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A characterisation of the lines external to a quadric cone in PG(3, q), q odd

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Abstract

In this article, the lines not meeting a quadric cone in PG(3, q) (q odd) are characterised by their intersection properties with points and planes.

Keywords: projective space, quadric cone, lines, characterisation

MSC 2000: 51E20

1. Introduction

Recently, Durante and Olanda [4] and Di Gennaro, Durante and Olanda [3] have characterised the lines external to the non-singular quadrics in PG(3,q) using their combinatorial properties. These results are listed below.

Theorem 1.1 ([4]). Let \mathcal{L} be a set of lines in PG(3, q), q > 2 such that:

- (i) Every point lies on 0 or $\frac{1}{2}q(q+1)$ lines of \mathcal{L} ;
- (ii) Every plane contains q^2 or $\frac{1}{2}q(q-1)$ lines of \mathscr{L} .

Then $\mathcal L$ is the set of external lines to an ovoid of PG(3,q).

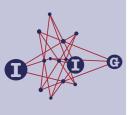
Theorem 1.2 ([3]). Let \mathcal{L} be a non-empty set of lines in PG(3,q), q odd such that:

- (i) Every point lies on 0 or $\frac{1}{2}q(q-1)$ lines of \mathcal{L} ;
- (ii) Every plane contains 0 or $\frac{1}{2}q(q-1)$ lines of \mathcal{L} ;
- (iii) In every plane there are 0, $\frac{1}{2}(q-1)$ or $\frac{1}{2}(q+1)$ lines of $\mathscr L$ through any point.

Then the set of points on no lines of \mathcal{L} forms either one line, two skew lines or a hyperbolic quadric. In the last case, \mathcal{L} is precisely the set of external lines to the hyperbolic quadric.











page 2 / 10

go back

full screen

close

quit

Theorem 1.3 ([3]). Let \mathcal{L} be a non-empty set of lines in PG(3, q), q even, q > 2 such that:

(i) In every plane there are 0 or $\frac{1}{2}q$ lines of $\mathcal L$ through any point.

Then the set of points on no lines of \mathcal{L} forms either one line, two skew lines or a hyperbolic quadric. In the last case, \mathcal{L} is precisely the set of external lines to the hyperbolic quadric.

It is also possible to characterise the external lines to the *singular* irreducible quadric in PG(3, q). That is, the *quadric cone*. Barwick and Butler have provided this characterisation in the case when q is even:

Theorem 1.4 ([1]). Let \mathcal{L} be a non-empty set of lines in PG(3,q), q even, such that:

- (i) Every point lies on 0 or $\frac{1}{2}q^2$ lines of \mathcal{L} ;
- (ii) Every plane contains 0, q^2 or $\frac{1}{2}q(q-1)$ lines of \mathscr{L} .

Then \mathcal{L} is the set of external lines to a hyperoval cone of PG(3,q), and hence is the set of external lines to q+2 oval cones.

In this article, we give a characterisation of the quadric cone when q is odd. In particular, we prove the following theorem:

Theorem 1.5. Let \mathcal{L} be a non-empty set of lines in PG(3,q) (q odd) such that:

- (i) Every point lies on 0, $\frac{1}{2}q(q+1)$ or $\frac{1}{2}q(q-1)$ lines of \mathcal{L} ;
- (ii) Every plane contains 0, q^2 or $\frac{1}{2}q(q-1)$ lines of \mathcal{L} ;
- (iii) For any point P, if P is on two planes which contain the same number of lines of \mathcal{L} , then P is on the same number of lines of \mathcal{L} in both planes.

Then \mathcal{L} is the set of external lines to a quadric cone.

Note that a similar characterisation of the planes meeting a non-singular quadric of PG(4, q) in a conic is given in the preprint [2].

