

## Mùi invariants and Milnor operations

MASAKI KAMEKO  
MAMORU MIMURA

We describe Mùi invariants in terms of Milnor operations and give a simple proof for Mùi's theorem on rings of invariants of polynomial tensor exterior algebras with respect to the action of finite general linear groups. Moreover, we compute some rings of invariants of Weyl groups of maximal non-toral elementary abelian  $p$ -subgroups of exceptional Lie groups.

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### 1 Introduction

Let  $p$  be a fixed odd prime,  $q$  the power of  $p$  and  $\mathbb{F}_q$  the finite field of  $q$  elements. Let

$$P_n = \mathbb{F}_q[x_1, \dots, x_n]$$

be the polynomial algebra in  $n$  variables  $x_1, \dots, x_n$  over the finite field  $\mathbb{F}_q$ . Let

$$E_n^r = \Lambda^r(dx_1, \dots, dx_n)$$

be the  $r^{\text{th}}$  component of the exterior algebra of  $dx_1, \dots, dx_n$  over the finite field  $\mathbb{F}_q$  and let

$$E_n = \bigoplus_{r=0}^n E_n^r$$

be the exterior algebra of  $dx_1, \dots, dx_n$  over  $\mathbb{F}_q$ . Let

$$P_n \otimes E_n$$

be the polynomial tensor exterior algebra in  $n$  variables  $x_1, \dots, x_n$  over the finite field  $\mathbb{F}_q$ . The general linear group  $GL_n(\mathbb{F}_q)$  and the special linear group  $SL_n(\mathbb{F}_q)$  act on both the polynomial algebra  $P_n$  and the polynomial tensor exterior algebra  $P_n \otimes E_n$ . In [4], the ring of invariants of the polynomial algebra is determined by Dickson. In [7], Mùi determined the ring of invariants of the polynomial tensor exterior algebra and described the invariants in terms of determinants.

In the first half of this paper, we describe the invariants in terms of Milnor operations and give a simpler proof for Mùì's theorem. With the notation in Section 2, we may state Mùì's theorems.

**Theorem 1.1** (Mùì) *The ring of invariants of the polynomial tensor exterior algebra  $P_n \otimes E_n$  with respect to the action of the special linear group  $SL_n(\mathbb{F}_q)$  is a free  $P_n^{SL_n(\mathbb{F}_q)}$ -module with the basis  $\{1, Q_I dx_1 \dots dx_n\}$ , where  $I$  ranges over  $A'_n$ .*

**Theorem 1.2** (Mùì) *The ring of invariants of the polynomial tensor exterior algebra  $P_n \otimes E_n$  with respect to the action of the general linear group  $GL_n(\mathbb{F}_q)$  is a free  $P_n^{GL_n(\mathbb{F}_q)}$ -module with the basis  $\{1, e_n^{q-2} Q_I dx_1 \dots dx_n\}$ , where  $I$  ranges over  $A'_n$ .*

The invariant  $Q_{i_1} \dots Q_{i_{n-r}} dx_1 \dots dx_n$  in Theorem 1.1 and Theorem 1.2 above is, up to sign, the same as the Mùì invariant  $[r: i_1, \dots, i_{n-r}]$  in [7]. The first half of this paper has some overlap with M C Crabb's work [3]. However, our point of view on Mùì invariants seems to be different from his.

The second half of this paper is a sequel to the authors' work in [6] on the invariant theory of Weyl groups of maximal non-toral elementary abelian  $p$ -subgroup  $A$  of simply connected exceptional Lie groups. For  $p$  odd prime, up to conjugation, there are only 6 of them, for  $p = 3$ ,  $A = E_{F_4}^3, E_{3E_6}^4, E_{2E_7}^4, E_{E_8}^{5a}, E_{E_8}^{5b}$  and for  $p = 5$ ,  $A = E_{E_8}^3$ . They and their Weyl groups are described by Andersen et al [1]. We computed the polynomial part of the invariants of Weyl groups except for the case  $p = 3, A = E_{E_8}^{5b}$  as described by the authors [6]. In this paper, we compute rings of invariants of polynomial tensor exterior algebras with respect to the action of Weyl groups except for the case  $p = 3, A = E_{E_8}^{5b}$ .

In Section 2, we set up the notation used in the above theorems. In Section 3, we prove Theorem 1.1 and Theorem 1.2. In Section 4, we state Theorem 4.2 and using this theorem, we compute rings of invariants of Weyl groups of maximal non-toral elementary abelian  $p$ -subgroups of simply connected exceptional Lie groups. In Section 5, we prepare for the proof of Theorem 4.1 and Theorem 4.2. In Section 6, we prove Theorem 4.1. In Section 7, we prove Theorem 4.2. In Appendix A, we prove that the invariant  $Q_{i_1} \dots Q_{i_{n-r}} dx_1 \dots dx_n$  in Theorem 1.1 and Theorem 1.2 above is, up to sign, equal to the Mùì invariant  $[r: i_1, \dots, i_{n-r}]$ .

We thank N Yagita for informing us that a similar description of Mùì invariants to the above form is also known to him.

## 2 Preliminaries

Let  $K_n$  be the field of fractions of  $P_n$ . For a finite set  $\{y_1, \dots, y_r\}$ , we denote by  $\mathbb{F}_q\{y_1, \dots, y_r\}$  the  $\mathbb{F}_q$ -vector space spanned by  $\{y_1, \dots, y_r\}$ . Let  $GL_n(\mathbb{F}_q)$  be the set of  $n \times n$  invertible matrices with coefficients in  $\mathbb{F}_q$ . We denote by  $M_{m,n}(\mathbb{F}_q)$  the set of  $m \times n$  matrices with coefficients in  $\mathbb{F}_q$ . In this paper, we consider the contragredient action of the finite general linear group, that is, for  $g \in GL_n(\mathbb{F}_q)$ , we define the action of  $g$  on  $P_n \otimes E_n$  by

$$gx_i = \sum_{j=1}^n a_{i,j}(g^{-1})x_j, \quad gdx_i = \sum_{j=1}^n a_{i,j}(g^{-1})dx_j,$$

for  $i = 1, \dots, n$  and

$$g(x \cdot y) = g(x) \cdot g(y),$$

for  $x, y$  in  $P_n \otimes E_n$ , where  $a_{i,j}(g^{-1})$  is the entry  $(i, j)$  in the matrix  $g^{-1}$ . For  $x_i, dx_i$  in the polynomial tensor exterior algebra  $P_n \otimes E_n$ , we define cohomological degrees of  $x_i, dx_i$  by  $\deg x_i = 2, \deg dx_i = 1$  for  $i = 1, \dots, n$  and we consider  $P_n \otimes E_n$  as a graded  $\mathbb{F}_q$ -algebra.

Now, we recall Milnor operations  $Q_j$  for  $j = 0, 1, \dots$ . The exterior algebra

$$\Lambda(Q_0, Q_1, Q_2, \dots)$$

over  $\mathbb{F}_q$ , generated by Milnor operations, acts on the polynomial tensor exterior algebra  $P_n \otimes E_n$  as follows; the Milnor operation  $Q_j$  is a  $P_n$ -linear derivation

$$Q_j: P_n \otimes E_n^r \rightarrow P_n \otimes E_n^{r-1}$$

defined by the Cartan formula

$$Q_j(xy) = (Q_jx) \cdot y + (-1)^{\deg x} x \cdot (Q_jy)$$

for  $x, y$  in  $P_n \otimes E_n$  and the unstable conditions

$$\begin{aligned} Q_j dx_i &= x_i^{q^j}, \\ Q_j x_i &= 0, \end{aligned}$$

for  $i = 1, \dots, n, j \geq 0$ . Thus, the action of Milnor operations  $Q_j$  commutes with the action of the finite general linear group  $GL_n(\mathbb{F}_q)$ .

It is also clear that the action of  $Q_j$  on  $P_n$  trivially extends to the quotient field  $K_n$  and we may regard  $Q_j$  as a  $K_n$ -linear homomorphism

$$Q_j: K_n \otimes E_n^r \rightarrow K_n \otimes E_n^{r-1}.$$

We set up additional notations for handling Milnor operations.

**Definition 2.1** For a positive integer  $n$ , we denote by  $S_n$  the set

$$\{0, 1, \dots, n-1\}.$$

Let  $A_n$  be the set of subsets of  $S_n$ . We denote by  $A_{n,r}$  the subset of  $A_n$  consisting of

$$I = \{i_1, \dots, i_r\}$$

such that

$$0 \leq i_1 < \dots < i_r < n.$$

We write  $Q_I$  for

$$Q_{i_1} \dots Q_{i_r}.$$

We consider  $A_{n,0}$  as the set of empty set  $\{\emptyset\}$  and define  $Q_\emptyset$  to be 1. It is also convenient for us to define  $A'_n$  to be the union of  $A_{n,r}$ , where  $r$  ranges from 0 to  $n-1$ .

**Definition 2.2** Let  $I, J$  be elements of  $A_n$ . We define  $\text{sign}(I, J)$  as follows. If  $I \cap J \neq \emptyset$ , then  $\text{sign}(I, J) = 0$ . If  $I \cap J = \emptyset$  and  $I \cup J = \{k_1, \dots, k_{r+s}\}$ , then  $\text{sign}(I, J)$  is the sign of the permutation

$$\begin{pmatrix} i_1, \dots, i_r, j_1, \dots, j_s \\ k_1, \dots, k_r, k_{r+1}, \dots, k_{r+s} \end{pmatrix},$$

where  $I = \{i_1, \dots, i_r\}$ ,  $J = \{j_1, \dots, j_s\}$ ,  $i_1 < \dots < i_r$ ,  $j_1 < \dots < j_s$  and  $k_1 < \dots < k_{r+s}$ .

The following proposition is immediate from the definition above.

**Proposition 2.3** For  $I, J$  in  $A_n$ , we have

$$Q_I Q_J = \text{sign}(I, J) Q_K = (-1)^{r_s} \text{sign}(J, I) Q_K,$$

where  $K = I \cup J$ .

Finally, using Milnor operations in place of determinants, we describe Dickson invariants. We follow the notation of Wilkerson's paper [9]. Let  $\Delta_n(X)$ ,  $f_n(X)$  be

polynomials of  $X$  over  $P_n$  of homogeneous degree  $q^n$  defined respectively by

$$\begin{aligned} \Delta_n(X) &= (-1)^n Q_0 \dots Q_n dx_1 \dots dx_n dX \\ &= \sum_{i=0}^n (-1)^{n-i} (Q_0 \dots \widehat{Q}_i \dots Q_n dx_1 \dots dx_n) X^{q^i}, \\ f_n(X) &= \prod_{x \in \mathbb{F}_q\{x_1, \dots, x_n\}} (X + x), \end{aligned}$$

where the cohomological degrees of  $dX$ ,  $X$  are 1, 2, and  $Q_i dX = X^{q^i}$ ,  $Q_i X = 0$ , respectively.

**Proposition 2.4** *The polynomial  $\Delta_n(X)$  is divisible by the polynomial  $f_n(X)$  and*

$$e_n(x_1, \dots, x_n) f_n(X) = \Delta_n(X),$$

where  $e_n(x_1, \dots, x_n) = Q_0 \dots Q_{n-1} dx_1 \dots dx_n \neq 0$ .

**Proof** On the one hand, both  $\Delta_n(X)$  and  $f_n(X)$  have all  $x \in \mathbb{F}_q\{x_1, \dots, x_n\}$  as roots. On the other hand, the coefficient of  $X^{q^n}$  in  $\Delta_n(X)$  is

$$e_n(x_1, \dots, x_n) = Q_0 \dots Q_{n-1} dx_1 \dots dx_n$$

and  $f_n(X)$  is monic. Since both  $\Delta_n(X)$  and  $f_n(X)$  have the same homogeneous degree  $q^n$  as polynomials of  $X$ , we have the required equality.  $\square$

Thus, we have the following proposition.

