

## The Gram determinant for plane curves Józef H. Przytycki and Xiaoqi Zhu



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### The Gram determinant for plane curves

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We investigate the Gram determinant of the pairing arising from curves in a planar surface, with a focus on the disk with two holes. We prove that the determinant based on n - 1 curves divides the determinant based on n curves. Motivated by the work on Gram determinants based on curves in a disk and curves in an annulus (Temperley–Lieb algebra of type A and B, respectively), we calculate several examples of the Gram determinant based on curves in a disk with two holes, and advance conjectures on the complete factorization of Gram determinants.

#### 1. Introduction

*Gram matrices and Gram determinants.* Let *B* be a finite set and *R* a commutative ring. A *pairing* over *B* is a map  $B \times B \rightarrow R$ , denoted by  $\langle \cdot, \cdot \rangle$ . A very simple case is the Kronecker delta,

$$\langle i, j \rangle = \delta_{ij} := \begin{cases} 1 & \text{if } i = j, \\ 0 & \text{if } i \neq j, \end{cases} \text{ for } i, j \in B.$$

Let  $b_1, \ldots, b_n$  be a list of the elements of B, with  $b_i \neq b_j$  if  $i \neq j$ . The *Gram matrix* of the pairing  $\langle \cdot, \cdot \rangle$  is the  $n \times n$  matrix

$$G = [\langle b_i, b_j \rangle]_{1 \le i, j \le n},$$

and the Gram determinant is the determinant of this matrix.

The name is derived from the classical case where *R* is a field,  $B = \{b_1, \ldots, b_n\}$  is a set of points in a vector space *V* over *R*, and the pairing is given by an inner product  $\langle \cdot, \cdot \rangle$  on *V*. This situation is familiar; for instance, *B* is an orthonormal basis of *V* if and only if *V* has dimension *n* and the pairing coincides with the Kronecker delta described above.

Temperley-Lieb.

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The Gram determinant plays a significant role in the classical case; for example, a set of vectors  $B = \{b_1, \ldots, b_n\} \subset V$  is linearly independent if and only if the Gram determinant of *B* is nonzero.

In our situation B will be a certain set of equivalence classes arising from sets of curves on a disk with holes. The ring R is a polynomial ring in many variables, and the pairing describes the interaction between the sets of curves when two copies of the disk are glued along their outer boundaries.<sup>1</sup>

The Gram matrix for a system of plane curves. Let  $F_0^n$  be a unit disk with 2n points on its boundary. Let  $B_0^n$  be the set of all possible diagrams, up to deformation, in  $F_0^n$  with *n* noncrossing chords connecting these 2n points. It is known that  $|B_0^n|$  is equal to the *n*-th Catalan number  $C_n := {\binom{2n}{n}}/{(n+1)}$ ; see [Stanley 1999], for example. Accordingly, we will call  $B_0^n$  the set of *Catalan states*.

Consider the following generalized setup. Let  $F_k \subset D^2$  be a plane surface with k+1 boundary components, which are given distinct labels. In particular,  $F_0 = D^2$ , and for  $k \ge 1$ ,  $F_k$  is equal to  $D^2$  with k holes. Let  $F_k^n$  be  $F_k$  with 2n points,  $a_0, \ldots, a_{2n-1}$ , arranged counterclockwise along the outer boundary; see Figure 1, left. Throughout this paper, we use  $a_k$  and  $a_{k-1}$  to denote two adjacent points along the outer boundary, where k is taken modulo 2n.

Let  $\mathbf{B}_k^n$  be the set of all possible diagrams, up to equivalence, in  $F_k^n$  with *n* noncrossing chords connecting these 2n points, where equivalence is defined as follows: for each diagram  $b \in \mathbf{B}_k^n$ , there is a corresponding diagram  $\gamma(b) \in \mathbf{B}_0^n$  obtained by filling the *k* holes in *b*. We call  $\gamma(b)$  the underlying Catalan state of *b* (see Figure 1, right).