2. The proof of Theorem 1.5

Let \mathcal{L} be a set of lines as described in Theorem 1.5. We will prove that \mathcal{L} is the set of lines external to a quadric cone by a series of lemmas. In order to make the argument clearer, we will introduce some terminology:









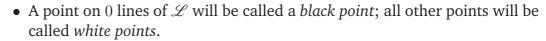
page 3 / 10

go back

full screen

close

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- A (white) point on $\frac{1}{2}q(q-1)$ lines of $\mathscr L$ will be called an *external point* and a (white) point on $\frac{1}{2}q(q+1)$ lines of $\mathscr L$ will be called an *internal point*.
- A plane containing 0 lines of \mathcal{L} will be called a *0-plane*.
- A plane containing q^2 lines of \mathcal{L} will be called a *V-plane*.
- A plane containing $\frac{1}{2}q(q-1)$ lines of \mathscr{L} will be called a *secant plane*.

We show that the set of black points is a quadric cone \mathscr{C} , and that \mathscr{L} is precisely the set of external lines to \mathscr{C} . The 0-planes are those planes containing a generator of \mathscr{C} , the V-planes are those planes that meet \mathscr{C} in only its vertex, and the secant planes are those planes that meet \mathscr{C} in a conic.

We are now ready to state the first lemma:

Lemma 2.1. For a white point P, every line of \mathcal{L} through P is on the same number of V-planes.

Proof. Let P be a white point and let L_P be the number of lines of \mathscr{L} through P. By Condition (iii) of Theorem 1.5, P lies on the same number of lines of \mathscr{L} in every secant plane through P. Let this number of lines be L_{Ps} . Similarly, P lies on the same number of lines of \mathscr{L} in every V-plane through P. Let this number be L_{Pv} .

Let ℓ be a line of $\mathscr L$ through P and let v_ℓ be the number of V-planes through ℓ . Since a 0-plane contains no lines of $\mathscr L$, there are no 0-planes through ℓ . So, the number of secant planes through ℓ is $(q+1-v_\ell)$. We will count the lines of $\mathscr L$ through P by considering the lines of $\mathscr L$ through P in each plane about ℓ .

Each V-plane through ℓ contains L_{Pv} lines of $\mathscr L$ through P, including ℓ . Each secant plane through ℓ contains L_{Ps} lines of $\mathscr L$ through P, including ℓ . Counting this way, we have included ℓ itself q+1 times. So:

$$L_P = v_{\ell} L_{Pv} + (q + 1 - v_{\ell}) L_{Ps} - q.$$
 (1)

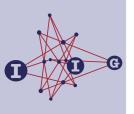
In the above equation, L_P , L_{Pv} and L_{Ps} are constants, so v_ℓ is uniquely determined by P. That is, every line of $\mathscr L$ through P lies on the same number of V-planes.

Lemma 2.2. A line of \mathcal{L} lies on at most two V-planes.

Proof. Let ℓ be a line of \mathscr{L} . Let v_{ℓ} be the number of V-planes through ℓ and I_{ℓ} the number of internal points on ℓ . Since ℓ contains no black points, there are $(q+1-I_{\ell})$ external points on ℓ ; and since ℓ lies on no 0-planes, there are











page 4 / 10

go back

full screen

close

quit

 $(q+1-v_{\ell})$ secant planes through ℓ . Let L_{ℓ} be the number of lines of \mathscr{L} meeting ℓ (not including ℓ itself). We will count these lines in two ways.

We first count L_ℓ by considering the lines of $\mathscr L$ through each point on ℓ . Each internal point is on $\frac{1}{2}q(q+1)$ lines of $\mathscr L$ (including ℓ), and each external point is on $\frac{1}{2}q(q-1)$ lines of $\mathscr L$ (including ℓ). Counting this way, we have included ℓ itself q+1 times, so $L_\ell=\frac{1}{2}q(q+1)I_\ell+\frac{1}{2}q(q-1)(q+1-I_\ell)-(q+1)$.

On the other hand, we may also count L_ℓ by considering the lines of $\mathscr L$ in each plane through ℓ . Each V-plane contains q^2 lines of $\mathscr L$ (including ℓ), and each secant plane contains $\frac{1}{2}q(q-1)$ lines of $\mathscr L$ (including ℓ). Again, we have included ℓ itself (q+1) times, so $L_\ell = q^2v_\ell + \frac{1}{2}q(q-1)(q+1-v_\ell) - (q+1)$.

Equating the above two expressions for L_{ℓ} and simplifying gives:

$$(q+1)v_{\ell} = 2I_{\ell}. \tag{2}$$

Now
$$I_{\ell} \leq q+1$$
, so $(q+1)v_{\ell} \leq 2(q+1)$. Thus $v_{\ell} \leq 2$.

