

## Evens norm, transfers and characteristic classes for extraspecial $p$ -groups

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Let  $P$  be the extraspecial  $p$ -group of order  $p^{2n+1}$ , of  $p$ -rank  $n + 1$ , and of exponent  $p$  if  $p > 2$ . Let  $Z$  be the center of  $P$  and let  $\kappa_{n,r}$  be the characteristic classes of degree  $2^n - 2^r$  (resp.  $2(p^n - p^r)$ ) for  $p = 2$  (resp.  $p > 2$ ),  $0 \leq r \leq n - 1$ , of a degree  $p^n$  faithful irreducible representation of  $P$ . It is known that, modulo nilradical, the  $\iota$ th powers of the  $\kappa_{n,r}$ 's belong to  $\mathcal{T} = \text{Im}(H^*(P/Z, \mathbf{F}_p)/\sqrt{0} \xrightarrow{\text{Inf}} H^*(P, \mathbf{F}_p)/\sqrt{0})$ , with  $\iota = 1$  if  $p = 2$ ,  $\iota = p$  if  $p > 2$ . We obtain formulae in  $H^*(P, \mathbf{F}_p)/\sqrt{0}$  relating the  $\kappa_{n,r}^\iota$  terms to the ones of fewer variables. For  $p > 2$  and for a given sequence  $r_0, \dots, r_{n-1}$  of non-negative integers, we also prove that, modulo-nilradical, the element  $\prod_{r_i} \kappa_{n,i}^{r_i}$  belongs to  $\mathcal{T}$  if and only if either  $r_0 \geq 2$ , or all the  $r_i$  are multiple of  $p$ . This gives the determination of the subring of invariants of the symplectic group  $Sp_{2n}(\mathbf{F}_p)$  in  $\mathcal{T}$ .

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### 1 Introduction

Let  $p$  be a prime number. For a given group  $P$ , denote by  $H^*(P)$  the mod- $p$  cohomology algebra of  $P$ . We are interested in the case where  $P = P_n$ , the extraspecial group  $p$ -group of order  $p^{2n+1}$ , of  $p$ -rank  $n + 1$ , and of exponent  $p$  if  $p > 2$ . It is known (see the work of Green–Leary [4] or Quillen[12]) that, for  $p > 2$  (resp.  $p = 2$ ), there are exactly  $n$  Chern (resp. Stiefel–Whitney) classes  $\kappa_{n,r}$  of degree  $2(p^n - p^r)$  (resp.  $2^n - 2^r$ ),  $0 \leq r \leq n - 1$ , of a degree  $p^n$  faithful irreducible representation of  $P$ ; these classes restrict to maximal elementary abelian subgroups of  $P$  as Dickson invariants.

Set  $E = E_n = P/Z$ , with  $Z$  the center  $P$ ,  $E$  is then a vector space of dimension  $2n$  over  $\mathbf{F}_p$ . Set  $h^*(P) = H^*(P)/\sqrt{0}$  (so  $h^*(P) = H^*(P)$  for  $p = 2$ , by [12] and denote by  $\mathcal{T} = \mathcal{T}_n$  the subring of  $h^*(P)$  equal to the image of the inflation  $\text{Inf}_P^E$ , modulo nilradical. For  $p = 2$ , it follows from [12] that all the  $\kappa_{n,r}$  terms belong to  $\mathcal{T}$ . For  $p > 2$ , this fact does not hold, as shown by Green and Minh [3; 5]; however, in [5], it is also proved that all  $p$ th powers of the  $\kappa_{n,r}$  terms, are in turn, belonging to  $\mathcal{T}$ .

For convenience, set  $\iota = 1$  for  $p = 2$ , and  $\iota = p$  for  $p > 2$ . It follows that, for  $0 \leq r \leq n-1$ , there exists  $f_{n,r} \in H^*(E)$  such that  $\text{Inf}(f_{n,r}) \doteq \kappa_{n,r}^\iota$ . Here and in what follows  $a \doteq b$  means  $a = b$  modulo  $\sqrt{0}$ . Via the inflation map, as elements of  $h^*(P)$ ,  $f_{n,r}$  can be identified with  $\kappa_{n,r}^\iota$ ,  $0 \leq r \leq n-1$ . The first aim of this paper is to get an alternating formula expressing  $f_{n,r}$  by means of  $f_{n-1,r-1}$  and  $f_{n-1,r}$ . This work is motivated from the elegant formula, for  $p > 2$  (resp.  $p = 2$ ), expressing Chern (resp. Stiefel–Whitney) classes of the regular representation  $r_A$  of the elementary abelian  $p$ -group  $A$  via such classes of fewer variables. It is known that, if  $A$  is of rank  $m$  and  $p > 2$  (resp.  $p = 2$ ), then the  $2(p^m - p^r)$ th Chern (resp.  $(2^m - 2^r)$ th Stiefel–Whitney) class of  $r_A$  is the Dickson invariant  $Q_{m,r}$  of the same degree with variables in a basis  $x_1, \dots, x_m$  of  $\beta H^1(A)$  (resp.  $H^1(A)$ ), with the Bockstein homomorphism. These invariants are related by

$$Q_{m,r} = Q_{m-1,r} V_m^{p-1} + Q_{m-1,r-1}^p,$$

where

$$V_m = \prod_{\lambda_i \in \mathbf{F}_p} (\lambda_1 x_1 + \dots + \lambda_{m-1} x_{m-1} + x_m) = (-1)^{m-1} \sum_{s=0}^{m-1} (-1)^s Q_{m-1,s} x_m^{p^s},$$

is the Mũ invariant.

In so doing, we need to use the Evens norm and transfers from maximal subgroups of  $P$ . Some basic properties of the Evens norm, in the relation with modular invariants, are recalled in [Section 2](#). In [Section 3](#), we show how to obtain characteristic classes of  $P$  by means of the Evens norm ([Theorem 3.7](#)). [Theorem 3.8](#) describes the image of such classes via the Evens norm. From this, we obtain formulae relating characteristic classes with such classes of fewer variables ([Corollary 3.9](#)).

Let  $r_0, \dots, r_{n-1}$  be a sequence of non-negative integers. In [Section 4](#), we prove that, for  $p > 2$ , modulo nilradical, the product  $\prod_{i \geq 0} \kappa_{n,i}^{r_i}$  belongs to  $\mathcal{T}$  if and only if either  $r_0 \geq 2$ , or all the  $r_i$  are multiple of  $p$  [Theorem 4.1](#). This generalizes a result, given by Green and Leary [[3](#); [4](#)], proving that  $\kappa_{n,0}^s$  belongs to  $\mathcal{T}$  provided either  $s \geq 2^n$ , or  $s \geq 2$  and  $n \leq 2$ . As a consequence, we obtain in the last section the determination of the subring of invariants of the symplectic group in  $\mathcal{T}$  [Theorem 5.1](#).

For convenience, given a subgroup  $K$  of a group  $G$ , any element of  $H^*(G)$  is also considered as an element of  $H^*(K)$  via the restriction map  $\text{Res}_K^G$ . Also, if  $K$  is normal in  $G$ , then any element of  $H^*(G/K)$  can be considered as an element of  $H^*(G)$  via the inflation map  $\text{Inf}_K^{G/K}$ .

## 2 Evens norm and Mùì invariants

Given a polynomial algebra  $F = \mathbf{F}_p[t_1, \dots, t_k]$  and  $1 \leq m \leq k - 1$ , define the Mùì invariant [10]

$$(1) \quad V_{m+1} = V_{m+1}(t_1, \dots, t_{m+1}) = \prod_{\lambda_i \in \mathbf{F}_p} (\lambda_1 t_1 + \dots + \lambda_m t_m + t_{m+1}).$$

It follows from the work of L E Dickson [1] that

$$V_{m+1} = (-1)^m \sum_{s=0}^m (-1)^s Q_{m,s} t_{m+1}^s$$

with  $Q_{m,s} = Q_{m,s}(t_1, \dots, t_m)$  the Dickson invariants defined inductively as follows (we shall omit the variables, if no confusion can arise).

$$\begin{aligned} Q_{m,m} &= 1 \\ Q_{m,0} &= \prod_{\substack{\lambda_j \in \mathbf{F}_p \\ \lambda_j \text{ not all equal } 0}} (\lambda_1 t_1 + \dots + \lambda_m t_m) \\ Q_{m,s} &= Q_{m-1,s} V_m^{p-1} + Q_{m-1,s-1}^p. \end{aligned}$$

By (1) the  $Q_{m,s}$  are independent of the choice of generators  $t_1, \dots, t_m$  of  $\mathbf{F}_p[t_1, \dots, t_m]$ . Hence, if  $(t_1, \dots, t_m)$  is a basis of  $H^1(W)$  (resp.  $\beta H^1(W)$ ) with  $W$  an elementary abelian 2-group (resp.  $p$ -group with  $p > 2$ ) of rank  $m$ , we may write

$$\begin{aligned} Q_{m,s}(t_1, \dots, t_m) &= Q_s(W) \\ V_{m+1}(t_1, \dots, t_k, X) &= V(W, X). \end{aligned}$$

The Mùì invariants can be obtained by means of Evens norm map  $\mathcal{N}_{U \rightarrow W}$  with  $U$  a subgroup of  $W$  (see Corollary 2.2 below). Let us recall that, for every maximal subgroup  $K$  of a  $p$ -group  $G$ , and for  $\xi \in H^r(K)$ , we may define the Evens norm map

$$\mathcal{N}_{K \rightarrow G}(\xi) \in H^{pr}(G).$$

Here are some properties of  $\mathcal{N}_{K \rightarrow G}$ . For details of the proof, the reader can refer to the work of Evens [2], Minh [9] or Mùì [10].