**Proposition 2.5** *We may express  $f_n(X)$  as follows:*

$$f_n(X) = \sum_{i=0}^n (-1)^{n-i} c_{n,i}(x_1, \dots, x_n) X^{q^i},$$

where

$$Q_0 \dots \widehat{Q}_i \dots Q_n dx_1 \dots dx_n = e_n(x_1, \dots, x_n) c_{n,i}(x_1, \dots, x_n)$$

and  $c_{n,n}(x_1, \dots, x_n) = 1$ .

The above proposition defines Dickson invariants  $c_{n,i}(x_1, \dots, x_n)$  for  $i = 0, \dots, n-1$ . When it is convenient and if there is no risk of confusion, we write  $e_n$ ,  $c_{n,i}$  for  $e_n(x_1, \dots, x_n)$ ,  $c_{n,i}(x_1, \dots, x_n)$ , respectively.

**Proposition 2.6** *There holds*

$$c_{n,0}(x_1, \dots, x_n) = e_n(x_1, \dots, x_n)^{q-1}.$$

**Proof** It is clear that

$$(Q_{i_1} \dots Q_{i_n} dx_1 \dots dx_n)^q = Q_{i_1+1} \dots Q_{i_n+1} dx_1 \dots dx_n$$

and so

$$e_n(x_1, \dots, x_n)^q = e_n(x_1, \dots, x_n) c_{n,0}(x_1, \dots, x_n). \quad \square$$

From the above definitions of  $c_{n,i}(x_1, \dots, x_n)$  and  $e_n(x_1, \dots, x_n)$  and from the fact that, for  $g \in GL_n(\mathbb{F}_q)$ ,

$$g dx_1 \dots dx_n = \det(g^{-1}) dx_1 \dots dx_n,$$

it follows that

$$g e_n(x_1, \dots, x_n) = \det(g^{-1}) e_n(x_1, \dots, x_n)$$

and that

$$g c_{n,i}(x_1, \dots, x_n) = c_{n,i}(x_1, \dots, x_n).$$

Thus, it is clear that  $P_n^{SL_n(\mathbb{F}_q)}$  contains  $e_n$  and  $c_{n,1}, \dots, c_{n,n-1}$  and that  $P_n^{GL_n(\mathbb{F}_q)}$  contains  $c_{n,0}, \dots, c_{n,n-1}$ . Indeed, the following results are well-known. For proofs, we refer the reader to Benson [2], Smith [8] and Wilkerson [9].

**Theorem 2.7** (Dickson) *The ring of invariants  $P_n^{SL_n(\mathbb{F}_q)}$  is a polynomial algebra generated by  $c_{n,1}, \dots, c_{n,n-1}$  and  $e_n$ .*

**Theorem 2.8** (Dickson) *The ring of invariants  $P_n^{GL_n(\mathbb{F}_q)}$  is a polynomial algebra generated by  $c_{n,0}, \dots, c_{n,n-1}$ .*

In addition, we need the following proposition.

**Proposition 2.9** *Let*

$$\pi: \mathbb{F}_q[x_1, \dots, x_n] \rightarrow \mathbb{F}_q[x_1, \dots, x_{n-1}]$$

*be the obvious projection. Then, we have*

$$\pi(e_n(x_1, \dots, x_n)) = 0$$

*and, for  $i = 1, \dots, n-1$ ,*

$$\pi(c_{n,i}(x_1, \dots, x_n)) = c_{n-1,i-1}(x_1, \dots, x_{n-1})^q.$$

**Proof** It is clear that  $e_n(x_1, \dots, x_n)$  is divisible by  $x_n$ , so we have

$$\pi(e_n(x_1, \dots, x_n)) = 0.$$

On the one hand, we have

$$\begin{aligned} f_n(X) &= \prod_{\alpha \in \mathbb{F}_q} \prod_{x \in \mathbb{F}_q \setminus \{x_1, \dots, x_{n-1}\}} (X + \alpha x_n + x) \\ &= \prod_{\alpha \in \mathbb{F}_q} f_{n-1}(X + \alpha x_n) \\ &= \prod_{\alpha \in \mathbb{F}_q} (f_{n-1}(X) + \alpha f_{n-1}(x_n)) \\ &= f_{n-1}(X)^q - f_{n-1}(X) f_{n-1}(x_n)^{q-1}. \end{aligned}$$

On the other hand, since  $f_n(x_n)$  is divisible by  $x_n$ , we have

$$\pi(f_n(X)) = f_{n-1}(X)^q.$$

Comparing the coefficients of  $X^{q^i}$ , we have, for  $i = 1, \dots, n-1$ ,

$$\pi(c_{n,i}(x_1, \dots, x_n)) = c_{n-1,i-1}(x_1, \dots, x_{n-1})^q. \quad \square$$

### 3 Proof of Theorem 1.1 and Theorem 1.2

In the case  $n = 1$ , the invariants are obvious. In the case  $r = 0$ , the invariants are calculated by Dickson. So, throughout the rest of this section, we assume  $n \geq 2$  and  $r > 0$ . To prove Theorem 1.1 and Theorem 1.2, it suffices to prove the following theorems.

**Theorem 3.1** *The submodule  $(P_n \otimes E_n^r)^{SL_n(\mathbb{F}_q)}$  is a free  $P_n^{SL_n(\mathbb{F}_q)}$ -module with the basis  $\{Q_I dx_1 \dots dx_n\}$ , where  $I$  ranges over  $A_{n,n-r}$ .*

**Theorem 3.2** *The submodule  $(P_n \otimes E_n^r)^{GL_n(\mathbb{F}_q)}$  is a free  $P_n^{GL_n(\mathbb{F}_q)}$ -module with the basis  $\{e_n^{q-2} Q_I dx_1 \dots dx_n\}$ , where  $I$  ranges over  $A_{n,n-r}$ .*

To begin with, we prove the following proposition.

**Proposition 3.3** *The elements  $Q_I dx_1 \dots dx_n$  form a basis for  $K_n \otimes E_n^r$ , where  $I$  ranges over  $A_{n,n-r}$ .*

**Proof** Firstly, we show the linear independence of  $Q_I dx_1 \dots dx_n$ . Suppose that

$$a = \sum_{I \in A_{n,n-r}} a_I Q_I dx_1 \dots dx_n,$$

where  $a_I \in K_n$ . For each  $I \in A_{n,n-r}$ , let  $J = S_n \setminus I$ . It is clear that  $J \cap I' \neq \emptyset$  if  $I' \neq I$  in  $A_{n,n-r}$ . Hence, we have  $\text{sign}(J, I) \neq 0$  and  $\text{sign}(J, I') = 0$  for  $I' \neq I \in A_{n,n-r}$ . By Proposition 2.3, we have

$$Q_J a = \text{sign}(J, I) a_I Q_0 \dots Q_{n-1} dx_1 \dots dx_n = \text{sign}(J, I) a_I e_n.$$

Thus, if  $a = 0$ , then  $a_I = 0$ . Therefore, the terms  $Q_I dx_1 \dots dx_n$  are linearly independent in  $K_n \otimes E_n^r$ .

On the other hand, since

$$\dim_{K_n} K_n \otimes E_n^r = \binom{n}{r}$$

is equal to the number of elements in  $A_{n,n-r}$ , we see, for dimensional reasons, that  $Q_I dx_1 \dots dx_n$ 's form a basis for  $K_n \otimes E_n^r$ .  $\square$

**Lemma 3.4** Let  $h_I$  be polynomials over  $\mathbb{F}_q$  in  $(n-1)$  variables, where  $I$  ranges over  $A_{n,n-1}$ . Suppose that

$$a_0 = \sum_{I \in A_{n,n-1}} h_I(c_{n,n-1}, \dots, c_{n,1}) e_n^{-1} Q_I dx_1 \dots dx_n$$

is in  $P_n \otimes E_n^1$ . Then  $h_I = 0$  for each  $I \in A_{n,n-1}$ .

**Proof of Theorem 3.1**

Suppose that  $a$  is an element in  $P_n \otimes E_n^r$  and that  $a$  is  $SL_n(\mathbb{F}_q)$ -invariant. By Proposition 3.3, the elements  $Q_I dx_1 \dots dx_n$  form a basis for  $K_n \otimes E_n^r$ . Hence, there exist  $a_I$  in  $K_n$  such that

$$a = \sum_{I \in A_{n,n-r}} a_I Q_I dx_1 \dots dx_n.$$

For  $I \in A_{n,n-r}$ , let  $J = S_n \setminus I$ . Then,  $Q_J a$  is in  $P_n$ . As in the proof of Proposition 3.3, we have

$$Q_J a = \text{sign}(J, I) a_I e_n.$$

Therefore, there are polynomials  $f_{I,k}$  over  $\mathbb{F}_q$  in  $(n-1)$  variables such that

$$a_I = \sum_{k \geq 0} f_{I,k}(c_{n,n-1}, \dots, c_{n,1}) e_n^{k-1}.$$



Thus, we have

$$a = \sum_{I \in A_{n,n-r}} \sum_{k \geq 0} f_{I,k}(c_{n,n-1}, \dots, c_{n,1}) e_n^{k-1} Q_I dx_1 \dots dx_n.$$

It remains to show that  $f_{I,0} = 0$  for each  $I \in A_{n,n-r}$ .

Let

$$a_0 = a - \sum_{I \in A_{n,n-r}} \sum_{k \geq 1} f_{I,k}(c_{n,n-1}, \dots, c_{n,1}) e_n^{k-1} Q_I dx_1 \dots dx_n.$$

Then, we have that

$$a_0 = \sum_{I \in A_{n,n-r}} f_{I,0}(c_{n,n-1}, \dots, c_{n,1}) e_n^{-1} Q_I dx_1 \dots dx_n$$

and that  $a_0$  is also in  $P_n \otimes E_n^r$ .