Lemma 2.3. Every point in a V-plane π is on 0 or q lines of \mathcal{L} in π .

Proof. Let π be a V-plane. We begin by showing that every point of π lies on at most q lines of $\mathscr L$ in π . Suppose that P is a point of π on q+1 lines of $\mathscr L$ in π . Let L_P be the total number of lines of $\mathscr L$ through P and v_P be the number of V-planes through P. By Condition (iii) of Theorem 1.5, every V-plane through P contains the same number of lines of $\mathscr L$ through P. That is, every V-plane through P contains q+1 lines of $\mathscr L$ through P. Also, by Lemma 2.1, every line of $\mathscr L$ through P lies on the same number of V-planes. Let this number be $v_{P\ell}$. By Lemma 2.2, $v_{P\ell} \leq 2$. However, since P lies on lines of $\mathscr L$ in the V-plane π , every line of $\mathscr L$ through P is on at least one V-plane. That is, $v_{P\ell}=1$ or P0. We will form an equation relating P1, P2 and P3 by counting a set of pairs.

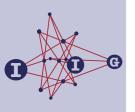
Let $X=\{(\ell,\alpha)\mid \ell \text{ is a line of } \mathscr{L} \text{ through } P, \alpha \text{ is a V-plane through } \ell \}.$ Counting ℓ then α , we have L_P lines of \mathscr{L} through P and $v_{P\ell}$ V-planes through each. So $|X|=L_Pv_{P\ell}$. Counting α then ℓ , we have v_P V-planes through P and (q+1) lines of \mathscr{L} through P in each. So $|X|=(q+1)v_P$. Thus:

$$(q+1)v_P = L_P v_{P\ell} \,. \tag{3}$$

Suppose $v_{P\ell}=1$. That is, suppose that there is exactly one V-plane through each line of $\mathscr L$ containing P. Any V-plane α through P other than π will meet π in a line through P. Since all lines through P in π are lines of $\mathscr L$, the line $\alpha\cap\pi$ is a line of $\mathscr L$ with two V-planes through it. However, each line of $\mathscr L$ through P lies on exactly one V-plane. So, P lies on no V-plane other than π . That is $v_P=1$. Equation (3) now becomes $q+1=L_P$. Now $L_P=\frac{1}{2}q(q-1)$











page 5 / 10

go back

full screen

close

quit

or $\frac{1}{2}q(q+1)$, and neither of these can be equal to q+1 for odd integer q. Thus $v_{P\ell} \neq 1$ and hence $v_{P\ell} = 2$.

Since every line of $\mathscr L$ through P lies on two V-planes, the q+1 lines of $\mathscr L$ in π define q+1 further V-planes. There can be no further V-planes through P as any plane through P other than π must meet π in a line through P. Thus $v_P=q+2$. Equation (3) now becomes $(q+1)(q+2)=2L_P$. Now $2L_P=q(q+1)$ or q(q-1). Both of these are contradictions, so the point P cannot exist and every point of π lies on at most q lines of $\mathscr L$ in π .

Let ℓ be a line of $\mathscr L$ in π . Since every line in π meets ℓ , we may count the lines of $\mathscr L$ in π by counting the lines of $\mathscr L$ through each point on ℓ . For $i=1,\ldots,q$, let a_i be the number of points of ℓ on i lines of $\mathscr L$. (Recall that every point of π is on at most q lines of $\mathscr L$ in π .) Counting this way, we have included ℓ itself q+1 times — once for each point on ℓ . Thus:

$$a_1 \cdot 1 + \dots + a_{q-1} \cdot (q-1) + a_q \cdot q = q^2 + q.$$
 (4)

We also have:

$$a_1 + \dots + a_{q-1} + a_q = q + 1.$$
 (5)

Subtracting equation (4) from q times equation (5) gives:

$$(q-1) \cdot a_1 + \dots + 1 \cdot a_{q-1} = 0.$$
 (6)

Now $q-1,\ldots,1>0$ and $a_1,\ldots,a_{q-1}\geq 0$, so equation (6) is only possible if $a_1=\cdots=a_{q-1}=0$.