**Proposition 2.1** *Let  $G, G'$  be  $p$ -groups and let  $K$  be a subgroup of  $G$ .*

- (i) *If  $N$  is a subgroup of  $K$ , then*

$$\mathcal{N}_{N \rightarrow G} = \mathcal{N}_{K \rightarrow G} \circ \mathcal{N}_{N \rightarrow K}.$$

(ii) If  $H$  is a subgroup of  $G$  and  $G = \coprod_{x \in D} KxH$ , then, for  $\xi \in H^r(G)$ ,

$$\text{Res}_H^G \mathcal{N}_{K \rightarrow G}(\xi) = \prod_{x \in D} \mathcal{N}_{H \cap xK \rightarrow H} \text{Res}_{H \cap xK}^{xK}({}^x\xi).$$

(iii) If  $K$  is a subgroup of  $G'$  and  $f: G' \rightarrow G$  is a homomorphism such that  $f(K') \subset K$  and  $f$  induces a bijection  $G'/K' \cong G/K$  of coset spaces, then, for  $\xi \in H^r(K)$ ,

$$\mathcal{N}_{K' \rightarrow G'}(f|_{K'})^*(\xi) = f^*(\mathcal{N}_{K \rightarrow G}(\xi)).$$

In particular, if  $N$  is a normal subgroup of  $G$  and  $N \subset K$ , then, for  $\xi \in H^r(K/N)$ ,

$$\mathcal{N}_{K \rightarrow G} \text{Inf}_K^{K/N}(\xi) = \text{Inf}_G^{G/N} \mathcal{N}_{K/N \rightarrow G/N}(\xi).$$

(iv) If  $\xi, \xi' \in H^n(K)$ , then

$$\mathcal{N}_{K \rightarrow G}(\xi + \xi') = \mathcal{N}_{K \rightarrow G}(\xi) + \mathcal{N}_{K \rightarrow G}(\xi')$$

modulo a sum of transfers from proper subgroups of  $G$  containing the intersection of the conjugates of  $K$ . Hence, the norm map is in general non-additive, although  $\mathcal{N}_{K \rightarrow G} \circ \text{Res}_K^G$  is.

(v) If  $\xi \in H^r(K)$ ,  $\xi' \in H^s(K)$ , and  $[G : K] = n$ , then

$$\mathcal{N}_{K \rightarrow G}(\xi \cdot \xi') = (-1)^{\frac{n(n-1)}{2}rs} \mathcal{N}_{K \rightarrow G}(\xi) \mathcal{N}_{K \rightarrow G}(\xi').$$

(vi) Assume that  $G = K \times E$ , with  $E = (\mathbf{F}_p)^m$ . Consider  $E$  as the group of all translations on a vector space  $S$  of dimension  $m$  over  $\mathbf{F}_p$  and let  $W(m)$  be an  $E$ -free acyclic complex with augmentation  $\epsilon: W(m) \rightarrow \mathbf{F}_p$ . Let  $C$  be a cochain complex of which the cohomology is  $H^*(K)$  and set  $C^S = \otimes_{c \in S} C_c$ ,  $C_c = C$ . Then

$$\mathcal{N}_{K \rightarrow K \times E} = d_m^* P_m,$$

where  $P_m: H^r(C) \rightarrow H_E^{p^{m}r}(W(m) \otimes C^S)$  is the Steenrod power map, and  $d_m^*: H_E^{p^{m}r}(W(m) \otimes C^S) \rightarrow H^*(E) \otimes H^*(C)$  is induced by the diagonal  $C \rightarrow C^S$  and the Künneth formula.

In the rest of this section, suppose that  $W$  is an elementary abelian  $p$ -group of rank  $n + 1$  and  $U$  a subgroup of  $W$  of index  $p^m$ .

By Proposition 2.1(vi),  $\mathcal{N}_{U \rightarrow W} = d_m^* P_m$ . The first part of the following corollary is then originally due to Mui [10] and reproved by Okuyama and Sasaki [11]; the second one was given by Hưng and Minh [6, Proof of Theorem B].

**Corollary 2.2** For  $p = 2$  (resp.  $p > 2$ ) and for every  $x \in H^1(W)$  (resp.  $x \in \beta H^1(W)$ ),

- (i)  $\mathcal{N}_{U \rightarrow W}(\text{Res}_U^W(x)) = V(W/U, x)$
- (ii) with  $T$  the maximal subgroup of  $W$  satisfying  $\text{Res}_T^W(x) = 0$  then

$$(-1)^r \mathcal{N}_{T \rightarrow W}(Q_r(T)) = \sum_{i=r}^n (-1)^i Q_i^p(T) x^{p^{i+1} - p^{r+1}} - \left[ \sum_{i=r+1}^n (-1)^i Q_i(T) x^{p^i - p^{r+1}} \right] \left[ \sum_{i=0}^n (-1)^i Q_i(T) x^{p^i} \right]^{p-1}.$$

In the following corollary,  $G$  is supposed to be a  $p$ -group given by a central extension and

$$\{0\} \longrightarrow \mathbb{Z}/p \longrightarrow G \xrightarrow{j} W \longrightarrow \{0\}$$

and  $K = j^{-1}(U)$ . Set

$$H^{ev}(U) = \begin{cases} H^*(U) & p = 2, \\ \sum_{n \geq 0} H^{2n}(U) & p > 2. \end{cases}$$

The following is straightforward from [Proposition 2.1](#).

**Corollary 2.3** The composition map

$$H^{ev}(U) \xrightarrow{\text{Inf}_K^U} H^*(K) \xrightarrow{\mathcal{N}_{K \rightarrow G}} H^*(G)$$

is a ring homomorphism.

In [\[9\]](#) we proved the following proposition.

**Proposition 2.4** Let  $\xi \in H^q(G)$ . Set  $\mu(q) = (-1)^{hq} h!$  with  $h = (p-1)/2$  for  $p > 2$ . If  $K = \ker(u)$  with  $u \in H^1(G)$ ,  $u \neq 0$ , then, by setting  $v = \beta(u)$ , we have

$$\mathcal{N}_{K \rightarrow G}(\text{Res}_K^G(\xi)) = \begin{cases} \sum_i S q^i(\xi) u^{q-i} & p=2 \\ \mu(q) \sum_{\substack{\epsilon=0,1 \\ 0 \leq 2i \leq q-\epsilon}} (-1)^{\epsilon+i} \beta^\epsilon \mathcal{P}^i(\xi) v^{(q-2i)h-\epsilon} u^\epsilon & p>2. \end{cases}$$

where  $S q^i$  (resp.  $\mathcal{P}^i$ ) denotes the Steenrod operation for  $p = 2$  (resp.  $p > 2$ ).

