# Finite groups acting on 3-manifolds and cyclic branched coverings of knots

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We are interested in finite groups acting orientation-preservingly on 3-manifolds (arbitrary actions, ie not necessarily free actions). In particular we consider finite groups which contain an involution with nonempty connected fixed point set. This condition is satisfied by the isometry group of any hyperbolic cyclic branched covering of a strongly invertible knot as well as by the isometry group of any hyperbolic 2-fold branched covering of a knot in  $S^3$ . In the paper we give a characterization of nonsolvable groups of this type. Then we consider some possible applications to the study of cyclic branched coverings of knots and of hyperelliptic diffeomorphisms of 3-manifolds. In particular we analyze the basic case of two distinct knots with the same cyclic branched covering.

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To the memory of Heiner Zieschang

#### 1 Introduction

The following problem has been diffusely studied in the literature: which finite groups admit an action on a homology 3–sphere. The choice of the coefficients of the homology changes completely the situation.

If a finite group G acts freely on an integer homology 3-sphere (and in particular on the standard 3-sphere  $S^3$ ), the group G has periodic cohomology of period four. Milnor [14] gave a list of groups which are candidates for free actions on integer homology 3-spheres. This list consists of the finite subgroups of SO(4) and the Milnor groups Q(8n, k, l). The recent results of Perelman imply that no group of type Q(8n, k, l) acts on  $S^3$  [15; 16]. On the contrary some Milnor groups admit an action on an integer homology 3-sphere [13].

If we admit arbitrary actions, the list of candidates is again comparable with the list of finite subgroups of SO(4). For example Reni and Zimmermann (see Zimmermann [25] and Mecchia and Zimmermann [11]) characterized the nonsolvable groups acting on integer homology 3–spheres; the unique simple group that admits an action on an

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integer homology 3-sphere is  $\mathbb{A}_5$  (and it cannot act freely). For the standard 3-sphere, Thurston's orbifold geometrization theorem [1] implies that the finite groups with nonfree actions are exactly the subgroups of SO(4).

On the other hand, Cooper and Long [4] proved that every finite group admits an action on a rational homology 3–sphere (and even a free action).

The class of  $\mathbb{Z}_2$ -homology 3-spheres is intermediate between these two cases. This class is interesting also because  $\mathbb{Z}_2$ -homology 3-spheres appear more frequently than integer homology 3-spheres; for example 2-fold branched coverings of knots in  $S^3$  are  $\mathbb{Z}_2$ -homology 3-spheres. Dotzel and Hamrick [5] proved that every finite 2-group acting on a  $\mathbb{Z}_2$ -homology 3-sphere acts orthogonally on  $S^3$ . This property is not true in general for solvable groups (already for integer homology 3-spheres). In [11] a list of nonsolvable groups which are candidates for actions on  $\mathbb{Z}_2$ -homology 3-spheres was given; in this case the only simple groups, that occur, are the projective special linear groups PSL(2,q).

In the present paper we consider finite groups acting orientation-preservingly on 3-manifolds which contain an involution with nonempty connected fixed point set. We recall that any involution acting on a  $\mathbb{Z}_2$ -homology 3-sphere has connected fixed point set (maybe empty), so there are some relations with our situation. For example the 2-fold branched coverings of knots satisfy both assumptions but in general the two conditions give different classes of 3-manifolds.

In fact not all  $\mathbb{Z}_2$ -homology 3-spheres admit the action of an involution with nonempty fixed point set. For example if K is a hyperbolic knot in  $S^3$  without symmetries, for coefficients sufficiently large, Dehn surgery along the knot gives a hyperbolic manifold with trivial isometry group (by Thurston's hyperbolic surgery theorem [24]); moreover for p odd a p/q-surgery gives a  $\mathbb{Z}_2$ -homology 3-sphere.

On the other hand all the 3-manifolds that are the n-fold cyclic branched covering of a strongly invertible knot admit the action of an involution with nonempty and connected fixed point set; it is easy to find examples of n-fold cyclic branched coverings of strongly invertible knots that have nontrivial first  $\mathbb{Z}_2$ -homology group (some computation of first homology group can be found in [6]). The possibility to study the n-fold cyclic branched coverings of strongly invertible knots is one of the motivations of this paper. Another example of a 3-manifold admitting an involution with nonempty connected fixed point set can be obtained by a 3-component link L admitting a symmetry t with nonempty fixed point set which acts as a reflection on one component while exchanging the remaining two (eg the Borromean rings); the 2-fold branched covering M of L has nontrivial first  $\mathbb{Z}_2$ -homology group (see Sakuma [21, Sublemma 15.4]) and the lift of t is an involution with the desired property.

When we consider finite groups acting on 3-manifolds, the two different assumptions imply different analyses. In fact for  $\mathbb{Z}_2$ -homology 3-spheres we have some global information about 2-groups which admit an action. In our case we can control directly only the centralizer of the involution with nonempty connected fixed point set, thus it is more difficult to pass to a global description of the group, even in the case of 2-groups.

A first step in this direction was obtained by Reni and Zimmermann.

**Theorem 0** [18] Let G be a finite group of orientation-preserving diffeomorphisms of a closed orientable 3-manifold; if G contains an involution with nonempty connected fixed point set, then G has sectional 2-rank at most four (ie every 2-subgroup is generated by at most four elements).

In this paper we try to analyze the whole group. We describe the structure of the group "up to solvable sections". The interest for nonsolvable groups is also motivated by geometry. For example, if two knots have the same hyperbolic cyclic branched covering M and the isometry group of M is solvable, then it is possible to describe the relation between the two knots [18]. The problem is not completely solved if the isometry group is not solvable.

We summarize part of the description in the following theorem; we recall that a group E is *semisimple* if it is perfect and the factor group of E by its center is a direct product of nonabelian simple groups (see Suzuki [23, Chapter 6.6] or Gorenstein, Lyons and Solomon [8, p 16]).

**Theorem 1** Let G be a finite group of orientation-preserving diffeomorphisms of a closed orientable 3-manifold; we denote by  $\mathcal{O}(G)$  the maximal normal subgroup of odd order and by E the maximal semisimple normal subgroup of  $G/\mathcal{O}(G)$ . Suppose that G contains an involution with nonempty connected fixed point set.

- (1) If the semisimple group E is not trivial, it has at most two components and the factor group of  $G/\mathcal{O}(G)$  by E is solvable. Moreover the factor group of E by its center is either a simple group of sectional 2–rank at most four or the direct product of two simple groups with sectional 2–rank at most two.
- (2) If E is trivial, there exists a normal subgroup N of G such that N is solvable and G/N is isomorphic to a subgroup of GL(4,2), the general linear group of  $4 \times 4$  matrices over the finite field with 2 elements.

The simple groups of sectional 2-rank at most four are classified by the Gorenstein–Harada Theorem [7, p6], an important part of the classification of finite simple groups.

A well-known part of the classification, which was proved before then the Gorenstein–Harada Theorem, is the classification of finite simple groups of 2–rank at most two (ie every elementary 2–subgroup is generated by at most two elements) [7, p 6]; obviously sectional 2–rank at most two implies 2–rank at most two.

More details are given in Section 3 where Theorem 1 is proved. If E is not trivial, the structure of the solvable group  $(G/\mathcal{O}(G))/E$  is well understood. Also in the second case, if we suppose that the group G is not solvable, a short list of candidates for the group G/N can be produced (the nonsolvable subgroups of  $GL(4,2) \cong \mathbb{A}_8$  can be easily deduced from [3]).

In the study of cyclic branched coverings of knots, we are mainly interested in the case when the projection of the involution with nonempty connected fixed point set is contained in E, the maximal semisimple normal subgroup. Under this condition the list of candidates is much shorter.

**Theorem 2** Let G be a finite group of orientation-preserving diffeomorphisms of a closed orientable 3-manifold; we denote by  $\mathcal{O}(G)$  the maximal normal subgroup of odd order and by E the maximal semisimple normal subgroup of  $G/\mathcal{O}(G)$ . Suppose that G contains an involution h with nonempty and connected fixed point set such that the coset  $h\mathcal{O}(G)$  is contained in E; then  $G/\mathcal{O}(G)$  has a normal subgroup D isomorphic to one of the following groups:

$$PSL(2,q)$$
,  $PSL(2,q) \times \mathbb{Z}_2$  or  $SL(2,q) \times_{\mathbb{Z}_2} SL(2,q')$ 

where q and q' are odd prime powers greater than four. The factor group  $(G/\mathcal{O}(G))/D$  contains, with index at most two, an abelian subgroup of rank at most four.

