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**Partial Okounkov bodies and Duistermaat–Heckman measures
of non-Archimedean metrics**

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Let X be a smooth complex projective variety. We construct partial Okounkov bodies associated with Hermitian big line bundles (L, ϕ) on X . We show that partial Okounkov bodies are universal invariants of the singularities of ϕ . As an application, we construct Duistermaat–Heckman measures associated with finite-energy metrics on the Berkovich analytification of an ample line bundle.

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1 Introduction

1.1 Background

Let X be an irreducible smooth projective variety of dimension n and L be a big holomorphic line bundle on X . Given any admissible flag $X = Y_0 \supseteq Y_1 \supseteq \cdots \supseteq Y_n$ on X (see Definition 2.7 for the precise definition), one can attach a natural convex body $\Delta(L)$ of dimension n to L , generalizing the classical Newton polytope construction in toric geometry. This construction was first considered by Okounkov [47; 48] and then extended by Lazarsfeld and Mustață [45] and Kaveh and Khovanskii [43]. The convex body $\Delta(L)$ is known as the *Okounkov body* or *Newton–Okounkov body* associated with L .

(with respect to the given flag). We briefly recall its definition: given any nonzero $s \in H^0(X, L^k)$, let $\nu_1(s)$ be the vanishing order of s along Y_1 . Then s can be regarded as a section of $H^0(X, L^k \otimes \mathcal{O}_X(-\nu_1(s)Y_1))$. It follows that $s_1 := s|_{Y_1}$ is a nonzero section of $L|_{Y_1}^k \otimes \mathcal{O}_X(-\nu_1(s)Y_1)|_{Y_1}$. We can then repeat the same procedure with s_1 and Y_2 in place of s and Y_1 . Repeating this construction, we end up with $\nu(s) = (\nu_1(s), \dots, \nu_n(s)) \in \mathbb{N}^n$. In fact, ν extends naturally to a rank n valuation on $\mathbb{C}(X)$. Consider the semigroup

$$\Gamma(L) := \{(\nu(s), k) \in \mathbb{Z}^{n+1} \mid k \in \mathbb{N}, s \in H^0(X, L^k)^\times\}.$$

Then $\Delta(L)$ is the intersection of the closed convex cone in \mathbb{R}^{n+1} generated by $\Gamma(L)$ with the hyperplane $\{(x, 1) \mid x \in \mathbb{R}^n\}$. A key property of $\Delta(L)$ is that the Lebesgue volume of $\Delta(L)$ is proportional to the volume of the line bundle L :

$$(1-1) \quad \text{vol } \Delta(L) = \frac{1}{n!} \langle L^n \rangle.$$

Here $\langle \bullet \rangle$ denotes the movable intersection product in the sense of Boucksom, Demailly, Păun and Peternell [12] and Boucksom, Favre and Jonsson [15].

In [45], Lazarsfeld and Mustața showed moreover that $\Delta(L)$ depends only on the numerical class of L . Conversely, it is shown by Jow [41] that the information of all Okounkov bodies with respect to various flags actually determines the numerical class of L . In other words, Okounkov bodies can be regarded as universal numerical invariants of big line bundles.

This paper concerns a similar problem. Assume that L is equipped with a singular plurisubharmonic (psh) metric ϕ . We will construct universal invariants of the singularity type of ϕ . We call these universal invariants the *partial Okounkov bodies* of (L, ϕ) .

1.2 Main results

Let us explain more details about the construction of partial Okounkov bodies. Recall that any admissible flag on X induces a rank n valuation on $\mathbb{C}(X)$ with values in \mathbb{Z}^n . We will work more generally with such valuations, not necessarily coming from admissible flags on X . We define a set

$$(1-2) \quad \Gamma(L, \phi) := \{(\nu(s), k) \in \mathbb{Z}^{n+1} \mid k \in \mathbb{N}, s \in H^0(X, L^k \otimes \mathcal{I}(k\phi))^\times\}$$

similar to $\Gamma(L)$. Here $\mathcal{I}(\bullet)$ denotes the multiplier ideal sheaf in the sense of Nadel. However, a key difference here is that $\Gamma(L, \phi)$ is not a semigroup in general. Thus, the constructions in both [45] and [43] break down. We will show that in this case, there is still a canonical construction of Okounkov bodies.

Before stating our main theorem, let us recall the definition of volume. The volume of (L, ϕ) is defined as

$$\text{vol}(L, \phi) := \lim_{k \rightarrow \infty} \frac{1}{k^n} h^0(X, L^k \otimes \mathcal{I}(k\phi)).$$

The existence of this limit is proved in Darvas and Xia [33].

Theorem A Let (L, ϕ) be as above. Assume that $\text{vol}(L, \phi) > 0$. Then there is a convex body $\Delta(L, \phi) \subseteq \Delta(L)$ associated with (L, ϕ) satisfying

$$(1-3) \quad \text{vol} \Delta(L, \phi) = \text{vol}(L, \phi).$$

Moreover, $\Delta(L, \phi)$ is continuous in ϕ if $\int_X (\text{dd}^c \phi)^n > 0$. (Here the set of ϕ is endowed with the d_S -pseudometric in the sense of Darvas, Di Nezza and Lu [29] and the set of convex bodies is endowed with the Hausdorff metric.)

Define

$$\Gamma_k := \{k^{-1} \nu(s) \in \mathbb{R}^n \mid s \in H^0(X, L^k \otimes \mathcal{I}(k\phi))^\times\}$$

and let Δ_k denote the convex hull of Γ_k . Then

$$(1-4) \quad \Delta_k \rightarrow \Delta(L, \phi)$$

with respect to the Hausdorff metric if $\text{vol}(L, \phi) > 0$.

Observe that the last assertion actually uniquely determines $\Delta(L, \phi)$, so $\Delta(L, \phi)$ can be regarded as canonically attached to the given data (X, L, ϕ, ν) .

The convex body $\Delta(L, \phi)$ is called the *partial Okounkov body* of (L, ϕ) with respect to the given valuation. Here the word *partial* refers to the fact that the partial Okounkov bodies are contained in $\Delta(L)$. One should not confuse them with the notion of Okounkov bodies with respect to partial flags.

We will also extend the definition to the case $\text{vol}(L, \phi) = 0$ in Section 5.6, at the expense of losing continuity in ϕ .

Observe that (1-3) bears strong resemblance with (1-1). In fact, when ϕ has minimal singularities, $\Delta(L, \phi) = \Delta(L)$ and (1-3) just reduces to (1-1).

The second main result says that partial Okounkov bodies uniquely determine the \mathcal{I} -singularity type of ϕ .

Theorem B Let L be a big line bundle on X . Let ϕ and ϕ' be two singular psh metrics on L with positive volumes. Then the following are equivalent:

- (1) $\phi \sim_{\mathcal{I}} \phi'$.
- (2) $\Delta(L, \phi) = \Delta(L, \phi')$ for all rank n valuations on $\mathbb{C}(X)$ taking values in \mathbb{Z}^n .

Recall that $\phi \sim_{\mathcal{I}} \phi'$ means $\mathcal{I}(k\phi) = \mathcal{I}(k\phi')$ for all real $k > 0$. This relation is studied in detail in Darvas and Xia [32; 33]. It captures a lot of important information about the singularity of a psh metric. Theorem B should be regarded as a metric analogue of Jow’s theorem.

As a byproduct of our proof of Theorem B, we reprove a formula computing the generic Lelong numbers of currents of minimal singularities in $c_1(L)$, slightly generalizing Boucksom [9, Theorem 5.4]:

Theorem 1.1 (Corollary 5.25) *Let L be a big line bundle on X . Consider a current T_{\min} of minimal singularity in $c_1(L)$. Then for any prime divisor E over X , we have*

$$(1-5) \quad \nu(T_{\min}, E) = \lim_{k \rightarrow \infty} \frac{1}{k} \operatorname{ord}_E H^0(X, L^k).$$

Here $\nu(T_{\min}, E)$ denotes the generic Lelong number of T_{\min} along E .

As a consequence, we find a new formula computing the multiplier ideal sheaf $\mathcal{I}(T_{\min})$ in Corollary 5.26.

The third main result is an analogue of Witt Nyström [52]. Given any continuous metric ψ on L , one can naturally construct a convex function $c[\psi]$ on $\operatorname{Int} \Delta(L)$, known as the *Chebyshev transform* of ψ . The main property of $c[\psi]$ is that given another continuous metric ψ' on L , we have

$$(1-6) \quad \int_{\Delta(L)} (c[\psi] - c[\psi']) \, d\lambda = \operatorname{vol}(\psi, \psi'),$$

where $\operatorname{vol}(\psi, \psi')$ is the relative volume as studied in Berman and Boucksom [4] and Berman, Boucksom and Witt Nyström [6] and $d\lambda$ is the Lebesgue measure on \mathbb{R}^n . In our setup, we also associate a convex function $c_{[\phi]}[\psi]: \operatorname{Int} \Delta(L, \phi) \rightarrow \mathbb{R}$. Moreover:

Theorem C *Assume that the valuation ν is induced by an admissible flag on X . Let ψ and ψ' be two continuous metrics on L . Then*

$$(1-7) \quad \int_{\Delta(L, \phi)} (c_{[\phi]}[\psi] - c_{[\phi]}[\psi']) \, d\lambda = -\mathcal{E}_{[\phi]}^\theta(\psi) + \mathcal{E}_{[\phi]}^\theta(\psi'),$$

where $\mathcal{E}_{[\phi]}^\theta$ is the partial equilibrium energy functional defined in (6-1).

Theorems A, B and C together give convex-geometric interpretations of the main results of [32; 33]. These results also provide us with a convex-geometric approach to the study of psh singularities.

As an application of our theory, we prove a generalization of Boucksom–Chen theorem (Theorem 7.9). Recall that the Boucksom–Chen theorem [11] says that given a *multiplicative* filtration \mathcal{F} on the section ring $R(X, L)$, one can naturally associate a probability measure on \mathbb{R} , known as the *Duistermaat–Heckman measure*. Moreover, the Duistermaat–Heckman measure is the weak limit of a sequence of discrete measures μ_k associated with the filtration \mathcal{F} on $H^0(X, L^k)$. We show that this construction can be generalized to all \mathcal{I} -model test curves, not necessarily coming from filtrations. Here we only prove the generalized Boucksom–Chen theorem for filtrations on the full graded linear series, which suffices for our purpose. It is, however, easy to see that the techniques apply to more general situations.

More generally, we introduce the notion of an Okounkov test curve (Definition 7.2) and generalize Duistermaat–Heckman measures to this setting.

When L is ample, this construction allows us to associate a Radon measure $\operatorname{DH}(\eta)$ on \mathbb{R} with each element η in the non-Archimedean space $\mathcal{E}^1(L^{\text{an}})$ in the sense of Boucksom and Jonsson [17]; see Definition 7.13. The space $\mathcal{E}^1(L^{\text{an}})$ can be seen as the completion of the space of test configurations.

Theorem 1.2 *The Duistermaat–Heckman measure construction of test configurations as in Witt Nyström [51] admits a unique continuous extension $\text{DH}: \mathcal{E}^1(L^{\text{an}}) \rightarrow \mathcal{M}(\mathbb{R})$. Here $\mathcal{M}(\mathbb{R})$ is the space of Radon measures on \mathbb{R} .*

The Duistermaat–Heckman measure of a non-Archimedean metric is also constructed by Inoue [40] using a different method. See Remark 7.17 for more details.

In Theorem 7.16, we will furthermore prove that $\text{DH}(\eta)$ contains a lot of interesting information of η .

In the last section, we interpret the partial Okounkov bodies in the toric setting. We prove the following results:

Theorem 1.3 *Let X be a smooth toric variety of dimension n and (L, ϕ) be a toric invariant Hermitian big line bundle on X with positive volume. Fix a toric invariant admissible flag on X . Recall that upon choosing a toric invariant rational section of L , ϕ can be identified with a convex function $\phi_{\mathbb{R}}$ on \mathbb{R}^n . Then the partial Okounkov body $\Delta(L, \phi)$ is naturally identified with the closure of the image of $\nabla \phi_{\mathbb{R}}$.*

Theorem D *Let (L_i, ϕ_i) for $i = 1, \dots, n$ be toric invariant Hermitian big line bundles on X of positive volumes. If the toric invariant flag (Y_{\bullet}) satisfies the additional condition that Y_n is not contained in the polar locus of any ϕ_i , then*

$$\int_X \text{dd}^c \phi_1 \wedge \dots \wedge \text{dd}^c \phi_n = n! \text{vol}(\Delta(L_1, \phi_1), \dots, \Delta(L_n, \phi_n)).$$

It is of interest to generalize Theorem D to the nontoric setting as well. As shown by Example 8.5, the nontoric generalization has to involve all valuations instead of just one.

Lastly, let us mention that our generalization of the Boucksom–Chen theorem has important consequences in Archimedean pluripotential theory as well. When applied to *generalized deformation to the normal cone* in the sense of Xia [55], it gives a number of interesting equidistribution results of the jumping numbers of multiplier ideal sheaves. As a detailed investigation would lead us too far away, we do not include these results in this paper.

1.3 Strategy of the proofs

We will sketch the proof of these theorems.

Proof of Theorem A In general, the graded linear space

$$W(L, \phi) := \bigoplus_{k=0}^{\infty} H^0(X, L^k \otimes \mathcal{I}(k\phi))$$

is not an algebra and similarly $\Gamma(L, \phi)$ as defined in (1-2) is not a semigroup. Thus, one cannot directly apply the theory of graded linear series or the theory of semigroups as in Lazarsfeld and Mustařă [45] and Kaveh and Khovanskii [43].

A key observation here is that although $\Gamma(L, \phi)$ is not a semigroup, it is not too far away from being one.

To make this precise, we introduce a pseudometric d on the space $\widehat{\mathcal{S}}$ of subsets of \mathbb{Z}^{n+1} lying in a suitable strictly convex cone:

$$d(S, S') := \overline{\lim}_{k \rightarrow \infty} k^{-n} (|S_k| + |S'_k| - 2|S_k \cap S'_k|).$$

Let \sim be the equivalence relation defined by d . The classical Okounkov body construction associates with each semigroup a convex body. As we will prove later, this map factorizes through the \sim -equivalence classes, and it extends continuously to an *almost semigroup*, namely an object in $\widehat{\mathcal{S}}$ which can be approximated by certain *nice* semigroups with respect to d .

In order to define the Okounkov body of (L, ϕ) , we will actually show that $\Gamma(L, \phi)$ is an almost semigroup and we could simply define

$$\Delta(L, \phi) := \Delta(\Gamma(L, \phi)).$$

The proof follows the same pattern as the proof in [33]. We proceed by approximations. We first consider the case where ϕ has analytic singularities. In this case, after taking a suitable resolution, we can easily see that $W(L, \phi)$ can be approximated by graded linear series both from above and from below. In the case of a singular ϕ with $\text{dd}^c \phi$ being a Kähler current, we make use of analytic approximations as in Demailly, Peternell and Schneider [36] and Cao [19]. More precisely, take a quasi-equisingular approximation ϕ^j of ϕ . Based on the convergence theorems proved in [33], we can show that $\Gamma(L, \phi^j)$ converges to $\Gamma(L, \phi)$ with respect to the pseudometric d , which enables us to conclude in this case. Finally, in the general case, a trick discovered in [29] and [33] enables us to reduce to the previous case. Along the lines of the proof, we actually find that $\Gamma(L, \phi)$ satisfies a stronger property (1-4). This property is essential to the proof of Theorem B; we call it the *Hausdorff convergence property*.

Proof of Theorem B Recall that in the classical setting, we can read information about the asymptotic base loci of L from the Okounkov body $\Delta(L)$ directly; see [21]. In our setup, the analogue says that the Okounkov body $\Delta(L, \phi)$ gives information about the generic Lelong numbers of ϕ . We will prove a qualitative version of Theorem B:

Theorem 1.4 *Let E be a prime divisor over X . Let $\pi : Z \rightarrow X$ be a birational model of X such that E is a divisor on Z . Take an admissible flag (Y_\bullet) on Z with $Y_1 = E$, then*

$$\nu(\phi, E) = \min_{x \in \Delta(\pi^*L, \pi^*\phi)} x_1.$$

Here $\nu(\phi, E)$ is the generic Lelong number of ϕ along E , defined as the minimum of the Lelong numbers $\nu(\pi^*\phi, x)$ for all $x \in E$. The proof of Theorem 1.4 again follows the same pattern as in the proof of Theorem A. With some efforts, we can reduce the problem to the case where ϕ has analytic singularities along some normal crossing \mathbb{Q} -divisor on X and $\text{dd}^c \phi$ is a Kähler current. In this case, the desired result follows from a result proved in [55].

Proofs of Theorems C and D The proofs roughly follow the same pattern as above. Namely, we first handle the case of analytic singularities and then conclude the general case by suitable approximations. We will not repeat the details here.

As explained above, our approach to general psh singularities requires a number of approximations; this motivates the study of the metric geometry of the space of psh singularity types. We prove the continuity of mixed masses under d_S -approximations:

Theorem 1.5 (Theorem 4.2) *Let θ_i for $i = 1, \dots, n$ be smooth closed real $(1, 1)$ -forms representing big classes on a connected compact Kähler manifold X of dimension n . Let $\varphi_i^k, \varphi_i \in \text{PSH}(X, \theta_i)$ for $i = 1, \dots, n$ and $k \in \mathbb{N}$. Assume that $\varphi_i^k \xrightarrow{d_S, \theta_i} \varphi_i$ for all i as $k \rightarrow \infty$. Then*

$$(1-8) \quad \lim_{k \rightarrow \infty} \int_X \theta_{1, \varphi_1^k} \wedge \cdots \wedge \theta_{n, \varphi_n^k} = \int_X \theta_{1, \varphi_1} \wedge \cdots \wedge \theta_{n, \varphi_n}.$$

Here the Monge–Ampère operators are taken in the nonpluripolar sense.

This theorem and its various consequences are indispensable in all of our proofs. They are of independent interest as well.

1.4 Structure of the paper

In Section 2, we collect a few preliminaries. In Section 3, we study the Okounkov bodies of almost semigroups. In Section 4, we further develop the theory of d_S -pseudometrics on the space of singularity types initiated in [29]. In Section 5, we define partial Okounkov bodies associated with Hermitian pseudoeffective line bundles and prove a number of properties. In Section 6, we define and study Chebyshev transforms of continuous metrics. In Section 7, we generalize the theory of Boucksom–Chen and study the non-Archimedean Duistermaat–Heckman measures. In Section 8, we give an explicit description of partial Okounkov bodies construction in terms of the moment polytope in the toric situation.

1.5 Conventions

In this paper, Monge–Ampère operators θ_φ^n refer to the nonpluripolar product in the sense of Boucksom, Eyssidieux, Guedj and Zeriahi [13]. The group \mathbb{Z}^n is always endowed with the lexicographic order. A line bundle always refers to a holomorphic line bundle. We do not distinguish a line bundle and the associated invertible sheaf. When talking about a birational modification (resolution) $\pi: Y \rightarrow X$, we always assume that Y is smooth and π is projective. We follow the convention that $\text{dd}^c = (i/2\pi)\partial\bar{\partial}$.

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2 Preliminaries

2.1 Hausdorff metric of convex bodies

In this section, we recall the theory of Hausdorff metrics on the set of convex bodies following [50, Section 1.8]. Fix $n \in \mathbb{N}$. Recall that a convex body in \mathbb{R}^n is a nonempty compact convex subset of \mathbb{R}^n , which may have empty interior. Let \mathcal{K}_n denote the set of convex bodies in \mathbb{R}^n . We will fix the Lebesgue measure $d\lambda$ on \mathbb{R}^n , normalized so that the unit cube has volume 1.

Recall the definition of the Hausdorff metric between $K_1, K_2 \in \mathcal{K}_n$:

$$d_n(K_1, K_2) := \max \left\{ \sup_{x_1 \in K_1} \inf_{x_2 \in K_2} |x_1 - x_2|, \sup_{x_2 \in K_2} \inf_{x_1 \in K_1} |x_1 - x_2| \right\}.$$

We extend d_n to an extended metric on $\mathcal{K}_n \cup \{\emptyset\}$ by setting

$$d_n(K, \emptyset) = \infty \quad \text{for all } K \in \mathcal{K}_n.$$

Theorem 2.1 *The metric space (\mathcal{K}_n, d_n) is complete.*

Theorem 2.2 (Blaschke selection) *Every bounded sequence in \mathcal{K}_n has a convergent subsequence.*

Theorem 2.3 *The Lebesgue volume $\text{vol}: \mathcal{K}_n \rightarrow \mathbb{R}_{\geq 0}$ is continuous.*

Theorem 2.4 *Let $K_i, K \in \mathcal{K}_n$ for $i \in \mathbb{N}$. Then $K_i \xrightarrow{d_n} K$ if and only if the following conditions hold:*

- (1) *Each point $x \in K$ is the limit of a sequence $x_i \in K_i$.*
- (2) *The limit of any convergent sequence $(x_{i_j})_{j \in \mathbb{N}}$ with $x_{i_j} \in K_{i_j}$ lies in K , where i_j is a subsequence of $1, 2, \dots$.*

The proofs of all these results can be found in [50, Section 1.8].

Lemma 2.5 *Let $K_0, K_1 \in \mathcal{K}_n$. Assume that $K_0 \subseteq K_1$ and*

$$\text{vol } K_0 = \text{vol } K_1 > 0.$$

Then $K_0 = K_1$.

Proof In fact, if $K_1 \neq K_0$, then $K_1 \setminus K_0$ is a nonempty open subset of K_1 . As $\text{vol } K_1 > 0$, $(K_1 \setminus K_0) \cap \text{Int } K_1 \neq \emptyset$. Thus, $\text{vol } K_1 > \text{vol } K_0$, which is a contradiction. \square

Let $K \in \mathcal{K}_n$ be a convex body with positive volume. For $\delta > 0$ small enough, let

$$K^\delta := \{x \in K \mid d(x, \partial K) \geq \delta\}.$$

Then $K_\delta \in \mathcal{K}_n$ for δ small enough.

Lemma 2.6 *Let $K \in \mathcal{K}_n$ be a convex body with positive volume and $K' \in \mathcal{K}_n$. Assume that for some large enough $k \in \mathbb{Z}_{>0}$, K' contains $K \cap (k^{-1}\mathbb{Z})^n$, then $K' \supseteq K^{n^{1/2}k^{-1}}$.*

Proof Let $x \in K^{n^{1/2}k^{-1}}$. By assumption, the closed ball B with center x and radius $n^{1/2}k^{-1}$ is contained in K . Observe that x can be written as a convex combination of points in $B \cap (k^{-1}\mathbb{Z})^n$, which are contained in K' by assumption. It follows that $x \in K'$. □

Given a sequence of convex bodies K_i ($i \in \mathbb{N}$), we set

$$\varliminf_{i \rightarrow \infty} K_i = \overline{\bigcup_{i=0}^{\infty} \bigcap_{j \geq i} K_j}.$$

Suppose K is the limit of a subsequence of K_i , we have

$$(2-1) \quad \varliminf_{i \rightarrow \infty} K_i \subseteq K.$$

This is a simple consequence of Theorem 2.4.

2.2 Admissible flags and valuations

Let X be an irreducible normal projective variety of dimension n .

Definition 2.7 *An admissible flag (Y_\bullet) on X is a flag of subvarieties*

$$X = Y_0 \supseteq Y_1 \supseteq \dots \supseteq Y_n$$

such that Y_i is irreducible of codimension i and smooth at the point Y_n .