### 3 Characteristic classes for extraspecial $p$ -groups

Let  $E = E_n$ ,  $n \geq 1$ , be the elementary abelian  $p$ -group of rank  $2n$ . Let  $x_1, \dots, x_{2n}$  be a basis of  $H^1(E) = \text{Hom}(E, \mathbf{F}_p)$  and define

$$y_i = \begin{cases} x_i & p = 2 \\ \beta(x_i) & p > 2. \end{cases}$$

$1 \leq i \leq 2n$ , with  $\beta$  the Bockstein homomorphism. We have

$$H^*(E) = \begin{cases} \mathbf{F}_p[y_1, \dots, y_{2n}] & p = 2 \\ \Lambda[x_1, \dots, x_{2n}] \otimes \mathbf{F}_p[y_1, \dots, y_{2n}] & p > 2, \end{cases}$$

with  $\Lambda[s, t, \dots]$  (resp.  $\mathbf{F}_p[s, t, \dots]$ ) the exterior (resp. polynomial) algebra with generators  $s, t, \dots$  over  $\mathbf{F}_p$ . Let  $P = P_n$  be the extraspecial  $p$ -group given by the central extension

$$\{1\} \longrightarrow Z/p \xrightarrow{i} P_n \longrightarrow E \longrightarrow \{1\}$$

classified by the cohomology class  $x_1x_2 + \dots + x_{2n-1}x_{2n} \in H^2(E)$ . The following notation will be used. Set  $Z = i(\mathbb{Z}/p)$ , the center of  $P$ . For every elementary subgroup  $A$  of  $P$  containing  $Z$ , write  $A/Z = Z'$ , so  $A = A' \times Z$ , and  $A'$  is of rank  $n$  if  $A$  maximal elementary abelian in  $P$ . Fix a generator  $\gamma$  of  $H^1(Z)$  (resp.  $\beta H^1(Z)$ ) for  $p = 2$  (resp.  $p > 2$ ). This element, and also every element of  $H^*(A')$ , are then considered as elements of  $H^*(A)$  via the inflation maps.

Denote by  $\mathcal{A}$  the set of maximal elementary abelian subgroups of  $P$ . Set  $h^*(P) = H^*(P)/\sqrt{0}$ . By the work of Quillen [12], the map induced by the restrictions

$$h^*(P) \xrightarrow{\text{Res}} \prod_{A \in \mathcal{A}} h^*(A)$$

is injective. Therefore the maps

$$h^*(E) \xrightarrow{\text{Inf}_P^E} h^*(P) \quad \text{and} \quad h^*(E) \xrightarrow{\text{Res}} \prod_{A \in \mathcal{A}} h^*(A')$$

have the same kernel. Let  $\mathcal{T} = \mathcal{T}_n$  be the subring of  $h^*(P)$  equal to the image of the inflation  $\text{Inf}_P^E$ . For elements  $\xi, \eta$  of  $h^*(E)$ , it follows that  $\text{Inf}_P^E(\xi) = \text{Inf}_P^E(\eta)$  if and only if  $\text{Res}_{A'}^E(\xi) = \text{Res}_{A'}^E(\eta)$ , for every  $A \in \mathcal{A}$ .

We are now interested in Chern (resp. Stiefel–Whitney) classes, for  $p > 2$  (resp.  $p = 2$ ), of a degree  $p^n$  faithful irreducible representation of  $P$ . Fix a nontrivial linear

character  $\chi$  of  $Z$ . We have then an irreducible character  $\hat{\chi}$  of  $P$  given by

$$\hat{\chi}(g) = \begin{cases} p^n \chi(g) & g \in Z \\ 0 & \text{otherwise.} \end{cases}$$

Let  $\rho$  be a representation affording the character  $\hat{\chi}$  and set

$$\zeta = \zeta_n = \begin{cases} c_{p^n}(\rho) & p > 2 \\ w_{2^n}(\rho) & p = 2, \end{cases}$$

$$\kappa_{n,r} = \begin{cases} (-1)^{n-r} c_{p^{n-p^r}}(\rho) & p > 2 \\ w_{2^{n-2^r}}(\rho) & p = 2, \end{cases}$$

$0 \leq r \leq n$ . We have the following theorem.

**Theorem 3.1** (Green–Leary [4], Quillen [12])

(i) In  $h^*(P)$ ,

$$1 - \kappa_{n,n-1} + \cdots + (-1)^n \kappa_{n,0} + \zeta_n = \begin{cases} c(\rho) & p > 2 \\ w(\rho) & p = 2, \end{cases}$$

the subring of  $h^*(P)$  generated by non-nilpotent Chern classes is generated by

$$\kappa_{n,0}, \dots, \kappa_{n,n-1}, y_1, \dots, y_{2n}, \zeta_n.$$

(ii) For every  $0 \leq i \leq n - 1$  and for every  $A \in \mathcal{A}$

$$\text{Res}_A^P(\zeta) = V(A', \gamma)$$

$$\text{Res}_A^P(\kappa_{n,i}) = Q_i(A').$$

In the article [5] by Green and Minh, Chern classes of  $P$  are also obtained by means of the inflation  $\text{Inf}_P^E$  and transfer maps  $\text{tr}_P^K$  with  $K$  maximal in  $P$ . Similar results for the case  $p = 2$  can also be obtained by using the same argument. The result can be stated as follows. Let  $x$  be a non-zero element of  $H^1(P)$  and set  $H_x = \ker(x)$ . Pick a rank one subgroup  $U \neq Z$  of the center of  $H_x$ . So  $H_x = P_{n-1} \times U$ . By the Künneth formula, we can consider any element of  $H^*(P_{n-1})$  (and of  $H^*(U)$ ) as an element of  $H^*(H_x)$ . For  $0 \leq r \leq n - 1$ , set

$$\chi_{r,x} = \begin{cases} \text{tr}_P^{H_x}(\kappa_{n-1,r} \zeta_{n-1}^{p-1}) & n \geq 2 \\ \text{tr}_P^{H_x}(\zeta_{n-1}^{p-1}) & n = 1. \end{cases}$$

For  $r \geq 0$ , define

$$z_n^{(r)} = \begin{cases} \sum_{i=1}^{i=n} y_{2i} y_{2i-1} & p = 2, r = 0 \\ \sum_{i=1}^{i=n} (y_{2i-1}^{l p^r} y_{2i} - y_{2i-1} y_{2i}^{l p^r}) & \text{otherwise,} \end{cases}$$

with  $l = 1$  for  $p = 2$ , and  $l = p$  for  $p > 2$ . Let  $A_0$  be an element of  $\mathcal{A}$ . We have the following theorem.

**Theorem 3.2** (Green–Minh [5])

- (i) In  $H^*(P)$  for  $0 \leq r \leq n - 1$ ,

$$\kappa_{n,r} = Q_r(P/A_0) - \sum_{x \in \mathbb{P}H^1(P/A_0)} \chi_{r,x}.$$

- (ii) There exist  $f_{n,0}, \dots, f_{n,n-1} \in H^*(E)$ , viewed as elements of  $H^*(P)$  via the inflation map, such that

$$z_n^{(n)} + \sum_{i=0}^{n-1} (-1)^{n-i} z_n^{(i)} f_{n,i} = 0,$$

and, for every  $A \in \mathcal{A}$ ,  $\text{Res}_A^P(\kappa_{n,r}^l) = \text{Res}_A^P(f_{n,r})$ ,  $0 \leq r \leq n - 1$ .

- (iii) There exist  $h_i$ ,  $0 \leq i \leq n - 1$ , and a unique  $\eta$  of  $H^*(E)$  such that

$$z_n^{(n-1)} = y_{2n} \eta + \sum_{i=0}^{n-2} h_i z_n^{(i)},$$

and in  $h^*(P)$ ,  $\chi_{n-1, x_{2n}}^l = -\text{Inf}(\eta^{p-1})$ . Furthermore, for all  $0 \leq r \leq n - 1$  and all  $\phi \in \mathbb{P}H^1(E)$ ,  $\chi_{r,\phi}^l \in \text{Im}(\text{Inf}_P^E)$ , as elements of  $h^*(P)$ .

By Quillen [12] it is known that, for  $p = 2$ , all the  $\kappa_{n,r}$  and  $\chi_{r,\phi}$  belong to  $\mathcal{T}$ . For  $p > 2$ , it follows that the above theorem that all  $p^{\text{th}}$ -powers of the  $\kappa_{n,r}$  and  $\chi_{r,\phi}$  belong to  $\mathcal{T}$ . In fact, by setting

$$\varphi = \begin{cases} y_{2n-1}^p - y_{2n-1} y_{2n}^{p-1} & p > 2 \\ y_{2n-1} & p = 2, \end{cases}$$

we have the following corollary.