The group SL(2,q) is the special linear group of  $2 \times 2$  matrices of determinant one over the finite Galois field with q elements. The group SL(2,q) is a perfect group which has a unique involution; this involution generates its center Z, and the factor group SL(2,q)/Z is the projective special linear group PSL(2,q) (which is a simple group for  $q \ge 4$ ).

The group  $SL(2,q) \times_{\mathbb{Z}_2} SL(2,q')$  is a central product where the involutions in the centers of SL(2,q) and SL(2,q') are identified.

Theorem 2 is not simply a specialization of Theorem 1. We have to do some new work to prove properties of E, using directly the fact that E contains the projection of h; we need also more precise information about finite simple groups in the Gorenstein–Harada list.

Probably it is possible to exclude some groups with sectional 2-rank at most four also in the general case considered in Theorem 1. A possible approach is to suppose that the special involution is not in E and consider  $\mathbb{Z}_2$ -extensions of the simple groups in the Gorenstein-Harada list; some  $\mathbb{Z}_2$ -extensions may have again sectional 2-rank at most four. At the moment we are not sure if this approach case by case, that might be rather technical and long, can produce a relevant reduction of the list of the possible groups.

As a corollary of Theorem 1 we can consider the case of semisimple groups (see Reni and Zimmermann [18] for the case of simple groups).

**Corollary** Let G be a semisimple finite group of orientation-preserving diffeomorphisms of a closed orientable 3—manifold. If G contains an involution h with nonempty and connected fixed point set, then G is isomorphic to one of the following groups:

$$PSL(2,q)$$
 or  $SL(2,q) \times_{\mathbb{Z}_2} SL(2,q')$ 

where q and q' are odd prime powers greater than four.

We focus now on some applications. We describes first some results concerning actions of finite groups on homology 3–spheres.

Let f be a nontrivial orientation-preserving periodic diffeomorphism of a 3-manifold M. We say that f is *hyperelliptic* if the quotient orbifold M/f has underlying topological space homeomorphic to  $S^3$ .

Using the structure of the finite 2-subgroups acting on  $\mathbb{Z}_2$ -homology 3-spheres, Reni [17] proved that, up to conjugacy, there are at most nine hyperelliptic involutions acting on a hyperbolic  $\mathbb{Z}_2$ -homology 3-sphere; we recall that a hyperelliptic involution on a  $\mathbb{Z}_2$ -homology 3-sphere has nonempty connected fixed point set. This is equivalent to say that there exist at most nine inequivalent  $\pi$ -hyperbolic knots with the same 2-fold branched covering.

Boileau, Paoluzzi and Zimmermann [2] proved that, up to conjugacy, at most four cyclic groups generated by a hyperelliptic diffeomorphism of odd prime order can act on an irreducible integer homology 3–sphere. Thus an irreducible integer homology 3–sphere can be the cyclic branched covering with odd prime order of at most four inequivalent knots. Also in this case a hyperelliptic diffeomorphism of prime order has nonempty connected fixed point set. The characterization of the finite nonsolvable groups which act on integer homology 3–spheres plays an important role in the proof in the hyperbolic case. We remark that one of the basic steps in the proof of the upper bound is the fact that hyperelliptic diffeomorphisms often commute and nonabelian situations are, in some sense, exceptions that can be described.

The commutativity of hyperelliptic diffeomorphisms corresponds in the language of knots to the standard abelian construction.

The standard abelian construction Suppose M is the n-fold and m-fold cyclic branched covering of two knots K and K', respectively. We denote by H and H' the cyclic transformation groups of K and K', respectively; the preimage  $\widetilde{K}$  (resp.  $\widetilde{K'}$ ) of K (resp. K') in M is the fixed point set of H (resp. H'). The groups H and H' commute and they generate a group A of diffeomorphisms of M isomorphic to  $\mathbb{Z}_n \times \mathbb{Z}_m$ ; when n = m the group A has rank two and it is isomorphic to  $\mathbb{Z}_n \times \mathbb{Z}_n$ . Each element of the transformation group H (resp. H') induces a rotation on  $\widetilde{K'}$  (resp.  $\widetilde{K}$ ), and the quotient orbifold M/A is the 3-sphere whose singular set is a link  $L = \overline{K} \cup \overline{K'}$ , where  $\overline{K}$  (resp.  $\overline{K'}$ ) is the projection of K (resp. K').

We remark that by the positive solution to the Smith Conjecture both components of L are trivial knots. On the other hand, starting from L, we can obtain K (resp. K') taking the preimage of  $\overline{K}$  (resp.  $\overline{K}'$ ) in the m-fold (resp. n-fold) cyclic branched covering of  $\overline{K}'$  (resp.  $\overline{K}$ ). This construction serves to study the relation between two links with the same hyperbolic cyclic branched covering (see Reni and Zimmermann [19] and Mecchia [10]). The standard abelian construction is the unique possibility in many different situations.

**Theorem** [19] Let M be a hyperbolic 3-manifold. Suppose that M is the n-fold and m-fold cyclic branched covering of inequivalent knots K and K', respectively, such that m and n are not powers of two. Suppose that one of the following conditions holds:

- (1) *n* and *m* have a common prime divisor different from two;
- (2) K is not strongly invertible and K is not self-symmetric with order n;
- (3) The orientation-preserving isometry group of M is solvable.

Then K and K' arise from the standard abelian construction.

A 2-component link is called *symmetric* if there exists an orientation-preserving diffeomorphism of  $S^3$  which exchanges the 2-components of the link. A *cyclic symmetry* of a knot K is a diffeomorphism of  $(S^3, K)$  of finite order and with nonempty fixed point set F disjoint from K. The set F is an unknotted circle by the positive solution to the Smith Conjecture. The quotient of  $S^3$  by a cyclic symmetry is again the 3-sphere and F and K project to a 2-component link. We call a knot K self-symmetric with order n if K admits a cyclic symmetry f of order n such that the associated quotient link is symmetric.

We use Theorem 2 to generalize point 2 of the previous Theorem. We want to include also the class of strongly invertible knots that is largely studied in knot theory. Unfortunately the standard abelian construction does not remain the unique possibility.

**Theorem 3** Let M be a hyperbolic 3-manifold. Suppose that M is the n-fold and m-fold cyclic branched covering of two hyperbolic knots K and K', respectively, such that m and n are not powers of two. Let G be the orientation-preserving isometry group of M and  $\mathcal{O}(G)$  the maximal normal subgroup of odd order. If the knot K is not self-symmetric with order n, then one of the following cases occurs:

- (1) K and K' arise from the standard abelian construction;
- (2) G contains h, an involution with nonempty connected fixed point set, such that  $h\mathcal{O}(G)$  is contained in the maximal normal semisimple subgroup of  $G/\mathcal{O}(G)$  (in particular Theorem 2 applies to G);
- (3) All prime divisors of n and m are contained in  $\{2, 3, 5, 7\}$  and there exists a normal subgroup N of G such that N is solvable and G/N is isomorphic to a subgroup of GL(4, 2).

The knots K and K' in Theorem 3 are inequivalent. It follows from volume considerations if  $n \neq m$ , and from the fact that K is not self-symmetric if n = m.

As in the case of integer homology 3-spheres, the noncommuting situations are, in some sense, exceptional. For integer homology 3-spheres there exists an universal bound to the number of cyclic groups generated by a hyperelliptic diffeomorphism (with connected nonempty fixed point set) of odd prime order; we propose the following:

**Conjecture** There exists a universal bound C such that any hyperbolic orientable closed 3-manifold admits at most C nonconjugate cyclic groups generated by a hyperelliptic diffeomorphism with connected nonempty fixed point set.