Given any admissible flag (Y_\bullet) , we can define a rank n valuation $v_{(Y_\bullet)}: \mathbb{C}(X)^\times \rightarrow \mathbb{Z}^n$ as in [45]. Here we consider \mathbb{Z}^n as a totally ordered abelian group with the lexicographic order. We recall the definition: let $s \in \mathbb{C}(X)^\times$. Let $v_1(s) = \text{ord}_{Y_1} s$. After localization around Y_n , we can take a local defining equation t^1 of Y_1 ; set $s_1 = (s(t^1)^{-v_1(s)})|_{Y_1}$. Then $s_1 \in \mathbb{C}(Y_1)$. We can repeat this construction with Y_2 in place of Y_1 to get $v_2(s)$ and s_2 . Repeating this construction n times, we get $v_{(Y_\bullet)}(s) = v(s) = (v_1(s), v_2(s), \dots, v_n(s)) \in \mathbb{Z}^n$. It is easy to verify that v is indeed a rank n valuation.

Remark 2.8 Conversely, by a theorem of Abhyankar, any valuation of $\mathbb{C}(X)$ with Noetherian valuation ring of rank n is equivalent to a valuation taking value in \mathbb{Z}^n ; see [38, Chapter 0, Theorem 6.5.2]. As shown in [23, Theorem 2.9], any such valuation is equivalent to (but not necessarily equal to) a valuation induced by an admissible flag on a birational modification of X . Here two valuations v and v' with value in \mathbb{Z}^n are equivalent if one can find a matrix G of the form $I + N$, where N is strictly upper triangular with integral entries, such that $v' = Gv$.

2.3 Model potentials and \mathcal{I} -model potentials

Let X be a connected compact Kähler manifold of dimension n and θ be a smooth closed real $(1, 1)$ -form representing a $(1, 1)$ -cohomology class $[\theta]$. Define $V_\theta := \sup\{\varphi \in \text{PSH}(X, \theta) \mid \varphi \leq 0\}$. For any two $\varphi, \psi \in \text{PSH}(X, \theta)$, we say φ is *more singular* than ψ and write $[\varphi] \preceq [\psi]$ if there is a constant C such that $\varphi \leq \psi + C$. When $\varphi \preceq \psi$ and $\psi \preceq \varphi$, we say that they have the same *singularity type*. We write $\theta_\varphi = \theta + \text{dd}^c \varphi$.

Definition 2.9 Let $\varphi \in \text{PSH}(X, \theta)$. Define

$$(2-2) \quad C^\theta[\varphi] := \sup^* \left\{ \psi \in \text{PSH}(X, \theta) \mid [\varphi] \preceq [\psi], \psi \leq 0, \int_X \theta_\varphi^k \wedge \theta_{V_\theta}^{n-k} = \int_X \theta_\psi^k \wedge \theta_{V_\theta}^{n-k} \text{ for all } k \right\}.$$

If $C^\theta[\varphi] = \varphi$, we say φ is a *model potential*. We omit θ from the notation if there is no risk of confusion.

Here and in the sequel the Monge–Ampère type operators are taken in the nonpluripolar sense [13].

Proposition 2.10 [29, Proposition 2.6] *For any $\varphi \in \text{PSH}(X, \theta)$, $C^\theta[\varphi]$ is a model potential in $\text{PSH}(X, \theta)$. When $\int_X \theta_\varphi^n > 0$ we have*

$$C^\theta[\varphi] = P^\theta[\varphi],$$

where

$$(2-3) \quad P^\theta[\varphi] := \sup^* \{ \psi \in \text{PSH}(X, \theta) \mid [\psi] \preceq [\varphi], \psi \leq 0 \}.$$

In general, we only have

$$(2-4) \quad C^\theta[\varphi] = \lim_{\epsilon \rightarrow 0^+} P^\theta[(1 - \epsilon)\varphi + \epsilon V_\theta].$$

We omit θ from the notation $P^\theta[\varphi]$ if there is no risk of confusion.

Definition 2.11 A *birational model* of X is a projective birational morphism $\pi : Y \rightarrow X$ from a *smooth* projective variety Y to X .

Recall that $\mathcal{I}(\varphi)$ denotes the multiplier ideal sheaf of a qpsH function φ on X in the sense of Nadel, namely the coherent subsheaf of \mathcal{O}_X consisting of functions f such that $|f|^2 \exp(-\varphi)$ is locally integrable.

Definition 2.12 Let φ, ψ be two quasi-psh functions, we say $\varphi \preceq_{\mathcal{I}} \psi$ if the following equivalent conditions are satisfied:

- (1) $\mathcal{I}(k\varphi) \subseteq \mathcal{I}(k\psi)$ for all real $k > 0$.
- (2) $\mathcal{I}(k\varphi) \subseteq \mathcal{I}(k\psi)$ for all integer $k > 0$.
- (3) For any birational model $\pi : Y \rightarrow X$ and any $y \in Y$, we have $\nu(\pi^*\varphi, y) \geq \nu(\pi^*\psi, y)$.

The equivalence between (1) and (3) is just [32, Corollary 2.16]. The equivalence between (2) and (3) follows from [32, Proposition 2.14].

We say $\varphi \sim_{\mathcal{I}} \psi$ if $\varphi \preceq_{\mathcal{I}} \psi$ and $\psi \preceq_{\mathcal{I}} \varphi$.

Given any $\varphi \in \text{PSH}(X, \theta)$, we define

$$P^\theta[\varphi]_{\mathcal{I}} := \sup\{\psi \in \text{PSH}(X, \theta) \mid \psi \preceq_{\mathcal{I}} \varphi, \psi \leq 0\}.$$

We omit θ when there is no risk of confusion. We say φ is \mathcal{I} -model if $\varphi = P[\varphi]_{\mathcal{I}}$.

It is shown in [32, Proposition 2.18] that $P[\varphi]_{\mathcal{I}} \in \text{PSH}(X, \theta)$ and $\varphi \sim_{\mathcal{I}} P[\varphi]_{\mathcal{I}}$. Moreover, $P[\varphi]_{\mathcal{I}}$ is always \mathcal{I} -model. We can also talk about the $\sim_{\mathcal{I}}$ relation of two psh metric on L in the obvious manner.

Typical model potentials are not \mathcal{I} -model; however, the converse is true:

Proposition 2.13 *If $\psi \in \text{PSH}(X, \theta)$ is an \mathcal{I} -model potential then it is model.*

Proof We need to show that $\psi \sim_{\mathcal{I}} C[\psi]$. Let $\pi: Z \rightarrow X$ be a birational modification. Let $z \in Z$. As $\psi \leq C[\psi] + C$ for some constant C , it suffices to show that

$$v(C[\psi], z) \geq v(\psi, z).$$

Here $v(\psi, z)$ denotes the Lelong number of $\pi^*\psi$ at z . By (2-4) and the upper semicontinuity of Lelong numbers (see [39, page 73, Exercise 2.7]), we find

$$v(C[\psi], z) \geq \lim_{\epsilon \rightarrow 0^+} v(P[(1 - \epsilon)\psi + \epsilon V_\theta], z) = \lim_{\epsilon \rightarrow 0^+} v((1 - \epsilon)\psi + \epsilon V_\theta, z) = v(\psi, z).$$

We conclude our assertion. □

2.4 Potentials with analytic singularities

Definition 2.14 A quasi-plurisubharmonic function (quasi-psh) φ on X is said to have *analytic singularities* if for each $x \in X$, there is a neighborhood $U_x \subseteq X$ of x with respect to the Euclidean topology, such that on U_x ,

$$(2-5) \quad \varphi = c \log \left(\sum_{j=1}^{N_x} |f_j|^2 \right) + \psi,$$

where $c \in \mathbb{Q}_{\geq 0}$, the f_j are analytic functions on U_x , $N_x \in \mathbb{Z}_{>0}$ is an integer depending on x , $\psi \in L^\infty(U_x)$.

Definition 2.15 Let D be an effective normal crossing \mathbb{R} -divisor on X . Let $D = \sum_i a_i D_i$ with D_i being prime divisors and $a_i \in \mathbb{R}_{>0}$. We say that a quasi-psh function φ has *analytic singularities along D* if locally, in the Euclidean topology,

$$\varphi = \sum_i a_i \log |s_i|^2 + \psi,$$

where s_i is a local holomorphic function defining D_i , ψ is a bounded function.

In the sequel, when we talk about a normal crossing divisor, we always assume that it is effective.

Note that a potential with analytic singularities along a normal crossing \mathbb{Q} -divisor has analytic singularities in the sense of Definition 2.14.

For any quasi-psh function φ on X with analytic singularities, there is always a birational model $\pi : Y \rightarrow X$ such that $\pi^*\varphi$ has analytic singularities along a normal crossing \mathbb{Q} -divisor on Y . See [46, Lemma 2.3.19] for example. We remind the readers that in [46], the definition of analytic singularities differs slightly from ours: they require the remainder ψ to be smooth instead of just bounded. However, the proof of [46, Lemma 2.3.19] works *verbatim* with our definition.

2.5 Quasi-equisingular approximations

We recall the concept of quasi-equisingular approximations in the sense of [19; 36].

Let X be a connected compact Kähler manifold of dimension n and θ (resp. θ_i for $i = 1, \dots, n$) be a smooth real $(1, 1)$ -form representing a pseudoeffective $(1, 1)$ -cohomology class $[\theta]$ (resp. $[\theta_i]$). Take a Kähler form ω on X .

Definition 2.16 Let $\varphi \in \text{PSH}(X, \theta)$. Define a *quasi-equisingular approximation* to be a sequence $\varphi^j \in \text{PSH}(X, \theta + \epsilon_j \omega)$ with $\epsilon_j \rightarrow 0$ such that

- (1) $\varphi^j \rightarrow \varphi$ in L^1 ,
- (2) φ^j has analytic singularities,
- (3) $\varphi^{j+1} \leq \varphi^j$,
- (4) For any $\delta > 0, k > 0$, there is $j_0 > 0$ such that for $j \geq j_0$,

$$\mathcal{I}(k(1 + \delta)\varphi^j) \subseteq \mathcal{I}(k\varphi) \subseteq \mathcal{I}(k\varphi^j).$$

The existence of a quasi-equisingular approximation follows from the arguments in [19; 35; 36].

2.6 Volumes of Hermitian pseudoeffective line bundles

Let X be a smooth irreducible projective variety of dimension n .

Definition 2.17 A *Hermitian pseudoeffective (psef) line bundle* on X is a pair (L, ϕ) , where L is a pseudoeffective line bundle on X and ϕ is a psh metric on L .

When L is big, we say (L, ϕ) is a Hermitian big line bundle.

Let (L, ϕ) be a Hermitian psef line bundle on X . In this section, we recall the main results in [32; 33] concerning the volume of (L, ϕ) .

Definition 2.18 The *volume* of (L, ϕ) is defined as

$$\text{vol}(L, \phi) := \lim_{k \rightarrow \infty} \frac{1}{k^n} h^0(X, L^k \otimes \mathcal{I}(k\phi)).$$

The existence of the limit follows from [33, Theorem 1.1].

We take a smooth Hermitian metric h on L . Set $\theta = c_1(L, h)$. Then we can identify ϕ with a θ -psh function φ , namely $\phi = h \exp(-\varphi)$.

Theorem 2.19 [33, Theorem 1.1] *Under the above assumptions,*

$$\text{vol}(L, \phi) = \frac{1}{n!} \int_X \theta_{P[\varphi]_{\mathcal{I}}}^n.$$

We argue that vol deserves the name *volume* by proving that it satisfies the Brunn–Minkowski inequality.

Corollary 2.20 *Let (L, ϕ) and (L', ϕ') be two Hermitian psh line bundles on X . Then*

$$(2-6) \quad \text{vol}(L + L', \phi + \phi')^{1/n} \geq \text{vol}(L, \phi)^{1/n} + \text{vol}(L', \phi')^{1/n}.$$

Proof Fix a smooth Hermitian metric h' on L' with $\theta' = c_1(L', h')$. We identify ϕ' with $\varphi' \in \text{PSH}(X, \theta')$. By Theorem 2.19, (2-6) is equivalent to

$$\left(\int_X (\theta + \theta' + \text{dd}^c P^{\theta+\theta'}[\varphi + \varphi']_{\mathcal{I}})^n \right)^{1/n} \geq \left(\int_X \theta_{P^\theta[\varphi]_{\mathcal{I}}}^n \right)^{1/n} + \left(\int_X \theta'_{P^{\theta'}[\varphi']_{\mathcal{I}}}^n \right)^{1/n}.$$

Observe that

$$P^{\theta+\theta'}[\varphi + \varphi']_{\mathcal{I}} \geq P^\theta[\varphi]_{\mathcal{I}} + P^{\theta'}[\varphi']_{\mathcal{I}}.$$

Thus, by the monotonicity theorem of [53], it suffices to show that

$$\left(\int_X (\theta + \theta' + \text{dd}^c P^\theta[\varphi]_{\mathcal{I}} + \text{dd}^c P^{\theta'}[\varphi']_{\mathcal{I}})^n \right)^{1/n} \geq \left(\int_X \theta_{P^\theta[\varphi]_{\mathcal{I}}}^n \right)^{1/n} + \left(\int_X \theta'_{P^{\theta'}[\varphi']_{\mathcal{I}}}^n \right)^{1/n}.$$

This follows from [28, Theorem 6.1]. □

2.7 Non-Archimedean pluripotential theory

In this section, we briefly recall the notion of Berkovich analytification of a smooth complex projective variety and the pluripotential theory in the sense of Boucksom and Jonsson [17] on it.

For simplicity, we assume that X is a connected smooth projective variety of dimension n and L is an ample line bundle on X .

The set of real valuations on $\mathbb{C}(X)$ trivial on \mathbb{C} is denoted by X^{val} . This set can be defined in the same way for nonsmooth varieties as well.

The center of a valuation v is the scheme-theoretic point $c = c(v)$ of X such that $v \geq 0$ on $\mathcal{O}_{X,c}$ and $v > 0$ on the maximal ideal $\mathfrak{m}_{X,c}$ of $\mathcal{O}_{X,c}$. The center exists and is unique.

Let X^{an} denote the Berkovich analytification X^{an} of X with respect to the trivial valuation on \mathbb{C} . As a set, X^{an} is the set of semivaluations on X , in other words, real-valued valuations v on irreducible reduced subvarieties Y in X that is trivial on \mathbb{C} . We call Y the *support* of the semivaluation v . In other words,

$$X^{\text{an}} = \coprod_Y Y^{\text{val}}.$$

The Berkovich space X^{an} admits a natural topology, called the Berkovich topology and a sheaf of analytic functions. The natural morphism of ringed spaces $X^{\text{an}} \rightarrow X$ allows us to pullback L to an invertible sheaf L^{an} on X^{an} . See [2] for more details.

In [17], Boucksom and Jonsson developed a pluripotential theory with respect to $(X^{\text{an}}, L^{\text{an}})$, similar to its complex counterpart. In particular, there is a natural notion of plurisubharmonic metrics on L^{an} . In [17, Section 7.1], Boucksom and Jonsson defined the notion of energy pairings $(\varphi_0, \dots, \varphi_n)$ between $n+1$ plurisubharmonic metrics $\varphi_0, \dots, \varphi_n$ on L^{an} . One can then define the space $\mathcal{E}^1(L^{\text{an}})$ of finite-energy metrics as the space of plurisubharmonic functions φ on L^{an} such that

$$E(\varphi) := \frac{1}{n+1}(\varphi, \dots, \varphi) > -\infty.$$

Note that our definition of E differs from the definition of [17] by a multiple $1/V$. We will explain the relation between the non-Archimedean pluripotential theory and the complex pluripotential theory in Section 7.4.

3 The Okounkov bodies of almost semigroups

Fix an integer $n \geq 0$. Fix a closed convex cone $C \subseteq \mathbb{R}^n \times \mathbb{R}_{\geq 0}$ such that $C \cap \{x_{n+1} = 0\} = \{0\}$. Here x_{n+1} is the last coordinate of \mathbb{R}^{n+1} .

3.1 Generality on semigroups

Write $\widehat{\mathcal{S}}(C)$ for the set of subsets of $C \cap \mathbb{Z}^{n+1}$ and $\mathcal{S}(C)$ for the set of subsemigroups $S \subseteq C \cap \mathbb{Z}^{n+1}$. For each $k \in \mathbb{N}$ and $S \in \widehat{\mathcal{S}}(C)$, we write

$$S_k := \{x \in \mathbb{Z}^n \mid (x, k) \in S\}.$$

Note that S_k is a finite set by our assumption on C .

We introduce a pseudometric on $\widehat{\mathcal{S}}(C)$ as follows:

$$d(S, S') := \overline{\lim}_{k \rightarrow \infty} k^{-n} (|S_k| + |S'_k| - 2|(S \cap S')_k|).$$

Here $|\cdot|$ denotes the cardinality of a finite set.

Lemma 3.1 *The above-defined d is a pseudometric on $\widehat{\mathcal{S}}(C)$.*

Proof Only the triangle inequality needs to be argued. Take $S, S', S'' \in \widehat{\mathcal{S}}(C)$. We claim that for any $k \in \mathbb{N}$,

$$|S_k| + |S'_k| - 2|S_k \cap S'_k| + |S''_k| + |S'_k| - 2|S''_k \cap S'_k| \geq |S_k| + |S''_k| - 2|S_k \cap S''_k|.$$

From this the triangle inequality follows. To argue the claim, we rearrange it to the form

$$|S'_k| - |S_k \cap S'_k| \geq |S'_k \cap S''_k| - |S_k \cap S''_k|,$$

which is obvious. □

Given $S, S' \in \widehat{\mathcal{S}}(C)$, we say S is equivalent to S' and write $S \sim S'$ if $d(S, S') = 0$. This is an equivalence relation by Lemma 3.1.

Lemma 3.2 *Given $S, S', S'' \in \widehat{\mathcal{S}}(C)$, we have*

$$d(S \cap S'', S' \cap S'') \leq d(S, S').$$

In particular, if $S^i, S'^i \in \widehat{\mathcal{S}}(C)$ ($i \in \mathbb{N}$) and $S^i \rightarrow S, S'^i \rightarrow S'$, then

$$S^i \cap S'^i \rightarrow S \cap S'.$$

Proof Observe that for any $k \in \mathbb{N}$,

$$|S_k \cap S''_k| - |S_k \cap S'_k \cap S''_k| \leq |S_k| - |S_k \cap S'_k|.$$

The same holds if we interchange S with S' . It follows that

$$|S_k \cap S''_k| + |S'_k \cap S''_k| - 2|S_k \cap S'_k \cap S''_k| \leq |S_k| + |S'_k| - 2|S_k \cap S'_k|.$$

The first assertion follows.

Next we compute

$$d(S^i \cap S'^i, S \cap S') \leq d(S^i \cap S'^i, S^i \cap S') + d(S^i \cap S', S \cap S') \leq d(S^i, S') + d(S^i, S)$$

and the second assertion follows. □

The volume of $S \in \mathcal{S}(C)$ is defined as

$$\text{vol } S := \lim_{k \rightarrow \infty} (ka)^{-n} |S_{ka}| = \overline{\lim}_{k \rightarrow \infty} k^{-n} |S_k|,$$

where a is a sufficiently divisible positive integer. The existence of the limit and its independence from a both follow from the more precise result [43, Theorem 2].

Lemma 3.3 *Let $S, S' \in \mathcal{S}(C)$, then*

$$|\text{vol } S - \text{vol } S'| \leq d(S, S').$$

Proof By definition, we have

$$d(S, S') \geq \text{vol } S + \text{vol } S' - 2 \text{vol}(S \cap S').$$

It follows that $\text{vol } S - \text{vol } S' \leq d(S, S')$ and $\text{vol } S' - \text{vol } S \leq d(S, S')$. □

We define $\bar{\mathcal{S}}(C)$ as the closure of $\mathcal{S}(C)$ in $\hat{\mathcal{S}}(C)$ with respect to the topology defined by the pseudometric d . By Lemma 3.3, $\text{vol}: \mathcal{S}(C) \rightarrow \mathbb{R}$ admits a unique 1-Lipschitz extension to

$$(3-1) \quad \text{vol}: \bar{\mathcal{S}}(C) \rightarrow \mathbb{R}.$$

Lemma 3.4 *Suppose that $S, S' \in \bar{\mathcal{S}}(C)$ and $S \subseteq S'$. Then*

$$\text{vol } S \leq \text{vol } S'.$$

Proof Take sequences S^j and S'^j in $\mathcal{S}(C)$ such that $S^j \rightarrow S$ and $S'^j \rightarrow S'$. By Lemma 3.2, after replacing S^j by $S^j \cap S'^j$, we may assume that $S^j \subseteq S'^j$ for each j . Then our assertion follows easily. \square

3.2 Okounkov bodies of semigroups

Given $S \in \hat{\mathcal{S}}(C)$, we will write $C(S) \subseteq C$ for the closed convex cone generated by $S \cup \{0\}$. Moreover, for each $k \in \mathbb{Z}_{>0}$, we define

$$\Delta_k(S) := \text{Conv}\{k^{-1}x \in \mathbb{R}^n \mid x \in S_k\} \subseteq \mathbb{R}^n.$$

Here Conv denotes the convex hull.

Definition 3.5 Let $\mathcal{S}'(C)$ be the subset of $\mathcal{S}(C)$ consisting of semigroups S such that S generates \mathbb{Z}^{n+1} (as an abelian group).

Note that for any $S \in \mathcal{S}'(C)$, the cone $C(S)$ has full dimension (ie the topological interior is nonempty). Given a full-dimensional subcone $C' \subseteq C$, it is clear that $C' \cap \mathbb{Z}^{n+1} \in \mathcal{S}'(C)$.

This class behaves well under intersections:

Lemma 3.6 *Let $S, S' \in \mathcal{S}'(C)$. Assume that $\text{vol}(S \cap S') > 0$. Then $S \cap S' \in \mathcal{S}'(C)$.*

The lemma obviously fails if $\text{vol}(S \cap S') = 0$.

Proof We first observe that the cone $C(S) \cap C(S')$ has full dimension since otherwise $\text{vol}(S \cap S') = 0$. Take a full-dimensional subcone C' in $C(S) \cap C(S')$ such that C' intersects the boundary of $C(S) \cap C(S')$ only at 0. It follows from [43, Theorem 1] that there is an integer $N > 0$ such that for any $x \in \mathbb{Z}^{n+1} \cap C'$ with Euclidean norm no less than N lies in $S \cap S'$. Therefore, $S \cap S' \in \mathcal{S}'(C)$. \square

We recall the following definition from [43].

Definition 3.7 Given $S \in \mathcal{S}'(C)$, its *Okounkov body* is defined as

$$\Delta(S) := \{x \in \mathbb{R}^n \mid (x, 1) \in C(S)\}.$$

Theorem 3.8 For each $S \in S'(C)$, we have

$$(3-2) \quad \text{vol } S = \lim_{k \rightarrow \infty} k^{-n} |S_k| = \text{vol } \Delta(S) > 0.$$

Moreover, as $k \rightarrow \infty$,

$$(3-3) \quad \Delta_k(S) \xrightarrow{d_n} \Delta(S).$$

This is essentially proved in [52, Lemma 4.8], which itself follows from a theorem of Khovanskii [44]. We remind the readers that (3-2) fails for a general $W \in \mathcal{S}(C)$; see [43, Theorem 2].

Proof The equalities (3-2) follow from the general theorem [43, Theorem 2].