For  $J \in A_{n,r-1}$ , the element  $Q_J a_0$  is in  $P_n \otimes E_n^1$ . By Proposition 2.3, we have

$$Q_J a_0 = \sum_{K \in A_{n,n-1}, I=K \setminus J, I \in A_{n,n-r}} \text{sign}(J, I) f_{I,0}(c_{n,n-1}, \dots, c_{n,1}) Q_K dx_1 \dots dx_n.$$

Hence, by Lemma 3.4, we have  $\text{sign}(J, I) f_{I,0} = 0$ . For each  $I$  in  $A_{n,n-r}$ , there exists  $J \in A_{n,r-1}$  such that  $\text{sign}(J, I) \neq 0$ . Therefore, we have  $f_{I,0} = 0$  for each  $I$ . This completes the proof.  $\square$

**Proof of Theorem 3.2** As in the proof of Theorem 3.1, if  $a \in P_n \otimes E_n^r$  is  $GL_n(\mathbb{F}_q)$ -invariant, the element  $a$  can be expressed in the form

$$a = \sum_{I \in A_{n,n-r}} \sum_{k \geq 1} f_{I,k}(c_{n,n-1}, \dots, c_{n,1}) e_n^{k-1} Q_I dx_1 \dots dx_n.$$

For  $g \in GL_n(\mathbb{F}_q)$ , we have

$$ga = \sum_{I \in A_{n,n-r}} \sum_{k \geq 1} \det(g^{-1})^k f_{I,k}(c_{n,n-1}, \dots, c_{n,1}) e_n^{k-1} Q_I dx_1 \dots dx_n.$$

Therefore,  $a$  is  $GL_n(\mathbb{F}_q)$ -invariant if and only if  $f_{I,k} = 0$  for  $k \not\equiv 0$  modulo  $q-1$ . Hence, we have

$$a = \sum_{I \in A_{n,n-r}} \sum_{m \geq 0} f_{I, m(q-1) + (q-1)}(c_{n,n-1}, \dots, c_{n,1}) e_n^{m(q-1)} e_n^{q-2} Q_I dx_1 \dots dx_n.$$

Since  $e_n^{q-1} = c_{n,0}$ , we may write

$$a = \sum_{I \in A_{n,n-r}} f'_I(c_{n,n-1}, \dots, c_{n,1}, c_{n,0}) e_n^{q-2} Q_I dx_1 \dots dx_n,$$

where

$$f_I'(c_{n,n-1}, \dots, c_{n,0}) = \sum_{m \geq 0} f_{I, m(q-1) + (q-1)}(c_{n,n-1}, \dots, c_{n,1}) c_{n,0}^m.$$

This completes the proof.  $\square$

**Proof of Lemma 3.4** For the sake of notational simplicity, let  $I_i = S_n \setminus \{i\}$  and we write  $h_i$  for  $h_{I_i}$ . Since  $a$  is in  $P_n \otimes E_n^1$ , there are  $\varphi_1, \dots, \varphi_n$  in  $P_n$  such that

$$a_0 = \varphi_1 dx_1 + \dots + \varphi_n dx_n.$$

The coefficient  $\varphi_n$  of  $dx_n$  is given by

$$\begin{aligned} & \sum_{i=0}^{n-1} h_i(c_{n,n-1}, \dots, c_{n,1}) e_n^{-1} Q_{I_i} dx_1 \dots dx_{n-1} \\ &= \left\{ \sum_{i=0}^{n-1} h_i(c_{n,n-1}, \dots, c_{n,1}) c_{n-1,i} \right\} e_n^{-1} e_{n-1}. \end{aligned}$$

Hence, we have

$$\left\{ \sum_{i=0}^{n-1} h_i(c_{n,n-1}, \dots, c_{n,1}) c_{n-1,i} \right\} e_{n-1} = e_n \varphi_n.$$

By Proposition 2.9, the obvious projection

$$\pi: \mathbb{F}_q[x_1, \dots, x_n] \rightarrow \mathbb{F}_q[x_1, \dots, x_{n-1}]$$

maps  $e_n, c_{n,i}$  to 0,  $c_{n-1,i-1}^q$ , respectively. So, we have

$$\sum_{i=0}^{n-1} h_i(c_{n-1,n-2}^q, \dots, c_{n-1,0}^q) c_{n-1,i} = 0.$$

Since  $c_{n-1,i}$  ( $i = 0, \dots, n-2$ ) are algebraically independent in  $\mathbb{F}_q[x_1, \dots, x_{n-1}]$  and since  $c_{n-1,n-1} = 1$ , writing  $y_i$  for  $c_{n-1,i}$ , we have the following equation:

$$(1) \quad h_{n-1}(y_{n-2}^q, \dots, y_0^q) + \sum_{i=0}^{n-2} h_i(y_{n-2}^q, \dots, y_0^q) y_i = 0.$$

Applying partial derivatives  $\partial/\partial y_i$ , we have

$$(2) \quad h_i(y_{n-2}^q, \dots, y_0^q) = 0$$

for  $i = 0, \dots, n - 2$ . Hence,  $h_i(y_{n-2}^q, \dots, y_0^q) = 0$  for  $i = 0, \dots, n - 2$ . Substituting these to the previous equation (1), we also have  $h_{n-1}(y_{n-2}^q, \dots, y_0^q) = 0$ . Since we deal with polynomials over the finite field  $\mathbb{F}_q$ , we have

$$h_i(y_{n-2}^q, \dots, y_0^q) = h_i(y_{n-2}, \dots, y_0)^q$$

for  $i = 0, \dots, n - 1$ . Therefore, we have  $h_i(y_{n-2}, \dots, y_0) = 0$  for  $i = 0, \dots, n - 1$ . Since  $y_0, \dots, y_{n-2}$  are algebraically independent, we have

$$h_i = 0$$

as polynomials over  $\mathbb{F}_q$  in  $(n - 1)$  variables for  $i = 0, \dots, n - 1$ . □

## 4 Invariants of some Weyl groups

In this section, we consider the invariant theory of polynomial tensor exterior algebras. In what follows, we assume that  $n \geq 2$ . To state Theorem 4.2, which is our main theorem on the invariant theory, we need some notation. Let

$$P_{n-1} = \mathbb{F}_q[x_2, \dots, x_n]$$

be the subalgebra of  $P_n$  generated by  $x_2, \dots, x_n$  and let  $E_{n-1}$  be the subalgebra of  $E_n$  generated by  $dx_2, \dots, dx_n$ . Let  $G_1$  be a subgroup of  $SL_{n-1}(\mathbb{F}_q)$  which acts on  $P_{n-1}$  and  $P_{n-1} \otimes E_{n-1}$  both. Let  $G$  be a subgroup of  $SL_n(\mathbb{F}_q)$  consisting of the following matrices:

$$\left( \begin{array}{c|c} 1 & m \\ \hline 0 & g_1 \end{array} \right),$$

where  $g_1 \in G_1$  and  $m \in M_{1,n-1}(\mathbb{F}_q)$ . Obviously the group  $G$  acts on  $P_n$  and  $P_n \otimes E_n$ . Finally, let

$$\mathcal{O}_{n-1}(x_i) = \prod_{x \in \mathbb{F}_q \setminus \{x_2, \dots, x_n\}} (x_i + x).$$

**Theorem 4.1** *Suppose that the ring of invariants  $P_{n-1}^{G_1}$  is a polynomial algebra generated by homogeneous polynomials  $f_2, \dots, f_n$  in  $(n - 1)$  variables  $x_2, \dots, x_n$ . Then, the ring of invariants  $P_n^G$  is also a polynomial algebra generated by*

$$\mathcal{O}_{n-1}(x_1), f_2, \dots, f_n.$$

This theorem is a particular case of a theorem of Kameko and Mimura [6, Theorem 2.5]. We use this theorem to compute the polynomial part of invariants  $P_n^G$  which appear in our main theorem, Theorem 4.2. So, Theorem 4.2 below works effectively together with Theorem 4.1.

**Theorem 4.2** Suppose that the ring of invariants  $P_{n-1}^{G_1}$  is a polynomial algebra generated by homogeneous polynomials  $f_2, \dots, f_n$  in  $(n-1)$  variables  $x_2, \dots, x_n$  and suppose that the ring of invariants  $(P_{n-1} \otimes E_{n-1})^{G_1}$  is a free  $P_{n-1}^{G_1}$ -module with a basis  $\{v_i\}$ , where  $i = 1, \dots, 2^{n-1}$ . Then, the ring of invariants  $(P_n \otimes E_n)^G$  is a free  $P_n^G$ -module with the basis  $\{v_i, Q_I dx_1 \dots dx_n\}$ , where  $i = 1, \dots, 2^{n-1}$  and  $I$  ranges over  $A_{n-1}$ .

We prove Theorem 4.1 and Theorem 4.2 in Section 5, Section 6 and Section 7.

As an application of Theorem 4.1 and Theorem 4.2, we compute rings of invariants of the mod- $p$  cohomology of the classifying spaces of maximal non-toral elementary abelian  $p$ -subgroups of simply connected exceptional Lie groups with respect to the Weyl group action.

It is well-known that for an odd prime  $p$ , a simply connected exceptional Lie group  $G$  does not have non-toral elementary abelian  $p$ -subgroups except for the cases  $p = 5$ ,  $G = E_8$ , and  $p = 3$ ,  $G = F_4, E_6, E_7, E_8$  (see [1] and [5]). Andersen, Grodal, Møller and Viruel [1] described the Weyl groups of maximal non-toral elementary abelian  $p$ -subgroups as well as their action on the underlying elementary abelian  $p$ -subgroup explicitly for  $p = 3$ ,  $G = E_6, E_7, E_8$ . Up to conjugate, there are only 6 maximal non-toral elementary abelian  $p$ -subgroups of simply connected exceptional Lie groups. For  $p = 5$ ,  $G = E_8$  and for  $p = 3$ ,  $G = F_4, E_6, E_7$ , there is one maximal non-toral elementary abelian  $p$ -subgroup for each  $G$ . We call them  $E_{E_8}^3$ ,  $E_{F_4}^3$ ,  $E_{3E_6}^4$ ,  $E_{2E_7}^4$ , following the notation in [1]. For  $p = 3$ ,  $G = E_8$ , there are two maximal non-toral elementary abelian  $p$ -subgroups, say  $E_{E_8}^{5a}$  and  $E_{E_8}^{5b}$ , where the superscript indicates the rank of elementary abelian  $p$ -subgroup. For a detailed account on non-toral elementary abelian  $p$ -subgroups, we refer the reader to Andersen et al [1, Section 8], and its references.

Let  $A$  be an elementary abelian  $p$ -subgroup of a compact Lie group  $G$ . Suppose that  $A$  is of rank  $n$ . We denote by  $W(A)$  the Weyl group of  $A$ . Choosing a basis, say  $\{a_i\}$ , for  $A$ , we consider the Weyl group  $W(A)$  as a subgroup of the finite general linear group  $GL_n(\mathbb{F}_p)$ . We write  $H^*BA$  for the mod- $p$  cohomology of the classifying space  $BA$ . The Hurewicz homomorphism  $h: A = \pi_1(BA) \rightarrow H_1(BA; \mathbb{F}_p)$  is an isomorphism. We denote by  $\{dt_i\}$  the dual basis of  $\{h(a_i)\}$ , so that  $dt_i$  is the dual of  $h(a_i)$  with respect to the basis  $\{h(a_i)\}$  of  $H_1(BA; \mathbb{F}_p)$  for  $i = 1, \dots, n$ . Let  $\beta: H^1BA \rightarrow H^2BA$  be the Bockstein homomorphism. Then, the mod- $p$  cohomology of  $BA$  is a polynomial tensor exterior algebra

$$H^*BA = \mathbb{F}_p[t_1, \dots, t_n] \otimes \Lambda(dt_1, \dots, dt_n),$$

where  $\deg t_i = 2$ ,  $\deg dt_i = 1$  and  $t_i = \beta(dt_i)$  for  $i = 1, \dots, n$ . We denote by  $\Gamma H^* BA$  the polynomial part of  $H^* BA$ , that is,

$$\Gamma H^* BA = \mathbb{F}_p[t_1, \dots, t_n].$$

The action of the Weyl group  $W(A)$  on  $A = \pi_1(BA)$ , given by

$$ga_i = \sum_j a_{j,i}(g)a_j,$$

where  $\{a_i\}$  is the fixed basis of  $A$ , induces the action of  $W(A)$  on  $H^* BA$ , which is given by

$$gt_i = \sum_j a_{i,j}(g^{-1})t_j, \quad gdt_i = \sum_j a_{i,j}(g^{-1})dt_j,$$

for  $i = 1, \dots, n$ .

Now, we compute

$$(H^* BA)^{W(A)}$$

for  $A = E_{E_8}^3, E_{F_4}^3, E_{3E_6}^4, E_{2E_7}^4, E_{E_8}^{5a}$  using Theorem 1.1, Theorem 4.1 and Theorem 4.2.