**Figure 1.** Left: notational conventions for  $F_k^n$ . Right: action of  $\gamma$ .

<sup>&</sup>lt;sup>1</sup>A pairing over *B* extends to a bilinear form on the free *R*-module over *B*; this form is similar to the inner product on a vector space. Note, however, that the inner product over a *complex* vector space is linear in the first variable only, and conjugate-linear in the second; additionally, it is positive definite and conjugate-symmetric (skew-symmetric). The corresponding bilinear form in the more general setting need not be positive definite, symmetric, or conjugate-symmetric, although we will see echos of these properties in our situation.

#### THE GRAM DETERMINANT FOR PLANE CURVES



**Figure 2.** List of diagrams in  $B^2 = B_2^2$ .

A given diagram in  $F_k^n$  partitions  $F_k$  into n + 1 regions. Two diagrams are equivalent if and only if they have the same underlying Catalan state and the labeled holes are distributed in the same manner across regions. Accordingly,  $B_k^n$  has elements  $(n + 1)^{k-1} {\binom{2n}{n}}$ . See Figure 2 for the 18 diagrams in  $B_2^2$ .

We remark that if k = 0 or k = 1, two diagrams are equivalent only if they are homotopic, but for k > 2, this need not be true; see Figure 3 for a counterexample.

The study of noncrossing partitions of n points has a long history in enumerative combinatorics. Beyond purely combinatorial questions, noncrossing partitions arise in the study of a number of problems lying at the intersection of combinatorics and topology. Lickorish examines the matrix of a bilinear form defined on noncrossing planar diagrams in a disk, motivated by the theory of 3-manifold invariants. Motivated by the work of Birkhoff and Lewis [1946] on the four color conjecture, Tutte [1991] introduced the matrix of chromatic joins.

In this paper, we define a pairing over  $B_k^n$  and investigate the Gram matrix of the pairing. This concept is a generalization of a problem posed by W. B. R. Lickorish [1991; 1997] for type A Gram determinants — those based on a disk, i.e., k = 0 —



**Figure 3.** Two nonisotopic but equivalent diagrams in  $F_2^2$ ; they correspond to the same state in  $B^2$ .

and by Rodica Simion for type *B* Gram determinants [Schmidt 2004; Simion 2000] (these are related to k = 1 and the Kauffman bracket skein module of an annulus; see [Przytycki 1999]). Simion was motivated by Tutte's work [1991; 1993] on chromatic joins; see also [Chen and Przytycki 2008].

Significant research has been completed for the Gram determinants for type A and B. In particular, Di Francesco [1998] and Westbury [1995] gave a closed formula for the type A Gram determinant; a complete factorization of the type B Gram determinant was conjectured by Gefry Barad, and a closed formula (quoted in Theorem 7.4) was proved by Martin and Saleur [1993] and by Chen and Przytycki [2009]. The type A Gram determinant was used by Lickorish to find an elementary construction of Reshetikhin–Turaev–Witten invariants of oriented closed 3-manifolds.

We specifically investigate the Gram determinant  $G_n$  of the bilinear form defined over  $\mathbf{B}_2^n$  and prove that det  $G_{n-1}$  divides det  $G_n$  for n > 1. Furthermore, we investigate the diagonal entries of  $G_n$  and give a method for computing terms of maximal degree in det  $G_n$ . We conclude the paper by briefly discussing generalizations of the Gram determinant and presenting some open questions.

#### 2. Definitions and basic facts for $B_2^n$

Consider  $F_2^n$ , a unit disk with two holes, along with 2n points along the outer boundary. Denote the holes in  $F_2^n$  by  $X_1$  and  $Y_1$ . To differentiate between them, we will always place  $X_1$  to the left and  $Y_1$  to the right if labels are not present.

Let

$$B^n := B_2^n =: \{b_1, \ldots, b_{(n+1)\binom{2n}{n}}\}$$

be the set of all possible diagrams with *n* noncrossing chords connecting these 2n points, up to equivalence in  $F_2^n$ .