It remains to prove (3-3). By the argument of [52, Lemma 4.8], for any compact set $K \subseteq \text{Int } \Delta(S)$, there is $k_0 > 0$ such that for any $k \geq k_0$, $\alpha \in K \cap (k^{-1}\mathbb{Z})^n$ implies that $\alpha \in \Delta_k(S)$.

In particular, taking $K = \Delta(S)^\delta$ for any $\delta > 0$ and applying Lemma 2.6, we find

$$d_n(\Delta(S), \Delta_k(S)) \leq n^{1/2}k^{-1} + \delta$$

when k is large enough. This implies (3-3). □

Corollary 3.9 Let $S, S' \in S'(C)$. Assume that $\text{vol}(S \cap S') > 0$. Then we have

$$d(S, S') = \text{vol } S + \text{vol } S' - 2 \text{vol}(S \cap S').$$

Proof This is a direct consequence of Lemma 3.6 and (3-2). □

Lemma 3.10 Given $S \in S'(C)$, we have $S \sim \text{Reg}(S)$.

Recall that the regularization $\text{Reg}(S)$ of S is defined as $C(S) \cap \mathbb{Z}^{n+1}$.

Proof Since S and $\text{Reg}(S)$ have the same Okounkov body, we have $\text{vol } S = \text{vol } \text{Reg}(S)$ by Theorem 3.8. By Corollary 3.9 again,

$$d(\text{Reg}(S), S) = \text{vol } \text{Reg}(S) - \text{vol } S = 0. \quad \square$$

Lemma 3.11 Let $S, S' \in S'(C)$. Assume that $d(S, S') = 0$, then $\Delta(S) = \Delta(S')$.

Proof Observe that $\text{vol}(S \cap S') > 0$, as otherwise

$$d(S, S') \geq \text{vol } S + \text{vol } S' > 0,$$

which is a contradiction.

It follows from Lemma 3.6 that $S \cap S' \in S'(C)$. It suffices to show that $\Delta(S) = \Delta(S \cap S')$. In fact, suppose that this holds, since $\text{vol } \Delta(S') = \text{vol } S' = \text{vol } S = \text{vol } \Delta(S)$, the inclusion $\Delta(S') \supseteq \Delta(S \cap S') = \Delta(S)$ is an equality.

By Lemma 3.2, we can therefore replace S' by $S \cap S'$ and assume that $S \supseteq S'$. Then clearly $\Delta(S) \supseteq \Delta(S')$. By (3-2),

$$\text{vol } \Delta(S) = \text{vol } \Delta(S').$$

Thus, $\Delta(S) = \Delta(S')$ by Lemma 2.5. □

Lemma 3.12 *Suppose that $S^i \in S'(C)$ is a decreasing sequence such that*

$$\lim_{i \rightarrow \infty} \text{vol } S^i > 0.$$

Then there is $S \in S'(C)$ such that $S^i \rightarrow S$.

In general, one cannot simply take $S = \bigcap_i S^i$. For example, consider the sequence $S^i = S^1 \cap \{x_{n+1} \geq i\}$.

Proof By Lemma 3.10, we may replace S^i by its regularization and assume that $S^i = C(S^i) \cap \mathbb{Z}^{n+1}$. We define

$$S = \left(\bigcap_{i=1}^{\infty} C(S^i) \right) \cap \mathbb{Z}^{n+1}.$$

Since $\bigcap_{i=1}^{\infty} C(S^i)$ is a full-dimensional cone by assumption, we have $S \in S'(C)$. By Corollary 3.9 and Theorem 3.8, we can compute the distance

$$d(S, S^i) = \text{vol } S^i - \text{vol } S = \text{vol } \Delta(S^i) - \text{vol } \Delta(S),$$

which tends to 0 by construction. □

3.3 Okounkov bodies of almost semigroups

Definition 3.13 We define $\overline{S'(C)}_{>0}$ as elements in the closure of $S'(C)$ in $\widehat{S}(C)$ with positive volume. An element in $\overline{S'(C)}_{>0}$ is called an *almost semigroup* in C .

Recall that the volume here is defined in (3-1).

Our goal is to prove the following theorem:

Theorem 3.14 *The Okounkov body map $\Delta: S'(C) \rightarrow \mathcal{K}_n$, as defined in Definition 3.7, admits a unique continuous extension*

$$(3-4) \quad \Delta: \overline{S'(C)}_{>0} \rightarrow \mathcal{K}_n.$$

Moreover, for any $S \in \overline{S'(C)}_{>0}$, we have

$$(3-5) \quad \text{vol } S = \text{vol } \Delta(S).$$

Proof The uniqueness of the extension is clear as long as it exists. Moreover, (3-5) follows easily from Theorem 3.8 and Theorem 2.3 by continuity. It remains to argue the existence of the continuous extension. We first construct an extension and prove its continuity.

Step 1 We construct the desired map (3-4). Let $S \in \overline{S'(C)}_{>0}$. We wish to construct a convex body $\Delta(S) \in \mathcal{K}_n$.

Let $S^i \in S'(C)$ be a sequence that converges to S such that

$$d(S^i, S^{i+1}) \leq 2^{-i}.$$

For each $i, j \geq 0$, we introduce

$$S^{i,j} = S^i \cap S^{i+1} \dots \cap S^{i+j}.$$

Then, by Lemma 3.2,

$$d(S^{i,j}, S^{i,j+1}) \leq 2^{-i-j}.$$

Take $i_0 > 0$ large enough that, for $i \geq i_0$, $\text{vol } S^i > 2^{-1} \text{vol } S$ and $2^{2-i} < \text{vol } S$ and hence

$$\text{vol } S^i - \text{vol } S^{i,j} \leq d(S^{i,0}, S^{i,1}) + d(S^{i,1}, S^{i,2}) + \dots + d(S^{i,j-1}, S^{i,j}) \leq 2^{1-i}.$$

It follows that $\text{vol } S^{i,j} > 2^{-1} \text{vol } S - 2^{1-i} > 0$ whenever $i \geq i_0$. In particular, by Lemma 3.6, $S^{i,j} \in S'(C)$ for $i \geq i_0$.

By Lemma 3.12, for $i \geq i_0$, there exists $T^i \in S'(C)$ such that $S^{i,j} \rightarrow T^i$ as $j \rightarrow \infty$. Moreover,

$$d(T^i, S) = \lim_{j \rightarrow \infty} d(S^{i,j}, S) \leq \lim_{j \rightarrow \infty} d(S^{i,j}, S^i) + d(S^i, S) \leq 2^{1-i} + d(S^i, S).$$

Therefore, $T^i \rightarrow S$. We then define

$$\Delta(S) := \overline{\bigcup_{i=i_0}^{\infty} \Delta(T^i)}.$$

In other words, we have defined

$$\Delta(S) := \varinjlim_{i \rightarrow \infty} \Delta(S^i).$$

This is an honest limit: if Δ is the limit of a subsequence of $\Delta(S^i)$, then $\Delta(S) \subseteq \Delta$ by (2-1). Comparing the volumes, we find that equality holds. So by Theorem 2.2,

$$(3-6) \quad \Delta(S) = \lim_{i \rightarrow \infty} \Delta(S^i).$$

Next we claim that $\Delta(S)$ as defined above does not depend on the choice of the sequence S^i . In fact, suppose that $S'^i \in S'(C)$ is another sequence satisfying the same conditions as S^i . The same holds for $R^i := S^{i+1} \cap S'^{i+1}$. It follows that

$$\lim_{i \rightarrow \infty} \Delta(R^i) \subseteq \lim_{i \rightarrow \infty} \Delta(S^i).$$

Comparing the volumes, we find that equality holds. The same is true with S'^i in place of S^i . So we conclude that $\Delta(S)$ as in (3-6) does not depend on the choices we made.

Step 2 It remains to prove the continuity of Δ defined in Step 1. Suppose that $S^i \in \overline{S'(C)}_{>0}$ is a sequence with limit $S \in \overline{S'(C)}_{>0}$. We want to show that

$$(3-7) \quad \Delta(S^i) \xrightarrow{d_n} \Delta(S).$$

We first reduce to the case where $S^i \in \mathcal{S}'(C)$. By (3-6), for each i , we can choose $T^i \in \mathcal{S}'(C)$ such that $d(S^i, T^i) < 2^{-i}$ and $d_n(\Delta(S^i), \Delta(T^i)) < 2^{-i}$. If we have shown $\Delta(T^i) \xrightarrow{d_n} \Delta(S)$, then (3-7) follows immediately.

Next we reduce to the case where $d(S^i, S^{i+1}) \leq 2^{-i}$. In fact, thanks to Theorem 2.2, in order to prove (3-7), it suffices to show that each subsequence of $\Delta(S^i)$ admits a subsequence that converges to $\Delta(S)$. Hence, we easily reduce to the required case.

After these reductions, (3-7) is nothing but (3-6). □

Corollary 3.15 *Suppose that $S, S' \in \overline{\mathcal{S}'(C)}_{>0}$ with $S \subseteq S'$, then*

$$(3-8) \quad \Delta(S) \subseteq \Delta(S').$$

Proof Let $S^j, S'^j \in \mathcal{S}'(C)$ be elements such that $S^j \rightarrow S$ and $S'^j \rightarrow S'$. Then it follows from Lemma 3.2 that $S^j \cap S'^j \rightarrow S$. Since vol is continuous, for large j , $S^j \cap S'^j$ has positive volume and hence lies in $\mathcal{S}'(C)$ by Lemma 3.6. We may therefore replace S^j by $S^j \cap S'^j$ and assume that $S^j \subseteq S'^j$. Hence (3-8) follows from the continuity of Δ proved in Theorem 3.14. □

Remark 3.16 As the readers can easily verify, the construction of Δ is independent of the choice of C in the following sense: Suppose that C' is another cone satisfying the same assumptions as C and $C' \supseteq C$, then the Okounkov body map $\Delta: \overline{\mathcal{S}'(C')}_{>0} \rightarrow \mathcal{K}_n$ is an extension of the corresponding map (3-4). We will constantly use this fact without further explanations.

4 The metric on the space of singularity types

Let X be a connected compact Kähler manifold of dimension n and θ (resp. θ_i for $i = 1, \dots, n$) be a smooth real $(1, 1)$ -form representing a big $(1, 1)$ -cohomology class $[\theta]$ (resp. $[\theta_i]$). Let ω be a Kähler form on X .

In this section, we develop further the metric geometry on the space of singularity types of quasi-psh functions, initiated in [29] and studied further in [32].

As explained in [29, Section 3], one can introduce a pseudometric d_S on the set of singularity types of functions in $\text{PSH}(X, \theta)$. In particular, d_S lifts to a pseudometric on $\text{PSH}(X, \theta)$ as well. We do not recall the precise definition, as the following double inequality from [29, Proposition 3.5] will be enough for us. For any $\varphi, \psi \in \text{PSH}(X, \theta)$ we have

$$(4-1) \quad d_S(\varphi, \psi) \leq \sum_{i=0}^n \left(2 \int_X \theta_{\max\{\varphi, \psi\}}^i \wedge \theta_{V_\theta}^{n-i} - \int_X \theta_\varphi^i \wedge \theta_{V_\theta}^{n-i} - \int_X \theta_\psi^i \wedge \theta_{V_\theta}^{n-i} \right) \leq C_0 d_S(\varphi, \psi),$$

where $C_0 > 1$ is a constant depending only on n . In addition, $d_S(\varphi, \psi) = 0$ if and only if

$$C[\varphi] = C[\psi].$$

When there is a risk of confusion, we write $d_{S, \theta}$ instead of d_S .

Lemma 4.1 Let $\varphi_i \in \text{PSH}(X, \theta_i)$ for $i = 1, \dots, n$. Then

$$\int_X \theta_{1,\varphi_1} \wedge \dots \wedge \theta_{n,\varphi_n} = \int_X \theta_{1,C[\varphi_1]} \wedge \dots \wedge \theta_{n,C[\varphi_n]}.$$

Proof From the definition (2-2), we have $[u] \leq [C[u]]$, the \leq direction is obvious. For the reverse direction, recall that $C[\varphi_i] = \lim_{\epsilon \rightarrow 0+} P[(1 - \epsilon)\varphi_i + \epsilon V_{\theta_i}]$. Thus, for $\epsilon \in (0, 1)$,

$$\int_X \theta_{1,C[\varphi_1]} \wedge \dots \wedge \theta_{n,C[\varphi_n]} \geq (1 - \epsilon)^n \int_X \theta_{1,\varphi_1} \wedge \dots \wedge \theta_{n,\varphi_n}.$$

Letting $\epsilon \rightarrow 0+$, we conclude. □

Theorem 4.2 Let $\varphi_i^k, \varphi_i \in \text{PSH}(X, \theta_i)$ for $i = 1, \dots, n$ and $k \in \mathbb{N}$. Assume that $\varphi_i^k \xrightarrow{d_{S,\theta_i}} \varphi_i$ as $k \rightarrow \infty$. Then

$$(4-2) \quad \lim_{k \rightarrow \infty} \int_X \theta_{1,\varphi_1^k} \wedge \dots \wedge \theta_{n,\varphi_n^k} = \int_X \theta_{1,\varphi_1} \wedge \dots \wedge \theta_{n,\varphi_n}.$$

Proof By Lemma 4.1 and [29, Theorem 3.3], we may assume that φ_i^k and φ^k are model potentials.

Step 1 We assume that there is a constant $\delta > 0$ such that for all i and k ,

$$\int_X \theta_{i,\varphi_i^k}^n > \delta.$$

In order to show (4-2), it suffices to prove that any subsequence of $\int_X \theta_{1,\varphi_1^k} \wedge \dots \wedge \theta_{n,\varphi_n^k}$ has a converging subsequence with limit $\int_X \theta_{1,\varphi_1} \wedge \dots \wedge \theta_{n,\varphi_n}$. Thus, by [29, Theorem 5.6], we may assume that for each fixed i , φ_i^k is either increasing or decreasing. We may assume that for $i \leq i_0$, the sequence is decreasing and for $i > i_0$, the sequence is increasing.

Recall that in (4-2) the \geq inequality always holds [26, Theorem 2.3], it suffices to prove

$$(4-3) \quad \overline{\lim}_{k \rightarrow \infty} \int_X \theta_{1,\varphi_1^k} \wedge \dots \wedge \theta_{n,\varphi_n^k} \leq \int_X \theta_{1,\varphi_1} \wedge \dots \wedge \theta_{n,\varphi_n}.$$

By Witt Nyström’s monotonicity theorem [53; 26], in order to prove (4-3), we may assume that for $j > i_0$, the sequences φ_j^k are constant. Thus, we are reduced to the case where for all i , the φ_i^k are decreasing.

In this case, for each i we may take an increasing sequence $b_i^k > 1$, tending to ∞ , such that

$$(b_i^k)^n \int_X \theta_{i,\varphi_i}^n > ((b_i^k)^n - 1) \int_X \theta_{i,\varphi_i^k}^n.$$

Let ψ_i^k be the maximal θ_i -psh function such that

$$(b_i^k)^{-1} \psi_i^k + (1 - (b_i^k)^{-1}) \varphi_i^k \leq \varphi_i,$$

whose existence is guaranteed by [29, Lemma 4.3].

Then by Witt Nyström’s monotonicity theorem [53; 26] again,

$$\prod_{i=1}^n (1 - (b_i^k)^{-1}) \int_X \theta_{1, \varphi_1^k} \wedge \cdots \wedge \theta_{n, \varphi_n^k} \leq \int_X \theta_{1, \varphi_1} \wedge \cdots \wedge \theta_{n, \varphi_n}.$$

Letting $k \rightarrow \infty$, we conclude (4-3).

Step 2 Now we deal with the general case.

We claim that if $t \in (0, 1]$, then $(1 - t)\varphi_i^k + tV_{\theta_i} \xrightarrow{d_S} (1 - t)\varphi_i + tV_{\theta_i}$ as $k \rightarrow \infty$ for each i . From this and Step 1, we find that for $t_i \in (0, 1]$,

$$\lim_{k \rightarrow \infty} \int_X \theta_{1, (1-t_1)\varphi_1^k + t_1V_{\theta_1}} \wedge \cdots \wedge \theta_{n, (1-t_n)\varphi_n^k + t_nV_{\theta_n}} = \int_X \theta_{1, (1-t_1)\varphi_1 + t_1V_{\theta_1}} \wedge \cdots \wedge \theta_{n, (1-t_n)\varphi_n + t_nV_{\theta_n}}.$$

Thus, (4-2) follows, after letting $t_i \searrow 0$.

It remains to prove the claim. For simplicity, we suppress the i indices momentarily. We need to argue that

$$2 \int_X \theta_{\max\{(1-t)\varphi^k + tV_{\theta}, (1-t)\varphi + tV_{\theta}\}}^j \wedge \theta_{V_{\theta}}^{n-j} - \int_X \theta_{(1-t)\varphi^k + tV_{\theta}}^j \wedge \theta_{V_{\theta}}^{n-j} - \int_X \theta_{(1-t)\varphi + tV_{\theta}}^j \wedge \theta_{V_{\theta}}^{n-j} \rightarrow 0.$$

Note that the above expression is a linear combination of terms of the following type:

$$2 \int_X \theta_{\max\{\varphi^k, \varphi\}}^r \wedge \theta_{V_{\theta}}^{n-r} - \int_X \theta_{\varphi^k}^r \wedge \theta_{V_{\theta}}^{n-r} - \int_X \theta_{\varphi}^r \wedge \theta_{V_{\theta}}^{n-r}.$$

Thanks to (4-1), all these expressions tend to 0 as $k \rightarrow \infty$ since $\varphi^k \xrightarrow{d_S} \varphi$, which proves our claim. \square

Corollary 4.3 Let $\varphi^k, \varphi \in \text{PSH}(X, \theta)$ for $k \in \mathbb{N}$. Let ω be a Kähler form on X . Assume that $\varphi^k \xrightarrow{d_{S, \theta}} \varphi$. Then $\varphi^k \xrightarrow{d_{S, \theta + \omega}} \varphi$.

Proof It suffices to show that for each $j = 0, \dots, n$, we have

$$2 \int_X (\theta + \omega)_{\max\{\varphi^k, \varphi\}}^j \wedge (\theta + \omega)_{V_{\theta + \omega}}^{n-j} - \int_X (\theta + \omega)_{\varphi^k}^j \wedge (\theta + \omega)_{V_{\theta + \omega}}^{n-j} - \int_X (\theta + \omega)_{\varphi}^j \wedge (\theta + \omega)_{V_{\theta + \omega}}^{n-j} \rightarrow 0$$

as $k \rightarrow \infty$. Note that this quantity is a linear combination of terms of the form

$$2 \int_X \theta_{\max\{\varphi^k, \varphi\}}^r \wedge \omega^{j-r} \wedge (\theta + \omega)_{V_{\theta + \omega}}^{n-j} - \int_X \theta_{\varphi^k}^r \wedge \omega^{j-r} \wedge (\theta + \omega)_{V_{\theta + \omega}}^{n-j} - \int_X \theta_{\varphi}^r \wedge \omega^{j-r} \wedge (\theta + \omega)_{V_{\theta + \omega}}^{n-j},$$

where $r = 0, \dots, j$. By Theorem 4.2, it suffices to show that $\max\{\varphi, \varphi^k\} \xrightarrow{d_S} \varphi$. But this follows from [29, Proposition 3.5]. \square

Corollary 4.4 Let $\varphi \in \text{PSH}(X, \theta)$ be an \mathcal{I} -model potential of positive mass. Let ω be a Kähler form on X . Then $P^{\theta + \omega}[\varphi]$ is \mathcal{I} -model.

Proof By [33, Theorem 3.8], we may take a sequence φ^j with analytic singularities such that $\varphi^j \xrightarrow{d_{S, \theta}} \varphi$. Then $\varphi^j \xrightarrow{d_{S, \theta + \omega}} \varphi$ by Corollary 4.3. Thus, $P^{\theta + \omega}[\varphi]$ is \mathcal{I} -model. \square

Corollary 4.5 Let $\varphi^j, \varphi \in \text{PSH}(X, \theta_1)$ and $\psi^j, \psi \in \text{PSH}(X, \theta_2)$ for $j \in \mathbb{N}$. Assume that $\varphi^j \xrightarrow{d_S, \theta_1} \varphi$, $\psi^j \xrightarrow{d_S, \theta_2} \psi$. Then

$$\varphi^j + \psi^j \xrightarrow{d_S, \theta_1 + \theta_2} \varphi + \psi.$$

Proof Let $\theta = \theta_1 + \theta_2$. It suffices to show that for each $r = 0, \dots, n$,

$$2 \int_X \theta^r_{\max\{\varphi^j + \psi^j, \varphi + \psi\}} \wedge \theta^{n-r}_{V_\theta} - \int_X \theta^r_{\varphi^j + \psi^j} \wedge \theta^{n-r}_{V_\theta} - \int_X \theta^r_{\varphi + \psi} \wedge \theta^{n-r}_{V_\theta} \rightarrow 0.$$

Observe that

$$\max\{\varphi^j + \psi^j, \varphi + \psi\} \leq \max\{\varphi^j, \varphi\} + \max\{\psi^j, \psi\}.$$

Thus, it suffices to show that

$$2 \int_X \theta^r_{\max\{\varphi^j, \varphi\} + \max\{\psi^j, \psi\}} \wedge \theta^{n-r}_{V_\theta} - \int_X \theta^r_{\varphi^j + \psi^j} \wedge \theta^{n-r}_{V_\theta} - \int_X \theta^r_{\varphi + \psi} \wedge \theta^{n-r}_{V_\theta} \rightarrow 0.$$

The left-hand side is a linear combination of

$$2 \int_X \theta^a_{1, \max\{\varphi^j, \varphi\}} \wedge \theta^{r-a}_{2, \max\{\psi^j, \psi\}} \wedge \theta^{n-r}_{V_\theta} - \int_X \theta^a_{1, \varphi^j} \wedge \theta^{r-a}_{2, \psi^j} \wedge \theta^{n-r}_{V_\theta} - \int_X \theta^a_{1, \varphi} \wedge \theta^{r-a}_{2, \psi} \wedge \theta^{n-r}_{V_\theta}$$

with $a = 0, \dots, r$. Observe that $\max\{\varphi^j, \varphi\} \xrightarrow{d_S} \varphi$ and $\max\{\psi^j, \psi\} \xrightarrow{d_S} \psi$ by [29, Proposition 3.5], each term tends to 0 by Theorem 4.2. □

Finally, we prove the continuity of $P[\bullet]_{\mathcal{I}}$.

Theorem 4.6 The map $\text{PSH}(X, \theta)_{>0} \rightarrow \text{PSH}(X, \theta)_{>0}$ given by $\varphi \mapsto P[\varphi]_{\mathcal{I}}$ is continuous with respect to the d_S -pseudometric.

Here $\text{PSH}(X, \theta)_{>0}$ denotes the subset of $\text{PSH}(X, \theta)$ consisting of φ with $\int_X \theta^n_\varphi > 0$.

Proof Let $\varphi_i, \varphi \in \text{PSH}(X, \theta)_{>0}$, with $\varphi_i \xrightarrow{d_S} \varphi$. We want to show that

$$(4-4) \quad P[\varphi_i]_{\mathcal{I}} \xrightarrow{d_S} P[\varphi]_{\mathcal{I}}.$$

We may assume that the φ_i and φ are all model potentials by [29, Theorem 3.3]. By [29, Theorem 5.6], we may assume that φ_i is either increasing or decreasing. These cases follow from [32, Lemma 2.21] and [29, Proposition 4.8, Lemma 4.1]. □

5 Partial Okounkov bodies

Let X be an irreducible smooth complex projective variety of dimension n and L be a big line bundle on X . Take a singular psh metric ϕ on L . We assume that $\text{vol}(L, \phi) > 0$. Let h be a smooth Hermitian metric on L . Let $\theta = c_1(L, h)$. Then we can identify ϕ with a function $\varphi \in \text{PSH}(X, \theta)$. We will use interchangeably the notations (θ, φ) and (L, ϕ) .