**Proposition 4.3** For the above elementary abelian  $p$ -subgroup  $A$ , the ring of invariants  $(H^* BA)^{W(A)}$  is given as follows:

- (1) For  $p = 5$ ,  $G = E_8$ ,  $A = E_{E_8}^3$ ,  $(H^* BA)^{W(A)}$  is given by

$$\mathbb{F}_5[x_{62}, x_{200}, x_{240}] \otimes \mathbb{F}_5\{1, Q_I u_3\},$$

where  $x_{62} = e_3(t_1, t_2, t_3)$ ,  $x_{200} = c_{3,2}(t_1, t_2, t_3)$ ,  $x_{240} = c_{3,1}(t_1, t_2, t_3)$ ,  $u_3 = dt_1 dt_2 dt_3$  and  $I$  ranges over  $A'_3$ ;

- (2) For  $p = 3$ ,  $G = F_4$ ,  $A = E_{F_4}^3$ ,  $(H^* BA)^{W(A)}$  is given by

$$\mathbb{F}_3[x_{26}, x_{36}, x_{48}] \otimes \mathbb{F}_3\{1, Q_I u_3\},$$

where  $x_{26} = e_3(t_1, t_2, t_3)$ ,  $x_{36} = c_{3,2}(t_1, t_2, t_3)$ ,  $x_{48} = c_{3,1}(t_1, t_2, t_3)$ ,  $u_3 = dt_1 dt_2 dt_3$  and  $I$  ranges over  $A'_3$ ;

- (3) For  $p = 3$ ,  $G = E_6$ ,  $A = E_{3E_6}^4$ ,  $(H^* BA)^{W(A)}$  is given by

$$\mathbb{F}_3[x_{26}, x_{36}, x_{48}, x_{54}] \otimes \mathbb{F}_3\{1, Q_I u_3, Q_J u_4\},$$

where  $x_{26} = e_3(t_2, t_3, t_4)$ ,  $x_{36} = c_{3,2}(t_2, t_3, t_4)$ ,  $x_{48} = c_{3,1}(t_2, t_3, t_4)$ ,

$$x_{54} = \prod_{t \in \mathbb{F}_3\{t_2, t_3, t_4\}} (t_1 + t),$$

$u_3 = dt_2 dt_3 dt_4$ ,  $u_4 = dt_1 dt_2 dt_3 dt_4$ ,  $I$  ranges over  $A'_3$  and  $J$  ranges over  $A_3$ ;

(4) For  $p = 3$ ,  $G = E_7$ ,  $A = E_{2E_7}^4$ ,  $(H^*BA)^{W(A)}$  is given by

$$\mathbb{F}_3[x_{26}, x_{36}, x_{48}, x_{108}] \otimes \mathbb{F}_3\{1, Q_I u_3, x_{54} Q_J u_4\},$$

where  $x_{26} = e_3(t_2, t_3, t_4)$ ,  $x_{36} = c_{3,2}(t_2, t_3, t_4)$ ,  $x_{48} = c_{3,1}(t_2, t_3, t_4)$ ,  $x_{108} = x_{54}^2$ ,

$$x_{54} = \prod_{t \in \mathbb{F}_3\{t_2, t_3, t_4\}} (t_1 + t),$$

$u_3 = dt_2 dt_3 dt_4$ ,  $u_4 = dt_1 dt_2 dt_3 dt_4$ ,  $I$  ranges over  $A'_3$  and  $J$  ranges over  $A_3$ ;

(5) For  $p = 3$ ,  $G = E_8$ ,  $A = E_{E_8}^{5a}$ ,  $(H^*BA)^{W(A)}$  is given by

$$\mathbb{F}_3[x_4, x_{26}, x_{36}, x_{48}, x_{324}] \otimes \mathbb{F}_3\{1, Q_I u_3, x_2 u_1, (Q_I u_3)x_2 u_1, x_2 x_{162} Q_J u_5\},$$

where  $x_4 = x_2^2$ ,  $x_{26} = e_3(t_2, t_3, t_4)$ ,  $x_{36} = c_{3,2}(t_2, t_3, t_4)$ ,  $x_{48} = c_{3,1}(t_2, t_3, t_4)$ ,  $x_{324} = x_{162}^2$ ,  $x_2 = t_5$ ,

$$x_{162} = \prod_{t \in \mathbb{F}_3\{t_2, t_3, t_4, t_5\}} (t_1 + t),$$

$u_1 = dt_5$ ,  $u_3 = dt_2 dt_3 dt_4$ ,  $u_5 = dt_1 dt_2 dt_3 dt_4 dt_5$ ,  $I$  ranges over  $A'_3$  and  $J$  ranges over  $A_4$ ,

where the subscripts of  $u$  and  $x$  indicate their cohomological degrees.

**Proof** (1), (2) In the case  $p = 5$ ,  $G = E_8$ ,  $A = E_{E_8}^3$  and in the case  $p = 3$ ,  $G = F_4$ ,  $A = E_{F_4}^3$ , the Weyl group is  $SL_3(\mathbb{F}_p)$ . Therefore, it is the case of Mui invariants and it is immediate from Theorem 1.1.

(3) In the case  $p = 3$ ,  $G = E_6$ ,  $A = E_{E_6}^4$ , the Weyl group  $W(A)$  is the subgroup of  $SL_4(\mathbb{F}_3)$  consisting of the following matrices:

$$\left( \begin{array}{c|c} 1 & m \\ \hline 0 & g_1 \end{array} \right),$$

where  $m \in M_{1,3}(\mathbb{F}_3)$ ,  $g_1 \in SL_3(\mathbb{F}_3)$ . The result is immediate from Theorem 1.1, Theorem 4.1 and Theorem 4.2.

(4) In the case  $p = 3$ ,  $G = E_7$ , the Weyl group  $W(A)$  is the subgroup of  $GL_4(\mathbb{F}_3)$  consisting of the following matrices:

$$\left( \begin{array}{c|c} \varepsilon_1 & m \\ \hline 0 & g_1 \end{array} \right),$$

where  $\varepsilon_1 \in \mathbb{F}_3^\times = \{1, 2\}$ ,  $m \in M_{1,3}(\mathbb{F}_3)$ ,  $g_1 \in SL_3(\mathbb{F}_3)$ . Firstly, we compute the ring of invariants of a subgroup  $W_0$  of  $W(A)$ . The subgroup  $W_0$  is the subgroup of  $W(A)$  consisting of the matrices

$$\left( \begin{array}{c|c} 1 & m \\ \hline 0 & g_1 \end{array} \right),$$

where  $m \in M_{1,3}(\mathbb{F}_3)$ ,  $g_1 \in SL_3(\mathbb{F}_3)$ . By Theorem 1.1, Theorem 4.1 and Theorem 4.2, we have

$$(H^*BA)^{W_0} = \mathbb{F}_3[x_{26}, x_{36}, x_{48}, x_{54}] \otimes \mathbb{F}_3\{1, Q_I u_3, Q_J u_4\},$$

where  $I$  ranges over  $A'_3$  and  $J$  ranges over  $A_3$ . Let

$$R = \mathbb{F}_3[x_{26}, x_{36}, x_{48}, x_{108}],$$

and let

$$M = \mathbb{F}_3\{x_{54}^\delta, x_{54}^\delta Q_I u_3, x_{54}^\delta Q_J u_4\},$$

where  $x_{108} = x_{54}^2$ ,  $\delta \in \{0, 1\}$ ,  $I$  ranges over  $A'_3$  and  $J$  ranges over  $A_3$ . Then, we have

$$(H^*BA)^{W_0} = R \otimes M.$$

Next, we calculate the ring of invariants  $(H^*BA)^{W(A)}$  as a subspace of  $(H^*BA)^{W_0}$ . Put

$$\alpha = \left( \begin{array}{c|cccc} 2 & 0 & 0 & 0 & 0 \\ \hline 0 & 1 & 0 & 0 & 0 \\ 0 & 0 & 1 & 0 & 0 \\ 0 & 0 & 0 & 0 & 1 \end{array} \right).$$

Then, for  $x \in R$ , we have  $\alpha x = x$  and we also have the following direct sum decomposition:

$$M = M_1 \oplus M_2,$$

where  $M_i = \{x \in M \mid \alpha x = i x\}$  for  $i = 1, 2$ . In particular, we have

$$M_1 = \mathbb{F}_3\{1, Q_I u_3, x_{54} Q_J u_4\}.$$

Since the Weyl group  $W(A)$  is generated by  $W_0$  and  $\alpha$ , an element  $x$  in  $R \otimes M$  is  $W(A)$ -invariant if and only if  $\alpha x = x$ . Hence, we have

$$(H^*BA)^{W(A)} = R \otimes M_1.$$

(5) In the case  $p = 3$ ,  $G = E_8$ ,  $A = E_{E_8}^{5a}$ , the Weyl group  $W(A)$  is the subgroup of  $GL_5(\mathbb{F}_3)$  consisting of the following matrices:

$$\left( \begin{array}{c|cc} \varepsilon_1 & m_0 & m_1 \\ \hline 0 & g_1 & 0 \\ \hline 0 & 0 & \varepsilon_2 \end{array} \right),$$

where  $\varepsilon_1, \varepsilon_2 \in \mathbb{F}_3^\times = \{1, 2\}$ ,  $m_0 \in M_{1,3}(\mathbb{F}_3)$ ,  $m_1 \in M_{1,1}(\mathbb{F}_3)$ ,  $g_1 \in SL_3(\mathbb{F}_3)$ . We consider the subgroup  $W_0$  of  $W(A)$  consisting of the following matrices:

$$\left( \begin{array}{c|cc} 1 & m_0 & m_1 \\ \hline 0 & g_1 & 0 \\ \hline 0 & 0 & 1 \end{array} \right),$$

where  $g_1 \in SL_3(\mathbb{F}_3)$ ,  $m_0 \in M_{1,3}(\mathbb{F}_3)$ ,  $m_1 \in M_{1,1}(\mathbb{F}_3)$ . By Theorem 4.1 and Theorem 4.2, we have

$$(H^*BA)^{W_0} = \mathbb{F}_3[x_2, x_{26}, x_{36}, x_{48}, x_{162}] \otimes \mathbb{F}_3\{1, Q_I u_3, u_1, (Q_I u_3)u_1, Q_J u_5\},$$

where  $I$  ranges over  $A'_3$  and  $J$  ranges over  $A_4$ . Let

$$R = \mathbb{F}_3[x_4, x_{26}, x_{36}, x_{48}, x_{324}],$$

and let

$$M = \mathbb{F}_3\{x_2^{\delta_1} x_{162}^{\delta_2}, x_2^{\delta_1} x_{162}^{\delta_2} Q_I u_3, x_2^{\delta_1} x_{162}^{\delta_2} u_1, x_2^{\delta_1} x_{162}^{\delta_2} (Q_I u_3)u_1, x_2^{\delta_1} x_{162}^{\delta_2} Q_J u_5\},$$

where  $\delta_1, \delta_2 \in \{0, 1\}$ ,  $I$  ranges over  $A'_3$  and  $J$  ranges over  $A_4$ . Consider matrices

$$\alpha = \left( \begin{array}{c|cccc|c} 2 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 1 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 1 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 1 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 1 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 1 \end{array} \right), \quad \beta = \left( \begin{array}{c|cccc|c} 1 & 0 & 0 & 0 & 0 & 0 \\ \hline 0 & 1 & 0 & 0 & 0 & 0 \\ \hline 0 & 0 & 1 & 0 & 0 & 0 \\ \hline 0 & 0 & 0 & 1 & 0 & 0 \\ \hline 0 & 0 & 0 & 0 & 1 & 0 \\ \hline 0 & 0 & 0 & 0 & 0 & 2 \end{array} \right).$$

Then, we have  $\alpha x = x$  and  $\beta x = x$  for  $x \in R$ . Furthermore, it is also clear that we have the following direct sum decomposition:

$$M = M_{1,1} \oplus M_{1,2} \oplus M_{2,1} \oplus M_{2,2},$$

where

$$M_{i,j} = \{x \in M \mid \alpha x = ix, \beta x = jx\}.$$

In particular, we have

$$M_{1,1} = \mathbb{F}_3\{1, x_2 u_1, Q_I u_3, x_2 (Q_I u_3)u_1, x_2 x_{162} Q_J u_5\}.$$



Since  $W(A)$  is generated by  $W_0$  and  $\alpha, \beta$  in the above,  $x \in (H^*BA)^{W_0}$  is  $W(A)$ -invariant if and only if  $\alpha x = \beta x = x$ . Hence, we have

$$(H^*BA)^{W(A)} = R \otimes M_{1,1}. \quad \square$$

**Remark 4.4** Our computation of the ring of invariants of polynomial tensor exterior algebra in Proposition 4.3 is based on the computation of the ring of invariants of polynomial algebra and the assumption that the ring of invariants of polynomial algebra is also a polynomial algebra. In the case  $A = E_{E_8}^{5b}$ , however, the Weyl group does not satisfy the condition we assume in this section and the ring of invariants of polynomial algebra is no longer a polynomial algebra. Hence, both Theorem 4.1 and Theorem 4.2 do not apply in this case.