Hence, $a_q = q + 1$ and all points on a line of \mathscr{L} in π are on q lines of \mathscr{L} in π . That is, all points of π are on 0 or q lines of \mathscr{L} in π .

Lemma 2.4. Every line of \mathcal{L} lies on one V-plane and q secant planes. Also, every line of \mathcal{L} contains $\frac{1}{2}(q+1)$ internal points and $\frac{1}{2}(q+1)$ external points.

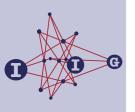
Proof. Let ℓ be a line of $\mathscr L$ lying on v_ℓ V-planes and containing I_ℓ internal points. Equation (2) in Lemma 2.2 states that $2I_\ell=(q+1)v_\ell$. Also, by Lemma 2.2, $v_\ell \leq 2$. We will rule out the cases of $v_\ell=0$, 2 by considering the lines through one point on ℓ .

Let P be a point on ℓ lying on L_P lines of $\mathscr L$ in total and L_{Ps} lines of $\mathscr L$ in each secant plane. If π is a V-plane through ℓ , then P lies on at least one line of $\mathscr L$ in π . Lemma 2.3 implies that P lies on q lines in π , so by Condition (iii) of Theorem 1.5, P lies on q lines of $\mathscr L$ in each V-plane. Using equation (1) in Lemma 2.1, we have:

$$L_P = v_\ell \cdot q + (q+1-v_\ell)L_{Ps} - q.$$











page 6 / 10

go back

full screen

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If $v_{\ell}=0$, then from equation (2) in Lemma 2.2, $I_{\ell}=0$, so all points on ℓ are external points. Thus $L_P=\frac{1}{2}q(q-1)$. Hence:

$$\frac{1}{2}q(q-1) = (q+1)L_{Ps} - q;$$

$$L_{Ps} = \frac{1}{2}q.$$

But q is odd, so $\frac{1}{2}q$ is not an integer. This is a contradiction, so $v_{\ell} \neq 0$.

If $v_{\ell}=2$, then from equation (2) in Lemma 2.2, $I_{\ell}=q+1$, so all points on ℓ are internal points. Thus $L_P=\frac{1}{2}q(q+1)$. Hence:

$$\frac{1}{2}q(q+1) = 2q + (q-1)L_{Ps} - q;$$

$$L_{Ps} = \frac{1}{2}q.$$

This is a contradiction as before, so $v_{\ell} \neq 2$.

Hence $v_{\ell}=1$ and $I_{\ell}=\frac{1}{2}(q+1)\cdot 1=\frac{1}{2}(q+1)$. This leaves q secant planes through ℓ and $\frac{1}{2}(q+1)$ external points on ℓ .

Note that the above lemma ensures the existence of secant planes, V-planes, internal points and external points as $\mathcal L$ is non-empty.

Lemma 2.5. An internal point lies on q lines of $\mathscr L$ in every V-plane and $\frac{1}{2}(q+1)$ lines of $\mathscr L$ in every secant plane. An external point lies on q lines of $\mathscr L$ in every V-plane and $\frac{1}{2}(q-1)$ lines of $\mathscr L$ in every secant plane.

Proof. Let P be a white point and let ℓ be a line of $\mathscr L$ through P. By Lemma 2.4, ℓ is contained in a unique V-plane. Let this plane be π . In the plane π , P lies on at least one line of $\mathscr L$, and so by Lemma 2.3, P lies on q lines of $\mathscr L$ in π . Condition (iii) of Theorem 1.5 implies that every V-plane through P contains the same number of lines of $\mathscr L$ through P. Thus P lies on exactly q lines of $\mathscr L$ in every V-plane.

Let L_{Ps} be the number of lines of \mathscr{L} through P in a secant plane and let L_P be the total number of lines of \mathscr{L} through P. We can now use equation (1) from Lemma 2.1. Through ℓ there are q secant planes and one V-plane, and the V-plane contains q lines of \mathscr{L} through P. Thus $L_P = qL_{Ps} + 1 \cdot q - q = qL_{Ps}$. If P is an internal point, then $L_P = \frac{1}{2}q(q+1)$, and so $L_{Ps} = \frac{1}{2}(q+1)$. If P is an external point, then $L_P = \frac{1}{2}q(q-1)$, and so $L_{Ps} = \frac{1}{2}(q-1)$.