We remark that the condition about the fixed point set is necessary; in general, there is no universal bound for hyperelliptic diffeomorphisms in hyperbolic 3-manifolds [20]. We recall that Cooper and Long [4] proved that every finite group admits an action on a hyperbolic rational homology 3-sphere; to prove the conjecture the use of homology may be insufficient. Probably we have to consider directly conditions about the fixed point sets of the diffeomorphisms, for example the existence of involutions with connected nonempty fixed point set (the hypothesis considered in this paper).

## 2 Preliminary results

In this section we present some preliminary results about finite groups acting on 3-manifolds.

**Proposition 1** Let G be a finite group of orientation-preserving diffeomorphisms of a closed orientable 3-manifold and f an element in G with nonempty connected fixed point set K. Then the normalizer  $N_G(f)$  of the subgroup generated by f in G is isomorphic to a subgroup of a semidirect product

$$\mathbb{Z}_2 \ltimes (\mathbb{Z}_a \times \mathbb{Z}_b),$$

for some nonnegative integers a and b, where a generator of  $\mathbb{Z}_2$  (an f-reflection, ie acting as a reflection on K) acts on the normal subgroup  $\mathbb{Z}_a \times \mathbb{Z}_b$  of f-rotations (ie the elements acting as rotations on K) by sending each element to its inverse. In particular,  $N_G(f)$  is solvable.

**Proof** See Mecchia and Zimmermann [12, Lemma 1]. □

**Proposition 2** Let G be a finite group of orientation-preserving diffeomorphisms of a closed orientable 3-manifold. If G is isomorphic to  $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ , there exists in G an involution that either acts freely or has nonconnected fixed point set.

**Proof** By contradiction we suppose that the seven involutions in G have connected and nonempty fixed point set. Let f be one of the involutions, by Proposition 1 the group G contains four f-rotations and four f-reflections. We denote by r one f-rotation of order two different from f and we denote by t one f-reflection, so the four f rotations are Id, f, r and rf and the four f reflections are f, f, f, and f and f have nonempty intersection then the fixed point sets of f and f have nonempty intersection, then f is a f-reflection. Now we consider f and f have nonempty intersection, then f is a f-reflection. Now we deduce that f is an f-rotations coincide with the subgroup of f-rotations. We deduce that f is an f-reflection and an f-reflection and an f-reflection and an f-reflection on the fixed point set of each involution in f different from f and viceversa each involution in f different from f and viceversa each involution in f different from f and viceversa each involution in f different from f acts as a reflection on the fixed point set of f; so in f we have six f-reflections and this is impossible by Proposition 1.

We conclude the section with a purely algebraic proposition that describes the centralizer of an involution in the factor groups by odd order normal subgroups. This proposition shows that, for an involution t, the quotient of the centralizer is the centralizer of the projection of t in the quotient; its proof is elementary but we often use this fact.

**Proposition 3** Let t be an involution in a finite group G and let N be a normal subgroup of G of odd order. Then the centralizer  $C_{G/N}(tN)$  of the coset tN in G/N is isomorphic to  $C_G(t)/(C_G(t)\cap N)$ , that is the factor group of the centralizer of t in G by the intersection  $C_G(t)\cap N$ .

**Proof** Indeed we prove the equality  $\{cN | c \in C_G(t)\} = C_{G/N}(tN)$  and then the thesis follows from the Second Isomorphism Theorem.

The inclusion  $\{cN | c \in C_G(t)\} \subseteq C_{G/N}(tN)$  is trivial.

We suppose that fN is contained in  $C_{G/N}(tN)$  that is  $ftf^{-1}N = tN$ , so there exists  $k \in N$  such that  $ftf^{-1} = tk$ . The subgroup  $\langle t, N \rangle$  of G generated by t and N has a Sylow 2-subgroup of order two, so all the involutions in  $\langle t, N \rangle$  are conjugate, in particular there exists an element  $g \in N$  such that  $gtg^{-1} = tk$ . It follows that  $g^{-1}f$  is contained in  $C_G(t)$  and, since  $g \in N$ , we have that f is contained in  $fN = Nf = N(g^{-1}f) = (g^{-1}f)N$ . The coset fN is contained in  $\{cN \mid c \in C_G(t)\}$  and the inclusion  $\{cN \mid c \in C_G(t)\} \supseteq C_{G/N}(tN)$  is proved.

#### 3 Proof of Theorem 1

We denote by  $\overline{G}$  the factor group  $G/\mathcal{O}(G)$  and by  $\widetilde{E}$  the factor group of E by its center Z(E).

**Step 1** The maximal semisimple normal subgroup E has sectional 2-rank at most four and it has at most two components. If E has two components,  $\tilde{E}$  is the direct product of two simple groups with sectional 2-rank two.

By Theorem 0, E has sectional 2-rank at most four and consequently  $\widetilde{E}$  has sectional 2-rank at most four. We recall that a minimal set of generators of a group means a set of generators such that any proper subset does not generate the group. In general we can have minimal sets of generators with different numbers of elements for the same finite group but, by Burnside's basis theorem [22, Theorem 1.16, p 92], any two minimal sets of generators of a p-group contain the same number of elements.

Moreover, in the direct product of two groups, the union of a minimal set of generators of the first group with a minimal set of generators of the second group is a minimal set of generators of the direct product. It follows that the sectional 2–rank of the direct product of two groups is equal or greater then the sum of the sectional 2–ranks of the two direct factors. Since simple groups have sectional 2–rank at least two [23, p.144] we get the thesis.

**Step 2** We denote by C the centralizer  $C_{\overline{G}}(E)$  of E in  $\overline{G}$ . If E is not trivial, then C is solvable.

Since C is the centralizer of a normal subgroup, C is normal in G. The intersection of C and E is Z(E), the center of E. The center of E has order a power of two, otherwise  $\mathcal{O}(G)$  is not maximal. We denote by D the group generated by C and E; the group D is a central product of E and C. By Theorem 0, the sectional 2-rank of D is equal or smaller then four; it follows that D/Z(E) has sectional 2-rank equal or smaller then four. The factor group D/Z(E) is isomorphic to  $E/Z(E) \times C/Z(E)$ ; the sectional 2-rank of E/Z(E) is at least two, so the sectional 2-rank of C/Z(E) is at most two (see Step 1).

The maximal semisimple normal subgroup of C is trivial, otherwise E is not maximal. We consider F(C) the generalized Fitting subgroup of C. We recall that the generalized Fitting subgroup is the subgroup generated by the maximal semisimple normal subgroup and by the Fitting subgroup; the Fitting subgroup is the maximal nilpotent normal subgroup [23, p 452]. In this case, since the maximal semisimple normal subgroup of C is trivial, F(C) coincides with the Fitting subgroup. Note that, since F(C) is nilpotent, its Hall subgroup of maximal odd order is unique. Since F(C) is characteristic in C, the generalized Fitting subgroup F(C) is a 2-group, otherwise  $\mathcal{O}(G)$  is not maximal. The group C acts on F(C) by conjugation. The centralizer  $C_C(F(C))$  of F(C) in C is contained in F(C) [23, Theorem 6.11, p.452] and in particular it is a 2-group; the factor group  $C/C_C(F(C))$  is a subgroup of the automorphism group of F(C). Let  $\Phi$  be the Frattini subgroup of F(C); the factor group  $F(C)/\Phi$  is an elementary abelian group. The totality of automorphisms that leave every element of  $F(C)/\Phi$ invariant is a normal 2-subgroup of Aut(F(C)) [22, Theorem 1.17, p.93]. Let T be the subgroup of C of elements that act trivially on  $F(C)/\Phi$ ; then T is a normal 2-subgroup and C/T is a subgroup of GL(d,2), where d is the rank of  $F(C)/\Phi$ . Since F has sectional 2–rank at most four we have  $d \le 4$ ; if  $d \le 2$  the group GL(d, 2)is solvable and the proof is finished.

Suppose that d=3. The group  $\mathrm{GL}(3,2)$  has order  $2^3\cdot 3\cdot 7$ ; any automorphism of order seven permutes cyclically all the involutions in  $\mathbb{Z}_2\times\mathbb{Z}_2\times\mathbb{Z}_2$ . In this case  $\Phi$  cannot contain Z(E) because F(C)/Z(E) must have sectional 2-rank at most two. At least one involution in  $F(C)/\Phi$  is the projection of an element in Z(E) and it is contained in the center of C; this involution is fixed by conjugation by each element of C and C/T cannot contain any element of order seven; C/T has order at most 24 and it is solvable.