**Corollary 3.3** In  $h^*(P)$ ,

- (i)  $\kappa_{n,r}^l = f_{n,r}$ ,  $0 \leq r \leq n - 1$



(ii)

$$\eta = (-1)^{n-1} \left[ \varphi f_{n-1,0} + \sum_{i=1}^{n-1} (-1)^i \left( y_{2n-1}^{i p^i} - y_{2n-1} y_{2n}^{i p^i - 1} \right) f_{n-1,i} \right]$$

(iii) with  $K = \ker(x_{2n})$ ,

$$\eta = \begin{cases} \operatorname{tr}_P^K(\zeta_{n-1}) & p = 2 \\ (-1)^{n-1} \operatorname{tr}_P^K \left[ \zeta_{n-1}^{p-1} \sum_{i=0}^{n-1} (-1)^i y_{2n-1}^{p^i} \kappa_{n-1,i} \right] & p > 2; \end{cases}$$

(iv) for  $0 \leq r \leq n-1$ ,

$$\chi'_{r,x_{2n}} = -f_{n-1,r} \left[ \varphi f_{n-1,0} + \sum_{i=1}^{n-1} (-1)^i \left( y_{2n-1}^{i p^i} - y_{2n-1} y_{2n}^{i p^i - 1} \right) f_{n-1,i} \right]^{p-1}.$$

**Proof** Part (i) follows from [Theorem 3.2](#) (ii), by noting that the restriction map from  $h^*(P)$  to  $\prod_{A \in \mathcal{A}} H^* A$  is injective.

We have, by [Theorem 3.2](#),

$$\begin{aligned} z_n^{(n-1)} &= z_{n-1}^{(n-1)} + \left( y_{2n-1}^{i p^{n-1}} y_{2n} - y_{2n-1} y_{2n}^{i p^{n-1}} \right) \\ &= \left( y_{2n-1}^{i p^{n-1}} y_{2n} - y_{2n-1} y_{2n}^{i p^{n-1}} \right) + (-1)^n \sum_{i=0}^{n-2} (-1)^i z_{n-1}^{(i)} f_{n-1,i} \\ &= \left( y_{2n-1}^{i p^{n-1}} y_{2n} - y_{2n-1} y_{2n}^{i p^{n-1}} \right) \\ &\quad + (-1)^n \left[ \sum_{i=0}^{n-2} (-1)^i z_n^{(i)} f_{n-1,i} - y_{2n} \varphi f_{n-1,0} \right. \\ &\quad \left. - \sum_{i=1}^{n-2} (-1)^i \left( y_{2n-1}^{i p^i} y_{2n} - y_{2n-1} y_{2n}^{i p^i} \right) f_{n-1,i} \right] \\ &= (-1)^n \left[ \sum_{i=0}^{n-2} (-1)^i z_n^{(i)} f_{n-1,i} - y_{2n} X \right], \end{aligned}$$

with

$$X = \varphi f_{n-1,0} + \sum_{i=1}^{n-1} (-1)^i \left( y_{2n-1}^{i p^i} - y_{2n-1} y_{2n}^{i p^i - 1} \right) f_{n-1,i}.$$

So  $\eta = (-1)^{n+1} X$ ; (ii) and (iv) are proved.

Pick an element  $A \in \mathcal{A}$ . By [5, Lemma 7.1] and its proof, we have

$$\text{Res}_A^P \eta = \begin{cases} -V(B', y_{2n-1})^t & A \subseteq K \\ 0 & \text{otherwise.} \end{cases}$$

Set

$$Y = \begin{cases} \text{tr}_P^K(\zeta_{n-1}) & p = 2 \\ (-1)^{n-1} \text{tr}_P^K[\zeta_{n-1}^{p-1} \sum_{i=0}^{n-1} y_{2n-1}^{p^i} \kappa_{n-1,i}] & p > 2. \end{cases}$$

If  $A \not\subseteq K$ , then  $\text{Res}_A^P(Y) = 0$ , by the Mackey formula. Suppose  $A \subseteq K$ . Set  $B = A \cap P_{n-1}$ . For  $p > 2$ , we have

$$\begin{aligned} \text{Res}_A^P(Y) &= (-1)^{n-1} \sum_{g \in P/K} g \sum_{i=0}^{n-1} \text{Res}_A^K((-1)^i y_{2n-1}^{p^i} \kappa_{n-1,i} \zeta_{n-1}^{p-1}) \\ &= (-1)^{n-1} \sum_{g \in P/K} g \sum_{i=0}^{n-1} (-1)^i y_{2n-1}^{p^i} Q_i(B') \zeta_{n-1}^{p-1} \\ &= (-1)^{n-1} \sum_{i=0}^{n-1} (-1)^i y_{2n-1}^{p^i} Q_i(B') \sum_{g \in P/K} g \zeta_{n-1}^{p-1} \end{aligned}$$

since the  $y_{2n-1}$  and the  $Q_i(B')$  are invariant under the action of  $P/K$ . Thus

$$\text{Res}_A^P(Y) = \begin{cases} \text{Res}_A^P(\text{tr}_P^K(\zeta_{n-1})) & p = 2 \\ V(B', y_{2n-1}) \text{Res}_K^P(\text{tr}_P^K(\zeta_{n-1}^{p-1})) & p > 2. \end{cases}$$

Following [5, Proposition 4.4] we have

$$\text{Res}_A^P(\text{tr}_P^K(\zeta_{n-1}^{p-1})) = -V(B', y_{2n-1})^{p-1}.$$

So  $\text{Res}_A^P(Y) = -V(B', y_{2n-1})^t$ .

Since  $\eta - Y$  restricts trivially to every element of  $\mathcal{A}$ , it follows that  $\eta \doteq Y$ . □

**Proposition 3.4** For  $0 \leq r \leq n - 1$ ,

$$\kappa_{n,r} \doteq - \sum_{x \in \mathbb{P}H^1(P)} \chi_{r,x}.$$

**Proof** Let  $A$  be an element of  $\mathcal{A}$ . There exist exactly  $\frac{p^n-1}{p-1}$  elements of  $\mathbb{P}H^1(P)$  of which the kernel contains  $A$ . The subset of those elements is nothing but  $\mathbb{P}H^1(P/A)$ .

Let  $x$  be an element of  $\mathbb{P}H^1(P)$ . It is clear that  $\text{Res}_A^P(\chi_{r,x}) = 0$  if  $x \notin \mathbb{P}H^1(P/A)$ .

Hence

$$\text{Res}_A^P\left(\sum_{x \in \mathbb{P}H^1(P)} \chi_{r,x}\right) = \text{Res}_A^P\left(\sum_{x \in \mathbb{P}H^1(P/A)} \chi_{r,x}\right).$$

Therefore, by [5, Theorem 5.2]

$$\begin{aligned} \text{Res}_A^P\left(\sum_{x \in \mathbb{P}H^1(P)} \chi_{r,x}\right) &= \text{Res}_A^P\left(Q_r(P/A) - \kappa_{n,r}\right) \\ &= -Q_r(A'), \end{aligned}$$

since  $\text{Res}_A^P(Q_r(P/A)) = 0$  and  $\text{Res}_A^P(\kappa_{n,r}) = Q_r(A')$ . The proposition follows.  $\square$

We are now going to obtain characteristic classes of  $P$  by using the Evens norm map. We first need the following lemma.

**Lemma 3.5** Fix a generator  $e$  of  $Z$ . Let  $H = A \cap B$  with  $A, B \in \mathcal{A}$  and let  $(h_1, \dots, h_k, e)$  be a basis of  $H$ . Then there exist elements  $g_1, \dots, g_k$  of  $P$  satisfying

- (i)  $[g, g_i] = 1, [g_i, h_j] = \begin{cases} 1 & i \neq j \\ e & i = j \end{cases}$  and  $1 \leq i, j \leq k$ .
- (ii)  $P = \coprod_{g \in G} AgB$  is a double coset decomposition of  $P$  with  $G = \langle g_1, \dots, g_k \rangle$ .

**Proof** The existence of the  $g_j$  satisfying (i) follows from [8]. Assume that  $agb = a'g'b'$  with  $a, a' \in A, b, b' \in B, g, g' \in G$ . It follows that  $[g, h_i] = [g', h_i]$  and  $1 \leq i \leq k$ , hence  $g = g'$ . As  $|G| = p^k$ , (ii) is obtained.  $\square$

The following notation will be used. Let  $C$  be the cyclic group of order  $p$  and fix a generator  $u$  of  $H^1(C)$  (resp.  $H^2(C)$ ) for  $p = 2$  (resp.  $p > 2$ ). Set  $\Gamma = P \times C$ . If  $H$  is a subgroup of  $P$ , every element of  $H^*(H)$  (resp.  $H^*(C)$ ) can be considered as an element of  $H^*(H \times C)$ . We have the following lemma.