Recall that in complex analysis an *inversion* (in the unit circle) is the involution defined on the sphere  $S^2 = \mathbb{C} \cup \infty$  by  $z \leftrightarrow z/|z|^2$ . Let  $X_2$  and  $Y_2$  be the inversions of  $X_1$  and  $Y_1$ , respectively, and let  $\mathcal{G} = \{X_1, X_2, Y_1, Y_2\}$ . Given  $b_i \in \mathbf{B}^n$ , let  $b_i^*$ denote the inversion of  $b_i$ . Given  $b_i, b_j \in \mathbf{B}^n$ , we glue  $b_i$  with  $b_j^*$  along the outer boundary, respecting the labels of the marked points. Since  $b_i$  and  $b_j$  each contains n noncrossing chords,  $b_i \circ b_j^*$  can have at most n closed curves. The resulting diagram, denoted by  $b_i \circ b_j^*$ , is a set of up to n closed curves in the 2-sphere  $S^2 = D^2 \cup (D^2)^*$  with four holes,  $X_1, X_2, Y_1, Y_2$ . (Since we glued along  $\partial D^2$ , it is no longer a boundary.) Each closed curve partitions the set  $\mathcal{G}$  into two sets. Two closed curves are of the same type if they partition  $\mathcal{G}$  the same way. We define a pairing  $\langle \cdot, \cdot \rangle$  over  $\mathbf{B}^n$  by associating with  $b_i, b_j \in \mathbf{B}^n$  a monomial in the variables  $d, x_1, x_2, y_1, y_2, z_1, z_2, z_3$ , as follows. The exponent of each variable is obtained by counting the number of curves in  $b_i \circ b_j^*$  that partition the set  $\{X_1, X_2, Y_1, Y_2\}$  in the corresponding way, the correspondence being this:

$x_1: \{X_1\}, \{X_2, Y_1, Y_2\}$	$z_1: \{X_1, X_2\}, \{Y_1, Y_2\}$
$x_2: \{X_2\}, \{X_1, Y_1, Y_2\}$	$z_2$ : { $X_1$ , $Y_1$ }, { $X_2$ , $Y_2$ }
$y_1: \{Y_1\}, \{X_1, X_2, Y_2\}$	$z_3: \{X_1, Y_2\}, \{X_2, Y_1\}$
$y_2: \{Y_2\}, \{X_1, X_2, Y_1\}$	$d: \emptyset, \{X_1, X_2, Y_1, Y_2\}$

**Table 1.** Indeterminates and partitions. In the monomial  $\langle b_i, b_j \rangle$ , the exponent of each variable is the number of curves in  $b_i \circ b_j^*$  that partition the set  $\{X_1, X_2, Y_1, Y_2\}$  in the given way.

Thus  $\langle b_i, b_j \rangle$  is a monomial of degree at most *n*. Some example paired diagrams, with their corresponding monomials, are given in Figure 5.

We can now form the Gram matrix  $G_n = [g_{ij}] = [\langle b_i, b_j \rangle]_{1 \le i,j \le (n+1) \binom{2n}{n}}$  of this pairing. We write it explicitly for n = 1. Order the elements of **B**<sup>1</sup> as in the first



**Figure 4.** Diagrams of six states  $b_1, b_2, b_3, b_4, b_5, b_6 \subset B^3$ . The indices are used in the examples, but are not intrinsic.



**Figure 5.** Diagrams for  $b_i \circ b_j$  on  $S^2$  and the corresponding values of  $\langle b_i, b_j \rangle$ . Indices are as in Figure 4.