### 5 $\mathcal{O}_{n-1}(x_i)$ and $\mathcal{D}_{n-1}$

In this section, we collect some facts, which we need in the proof of Theorem 4.1 and Theorem 4.2.

For  $i = 1, \dots, n$ , the element  $\mathcal{O}_{n-1}(x_i)$  in  $\mathbb{F}_q[x_1, \dots, x_n]$  is defined to be

$$\mathcal{O}_{n-1}(x_i) = \prod_{x \in \mathbb{F}_q \setminus \{x_2, \dots, x_n\}} (x_i + x).$$

We also define  $\mathcal{O}_{n-2}(x_i)$  in  $\mathbb{F}_q[x_1, \dots, x_{n-1}]$  by

$$\mathcal{O}_{n-2}(x_i) = \prod_{x \in \mathbb{F}_q \setminus \{x_2, \dots, x_{n-1}\}} (x_i + x)$$

for  $n \geq 3$  and by

$$\mathcal{O}_0(x_i) = x_i$$

for  $n = 2$ .

Using the same argument as in the proof of Proposition 2.5, we can easily obtain the following proposition.

**Proposition 5.1** For  $i = 1, \dots, n$ , we may express  $\mathcal{O}_{n-1}(x_i)$  and  $\mathcal{O}_{n-2}(x_i)$  in terms of Dickson invariants as follows:

$$\begin{aligned} \mathcal{O}_{n-1}(x_i) &= \sum_{j=0}^{n-1} (-1)^{n-1-j} c_{n-1,j}(x_2, \dots, x_n) x_i^{q^j} \quad \text{for } n \geq 2, \\ \mathcal{O}_{n-2}(x_i) &= \sum_{j=0}^{n-2} (-1)^{n-2-j} c_{n-2,j}(x_2, \dots, x_{n-1}) x_i^{q^j} \quad \text{for } n > 2. \end{aligned}$$

We need Proposition 5.2 and Proposition 5.3 below in the proof of Proposition 7.5.

**Proposition 5.2** *In  $\mathbb{F}_q[x_1, \dots, x_{n-1}]$ , we have the following equality:*

$$e_{n-1}(x_1, \dots, x_{n-1}) = \mathcal{O}_{n-2}(x_1)e_{n-2}(x_2, \dots, x_{n-1})$$

for  $n \geq 3$ , and

$$e_1(x_1) = x_1$$

for  $n = 2$ .

**Proof** For  $n = 2$ , the proposition is obvious. For  $n \geq 3$ , by Proposition 2.4, Proposition 2.5 and Proposition 5.1, we have

$$\begin{aligned} & e_{n-1}(x_1, \dots, x_{n-1}) \\ &= \mathcal{Q}_0 \dots \mathcal{Q}_{n-2} dx_1 \dots dx_{n-1} \\ &= \sum_{j=0}^{n-2} (-1)^{n-2-j} (\mathcal{Q}_0 \dots \widehat{\mathcal{Q}}_j \dots \mathcal{Q}_{n-2} dx_2 \dots dx_{n-1}) x_1^{q^j} \\ &= \sum_{j=0}^{n-2} (-1)^{n-2-j} e_{n-2}(x_2, \dots, x_{n-1}) c_{n-2,j}(x_2, \dots, x_{n-1}) x_1^{q^j} \\ &= e_{n-2}(x_2, \dots, x_{n-1}) \mathcal{O}_{n-2}(x_1). \quad \square \end{aligned}$$

**Proposition 5.3** *The obvious projection*

$$\pi: \mathbb{F}_q[x_1, \dots, x_n] \longrightarrow \mathbb{F}_q[x_1, \dots, x_{n-1}]$$

maps  $\mathcal{O}_{n-1}(x_1)$ ,  $e_{n-1}(x_2, \dots, x_n)$  to  $\mathcal{O}_{n-2}(x_1)^q$ ,  $0$ , respectively.

**Proof** Since  $e_{n-1}(x_2, \dots, x_n)$  is divisible by  $x_n$ , we have

$$\pi(e_{n-1}(x_2, \dots, x_n)) = 0$$

as in the proof of Proposition 2.9. For  $n = 2$ , the equality

$$\pi(\mathcal{O}_1(x_1)) = \mathcal{O}_0(x_1)^q = x_1^q$$

is obvious. For  $n \geq 3$ , by Proposition 2.9, we have

$$\pi(c_{n-1,j}(x_2, \dots, x_n)) = c_{n-2,j-1}(x_2, \dots, x_{n-1})^q$$

for  $j = 1, \dots, n - 1$ . Hence, we have

$$\begin{aligned} \pi(\mathcal{O}_{n-1}(x_1)) &= \pi \left( \sum_{j=0}^{n-1} (-1)^{n-1-j} c_{n-1,j}(x_2, \dots, x_n) x_1^{q^j} \right) \\ &= \sum_{j=1}^{n-1} (-1)^{n-1-j} c_{n-2,j-1}(x_2, \dots, x_{n-1})^q x_1^{q^j} \\ &= \mathcal{O}_{n-2}(x_1)^q. \end{aligned}$$

□

For  $a$  in  $P_n \otimes E_n$ , let

$$\mathcal{D}_{n-1}(a) = \sum_{j=0}^{n-1} (-1)^{n-1-j} c_{n-1,j}(x_2, \dots, x_n) Q_j a.$$

Then,  $\mathcal{D}_{n-1}$  induces a  $P_n$ -linear homomorphism

$$\mathcal{D}_{n-1}: P_n \otimes E_n^r \longrightarrow P_n \otimes E_n^{r-1},$$

which extends naturally to

$$\mathcal{D}_{n-1}: K_n \otimes E_n^r \rightarrow K_n \otimes E_n^{r-1}.$$

**Proposition 5.4** For  $i = 1, \dots, n$ , we have

$$\mathcal{D}_{n-1}(dx_i) = \mathcal{O}_{n-1}(x_i).$$

In particular, for  $i = 2, \dots, n$ , we have  $\mathcal{D}_{n-1}(dx_i) = 0$ .

**Proof** By Proposition 5.1, we have

$$\begin{aligned} \mathcal{D}_{n-1}(dx_i) &= \sum_{j=0}^{n-1} (-1)^{n-1-j} c_{n-1,j}(x_2, \dots, x_n) Q_j dx_i \\ &= \sum_{j=0}^{n-1} (-1)^{n-1-j} c_{n-1,j}(x_2, \dots, x_n) x_i^{q^j} \\ &= \mathcal{O}_{n-1}(x_i). \end{aligned}$$

On the other hand, by the definition of  $\mathcal{O}_{n-1}(x_i)$ , we have

$$\mathcal{O}_{n-1}(x_i) = 0$$

for  $i = 2, \dots, n$ . Hence, we have  $\mathcal{D}_{n-1}(dx_i) = 0$  for  $i = 2, \dots, n$ .

□

Let  $g_1$  be an element in  $GL_{n-1}(\mathbb{F}_q)$ . We consider the following matrix

$$\bar{g}_1 = \left( \begin{array}{c|c} 1 & 0 \\ \hline 0 & g_1 \end{array} \right).$$

We need Proposition 5.5 and Proposition 5.6 below in the proof of Proposition 7.8.

**Proposition 5.5** For  $g_1$  in  $GL_{n-1}(\mathbb{F}_q)$ , there holds

$$\mathcal{D}_{n-1}(\bar{g}_1 a) = \bar{g}_1 \mathcal{D}_{n-1}(a).$$

**Proof** Suppose that

$$a = \sum_{i=1}^n a_i dx_i,$$

where  $a_i \in K_n$  for  $i = 1, \dots, n$ . Since

$$\bar{g}_1 dx_1 = dx_1,$$

and since, for  $i = 2, \dots, n$ ,

$$\bar{g}_1 dx_i$$

is in  $E_{n-1}^1$ , we have

$$\bar{g}_1 a = (\bar{g}_1 a_1) dx_1 + a'_2 dx_2 + \dots + a'_n dx_n$$

for some  $a'_i \in K_n$  for  $i = 2, \dots, n$ . Hence, by Proposition 5.4, we have

$$\mathcal{D}_{n-1}(\bar{g}_1 a) = (\bar{g}_1 a_1) \mathcal{O}_{n-1}(x_1).$$

On the other hand, by Proposition 5.4, we have

$$\bar{g}_1 \mathcal{D}_{n-1}(a) = \bar{g}_1 (a_1 \mathcal{O}_{n-1}(x_1)) = (\bar{g}_1 a_1) \mathcal{O}_{n-1}(x_1). \quad \square$$

Let  $\mathbb{P}^{n-2}$  be the projective space

$$(\mathbb{F}_q^{n-1} \setminus \{(0, \dots, 0)\}) / \sim,$$

where  $\ell \sim \ell'$  if and only if there is  $\alpha \in \mathbb{F}_q^\times$  such that  $\ell = \alpha \ell'$ .

**Proposition 5.6** If  $a \in P_n$  is divisible by  $\alpha_2 x_2 + \dots + \alpha_n x_n$  for arbitrary  $(\alpha_2, \dots, \alpha_n) \in \mathbb{P}^{n-2}$ , then  $a$  is divisible by  $e_{n-1}(x_2, \dots, x_n)$ .

**Proof** Since the number of elements in  $\mathbb{P}^{n-2}$  is equal to the homogeneous degree  $1 + q + \cdots + q^{n-2}$  of  $e_{n-1}(x_2, \dots, x_n)$ , it suffices to show that  $e_{n-1}(x_2, \dots, x_n)$  is a product of elements of the form  $\alpha_2 x_2 + \cdots + \alpha_n x_n$ , where  $(\alpha_2, \dots, \alpha_n)$  ranges over  $\mathbb{P}^{n-2}$ . It is clear that  $e_{n-1}(x_2, \dots, x_n)$  is divisible by  $x_n$ . So, we have

$$e_{n-1}(x_2, \dots, x_n) = b x_n$$

for some  $b \in P_n$ . It is also clear that  $e_{n-1}(x_2, \dots, x_n)$  is invariant under the action of  $SL_{n-1}(\mathbb{F}_q)$ . There is  $g_1 \in SL_{n-1}(\mathbb{F}_q)$  such that  $\alpha_2 x_2 + \cdots + \alpha_n x_n = \bar{g}_1 x_n$ . Hence, on the one hand, we have

$$\bar{g}_1 e_{n-1}(x_2, \dots, x_n) = (\bar{g}_1 b)(\alpha_2 x_2 + \cdots + \alpha_n x_n)$$

and, on the other hand, we have

$$\bar{g}_1 e_{n-1}(x_2, \dots, x_n) = e_{n-1}(x_2, \dots, x_n).$$

Therefore,  $e_{n-1}(x_2, \dots, x_n)$  is divisible by arbitrary  $\alpha_2 x_2 + \cdots + \alpha_n x_n$ . This completes the proof.  $\square$

## 6 Proof of Theorem 4.1

In order to prove Theorem 4.1, we recall the strategy to compute rings of invariants given by Wilkerson in [9, Section 3]. It can be stated in the following form.