For each $k \geq 0$,

$$W_k(\theta, \varphi) := H^0(X, L^k \otimes \mathcal{I}(k\varphi)) \quad \text{and} \quad W(\theta, \varphi) := \bigoplus_{k=0}^{\infty} W_k(\theta, \varphi).$$

We omit (θ, φ) from our notations when there is no risk of confusion. Observe that $W_k(\theta, \varphi) \neq 0$ when k is large enough, as follows from Theorem 2.19.

Fix a rank n valuation $\nu: \mathbb{C}(X)^\times \rightarrow \mathbb{Z}^n$. We will write

$$\begin{aligned} \Gamma_{\nu,k}(\theta, \varphi) &= \{k^{-1}\nu(s) \mid s \in W_k(\theta, \varphi)^\times\} \quad \text{for } k \geq 1, \\ \Gamma_\nu(\theta, \varphi) &= \{(\nu(s), k) \mid k \in \mathbb{N}, s \in W_k(\theta, \varphi)^\times\}. \end{aligned}$$

In [45], Lazarsfeld and Mustață only considered the case where ν is induced by an admissible flag, but thanks to Remark 2.8, their results can be easily extended to the current setup. We will use these results without further comments.

5.1 Construction of partial Okounkov bodies

Our goal in this section is to show that $\Gamma_\nu(\theta, \varphi) \in \overline{\mathcal{S}'(\Delta_\nu(L))}_{>0}$, namely it is an almost semigroup. Then we shall define

$$(5-1) \quad \Delta_\nu(\theta, \varphi) := \Delta(\Gamma_\nu(\theta, \varphi))$$

using the theory of Okounkov bodies of almost semigroups developed in Section 3.3. Moreover, we have

$$(5-2) \quad \text{vol } \Delta_\nu(\theta, \varphi) = \frac{1}{n!} \int_X \theta_{P[\varphi]}^n.$$

5.1.1 The case of analytic singularities Assume that φ has analytic singularities and θ_φ is a Kähler current.

For any rational $\epsilon \geq 0$, we define

$$(5-3) \quad W_k^\epsilon = W_k^\epsilon(\theta, \varphi) := \{s \in H^0(X, L^k) \mid |s|_{h^k}^2 e^{-k(1-\epsilon)\varphi} \text{ is bounded}\}.$$

Then $W^\epsilon := \bigoplus_{k=0}^{\infty} W_k^\epsilon$ has the property that

$$(5-4) \quad \Gamma_\nu(W^\epsilon) := \{(\nu(s), k) \mid k \in \mathbb{N}, s \in W_k^{\epsilon,\times}\} \in \mathcal{S}'(\Delta_\nu(L)).$$

To see this, we may assume that φ has analytic singularities along a \mathbb{Q} -divisor E . Then (5-4) follows from the fact that $L - (1 - \epsilon)E$ is big, proved in [55, Lemma 2.4]; cf [45, Lemma 2.2].

For any $\epsilon \in \mathbb{Q}_{>0}$, we have that

$$(5-5) \quad W_k^0 \subseteq W_k \subseteq W_k^\epsilon$$

for k large enough depending on ϵ . The first inclusion is of course trivial. The second inclusion is widely known among experts. A detailed proof can be found in [33, Remark 2.9].

Let $\pi : Y \rightarrow X$ be a resolution such that $\pi^* \varphi$ has analytic singularities along a normal crossing \mathbb{Q} -divisor E . Then we have a natural identification for sufficiently divisible k ,

$$W_k^\epsilon \cong H^0(Y, \pi^* L^k \otimes \mathcal{O}_Y(-(1-\epsilon)kE)).$$

On the other hand,

$$W_k^0 \cong H^0(Y, \pi^* L^k \otimes \mathcal{O}_Y(-kE)) \subseteq H^0(Y, \pi^* L^k).$$

We compute the volumes

$$(5-6) \quad \text{vol } \Gamma_\nu(W^\epsilon) = \frac{1}{n!} \int_X \theta_{(1-\epsilon)\varphi}^n \quad \text{and} \quad \text{vol } \Gamma_\nu(W^0) = \frac{1}{n!} \int_X \theta_\varphi^n.$$

It follows that $\Gamma_\nu(W^\epsilon) \rightarrow \Gamma_\nu(W^0)$ and $\Gamma_\nu(\theta, \varphi)$ is equivalent to $\Gamma_\nu(W^0)$. In particular, we get that $\Gamma_\nu(\theta, \varphi) \in \overline{\mathcal{S}'(\Delta_\nu(L))}_{>0}$, (5-1) makes sense and (5-2) holds.

Remark 5.1 It follows from the proof that if $W^0(\theta, \varphi)$ is defined as in (5-3) and (5-4):

$$W_k^0(\theta, \varphi) := \{s \in H^0(X, L^k) \mid |s|_{h^k}^2 e^{-k\varphi} \text{ is bounded}\},$$

then

$$(5-7) \quad \Delta(\Gamma_\nu(W^0(\theta, \varphi))) = \Delta_\nu(\theta, \varphi).$$

If we assume furthermore that $\pi^* \varphi$ has analytic singularity along some normal crossing \mathbb{Q} -divisor E on Y , then $\Delta_\nu(\theta, \varphi)$ is just the translation of $\Delta_\nu(\pi^* L - E)$ by $\nu(E)$.

5.1.2 The case of Kähler currents Now assume that θ_φ is Kähler current. Let $\varphi^j \in \text{PSH}(X, \theta)$ be a quasi-equisingular approximation of φ . Then $\varphi^j \xrightarrow{d_S} P[\varphi]_{\mathcal{I}}$ by [33, Proposition 3.3].

In this case, we claim that

$$(5-8) \quad \Gamma_\nu(\theta, \varphi^j) \rightarrow \Gamma_\nu(\theta, \varphi).$$

In fact, by Theorem 2.19, we have

$$\begin{aligned} d(\Gamma_\nu(\theta, \varphi^j), \Gamma_\nu(\theta, \varphi)) &= \overline{\lim}_{k \rightarrow \infty} k^{-n} (h^0(X, L^k \otimes \mathcal{I}(k\varphi^j)) - h^0(X, L^k \otimes \mathcal{I}(k\varphi))) \\ &= \lim_{k \rightarrow \infty} k^{-n} h^0(X, L^k \otimes \mathcal{I}(k\varphi^j)) - \lim_{k \rightarrow \infty} k^{-n} h^0(X, L^k \otimes \mathcal{I}(k\varphi)) \\ &= \frac{1}{n!} \int_X \theta_{\varphi^j}^n - \frac{1}{n!} \int_X \theta_{P[\varphi]_{\mathcal{I}}}^n. \end{aligned}$$

Letting $j \rightarrow \infty$, we conclude (5-8) by Theorem 4.2.

Thus, $\Gamma_\nu(\theta, \varphi) \in \overline{\mathcal{S}'(\Delta_\nu(L))}_{>0}$ and (5-1) makes sense. By Theorem 3.14, we find that

$$\Delta_\nu(\theta, \varphi) = \bigcap_{j=0}^{\infty} \Delta_\nu(\theta, \varphi^j).$$

In particular, (5-2) holds.

5.1.3 General case Now we consider general φ with the assumption that $\int_X \theta_{P[\varphi]_X}^n > 0$. We may replace φ with $P[\varphi]_X$ and then assume that the nonpluripolar mass of φ is positive. Take a potential $\psi \in \text{PSH}(X, \theta)$ such that $\psi \leq \varphi$ and θ_ψ is a Kähler current. The existence of ψ is proved in [33, Proposition 3.6]. For each $\epsilon \in \mathbb{Q} \cap (0, 1]$, let $\varphi_\epsilon = (1 - \epsilon)\varphi + \epsilon\psi$. Then we have $W(\theta, \varphi_\epsilon) \subseteq W(\theta, \varphi)$. By (5-2),

$$\text{vol } \Delta_\nu(\theta, \varphi_\epsilon) = \frac{1}{n!} \int_X \theta_{P[\varphi_\epsilon]_X}^n.$$

We claim that

$$\Gamma_\nu(\theta, \varphi_\epsilon) \rightarrow \Gamma_\nu(\theta, \varphi).$$

In fact, this follows from the simple computation

$$\begin{aligned} d(\Gamma_\nu(\theta, \varphi_\epsilon), \Gamma_\nu(\theta, \varphi)) &= \overline{\lim}_{k \rightarrow \infty} k^{-n} (h^0(X, L^k \otimes \mathcal{I}(k\varphi)) - h^0(X, L^k \otimes \mathcal{I}(k\varphi_\epsilon))) \\ &= \lim_{k \rightarrow \infty} k^{-n} h^0(X, L^k \otimes \mathcal{I}(k\varphi)) - \lim_{k \rightarrow \infty} k^{-n} h^0(X, L^k \otimes \mathcal{I}(k\varphi_\epsilon)) \\ &= \frac{1}{n!} \int_X \theta_\varphi^n - \frac{1}{n!} \int_X \theta_{P[\varphi_\epsilon]_X}^n. \end{aligned}$$

By [33, Proposition 2.7], as ϵ decreases to 0, $P[\varphi_\epsilon]_X$ increases to $P[\varphi]_X = \varphi$ a.e., which implies the d_S -convergence by [29, Lemma 4.1]. Therefore, the right-hand side of the above equation converges to 0 by Theorem 4.2. Our claim is proved. It follows that $\Gamma_\nu(\theta, \varphi) \in \overline{\mathcal{S}'(\Delta_\nu(L))}_{>0}$ and (5-1) makes sense. By Theorem 3.14,

$$\Delta_\nu(\theta, \varphi) = \bigcup_{\epsilon > 0} \overline{\Delta_\nu(\theta, \varphi_\epsilon)}.$$

It remains to verify (5-2):

$$\text{vol } \Delta_\nu(\theta, \varphi) = \frac{1}{n!} \lim_{\epsilon \rightarrow 0^+} \int_X \theta_{P[\varphi_\epsilon]_X}^n = \frac{1}{n!} \int_X \theta_{P[\varphi]_X}^n.$$

Definition 5.2 Assume that $\varphi \in \text{PSH}(X, \theta)$, where $\int_X \theta_{P[\varphi]_X}^n > 0$. We call $\Delta_\nu(\theta, \varphi)$ the *partial Okounkov body* of (L, ϕ) or of (θ, φ) with respect to ν . When ν is induced by an admissible flag (Y_\bullet) on X (see Definition 2.7), we also say that $\Delta_\nu(\theta, \varphi)$ the *partial Okounkov body* of (L, ϕ) or of (θ, φ) with respect to (Y_\bullet) . In this case, we also write Δ_{Y_\bullet} instead of Δ_ν .

We use interchangeably the notations $\Delta_\nu(\theta, \varphi)$ and $\Delta_\nu(L, \phi)$. When there is no risk of confusion, we write Δ instead of Δ_ν or Δ_{Y_\bullet} .

Remark 5.3 We have assumed X to be smooth only for simplicity. All of our constructions work equally well when X is normal or merely unibranch, based on the pluripotential theory in these settings developed in [54].

Remark 5.4 In the transcendental setting, a theory of Okounkov bodies was recently established in [31] based on the work of [37]. The proof of the volume identity of transcendental Okounkov bodies relies on the technique of partial Okounkov bodies developed in this paper. The transcendental analogue of the partial Okounkov bodies is constructed in a forthcoming joint paper with T Darvas.

5.2 Basic properties of partial Okounkov bodies

We first show that $\Delta(\theta, \varphi)$ does not depend on the explicit choices of L, h and φ , it just depends on $\text{dd}^c \phi$.

Lemma 5.5 *Let L' be another big line bundle on X . Let h' be a smooth Hermitian metric on L' with $c_1(L, h) = c_1(L', h')$. Then $\Delta(\theta, \varphi)$ defined with respect to (L, h) is the same as the one defined with respect to (L', h') .*

Proof From our construction, we may assume that θ_φ is a Kähler current and φ has analytic singularities. After taking a birational resolution, it suffices to deal with the case where φ has analytic singularities along normal crossing \mathbb{Q} -divisors E . By rescaling, we may also assume that E is a divisor. By Remark 5.1, we further reduce to the case without the singular potential ϕ .

In this case, the assertion is proved in [45, Proposition 4.1]. □

Lemma 5.6 *Let h' be another smooth Hermitian metric on L . Set $\theta' = c_1(L, h')$. Write $\text{dd}^c f = \theta - \theta'$. Let $\varphi' = \varphi + f \in \text{PSH}(X, \theta')$. Then*

$$(5-9) \quad \Delta(\theta, \varphi) = \Delta(\theta', \varphi').$$

Proof This is obvious as $W(\theta, \varphi) = W(\theta', \varphi')$. □

Corollary 5.7 *The partial Okounkov body $\Delta(L, \phi)$ depends only on $\text{dd}^c \phi$, not on the explicit choices of L, ϕ and h .*

Thanks to this result, given a closed positive $(1, 1)$ -current $T \in c_1(L)$ on X with $\int_X T^n > 0$, we can define $\Delta(T)$ as $\Delta(\theta, \varphi)$ if $T = \theta + \text{dd}^c \varphi$ for some $\varphi \in \text{PSH}(X, \theta)$.

Proof This is a direct consequence of Lemmas 5.5 and 5.6. □

Let $\text{PSH}(X, \theta)_{>0}$ denote the subset of $\text{PSH}(X, \theta)$ consisting of potentials φ such that $\int_X \theta_\varphi^n > 0$.

Proposition 5.8 *Let $\varphi, \psi \in \text{PSH}(X, \theta)_{>0}$. Assume that $\varphi \preceq_{\mathcal{I}} \psi$. Then*

$$(5-10) \quad \Delta(\theta, \varphi) \subseteq \Delta(\theta, \psi).$$

In particular, as by definition, $\Delta(\theta, V_\theta) = \Delta(L)$, we have

$$\Delta(\theta, \varphi) \subseteq \Delta(L).$$

Proof This follows from Corollary 3.15. □

Theorem 5.9 *The Okounkov body map*

$$\Delta(\theta, \bullet): (\text{PSH}(X, \theta)_{>0}, d_S) \rightarrow (\mathcal{K}_n, d_n)$$

is continuous.

Remark 5.10 On the other hand, it is of interest to understand the dependence of $\Delta(\theta, \bullet)$ on ν as well. For some preliminary results and anticipations in the usual Okounkov body setting, see [1]. In particular, see [1, Conjecture 10.1] for a concrete continuity conjecture.

Proof Let $\varphi_j \rightarrow \varphi$ be a d_S -convergent sequence in $\text{PSH}(X, \theta)_{>0}$. We want to show that

$$(5-11) \quad \Delta(\theta, \varphi_j) \xrightarrow{d_n} \Delta(\theta, \varphi).$$

By Proposition 5.8 and [29, Theorem 3.3], we may assume that all the φ_j and φ are model potentials. By Theorem 2.2 and [29, Theorem 5.6], we may assume that φ_j is either decreasing or increasing. By Theorem 4.6, we may further assume that the φ_j are \mathcal{I} -model. In both cases, we claim that $\Gamma_\nu(\theta, \varphi_j) \rightarrow \Gamma_\nu(\theta, \varphi)$. In fact, we can compute their distance as

$$d(\Gamma_\nu(\theta, \varphi_j), \Gamma_\nu(\theta, \varphi)) = \overline{\lim}_{k \rightarrow \infty} k^{-n} |h^0(X, L^k \otimes \mathcal{I}(k\varphi_j)) - h^0(X, L^k \otimes \mathcal{I}(k\varphi))| = \frac{1}{n!} \left| \int_X \theta_{\varphi_j}^n - \int_X \theta_\varphi^n \right|,$$

where we applied Theorem 2.19 at the last step. Then Theorem 4.2 implies our claim. Hence, (5-11) follows from Theorem 3.14. □

Although $W(\theta, \varphi)$ and $\Gamma_\nu(\theta, \varphi)$ are not birationally invariant, we could still show that the Okounkov body is.

Proposition 5.11 *Let $\pi : Y \rightarrow X$ be a birational resolution. Let (L, ϕ) be a Hermitian big line bundle on X with positive volume. Then*

$$\Delta(\pi^*L, \pi^*\phi) = \Delta(L, \phi).$$

Here we are using the same valuation ν on the function field $\mathbb{C}(Y) = \mathbb{C}(X)$ of Y .

Proof By Definition 2.12(3), $P_\theta[\bullet]_{\mathcal{I}}$ commutes with birational pullbacks, we may assume that φ is \mathcal{I} -model. By [33, Theorem 3.8], we can find a sequence $\varphi^j \in \text{PSH}(X, \theta)$ with analytic singularities such that $\varphi^j \xrightarrow{d_S} \varphi$. It follows from (4-1) that $\pi^*\varphi^j \xrightarrow{d_S} \pi^*\varphi$. By Theorem 5.9, we may then reduce to the case where φ has analytic singularities. In this case, up to replacing Y by a further sequences of blowups, we may assume that $\pi^*\varphi$ has analytic singularities along a normal crossing \mathbb{Q} -divisor D . It suffices to apply Remark 5.1. □

Next we prove the Brunn–Minkowski inequality.

Proposition 5.12 *Let $(L, \phi), (L', \phi')$ be Hermitian big line bundles on X of positive volumes. Then*

$$(\text{vol } \Delta(L + L', \phi + \phi'))^{1/n} \geq (\text{vol } \Delta(L, \phi))^{1/n} + (\text{vol } \Delta(L', \phi'))^{1/n}.$$

Proof This follows from Corollary 2.20. □

Proposition 5.13 *Let (L', ϕ') be another Hermitian big line bundle on X with positive volume. Then*

$$\Delta(L, \phi) + \Delta(L', \phi') \subseteq \Delta(L \otimes L', \phi \otimes \phi').$$

Proof Take a smooth metric h' on L' , and let $\theta' = c_1(L', h')$. We identify ϕ' with $\varphi' \in \text{PSH}(X, \theta')$. Then we need to show

$$(5-12) \quad \Delta(\theta, \varphi) + \Delta(\theta', \varphi') \subseteq \Delta(\theta + \theta', \varphi + \varphi').$$

By [33, Theorem 3.8], we can find $\varphi^j \in \text{PSH}(X, \theta)$ and $\varphi'^j \in \text{PSH}(X, \theta')$ such that

- (1) φ^j and φ'^j both have analytic singularities and have positive masses,
- (2) $\varphi^j \xrightarrow{d_S} \varphi$ and $\varphi'^j \xrightarrow{d_S} \varphi'$.

Then $\varphi^j + \varphi'^j \in \text{PSH}(X, \theta + \theta')$ and $\varphi^j + \varphi'^j \xrightarrow{d_S} \varphi + \varphi'$ by Corollary 4.5. Thus, by Theorem 5.9, we may assume that φ and ψ both have analytic singularities. Taking a birational resolution, we may further assume that they have analytic singularities along some normal crossing divisors. By Remark 5.1, we reduce to the case without singularities, in which case the result is well-known; see for example [45, Proof of Corollary 4.12]. □

Theorem 5.14 *Let $\varphi, \psi \in \text{PSH}(X, \theta)_{>0}$. Then for any $t \in (0, 1)$,*

$$(5-13) \quad \Delta(\theta, t\varphi + (1-t)\psi) \supseteq t\Delta(\theta, \varphi) + (1-t)\Delta(\theta, \psi).$$

Proof We may assume that t is rational as a consequence of Theorem 5.9. Similarly, by [33, Theorem 3.8], we could reduce to the case where both φ and ψ have analytic singularities. Taking a resolution, we may assume that φ (resp. ψ) has analytic singularities along a normal crossing \mathbb{Q} -divisor E (resp. E'). In this case, let $N > 0$ be an integer such that Nt is an integer. Then for any $s \in W_k^0(\theta, \varphi)$ and $r \in W_k^0(\theta, \psi)$, we have

$$(s^t r^{1-t})^N \in W_{Nk}^0(\theta, t\varphi + (1-t)\psi).$$

By Theorem 3.8, (5-13) follows. □

Proposition 5.15 *For any integer $a > 0$,*

$$\Delta(a\theta, a\varphi) = a\Delta(\theta, \varphi).$$

Proof By Theorem 5.9, it suffices to treat the case where φ has analytic singularities. Taking a birational resolution, we may assume that φ has analytic singularities along a normal crossing \mathbb{Q} -divisor E . By Remark 5.1, we reduce to the case without the singularity φ , which is already proved in [45]. □

In particular, if T is a closed positive $(1, 1)$ -current on X with $\int_X T^n > 0$ and such that the cohomology class of T lies in the Néron–Severi group with rational coefficients, then we can define $\Delta(T)$ as $a^{-1}\Delta(aT)$ for a sufficiently divisible positive integer a .

We also need the following perturbation. Let A be an ample line bundle on X . Fix a smooth Hermitian metric h_A on A such that $\omega := c_1(A, h_A)$ is a Kähler form on X . Then for any $\delta \in \mathbb{Q}_{>0}$, we can define

$$\Delta(\theta + \delta\omega, \varphi) := \Delta(\theta + \delta\omega + dd^c\varphi) = C^{-1}\Delta(C\theta + C\delta\omega, C\varphi),$$

where $C \in \mathbb{N}_{>0}$ is any integer so that $C\delta \in \mathbb{N}$.

Proposition 5.16 *Under the above assumptions, as $\delta \in \mathbb{Q}_{>0}$ decreases to 0, $\Delta(\theta + \delta\omega, \varphi)$ is decreasing under inclusion with Hausdorff limit $\Delta(\theta, \varphi)$.*

Proof Let $0 \leq \delta < \delta'$ be two rational numbers. Take $C \in \mathbb{N}_{>0}$ divisible enough, so that $C\delta$ and $C\delta'$ are both integers. Then by Proposition 5.13,

$$\Delta(C\theta + C\delta\omega, C\varphi) \subseteq \Delta(C\theta + C\delta'\omega, C\varphi).$$

It follows that

$$\Delta(\theta + \delta\omega, \varphi) \subseteq \Delta(\theta + \delta'\omega, \varphi).$$

On the other hand,

$$\text{vol } \Delta(\theta + \delta\omega, \varphi) = \frac{1}{n!} \int_X (\theta + \delta\omega)_{P^{\theta+\delta\omega}[\varphi]_{\mathbb{Z}}}^n = \frac{1}{n!} \int_X (\theta + \delta\omega)_{P^\theta[\varphi]_{\mathbb{Z}}}^n,$$

where we applied Corollary 4.4. As $\delta \rightarrow 0+$, the right-hand side converges to

$$\text{vol } \Delta(\theta, \varphi) = \frac{1}{n!} \int_X \theta_{P^\theta[\varphi]_{\mathbb{Z}}}^n.$$

It follows that

$$\Delta(\theta, \varphi) = \bigcap_{\delta \in \mathbb{Q}_{>0}} \Delta(\theta + \delta\omega, \varphi). \quad \square$$

5.3 The Hausdorff convergence property of partial Okounkov bodies

For each $k \in \mathbb{Z}_{>0}$, we introduce

$$\Delta_k(\theta, \varphi) := \text{Conv}\{k^{-1}\nu(f) \mid f \in H^0(X, L^k \otimes \mathcal{I}(k\varphi))^\times\} \subseteq \mathbb{R}^n.$$

Here Conv denotes the convex hull. The convex hull is a polytope if it is nonempty by [45, Lemma 1.4]. For large enough k , $\Delta_k(\theta, \varphi)$ is nonempty thanks to Theorem 2.19.