**Figure 6.** Array of  $b_i \circ b_j^*$  for  $b_i, b_j \in \mathbf{B}^1$ .

column of Figure 6 (we're looking at the disk inside the dotted circle). Then we see from the array of diagrams in Figure 6, each of which represents one pair  $(b_i, b_j)$ , that the Gram matrix of the pairing is

$$G_{1} = \begin{bmatrix} d & y_{2} & x_{2} & z_{2} \\ y_{1} & z_{1} & z_{3} & x_{1} \\ x_{1} & z_{3} & z_{1} & y_{1} \\ z_{2} & x_{2} & y_{2} & d \end{bmatrix}$$

Therefore the Gram determinant is

det 
$$G_1 = (dz_1 - z_1z_2 - dz_3 + z_2z_3 - x_1x_2 + x_2y_1 + x_1y_2 - y_1y_2)$$
  
 $(dz_1 + z_1z_2 + dz_3 + z_2z_3 - x_1x_2 + x_2y_1 - x_1y_2 + y_1y_2).$ 

This paper is mostly devoted to exploring possible factorizations of det  $G_n$ , and is the first step toward computing det  $G_n$  in full generality, which we conjecture to have a nice decomposition.

Though the pairing (and hence the Gram matrix) is not symmetric, it is skewsymmetric with respect to an certain involution of the ring R. (An involution is isomorphism equal to its own inverse.) Specifically, given  $b_i, b_j \in \mathbf{B}^n$ , we can obtain  $b_j \circ b_i^*$  from  $b_i \circ b_j^*$  by inversion in the unit circle, which interchanges  $X_1$ with  $X_2$  and  $Y_1$  with  $Y_2$ . Consequently,  $\langle b_j, b_i \rangle$  can be obtained from  $\langle b_i, b_j \rangle$ by interchanging  $x_1$  with  $x_2$  and  $y_1$  with  $y_2$ , as these interchanges have the same effect in the corresponding partition (see Table 1) as the hole interchange  $X_1 \leftrightarrow X_2$ ,  $Y_1 \leftrightarrow Y_2$ . Note that  $z_1, z_2, z_3$ , and d are mapped to themselves under this variable



**Figure 7.** Action of the embedding  $i_0$ . Note the relabeling of the boundary points: each  $a_k$  on the left becomes  $a_{k+1}$  on the right, and the two new points are labeled  $a_0$  and  $a_{2n+1}$ .

swap, because their partitions are invariant under the interchange of holes. (See also Theorem 3.3(4) below.)

To summarize, let  $h_t$  be the involution of  $G_n$  that interchanges  $x_1$  with  $x_2$  and  $y_1$  with  $y_2$ . Then

$$\langle b_i, b_j \rangle = h_t(\langle b_j, b_i \rangle)$$

and the transpose of  $G_n$  is given by applying  $h_t$  to each individual entry of  $G_n$ .

**Embedding**  $B^n$  in  $B^{n+1}$ . Let  $i_0 : B^n \to B^{n+1}$  be the *embedding* (injection) defined as follows: for  $b_i \in B^n$ , the image  $i_0(b_i) \in B^{n+1}$  is given by adding to  $b_i$  a noncrossing chord close to the outer boundary and joining two points between  $a_0$  and  $a_{2n-1}$ , as suggested in Figure 7. The two new points on the edge become the new  $a_0$  and  $a_{2n+1}$ , and each of the old points  $a_k$  becomes  $a_{k+1}$ . This relabeling explicitly makes  $i_0(b_i)$  an element of  $B^{n+1}$ .

Another embedding we will need, denoted by  $i_1 : \mathbf{B}^n \to \mathbf{B}^{n+1}$  and illustrated in Figure 8, is defined by a construction similar to that of  $i_0$ , but this time the added chord joins two points between the old  $a_0$  and  $a_1$ , rather than between  $a_0$  and  $a_{2n-1}$ . These two new points become  $a_0$  and  $a_1$ , while the old  $a_0$  becomes  $a_{2n+1}$  and each  $a_k$ , for 1 < k < 2n, becomes  $a_{k+1}$ .

