\nabla_A)_{A,B} \to \pi^* \nabla$  uniformly with respect to  $\varphi_{1,1}$  as  $A \to 0$ .

**Proof** Let  $P = SU(3) \times_{U(2),\lambda} SO(3)$  be the bundle constructed from

$$\lambda: SU(2) \times U(1)/\mathbb{Z}_2 \to SO(3)$$
 with  $\lambda(g, e^{i\theta}) = g \mod -1$ .

The canonical invariant connection  $\nabla$  associated with this bundle is

$$\frac{1}{\sqrt{2}}(\omega_4 \otimes T_1 + \omega_1 \otimes T_2 + \omega_5 \otimes T_3) \in \Omega^1(SU(3), \mathfrak{so}(3)).$$

Its curvature is  $F = T_1 \otimes T_1 + F_2 \otimes T_2 + F_3 \otimes T_3$  such that

$$F_1 = \frac{1}{2}(\omega_{26} - \omega_{73}), \quad F_2 = \frac{1}{2}(\omega_{23} - \omega_{67}) \quad \text{and} \quad F_3 = -\frac{1}{2}(\omega_{27} - \omega_{36}),$$

so it is a anti-self-dual connection. In fact notice that the components  $F_1$ ,  $F_2$  and  $F_3$  of the curvature pull back respectively to  $\Omega_1$ ,  $\Omega_2$  and  $\Omega_3$  on  $X_{1,1}$ . We now let  $(\nabla_A)_{A,B}$  be our  $G_2$ -instanton on  $\varphi_{A,B}$ , which has connection 1-form

$$\frac{1}{\sqrt{2}} \left( \frac{A^2}{B^2} + 1 \right) \omega_4 \otimes T_1 + \frac{1}{\sqrt{2}} \sqrt{1 - \frac{A^4}{B^4}} (\omega_1 \otimes T_2 + \omega_5 \otimes T_3),$$

seen as an element of  $\Omega^1(SU(3), \mathfrak{so}(3))$ . Hence the difference of the two connections  $a_{A,B} = (\nabla_A)_{A,B} - \pi^* \nabla$  is a  $\frac{1}{2\sqrt{6}}\eta$ -horizontal 1-form in SU(3) given by

$$a = \frac{1}{\sqrt{2}} \frac{A^2}{B^2} \omega_4 \otimes T_1 + \frac{1}{\sqrt{2}} \left( \sqrt{1 - \frac{A^4}{B^4}} - 1 \right) (\omega_1 \otimes T_2 + \omega_5 \otimes T_3).$$

Using the fixed metric associated with the  $G_2$ -structure  $\varphi_{1,1}$  to take norms we compute that for any  $k \in \mathbb{Z}^+$ ,

$$||a_{A,B}||_{C^k} \le c_k \frac{A^2}{B^2},$$

for some positive constant  $c_k$  independent of A and B. Taking A to 0 we see that  $a_{A,B}$  converges uniformly to 0 with all derivatives, proving the first assertion in the statement.

We turn now to the proof of the second assertion, namely, that the same phenomena cannot happen for the instantons we constructed on  $P_{\lambda_3}$ . If such a statement was to be true, the curvatures  $\widetilde{F}_{A,B}$  of  $(\widetilde{\nabla}_A)_{A,B}$  should converge to an  $\mathfrak{so}(3)$ -valued 2-form on SU(3) that is basic with respect to the projection SU(3)  $\to \mathbb{CP}^2$ . Any linear combination V of the vector fields  $e_1$ ,  $e_4$  and  $e_5$  is vertical with respect to this projection. Taking  $V = e_1$  we have

$$\iota_{e_1} \widetilde{F}_{A,B} = \frac{1}{2} \omega_5 \otimes T_1 \mp \frac{1}{2\sqrt{2}} \sqrt{-1 + \frac{B^2}{A^2}} (\omega_3 \otimes T_2 - \omega_7 \otimes T_3)$$

and clearly  $\lim_{A\to 0}\|\iota_{e_1}\widetilde{F}_{A,B}\|_{C^k}=+\infty$  for all  $k\in\mathbb{N}_0$ . Hence,  $\widetilde{F}_{A,B}$  cannot converge to a basic form.

**Remark 72** The SO(3)–connection  $\nabla$  on  $\mathbb{CP}^2$  appearing in the previous theorem is in fact anti-self-dual. However, we do not want to emphasize this fact too much, as it may be misleading. Indeed, we expect that in other similar situations the same phenomena can occur with the corresponding  $\nabla$  not being anti-self-dual.