For later use, we introduce a twisted version as well. If T is a holomorphic line bundle on X , we introduce

$$\Delta_{k,T}(\theta, \varphi) := \text{Conv}\{k^{-1}\nu(f) \mid f \in H^0(X, T \otimes L^k \otimes \mathcal{I}(k\varphi))^\times\} \subseteq \mathbb{R}^n.$$

We also write

$$\begin{aligned} \Delta_{k,T}(L) &:= \text{Conv}\{k^{-1}\nu(f) \mid f \in H^0(X, T \otimes L^k)^\times\} \subseteq \mathbb{R}^n, \\ \Delta_k(L) &:= \text{Conv}\{k^{-1}\nu(f) \mid f \in H^0(X, L^k)^\times\} \subseteq \mathbb{R}^n. \end{aligned}$$

We write $\mathcal{I}_\infty(\varphi) = \mathcal{I}_\infty(\phi)$ for the ideal sheaf on X locally consisting of holomorphic functions f such that $|f|_\phi$ is locally bounded.

The main result is the following:

Theorem 5.17 (Hausdorff convergence property) *Let T be a holomorphic line bundle on X . As $k \rightarrow \infty$, we have $\Delta_{k,T}(\theta, \varphi) \xrightarrow{d_n} \Delta(\theta, \varphi)$.*

Although we are only interested in the untwisted case, the proof given below requires twisted case.

We first extend Theorem 3.8 to the twisted case.

Proposition 5.18 *For any holomorphic line bundle T on X ,*

$$\Delta_{k,T}(L) \xrightarrow{d_n} \Delta(L) \quad \text{as } k \rightarrow \infty.$$

Proof As L is big, we can take $k_0 \in \mathbb{Z}_{>0}$ so that

- (1) $T^{-1} \otimes L^{k_0}$ admits a nonzero global holomorphic section s_0 ,
- (2) $T \otimes L^{k_0}$ admits a nonzero global holomorphic section s_1 .

Then for $k \in \mathbb{Z}_{>k_0}$, we have injective linear maps

$$H^0(X, L^{k-k_0}) \xrightarrow{\times s_1} H^0(X, T \otimes L^k) \xrightarrow{\times s_0} H^0(X, L^{k+k_0}).$$

It follows that

$$(k - k_0)\Delta_{k-k_0}(L) + v(s_1) \subseteq k\Delta_{k,T}(L) \subseteq (k + k_0)\Delta_{k+k_0}(L) - v(s_0).$$

By Theorem 3.8, we conclude. □

Lemma 5.19 *Let T be a holomorphic line bundle on X . Assume that φ has analytic singularities and θ_φ is a Kähler current. Then as $k \rightarrow \infty$,*

$$\Delta_{k,T}(\theta, \varphi) \xrightarrow{d_n} \Delta(\theta, \varphi).$$

Proof Up to replacing X by a birational model and twisting T accordingly, we may assume that φ has analytic singularities along a normal crossing \mathbb{Q} -divisor D ; cf Proposition 5.11. Take $\epsilon \in (0, 1) \cap \mathbb{Q}$. In this case, as in (5-5), for large enough $k \in \mathbb{Z}_{>0}$ we have

$$H^0(X, T \otimes L^k \otimes \mathcal{I}_\infty(k\varphi)) \subseteq H^0(X, T \otimes L^k \otimes \mathcal{I}(k\varphi)) \subseteq H^0(X, T \otimes L^k \otimes \mathcal{I}_\infty(k(1-\epsilon)\varphi)).$$

Take an integer $N \in \mathbb{Z}_{>0}$ so that ND is a divisor and $N\epsilon$ is an integer.

Let Δ' be the limit of a subsequence of $(\Delta_{k,T}(\theta, \varphi))_k$, say the sequence defined by the indices k_1, k_2, \dots . We want to show that $\Delta' = \Delta(\theta, \varphi)$.

There exists $t \in \{0, 1, \dots, N-1\}$ such that $k_i \equiv t$ modulo N for infinitely many i , up to replacing k_i by a subsequence, we may assume that $k_i \equiv t$ modulo N for all i . Write $k_i = Ng_i + t$. Then

$$\begin{aligned} H^0(X, T \otimes L^{-N+t} \otimes L^{N(g_i+1)} \otimes \mathcal{I}_\infty(N(g_i+1)\varphi)) &\subseteq H^0(X, T \otimes L^{k_i} \otimes \mathcal{I}(k_i\varphi)) \\ &\subseteq H^0(X, T \otimes L^t \otimes L^{Ng_i} \otimes \mathcal{I}_\infty(g_iN(1-\epsilon)\varphi)). \end{aligned}$$

So

$$(g_i + 1)\Delta_{g_i+1, T \otimes L^{-N+t}}(NL - ND) + N(g_i + 1)v(D) \subseteq (Ng_i + t)\Delta_{k, T}(\theta, \varphi) \subseteq g_i\Delta_{g_i, T \otimes L^t}(NL - N(1 - \epsilon)D) + Ng_i(1 - \epsilon)v(D).$$

Letting $i \rightarrow \infty$, by Proposition 5.18,

$$\Delta(L - D) + v(D) \subseteq \Delta' \subseteq \Delta(L - (1 - \epsilon)D) + (1 - \epsilon)v(D).$$

Letting $\epsilon \rightarrow 0+$, we find that

$$\Delta(L - D) + v(D) = \Delta'.$$

It follows from Theorem 2.2 that

$$\Delta_{k, T}(\theta, \varphi) \xrightarrow{d_n} \Delta(L - D) + v(D) = \Delta(\theta, \varphi) \quad \text{as } k \rightarrow \infty. \quad \square$$

Lemma 5.20 Assume that θ_φ is a Kähler current. Then as $\beta \rightarrow 0+$ with $\beta \in \mathbb{Q}$, we have

$$\Delta((1 - \beta)\theta, \varphi) \rightarrow \Delta(\theta, \varphi).$$

Proof By Proposition 5.13, we have

$$\Delta((1 - \beta)\theta, \varphi) + \beta\Delta(L) \subseteq \Delta(\theta, \varphi).$$

In particular, if Δ' is a limit of a subsequence of $(\Delta((1 - \beta)\theta, \varphi))_\beta$, then

$$\Delta' \subseteq \Delta(\theta, \varphi).$$

But

$$\text{vol } \Delta' = \lim_{\beta \rightarrow 0+} \Delta((1 - \beta)\theta, \varphi) = \lim_{\beta \rightarrow 0+} \int_X ((1 - \beta)\theta + \text{dd}^c P^{(1-\beta)\theta}[\varphi]_{\mathbb{I}})^n = \int_X (\theta + \text{dd}^c P^\theta[\varphi]_{\mathbb{I}})^n,$$

where the last step follows easily from [56, Theorem 0.6]. It follows that $\Delta' = \Delta(\theta, \varphi)$. We conclude by Theorem 2.2. \square

Proof of Theorem 5.17 Fix a Kähler form $\omega \geq \theta$ on X .

Step 1 We first handle the case where θ_φ is a Kähler current, say $\theta_\varphi \geq \beta_0\omega$ for some $\beta_0 \in (0, 1)$.

Take a decreasing quasi-equisingular approximation φ_j of φ . Up to replacing β_0 by $\beta_0/2$, we may assume that $\theta_{\varphi_j} \geq \beta_0\omega$ for all $j \geq 1$.

Let Δ' be a limit of a subsequence of $(\Delta_{k, T}(\theta, \varphi))_k$. Let us say the indices of the subsequence are $k_1 < k_2 < \dots$. By Theorem 2.2, it suffices to show that $\Delta' = \Delta(\theta, \varphi)$.

As $[\varphi] \leq [\varphi_j]$ for each $j \geq 1$, we have $\Delta' \subseteq \Delta(\theta, \varphi_j)$ by Lemma 5.19. Letting $j \rightarrow \infty$, we find

$$\Delta' \subseteq \Delta(\theta, \varphi).$$

In particular, it suffices to prove that

$$\text{vol } \Delta' \geq \text{vol } \Delta(\theta, \varphi).$$

Take $\beta \in (0, \beta_0) \cap \mathbb{Q}$. Write $\beta = p/q$ with $p, q \in \mathbb{Z}_{>0}$. Observe that for any $j \geq 1$,

$$\theta_{\varphi_j} \geq \beta\omega \geq \beta\theta.$$

Namely, $\varphi_j \in \text{PSH}(X, (1-\beta)\theta)$. Similarly, $\varphi \in \text{PSH}(X, (1-\beta)\theta)$. By Lemma 5.20, it suffices to argue that

$$(5-14) \quad \text{vol } \Delta' \geq \text{vol } \Delta((1-\beta)\theta, \varphi).$$

For this purpose, we are free to replace the k_i by a subsequence, so we may assume that $k_i \equiv a$ modulo q for all $i \geq 1$, where $a \in \{0, 1, \dots, q-1\}$. We write $k_i = g_iq + a$. Observe that for each $i \geq 1$,

$$H^0(X, T \otimes L^{k_i} \otimes \mathcal{I}(k_i\varphi)) \supseteq H^0(X, T \otimes L^{-q+a} \otimes L^{g_iq+q} \otimes \mathcal{I}((g_iq+q)\varphi)).$$

Up to replacing T by $T \otimes L^{-q+a}$, we may therefore assume that $a = 0$.

By [33, Lemma 4.2], we can find $k' \in \mathbb{Z}_{>0}$ such that for all $k \geq k'$, there is a $v_{\beta,k} \in \text{PSH}(X, \theta)$ such that

- (1) $P[\varphi]_{\mathcal{I}} \geq (1-\beta)\varphi_k + \beta v_{\beta,k}$,
- (2) $v_{\beta,k}$ has positive mass.

Fix $k \geq k'$. It suffices to show that

$$(5-15) \quad \Delta((1-\beta)\theta, \varphi_k) + v' \subseteq \Delta'$$

for some $v' \in \mathbb{R}^n$. In fact, if this is true, we have

$$\text{vol } \Delta' \geq \text{vol } \Delta((1-\beta)\theta, \varphi_k).$$

Letting $k \rightarrow \infty$ and applying Theorem 5.9, we conclude (5-14).

It remains to prove (5-15). We will fix $k \geq k'$. Let $\pi: Y \rightarrow X$ be a log resolution of the singularities of φ_k . By the proof of [33, Proposition 4.3], there is $j_0 = j_0(\beta, k) \in \mathbb{Z}_{>0}$ such that for any $j \geq j_0$, we can find a nonzero section $s_j \in H^0(Y, \pi^* L^{pj} \otimes \mathcal{I}(jq\pi^* v_{\beta,k}))$ such that we get an injective linear map

$$H^0(Y, \pi^* T \otimes K_{Y/X} \otimes \pi^* L^{(q-p)j} \otimes \mathcal{I}(jq\pi^* \varphi_k)) \xrightarrow{\times s_j} H^0(X, T \otimes L^{jq} \otimes \mathcal{I}(jq\varphi)).$$

In particular, when $j = k_i$ for some i large enough, we then find

$$\Delta_{k_i, \pi^* T \otimes K_{Y/X}}((1-\beta)q\pi^* \theta, q\pi^* \varphi_k) + (k_i)^{-1} v(s_{k_i}) \subseteq q\Delta_{k_i, T}(\theta, \varphi).$$

We observe that $(k_i)^{-1} v(s_{k_i})$ is bounded as both convex bodies appearing in this equation are bounded when i varies. Then by Lemma 5.19, there is a vector $v' \in \mathbb{R}^n$ such that

$$\Delta((1-\beta)\pi^* \theta, \pi^* \varphi_k) + v' \subseteq \Delta'.$$

By Proposition 5.11, we find (5-15).

Step 2 Next we handle the general case.

Let Δ' be the limit of a subsequence of $(\Delta_{k,T}(\theta, \varphi))_k$, say the subsequence with indices $k_1 < k_2 < \dots$. By Theorem 2.2, it suffices to prove that $\Delta' = \Delta(\theta, \varphi)$.

Take $\psi \in \text{PSH}(X, \theta)$ such that

- (1) θ_ψ is a Kähler current,
- (2) $\psi \leq \varphi$.

The existence of ψ is proved in [33, Proposition 3.6].

Then for any $\epsilon \in \mathbb{Q} \cap (0, 1)$,

$$\Delta_{k,T}(\theta, \varphi) \supseteq \Delta_{k,T}(\theta, (1 - \epsilon)\varphi + \epsilon\psi)$$

for all k . It follows from Step 1 that

$$\Delta' \supseteq \Delta(\theta, (1 - \epsilon)\varphi + \epsilon\psi).$$

Letting $\epsilon \rightarrow 0$ and applying Theorem 5.9, we have $\Delta' \supseteq \Delta(\theta, \varphi)$. It remains to establish that

$$(5-16) \quad \text{vol } \Delta' \leq \text{vol } \Delta(\theta, \varphi).$$

For this purpose, we are free to replace $k_1 < k_2 < \dots$ by a subsequence. Fix $q > 0$, we may then assume that $k_i \equiv a$ modulo q for all $i \geq 1$ for some $a \in \{0, 1, \dots, q - 1\}$. We write $k_i = g_i q + a$. Observe that

$$H^0(X, T \otimes L^{k_i} \otimes \mathcal{I}(k_i\varphi)) \subseteq H^0(X, T \otimes L^a \otimes L^{g_i q} \otimes \mathcal{I}(g_i q\varphi)).$$

Up to replacing T by $T \otimes L^a$, we may assume that $a = 0$.

Take a very ample line bundle H on X and fix a Kähler form $\omega \in c_1(H)$, and take a nonzero section $s \in H^0(X, H)$.

We have an injective linear map

$$H^0(X, T \otimes L^{jq} \otimes \mathcal{I}(jq\varphi)) \xrightarrow{\times s^j} H^0(X, T \otimes H^j \otimes L^{jq} \otimes \mathcal{I}(jq\varphi))$$

for each $j \geq 1$. In particular, for each $i \geq 1$,

$$k_i \Delta_{k_i,T}(q\theta, q\varphi) + k_i v(s) \subseteq k_i \Delta_{k_i,T}(\omega + q\theta, q\varphi).$$

Letting $i \rightarrow \infty$, by Step 1, we have

$$q\Delta' + v(s) \subseteq \Delta(\omega + q\theta, q\varphi).$$

So

$$\text{vol } \Delta' \leq \text{vol } \Delta(q^{-1}\omega + \theta, \varphi) = \int_X (q^{-1}\omega + \theta + \text{dd}^c P^{q^{-1}\omega + \theta}[\varphi]_T)^n.$$

By Corollary 4.4,

$$\text{vol } \Delta' \leq \int_X (q^{-1}\omega + \theta + \text{dd}^c P^\theta[\varphi]_T)^n.$$

Letting $q \rightarrow \infty$, we conclude (5-16). □

Theorem 5.21 *The Okounkov body $\Delta(L, \phi)$ is independent of the choice of a very general flag in a family of admissible flags.*

Proof By Theorem 5.17, it suffices to show that $\Delta_k(W(\theta, \phi))$ is independent of the choice of a very general flag. For this purpose, we may assume that $k = 1$.

Let T be an irreducible component of the moduli space of admissible flags. Let

$$X \times T = \mathcal{Y}_0 \supseteq \cdots \supseteq \mathcal{Y}_n$$

be the universal flag. The Hermitian line bundle (L, ϕ) pulls back to (\mathcal{L}, Φ) on $X \times T$. We denote quantities at the fiber at $t \in T$ by a subindex t .

We claim that for each $\sigma \in \mathbb{N}^n$, there is a proper Zariski closed set $\Sigma \subseteq T$, so that

$$\dim H^0(X_t, L_t \otimes \mathcal{I}(\phi_t))^{\geq \sigma}$$

are constants for $t \in T \setminus \Sigma$, where $H^0(X_t, L_t \otimes \mathcal{I}(\phi_t))^{\geq \sigma}$ is the space of sections in $H^0(X_t, L_t \otimes \mathcal{I}(\phi_t))$ with valuations no less than σ .

Let $\mathcal{L}^{\geq \sigma}$ be the coherent subsheaf of \mathcal{L} introduced in [45, Remark 1.6]. After possibly shrinking T , we may guarantee that $\mathcal{L}^{\geq \sigma} \otimes \mathcal{I}(\Phi)$ is flat over T . By further shrinking T , we may guarantee that

$$t \mapsto \dim H^0(X_t, (\mathcal{L}^{\geq \sigma} \otimes \mathcal{I}(\Phi))|_{X_t})$$

is constant. Observe that

$$(\mathcal{L}^{\geq \sigma} \otimes \mathcal{I}(\Phi))|_{X_t} \cong L_t^{\geq \sigma} \otimes \mathcal{I}(\phi).$$

Thus, our claim follows.

From this claim, it follows that the images of $\Gamma_k(W(L, \phi))$ are independent of the choice of a very general flag (Y_\bullet) as [45, Proof of Theorem 5.1]. Thus, $\Delta(W(L, \phi))$ is independent of the choice of a very general flag. □

5.4 Recover Lelong numbers from partial Okounkov bodies

Lemma 5.22 *Let $\varphi \in \text{PSH}(X, \theta)$ be such that θ_φ is a Kähler current. Let φ^j be a quasi-equisingular approximation of φ . Then $v(\varphi^j, E) \rightarrow v(\varphi, E)$ for any prime divisor E over X .*

This result is essentially [55, Lemma 2.2], proved under slightly different assumptions. We reproduce the argument for the convenience of the readers.

Proof Fix $k \in \mathbb{Z}_{>0}$, $\delta \in \mathbb{Q}_{>0}$, take $j_0 > 0$, so that when $j > j_0$, $\mathcal{I}((1 + \delta)k\varphi^j) \subseteq \mathcal{I}(k\varphi)$. When $j > j_0$, we get

$$\frac{1}{k} \text{ord}_E(\mathcal{I}(k\varphi)) \leq \frac{1}{k} \text{ord}_E(\mathcal{I}((1 + \delta)k\varphi^j)).$$

By Fekete’s lemma,

$$v(\varphi^j, E) = \sup_{k \in \mathbb{Z}_{>0}} \frac{1}{k} \text{ord}_E(\mathcal{I}(k\varphi^j)).$$

So

$$\frac{1}{k} \text{ord}_E(\mathcal{I}(k\varphi)) \leq (1 + \delta)v(\varphi^j, E).$$

Take sup with respect to $k \in \mathbb{Z}_{>0}$, we get

$$v(\varphi, E) \leq (1 + \delta)v(\varphi^j, E).$$

Letting $j \rightarrow \infty$ and then $\delta \rightarrow 0+$, we get

$$v(\varphi, E) \leq \lim_{j \rightarrow \infty} v(\varphi^j, E).$$

The reverse inequality is trivial. □

Theorem 5.23 *Let E be a prime divisor on X . Let (Y_\bullet) be an admissible flag with $E = Y_1$. Then*

$$(5-17) \quad v(\varphi, E) = \min_{x \in \Delta(\theta, \varphi)} x_1.$$

Here x_1 denotes the first component of x . The generic Lelong number $v(\varphi, E)$ means the minimum of $v(\varphi, x)$ for various $x \in E$.

Proof We first reduce to the case where θ_φ is a Kähler current. Let $\psi \leq \varphi$, θ_ψ is a Kähler current. Then by (5-17) applied to $\varphi_\epsilon := (1 - \epsilon)\varphi + \epsilon\psi$, we have

$$v(\varphi_\epsilon, E) = \min_{x \in \Delta(\theta, \varphi_\epsilon)} x_1.$$

Letting $\epsilon \rightarrow 0+$ using Theorem 5.9, we conclude (5-17).

Similarly, taking a quasi-equisingular approximation of φ and applying Lemma 5.22, we easily reduce to the case where φ also has analytic singularities. Replacing X by a birational model, we may assume that φ has analytic singularities along a simple normal crossing \mathbb{Q} -divisor F . Perturbing L by an ample \mathbb{Q} -line bundle by Proposition 5.16, we may assume that θ_φ is a Kähler current. Finally, by rescaling, we may assume that F is a divisor and L is a line bundle and $L - F$ is ample by [55, Lemma 2.4]. In fact, since θ_φ is a Kähler current, the same holds for $\theta_\varphi - \epsilon\omega$, where ω is a Hodge form lying in $c_1(A)$ for some ample line bundle A on X and $\epsilon > 0$ is a small enough rational number. By [55, Lemma 2.4], we deduce that $L - F - \epsilon A$ is nef and big and hence $L - F$ is ample.

By Theorem 5.17, we know that

$$\min_{x \in \Delta(\theta, \varphi)} x_1 = \lim_{k \rightarrow \infty} \min_{x \in \Delta_k(\theta, \varphi)} x_1.$$

By definition,

$$\min_{x \in \Delta_k(\theta, \varphi)} x_1 = k^{-1} \text{ord}_E \mathbb{H}^0(X, L^k \otimes \mathcal{I}(k\varphi)).$$

It remains to show that

$$(5-18) \quad \lim_{k \rightarrow \infty} k^{-1} \text{ord}_E \mathbb{H}^0(X, L^k \otimes \mathcal{I}(k\varphi)) = \lim_{k \rightarrow \infty} k^{-1} \text{ord}_E \mathcal{I}(k\varphi).$$

The \geq direction is trivial, we prove the converse. Observe that

$$H^0(X, L^k \otimes \mathcal{I}(k\varphi)) = H^0(X, L^k \otimes \mathcal{O}_X(-kF)), \quad \mathcal{I}(k\varphi) = \mathcal{O}(-kF).$$

As $L - F$ is ample, for large enough k , we have

$$\text{ord}_E H^0(X, L^k \otimes \mathcal{O}_X(-kF)) = \text{ord}_E(kF).$$

Thus, (5-18) is clear. □

Corollary 5.24 *Let $\varphi, \psi \in \text{PSH}(X, \theta)_{>0}$. If*

$$\Delta(\pi^*\theta, \pi^*\varphi) \subseteq \Delta(\pi^*\theta, \pi^*\psi)$$

for all birational models $\pi : Y \rightarrow X$ and all admissible flags on Y , then $\varphi \preceq_{\mathcal{I}} \psi$.

Proof In view of Theorem 5.23, the assumption implies the following: for any prime divisor E over X , we have $v(\varphi, E) \geq v(\psi, E)$. This implies $\varphi \preceq_{\mathcal{I}} \psi$: take a birational model $\pi : Y \rightarrow X$ and $y \in Y$, we need to show that $v(\pi^*\varphi, y) \geq v(\pi^*\psi, y)$. Let E be the exceptional divisor of the blowup of Y at $\{y\}$. As explained in [8, Corollaire 1.1.8], we have $v(\pi^*\varphi, y) = v(\varphi, E)$ and $v(\pi^*\psi, y) = v(\psi, E)$. Our assertion follows. □

In particular, Theorem B is proved. This corollary is similar to [41]. It suggests that $\Delta(\theta, \varphi)$ is a universal invariant of the singularities of φ .

Corollary 5.24 has a reminiscence of [14]: in order to understand plurisubharmonic singularities, we need to consider all birational models of our variety at the same time.

Theorem 5.23 can be regarded as a generalization of the following (slightly generalized form of the) classical result proved by Boucksom; see [9, Theorem 5.4].