More formally, we define  $i_1$  in terms of  $i_0$  by using the notion of a *Dehn twist*, borrowed from surface topology and knot theory. Fix an annulus in the complex plane — the region between two concentric circles, say  $R' \leq |z| \leq 1$ . Imagine keeping the inner boundary circle fixed, while the outer one is rotated clockwise by an angle  $\alpha$ . The stuff in between also gets rotated, by an amount that depends on how far it is from each circle. The resulting homeomorphism of the annulus is



**Figure 8.** Action of the embedding  $i_1$ .



**Figure 9.** A Dehn twist  $r_{\alpha}$ , with  $\alpha = \pi/4$ .

called a *Dehn twist* through an angle  $\alpha$ . As an explicit formula we can take

$$r_{\alpha}(z) = z \exp\left(i\alpha \frac{|z| - R'}{1 - R'}\right),$$

which says the amount of rotation experienced by a point is proportional to the distance to the inner circle, growing from 0 at |z| = R' to the full angle  $\alpha$  at |z| = 1. Figure 9 gives a qualitative picture in the case  $\alpha = \pi/4$ .

Now we get back to the disk with two holes,  $F_2^n$ . If we choose R' close enough to 1 that the holes  $X_1$  and  $Y_1$  lie within the circle of radius R', we can extend  $r_{\alpha}$  to a homeomorphism of  $F_2^n$  by setting  $r_{\alpha}(z) = z$  for  $|z| \le R'$ .

Moreover, if  $\alpha = \pi/n$ , then  $r_{\alpha}$  takes each of the 2n marked points  $a_k$  on the edge of  $F_2^n$  to the next such point  $a_{k+1}$ ; consequently, it takes a system of noncrossing curves in  $F_2^n$  to another such. This defines the action of  $r_{\pi/n}$  on  $\mathbf{B}^n$ ; it is a permutation because the inverse of a Dehn twist is also a Dehn twist through the opposite angle.<sup>2</sup> The first arrow in Figure 10 illustrates the action of  $r_{\pi/4}^{-1}$  on a certain element of  $\mathbf{B}^4$ , and the last arrow shows the action of  $r_{\pi/5}$  on an element of  $\mathbf{B}^5$ .

We can now express  $i_1$  in terms of  $i_0$  and Dehn twists:

$$i_1 = r_{\pi/(n+1)} \circ i_0 \circ r_{\pi/n}^{-1}$$

This is illustrated in Figure 10. Note that the two Dehn twists are not quite inverse to each other, since their angles differ.



**Figure 10.** The embedding  $i_1$ , illustrated in Figure 8, is obtained from  $i_0$  (Figure 7) by composing with appropriate Dehn twists.

<sup>&</sup>lt;sup>2</sup>Obviously the repeated application of k Dehn twists through  $\alpha$  is a Dehn twist by  $k\alpha$ , so any  $r_{k\pi/n}$  also induces an action on  $\mathbf{B}^n$ . Note that the Dehn twist by a full  $2\pi$ , though it is not the identity homeomorphism, gives the identity map on  $\mathbf{B}^n$ ; an example of its action was shown in Figure 3.

#### 3. More properties of the Gram determinant

**Theorem 3.1.** det  $G_n \neq 0$  for all integers  $n \ge 1$ .

**Lemma 3.2.**  $\langle b_i, b_j \rangle$  is a monomial of maximal degree if and only if  $\gamma(b_i) = \gamma(b_j)$ .

*Proof.* Recall that  $\langle b_i, b_j \rangle$  has maximal degree if and only if  $b_i \circ b_j^*$  has *n* closed curves; this in turn is equivalent to having each closed curve made of exactly two arcs, one in  $b_i$  and one in  $b_j^*$ . In this situation, any two points connected by a chord in  $b_i$  must also be connected by a chord in  $b_j$ , so  $\gamma(b_i) = \gamma(b_j)$ .