**Theorem 6.1** *Suppose that  $G$  is a subgroup of  $GL_n(\mathbb{F}_q)$  and  $G$  acts on the polynomial algebra  $\mathbb{F}_q[x_1, \dots, x_n]$  in the obvious manner. Let  $f_1, \dots, f_n$  be homogeneous  $G$ -invariant polynomials in  $\mathbb{F}_q[x_1, \dots, x_n]$ . Let  $R$  be the subalgebra of  $\mathbb{F}_q[x_1, \dots, x_n]$  generated by  $f_1, \dots, f_n$ . Then,  $R$  is a polynomial algebra  $\mathbb{F}_q[f_1, \dots, f_n]$  and the ring of invariants  $\mathbb{F}_q[x_1, \dots, x_n]^G$  is equal to the subalgebra  $R$  if and only if  $\mathbb{F}_q[x_1, \dots, x_n]$  is integral over  $R$  and  $\deg f_1 \dots \deg f_n = |G|$ .*

In the statement of Theorem 6.1,  $\deg f$  is the homogeneous degree of  $f$ , that is, we define the degree  $\deg x_i$  of indeterminate  $x_i$  to be 1. For the proof of this theorem, we refer the reader to Smith's book [8, Corollaries 2.3.2 and 5.5.4, and Proposition 5.5.5] and Wilkerson's paper [9, Section 3].

### Proof of Theorem 4.1

As we mentioned, in order to prove Theorem 4.1, it suffices to show the following:

- (1) homogeneous polynomials  $\mathcal{O}_{n-1}(x_1), f_2, \dots, f_n$  are  $G$ -invariant;

- (2) indeterminates  $x_1, \dots, x_n$  are integral over  $R$ ;  
 (3) the product of homogeneous degrees of  $\mathcal{O}_{n-1}(x_1), f_2, \dots, f_n$  is equal to the order of  $G$ , that is,

$$\deg \mathcal{O}_{n-1}(x_1) \deg f_2 \dots \deg f_n = |G|.$$

By definition,  $f_2, \dots, f_n$  are  $G_1$ -invariant, and so they are also  $G$ -invariant. It follows from Theorem 6.1 that  $x_2, \dots, x_n$  are integral over  $R_1 = \mathbb{F}_q[f_2, \dots, f_n]$ , and so they are integral over  $R$ . It is also immediate from Theorem 6.1 that  $\deg f_2 \dots \deg f_n = |G_1|$ . It is clear from the definition of  $\mathcal{O}_{n-1}(x_1)$  that  $\deg \mathcal{O}_{n-1} = 2^{n-1}$ . Hence, we have

$$\deg \mathcal{O}_{n-1}(x_1) \deg f_2 \dots \deg f_n = 2^{n-1} |G_1| = |G|.$$

So, it remains to show the following:

- (1)  $\mathcal{O}_{n-1}(x_1)$  is  $G$ -invariant and  
 (2)  $x_1$  is integral over  $R$ .

First, we deal with (1). By the definition of  $\mathcal{O}_{n-1}(x_1)$ , we have that

$$g\mathcal{O}_{n-1}(x_1)$$

is a product of

$$g(x_1 + x) = x_1 + \sum_{j=2}^n a_{1,j}(g^{-1})x_j + gx,$$

where  $x$  ranges over  $\mathbb{F}_q\{x_2, \dots, x_n\}$ . As  $x$  ranges over  $\mathbb{F}_q\{x_2, \dots, x_n\}$ , the sum

$$\sum_{j=2}^n a_{1,j}(g^{-1})x_j + gx$$

also ranges over  $\mathbb{F}_q\{x_2, \dots, x_n\}$ . Hence, we have

$$g\mathcal{O}_{n-1}(x_1) = \mathcal{O}_{n-1}(x_1).$$

Next, we deal with (2). By Proposition 5.1, we have

$$\mathcal{O}_{n-1}(X) = X^{q^{n-1}} + \sum_{j=0}^{n-2} (-1)^{n-1-j} c_{n-1,j}(x_2, \dots, x_n) X^{q^j}.$$

Since Dickson invariants  $c_{n-1,j}(x_2, \dots, x_n)$  are in  $R_1 = \mathbb{F}_2[x_2, \dots, x_n]^{G_1}$ , the polynomial

$$\varphi(X) = \mathcal{O}_{n-1}(X) - \mathcal{O}_{n-1}(x_1)$$

is a monic polynomial in  $R[X]$ . It is clear that

$$\varphi(x_1) = 0.$$

Hence, the indeterminate  $x_1$  is integral over  $R$ . This completes the proof.  $\square$

## 7 Proof of Theorem 4.2

Let  $G_0$  be the subgroup of  $G$  consisting of the following matrices:

$$\left( \begin{array}{c|c} 1 & m \\ \hline 0 & 1_{n-1} \end{array} \right),$$

where  $m \in M_{1,n-1}(\mathbb{F}_q)$ ,  $1_{n-1}$  is the identity matrix in  $GL_{n-1}(\mathbb{F}_q)$ . Let  $B_n$  be the set of subsets of

$$\{2, \dots, n\}.$$

Let  $B_{n,r}$  be the subset of  $B_n$  such that  $J \in B_{n,r}$  if and only if

$$J = \{j_1, \dots, j_r\} \quad \text{and} \quad 1 < j_1 < \dots < j_r \leq n.$$

We write  $dx_J$  for

$$dx_{j_1} \dots dx_{j_r}$$

and we define  $dx_\emptyset$  to be 1.

The following proposition is nothing but the particular case of Theorem 4.1 and Theorem 4.2.

**Proposition 7.1** *The ring of invariants  $P_n^{G_0}$  is given as follows:*

$$P_n^{G_0} = \mathbb{F}_q[\mathcal{O}_{n-1}(x_1, x_2, \dots, x_n)].$$

The ring of invariants  $(P_n \otimes E_n)^{G_0}$  is a free  $P_n^{G_0}$ -module with the basis

$$\{Q_I dx_1 \dots dx_n, dx_J\},$$

where  $I$  ranges over  $A_{n-1}$  and  $J$  ranges over  $B_n$ .

Now, we consider a  $K_n$ -basis for  $K_n \otimes E_n$ .

**Proposition 7.2** *The elements*

$$Q_0 \dots Q_{n-2} dx_1 \dots dx_n, dx_2, \dots, dx_n$$

form a  $K_n$ -basis for  $K_n \otimes E_n^1$ .

**Proof** For dimensional reasons, it suffices to show that the above elements are linearly independent in  $K_n \otimes E_n^1$ . Suppose that

$$a_1 Q_0 \dots Q_{n-2} dx_1 \dots dx_n + a_2 dx_2 + \dots + a_n dx_n = 0$$

in  $K_n \otimes E_n^1$ , where  $a_1, \dots, a_n$  are in  $K_n$ . Then, since

$$Q_0 \dots Q_{n-2} dx_1 \dots dx_n = \sum_{i=1}^n (-1)^{n-i} e_{n-1}(x_1, \dots, \widehat{x}_i, \dots, x_n) dx_i,$$

we have

$$\begin{aligned} (-1)^{n-1} a_1 e_{n-1}(\widehat{x}_1, \dots, x_n) &= 0, \\ a_2 + (-1)^{n-2} a_1 e_{n-1}(x_1, \widehat{x}_2, \dots, x_n) &= 0, \\ &\vdots \\ a_n + (-1)^0 a_1 e_{n-1}(x_1, \dots, x_{n-1}, \widehat{x}_n) &= 0. \end{aligned}$$

Thus, solving this linear system, we obtain  $a_1 = 0, \dots, a_n = 0$ .  $\square$

**Proposition 7.3** *The elements*

$$Q_I dx_1 \dots dx_n, dx_J$$

form a  $K_n$ -basis for  $K_n \otimes E_n^r$ , where  $I \in A_{n-1, n-r}$  and  $J \in B_{n, r}$ .

**Proof** Again, for dimensional reasons, it suffices to show that the above elements are linearly independent in  $K_n \otimes E_n^r$ . Suppose that

$$\sum_{I \in A_{n-1, n-r}} a_I Q_I dx_1 \dots dx_n + \sum_{J \in B_{n, r}} b_J dx_J = 0,$$

where  $a_I, b_J$  are in  $K_n$ . The linear independence of the terms  $dx_J$  is clear. Hence, it remains to show that  $a_I = 0$  for each  $I$ .

Fix  $I \in A_{n-1, n-r}$  and let  $K = S_{n-1} \setminus I$ . Then, applying  $Q_K$  to the both sides of the above equality, we have

$$\text{sign}(K, I) a_I Q_0 \dots Q_{n-2} dx_1 \dots dx_n + \alpha = 0$$

in  $K_n \otimes E_n^1$ , where  $\alpha$  is a linear combination of  $dx_2, \dots, dx_n$  over  $K_n$ . Hence, by Proposition 7.2, we have  $a_I = 0$  for each  $I \in A_{n-1, n-r}$ .  $\square$



Suppose that  $a$  is in  $P_n \otimes E_n^1$ . Then, on the one hand, we may express  $a$  as follows:

$$a = \varphi_1 dx_1 + \cdots + \varphi_n dx_n,$$

where  $\varphi_1, \dots, \varphi_n$  are in  $P_n$ . On the other hand, by Proposition 7.2, we may express  $a$  as follows:

$$a = a_1 Q_0 \dots Q_{n-2} dx_1 \dots dx_n + a_2 dx_2 + \cdots + a_n dx_n,$$

where  $a_1, \dots, a_n$  are in  $K_n$ . Observe that terms  $a$  and  $\varphi$  are unique in the above expressions.

We need to show that  $a_1, \dots, a_n$  are in  $P_n$  if  $a$  is  $G_0$ -invariant.

**Proposition 7.4** *There are polynomials  $a'_i$  over  $\mathbb{F}_q$  in  $n$  variables such that*

$$a_i e_{n-1}(x_2, \dots, x_n) = a'_i(x_1, \dots, x_n)$$

for  $i = 1, \dots, n$ .