**Lemma 3.6** Let  $A, B$  be elements of  $\mathcal{A}$  and let  $v \in H^1(A \times C)$  (resp.  $H^2(A \times C)$ ) for  $p = 2$  (resp.  $p > 2$ ). Assume that  $\text{Res}_{Z \times C}^{A \times C}(v) = \mu\gamma + \lambda u$  with  $\mu, \lambda \in \mathbf{F}_p$ , then

$$\text{Res}_{B \times C}^\Gamma \mathcal{N}_{A \times C \rightarrow \Gamma}(v) = \mu V(B', \gamma) + \lambda V(B', u).$$

**Proof** Let  $P = \cup_{g \in G} AgB$  be the double coset decomposition of  $P$  given in Lemma 3.5. Set  $H = B \cap A$ . We have

$$\begin{aligned}
\text{Res}_{B \times C}^{\Gamma} \mathcal{N}_{A \times C \rightarrow \Gamma}(v) &= \prod_{g \in G} \mathcal{N}_{(B \cap A^g) \times C \rightarrow B \times C} \text{Res}_{(B \cap A^g) \times C}^{A^g \times C}({}^g v) \\
&= \prod_{g \in G} \mathcal{N}_{H \times C \rightarrow B \times C} \circ \text{Res}_{H \times C}^{A \times C}({}^g v) && (A \text{ is normal}) \\
&= \mathcal{N}_{H \times C \rightarrow B \times C} \left( \prod_{g \in G} \text{Res}_{H \times C}^{A \times C}({}^g v) \right) && (\text{Corollary 2.3}) \\
&= \mathcal{N}_{H \times C \rightarrow B \times C} \left( \prod_{g \in G} (\mu^g \gamma + \lambda u) \right) \\
&= \mathcal{N}_{H \times C \rightarrow B \times C} (V(H', \mu \gamma + \lambda u)) && (\text{Lemma 3.5}) \\
&= \mathcal{N}_{Z \times C \rightarrow B \times C} (\mu \chi + \lambda u) && (\text{Corollary 2.2}) \\
&= \mu V(B', \gamma) + \lambda V(B', u) && (\text{Corollary 2.3})
\end{aligned}$$

as required.  $\square$

The following shows that characteristic classes of  $P$  can be obtained by means of the Evens norm map.

**Theorem 3.7** *Let  $A$  be an element of  $\mathcal{A}$  and let  $v$  be an element of  $H^1(A)$  (resp.  $\beta H^1(A)$ ) for  $p = 2$  (resp.  $p > 2$ ) satisfying  $\text{Res}_Z^A(v) = \gamma$ . Set*

$$\zeta_{A,v} = \mathcal{N}_{A \times C \rightarrow \Gamma}(v + u) - \mathcal{N}_{A \times C \rightarrow \Gamma}(v).$$

As elements of  $h^*(P)$  then

$$\zeta_{A,v} = (-1)^n \sum_{s=0}^n (-1)^s \kappa_{n,s} u^{p^s}.$$

**Proof** For every  $B \in \mathcal{A}$ , by Lemma 3.6 we have

$$\begin{aligned}
\text{Res}_{B \times Z}^{\Gamma}(\zeta_{A,v}) &= \text{Res}_{B \times Z}^{\Gamma} \mathcal{N}_{A \times Z \rightarrow \Gamma}(v + u) - \text{Res}_{B \times Z}^{\Gamma} \mathcal{N}_{A \times Z \rightarrow \Gamma}(v) \\
&= V(B', \gamma + u) - V(B', \gamma) \\
&= V(B', u),
\end{aligned}$$

since  $V(B', X)$ , as a function on  $X$ , is additive. By Theorem 3.1 (ii),

$$\text{Res}_{B \times Z}^{\Gamma}(\zeta_{A,v}) = \text{Res}_{B \times Z}^{\Gamma} [(-1)^n \sum_{s=0}^n (-1)^s \kappa_{n,s} u^{p^s}].$$

So  $\zeta_{A,v} \doteq (-1)^n \sum_{s=0}^n (-1)^s \kappa_{n,s} u^{p^s}$ .  $\square$

**Remark** Write  $\mathcal{N}_{A \times C \rightarrow \Gamma} = \mathcal{N}$ . It follows from the above theorem and from [Corollary 2.2](#) that

$$\mathcal{N}(v + u) - \mathcal{N}(v) - \mathcal{N}(u) \doteq (-1)^n \sum_{s=0}^n (-1)^s [\kappa_{n,s} - Q_s(P/A)] u^{p^s}.$$

According to [Proposition 2.1](#)(iv), the  $\kappa_{n,s}$  can be expressed as sums of transfers from maximal subgroups of  $P \times C$ . Such formulae are the ones given in [Theorem 3.2](#).

Let  $a_1, a_2, \dots, a_{2n-1}, a_{2n}$  be elements of  $P$  satisfying  $x_i(a_j) = \delta_{ij}$  with  $\delta_{ij}$  the Kronecker symbol,  $1 \leq i, j \leq 2n$ . Suppose that  $K$  is a maximal subgroup of  $P$  given by  $K = \ker(x_{2n})$ . So  $K \cong P_{n-1} \times \langle a_{2n-1} \rangle \cong P_{n-1} \times \mathbb{Z}/p$ . Write  $y_{2n} = y$ ,  $\mathcal{N}_{K \times C \rightarrow \Gamma} = \mathcal{N}$ , and, for  $0 \leq r \leq n-1$ ,  $\chi_{r, x_{2n}} = \chi_r$ . Define

$$\theta_{n-1,r} = \text{Res}_K^P(\chi_r) \in H^*(K),$$

and

$$\theta_{n-1} = u^{p^n} + \sum_{r=0}^{n-1} \left[ (-1)^{n-r} u^{p^r} (-\theta_{n-1,r} + \kappa_{n-1,r-1}^p) \right] \in H^*(K \times C)$$

with the convention that  $\kappa_{n-1,-1} = 0$ .

**Theorem 3.8** As elements of  $h^*(P)$ ,

$$\begin{aligned} (-1)^n \mathcal{N}(\theta_{n-1}) &= \sum_{s=0}^n (-1)^s \kappa_{n,s}^p u^{p^{s+1}} \\ (-1)^r \mathcal{N}(\kappa_{n-1,r}^t) &= \sum_{i=r}^{n-1} (-1)^i \kappa_{n-1,i}^{tp} y^{t(p^{i+1}-p^{r+1})} \\ &\quad - \left( \sum_{i=r+1}^{n-1} (-1)^i \kappa_{n-1,i}^t y^{t(p^i-p^{r+1})} \right) \left[ \sum_{i=0}^{n-1} (-1)^i \kappa_{n-1,i}^t y^{tp^i} \right]^{p-1}, \end{aligned}$$

for  $0 \leq r \leq n-2$ .

**Proof** For convenience, write  $\kappa_{n-1,r} = \kappa_r$  for  $0 \leq r \leq n-1$ . Let  $A$  be an element of  $\mathcal{A}$  and set  $X = \mathcal{N}(\theta_{n-1})$  and  $Y_r = \mathcal{N}(\kappa_{n-1,r}^t)$ . Let

$$Z_r = \sum_{i=r}^{n-1} (-1)^i \kappa_i^{tp} y^{t(p^{i+1}-p^{r+1})} - \left( \sum_{i=r+1}^{n-1} (-1)^i \kappa_i^t y^{t(p^i-p^{r+1})} \right) \left[ \sum_{i=0}^{n-1} (-1)^i \kappa_i^t y^{tp^i} \right]^{p-1}$$

for  $0 \leq r \leq n-2$ . Consider the following cases:

**Case 1**  $A \subset K$  By setting  $B = A \cap P_{n-1}$ , we have  $A = B \times \langle a_{2n-1} \rangle$ . So

$$\text{Res}_{K \times C}^\Gamma(X) = \prod_{x \in \langle a_{2n} \rangle} x \theta_{n-1}.$$

As the  $\theta_{n-1}$  belong to  $\text{Im}(\text{Res}_K^P)$ , they are invariant under the action of  $a_{2n}$ . Hence

$$\begin{aligned} \text{Res}_{A \times C}^\Gamma(X) &= \prod_{x \in \langle a_{2n} \rangle} \text{Res}_{A \times C}^{K \times C}(x \theta_{n-1}) \\ &= \text{Res}_{A \times C}^{K \times C}(\theta_{n-1}^p) \\ &= u^{p^{n+1}} + \left[ \sum_{r=0}^{n-1} (-1)^{n-r} u^{p^r} \left( Q_r(B') V(B', y_{2n-1})^{p-1} + Q_{r-1}^p(B') \right) \right]^p \\ &= V(A', u)^p \\ &= \text{Res}_{A \times C}^\Gamma \left( (-1)^n \sum_{s=0}^n (-1)^s \kappa_{n,s}^p u^{p^{s+1}} \right). \end{aligned}$$