Lemma 2.6. A V-plane contains exactly one black point, and the lines of \mathcal{L} in the plane are exactly those lines not through this black point.

Proof. Let π be a V-plane and let W_{π} be the number of white points in π . Consider the set

 $X = \{(P, \ell) \mid P \text{ is a white point of } \pi, \ell \text{ is a line of } \mathscr{L} \text{ through } P \text{ in } \pi\}.$









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We will count the size of *X* in two ways.

Each line of \mathscr{L} in π contains (q+1) white points, so $|X|=q^2(q+1)$. On the other hand, each white point is on q lines of \mathscr{L} in every V-plane by Lemma 2.5. So every white point in π lies on q lines of \mathscr{L} in π and $|X|=qW_{\pi}$. Thus $qW_{\pi}=q^2(q+1)$ and so $W_{\pi}=q^2+q$. This leaves one black point V in π . There are q^2 lines of \mathscr{L} in π , none of which can pass through a black point. On the other hand, there are q^2 lines of π not through V. Thus, the lines of π in \mathscr{L} are exactly those lines not through V.

Note that, since there must exist a V-plane, the above lemma ensures the existence of black points.

Lemma 2.7. There exists a unique (black) point V through which all 0-planes and V-planes pass. The secant planes are precisely those planes not containing V.

Proof. Let π be a V-plane and let its unique black point be V.

Let α be another V-plane and suppose that α does not pass through V. Then α must meet π in a line ℓ not through V. Since ℓ is a line of π not through V, it is a line of \mathscr{L} . But now we have a line of \mathscr{L} on two V-planes. This is a contradiction to Lemma 2.4, so α must pass through V.

Let β be a 0-plane and suppose that β does not pass through V. Then β must meet π in a line ℓ not through V. Again, this line must be a line of $\mathscr L$. But now we have a line of $\mathscr L$ in a 0-plane. This is a contradiction, so β must pass through V.

So we see that all 0-planes and all V-planes pass through V. Thus the planes not through V are all secant planes. To complete the proof we must show that there are no secant planes through V.

Let γ be a secant plane containing V and let ℓ be a line of $\mathscr L$ in γ . Since V is a black point, ℓ does not pass through V. Now the q other planes through ℓ do not contain V, and so they must all be secant planes. But now ℓ is a line of $\mathscr L$ on q+1 secant planes. This is a contradiction to Lemma 2.4, so γ cannot contain V.

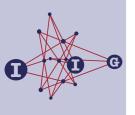
The next three lemmas will complete the proof of Theorem 1.5.

Lemma 2.8. Let m be a line not in \mathcal{L} . If m passes through V, then m contains 1 or q+1 black points. If m does not pass through V, then m contains 1 or 2 black points.

Proof. Suppose m passes through V, and also suppose that there exists a black point P other than V on m. Let π be a plane through m. Since π contains V,









page 8 / 10

go back

full screen

close

quit

it is either a 0-plane or a V-plane by Lemma 2.7. Lemma 2.6 states that every V-plane contains a single black point. However, π contains two black points (P and V), so it cannot be a V-plane. Thus π is a 0-plane. So, every plane through m is a 0-plane. Since none of these planes has any line of $\mathscr L$, there are no lines of $\mathscr L$ meeting m. Hence, there are no lines of $\mathscr L$ through any point on m. That is, m consists of q+1 black points. So, if m passes through V, it has 1 or q+1 black points.

Suppose m does not pass through V. Then exactly one plane through m contains V and q planes do not. These q planes are all secant planes by Lemma 2.7. In light of this, let π be a secant plane through m.