**Proof** For  $i = 1$ , we apply  $\mathcal{D}_{n-1}$  to  $a$ . Then, we have

$$\mathcal{D}_{n-1}(a) = \varphi_1 \mathcal{O}_{n-1}(x_1).$$

On the other hand, we have

$$\begin{aligned} \mathcal{D}_{n-1}(a) &= (-1)^{n-1} a_1 Q_0 \dots Q_{n-1} dx_1 \dots dx_n \\ &= (-1)^{n-1} a_1 \mathcal{O}_{n-1}(x_1) e_{n-1}(x_2, \dots, x_n). \end{aligned}$$

Hence, we obtain

$$a_1 = (e_{n-1}(x_2, \dots, x_n))^{-1} \varphi_1$$

and

$$a'_1(x_1, \dots, x_n) = \varphi_1.$$

For  $i = 2, \dots, n$ , applying  $Q_0, \dots, Q_{n-2}$  to  $a$ , we have a linear system

$$\begin{aligned} Q_0 a &= a_2 x_2 + \cdots + a_n x_n, \\ Q_1 a &= a_2 x_2^q + \cdots + a_n x_n^q, \\ &\vdots \\ Q_{n-2} a &= a_2 x_2^{q^{n-2}} + \cdots + a_n x_n^{q^{n-2}}. \end{aligned}$$

Writing this linear system in terms of matrix, we have

$$\begin{pmatrix} Q_0 a \\ Q_1 a \\ \vdots \\ Q_{n-2} a \end{pmatrix} = A \begin{pmatrix} a_2 \\ a_3 \\ \vdots \\ a_n \end{pmatrix},$$

where

$$A = \begin{pmatrix} x_2 & \cdots & x_n \\ x_2^q & \cdots & x_n^q \\ \vdots & \ddots & \vdots \\ x_2^{q^{n-2}} & \cdots & x_n^{q^{n-2}} \end{pmatrix}.$$

It is clear that  $\det A = e_{n-1}(x_2, \dots, x_n) \neq 0$ . It is also clear that each entry of  $A$  is in  $P_{n-1}$ . Therefore, for some  $\varphi_{i,j}$  in  $P_{n-1}$ , we have

$$a_i = e_{n-1}(x_2, \dots, x_n)^{-1} \left( \sum_{j=1}^n \varphi_{i,j} Q_j a \right).$$

Since  $Q_j a$  is in  $P_n$ , by letting

$$a'_i(x_1, \dots, x_n) = \sum_{j=1}^n \varphi_{i,j} Q_j a,$$

we obtain the required results.  $\square$

**Proposition 7.5** *Suppose that  $a$  is  $G_0$ -invariant. Then  $a'_i(x_1, \dots, x_n)$  in Proposition 7.4 are also  $G_0$ -invariant for  $i = 1, \dots, n$ .*

**Proof** For  $g \in G_0$ , we have

$$g(dx_i) = dx_i$$

for  $i = 2, \dots, n$  and, since  $G_0 \subset SL_n(\mathbb{F}_q)$ , we have

$$g(dx_1 \dots dx_n) = dx_1 \dots dx_n.$$

Since the action of Milnor operations  $Q_j$  commutes with the action of the general linear group  $GL_n(\mathbb{F}_q)$ , we have

$$g(Q_0 \dots Q_{n-2} dx_1 \dots dx_n) = Q_0 \dots Q_{n-2} dx_1 \dots dx_n.$$

Hence, we have

$$ga = (ga_1)Q_0 \dots Q_{n-2} dx_1 \dots dx_n + (ga_2)dx_2 + \cdots + (ga_n)dx_n.$$

Thus, if  $ga = a$ , we have  $ga_1 = a_1, \dots, ga_n = a_n$ . It is also clear that  $e_{n-1}(x_2, \dots, x_n)$  is  $G_0$ -invariant. Hence,  $a'_i(x_1, \dots, x_n)$  are also  $G_0$ -invariant for  $i = 1, \dots, n$ .  $\square$

**Proposition 7.6** *Suppose that  $a$  is  $G_0$ -invariant. Then,  $a'_1(x_1, \dots, x_n)$  is divisible by  $x_n$ .*

**Proof** Let us consider the coefficient  $\varphi_n$  of  $dx_n$ ; we have

$$\varphi_n e_{n-1}(x_2, \dots, x_n) = a'_n(x_1, \dots, x_n) + a'_1(x_1, \dots, x_n)e_{n-1}(x_1, \dots, x_{n-1}).$$

Since  $a'_1(x_1, \dots, x_n)$  and  $a'_n(x_1, \dots, x_n)$  are  $G_0$ -invariant, there are polynomials  $a''_1, a''_n$  over  $\mathbb{F}_q$  in  $n$  variables such that

$$\begin{aligned} a'_1(x_1, \dots, x_n) &= a''_1(\mathcal{O}_{n-1}(x_1), x_2, \dots, x_n), \\ a'_n(x_1, \dots, x_n) &= a''_n(\mathcal{O}_{n-1}(x_1), x_2, \dots, x_n). \end{aligned}$$

Since  $\mathcal{O}_{n-1}(x_1), x_2, \dots, x_n$  are algebraically independent, it suffices to show that

$$a''_1(y_1, x_2, \dots, x_{n-1}, 0) = 0$$

for algebraically independent  $y_1, x_2, \dots, x_{n-1}$ .

Substituting  $x_n = 0$ , we have the obvious projection

$$\pi: \mathbb{F}_q[x_1, \dots, x_n] \rightarrow \mathbb{F}_q[x_1, \dots, x_{n-1}].$$

It is clear from Proposition 5.2 and Proposition 5.3 that

$$\begin{aligned} \pi(e_{n-1}(x_1, \dots, x_{n-1})) &= e_{n-1}(x_1, \dots, x_{n-1}) \\ &= \mathcal{O}_{n-2}(x_1)e_{n-2}(x_2, \dots, x_{n-1}) \quad \text{for } n \geq 3, \\ \pi(e_1(x_1)) &= \mathcal{O}_0(x_1) \quad \text{for } n = 2, \\ \pi(e_{n-1}(x_2, \dots, x_n)) &= 0, \\ \pi(\mathcal{O}_{n-1}(x_1)) &= \mathcal{O}_{n-2}(x_1)^q. \end{aligned}$$

Hence, for  $n \geq 3$ , we have

$$0 = a''_1(y^q, x_2, \dots, x_{n-1}, 0) + ya''_1(y^q, x_2, \dots, x_{n-1}, 0)e_{n-2}(x_2, \dots, x_{n-1}),$$

where  $y = \mathcal{O}_{n-2}(x_1)$  and  $y, x_2, \dots, x_{n-1}$  are algebraically independent. Applying the partial derivative  $\partial/\partial y$ , we have

$$a''_1(y^q, x_2, \dots, x_{n-1}, 0)e_{n-2}(x_2, \dots, x_{n-1}) = 0.$$

Hence, we have

$$a''_1(y^q, x_2, \dots, x_{n-1}, 0) = 0.$$

Since  $y^q, x_2, \dots, x_{n-1}$  are algebraically independent, we have the required result.

For  $n = 2$ , we have

$$0 = a''_2(y^q, 0) + ya''_1(y^q, 0).$$

Applying the partial derivative  $\partial/\partial y$ , we have

$$a''_1(y^q, 0) = 0$$

and the required result. □

**Lemma 7.7** *Suppose that  $g_1 \in GL_{n-1}(\mathbb{F}_q)$  and that  $a$  is  $G_0$ -invariant. Then,  $\bar{g}_1 a$  is also  $G_0$ -invariant.*

**Proof** Suppose that for each  $g$ , there is a  $g' \in G_0$  such that  $g\bar{g}_1 = \bar{g}_1 g'$ . If it is true, then for any  $G_0$ -invariant  $a$ , we have

$$g\bar{g}_1 a = \bar{g}_1 g' a = g_1 a.$$

Hence,  $g_1$  induces a homomorphism from  $P_n^{G_0}$  to  $P_n^{G_0}$ . So, it suffices to show that for each  $g$  in  $G_0$ , there is a  $g' \in G_0$  such that  $gg_1 = g_1 g'$ , which is immediate from the following equality:

$$\left( \begin{array}{c|c} 1 & m \\ \hline 0 & 1_{n-1} \end{array} \right) \left( \begin{array}{c|c} 1 & 0 \\ \hline 0 & g_1 \end{array} \right) = \left( \begin{array}{c|c} 1 & 0 \\ \hline 0 & g_1 \end{array} \right) \left( \begin{array}{c|c} 1 & mg_1 \\ \hline 0 & 1_{n-1} \end{array} \right),$$

where  $m \in M_{1,n-1}(\mathbb{F}_q)$  and  $1_{n-1}$  stands for the identity matrix in  $GL_{n-1}(\mathbb{F}_q)$ . □

**Proposition 7.8** *Suppose that  $a$  is  $G_0$ -invariant. Then,  $a_1, \dots, a_n$  are in  $P_n$ .*

**Proof** Firstly, we verify that  $a_1$  is in  $P_n$ . To this end, we prove that the element  $a'_1(x_1, \dots, x_n)$  is divisible by  $e_{n-1}(x_2, \dots, x_n)$ . Let  $\ell = \alpha_2 x_2 + \dots + \alpha_n x_n$ , where  $\alpha_2, \dots, \alpha_n \in \mathbb{F}_q$  and  $\ell \neq 0$ . By Proposition 5.6, it suffices to show that  $a'_1(x_1, \dots, x_n)$  is divisible by  $\ell$ . There is  $g_1$  in  $GL_{n-1}(\mathbb{F}_q)$  such that  $\bar{g}_1(x_n) = \ell$ . Since, by Lemma 7.7,  $\bar{g}_1^{-1} a$  is also in  $(P_n \otimes E_n^1)^{G_0}$ , there is an element  $f$  in  $P_n$  such that

$$\mathcal{D}_{n-1}(\bar{g}_1^{-1} a) = f x_n \mathcal{O}_{n-1}(x_1).$$

Here we have

$$\mathcal{O}_{n-1}(x_1) a'_1(x_1, \dots, x_n) = \mathcal{D}_{n-1}(a) = \bar{g}_1 \mathcal{D}_{n-1}(\bar{g}_1^{-1} a) = \mathcal{O}_{n-1}(x_1) \bar{g}_1(f) \ell.$$

So we have

$$a'_1(x_1, \dots, x_n) = (\bar{g}_1 f) \ell.$$

Secondly, we verify that  $a_i$  are in  $P_n$  for  $i = 2, \dots, n$ , which follows from the fact that

$$\varphi_i = a_i + (-1)^{n-i} a_1 e_{n-1}(x_1, \dots, \widehat{x}_i, \dots, x_n). \quad \square$$

**Proof of Proposition 7.1**

Suppose that  $a$  is in  $P_n \otimes E_n^r$  and  $G_0$ -invariant. By Proposition 7.3, there are  $a_I, b_J \in K_n$  such that

$$a = \sum_{I \in A_{n-1, n-r}} a_I Q_I dx_1 \dots dx_n + \sum_{J \in B_{n,r}} b_J dx_J.$$

It suffices to show that  $a_I, b_J$  are in  $P_n$ .

Firstly, we verify that  $a_I$  is in  $P_n$ . Choose  $I$  and let  $K = S_{n-1} \setminus I$ . Then, we have

$$Q_K a = \text{sign}(K, I) a_I Q_0 \dots Q_{n-2} dx_1 \dots dx_n + \sum_{J \in B_{n,r}} b_J Q_K dx_J.$$

By Proposition 7.8,  $\text{sign}(K, I) a_I$  is in  $P_n$  and, by definition,  $\text{sign}(K, I) \neq 0$ , hence  $a_I$  is also in  $P_n$ .

Secondly, we prove that  $b_J$  is in  $P_n$ . Put

$$a' = a - \sum_{I \in A_{n-1, n-r}} a_I Q_I dx_1 \dots dx_n = \sum_{J \in B_{n,r}} b_J dx_J.$$

It is clear that  $a'$  is also in  $P_n \otimes E_n^r$ . Hence,  $b_J$  is in  $P_n$ . This completes the proof.  $\square$

Now, we complete the proof of Theorem 4.2.