Also, for  $0 \leq r \leq n-2$ ,

$$\begin{aligned} \text{Res}_{A \times C}^\Gamma(Y_r) &= \prod_{x \in \langle a_{2n} \rangle} \text{Res}_{A \times C}^{K \times C}(x \kappa_{n-1,r}^t) \\ &= \left[ \text{Res}_{A \times C}^{K \times C}(\kappa_{n-1,r}^t) \right]^p = Q_r^t(B') = (-1)^r \text{Res}_{A \times C}^\Gamma(Z_r). \end{aligned}$$

**Case 2**  $A \not\subset K$  By setting  $H = K \cap A$ , we have

$$\begin{aligned} \text{Res}_{A \times C}^\Gamma(X) &= \mathcal{N}_{H \times C \rightarrow A \times C} \text{Res}_{H \times C}^{K \times C}(X) \\ &= \mathcal{N}_{H \times C \rightarrow A \times C}(V(H', u)^p) \\ &= V(A', u)^p \\ &= \text{Res}_{A \times C}^\Gamma \left( (-1)^n \sum_{s=0}^n (-1)^s \kappa_{n,s}^p u^{p^{s+1}} \right). \end{aligned}$$

and

$$\begin{aligned} \text{Res}_{A \times C}^\Gamma(Y_r) &= \mathcal{N}_{H \times C \rightarrow A \times C} \text{Res}_{H \times C}^{K \times C}(Y_r) \\ &= \mathcal{N}_{H \times C \rightarrow A \times C}(Q_r^t(H/Z)) \\ &= (-1)^r \text{Res}_{A \times C}^\Gamma(Z_r). \end{aligned}$$

This completes the proof. □

Formulae relating the  $\kappa_{n,r}^l$  to such classes of fewer variables are given by the following corollary.

**Corollary 3.9** For  $0 \leq r \leq n-1$ , as elements of  $h^*(P)$ ,

$$\begin{aligned} \kappa_{n,r}^l &= \kappa_{n-1,r-1}^{lp} + \kappa_{n-1,r}^l \left[ \sum_{i=0}^{n-1} (-1)^i \kappa_{n-1,i}^l y^{lp^i} \right]^{p-1} \\ &\quad + \left[ \kappa_{n-1,0}^l \varphi + \sum_{i=1}^{n-1} (-1)^i \kappa_{n-1,i}^l (y_{2n-1}^{lp^i} - y_{2n-1} y^{lp^i-1}) \right]^{p-1}. \end{aligned}$$

**Proof** By [Corollary 2.3](#) we have

$$\begin{aligned} \mathcal{N}(\theta_{n-1}) &= \mathcal{N}(u^{p^n}) + \sum_{r=0}^{n-1} \mathcal{N} \left[ (-1)^{n-r} u^{p^r} (-\theta_{n-1,r} + \kappa_{n-1,r-1}^p) \right] \\ &= V(y, u)^{p^n} + \sum_{r=0}^{n-1} (-1)^{n-r} V(y, u)^{p^r} \left[ \mathcal{N}(-\theta_{n-1,r}) + \mathcal{N}(\kappa_{n-1,r-1}^p) \right] \\ &= (u^p - uy^{p-1})^{p^n} \\ &\quad + \sum_{r=0}^{n-1} (-1)^{n-r} (u^p - uy^{p-1})^{p^r} \left[ \mathcal{N}(-\theta_{n-1,r}) + \mathcal{N}(\kappa_{n-1,r-1}^p) \right] \\ &= u^{p^{n+1}} + \sum_{r=0}^{n-1} (-1)^{n-r} u^{p^{r+1}} \left[ \mathcal{N}(-\theta_{n-1,r}) + \mathcal{N}(\kappa_{n-1,r-1}^p) \right. \\ &\quad \left. + y^{(p-1)p^{r+1}} \left( \mathcal{N}(-\theta_{n-1,r+1}) + \mathcal{N}(\kappa_{n-1,r}^p) \right) \right] \\ &\quad + (-1)^n u y^{p-1} \mathcal{N}(-\theta_{n-1,0}). \end{aligned}$$

By the Frobenius formula, the cup-product of  $\chi_r$  with each of  $x_{2n}$ ,  $y_{2n}$  vanishes. As the transfer commutes with Steenrod operations, we have, by [Proposition 2.4](#) and [Theorem 3.8](#),

$$\begin{aligned} u^{p^{n+1}} + \sum_{r=0}^{n-1} (-1)^{n-r} \kappa_{n,r}^p u^{p^{r+1}} &= \mathcal{N}(\theta_{n-1}) \\ &= u^{p^{n+1}} + \sum_{r=0}^{n-1} (-1)^{n-r} u^{p^{r+1}} \left[ -\chi_r^p + \mathcal{N}(\kappa_{n-1,r-1}^p) \right. \\ &\quad \left. + y^{(p-1)p^{r+1}} \left( -\chi_{r+1}^p + \mathcal{N}(\kappa_{n-1,r}^p) \right) \right] \\ &= u^{p^{n+1}} + \sum_{r=0}^{n-1} (-1)^{n-r} u^{p^{r+1}} \left[ -\chi_r^p + \mathcal{N}(\kappa_{n-1,r-1}^p) + y^{(p-1)p^{r+1}} \mathcal{N}(\kappa_{n-1,r}^p) \right]. \end{aligned}$$

Therefore

$$\sum_{r=0}^{n-1} (-1)^{n-r} \kappa_{n,r}^l u^{lp^r} = \sum_{r=0}^{n-1} (-1)^{n-r} u^{lp^r} \left[ -\chi_r^l + \mathcal{N}(\kappa_{n-1,r-1}^l) + y^{l(p-1)p^r} \mathcal{N}(\kappa_{n-1,r}^l) \right].$$

Hence

$$\kappa_{n,r}^l = -\chi_r^l + \mathcal{N}(\kappa_{n-1,r-1}^l) + y^{(p-1)lp^r} \mathcal{N}(\kappa_{n-1,r}^l).$$

Since

$$\mathcal{N}(\kappa_{n-1,r-1}^l) + y^{(p-1)lp^r} \mathcal{N}(\kappa_{n-1,r}^l) \doteq \kappa_{n-1,r-1}^{lp} + \kappa_{n-1,r}^l \left[ \sum_{i=0}^{n-1} (-1)^i \kappa_{n-1,i}^l y^{lp^i} \right]^{p-1},$$

by [Theorem 3.8](#), we obtain

$$\kappa_{n,r}^l \doteq -\chi_r^l + \kappa_{n-1,r-1}^{lp} + \kappa_{n-1,r}^l \left[ \sum_{i=0}^{n-1} (-1)^i \kappa_{n-1,i}^l y^{lp^i} \right]^{p-1}.$$

The corollary follows from [Corollary 3.3](#). □

## 4 The subring $\mathbb{F}_p[\kappa_{n,0}, \dots, \kappa_{n,n-1}] \cap \mathcal{T}$

In this section,  $p$  is supposed to be an odd prime. It was proved by Green and Leary [\[3; 4\]](#) that  $\kappa_{n,0}^s \in \mathcal{T}$ , provided that  $s \geq 2^n$ , or  $s \geq 2$  and  $n \leq 2$ . This result can be sharpened as follows. Let  $\mathbb{R}_n$  be the set consisting of sequences  $R = (r_0, r_1, \dots, r_{n-1})$  of non-negative integers. For  $R = (r_0, \dots, r_{n-1}) \in \mathbb{R}_n$  and for  $m > 0$ , set

$$s_R = \sum_{i \geq 0} r_i,$$

$$\kappa_m^R = \begin{cases} \prod_{i=0}^{m-1} \kappa_{m,i}^{r_i} & m \leq n \\ \prod_{i=0}^{n-1} \kappa_{m,i}^{r_i} & m > n. \end{cases}$$

The main purpose of this section is to prove the following theorem.

**Theorem 4.1** *Let  $R = (r_0, \dots, r_{n-1})$  be an element of  $\mathbb{R}_n$ . As an element of  $h^*(P)$ ,  $\kappa_n^R$  belongs to  $\mathcal{T}$  if and only if one of the following conditions is satisfied:*

- (R<sub>1</sub>)  $r_0 \geq 2$ ;
- (R<sub>2</sub>)  $r_0 = 0$  and all the  $r_i$  terms with  $i > 0$ , are multiples of  $p$ .

The rest of the section is devoted to the proof of the theorem.



**Proof** By Corollary 3.3,  $\kappa_n^R \in \mathcal{T}$  if  $R$  satisfies  $(R_2)$ . We shall prove the following proposition.