Suppose finally that d = 4. The group GL(4, 2) has order  $2^6 \cdot 3^2 \cdot 5 \cdot 7$ ; an automorphism of order five does not centralize any involution of  $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$  (we have three

orbits with five elements) and an automorphism of order seven centralizes exactly one involution (two orbits with seven elements and one orbit with only one element). We consider the group  $Z(E) \cdot \Phi$  generated by Z(E) and  $\Phi$ . The group  $F(C)/(Z(E) \cdot \Phi)$  must have rank at most two. It follows that at least three involutions in  $F(C)/\Phi$  are projections of elements in the center of E. The group C/T cannot contain elements of order five or seven and hence the order of C/T is product of powers of 2 and 3. By Burnside's Theorem [23, Theorem 4.25, p 216], any such group is solvable.

This finishes the proof of Step 2.

## **Step 3** If E is not trivial, $\overline{G}/E$ is solvable.

The normal subgroup D is the subgroup generated by E and  $C = C_{\overline{G}}(E)$ ; we consider the factor group  $\overline{G}/D$  that is isomorphic to a subgroup of  $\mathrm{Out}(E)$ , the outer automorphism group of E. If an automorphism of E acts trivially on  $\widetilde{E} = E/Z(E)$ , it acts trivially on E; this is a consequence of the three subgroups lemma [23, (6.3), p 447; 9, Lemma 3.8, p 7] and of the fact that E is perfect. It follows that the group  $\mathrm{Out}(E)$  is a subgroup of  $\mathrm{Out}(\widetilde{E})$ .

We recall that the outer automorphism group of a simple group is solvable (for a discussion about this property, called the Schreier property, see [9, p4]).

The group  $\widetilde{E}$  is either a simple group with sectional 2-rank at most four or the direct product of two simple groups with sectional 2-rank at most two; in this last case  $\operatorname{Out}(\widetilde{E})$  contains, with index at most two, the direct product of the outer automorphism groups of the two components [9, Lemma 3.23, p 13]. In any case  $\operatorname{Out}(\widetilde{E})$  is solvable; it follows that  $\overline{G}/D$  and hence  $\overline{G}/E$  are solvable.

**Step 4** If E is trivial, there exists a normal subgroup N of G such that N is solvable and G/N is isomorphic to a subgroup of GL(4,2).

We consider  $F(\bar{G})$  the generalized Fitting subgroup of  $\bar{G}$ ; since E is trivial,  $F(\bar{G})$  coincides with the Fitting subgroup. The subgroup  $F(\bar{G})$  does not contain any element with odd order, otherwise  $\mathcal{O}(G)$  is not maximal. The generalized Fitting subgroup contains  $C_{\bar{G}}(F(\bar{G}))$  its centralizer in  $\bar{G}$  [23, Theorem 6.11, p 452] and in particular  $C_{\bar{G}}(F(\bar{G}))$  is a 2-group; the factor group of  $\bar{G}$  by  $C_{\bar{G}}(F(\bar{G}))$  is isomorphic to a subgroup of  $Aut(F(\bar{G}))$ , the automorphism group of  $F(\bar{G})$ .

We consider  $\Phi$ , the Frattini subgroup of  $F(\bar{G})$ . As a consequence, the factor group  $F(\bar{G})/\Phi$  is an elementary group. The totality of automorphisms that leave every element of  $F(\bar{G})/\Phi$  invariant is a normal 2-subgroup of  $Aut(F(\bar{G}))$  [22, Theorem 1.17, p93]. The factor group  $\bar{G}$  contains  $\bar{N}$ , a normal 2-subgroup, such that  $\bar{G}/\bar{N}$  is

isomorphic to a subgroup of GL(d,2) where d is the rank of  $F(\overline{G})/\Phi$ . We denote by N the preimage of  $\overline{N}$  with respect to the projection of G onto  $\overline{G}$ ; we remark that G/N is isomorphic to a subgroup of GL(d,2) and  $N/\mathcal{O}(G)$  is a 2-group. If G contains an involution with nonempty connected fixed point set, Theorem 0 implies that G has sectional 2-rank at most four and hence we can set d=4.

# 4 Proof of Theorem 2

To simplify the notation we denote by  $\overline{G}$  the factor group  $G/\mathcal{O}(G)$  and we denote by  $\overline{g}$  the coset  $g\mathcal{O}(G)$  where g is an element of G; by hypothesis we have an involution h in G with connected and nonempty fixed point set such that  $\overline{h}$  is contained in E, the maximal semisimple normal subgroup of  $\overline{G}$ .

In the proof we often use the following fact: by Proposition 1 and Proposition 3, if we have an involution t in G with nonempty fixed point set, the centralizer  $C_{\overline{G}}(\overline{t})$  of  $\overline{t}$  is isomorphic to subgroup of a semidirect product  $\mathbb{Z}_2 \ltimes (\mathbb{Z}_a \times \mathbb{Z}_b)$  where a generator of  $\mathbb{Z}_2$  acts on the normal subgroup  $\mathbb{Z}_a \times \mathbb{Z}_b$  by sending each element to its inverse. In particular  $C_{\overline{G}}(\overline{h})$  is isomorphic to  $C_G(h)/(C_G(h)\cap \mathcal{O}(G))$  and we call  $\overline{h}$ -rotations (resp.  $\overline{h}$ -reflections) the elements of  $C_{\overline{G}}(\overline{h})$  that are projections of h-rotations (resp. h-reflections); since  $\mathcal{O}(G)$  cannot contain h-reflections, this notation is not ambiguous.

In the proof we call a group admissible if it has a subgroup of index at most two that is isomorphic to a subgroup of a semidirect product  $\mathbb{Z}_2 \ltimes (\mathbb{Z}_a \times \mathbb{Z}_b)$ , where a generator of  $\mathbb{Z}_2$  acts on the normal subgroup  $\mathbb{Z}_a \times \mathbb{Z}_b$  by sending each element to its inverse. We note that an admissible group is solvable and a subgroup or a factor group of an admissible group is again admissible. We remark also that subgroups of  $\overline{G}$ , that contain the centralizer  $C_{\overline{G}}(\overline{h})$  with index at most two, are admissible.

**Step 1** The order of Z(E), the center of E, is a power of two, the involution  $\overline{h}$  is not contained in Z(E) and either Z(E) is cyclic or Z(E) is elementary abelian of order four and  $C_E(\overline{h})$  is elementary abelian of order eight.

The order of Z(E) is a power of two, otherwise  $\mathcal{O}(G)$  is not maximal. Since  $C_E(\bar{h})$  is solvable, the center Z(E) does not contain  $\bar{h}$ . Since  $\bar{h} \in E$ , the center Z(E) is a subgroup of  $C_E(\bar{h})$ , that is isomorphic to a subgroup of the semidirect product  $\mathbb{Z}_2 \ltimes (\mathbb{Z}_{2^n} \times \mathbb{Z}_{2^m})$ . If Z(E) contains an element with order strictly greater than two, then Z(E) can contain only  $\bar{h}$ -rotations and, since  $\bar{h} \notin Z(E)$ , the center Z(E) can contain only one involution; this fact implies that Z(E) has to be cyclic.

Suppose now that all the nontrivial elements in Z(E) have order two. If Z(E) is not cyclic, it must contain an  $\overline{h}$ -reflection which thus must commute with the whole group and we have only one possibility:  $Z(E) \cong \mathbb{Z}_2 \times \mathbb{Z}_2$  and  $C_E(\overline{h}) \cong \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ .

**Step 2** We denote by  $\widetilde{E}$  the factor group E/Z(E) and we denote by  $\widetilde{h}$  the coset  $\overline{h}Z(E)$ . We consider  $C\widetilde{E}(\widetilde{h})$  the centralizer of  $\widetilde{h}$  in  $\widetilde{E}$ .