*Proof of Theorem 3.1.* Assume  $\langle b_i, b_j \rangle$  is a monomial of maximal degree consisting only of the variables *d* and  $z_1$ . Because  $\gamma(b_i) = \gamma(b_j)$  by Lemma 3.2, it follows that any two points connected in  $b_i$  are also connected in  $b_j$ . Each connection in  $b_i$  can be drawn in four different ways with respect to *X* and *Y*, since there are two ways to position the chord relative to each hole. Because  $\langle b_i, b_j \rangle$  is assumed to consist only of the variables *d* and  $z_1$ , it follows that each pair of arcs that form a closed curve in  $b_i \circ b_j^*$  either separates  $\{X_1, X_2\}$  from  $\{Y_1, Y_2\}$  or has  $\{X_1, X_2, Y_1, Y_2\}$  on the same side of the curve. One can check each of the four cases to see that this condition implies that any two arcs that form a closed curve in  $b_i \circ b_j^*$  must be equal, so  $b_i = b_j$ . Using Laplacian expansion, this implies that the product of the diagonal of  $G_n$  is the unique summand of degree  $n(n+1)\binom{2n}{n}$  in det  $G_n$  consisting only of the variables *d* and  $z_1$ .

We need the following notation for the next theorem: let  $f : \alpha_1 \leftrightarrow \alpha_2$  denote a function f which acts on the entries of  $G_n$  by interchanging variables  $\alpha_1$  with  $\alpha_2$ . We can extend the domain of f to  $G_n$ . Let  $f(G_n)$  denote the matrix formed by applying f to all the individual entries of  $G_n$ .

Define involutions  $h_1, h_2, h_3, h_t$  acting on the entries of  $G_n$  as follows:

$h_1:$	$x_1 \leftrightarrow y_1$	$z_1 \leftrightarrow z_3$
$h_2$ :	$x_2 \leftrightarrow y_2$	$z_1 \leftrightarrow z_3$
$h_3 = h_1 h_2$ :	$x_1 \leftrightarrow y_1$	$x_2 \leftrightarrow y_2$
$h_t$ :	$x_1 \leftrightarrow x_2$	$y_1 \leftrightarrow y_2$

#### Theorem 3.3.

(1) det  $h_1(G_1) = -\det G_1$ , and for n > 1, det  $h_1(G_n) = \det G_n$ .

- (2) det  $h_2(G_1) = -\det G_1$ , and for n > 1, det  $h_2(G_n) = \det G_n$ .
- (3) det  $h_3(G_n) = \det G_n$ .
- (4) det  $h_t(G_n) = \det G_n$ .

*Proof.* For assertion (1), note that  $h_1(G_n)$  corresponds to exchanging the positions of the holes  $X_1$  and  $Y_1$  for all  $b_i \in \mathbf{B}^n$ .  $b_j^*$  is unchanged, so  $h_1$  can be realized by a permutation of rows. For states where  $X_1$  and  $Y_1$  lie in the same region, their

corresponding rows are unchanged by  $h_1$ . The number of such states is given by  $|B^n|/(n+1)$ . Thus, the total number of row transpositions is equal to

$$\frac{1}{2}\left(|\boldsymbol{B}^n| - \frac{|\boldsymbol{B}^n|}{n+1}\right) = \frac{n}{2}\binom{2n}{n} = \frac{n(n+1)}{2}C_n.$$

It is known that  $C_n$  is odd if and only if  $n = 2^m - 1$  for some *m*; see for instance [Deutsch and Sagan 2006]. Hence,  $C_n$  being odd implies that

$$\frac{n(n+1)}{2} = \frac{2^m(2^m-1)}{2} = 2^{m-1}(2^m-1),$$

which is even for all m > 1. Thus,  $h_1(G_n)$  can be obtained from  $G_n$  by an even permutation of rows for n > 1, so det  $h_1(G_n) = \det G_n$ . Similarly,  $h_1(G_1)$  is given by an odd number of row transpositions on  $G_1$ , so det  $h_1(G_1) = -\det G_1$ .

Assertion (2) can be shown using the same argument, except that  $h_2$  corresponds to interchanging the positions of the holes  $X_2$  and  $Y_2$ , rather than  $X_1$  and  $Y_1$ .

Since  $h_3 = h_1h_2$ , it follows immediately that det  $h_3(G_n) = \det G_n$  for n > 1. The sum of two odd permutations is even, so the equality also holds for n = 1, which proves (3). Assertion (4) follows because det  $h_t(G_n) = \det {}^tG_n = \det G_n$ .