**Proposition 4.2** *If  $R \in \mathbb{R}_n$  satisfies  $(R_1)$ , then  $\kappa_n^R \in \mathcal{T}$ .*

By [4; 7], the proposition holds for  $n = 1$ . Suppose inductively that it holds for  $n - 1$ . Set  $K = \ker(x_{2n}) = P_{n-1} \times \mathbb{Z}/p$  and  $\mathcal{T}' = \text{Im}(\text{Inf}_K^{K/Z}) + \sqrt{0}$ . Write  $w = \text{Res}_K^P(y_{2n-1})$  and  $\psi = (-1)^{n-1} \sum_{j=0}^{n-1} (-1)^j \kappa_{n-1,j} w^{p^j}$ . We have

$$\text{Res}_K^P(\kappa_{n,j}) \doteq \kappa_{n-1,j-1}^p + \kappa_{n-1,j} \psi^{p-1}, \quad 0 \leq j \leq n.$$

So, for every element  $R \in \mathbb{R}_n$ , as elements of  $h^*(K)$ ,

$$\begin{aligned} \text{Res}_K^P(\kappa_n^R) &= \prod_{j=0}^{n-1} \left[ \kappa_{n-1,j-1}^p + \kappa_{n-1,j} \psi^{p-1} \right]^{r_j} \\ &= \kappa_{n-1,0}^{r_0} \psi^{r_0(p-1)} \prod_{j=1}^{n-1} \left[ \kappa_{n-1,j-1}^p + \kappa_{n-1,j} \psi^{p-1} \right]^{r_j} \\ (2) \quad &= \kappa_{n-1}^R \psi^{(p-1)s_R} + \sum_{r_0 \leq t < s_R} \rho_t \psi^{(p-1)t} \end{aligned}$$

with  $\rho_t \in h^*(P_{n-1})$ .

**Lemma 4.3** *Let  $S = (s_0, \dots, s_{n-1})$  be an element of  $\mathbb{R}_n$  with  $s_0 \geq 1$ , and let  $x$  be a non-zero element of  $H^1(P)$ . Then*

$$\kappa_n^S \chi_{0,x} \in \mathcal{T}.$$

**Proof** Without loss of generality, we may assume that  $x = x_{2n}$ . So  $K = \ker(x)$ . Since  $s_0 \geq 1$ , by (2), we have

$$(3) \quad \text{Res}_K^P(\kappa_n^S) \doteq \sum_{U \in \mathcal{U}} \kappa_{n-1}^U w^{t_U} \psi$$

with  $\mathcal{U}$  a subset of

$$\{R = (r_0, \dots, r_{n-2}) \in \mathbb{R}_{n-1} \mid r_0 \geq 1\}.$$

Let  $U = (u_0, \dots, u_{n-2})$  be an element of  $\mathcal{U}$ . Since

$$\kappa_{n-1}^U \kappa_{n-1,0} = \kappa_{n-1,0}^{u_0+1} \prod_{i=1}^{n-2} \kappa_{n-1,i}^{u_i}$$

and  $u_0 + 1 \geq 2$ , it follows from the inductive hypothesis that  $\kappa_{n-1}^U \kappa_{n-1,0}$ , and hence  $\kappa_{n-1}^U \kappa_{n-1,0} w^{tU}$  belong to  $\mathcal{T}'$ . So, via the inflation map,  $\kappa_{n-1}^U \kappa_{n-1,0} w^{tU}$  belongs to  $\mathcal{T}$ .

We then have as elements of  $h^*(P)$ ,

$$\begin{aligned} \kappa_n^S \chi_{0,x} &= \kappa_n^S \operatorname{tr}_P^K(\kappa_{n-1,0} \zeta_{n-1}^{p-1}) \\ &= \operatorname{tr}_P^K(\operatorname{Res}_K^P(\kappa_K^S) \cdot \kappa_{n-1,0} \zeta_{n-1}^{p-1}) && \text{by Frobenius formula} \\ &= \sum_{U \in \mathcal{U}} \operatorname{tr}_P^K(\kappa_{n-1}^U \kappa_{n-1,0} w^{tU} \psi \zeta_{n-1}^{p-1}) && \text{by (3)} \\ &= \sum_{U \in \mathcal{U}} \kappa_{n-1}^U \kappa_{n-1,0} w^{tU} \cdot \operatorname{tr}_P^K(\psi \zeta_{n-1}^{p-1}), \end{aligned}$$

which implies  $\kappa_n^S \chi_{0,x} \in \mathcal{T}$ , by [Corollary 3.3](#) (iii). □

**Proof of Proposition 4.2** Let  $R = (r_0, \dots, r_{n-1})$  be an element of  $\mathbb{R}_n$ . By [Corollary 3.3](#)(i),  $\kappa_n^R \in \mathcal{T}$  if  $R$  satisfies  $(R_2)$ . Suppose that  $r_0 \geq 2$ . Set  $S = (r_0 - 1, r_1, \dots, r_{n-1})$ . We then have

$$\kappa_n^R = \kappa_n^S \kappa_{n,0} = - \sum_{x \in \mathbb{P}H^1(P)} \kappa_n^S \chi_{0,x},$$

by [Proposition 3.4](#). Since  $r_0 - 1 \geq 1$  by [Lemma 4.4](#)  $\kappa_n^S \chi_{0,x} \in \mathcal{T}$ , for every  $x \in \mathbb{P}H^1(P)$ ; so  $\kappa_n^R \in \mathcal{T}$ . The proposition is proved. □

Consider  $\psi$ , and also the right hand side of (2), as polynomials with variable  $w$  and with coefficients in  $h^*(P_{n-1})$ . We have the following lemma.

**Lemma 4.4** Let  $R = (r_0, \dots, r_{n-1})$  be an element of  $\mathbb{R}_n$  with  $s_R \neq 0 \pmod p$ . Then for  $0 \leq i \leq n - 2$ ,

- (i)  $\operatorname{Res}_K^P(\kappa_n^R) \doteq s_R (-1)^{i+n} \kappa_{n-1}^R \kappa_{n-1,i} w^{p^{n-1}[(p-1)s_R - 1] + p^i} + \text{other terms}$ ;
- (ii)  $\kappa_{n-1}^R \kappa_{n-1,i} \in \mathcal{T}$  if  $\kappa_n^R \in \mathcal{T}$ .

**Proof** For  $t < s_R$ ,  $\deg(\psi^{(p-1)t}) \leq p^{n-1}(p-1)s_R - p^n + p^{n-1}$ ; hence

$$\deg(\psi^{(p-1)t}) < \min(p^{n-1}(p-1)s_R - 1, p^{n-1}[(p-1)s_R - 2] + p^i).$$

So (i) follows from (2) and the fact that

$$\begin{aligned} \psi^{(p-1)s_R} &= \left[ \sum_{i=0}^{n-1} (-1)^i \kappa_{n-1,i} w^{p^i} \right]^{(p-1)s_R} \\ &= -s_R \sum_{i=0}^{n-2} (-1)^{i+n-1} \kappa_{n-1,i} w^{p^{n-1}[(p-1)s_R-1]+p^i} + \text{other terms.} \end{aligned}$$

Write

$$\text{Res}_K^P(\kappa_n^R) = \sum_{i \geq 0} \rho_i w^i$$

with  $\rho_i \in h^*(P_{n-1})$ . If  $\kappa_n^R \in \mathcal{T}$ , then  $\text{Res}_K^P(\kappa_n^R)$  belongs to  $\mathcal{T}'$ , so all the  $\rho_i$  lie in  $\mathcal{T}'$ ; (ii) is then a direct consequence of (i).  $\square$

The proof of the theorem is completed by Proposition 4.2 and the following.