- (1) If Z(E) is not cyclic, then  $C_{\tilde{E}}(\tilde{h})$  has order at most eight.
- (2) If Z(E) is cyclic, then  $C\tilde{E}(\tilde{h})$  contains with index at most two the factor group  $C_E(\bar{h})/Z(E)$ .

In both cases  $C_{\tilde{E}}(\tilde{h})$  is admissible.

We denote by P the subgroup  $\{\overline{f} \in E \mid \exists \, \overline{g} \in Z(E) \text{ such that } \overline{fh} \, \overline{f}^{-1} = \overline{h} \overline{g} \}$  that is the preimage of  $C\widetilde{E}(\widetilde{h})$  with respect to the standard projection of E onto  $\widetilde{E} = E/Z(E)$ ; we recall that  $C\widetilde{E}(\widetilde{h}) = P/Z(E)$ .

If Z(E) is the trivial group the thesis trivially holds.

We suppose that Z(E) is cyclic and nontrivial; we denote by  $\overline{z}$  the unique involution in Z(E) and we get the following equality:

$$P = \{ \overline{f} \in E \mid \text{ either } \overline{fh} \overline{f}^{-1} = \overline{h} \text{ or } \overline{fh} \overline{f}^{-1} = \overline{h} \overline{z} \}.$$

In this case we obtain that  $C\tilde{e}(\tilde{h})$  contains with index at most two the factor group  $C_E(\bar{h})/Z(E)$ .

Finally we suppose that Z(E) is not cyclic. By Step 1, we have that  $Z(E) \cong \mathbb{Z}_2 \times \mathbb{Z}_2$  and  $C_E(\bar{h}) \cong \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$  for the center contains an  $\bar{h}$ -reflection. The centralizer  $C_E(\bar{h})$  is a normal subgroup of P; since  $C_E(\bar{h})$  contains its centralizer in P, the factor group  $P/C_E(\bar{h})$  acts effectively on  $C_E(\bar{h})$  by conjugation and  $P/C_E(\bar{h})$  is isomorphic to a subgroup of Aut  $(\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2)$ , the automorphism group of the elementary abelian group of order eight. Moreover  $P/C_E(\bar{h})$  leaves invariant elementwise  $Z(E) \cong \mathbb{Z}_2 \times \mathbb{Z}_2$  that is a subgroup of index two in  $C_E(\bar{h})$ ; this fact implies that  $P/C_E(\bar{h})$  is a subgroup of  $\mathbb{Z}_2 \times \mathbb{Z}_2$ . So  $C_E(\tilde{h}) = P/Z(E)$ . has order at most eight. This finishes the proof of Step 2.

**Step 3** If E has one component,  $\tilde{E}$  has only one conjugacy class of involutions.

In this case  $\widetilde{E}$  is a simple group with sectional 2-rank at most four and we apply the Gorenstein-Harada classification of finite simple groups of sectional 2-rank at most four (see Gorenstein [7, p 6] and Suzuki [23, Theorem 8.12, p 513]).

The group  $\widetilde{E}$  contains  $\widetilde{h}$  and the centralizer of  $\widetilde{h}$  is admissible. We will show that no group in the Gorenstein–Harada list which has more than one conjugacy class of involutions contains an involution with an admissible centralizer.

The following list of groups contains all the simple groups with sectional 2–rank at most four and more than one conjugacy class of involutions (the algebraic properties of the simple groups can be found in the *Atlas of finite groups* [3], Sakuma [23, Chapter 6.5] or Gorenstein [7]):

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M_{12}; PSp(4, q), for q odd; J_2; \mathbb{A}_n, for 8 \le n \le 11; PSL(4, q), PSU(4, q), PSL(5, q) and PSU(5, q), for q odd.
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We can rule out directly the following groups because the centralizer of any involution in these groups is not solvable (for the groups of Lie type see Suzuki [23, 6.5.2, 6.5.7, 6.5.15]; for  $J_2$  see Gorenstein [7, p 99] or the *Atlas of finite groups* [3]):

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J_2; PSp(4, q); PSL(4, q) and PSU(4, q), for q odd, q \ge 5; PSL(5, q) and PSU(5, q), for q odd.
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The Mathieu group  $M_{12}$  and the alternating groups  $\mathbb{A}_n$ , for  $8 \le n \le 11$ , contain some involutions with solvable centralizer but the centralizers of such involutions contain  $\mathbb{S}_4$ , that is not admissible ([3] for  $M_{12}$ ).

Finally, in the groups PSp(4,3), PSL(4,3) and PSU(4,3), the centralizer of each involution contains a subgroup with a factor group isomorphic to the non admissible group  $\mathbb{A}_4 \cong PSL(2,3) \cong PSU(2,3)$  [23, 6.5.2, 6.5.7, 6.5.15]. This concludes the proof.

**Step 4** We denote by  $\widetilde{S}_2$  a Sylow 2-subgroup of  $\widetilde{E}$ ; if E has one component either  $\widetilde{S}_2$  has sectional 2-rank two or  $\widetilde{S}_2$  is an elementary abelian group with eight elements.

Since by Step 3 the involutions in  $\widetilde{E}$  are all conjugate, we can suppose that  $\widetilde{h}$  is central in  $\widetilde{S}_2$ , this implies that  $\widetilde{S}_2 = C\widetilde{S}_2(\widetilde{h})$ . We denote by  $\mathcal{E}$  the preimage of E in G with respect to the projection of G onto  $\overline{G}$ . We recall that we described Z(E) in Step 1; we consider three cases according to the structure of Z(E). We remark also that a 2-group with order at most eight which is not elementary abelian of rank three, has sectional 2-rank at most two, so when we will obtain that  $\widetilde{S}_2$  has order at most eight, we will get the thesis.

Suppose first that Z(E) is trivial. In this case  $\widetilde{S}_2$  is isomorphic to the Sylow 2-subgroup of  $\mathcal{E}$ . The involutions in  $\mathcal{E}$  are all conjugate. In fact if we consider t and t' two involutions in  $\mathcal{E}$ , we know that  $\overline{t}$  and  $\overline{t'}$  are conjugate in E, so there exists g in  $\mathcal{O}(G)$  such that t is conjugate to t'g. Since  $\mathcal{O}(G)$  has odd order, the group generated by t' and  $\mathcal{O}(G)$  has Sylow 2-subgroup of order two and all the involutions in the

group are conjugate; in particular t' and t'g are conjugate. We can conclude that t and t' are conjugate. All the involutions in  $\mathcal{E}$  are conjugate to h, so all the involutions have nonempty connected fixed point set; by Proposition 2, the group  $\mathcal{E}$  cannot contain a subgroup isomorphic to  $\mathbb{Z}_2 \times \mathbb{Z}_2 \times \mathbb{Z}_2$ . Since, by Proposition 1, the Sylow 2-subgroup of  $\mathcal{E}$  is a subgroup of the semidirect product  $\mathbb{Z}_2 \ltimes (\mathbb{Z}_{2^a} \times \mathbb{Z}_{2^b})$ , we obtain that  $\widetilde{S}_2$  is dihedral or abelian of rank two.

If Z(E) is elementary abelian of order four, by Step 2 we have that  $\widetilde{S}_2 = C_{\widetilde{S}_2}(\widetilde{h})$  has order at most eight and we get thesis.

Finally we suppose that Z(E) is cyclic and nontrivial. We consider  $S_2$  the Sylow 2-subgroup of E, the center Z(E) is contained in  $S_2$  and  $\widetilde{S}_2$  is the projection of  $S_2$ . By Step 2 we can assume that  $(C_{S_2}(\overline{h})/Z(E))$  has index at most two in  $\widetilde{S}_2$ .

If Z(E) contains an  $\overline{h}$ -reflection, by Proposition 1 the centralizer  $C_E(\overline{h})$  has order at most eight, and we conclude that  $(C_{S_2}(\overline{h})/Z(E))$  has order at most four and  $\widetilde{S}_2$  has order at most eight.

So we can suppose that Z(E) contains only  $\overline{h}$ -rotations. We denote by R the subgroup of  $\overline{h}$ -rotations contained in the Sylow 2-subgroup of E; the subgroup R contains Z(E).