Corollary 5.25 *Let E be a prime divisor over X . Then*

$$(5-19) \quad v(V_\theta, E) = \lim_{k \rightarrow \infty} \frac{1}{k} \text{ord}_E H^0(X, L^k).$$

Proof This follows from Theorem 5.23 and the fact that $\Delta(\theta, V_\theta) = \Delta(L)$. □

We write

$$\text{ord}_E \|L\| := \lim_{k \rightarrow \infty} \frac{1}{k} \text{ord}_E H^0(X, L^k).$$

Corollary 5.26 *We have*

$$\mathcal{I}(V_\theta) = \{f \in \mathcal{O}_X \mid \exists \epsilon > 0 \text{ such that } \text{ord}_E(f) \geq (1 + \epsilon) \text{ord}_E \|L\| - A_X(E) \forall \text{ primes } E \text{ over } X\},$$

where $A_X(E)$ is the log discrepancy of E over X .

Proof This follows from [10, Corollary 10.17] and Corollary 5.25. □

5.5 Okounkov bodies induced by filtrations

Assume that L is ample.

Definition 5.27 A multiplicative filtration on $R(X, L)$ is a decreasing, left continuous, multiplicative \mathbb{R} -filtration \mathcal{F}^\bullet on the ring $R(X, L)$, which is linearly bounded in the sense that there is $C > 0$ such that

$$\mathcal{F}^{-k\lambda}H^0(X, L^k) = H^0(X, L^k) \quad \text{and} \quad \mathcal{F}^{k\lambda}H^0(X, L^k) = 0 \quad \text{when } \lambda > C.$$

A multiplicative filtration \mathcal{F} is called a multiplicative \mathbb{Z} -filtration if $\mathcal{F}^\lambda = \mathcal{F}^{\lfloor \lambda \rfloor}$ for any $\lambda \in \mathbb{R}$.

A multiplicative \mathbb{Z} -filtration \mathcal{F} is called finitely generated if the bigraded algebra

$$\bigoplus_{\lambda \in \mathbb{Z}, k \in \mathbb{Z}_{\geq 0}} \mathcal{F}^\lambda H^0(X, L^k)$$

is finitely generated over \mathbb{C} .

Let \mathcal{F}^\bullet be a multiplicative filtration on $R(X, L)$. Then we can associate a test curve ψ_\bullet as in [49; 55]:

$$(5-20) \quad \psi_\tau := \sup_{k \in \mathbb{Z}_{>0}}^* k^{-1} \sup^* \{ \log |s|_{h^k}^2 \mid s \in \mathcal{F}^{k\tau} H^0(X, L^k), \sup_X |s|_{h^k} \leq 1 \}.$$

Here \sup^* denotes the upper-semicontinuous regularized supremum. By [32, Theorem 3.11], ψ_τ is \mathcal{I} -model or $-\infty$ for each $\tau \in \mathbb{R}$.

Theorem 5.28 Let \mathcal{F}^\bullet be a finitely generated multiplicative \mathbb{Z} -filtration on $R(X, L)$. Let ψ_\bullet be the test curve associated with \mathcal{F} . For any $\tau < \tau^+$,

$$\Delta \left(\bigoplus_{k=0}^{\infty} \mathcal{F}^{k\tau} H^0(X, L^k) \right) = \Delta(\theta, \psi_\tau).$$

Proof Observe that $\mathcal{F}^{k\tau} H^0(X, L^k) \subseteq H^0(X, L^k \otimes \mathcal{I}(k\psi_\tau))$ for any $k \in \mathbb{N}$. Thus, by Corollary 3.15,

$$\Delta \left(\bigoplus_{k=0}^{\infty} \mathcal{F}^{k\tau} H^0(X, L^k) \right) \subseteq \Delta(\theta, \psi_\tau).$$

On the other hand, the two sides have the same volume by [55, Lemma 4.5]. Thus, equality holds. \square

5.6 Limit partial Okounkov bodies

Let $\varphi \in \text{PSH}(X, \theta)$, not necessarily of positive volume. Take an ample effective divisor H on X and a Kähler form $\omega \in c_1(H)$. Then we just set

$$\Delta(\theta, \varphi) := \bigcap_{\epsilon \in \mathbb{Q}_{>0}} \Delta(\theta + \epsilon\omega, \varphi).$$

Clearly, this definition does not depend on the choice of H and ω . As in [22], we cannot expect $\Delta(\theta, \varphi)$ to be continuous along decreasing sequences of φ . Note that Theorem 5.23, Corollary 5.24 and Proposition 5.8 extend to this setup without changes.

Conjecture 5.29 Under the above assumptions,

$$\dim \Delta(\theta, \varphi) = \text{nd}(\theta, \varphi).$$

For the definition of the analytic numerical dimension $\text{nd}(\theta, \varphi)$, we refer to [19, Definition 4].

We expect this conjecture to follow from the arguments in [22] together with the numerical criterion of [19].

6 Chebyshev transform

Let X be an irreducible smooth complex projective variety of dimension n and L be a big line bundle on X . Let h be a fixed smooth Hermitian metric on L and $\theta = c_1(L, h)$. Consider a singular positive Hermitian metric ϕ on L corresponding to $\varphi \in \text{PSH}(X, \theta)$. Assume that $\int_X \theta_{P[\varphi]_{\mathcal{I}}}^n > 0$.

Let $v \in C^0(X)$ corresponding to a continuous metric $he^{-v/2}$ on L . We do not distinguish v and $he^{-v/2}$. Fix a valuation $\nu = (\nu_1, \dots, \nu_n) : \mathbb{C}(X)^\times \rightarrow \mathbb{Z}^n$ of rank n . Assume that ν is defined by an admissible flag (Y_\bullet) on X .

The whole section is devoted to the proof of Theorem C. Our results are direct extensions of the results of Witt Nyström [52]. The latter is motivated by [57].

6.1 Equilibrium energy

Let $\mathcal{E}^\infty(X, \theta; P[\varphi]_{\mathcal{I}})$ denote the set of $\psi \in \text{PSH}(X, \theta)$ such that ψ and $P[\varphi]_{\mathcal{I}}$ have the same singularity types.

Let $E_{[\varphi]}^\theta : \mathcal{E}^\infty(X, \theta; P[\varphi]_{\mathcal{I}}) \rightarrow \mathbb{R}$ be the relative Monge–Ampère energy:

$$E_{[\varphi]}^\theta(\psi) := \frac{1}{n+1} \sum_{i=0}^n \int_X (\psi - P[\varphi]_{\mathcal{I}}) \theta_\psi^i \wedge \theta_{P[\varphi]_{\mathcal{I}}}^{n-i}.$$

Define the equilibrium energy $\mathcal{E}_{[\varphi]}^\theta : C^0(X) \rightarrow \mathbb{R}$:

$$(6-1) \quad \mathcal{E}_{[\varphi]}^\theta(v) := E_{[\varphi]}^\theta(P[\varphi]_{\mathcal{I}}(v)).$$

Here

$$P[\varphi]_{\mathcal{I}}(v) = \sup^* \{ \eta \in \text{PSH}(X, \theta) \mid \eta \leq v, \eta \preceq_{\mathcal{I}} \varphi \}.$$

Note that this definition is different from the energy defined in [33], so we choose a different notation.

Theorem 6.1 The Gateaux differential of $\mathcal{E}_{[\varphi]}^\theta$ at $v \in C^0(X)$ is given by $\theta_{P[\varphi]_{\mathcal{I}}(v)}^n$. In other words, for any $f \in C^0(X)$,

$$(6-2) \quad \left. \frac{d}{dt} \right|_{t=0} \mathcal{E}_{[\varphi]}^\theta(v + tf) = \int_X f \theta_{P[\varphi]_{\mathcal{I}}(v)}^n.$$

Proof This is not exactly [33, Proposition 5.10] because we are using $P[\bullet]_{\mathcal{I}}$ projections instead of $P[\bullet]$ projections, but the proofs are identical. \square

The metric $he^{-v/2}$ induces an L^∞ -type norm $\|\bullet\|_{L^\infty(kv)}$ on $H^0(X, L^k \otimes \mathcal{I}(k\varphi))$:

$$\|s\|_{L^\infty(kv)} := \sup_X |s|_{h^k} e^{-kv/2}.$$

In particular, $\det \|\bullet\|_{L^\infty(kv)}$ is a Hermitian metric on $\det H^0(X, L^k \otimes \mathcal{I}(k\varphi))$.

Theorem 6.2 *Let $v, v' \in C^0(X)$. Then*

$$(6-3) \quad \lim_{k \rightarrow \infty} \frac{n!}{k^{n+1}} \log \left(\frac{\det \|\bullet\|_{L^\infty(kv)}}{\det \|\bullet\|_{L^\infty(kv')}} \right) = \mathcal{E}_{[\varphi]}^\theta(v) - \mathcal{E}_{[\varphi]}^\theta(v').$$

Remark 6.3 When $\varphi = V_\theta$, the left-hand side of (6-3) is known as the *relative volume* between the two metrics $he^{-v/2}$ and $he^{-v'/2}$. They are studied in detail in [6].

This theorem partially generalizes [4, Theorem A]. We remind the readers that our conventions of multiplier ideal sheaves are different from those in [4] and [6], which explains the difference between our coefficients and theirs.

For the definition of the Bernstein–Markov property, see [4, Definition 2.3].

Proof We may assume that $v' = 0$. Let v be a smooth volume form on X . Then recall that v satisfies the Bernstein–Markov property with respect to tv for all $t \in [0, 1]$; see [4, Theorem 2.4]. We may replace the L^∞ -norm on the left-hand side with the $L^2(v)$ -norm by [33, Lemma 6.5]. We recall the definition of the partial Bergman kernel:

$$B_{tv, \varphi, v}^k(x) := \sup \left\{ |s|_{h^k}^2 e^{-kv}(x) \mid \int_X |s|_{h^k}^2 e^{-tv} \leq 1, s \in H^0(X, L^k \otimes \mathcal{I}(k\varphi)) \right\},$$

$$\beta_{tv, \varphi, v}^k := \frac{n!}{k^n} B_{tv, \varphi, v}^k dv,$$

where $k \in \mathbb{Z}_{>0}$.

By [33, Theorem 1.2],

$$\beta_{tv, \varphi, v}^k \rightharpoonup \theta_{P_X[\varphi]_{\mathbb{Z}}(tv)}^n$$

as $k \rightarrow \infty$ for all $t \in [0, 1]$. By the dominated convergence theorem,

$$\lim_{k \rightarrow \infty} \int_0^1 \int_X v \beta_{tv, \varphi, v}^k dt = \int_0^1 \int_X v \theta_{P_X[\varphi]_{\mathbb{Z}}(tv)}^n dt,$$

and (6-3) follows. □

Proposition 6.4 *Let $\varphi \in \text{PSH}(X, \theta)$ such that θ_φ is a Kähler current. Let $(\varphi^j)_{j \in \mathbb{N}}$ be a quasi-equisingular approximation of φ . Then*

$$(6-4) \quad \lim_{j \rightarrow \infty} \mathcal{E}_{[\varphi^j]}^\theta(v) = \mathcal{E}_{[\varphi]}^\theta(v).$$

Proof By Theorem 6.1, for $j \in \mathbb{N}$,

$$\mathcal{E}_{[\varphi^j]}^\theta(v) = \int_0^1 \int_X v \theta_{P[\varphi^j]_{\mathbb{R}}(tv)}^n dt \quad \text{and} \quad \mathcal{E}_{[\varphi]}^\theta(v) = \int_0^1 \int_X v \theta_{P[\varphi]_{\mathbb{R}}(tv)}^n dt.$$

It follows from [33, Proposition 3.3] and [26, Theorem 1.2] that as $j \rightarrow \infty$,

$$\theta_{P[\varphi^j]_{\mathbb{R}}(tv)}^n \rightarrow \theta_{P[\varphi]_{\mathbb{R}}(tv)}^n.$$

By the dominated convergence theorem, (6-4) follows. \square

Proposition 6.5 *Let $\varphi, \psi \in \text{PSH}(X, \theta)$. Assume that $\psi \leq \varphi$. Set $\varphi_\epsilon = (1 - \epsilon)\varphi + \epsilon\psi$ for any $\epsilon \in [0, 1]$. Then*

$$(6-5) \quad \lim_{\epsilon \rightarrow 0^+} \mathcal{E}_{[\varphi_\epsilon]}^\theta(v) = \mathcal{E}_{[\varphi]}^\theta(v).$$

Proof The proof is similar to that of Proposition 6.4. We just replace [33, Proposition 3.3] by [33, Proposition 2.7]. \square

We finally recall a technical lemma.

Lemma 6.6 [52, Corollary 3.4] *Let $C \subseteq \mathbb{R}^{n+1}$ be an open convex cone. Let F be a subadditive function on $C \cap \mathbb{Z}^{n+1}$ defined outside a compact set. Then for any sequence $\alpha_k \in C \cap \mathbb{Z}^{n+1}$ tending to infinity such that $\alpha_k/|\alpha_k|$ converges to some point $p \in C$. Then the limit*

$$c[F](p) := \lim_{k \rightarrow \infty} \frac{F(\alpha_k)}{|\alpha_k|}$$

exists and depends only on p and F . Moreover, $c[F]$ is a convex function on $C \cap \{x_{n+1} = 1\}$.

Here $|\alpha_k|$ denotes the absolute value of the last component of α_k .

Recall that a real-valued function F defined on a semigroup Γ is said to be *subadditive* if for any $x, y \in \Gamma$, $F(x + y) \leq F(x) + F(y)$.

6.2 The case of analytic singularities

Assume that φ has analytic singularities.

Let $\pi: Y \rightarrow X$ be a resolution such that $\pi^*\varphi$ has analytic singularity along a normal crossing \mathbb{Q} -divisor E . We define as before

$$W_k^0 = H^0(Y, \pi^*L^k \otimes \mathcal{O}_Y(-kE)) \subseteq H^0(X, L^k).$$

Fix $a \in \Gamma_k(W^0)$. Let p be the center of v on X . Let $z = (z_1, \dots, z_n)$ be a regular sequence in $\mathcal{O}_{X,p}$ such that $(Y_i)_x$ is the zero locus of z_1, \dots, z_i . Fix a local trivialization of L near p . Define

$$A_k^a := \{s \in W_k^0 \mid v(s) \geq ka, s = z^{ka} + \text{higher-order terms near } p\}.$$

Define

$$F[v](ka, k) = \inf_{s \in A_{a,k}} \log |s|_{L^\infty(kv)}.$$

Recall the following two lemmas proved in [52, Lemmas 5.3 and 5.4].

Lemma 6.7 $F[v]$ is subadditive on $\Gamma(W^0)$.

Lemma 6.8 There is a $C > 0$ such that for any $(ka, k) \in \Gamma(W^0)$,

$$F[v](ka, k) \geq C|(ka, k)|.$$

Proof It suffices to apply [52, Lemma 5.4]. □

Let $c_{[\varphi]}[v]: \text{Int } \Delta(\theta, \varphi) \rightarrow \mathbb{R}$ be the convex function $c[F[v]]$ defined by Lemma 6.6.

Theorem 6.9 We have

$$\int_{\Delta(W(\theta, \varphi))} (c_{[\varphi]}[v] - c_{[\varphi]}[0]) \, d\lambda = -\mathcal{E}_{[\varphi]}^\theta(v).$$

Proof The proof follows *verbatim* from that of [52, Theorem 6.2], taking into account Theorem 6.2. □

Observe that

$$(6-6) \quad \sup_{\text{Int } \Delta(W(\theta, \varphi))} |c_{[\varphi]}[v] - c_{[\varphi]}[0]| \leq \frac{1}{2} \|v\|_{C^0(X)}.$$

The following result is obvious:

Lemma 6.10 Let $\varphi, \varphi' \in \text{PSH}(X, \theta)$ be potentials with analytic singularities. If $[\varphi] \leq [\varphi']$, then

$$c_{[\varphi]}[v] \geq c_{[\varphi']}[v]$$

when restricted to $\text{Int } \Delta(\theta, \varphi)$.

6.3 The case of Kähler currents

Assume that θ_φ is a Kähler current. Let φ^j be a quasi-equisingular approximation of φ . Then $c_{[\varphi^j]}[v]$ restricted to $\text{Int } \Delta(W(\theta, \varphi))$ is an increasing sequence. Thus, we can define $c_{[\varphi]}[v]: \text{Int } \Delta(\theta, \varphi) \rightarrow \mathbb{R} \cup \{\infty\}$ by

$$c_{[\varphi]}[v] := \lim_{j \rightarrow \infty} c_{[\varphi^j]}[v].$$

Lemma 6.11 Let $s \in W_k(\theta, \varphi)$, locally written as z^{ka} plus higher-order terms near p . Then

$$c_{[\varphi]}[v](a) \leq k^{-1} \log \|s\|_{L^\infty(kv)}.$$

Proof This follows from the corresponding result for the φ^j . □

By convexity, $c_{[\varphi]}[v]$ takes finite values.

It follows that (6-6) still holds in this case. By the dominated convergence theorem, Proposition 6.4 and the previous case we find

$$\int_{\Delta(\theta, \varphi)} (c_{[\varphi]}[v] - c_{[\varphi]}[0]) \, d\lambda = -\mathcal{E}_{[\varphi]}^\theta(v).$$

It follows from Lemma 6.10 that our definition of $c_{[\varphi]}(v)$ is independent of the choice of φ^j .

Lemma 6.12 *Let $\varphi, \varphi' \in \text{PSH}(X, \theta)$ be potentials such that θ_φ and $\theta_{\varphi'}$ are both Kähler currents. If $[\varphi] \preceq_{\mathcal{I}} [\varphi']$, then*

$$c_{[\varphi]}[v] \geq c_{[\varphi']}[v]$$

when restricted to $\text{Int } \Delta(\theta, \varphi)$.

Proof This follows from Lemma 6.10. □

6.4 General case

Let $\varphi \in \text{PSH}(X, \theta)$ such that $\int_X \theta_{P[\varphi]_{\mathcal{I}}}^n > 0$. We may replace φ with $P[\varphi]_{\mathcal{I}}$ and therefore assume that the nonpluripolar mass of φ is positive.

Let $\eta \in \text{PSH}(X, \theta)$ be a potential so that θ_η is a Kähler current and $\eta \preceq \varphi$. The existence of such η is guaranteed by [33, Proposition 3.6]. Define $\varphi_\epsilon := (1 - \epsilon)\varphi + \epsilon\eta$. Then we define

$$c_{[\varphi]}[v]: \text{Int } \Delta(\theta, \varphi) \rightarrow \mathbb{R} \cup \{-\infty\}, \quad c_{[\varphi]}[v] := \lim_{\epsilon \rightarrow 0^+} c_{[\varphi_\epsilon]}[v].$$

This is a decreasing limit by Lemma 6.12. On the other hand, $c_{[\varphi]}[v] \geq c_{[V_\theta]}[v]$, the latter is finite by [52]. Thus, $c_{[\varphi]}[v]$ is real-valued. Inequality (6-6) extends to this situation. By the dominated convergence theorem and Proposition 6.5 again,

$$\int_{\Delta(\theta, \varphi)} (c_{[\varphi]}[v] - c_{[\varphi]}[0]) \, d\lambda = -\mathcal{E}_{[\varphi]}^\theta(v).$$

We do not know if $c_{[\varphi]}[v]$ is independent of the choice of η .

7 A generalization of Boucksom–Chen theorem

In this section, let X be an irreducible smooth projective variety of dimension n . Let L be a big line bundle on X . Take a smooth Hermitian metric h on L with $\theta = c_1(L, h)$.

Fix a rank n valuation $v: \mathbb{C}(X)^\times \rightarrow \mathbb{Z}^n$.

7.1 The theory of test curves

Let $V = \langle L^n \rangle$.

Definition 7.1 We define a *test curve (of finite energy)* with respect to (X, θ) to be a map $\psi = \psi_\bullet : \mathbb{R} \rightarrow \text{PSH}(X, \theta) \cup \{-\infty\}$ such that

- (1) ψ_\bullet is concave in \bullet ,
- (2) ψ_τ is a model potential or $-\infty$ for any τ ,
- (3) ψ is usc as a function in the \mathbb{R} -variable,
- (4) $\lim_{\tau \rightarrow -\infty} \psi_\tau = V_\theta$ in L^1 ,
- (5) $\psi_\tau = -\infty$ for τ large enough,
- (6) ψ satisfies

$$(7-1) \quad \mathbf{E}(\psi_\bullet) := \tau^+ V + \int_{-\infty}^{\tau^+} \left(\int_X \theta_{\psi_\tau}^n - V \right) d\tau > -\infty.$$

Here $\tau^+ := \inf\{\tau \in \mathbb{R} \mid \psi_\tau = -\infty\}$. The set of test curves of finite energy with respect to (X, θ) is denoted by $\mathcal{TC}^1(X, \theta)$. We say ψ is *normalized* if $\tau^+ = 0$. The test curve is called *bounded* if $\psi_\tau = V_\theta$ for τ small enough. Let $\tau^- := \sup\{\tau \in \mathbb{R} \mid \psi_\tau = V_\theta\}$ in this case. The set of bounded test curves is denoted by $\mathcal{TC}^\infty(X, \theta)$.

We say a test curve is *\mathcal{I} -model* if ψ_τ is \mathcal{I} -model for each $\tau < \tau^+$. The set of \mathcal{I} -model test curves is denoted by $\mathcal{TC}_\mathcal{I}^1(X, \theta)$.

7.2 Okounkov test curves

Let $\Delta \in \mathcal{K}^n$. Assume that $V = n! \text{vol } \Delta > 0$.

Definition 7.2 An *Okounkov test curve* relative to Δ is an assignment $(\Delta_\tau)_{\tau \leq \tau^+}$ for $\tau^+ \in \mathbb{R}$ such that:

- (1) Δ_τ is a decreasing assignment of convex bodies in \mathbb{R}^n for $\tau \leq \tau^+$.
- (2) Δ_τ converges to Δ as $\tau \rightarrow -\infty$ with respect to the Hausdorff metric (cf Section 2.1).
- (3) Δ_τ is concave in the τ variable.
- (4) The energy is finite:

$$\mathbf{E}(\Delta_\bullet) := \tau^+ V + V \int_{-\infty}^{\tau^+} \left(\frac{n!}{V} \text{vol } \Delta_\tau - 1 \right) d\tau > -\infty.$$

- (5) Continuity holds at τ^+ :

$$\Delta_{\tau^+} = \bigcap_{\tau < \tau^+} \Delta_\tau.$$

Proposition 7.3 Any Okounkov test curve $(\Delta_\tau)_{\tau \leq \tau^+}$ relative to Δ is continuous for $\tau < \tau^+$.

Proof We first claim that $\text{vol } \Delta_{\tau'} > 0$ for all $\tau' < \tau^+$. By condition (2) and Theorem 2.3, we know that $\text{vol } \Delta_{\tau''} > 0$ when τ'' is small enough. Fix one such τ'' . Any $\tau' < \tau^+$ can be written as a convex combination of τ^+ and τ'' , thus $\Delta_{\tau'}$ has positive volume by condition (3).

Next we claim that $\text{vol } \Delta_{\tau}$ is continuous for $\tau < \tau^+$. In fact, by condition (3) and the Minkowski inequality, we know that $\log \text{vol } \Delta_{\tau}$ is concave for $\tau < \tau^+$. The continuity follows.

Next we show that

$$\Delta_{\tau} = \bigcap_{\tau' < \tau} \Delta_{\tau'}.$$

The \supseteq direction is obvious. By the continuity of the volume, both sides have the same volume and the volume is positive, hence, equality holds by Lemma 2.5.