**Proof of Theorem 4.2**

Suppose that  $a$  is an element in  $P_n \otimes E_n$  and that  $a$  is also  $G$ -invariant. It suffices to show that  $a$  is a linear combination of  $\{v_i, Q_I dx_1 \dots, dx_n\}$  over  $P_n^G$ . It is clear that  $a$  is also  $G_0$ -invariant. Hence, by Proposition 7.1, there are  $a_I, b_J$  in  $P_n^{G_0} = \mathbb{F}_q[\mathcal{O}_{n-1}(x_1), x_2, \dots, x_n]$  such that

$$a = \sum_I a_I Q_I dx_1 \dots dx_n + \sum_J b_J dx_J.$$

Thus, we have

$$a = \sum_I \sum_{k \geq 0} a_{I,k} \mathcal{O}_{n-1}(x_1)^k Q_I dx_1 \dots dx_n + \sum_J \sum_{k \geq 0} b_{J,k} \mathcal{O}_{n-1}(x_1)^k dx_J,$$

where  $a_{I,k}, b_{J,k}$  are in  $P_{n-1} = \mathbb{F}_q[x_2, \dots, x_n]$ . Since, by Theorem 4.1,  $g \in G$  acts trivially on  $\mathcal{O}_{n-1}(x_1)$ , and since  $g \in G \subset SL_n(\mathbb{F}_q)$  acts trivially on  $Q_I dx_1 \dots dx_n$ , we have

$$ga = \sum_{k \geq 0} \sum_I (ga_{I,k}) \mathcal{O}_{n-1}(x_1)^k Q_I dx_1 \dots dx_n + \sum_{k \geq 0} \sum_J (gb_{J,k}) \mathcal{O}_{n-1}(x_1)^k (gdx_J).$$

It is clear that  $gdx_J$  is in  $E_{n-1}$ . As a  $P_{n-1}$ -module,  $(P_n \otimes E_n^r)^{G_0}$  is a free  $P_{n-1}$ -module with the basis

$$\{\mathcal{O}_{n-1}(x_1)^k dx_J, \mathcal{O}_{n-1}(x_1)^k Q_I dx_1 \dots dx_n\}.$$

Hence, we have

$$g(a_{I,k}) = a_{I,k}.$$

Thus,  $a_{I,k}$  is in  $P_{n-1}^{G_1}$  and so  $a_I$  is in  $P_n^G$ . Put

$$a' = a - \sum_I a_I Q_I dx_1 \dots dx_n.$$

Then,  $a'$  is also in the ring of invariants  $(P_n \otimes E_n)^G$ , and we have

$$a' = \sum_{k \geq 0} \left( \sum_J b_{J,k} dx_J \right) \mathcal{O}_{n-1}(x_1)^k.$$

Hence,  $\sum_J b_{J,k} dx_J$  is in the ring of invariants  $(P_{n-1} \otimes E_{n-1})^{G_1}$ . By the assumption on the ring of invariants  $(P_{n-1} \otimes E_{n-1})^{G_1}$ , there are polynomials  $b_{1,k}, \dots, b_{2^{n-1},k}$  in  $P_{n-1}^{G_1}$  such that

$$\sum_J b_{J,k} dx_J = \sum_{i=1}^{2^{n-1}} b_{i,k} v_i.$$

Thus, writing  $b_i$  for  $\sum_{k \geq 0} b_{i,k} \mathcal{O}_{n-1}(x_1)^k$ , we have

$$a = \sum_{i=1}^{2^{n-1}} b_i v_i + \sum_I a_I Q_I dx_1 \dots dx_n,$$

where  $b_i, a_I$  are in  $P_n^G$ . This completes the proof. □

## Appendix A

In [7], Mui used the determinant of the  $k \times k$  matrix  $(x_j^{q^{i\ell}})$  whose  $(\ell, j)$  entry is  $x_j^{q^{i\ell}}$  to describe the Dickson invariant  $[i_1, \dots, i_k]$ . Using these Dickson invariants, he defined the Mui invariant  $[r : i_1, \dots, i_{n-r}]$ . In this appendix, we verify in Proposition A.4 that the Mui invariant

$$Q_{i_1} \dots Q_{i_{n-r}} dx_1 \dots dx_n$$

in this paper is indeed equal to the Mùi invariant  $[r : i_1, \dots, i_{n-r}]$ , up to sign.

Firstly, we recall the definitions. See [7, Section 2] for the definition of  $[i_1, \dots, i_k]$  and and [7, Definition 4.3] for the definition of  $[r : i_1, \dots, i_{n-r}]$ .

**Definition A.1** The Dickson invariant  $[i_1, \dots, i_k](x_1, \dots, x_k) \in \mathbb{F}_q[x_1, \dots, x_k]$  is defined by

$$\sum_{\sigma} \text{sgn}(\sigma) x_{\sigma(1)}^{q^{i_1}} \dots x_{\sigma(k)}^{q^{i_k}} = \det(x_j^{q^{i_\ell}}),$$

where  $\sigma$  ranges over the set of permutations of  $\{1, \dots, k\}$  and  $\text{sgn}(\sigma)$  is the sign of the permutation  $\sigma$ .

**Definition A.2** The Mùi invariant  $[r : i_1, \dots, i_{n-r}] \in P_n \otimes E_n^r$  is defined by

$$[r : i_1, \dots, i_{n-r}] = \sum_J \text{sgn}(\sigma_J) dx_{j_1} \dots dx_{j_r} [i_1, \dots, i_{n-r}](x_{j_{r+1}}, \dots, x_{j_n}),$$

where

$$\sigma_J = \begin{pmatrix} 1, & \dots, & n \\ j_1, & \dots, & j_n \end{pmatrix}$$

ranges over the set of permutations of  $\{1, \dots, n\}$  such that  $j_1 < \dots < j_r$  and  $j_{r+1} < \dots < j_n$ . The above  $\sigma_J$  corresponds to the subset  $J = \{j_1, \dots, j_r\}$  of order  $r$  of  $\{1, \dots, n\}$ .

Secondly, we prove the following proposition.

**Proposition A.3** *There holds*

$$\begin{aligned} [i_1, \dots, i_k](x_1, \dots, x_k) &= Q_{i_k} \dots Q_{i_1} dx_1 \dots dx_k \\ &= (-1)^{k(k-1)/2} Q_{i_1} \dots Q_{i_k} dx_1 \dots dx_k. \end{aligned}$$

**Proof** We prove the first equality in this proposition by induction on  $k$ . Indeed, in the case  $k = 1$ , the proposition holds. Suppose that  $k \geq 2$  and that there holds the equality

$$[i_2, \dots, i_k](x_1, \dots, x_{k-1}) = Q_{i_k} \dots Q_{i_2} dx_1 \dots dx_{k-1}.$$

Using the cofactor expansion (or the Laplace development) of the  $k \times k$  matrix  $(x_j^{q^{i_\ell}})$  along the first row

$$(x_1^{q^{i_1}}, x_2^{q^{i_1}}, \dots, x_k^{q^{i_1}}),$$

we have

$$\begin{aligned}
 [i_1, \dots, i_k](x_1, \dots, x_k) &= \sum_{s=1}^k (-1)^{s+1} [i_2, \dots, i_k](x_1, \dots, \widehat{x}_s, \dots, x_k) x_s^{q^{i_1}} \\
 &= \sum_{s=1}^k (-1)^{s+1} (Q_{i_k} \dots Q_{i_2} dx_1 \dots \widehat{dx}_s \dots dx_k) x_s^{q^{i_1}} \\
 &= Q_{i_k} \dots Q_{i_2} \left( \sum_{s=1}^k (-1)^{s+1} x_s^{q^{i_1}} dx_1 \dots \widehat{dx}_s \dots dx_k \right) \\
 &= Q_{i_k} \dots Q_{i_1} dx_1 \dots dx_k.
 \end{aligned}$$

So, the first equality holds. The second equality is immediate from the fact that

$$Q_{i_k} \dots Q_{i_1} = (-1)^{k(k-1)/2} Q_{i_1} \dots Q_{i_k}. \quad \square$$

Finally, we state and prove the following proposition.

**Proposition A.4** *There holds*

$$\begin{aligned}
 [r : i_1, \dots, i_{n-r}] &= (-1)^{(n-r)r} Q_{i_{n-r}} \dots Q_{i_1} dx_1 \dots dx_n \\
 &= (-1)^{(n-r)r + (n-r)(n-r-1)/2} Q_{i_1} \dots Q_{i_{n-r}} dx_1 \dots dx_n.
 \end{aligned}$$

**Proof** As in the definition of  $[r : i_1, \dots, i_{n-r}]$ , let  $\sigma_J$  be a permutation of  $\{1, \dots, n\}$  with  $\sigma_J(1) < \dots < \sigma_J(r)$ ,  $\sigma_J(r+1) < \dots < \sigma_J(n)$  and we denote by  $j_k$  the value  $\sigma_J(k)$  of  $\sigma_J$  at  $k$ . Let  $I(J)$  be the ideal of  $P_n \otimes E_n$  generated by  $dx_{j_{r+1}}, \dots, dx_{j_n}$ . Let

$$p_J: P_n \otimes E_n \rightarrow P_n \otimes E_n / I(J)$$

be the projection. It is clear that

$$P_n \otimes E_n^r / ((P_n \otimes E_n^r) \cap I(J)) = P_n$$

and for  $f$  in  $P_n \otimes E_n^r$ , we have

$$f = \sum_J p_J(f) dx_{j_1} \dots dx_{j_r}.$$

So, by Proposition A.3, in order to prove the proposition, it suffices to show that

$$p_J(Q_{i_{n-r}} \dots Q_{i_1} dx_1 \dots dx_n) = (-1)^{(n-r)r} \operatorname{sgn}(\sigma_J) Q_{i_{n-r}} \dots Q_{i_1} dx_{j_{r+1}} \dots dx_{j_n}.$$

Suppose that

$$\psi(Q_{i_{n-r}} \dots Q_{i_1}) = 1 \otimes Q_{i_{n-r}} \dots Q_{i_1} + \sum a \otimes a',$$



where  $\psi$  is the coproduct of the Steenrod algebra. We may choose  $a'$ , so that  $a' = Q_{e_1} \dots Q_{e_\ell}$  and  $\ell < n - r$ . Thus,  $a'(dx_{j_{r+1}} \dots dx_{j_n})$  belongs to  $I(J)$ .

Then, there holds

$$\begin{aligned} & Q_{i_{n-r}} \dots Q_{i_1} dx_1 \dots dx_n \\ &= \operatorname{sgn}(\sigma_J) Q_{i_{n-r}} \dots Q_{i_1} dx_{j_1} \dots dx_{j_n} \\ &= (-1)^{(n-r)r} \operatorname{sgn}(\sigma_J) dx_{j_1} \dots dx_{j_r} Q_{i_{n-r}} \dots Q_{i_1} dx_{j_{r+1}} \dots dx_{j_n} \\ &\quad + \sum (-1)^r \operatorname{deg} a' \operatorname{sgn}(\sigma_J) (adx_{j_1} \dots dx_{j_r}) (a' dx_{j_{r+1}} \dots dx_{j_n}). \end{aligned}$$

Hence, we have

$$p_J(Q_{i_{n-r}} \dots Q_{i_1} dx_1 \dots dx_n) = (-1)^{(n-r)r} \operatorname{sgn}(\sigma_J) Q_{i_{n-r}} \dots Q_{i_1} dx_{j_{r+1}} \dots dx_{j_n}$$

as required.  $\square$

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*Department of Mathematics, Faculty of Regional Science  
Toyama University of International Studies, 65-1 Higashikuromaki, Toyama, 930-1292, Japan  
Department of Mathematics, Faculty of Science, Okayama University  
3-1-1 Tsushima-naka, Okayama, 700-8530, Japan*

*kameko@tuins.ac.jp, mimura@math.okayama-u.ac.jp*

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