We obtain that the factor group R/Z(E) is cyclic. In fact, if R/Z(E) has rank two, we have an  $\overline{h}$ -rotation  $\overline{f}$  of order different than two such that  $\overline{f} \notin Z(E)$  and  $\overline{f}^2 \in Z(E)$ . The coset  $\overline{f}Z(E)$  contains no involution and the coset  $\overline{h}Z(E)$  contains two involutions for  $\overline{h}$  is not in the center; on the other hand since  $\overline{f}Z(E)$  and  $\overline{h}Z(E)$  represent two involutions in  $\widetilde{E}$ , they are conjugate and this gives a contradiction.

This concludes the proof in the case that  $(C_{S_2}(\overline{h})/Z(E)) = \widetilde{S}_2$ .

On the other hand, if  $C_{S_2}(\bar{h})$  does not contain any  $\bar{h}$ -reflection,  $\tilde{S}_2$  contains a cyclic subgroup of index at most two, so it has sectional 2-rank at most two and the proof is finished.

So we can suppose the following two facts:

- (1)  $C_{S_2}(\bar{h})$  contains  $\bar{t}$  an  $\bar{h}$ -reflection;
- (2)  $(C_{S_2}(\overline{h})/Z(E))$  has index two in  $\widetilde{S}_2 = C_{\widetilde{S}_2}(\widetilde{h})$ ; in this case there exist two nontrivial elements  $\overline{s}$  in  $S_2$  and  $\overline{c}$  in Z(E) such that  $\overline{s}\overline{h}\overline{s}^{-1} = \overline{h}\overline{c}$ .

Since  $\overline{t}Z(E)$  is conjugate to  $\overline{h}Z(E)$  and  $\overline{t}Z(E)$  contains a number of involutions equal to the order of Z(E) (these elements are all reflections), we obtain that Z(E) has order two. Since R/Z(E) is cyclic, we have  $R \cong \mathbb{Z}_2 \times \mathbb{Z}_{2^m}$ . Moreover we obtain

that m=1; in fact, if R contains an element of order strictly greater than two, one involution between  $\overline{h}$  and  $\overline{h}\overline{c}$  is characteristic in  $C_{S_2}(\overline{h})$  (all the involutions which are obtained as powers of elements of order strictly greater than two coincide) and this is in contradiction with the existence of  $\overline{s}$ . We can conclude that  $C_{S_2}(\overline{h})$  is an elementary group of order eight and  $\widetilde{S}_2$  has order eight.