There is one other homogeneous nearly parallel  $G_2$ -structure on  $X_{1,1}$ . In fact, the equations for homogeneous nearly parallel  $G_2$ -structures in the case (k,l)=(1,1) yield eight solutions, which give rise to two different metrics. The solutions are completely determined by  $C^2=B^2$ ,  $D^2=A^2$  and these two cases:

- $A^2 = 2B^2$  and ABCD > 0, which fits into the family just described and in which case the corresponding metric is 3–Sasakian.
- $A^2 = 2B^2/5$  and ABCD < 0, and so the  $G_2$ -structure is obtained from the above through the squashing construction in Section 2.2. In this case, the corresponding metric is a strictly nearly parallel  $G_2$ -metric; see [18, Theorem 5.5].

Notice that Theorem 69 does not yield any irreducible  $G_2$ -instanton for the nearly parallel  $G_2$ -structure contained in the family we are analyzing, which is the one inducing the 3-Sasakian structure. However, as we shall now show, the theorem does yield irreducible  $G_2$ -instantons for the strictly nearly parallel structure.

- **Corollary 73** There are no irreducible, invariant  $G_2$ -instantons with gauge group SO(3) for the nearly parallel  $G_2$ -structure on  $X_{1,1}$  inducing the 3-Sasakian metric.
  - There are irreducible, invariant  $G_2$ -instantons with gauge group SO(3) for the strictly nearly parallel  $G_2$ -structure on  $X_{1,1}$ .

**Proof** Any homogeneous nearly parallel  $G_2$ -structure on  $X_{1,1}$  satisfies  $A^2 = D^2$  and  $B^2 = C^2$ . There are two cases:

•  $A^2 = 2B^2$  and ABCD > 0. In fact, for ABCD > 0 we compute  $\sigma_1(\varphi) = 6(B^4 - A^4)$  and  $\sigma_2(\varphi) = \sigma_3(\varphi) = 3B^2(B^2 - A^2)$ .

As the nearly parallel  $G_2$ -structure in this case has  $A^2 = 2B^2 > B^2$  we see that all  $\sigma_i$ , for i = 1, 2, 3, are negative and so there are no  $G_2$ -instantons.

•  $A^2=2B^2/5$  and ABCD<0. In this case we compute that for ABCD<0,  $\sigma_1(\varphi)=6(A^2-B^2)^2$  and  $\sigma_2(\varphi)=\sigma_3(\varphi)=9B^2(A^2-B^2)$ .

The nearly parallel  $G_2$ -structure has  $A^2 = 2B^2/5 < B^2$ , so both  $\sigma_2$  and  $\sigma_3$  are negative. On the other hand  $\sigma_1$  is positive and thus irreducible  $G_2$ -instantons on this nearly parallel  $G_2$ -structure do exist. Any such must live in the trivial bundle  $P_{\lambda_0}$ .  $\square$ 

- **Remark 74** The previous result shows the  $G_2$ -structures inducing the 3-Sasakian and the strictly nearly parallel  $G_2$ -structures on  $X_{1,1}$  can be distinguished by the existence of an irreducible, invariant  $G_2$ -instanton with gauge group SO(3).
  - We further remark that we are not analyzing the most general homogeneous and coclosed  $G_2$ -structures on  $X_{1,1}$ . In fact, for (k,l) = (1,1) there is a larger-dimensional family, containing in particular a nearly parallel  $G_2$ -structure whose associated metric is Sasaki-Einstein; see [7] and [9].
  - $G_2$ -instantons with gauge group SU(3) for the 3-Sasakian structure on  $X_{1,1}$  have been considered in [20].

# 6 Questions for further work

The following are natural directions for further work:

- (1) Similar methods can be used in many other cases where homogeneous  $G_2$ -structures exist. Of particular interest would be the cases admitting nearly parallel  $G_2$ -structures; see [18] for the classification of homogeneous nearly parallel  $G_2$ -manifolds.
- (2) Carry on a general analysis of the following question: for which (k, l) do Theorems 42 and 44 provide irreducible  $G_2$ -instantons for the nearly parallel  $G_2$ -structures in  $X_{k,l}$ ? We intend to address this in the future.
- (3) Compute the Crowley-Nordström invariants [13] for the  $G_2$ -structures  $\varphi \in \mathcal{C}$  and check if this distinguishes the two disconnected components in  $\mathcal{C}$ . If that is the case, then for  $k \neq l$ ,  $l \neq m$ ,  $m \neq k$  these invariants can be used to distinguish the two strictly nearly parallel  $G_2$ -structures.
- (4) Given a  $G_2$ -instanton A for a  $G_2$ -structure on  $X_{k,l}$  such that A is also Yang-Mills, in which cases is A stable as a Yang-Mills connection? Here, it would be interesting to understand better how the answer to this question depends on the  $G_2$ -structure.

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