Let B_m be the number of black points on m, let E_m be the number of external points on m, and let I_m be the number of internal points on m. We count the number of lines of $\mathscr L$ in π by considering the lines of $\mathscr L$ through each point on m. There are no lines of $\mathscr L$ through each black point, $\frac{1}{2}(q+1)$ through each internal point and $\frac{1}{2}(q-1)$ through each external point. Thus:

$$\frac{1}{2}q(q-1) = \frac{1}{2}(q+1)I_m + \frac{1}{2}(q-1)E_m;$$

$$\frac{1}{2}(q-1)(q-E_m) = \frac{1}{2}(q+1)I_m.$$
(7)

Now $\frac{1}{2}(q+1)$ and $\frac{1}{2}(q-1)$ are coprime, so $\frac{1}{2}(q+1)$ divides $q-E_m$. That is, $E_m \equiv q \equiv -1 \pmod{\frac{1}{2}(q+1)}$. Since $0 \le E_m \le q+1$, we have that $E_m = \frac{1}{2}(q-1)$ or q.

If $E_m=\frac{1}{2}(q-1)$, then by equation (7), we have $I_m=\frac{1}{2}(q-1)$ and so $B_m=q+1-\frac{1}{2}(q-1)-\frac{1}{2}(q-1)=2$. If $E_m=q$, then by equation (7), $I_m=0$ and so $B_m=q+1-0-q=1$. Thus if m does not pass through V, it contains 1 or 2 black points.

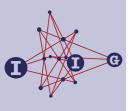
Lemma 2.9. The set of black points in a secant plane forms a conic.

Proof. Let π be a secant plane and let E_{π} be the number of external points in π . Let $X=\{(P,\ell)\mid P \text{ is an external point of }\pi,\ell \text{ is a line of }\mathscr{L} \text{ in }\pi\}$. We will count X in two ways. Counting P first then ℓ , we have E_{π} choices for an external point in π and $\frac{1}{2}(q-1)$ choices for a line of \mathscr{L} in π through each by Lemma 2.5. So $|X|=E_{\pi}\cdot\frac{1}{2}(q-1)$. Counting ℓ first then P, we have $\frac{1}{2}q(q-1)$ choices for a line of \mathscr{L} in π and $\frac{1}{2}(q+1)$ choices for an external point on each by Lemma 2.4. So $|X|=\frac{1}{2}q(q-1)\frac{1}{2}(q+1)$. Thus $E_{\pi}\cdot\frac{1}{2}(q-1)=\frac{1}{2}q(q-1)\frac{1}{2}(q+1)$ and so $E_{\pi}=\frac{1}{2}q(q+1)$. A similar argument shows that there are $\frac{1}{2}q(q-1)$ internal points in π . The number of white points in π is thus $\frac{1}{2}q(q-1)+\frac{1}{2}q(q+1)=q^2$. This leaves q+1 black points in π . We will show that these q+1 points form an arc. That is, that no three are collinear.

A line of \mathcal{L} contains no black points, so let m be a line of π not in \mathcal{L} . No secant plane passes through V by Lemma 2.7, so the line m cannot contain V.









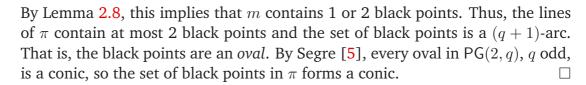
page 9 / 10

go back

full screen

close

quit



Lemma 2.10. The set of black points $\mathscr C$ is a quadric cone and $\mathscr L$ is the set of external lines to $\mathscr C$.

Proof. Let π be a secant plane and let \mathscr{O} the conic made by the black points in π . Let P be a point of \mathscr{O} and consider the line VP. This line passes through V and has more than one black point, so it has q+1 black points by Lemma 2.8. Thus, the set of black points \mathscr{C} contains the lines VP for any $P \in \mathscr{O}$.

On the other hand, suppose that Q is any black point other than V. Then the line VQ contains q+1 black points by the same argument as above. This line VQ meets π in a single point, which is a black point since VQ consists only of black points. Now the black points in π are precisely the points of the conic \mathcal{O} , so the line VQ is a line VP for some $P \in \mathcal{O}$. Thus \mathscr{C} is exactly the lines VP for $P \in \mathcal{O}$. That is, \mathscr{C} is a quadric cone.

The lines of \mathscr{L} contain no black points and so are all external lines to the cone \mathscr{C} . Any line not in \mathscr{L} contains at least one black point by Lemma 2.8. So \mathscr{L} is precisely the set of external lines to the quadric cone \mathscr{C} .

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page 10 / 10

go back

full screen

close

quit

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