**Step 5** If E has one component, E is isomorphic to PSL(2, q), with  $q \ge 5$ .

By Step 3 the simple group  $\tilde{E} = E/Z(E)$  has only one conjugacy class of involutions and by Step 4  $\tilde{E}$  has sectional 2-rank smaller than two or  $\tilde{S}_2$  is an elementary abelian group of order eight. In the Gorenstein-Harada list we find the following groups that satisfy these properties and that were not already excluded in Step 3:

```
PSL(2, q), for q odd and q \ge 5; PSL(3, q) and PSU(3, q) for q odd; M_{11}; A_7; J_1; PSL(2, 8); {}^2G_2(3^n), for n > 1.
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We recall that  $\tilde{E}$  has to contain  $\tilde{h}$  an involution with admissible centralizer.

In the groups  $J_1$  and  ${}^2G_2(3^n)$  for n > 1 the centralizer of an involution is isomorphic to the non admissible group  $\mathbb{Z}_2 \times \mathrm{PSL}(2,q)$  with q > 5 [23, p 514].

We can rule out PSL(3, q) and PSU(3, q) for q odd,  $q \ge 5$ , because the centralizer of any involution in these groups is not solvable [23, 6.5.2, 6.5.15].

We consider the groups PSL(3,3), PSU(3,3) and  $M_{11}$ . The centralizer of any involution in these groups has a subgroup which has the alternating group  $\mathbb{A}_4 \cong PSL(2,3) \cong PSU(2,3)$  as a factor group and so it is not admissible [23, 6.5.2, 6.5.15; 3].

The group PSL(2,8) does not admit central perfect extension [3]; in this case Z(E) should be trivial. The Sylow 2–subgroup of PSL(2,8) is elementary abelian of order eight, by the same argument used in Step 4 for the case of Z(E) trivial we can exclude this group.

We consider  $\mathbb{A}_7$ . If Z(E) is not trivial, the unique central extension of  $\mathbb{A}_7$  with center of order a power of two is  $\mathbb{A}_7^*$ . The Sylow 2-subgroup of  $\mathbb{A}_7^*$  is a quaternion group of order eight and it contains a unique involution that is central in the group and this is impossible. We can suppose that Z(E) is trivial and  $E \cong \mathbb{A}_7$ . We consider the centralizer of the involution  $\overline{h}$  in  $\mathbb{A}_7$ ; we can suppose up to conjugation that  $\overline{h}$  is the permutation (1,2)(3,4). The centralizer contains (5,6,7), (1,3)(2,4) and (1,2)(5,6); the involution (1,3)(2,4) commutes with the element of order three (5,6,7), so (1,3)(2,4) is an  $\overline{h}$ -rotation. On the other hand (1,3)(2,4) and (1,2)(5,6) do not commute and by Proposition 1, this cannot occur.

Finally we consider the groups PSL(2,q), with  $q \ge 5$ . The only central perfect extension of PSL(2,q) with nontrivial center of order a power of two is SL(2,q), that contains a unique involution that is central in the group and this is not possible. The only remaining possibility is that Z(E) is trivial and E is isomorphic to PSL(2,q), with  $q \ge 5$ .

**Step 6** If E has two components, E is isomorphic to  $SL(2,q) \times_{\mathbb{Z}_2} SL(2,q')$ , with q and q' odd prime powers greater than four.

We consider  $\widetilde{E} = \widetilde{A} \times \widetilde{B}$  where  $\widetilde{A}$  and  $\widetilde{B}$  are two simple groups. By Step 3  $\widetilde{A}$  and  $\widetilde{B}$  have sectional 2-rank two. The simple groups with this property are:

PSL(2,q), for q odd and  $q \ge 5$ ;  $M_{11}$ ;  $A_7$ ; PSL(3,q) and PSU(3,q), for q odd.

By Step 2 we recall that we have an involution  $\widetilde{h}$  in  $\widetilde{E}$  such that its centralizer is admissible. We have that  $\widetilde{h}=(\widetilde{h}_A,\widetilde{h}_B)$  where  $\widetilde{h}_A\in\widetilde{A}$  and  $\widetilde{h}_B\in\widetilde{B}$ . The centralizer of  $C_{\widetilde{E}}(\widetilde{h})$  is the direct product of  $C_{\widetilde{A}}(\widetilde{h}_A)$  and  $C_{\widetilde{B}}(\widetilde{h}_B)$ . We remark that  $\widetilde{h}_A$  and  $\widetilde{h}_B$  cannot be the identity of the group otherwise the centralizer of  $\widetilde{h}$  is not solvable, so they are involutions. The two centralizers  $C_{\widetilde{A}}(\widetilde{h}_A)$  and  $C_{\widetilde{B}}(\widetilde{h}_B)$  must be admissible groups. This condition excludes as components  $M_{11}$ , PSL(3,q) and PSU(3,q), with q odd, because they do not contain any involution with admissible centralizer (see Step 5).

So we obtain that  $\widetilde{A}$  an  $\widetilde{B}$  are isomorphic to  $\mathrm{PSL}(2,q)$  or  $\mathbb{A}_7$ . If Z(E) is trivial, the centralizer of each involution in E contains an elementary abelian group of order sixteen; moreover the group  $\widetilde{E}=E$  contains the involution  $\overline{h}$  and the centralizer of  $\overline{h}$  cannot contain any elementary abelian group of order sixteen. We can suppose that Z(E) is not trivial, that is at least one between the components of E is not simple. By Step 1, the center Z(E) is a 2-group. The central perfect extensions of  $\mathrm{PSL}(2,q)$  and  $\mathbb{A}_7$  with center with order a power of two are  $\mathrm{SL}(2,q)$  and  $\mathbb{A}_7^*$  that contain a unique involution that is central in the groups. So E cannot be a direct product of its components otherwise the centralizer of each involution in E contains a nonsolvable group. We obtain that  $E = A \times_{\mathbb{Z}_2} B$  where  $A, B \cong \mathrm{SL}(2,q)$  or  $\mathbb{A}_7^*$ .

Finally we exclude  $\mathbb{A}_7^*$  as a possible component. We consider  $\overline{h} = (\overline{h}_A, \overline{h}_B)$ , where  $\overline{h}_A \in A$  and  $\overline{h}_B \in B$ . The centralizer of  $\overline{h}$  contains the centralizer of  $\overline{h}_A$  in A and the centralizer of  $\overline{h}_B$  in B. If one between  $\overline{h}_A$  and  $\overline{h}_B$  is the identity or is an element of order two, the centralizer of  $\overline{h}$  is not solvable. To have an admissible centralizer for  $\overline{h}$ , we have to suppose that both  $\overline{h}_A$  and  $\overline{h}_B$  have order four (note that  $\overline{h}$  has order two). Any element of order four in  $\mathbb{A}_7^*$  contains in its centralizer noncommuting elements of order eight and three which contradicts Proposition 1 and Proposition 3 (see the *Atlas of finite groups* [3] for the structure of  $\mathbb{A}_7^*$ ).

**Final step** We denote by  $C=C_{\overline{G}}(E)$  the centralizer of E in  $\overline{G}$ . Since E is normal in G, the group C is normal in G. Since C is contained in the normalizer of  $\overline{h}$  it is isomorphic to a subgroup of the semidirect product  $\mathbb{Z}_2 \ltimes (\mathbb{Z}_a \times \mathbb{Z}_b)$ . The maximal subgroup of odd order in C is unique and so is characteristic, thus it is normal in G. It follows that C has to be a 2-group otherwise  $\mathcal{O}(G)$  is not maximal. We denote by D the subgroup generated by E and C; the subgroup D is a central product  $E \times_{Z(E)} C$ . The factor group of  $\overline{G}$  by D is a subgroup of  $\mathrm{Out}(E)$ , the outer automorphism group of E.

Consider first the case  $E \cong \mathrm{PSL}(2,q)$ ; in this case  $D = E \times C$ . In E all the involutions are conjugate, so the centralizer in  $\overline{G}$  of each involution of E is isomorphic to a subgroup of the semidirect product  $\mathbb{Z}_2 \ltimes (\mathbb{Z}_a \times \mathbb{Z}_b)$ . The subgroup E contains an elementary subgroup isomorphic to  $\mathbb{Z}_2 \times \mathbb{Z}_2$ ; the subgroup E centralizes each involution in E. Since the only possible abelian 2-group with rank at least three, contained in  $\mathbb{Z}_2 \ltimes (\mathbb{Z}_a \times \mathbb{Z}_b)$ , is the elementary abelian subgroup of order eight, then either E is trivial or E is trivial or E is trivial or E in E in E in E in the elementary abelian group of E is isomorphic to E in E in E in E in E in E is isomorphic to E in E in E in E in this case E in this case E is isomorphic to E in E in E in this case E in this case E is isomorphic to E in E in this case E is isomorphic to E in E in E in this case E in this case E is isomorphic to E in E in this case E in this case E is isomorphic to E in E in E in this case E in this case E is isomorphic to E in E in E in this case E is isomorphic to E in E in

Suppose now that E has two components; the factor group D/Z(E) is isomorphic to  $E/Z(E) \times C/Z(E)$ . Since E/Z(E) has sectional 2-rank four and D/Z(E) has sectional 2-rank at most four, it follows that C/Z(E) has to be trivial and E = D. The set of the components of E is uniquely determined by the group and any automorphism of E induces a permutation on the set of its components [9, Theorem 3.5, p7]; if  $E = A \times_{\mathbb{Z}_2} B$ , then the outer automorphism group of E contains with index at most two a subgroup isomorphic to  $Out(A) \times Out(B)$  [9, Lemma 3.23, p13]. The outer automorphism group of SL(2,q) is the same as that of PSL(2,q) that is isomorphic to  $\mathbb{Z}_2 \times \mathbb{Z}_n$ . This concludes the proof.

#### 5 Proof of Theorem 3

We denote by H (resp. H') the transformation group of K (resp. K'); each nontrivial element of H (resp. H') fixes pointwise the same simple connected curve  $\widetilde{K}$  (resp.  $\widetilde{K'}$ ) in M that is the preimage of K (resp. K') in M. Since M is hyperbolic, by Thurston's orbifold geometrization theorem [1], we can suppose, up to conjugation, that the transformation groups are contained in G.

We note that  $\widetilde{K}$  and  $\widetilde{K}'$  do not coincide, even after conjugation. If n=m it follows from the fact that K and K' are inequivalent. If  $n \neq m$  and H' fixes pointwise  $\widetilde{K}$  we obtain some nontrivial symmetries of the knot K which fix pointwise the knot and this is impossible by the positive solution to the Smith Conjecture.

For each prime divisor p of n (resp. m) we denote by  $H_p$  (resp.  $H'_p$ ) the Sylow p-subgroup of H (resp. H').

**Step 1** Suppose that a subgroup of H with order strictly greater than two normalizes a subgroup of H' with order strictly greater than two, then H commutes elementwise with H'; in particular K and K' arise from the standard abelian construction. The same statement holds inverting the roles of K and K'.

We denote by B the subgroup of H and by B' the subgroup of H'. The subgroup B normalizes B'; since B has order strictly greater than two, Proposition 1 implies that B commutes elementwise with B'. The group B' fixes setwise  $\widetilde{K}$ ; if  $f \in B'$  we obtain that  $fHf^{-1}$  fixes pointwise  $\widetilde{K}$ . Since there exists at most one cyclic group of given order that fixes pointwise a connected curve, we obtain that B' normalizes H. By Proposition 1, the groups B' and H commute elementwise. Using the same argument as before we obtain that H and H' commute elementwise, this concludes the proof.