Similarly, we have

$$\Delta_{\tau} = \overline{\bigcup_{\tau' > \tau} \Delta_{\tau'}}.$$

The continuity of Δ_{τ} at $\tau < \tau^+$ is proved. □

Definition 7.4 A test function on Δ is a function $F: \Delta \rightarrow [-\infty, \infty)$ such that:

- (1) F is concave.
- (2) F is finite on $\text{Int } \Delta$.
- (3) F is usc.
- (4) The energy is finite:

$$(7-2) \quad \mathbf{E}(F) := n! \int_{\Delta} F \, d\lambda > -\infty.$$

Let $\tau^+ = \sup_{\Delta} F$. Then

$$(7-3) \quad \mathbf{E}(F) = \tau^+ V + V \int_{-\infty}^{\tau^+} \left(\frac{n!}{V} \text{vol}\{F \geq \tau\} - 1 \right) d\tau.$$

Let Δ_{\bullet} be an Okounkov test curve relative to Δ . We define the Legendre transform of Δ_{\bullet} as

$$G[\Delta_{\bullet}]: \Delta \rightarrow [-\infty, \infty), \quad a \mapsto \sup\{\tau < \tau^+ \mid a \in \Delta_{\tau}\}.$$

Conversely, a test function F on Δ , set $\tau^+ = \sup_{\Delta} F$. We define the inverse Legendre transform of F as

$$\Delta[F]: (-\infty, \tau^+] \rightarrow \mathcal{K}_n, \quad \Delta[F]_{\tau} = \{F \geq \tau\}.$$

Theorem 7.5 The Legendre transform and inverse Legendre transform are inverse to each other, defining a bijection between the set of Okounkov test curves relative to Δ and test functions on Δ . Moreover, if Δ_{\bullet} is an Okounkov test curve relative to Δ , then

$$(7-4) \quad \mathbf{E}(\Delta_{\bullet}) = \mathbf{E}(G[\Delta_{\bullet}]).$$

Proof Let Δ_{\bullet} be an Okounkov test curve relative to Δ . We prove that $G[\Delta_{\bullet}]$ is a test function on Δ .

Firstly $G[\Delta_\bullet]$ is concave by condition (1) and condition (3) in Definition 7.2. More precisely, take $a, b \in \Delta$. We want to prove that for any $t \in (0, 1)$,

$$(7-5) \quad G[\Delta_\bullet](ta + (1-t)b) \geq tG[\Delta_\bullet](a) + (1-t)G[\Delta_\bullet](b).$$

There is nothing to prove if $G[\Delta_\bullet](a)$ or $G[\Delta_\bullet](b)$ is $-\infty$. So we assume that both are finite. Take $\epsilon > 0$, then $a \in \Delta_{G[\Delta_\bullet](a)-\epsilon}$ and $b \in \Delta_{G[\Delta_\bullet](b)-\epsilon}$. Thus,

$$ta + (1-t)b \in t\Delta_{G[\Delta_\bullet](a)-\epsilon} + (1-t)\Delta_{G[\Delta_\bullet](b)-\epsilon} \subseteq \Delta_{tG[\Delta_\bullet](a)+(1-t)G[\Delta_\bullet](b)-\epsilon}.$$

We deduce that

$$G[\Delta_\bullet](ta + (1-t)b) \geq tG[\Delta_\bullet](a) + (1-t)G[\Delta_\bullet](b) - \epsilon.$$

Since $\epsilon > 0$ is arbitrary, (7-5) follows.

Next $G[\Delta_\bullet]$ is finite on $\text{Int } \Delta$ by condition (2). In fact, as Δ_τ is increasing and converges to Δ as $\tau \rightarrow -\infty$, we have

$$\Delta = \bigcup_{\tau} \overline{\Delta_\tau}.$$

Hence, by [50, Theorem 1.1.15] and the assumption that $\text{vol } \Delta > 0$, $\bigcup_{\tau} \Delta_\tau$ contains $\text{Int } \Delta$.

Thirdly, we show that $G[\Delta_\bullet]$ is usc. Let $a_i \in \Delta$ with $a_i \rightarrow a \in \Delta$. Define $\tau_i = G[\Delta_\bullet](a_i)$. Let $\tau = \overline{\lim}_i \tau_i$. We need to show that

$$(7-6) \quad G[\Delta_\bullet](a) \geq \tau.$$

There is nothing to prove if $\tau = -\infty$. We assume that it is not this case. Up to subtracting a subsequence we may assume that $\tau_i \rightarrow \tau$. In particular, we can assume that $\tau_i \neq -\infty$ for all i . Fix $\epsilon > 0$, then $a_i \in \Delta_{\tau_i-\epsilon}$. Observe that $\Delta_{\tau_i-\epsilon} \xrightarrow{d_n} \Delta_{\tau-\epsilon}$. By Theorem 2.4 it follows that $a \in \Delta_{\tau-\epsilon}$. Thus, (7-6) follows since $\epsilon > 0$ is arbitrary.

Finally, (7-4) follows from (7-3), and it follows that $E(G[\Delta_\bullet]) > -\infty$.

Conversely, if $F: \Delta \rightarrow [-\infty, \infty)$ is a test function on Δ . Let $\Delta[F]$ be the inverse Legendre transform of F . Then one can similarly show that $\Delta[F]$ is an Okounkov test curve.

Firstly, for each $\tau < \tau^+ := \sup_{\Delta} F$, $\Delta[F](\tau)$ is a convex body as F is concave and usc. Moreover, $\Delta[F]_{\tau}$ is clearly decreasing in τ . Hence, $\Delta[F]_{\tau^+}$ is also a convex body.

Secondly, for each $a \in \Delta$, we can write $a = \lim_i a_i$ with $a_i \in \text{Int } \Delta$. By assumption, F is finite at a_i . Thus,

$$a \in \overline{\{F > -\infty\}} = \bigcup_{\tau} \overline{\Delta[F]_{\tau}}.$$

By Theorem 2.4, $\Delta[F]_{\tau} \xrightarrow{d_n} \Delta$ as $\tau \rightarrow -\infty$.

Thirdly, $\Delta[F]$ is concave. To see, take $\tau, \tau' \leq \tau^+$, we need to prove that for any $t \in (0, 1)$,

$$(7-7) \quad \Delta[F]_{t\tau+(1-t)\tau'} \supseteq t\Delta[F]_{\tau} + (1-t)\Delta[F]_{\tau'}.$$

Let $a \in \Delta[F]_\tau$ and $b \in \Delta[F]_{\tau'}$. We have $F(a) \geq \tau$ and $F(b) \geq \tau'$. F is concave, so $F(ta + (1-t)b) \geq t\tau + (1-t)\tau'$. Thus,

$$ta + (1-t)b \in \Delta[F]_{t\tau+(1-t)\tau'}$$

and (7-7) follows.

Fourthly, (7-2) follows immediately from (7-3).

Finally, we show that $\Delta[F]_\bullet$ is continuous at τ^+ . This amounts to

$$\{F \geq \tau^+\} = \bigcap_{\tau < \tau^+} \{F \geq \tau\},$$

which is obvious.

To see that these two operations are inverse to each other, observe that by definition for any Okounkov test curve Δ_\bullet , any $a \in \Delta$ and any $\tau \leq \tau^+$, one has $G[\Delta_\bullet](a) \geq \tau$ if and only if $a \in \Delta_{\tau-\epsilon}$ for any $\epsilon > 0$. By Proposition 7.3, this happens if and only if $a \in \Delta_\tau$, that is,

$$\{G[\Delta_\bullet] \geq \tau\} = \Delta_\tau.$$

Conversely, for any test function $F: \Delta \rightarrow [-\infty, \infty)$, any $\tau \leq \tau^+$, by definition,

$$\{F \geq \tau\} = \Delta[F]_\tau. \quad \square$$

Definition 7.6 Let Δ_\bullet be an Okounkov test curve relative to Δ . We define the *Duistermaat–Heckman measure* $\text{DH}(\Delta_\bullet)$ as

$$\text{DH}(\Delta_\bullet) := G[\Delta_\bullet]_*(d\lambda).$$

It is a Radon measure on \mathbb{R} .

Observe that

$$(7-8) \quad \int_{\mathbb{R}} \text{DH}(\Delta_\bullet) = \text{vol } \Delta.$$

7.3 Boucksom–Chen theorem

Let $\psi_\bullet \in \mathcal{TC}_X^1(X, \theta)$. Let $\tau^+ = \inf\{\tau \in \mathbb{R} \mid \psi_\tau = -\infty\}$.

Lemma 7.7 *The curve*

$$\Delta[\psi_\bullet]_\tau := \begin{cases} \Delta(\theta, \psi_\tau) & \text{if } \tau < \tau^+, \\ \bigcap_{\tau' < \tau^+} \Delta[\psi_\bullet]_{\tau'} & \text{if } \tau = \tau^+, \end{cases}$$

is an Okounkov test curve relative to $\Delta(L)$. Moreover,

$$(7-9) \quad \mathbf{E}(\psi_\bullet) = \mathbf{E}(\Delta[\psi_\bullet]_\bullet).$$

Proof We verify the conditions in Definition 7.2. condition (1) follows from Proposition 5.8. Condition (2) follows from the fact that

$$\lim_{\tau \rightarrow -\infty} \text{vol } \Delta_\tau = \text{vol } \Delta.$$

Condition (3) follows from Theorem 5.14 and Proposition 5.8. Condition (4) is a translation of (7-1). Condition (5) is obvious.

Finally, (7-9) follows from (7-1) and (1-3). □

Definition 7.8 Let $\psi_\bullet \in \mathcal{TC}_T^1(X, \theta)$. Define the *Duistermaat–Heckman measure* of ψ_\bullet as

$$\text{DH}(\psi_\bullet) := \text{DH}(\Delta[\psi_\bullet]).$$

We write

$$G[\psi_\bullet] = G[\Delta[\psi_\bullet]].$$

Then

$$\text{DH}(\psi_\bullet) = G[\psi_\bullet]_*(d\lambda).$$

Now consider the (not necessarily multiplicative) filtration

$$\mathcal{F}_\tau^k \mathbf{H}^0(X, L^k) := \begin{cases} \mathbf{H}^0(X, L^k \otimes \mathcal{I}(k\psi_\tau)) & \text{if } \tau < \tau^+, \\ 0 & \text{if } \tau \geq \tau^+. \end{cases}$$

Let $e_j(\mathbf{H}^0(X, L^k), \mathcal{F}^k)$ be the jumping numbers of \mathcal{F}^k listed in decreasing order. In other words,

$$e_j(\mathbf{H}^0(X, L^k), \mathcal{F}^k) := \sup\{\tau \in \mathbb{R} \mid \dim \mathcal{F}_\tau^k \mathbf{H}^0(X, L^k) \geq j\}.$$

Let

$$\mu_k := \frac{1}{k^n} \sum_{j=1}^{h^0(X, L^k)} \delta_{e_j(\mathbf{H}^0(X, L^k), \mathcal{F}^k)}.$$

Theorem 7.9 Let $\psi_\bullet \in \mathcal{TC}_T^1(X, \theta)$. Then as $k \rightarrow \infty$, the measure μ_k converges weakly to $\text{DH}(\psi_\bullet)$.

As explained in [49; 32; 55], $\mathcal{TC}_T^1(X, \theta)$ is the completion of the space of filtrations, so this theorem indeed generalizes [11, Theorem A], in the case of full-graded linear series.

Proof It suffices to show the convergence holds as distributions. By our definition, μ_k is the distributional derivative of the function

$$h_k(\tau) := \begin{cases} k^{-n} h^0(X, L^k \otimes \mathcal{I}(k\psi_\tau)) & \text{if } \tau < \tau^+, \\ 0 & \text{if } \tau \geq \tau^+. \end{cases}$$

On the other hand, $\text{DH}(\psi_\bullet)$ is the distributional derivative of $h(\tau) := \text{vol}\{G[\Delta[\psi_\bullet]] \geq \tau\} = \text{vol } \Delta_\tau$ by the Fubini–Tonelli theorem.

By Theorem 2.19, $h_k(\tau) \rightarrow h(\tau)$ for all $\tau \neq \tau^+$. By the dominated convergence theorem $h_k \rightarrow h$ in $L^1_{\text{loc}}(\mathbb{R})$. Hence, $\mu_k \rightharpoonup \text{DH}(\psi_\bullet)$. □

Corollary 7.10 For any $\psi_\bullet \in \mathcal{TC}_T^1(X, \theta)$. The *Duistermaat–Heckman measure* $\text{DH}(\psi_\bullet)$ is independent of the choice of the valuation v .

7.4 Applications to non-Archimedean geometry

Assume that L is ample and θ is a Kähler form. We write $\omega = \theta$ instead.

Finite-energy geodesic rays Let $\mathcal{E}^1(X, \omega)$ denote the space of ω -psh functions with finite energy:

$$\mathcal{E}^1(X, \omega) := \left\{ \varphi \in \text{PSH}(X, \omega) \mid \int_X \omega_\varphi^n = \int_X \omega^n, \int_X |\varphi| \omega_\varphi^n < \infty \right\}.$$

See [24] for a detailed introduction. Recall that $\mathcal{E}^1(X, \omega)$ admits a natural metric d_1 : for $\varphi, \psi \in \mathcal{E}^1(X, \omega)$, given by

$$d_1(\varphi, \psi) := E(\varphi) + E(\psi) - 2E(\varphi \wedge \psi).$$

Here

$$\varphi \wedge \psi := \sup \{ \eta \in \text{PSH}(X, \omega) \mid \eta \leq \varphi, \eta \leq \psi \}.$$

In [27, Theorem 2.10], Darvas, Di Nezza and Lu proved that $\varphi \wedge \psi \in \mathcal{E}^1(X, \omega)$. They proved in [25, Section 3] that d_1 is indeed a metric. The Monge–Ampère energy functional $E: \mathcal{E}^1(X, \omega) \rightarrow \mathbb{R}$ is defined as

$$E(\varphi) = \frac{1}{n+1} \sum_{i=0}^n \int_X \varphi \omega_\varphi^i \wedge \omega^{n-i}.$$

In this case, let $\mathcal{R}^1(X, \omega)$ denote the set of geodesic rays in $\mathcal{E}^1(X, \omega)$ emanating from 0. For a detailed study of $\mathcal{R}^1(X, \omega)$, we refer to [30]. Here we only recall the definition of the metric on $\mathcal{R}^1(X, \omega)$. Given $\ell, \ell' \in \mathcal{R}^1(X, \omega)$, we define

$$d_1(\ell, \ell') := \lim_{t \rightarrow \infty} \frac{1}{t} d_1(\ell_t, \ell'_t).$$

By [20, Corollary 5.5], $t \mapsto d_1(\ell_t, \ell'_t)$ is convex, guaranteeing the existence of the limit. It is shown in [30] that $(\mathcal{R}^1(X, \omega), d_1)$ is a complete metric space.

The following notion is introduced in [54]:

Definition 7.11 A *rooftop metric space* is a triple (E, d, \wedge) : (E, d) is a metric space and $\wedge: E \times E \rightarrow E$ is an associative, commutative binary operator on E satisfying

$$d(a \wedge c, b \wedge c) \leq d(a, b) \quad \text{for any } a, b, c \in E.$$

For $\ell, \ell' \in \mathcal{R}^1(X, \omega)$, define $\ell \wedge \ell'$ as the greatest geodesic in $\mathcal{R}^1(X, \omega)$ that lies below both ℓ and ℓ' . It is shown in [54, Theorem 7.6] that \wedge is well-defined and $(\mathcal{R}^1(X, \omega), d_1, \wedge)$ is a complete rooftop metric space.

The energy functional $\mathbf{E}: \mathcal{R}^1(X, \omega) \rightarrow \mathbb{R}$ is defined as

$$\mathbf{E}(\ell) := E(\ell_1).$$

Recall that we have the following two maps: Given any $\ell \in \mathcal{R}^1(X, \omega)$, its *inverse Legendre transform* is defined as

$$\widehat{\ell}_\tau := \inf_{t \geq 0} (\ell_t - t\tau).$$

Conversely, given any $\psi_\bullet \in \mathcal{TC}^1(X, \omega)$, we define its *Legendre transform* by

$$\check{\psi}_t := \sup_{\tau \in \mathbb{R}} (\psi_\tau + t\tau).$$

They are inverse to each other, as proved in [32, Theorem 3.7].

Non-Archimedean pluripotential theory Let X^{an} be the Berkovich analytification of X with respect to the trivial valuation on X and L^{an} be the analytification of L . See Section 2.7 for a brief introduction. In the same section, we also recalled the definition of the space $\mathcal{E}^1(L^{\text{an}})$ of non-Archimedean psh metrics on L^{an} with finite energy and the energy functional $\mathbf{E}: \mathcal{E}^1(L^{\text{an}}) \rightarrow \mathbb{R}$.

Next we briefly explain the relation between the non-Archimedean pluripotential theory and the complex pluripotential theory. Firstly, given a geodesic ray $\ell \in \mathcal{R}^1(X, \omega)$, one can associate a non-Archimedean potential $\ell^{\text{an}} \in \mathcal{E}^1(L^{\text{an}})$ as in [5, Definition 4.2, Theorem 6.2]. The construction of ℓ^{an} requires the notion of Gauss extension of valuations, as explained in [5, Section 3.1]. The map

$$\mathcal{R}^1(X, \omega) \rightarrow \mathcal{E}^1(L^{\text{an}})$$

is surjective but not injective. It admits a canonical section

$$\iota: \mathcal{E}^1(L^{\text{an}}) \hookrightarrow \mathcal{R}^1(X, \omega)$$

sending $\phi \in \mathcal{E}^1(L^{\text{an}})$ to the maximal element $\ell \in \mathcal{E}^1(L^{\text{an}})$ with $\ell^{\text{an}} = \phi$. See [5, Theorem 6.6].

The geodesics lying in the image of ι are known as *maximal geodesic rays* or *approximable geodesic rays*. Moreover,

$$(7-10) \quad \mathbf{E}(\iota(\alpha)) = \mathbf{E}(\alpha)$$

for any $\alpha \in \mathcal{E}^1(L^{\text{an}})$; see [5, Corollary 6.7].

Maximal geodesic rays are closely related to test curves:

Theorem 7.12 *The Legendre transform is a bijection from $\mathcal{TC}_T^1(X, \omega)$ (resp. $\mathcal{TC}^1(X, \omega)$) to $\iota(\mathcal{E}^1(L^{\text{an}}))$ (resp. $\mathcal{R}^1(X, \omega)$); the inverse is given by the inverse Legendre transform. Further, for any $\psi_\bullet \in \mathcal{TC}^1(X, \omega)$,*

$$(7-11) \quad \mathbf{E}(\psi_\bullet) = \mathbf{E}(\check{\psi}).$$

This is one of the main theorems of [32, Theorems 3.7 and 3.17]. It is based on the previous work [49; 25].

Duistermaat–Heckman measures The space $\mathcal{E}^1(L^{\text{an}})$ is closely related to the theory of test configurations. For the latter, we refer to [16, Section 2] for a brief introduction. Recall that two test configurations $(\mathcal{X}, \mathcal{L})$ and $(\mathcal{X}', \mathcal{L}')$ of (X, L) are said to be equivalent if they can be dominated by a common test configuration; see [16, Definition 6.1]. There is a natural injection from the set of equivalence classes of test configurations to $\mathcal{E}^1(L^{\text{an}})$. Moreover, this injection has dense image and $\mathcal{E}^1(L^{\text{an}})$ is the d_1 -completion of the space of test configurations (modulo the equivalence relation). These results are explained in detail in [32, Section 3.2].

Given a test configuration $(\mathcal{X}, \mathcal{L})$, Witt Nyström [51] constructed a naturally defined Radon measure $\text{DH}(\mathcal{X}, \mathcal{L})$ on \mathbb{R} , called the *Duistermaat–Heckman measure*. See [16, Section 3.2] for more details. It is not hard to see from the definition that $\text{DH}(\mathcal{X}, \mathcal{L})$ depends only on the equivalence class of $(\mathcal{X}, \mathcal{L})$.

In the sequel, we will define the Duistermaat–Heckman measure of an element in $\mathcal{E}^1(L^{\text{an}})$. As the space $\mathcal{E}^1(L^{\text{an}})$ is the completion of the space of test configurations (modulo the equivalence relation), our definition can be seen as an extension of Witt Nyström’s results [51].

Definition 7.13 For any $\alpha \in \mathcal{E}^1(L^{\text{an}})$, define the *Duistermaat–Heckman measure* of α as

$$\text{DH}(\alpha) := \text{DH}(\widehat{\iota(\alpha)}).$$

We get a map $\text{DH}: \mathcal{E}^1(L^{\text{an}}) \rightarrow \mathcal{M}(\mathbb{R})$. Here $\mathcal{M}(\mathbb{R})$ denotes the space of Radon measures on \mathbb{R} .

For the proof of the next theorem, we need to recall several basic constructions of test curves.

The space $\mathcal{TC}^1(X, \omega)$ is a rooftop metric space. Its rooftop structures (d_1, \wedge) are induced from the corresponding structures on $\mathcal{R}^1(X, \omega)$.

Corollary 7.14 Let $\psi_\bullet, \varphi_\bullet, \eta_\bullet \in \mathcal{TC}^1(X, \omega)$.

(1) The rooftop operator on $\mathcal{TC}^1(X, \omega)$ is given by

$$(7-12) \quad (\psi \wedge \varphi)_\tau = \psi_\tau \wedge \varphi_\tau.$$

It is the maximal element in $\mathcal{TC}^1(X, \omega)$ that lies below both ψ_\bullet and φ_\bullet . In particular,

$$(7-13) \quad d_1((\psi \wedge \eta)_\bullet, (\varphi \wedge \eta)_\bullet) \leq d_1(\psi_\bullet, \varphi_\bullet).$$

(2) The metric on $\mathcal{TC}^1(X, \omega)$ is given by

$$(7-14) \quad d_1(\psi_\bullet, \varphi_\bullet) := \mathbf{E}(\psi_\bullet) + \mathbf{E}(\varphi_\bullet) - 2\mathbf{E}((\psi \wedge \varphi)_\bullet).$$

Proof (1) Note that (7-13) is part of our definition of a rooftop structure.

Observe that the bijection $\mathcal{TC}^1(X, \omega) \rightarrow \mathcal{R}^1(X, \omega)$ is order-preserving. In order to prove our claim, it suffices to show that $(\varphi \wedge \psi)_\bullet$ defined by (7-12) is indeed in $\mathcal{TC}^1(X, \omega)$, which is obvious.

(2) This follows simply from (1) and (7-11). □

If $\varphi_\bullet, \psi_\bullet \in \mathcal{TC}^1_{\mathcal{I}}(X, \omega)$, then $(\psi \wedge \varphi)_\bullet \in \mathcal{TC}^1_{\mathcal{I}}(X, \omega)$ as well. This follows from the simple observation that the rooftop of two \mathcal{I} -model potentials is still \mathcal{I} -model. Now the d_1 metric on $\mathcal{TC}^1(X, \omega)$ restricts to a metric d_1 on $\mathcal{TC}^1_{\mathcal{I}}(X, \omega)$. The rooftop structure also restricts to a rooftop structure on $\mathcal{TC}^1_{\mathcal{I}}(X, \omega)$.

We need the following constructions on test curves:

(1) **Increasing limit** Let $\psi_\bullet^\alpha \in \mathcal{TC}^1(X, \omega)$ be an increasing net. Assume that $\tau_{\psi_\bullet^\alpha}^+$ is bounded from above. Define

$$\tilde{\psi}_\tau := C[\sup^*_{\alpha} \psi_\tau^\alpha].$$

Let $\tau^+ = \inf\{\tau \mid \tilde{\psi}_\tau = -\infty\}$. We define

$$\psi_\tau = \begin{cases} \tilde{\psi}_\tau & \text{if } \tau \neq \tau^+, \\ \lim_{\sigma \rightarrow \tau^+} \tilde{\psi}_\sigma & \text{if } \tau = \tau^+. \end{cases}$$

It is easy to verify that $\psi_\bullet \in \mathcal{TC}^1(X, \omega)$.