**Lemma 4.5** *If  $\kappa_n^R \in \mathcal{T}$  with  $R = (r_0, \dots, r_{n-1}) \in \mathbb{R}_n$ , then  $R$  satisfies  $(R_1)$  or  $(R_2)$ .*

**Proof** By Leary [7], the lemma holds for  $n = 1$ . Assume that it holds for  $n - 1$ .

Suppose that  $\kappa_n^R \in \mathcal{T}$  with  $R = (r_0, \dots, r_{n-1})$  and  $r_0 < 2$ . It follows that  $\zeta = \text{Res}_K^P(\kappa_n^R) \in \mathcal{T}'$ . Consider  $\zeta$  as a polynomial with variable  $w$  and with coefficients in  $h^*(P_{n-1})$ . By (2), we have

$$\begin{aligned} \zeta &= \kappa_{n-1}^R \psi^{(p-1)s_R} + \sum_{0 \leq t < s_R} \rho_t \psi^{(p-1)t} \\ &= \kappa_{n-1}^R w^{p^{n-1}(p-1)s_R} + \text{other terms,} \end{aligned} \quad \text{by (3)}$$

which implies  $\kappa_{n-1}^R \in \mathcal{T}'$ . By the induction hypothesis,  $r_0 = 0$  and  $r_1, \dots, r_{n-2}$  are multiples of  $p$ . So  $s_R = r_{n-1} \bmod p$ . If  $s_R \neq 0 \bmod p$ , it follows from Lemma 4.5 that

$$\kappa_{n-1,1}^{r_1} \cdots \kappa_{n-1,n-3}^{r_{n-3}} \kappa_{n-1,n-2}^{r_{n-2}+1} = \kappa_{n-1,n-2}^R \kappa_{n-1}^R \in \mathcal{T}'$$

which contradicts the induction hypothesis, since  $r_{n-2} = 0 \bmod p$  implies  $r_{n-2} + 1 \neq 0 \bmod p$ . So  $s_R = 0 \bmod p$ , hence  $r_{n-1} = 0 \bmod p$ . The lemma follows.  $\square$

This completes the proof of Theorem 4.1.  $\square$

Let  $x$  be a non-zero element of  $H^1(P)$ . By Theorem 3.2(iii), there exists a unique  $\eta_x \in H^*(E)$  such that, as elements of  $H^*(E)/(z_n^{(1)}, \dots, z_n^{(n-2)})$ ,

$$(4) \quad z_n^{(n-1)} = \begin{cases} \eta_x \beta(x) & p \text{ odd,} \\ \eta_x x & p = 2. \end{cases}$$

Note that  $H_x = \ker(x)$  can be identified with  $P_{n-1} \times \mathbb{Z}/p$ . Pick a non-zero element  $u_x$  of  $H^1(P)$  satisfying

$$0 \neq \text{Res}_{H_x}^P(u_x) \in \ker(\text{Res}_{P_{n-1}}^{H_x}) \quad \text{set } v_x = \begin{cases} u_x & p = 2 \\ \beta(u_x) & p \text{ odd,} \end{cases}$$

and define  $\psi_x = (-1)^{n-1} \sum_{j=0}^{n-1} (-1)^j \kappa_{n-1,j} v_x^{p^j}$ .

Let  $R$  be an element of  $\mathbb{R}_n$  satisfying  $(R_1)$  or  $(R_2)$ . By [Theorem 4.1](#),  $\kappa_n^R$  and  $\kappa_{n-1}^R$  both belong to  $\mathcal{T}$ . It is then interesting to find out a formulae relating  $\kappa_n^R$  and  $\kappa_{n-1}^R$ . If  $R$  satisfies  $(R_2)$ , the formula can be derived from [Corollary 3.9](#). In the case where  $R$  satisfies  $(R_1)$  the formula follows from the next corollary.

**Corollary 4.6** *Let  $R = (r_0, \dots, r_{n-1})$  be an element of  $\mathbb{R}_n$  with  $r_0 \geq 2$ . Then, as elements of  $\mathcal{T}$ ,*

$$\kappa_n^R = -\kappa_{n-1}^{r_0} \sum_{x \in \mathbb{P}H^1(P)} \eta_x \psi_x^{(r_0-1)(p-1)-1} \prod_{j=1}^{n-1} [\kappa_{n-1,j-1}^p + \kappa_{n-1,j} \psi_x^{p-1}]^{r_j}.$$

**Proof** Set  $S = (r_0 - 1, r_2, \dots, r_{n-1})$  and  $U = (r_0 - 2, r_1, \dots, r_{n-1})$ . It follows from the proof of [Proposition 4.2](#) that

$$\begin{aligned} \kappa_n^R &= - \sum_{x \in \mathbb{P}H^1(P)} \kappa_n^S \chi_{0,x} \\ &= - \sum_{x \in \mathbb{P}H^1(P)} \kappa_n^S \text{tr}_P^{H_x}(\kappa_{n-1,0} \zeta_{n-1}^{p-1}) \\ &= - \sum_{x \in \mathbb{P}H^1(P)} \text{tr}_P^{H_x} \left[ \text{Res}_{H_x}^P(\kappa_n^S) \kappa_{n-1,0} \zeta_{n-1}^{p-1} \right] \\ &= - \sum_{x \in \mathbb{P}H^1(P)} \text{tr}_P^{H_x} \left[ \text{Res}_{H_x}^P(\kappa_n^U) \kappa_{n-1,0}^2 \zeta_{n-1}^{p-1} \right] \\ &= - \sum_{x \in \mathbb{P}H^1(P)} \text{tr}_P^{H_x} \left( \psi \zeta_{n-1}^{p-1} \kappa_{n-1,0}^{r_0} \psi_x^{(r_0-1)(p-1)-1} \prod_{j=1}^{n-1} [\kappa_{n-1,j-1}^p + \kappa_{n-1,j} \psi_x^{p-1}]^{r_j} \right). \end{aligned}$$

Since  $r_0 \geq 2$ , it follows from [Theorem 4.1](#) that

$$\rho_x = \kappa_{n-1,0}^{r_0} \psi_x^{(r_0-1)(p-1)-1} \prod_{j=1}^{n-1} [\kappa_{n-1,j-1}^p + \kappa_{n-1,j} \psi_x^{p-1}]^{r_j}$$

belongs to  $\mathcal{T}$ , for any  $x \in \mathbb{P}H^1(P)$ . Hence

$$\begin{aligned} \kappa_n^R &= - \sum_{x \in \mathbb{P}H^1(P)} \rho_x \text{tr}_P^{H_x}(\psi_x \zeta_{n-1}^{p-1}) \\ &= - \sum_{x \in \mathbb{P}H^1(P)} \rho_x \eta_x \end{aligned} \quad \text{by (4).}$$

This completes the proof. □

## 5 Symplectic invariants

Recall that the symplectic group  $Sp_{2n} = Sp_{2n}(\mathbf{F}_p)$  is the group consisting of  $E$  which preserve the nondegenerate symplectic form  $x_1x_2 + \dots + x_{2n-1}x_{2n}$  of  $H^2(E)$ . Clearly  $z_n^{(0)}, \dots, z_n^{(n-1)}$  belong to the subring of invariants of  $Sp_{2n}$  in  $\mathbf{F}_p[y_1, \dots, y_{2n}]$ . According to a result of Quillen [12] for  $p = 2$ , and of Tezuka–Yagita [13] for  $p > 2$ ,

$$\mathcal{T} = \mathbf{F}_p[y_1, \dots, y_{2n}] / (z_n^{(0)}, \dots, z_n^{(n-1)}).$$

There is then an induced action of  $Sp_{2n}$  on  $\mathcal{T}$ . Set

$$\mathbb{R}' = \{R \in \mathbb{R} \mid R \text{ satisfies } (R_1) \text{ or } (R_2)\},$$

and let  $\mathbb{R}''$  be the subset of  $\mathbb{R}_n$  consisting of elements  $R = (r_0, r_1, \dots, r_{n-1})$  of  $\mathbb{R}_n$  satisfying the following two conditions:

- $0 \leq r_i \leq p - 1$  for  $i > 0$
- $r_0 = 3$ , or  $r_0 = 2$  and  $r_1, \dots, r_{n-1}$  are not all equal to 0.

Let  $\mathcal{T}^{Sp_{2n}}$  be the ring of invariants of  $Sp_{2n}$  in  $\mathcal{T}$ . The following is then straightforward from Theorem 4.1 and [3, Proposition 21].

**Theorem 5.1**  $\mathcal{T}^{Sp_{2n}}$  is the subring of  $\mathbf{F}_p[\kappa_{n,1}, \dots, \kappa_{n,n-1}]$  given by:

- (i) for  $p = 2$ ,  $\mathcal{T}^{Sp_{2n}} = \mathbf{F}_p[\kappa_{n,0}, \dots, \kappa_{n,n-1}]$ ;
- (ii) for  $p > 2$ ,
  - (a) as a vector space over  $\mathbf{F}_p$ ,  $\mathcal{T}^{Sp_{2n}}$  has a basis  $\{\kappa_n^R \mid R \in \mathbb{R}'\}$ ;
  - (b) as a module over polynomial algebra  $\mathbf{F}_p[\kappa_{n,0}^2, \kappa_{n,1}^p, \dots, \kappa_{n,n-1}^p]$ ,  $\mathcal{T}^{Sp_{2n}}$  is freely generated by  $\{1, \kappa_n^R \mid R \in \mathbb{R}''\}$ .

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