**Step 2** Let B be a subgroup of G and let p be an odd prime number such that p divides the order of  $B \cap H$  or the order of  $B \cap H'$ . Then  $S_p$ , the Sylow p-subgroup of B, is abelian of rank one or two; there are exactly one or two simple closed curves in M that are fixed by some nontrivial element of  $S_p$  with connected fixed point set; the normalizer  $N_G(S_p)$  of  $S_p$  in G is solvable.

**Remark** The statement of Step 2 may appear rather technical but it has the advantage that, in this form, it applies directly throughout the remaining steps.

Without loss of generality, we suppose that p divides the order of  $B \cap H$ . Up to conjugation we can suppose that  $H_p \cap B$  is contained in  $S_p$ .

We consider  $N=N_{S_p}(H_p\cap B)$  the normalizer of  $H_p\cap B$  in  $S_p$ . By Proposition 1 the group N is abelian of rank at most two. By Step 1 the group N projects to a group of symmetries of K. Since M is hyperbolic, K is a hyperbolic knot and in particular is not the unknotted circle. By the positive solution of the Smith conjecture,  $N/(H_p\cap B)$  is cyclic and there exists at most one connected simple closed curve fixed pointwise by elements of  $N/(H_p\cap B)$ . An element of N, that is not contained in  $H_p$  and has nonempty fixed point set, projects to a nontrivial symmetry of K with nonempty fixed point set; moreover  $H_p\cap B$  fixes setwise the fixed point set of any element of N. Thus in N there exists at most one maximal cyclic subgroup different from  $H_p\cap B$  with nonempty connected fixed point set.

If f is an element of  $S_p$  that normalizes N, it acts by conjugation on the set of maximal cyclic subgroups with nonempty connected fixed point set. Since these groups are at most two and p is odd, the action must be trivial and f normalizes  $H_p \cap B$ . We have that  $N_{S_p}(N) = N$  and by [22, Theorem 1.6, p88]  $S_p = N$ .

Finally we consider the normalizer  $N_G(S_p)$  of  $S_p$  in G. The group  $N_G(S_p)$  acts by conjugation on the set of maximal cyclic subgroups of  $S_p$  with nonempty connected fixed point set. Since these groups are at most two, the normalizer  $N_G(S_p)$  contains with index at most two  $N_G(H_p)$  that is solvable. This concludes the proof.

**Step 3** Let B (resp. B') be a subgroup of H (resp. H') such that the order of B (resp. B') is not a power of two. If B and B' generate a subgroup  $B \cdot B'$  of G that does not contain any involution with connected and nonempty fixed point set, then K and K' arise from the standard abelian construction. In particular if G does not contain any involution with connected and nonempty fixed point set, then K and K' arise from the standard abelian construction.

Let p be an odd prime number that divides the order of B, the subgroup  $H \cap B$  contains a nontrivial p-group. We denote by  $S_p$  a p-Sylow of  $B \cdot B'$ ,  $S_p$  is abelian of rank at most two.

If p divides also the order of B', we can suppose that a nontrivial subgroup of B and a nontrivial subgroup of B' are contained in the same Sylow p-subgroup of  $B \cdot B'$ . By Step 1 this implies that K and K' arise from the standard abelian construction.

We can suppose that an odd prime number q, different from p, divides the order of B'. By Step 2, we deduce that  $S_p$  contains exactly one or two maximal cyclic subgroups with nonempty connected fixed point set; up to conjugation we can suppose that one of these groups is  $S_p \cap H$ . We consider N the normalizer of  $S_p$  in  $B \cdot B'$ . The group N acts by conjugation on the set of the maximal cyclic subgroups with nonempty connected fixed point set; N contains with index at most two  $N_0$ , the normalizer of  $S_p \cap H$  in  $B \cdot B'$ . We recall that H fixes pointwise  $\widetilde{K}$  that is a simple closed curve.

We prove that  $N_0$  is abelian. Suppose that  $N_0$  contains t, an involution with nonempty fixed point set that acts as a reflection on  $\widetilde{K}$ . Since t fixes setwise  $\widetilde{K}$ , it normalizes H and projects to a strong inversion of the knot K. Any strong inversion of K has connected fixed point set; since K is connected, also t has connected fixed point set. We suppose that  $B \cdot B'$  does not contain any involution with nonempty connected fixed point set, so each element of the group  $N_0$  acts as a rotation on  $\widetilde{K}$  and it is abelian.

Now we prove that  $N = N_0$ . If  $N \neq N_0$ , there exists an element  $f \in N$  such that  $f(S_p \cap H)f^{-1} \neq (S_p \cap H)$ . The group  $f(S_p \cap H)f^{-1}$  and  $S_p \cap H$  commute

elementwise. The fixed point set of  $f(S_p \cap H)f^{-1}$  is  $f(\widetilde{K})$ , a simple closed curve that is distinct from  $\widetilde{K}$ . We consider the group  $fHf^{-1}$  that fixes pointwise the simple closed curve  $f(\widetilde{K})$ ; since  $f(\widetilde{K})$  is distinct from  $\widetilde{K}$  the groups  $fHf^{-1}$  and H intersect trivially. Moreover by Step 1, the groups  $fHf^{-1}$  and H commute elementwise. A generator of  $fHf^{-1}$  projects to a cyclic symmetry of K with order n and with nonempty connected fixed point set. Finally we obtain also that the associated quotient link is symmetric; in fact f normalizes the group generated by  $fHf^{-1}$  and H and it projects to the quotient link exchanging the two components. If  $N \neq N_0$ , the knot K should be self-symmetric and this is excluded by hypothesis.

We have obtained that the normalizer of  $S_p$  in  $B \cdot B'$  is abelian, in particular  $S_p$  is contained in the center of its normalizer. By [23, Theorem 2.10, p 143]  $B \cdot B'$  splits as a semidirect product  $U \rtimes S_p$ . We have supposed that there exists q different from p such that q divides the order of B'. Any Sylow q-subgroup is contained in U and  $S_p$  acts by conjugation on the set of Sylow q-subgroups. Since p does not divide the order of U, it follows that some orbit has only one element. We obtain a Sylow q-subgroup  $S_q$  that is normalized by  $S_p$ ; up to conjugation we can suppose that the intersection of  $S_q$  and  $S_p$  is not trivial. By Step 2 we obtain that  $S_p \cap S_p$  normalizes  $S_q \cap S_p'$ ; by Step 1 we obtain that  $S_p \cap S_p'$  arise from the standard abelian construction.

**Step 4** Let B (resp. B') be a subgroup of H (resp. H') such that the order of B (resp. B') is not a power of two. If B and B' generate a solvable subgroup  $B \cdot B'$  of G, then K and K' arise from the standard abelian construction.

As in step 3 we can suppose that there exist two different odd primes p and q, such that p divides the order of B and q divides the order of B'. By Sylow theorems for solvable groups, we obtain that there exists A, a subgroup of  $B \cdot B'$  with order  $p^{\alpha}q^{\beta}$ , that contains a Sylow p-subgroup and a Sylow q-subgroup of  $B \cdot B'$ . Up to conjugation we can suppose that the intersection of A both with B and with B' is not trivial. The group A does not contain any involution, so applying Step 3 to A we obtain that K and K' arise from the standard abelian construction.

**Step 5** If E is not trivial, either K and K' arise from the standard abelian construction or there exists in G an involution h with nonempty connected fixed point set such that  $h\mathcal{O}(G) \in E$ .

If G does not contain any involution with nonempty connected fixed point set, by Step 3 the knots K and K' arise from the standard abelian construction.

We can suppose that G contains one involution with nonempty connected fixed point set. We denote by  $\mathcal{E}$  the preimage of E in G with respect to the projection of G onto  $O/\mathcal{O}(G)$ ; by Theorem 1 the factor group  $G/\mathcal{E}$  is solvable.

Suppose that the group  $\mathcal{E}$  does not contain any involution with nonempty connected fixed point set. Let p be an odd prime number that divides n and let q be an odd prime number that divides m. By the Sylow Theorem for solvable groups, there exists a subgroup  $\mathcal{E}'$  of G that contains  $\mathcal{E}$ , with the following properties:

- $\mathcal{E}'$  contains a Sylow p-subgroup and a Sylow q-subgroup of G;
- the factor group  $\mathcal{E}'/\mathcal{E}$  has order  $p^{\alpha}q^{\beta}$ .

All the involutions in  $\mathcal{E}'$  are contained in  $\mathcal{E}$ , so  $\mathcal{E}'$  does not contain any involution with nonempty connected fixed point set. Moreover, up to conjugation, we can suppose that  $\mathcal{E}'$  contains a covering transformation of order p (resp. q) of K (resp. K'). By Step 3 the knots K and K' arise from the standard abelian construction.

**Step 6** If E is trivial, either K and K' arise from the standard abelian construction or there exists a normal subgroup N of G such that N is solvable and G/N is isomorphic to a subgroup of GL(4,2). In this case if K and K' do not arise from the standard abelian construction all prime divisors of n and m are contained in  $\{2,3,5,7\}$ .

If G does not contain any involution with connected fixed point set, by Step 3, the knots K and K' arise from the standard abelian construction.

If G contains an involution with connected fixed point set, by Theorem 1 there exists a normal subgroup N of G such that N is solvable and G/N is isomorphic to a subgroup of GL(4,2).

Finally we prove that, if the intersection group  $H \cap N$  contains a nontrivial element of odd order, then K and K' arise from the standard abelian construction. Let p be an odd prime number that divides the order of  $H \cap N$ , let q be an odd prime number that divides m. We can suppose that p is different from q, otherwise by Step 2 the knots K and K' arise from the standard abelian construction.

By the Sylow Theorem applied to G/N, there exists a subgroup N' of G that contains N, with the following properties:

- N' is solvable:
- N' contains a Sylow q-subgroup of G.

By Step 4 the knots K and K' arise from the standard abelian construction.

The same property holds if  $H' \cap N$  contains a nontrivial element of odd order.

Since the order of GL(4, 2) is  $7 \cdot 5 \cdot 3^2 \cdot 2^6$ , the Sylow p-subgroups of G are contained in N when  $p \neq 2, 3, 5, 7$ . So if K and K' do not arise from the standard abelian construction, all prime divisors of n and m are contained in  $\{2, 3, 5, 7\}$ .

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