(2) **Decreasing limit** Let $\psi_\bullet^\alpha \in \mathcal{TC}^1(X, \omega)$ be an increasing net and $\eta_\bullet \in \mathcal{TC}^1(X, \omega)$. Assume that $\psi_\bullet^\alpha \geq \eta_\bullet$ for all α . Define

$$(\inf \psi)_\tau := \inf_{\alpha} \psi_\tau^\alpha.$$

Then if $(\inf \psi)_\bullet$ is not identically $-\infty$, then $(\inf \psi)_\bullet \in \mathcal{TC}^1(X, \omega)$.

(3) **Max** Let $\varphi_\bullet, \psi_\bullet \in \mathcal{TC}^1(X, \omega)$. There is the smallest test curve $(\varphi \vee \psi)_\bullet \in \mathcal{TC}^1(X, \omega)$ such that $(\varphi \vee \psi)_\bullet \geq \varphi_\bullet$ and $(\varphi \vee \psi)_\bullet \geq \psi_\bullet$. In fact, we could simply define

$$(\varphi \vee \psi)_\tau := \inf\{\eta_\tau \mid \eta_\bullet \in \mathcal{TC}^1(X, \omega), \eta_\bullet \geq \varphi_\bullet, \eta_\bullet \geq \psi_\bullet\}.$$

In terms of the Legendre transform, $(\varphi \vee \psi)^\sim$ is the minimal geodesic ray lying above both $\check{\varphi}$ and $\check{\psi}$. We observe that

$$(7-15) \quad d_1(\varphi_\bullet, \psi_\bullet) \leq d_1(\varphi_\bullet, (\varphi \vee \psi)_\bullet) + d_1(\psi_\bullet, (\varphi \vee \psi)_\bullet) \leq C_0 d_1(\varphi_\bullet, \psi_\bullet)$$

for some $C_0(n) > 0$. See [29, Proposition 2.15] for the proof of the latter inequality. Moreover, if $\eta_\bullet \in \mathcal{TC}^1(X, \omega)$ and if $\varphi_\bullet \leq \psi_\bullet$, then

$$(7-16) \quad d_1((\varphi \vee \eta)_\bullet, (\psi \vee \eta)_\bullet) \leq d_1(\varphi_\bullet, \psi_\bullet).$$

This follows from the corresponding inequality of geodesic rays, which in turn follows from Proposition 4.12 of [54] (Proposition 6.8 in the arXiv version).

We observe that the operator \vee is associative and commutative; hence, we could also define $\psi_\bullet^1 \vee \dots \vee \psi_\bullet^k$ in the obvious way.

Lemma 7.15 Let $\psi_\bullet^j, \psi_\bullet \in \mathcal{TC}^1(X, \omega)$. Assume that one of the following conditions holds:

- (1) ψ_\bullet^j is increasing and ψ_\bullet is the increasing limit of ψ_\bullet^j .
- (2) ψ_\bullet^j is decreasing and $\psi_\bullet = (\inf \psi)_\bullet$.

Then $\psi_\bullet^j \xrightarrow{d_1} \psi_\bullet$.

Proof We assume that condition (2) holds; the other case is similar. First observe that $\tau_{\psi^j}^+ \rightarrow \tau_{\psi_\bullet}^+$. It suffices to observe that

$$d_1(\psi_\bullet^j, \psi_\bullet) = (\tau_{\psi^j}^+ - \tau_{\psi_\bullet}^+) \int_X \omega^n + \int_{-\infty}^\infty \left(\int_X \omega_{\psi_\tau^j}^n - \int_X \omega_{\psi_\tau}^n \right) d\tau.$$

The assertion is a simple consequence of dominated convergence theorem. □

Theorem 7.16 *The map $\text{DH}: \mathcal{E}^1(L^{\text{an}}) \rightarrow \mathcal{M}(\mathbb{R})$ is continuous.*

For any $\alpha \in \mathcal{E}^1(L^{\text{an}})$,

$$(7-17) \quad \int_{\mathbb{R}} x \, d\text{DH}(\alpha)(x) = \mathbf{E}(\alpha),$$

$$(7-18) \quad \int_{\mathbb{R}} \text{DH}(\alpha) = \frac{1}{n!}(L^n).$$

Proof We first prove the continuity of DH.

By the dominated convergence theorem, it suffices to show that $G[\psi_\bullet](x)$ depends continuously on ψ_\bullet for almost all $x \in \text{Int } \Delta(L)$. To be more precise, let $\psi_\bullet^j \in \mathcal{TC}_{\mathcal{I}}^1(X, \omega)$ be a sequence converging to ψ_\bullet . We want to show that

$$G[\psi_\bullet^j](x) \rightarrow G[\psi_\bullet](x)$$

for almost all $x \in \text{Int } \Delta(L)$. We will reduce to the case where ψ_\bullet^j is either increasing or decreasing. In these cases, it suffices to show that $G[\psi_\bullet^j] \rightarrow G[\psi_\bullet]$ in L^1 . By (7-4) and (7-9), this amounts to showing that $\mathbf{E}(\psi_\bullet^j) \rightarrow \mathbf{E}(\psi_\bullet)$. The latter follows from Lemma 7.15.

In order to make the reduction, we will prove that after passing to a subsequence, there exists an increasing sequence $\varphi_\bullet^j \in \mathcal{TC}_{\mathcal{I}}^1(X, \omega)$ and a decreasing sequence $\eta_\bullet^j \in \mathcal{TC}_{\mathcal{I}}^1(X, \omega)$ such that $\varphi_\bullet^j \leq \psi_\bullet^j \leq \eta_\bullet^j$ and $\varphi_\bullet^j \xrightarrow{d_1} \psi_\bullet, \eta_\bullet^j \xrightarrow{d_1} \psi_\bullet$. In fact, we can relax the requirement to $\varphi_\bullet^j, \eta_\bullet^j \in \mathcal{TC}^1(X, \omega)$, not necessarily \mathcal{I} -model. Then it suffices to replace both test curves by their pointwise \mathcal{I} -projections, which satisfy the same conditions by [32, Theorem 3.18].

Up to subtracting a subsequence, we may assume that for all j ,

$$d_1(\psi_\bullet^j, \psi_\bullet) \leq 2^{-j}.$$

For $k \geq j \geq 0$, we set

$$\eta_\bullet^{j,k} := \psi_\bullet^j \vee \dots \vee \psi_\bullet^k \in \mathcal{TC}^1(X, \omega).$$

Let $\eta_\bullet^j \in \mathcal{TC}^1(X, \omega)$ be the increasing limit of $\eta_\bullet^{j,k}$ as $k \rightarrow \infty$. We then have

$$\begin{aligned} d_1(\eta_\bullet^{j,k}, \psi_\bullet) &\leq d_1(\psi_\bullet, (\psi \vee \psi^j)_\bullet) + d_1((\psi \vee \psi^j)_\bullet, (\psi \vee \psi^j \vee \psi^{j+1})_\bullet) + \dots \\ &\quad + d_1((\psi \vee \psi^j \vee \dots \vee \psi^{k-1})_\bullet, (\psi \vee \psi^j \vee \dots \vee \psi^k)_\bullet) \\ &\leq d_1(\psi_\bullet, (\psi \vee \psi^j)_\bullet) + \dots + d_1(\psi_\bullet, (\psi \vee \psi^k)_\bullet) \\ &\leq C_0 \sum_{i=j}^k d_1(\psi_\bullet, \psi_\bullet^i) \leq C_0 2^{1-j}. \end{aligned}$$

Here the second inequality follows from (7-16), the third inequality follows from (7-15). Then by Lemma 7.15, we find that $d_1(\eta_\bullet^j, \psi_\bullet) \leq C2^{1-j}$. Thus, $\eta_\bullet^j \xrightarrow{d_1} \psi_\bullet$.

Similarly, for $k \geq j \geq 0$, let

$$\varphi_\bullet^{j,k} := \psi_\bullet^j \wedge \dots \wedge \psi_\bullet^k \in \mathcal{TC}^1(X, \omega).$$

The same argument as above shows that for $k \geq j \geq 0$, $d_1(\varphi_\bullet^{j,k}, \psi_\bullet) \leq 2^{1-j}$. Let

$$\psi_\tau^j := \inf_{k \geq j} \varphi_\tau^{j,k}.$$

By the monotone convergence theorem, $\psi^j \in \mathcal{TC}^1(X, \omega)$. Thus, by Lemma 7.15, $d_1(\varphi_\bullet^j, \psi_\bullet) \leq 2^{1-j}$.

Next we prove (7-17). Let $\alpha \in \mathcal{E}^1(L^{\text{an}})$. Let ψ_\bullet be the test curve corresponding to α . We need to compute

$$\int_{\mathbb{R}} x \text{DH}(\alpha)(x) = \int_{\Delta(L)} G[\psi_\bullet] d\lambda.$$

By (7-3), (7-4) and (7-9), the right-hand side is just $\mathbf{E}(\psi_\bullet)$, which is equal to $\mathbf{E}(\alpha)$ by (7-10) and (7-11).

Finally, (7-18) follows from (7-8). □

Remark 7.17 On the subspace \mathcal{H}^{NA} , the Duistermaat–Heckman measure is the same as the one defined in [16, Section 3.2]. This follows from Theorem 5.28 and [11, Theorem A]. On the other hand, in [40, Definition 3.56], Inoue defined the Duistermaat–Heckman measure for a general non-Archimedean metric on L^{an} . As explained in [40, Remark 1.4], his definition agrees with ours for metrics in $\mathcal{E}^1(L^{\text{an}})$.

8 Toric setting

This section is devoted to a toric interpretation of the partial Okounkov body construction.

8.1 Technical lemmata

Lemma 8.1 *Let $\alpha, \beta_1, \dots, \beta_m \in \mathbb{Z}^n$. Let Δ be the convex polytope generated by β_1, \dots, β_m . Then the following are equivalent:*

- (1) *The function*

$$(8-1) \quad |z^\alpha|^2 \left(\sum_{i=1}^m |z^{\beta_i}|^2 \right)^{-1}$$

*is bounded on \mathbb{C}^{*n} .*

- (2) $\alpha \in \Delta$.

Proof (2) \implies (1) Write $\alpha = \sum_i t_i \beta_i$, where $t_i \in [0, 1]$ and $\sum_i t_i = 1$. Then

$$|z^\alpha|^2 \left(\sum_{i=1}^m |z^{\beta_i}|^2 \right)^{-1} = \prod_i |z^{\beta_i}|^{2t_i} \left(\sum_{i=1}^m |z^{\beta_i}|^2 \right)^{-1} \leq \prod_i \sum_j |z^{\beta_j}|^{2t_i} \left(\sum_{i=1}^m |z^{\beta_i}|^2 \right)^{-1} \leq 1.$$

(1) \implies (2) Assume that $\alpha \notin \Delta$. Let H be a hyperplane that separates α and Δ . Say H is defined by $a_1x_1 + \dots + a_nx_n = C$. Set

$$z(t) := (t^{a_1}, \dots, t^{a_n}).$$

Then clearly (8-1) evaluated at $z(t)$ is not bounded. □

Lemma 8.2 *Let $\beta_1, \dots, \beta_m \in \mathbb{N}^n$ and $\beta \in \mathbb{R}^n$. Then the following are equivalent:*

- (1) $\log \sum_{i=1}^m e^{x \cdot \beta_i} - (x, \beta)$ is bounded from below.
- (2) β is in the convex hull of the β_i .

Proof The proof follows the same pattern as Lemma 8.1. □

8.2 Toric Okounkov bodies

Let X be an n -dimensional smooth projective toric variety, corresponding to a smooth complete fan Σ in $N_{\mathbb{R}} \cong \mathbb{R}^n$. Let N be the lattice in $N_{\mathbb{R}}$, whose dual is the character lattice M . Let $T := N \otimes_{\mathbb{Z}} \mathbb{C}^*$ be the corresponding torus. Define $M_{\mathbb{R}} = N_{\mathbb{R}}^{\vee}$. Given any T -invariant divisor D on X , let $P_D \subseteq M_{\mathbb{R}}$ be the polyhedron associated with D .

Let D_1, \dots, D_s be the class of prime T -invariant divisors on X , each corresponding to a ray ρ_i in Σ . Let v_i be the primitive generator of ρ_i . Any T -invariant admissible flag Y_{\bullet} has the following form after renumbering the D_i :

$$Y_i = D_1 \cap \dots \cap D_i.$$

Now the v_i induce an isomorphism $\Phi: M \rightarrow \mathbb{Z}^n$, $u \mapsto ((u, v_i))_i$. Let $\Phi_{\mathbb{R}}: M_{\mathbb{R}} \rightarrow \mathbb{R}^n$ be the extension of ϕ to $M_{\mathbb{R}}$ and σ be the cone generated by the v_i . Let U_{σ} be the corresponding orbit of T . Given any T -invariant line bundle, there is a unique T -invariant divisor D with $D|_{U_{\sigma}} = 0$ such that $\mathcal{O}_X(D) = L$.

It is shown in [45, Proposition 6.1] that

$$(8-2) \quad \Gamma_k(L) = \Phi_{\mathbb{R}}((kP_D) \cap M)$$

for sufficiently divisible k . We will omit $\Phi_{\mathbb{R}}$ from our notations from now on.

Let T_c be the compact torus in T . Next consider a T_c -invariant metric ϕ on L . An unpublished result of Yi Yao says that in the toric setting, two invariant potentials ϕ' and ϕ'' are \mathcal{I} -equivalent if and only if $\overline{\nabla \phi'_{\mathbb{R}}}(\mathbb{R}^n) = \overline{\nabla \phi''_{\mathbb{R}}}(\mathbb{R}^n)$. In other words, in the toric setting, for the invariant potentials, the $P[\bullet]$ -envelope is the same as the $P[\bullet]_{\mathcal{I}}$ -envelope. In particular,

$$\text{vol}(L, \phi) = \frac{1}{n!} \int_X (\text{dd}^c \phi)^n$$

always holds, without having to take the $P[\bullet]_{\mathcal{I}}$ -envelope. For the proof of a more general result, we refer to [7, Theorem 3.13, Proposition 3.11].

Let U_0 be the maximal orbit of T . The basis (v_i) allows us to identify $U_0 = \mathbb{C}^{*n}$. We denote the coordinates on \mathbb{C}^{*n} by (z_1, \dots, z_n) , $z_i = x_i + iy_i$. Fix a T -invariant section s_0 of L on U_0 corresponding to D . Then we can identify ϕ with a T_c -invariant function on U_0 . Given the identification $U_0 = \mathbb{C}^{*n}$, ϕ can be identified with a convex function $\phi_{\mathbb{R}} : \mathbb{R}^n \rightarrow \mathbb{R}$ such that $\nabla\phi_{\mathbb{R}} \subseteq P_D$. We let $P_{D,\phi}$ be the closure of the image of $\nabla\phi$. By [3, Lemma 2.5], $P_{D,\phi}$ corresponds to the closure of

$$Q_{D,\phi} := \{y \in M_{\mathbb{R}} \mid \phi(x) - (x, y) \text{ is bounded from below}\}.$$

We will be more explicit at this point. Assume that

$$\phi = \log \sum_{i=1}^a |s_i|^2 + \mathcal{O}(1),$$

where $s_i \in H^0(X, L)$. Let β_i be the lattice points in P_D corresponding to s_i . In this case, $Q_{D,\phi}$ is just the convex polytope generated by the β_i by Lemma 8.2.

Consider $\alpha \in M \cap P_D$. It corresponds to a Laurent polynomial z^α on \mathbb{C}^{*n} . Observe that $\alpha \in Q_{D,\phi}$ if and only if $|z^\alpha|^2 e^{-\phi}$ is bounded from above. This is just a reformulation of Lemma 8.1.

Thus, we find

$$(8-3) \quad \Gamma_k(W^0(L, \phi)) = (kQ_{D,\phi}) \cap M$$

when k is sufficiently divisible. Hence, $\Delta(L, \phi) \supseteq P_{D,\phi}$. Comparing the volumes, we find that equality holds.

Next we deal with T_c -invariant ϕ such that $dd^c\phi$ is a Kähler current. Let ϕ^j be an equivariant quasi-equisingular approximation of ϕ constructed as in [34, Corollary 13.23]. Then by definition,

$$\Delta(L, \phi) = \bigcap_j \Delta(L, \phi^j).$$

On the other hand,

$$P_{D,\phi} \subseteq \bigcap_j P_{D,\phi^j}.$$

Hence, $P_{D,\phi} \subseteq \Delta(L, \phi)$. On the other hand, the volume of both sides agree, so they are indeed equal thanks to the assumption that ϕ has analytic singularities.

In general, if ϕ is T_c -invariant and has positive volume. Let $\psi \leq \phi$ be a potential with $dd^c\psi$ being a Kähler current. We may guarantee that ψ is T_c -invariant. Then by definition, if we set $\phi_\epsilon = (1 - \epsilon)\phi + \epsilon\psi$, then

$$\Delta(L, \phi) = \overline{\bigcup_{\epsilon \in (0,1)} \Delta(L, \phi_\epsilon)},$$

while

$$P_{D,\phi} \supseteq \overline{\bigcap_{\epsilon} P_{D,P[\phi_\epsilon]_X}}.$$

Thus, $\Delta(L, \phi) \supseteq P_{D,\phi}$. Comparing the volumes, we find that these convex bodies are equal.

Theorem 8.3 Let ϕ be a T_c -invariant psh metric on L with positive volume. Then

$$\Delta(L, \phi) = P_{D, \phi}$$

under the identification $\Phi_{\mathbb{R}}$ as above.

8.3 Mixed volumes of line bundles

Let X and T be as in Section 8.2.

Lemma 8.4 Let L_1, \dots, L_n be big and nef T -invariant line bundles on X . Assume that the flag is T -invariant. Then

$$(8-4) \quad \frac{1}{n!} (L_1, \dots, L_n) = \text{vol}(\Delta(L_1), \dots, \Delta(L_n)).$$

Here vol denotes the mixed volume functional. We refer to [50, Section 5.1] for the precise definition.

As pointed out by Rémi Reboulet, this result is already proved in [18, Proposition 3.4.3].

Proof Step 1 We first assume that all the L_i are ample.

In this case, we know that for any $t_i \in \mathbb{N}$ for $i = 1, \dots, n$,

$$\Delta\left(\sum_{i=1}^n t_i L_i\right) = \sum_{i=1}^n t_i \Delta(L_i)$$

by [42, Theorem 3.1]. Hence,

$$\text{vol} \Delta\left(\sum_{i=1}^n t_i L_i\right) = \sum_{\alpha \in \mathbb{N}^n, |\alpha|=n} \binom{n}{\alpha} t^\alpha \text{vol}(\Delta(L_1)^{\alpha_1}, \dots, \Delta(L_n)^{\alpha_n}).$$

On the other hand, by (1-3),

$$\text{vol} \Delta\left(\sum_{i=1}^n t_i L_i\right) = \frac{1}{n!} \sum_{\alpha \in \mathbb{N}^n, |\alpha|=n} \binom{n}{\alpha} t^\alpha (L_1^{\alpha_1}, \dots, L_n^{\alpha_n}).$$

Comparing the coefficients, we find (8-4).

Step 2 General case.

The results of Step 1 generalize immediately to ample \mathbb{Q} -divisors. Hence, the nef case follows from a simple perturbation argument. □

The following example is due to Chen Jiang.

Example 8.5 If the flag is not toric invariant, Lemma 8.4 fails. For example, consider $X = \mathbb{P}^1 \times \mathbb{P}^1$, $L_1 = \mathcal{O}(1, 2)$ and $L_2 = \mathcal{O}(2, 1)$. Take a flag $X = Y_0 \supseteq Y_1 \supseteq Y_2$ with Y_1 being the diagonal. In this case, (8-4) fails.

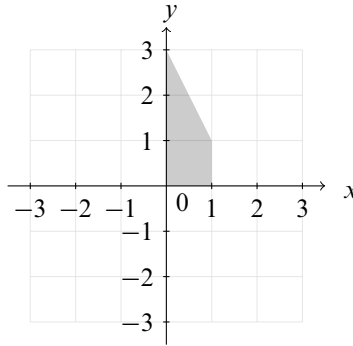


Figure 1: Okounkov body.

In this case, $(L_1, L_2) = 5$. By a simple computation using [45, Theorem 6.4], we find $\Delta(L_1) = \Delta(L_2)$ is the trapezoid shown in Figure 1. In particular,

$$\text{vol}(\Delta(L_1), \Delta(L_2)) = 2 < \frac{5}{2!}.$$

For simplicity, we call (L, ϕ) a *T-invariant Hermitian big line bundle* on X if (T, ϕ) is a Hermitian big line bundle on X , L is T -invariant and ϕ is T_c -invariant.

Corollary 8.6 *Let (L_i, ϕ_i) for $i = 1, \dots, n$ be T -invariant Hermitian big line bundles on X with positive volumes. If the T -invariant flag satisfies that Y_n is not contained in any of the polar loci of the ϕ_i , then*

$$(8-5) \quad \frac{1}{n!} \int_X \text{dd}^c \phi_1 \wedge \dots \wedge \text{dd}^c \phi_n = \text{vol}(\Delta(L_1, \phi_1), \dots, \Delta(L_n, \phi_n)).$$

Proof According to Proposition 5.16, by perturbing L_i , we may assume that each $\text{dd}^c \phi_i$ is a Kähler current.

Observe that both sides of (8-5) are continuous under d_S -approximations of ϕ_i : the left-hand side follows from Theorem 4.2 and the right-hand side follows from Theorem 5.9.

Hence, by [33, Lemma 3.7], we may assume that each ϕ_i has analytic singularities. Taking a birational resolution, we may assume that ϕ_i has analytic singularities along normal crossing \mathbb{Q} -divisor E_j . By Remark 5.1, we reduce to the situation of Lemma 8.4. □

We have finished the proof of Theorem D.

Corollary 8.7 *Let L_1, \dots, L_n be big T -invariant line bundles on X . Assume that the flag (Y_\bullet) is T -invariant and Y_n is not contained in the non-Kähler locus of any $c_1(L_i)$. Then*

$$(8-6) \quad \frac{1}{n!} \langle L_1, \dots, L_n \rangle = \text{vol}(\Delta(L_1), \dots, \Delta(L_n)).$$

Here $\langle \bullet \rangle$ denotes the movable intersection in the sense of [12; 15].

Proof It suffices to apply Corollary 8.6 to the case where ϕ_i has minimal singularities. □

Finally, we propose the following conjecture concerning the mixed volume of partial Okounkov bodies in the nontoric setting:

Conjecture 8.8 *Let (L_i, ϕ_i) for $i = 1, \dots, n$ be Hermitian big line bundles on X (not necessarily a toric variety) with positive volumes. Then*

$$(8-7) \quad \frac{1}{n!} \int_X \text{dd}^c \phi_1 \wedge \cdots \wedge \text{dd}^c \phi_n = \sup_v \text{vol}(\Delta_v(L_1, \phi_1), \dots, \Delta_v(L_n, \phi_n)),$$

where v runs over all rank n valuations $\mathbb{C}(X)^\times \rightarrow \mathbb{Z}^n$.

To the best of the author’s knowledge, this conjecture is open even when the ϕ_i have minimal singularities.

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
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