**Theorem 3.4.** det  $G_n$  is preserved under the following involutions:

$g_1$ :	$x_1 \leftrightarrow -x_1$	$x_2 \leftrightarrow -x_2$	$z_2 \leftrightarrow -z_2$	$z_3 \leftrightarrow -z_3$
$g_2$ :	$y_1 \leftrightarrow -y_1$	$y_2 \leftrightarrow -y_2$	$z_2 \leftrightarrow -z_2$	$z_3 \leftrightarrow -z_3$
$g_3:$	$x_1 \leftrightarrow -x_1$	$y_2 \leftrightarrow -y_2$	$z_1 \leftrightarrow -z_1$	$z_2 \leftrightarrow -z_2$
$g_1g_2$ :	$x_1 \leftrightarrow -x_1$	$x_2 \leftrightarrow -x_2$	$y_1 \leftrightarrow -y_1$	$y_2 \leftrightarrow -y_2$
$g_1g_3$ :	$x_2 \leftrightarrow -x_2$	$y_2 \leftrightarrow -y_2$	$z_1 \leftrightarrow -z_1$	$z_3 \leftrightarrow -z_3$
$g_2g_3$ :	$x_1 \leftrightarrow -x_1$	$y_1 \leftrightarrow -y_1$	$z_1 \leftrightarrow -z_1$	$z_3 \leftrightarrow -z_3$
<i>g</i> <sub>1</sub> <i>g</i> <sub>2</sub> <i>g</i> <sub>3</sub> :	$x_2 \leftrightarrow -x_2$	$y_1 \leftrightarrow -y_1$	$z_1 \leftrightarrow -z_1$	$z_2 \leftrightarrow -z_2$

*Proof.* We first show that  $g_1$  can be realized by conjugating the matrix  $G_n$  by a diagonal matrix  $P_n$  of all diagonal entries equal to  $\pm 1$ . Define the diagonal entries of  $P_n$  by

$$p_{ii} = (-1)^{q(b_i, F_x)},$$

where  $q(b_i, F_x)$  is the number of times  $b_i$  intersects  $F_x$  modulo 2; see Figure 11, where  $F_x, F_x^*, F_y, F_y^*$  and  $\tilde{F}_x$  are defined.  $F_x$  and  $F_y$  touch the unit circle between  $a_0$  and  $a_{2n-1}$ .

This proves the result about  $g_1$ , because curves corresponding to the variables  $x_1$ ,  $x_2$ ,  $z_2$  and  $z_3$  intersect  $F_x \cup F_x^*$  in an odd number of points, whereas curves corresponding to the variables d,  $z_2$ ,  $y_1$  and  $y_2$  cut it an even number of times. More precisely, for

$$g_{ij} = \langle b_i, b_j \rangle = d^{n_d} x_1^{n_{x_1}} x_2^{n_{x_2}} y_1^{n_{y_1}} y_2^{n_{y_2}} z_1^{n_{z_1}} z_2^{n_{z_2}} z_3^{n_{z_3}},$$



**Figure 11.** Toward the proof of Theorem 3.4(1).

the entry  $g'_{ij}$  of  $P_n G_n P_n^{-1}$  satisfies

$$g'_{ij} = p_{ii}g_{ij}p_{jj} = p_{ii}p_{jj}g_{ij} = (-1)^{q(b_i,F_x)+q(b_j,F_x)}g_{ij} = (-1)^{n_{x_1}+n_{x_2}+n_{z_2}+n_{z_3}}g_{ij}$$
  
=  $d^{n_d}(-x_1)^{n_{x_1}}(-x_2)^{n_{x_2}}y_1^{n_{y_1}}y_2^{n_{y_2}}z_1^{n_{z_1}}(-z_2)^{n_{z_2}}(-z_3)^{n_{z_3}}.$ 

The results about  $g_2$  and  $g_3$  follow by the same argument, but using  $F_y$  and  $F_y \cup F_y^*$  for  $g_2$  and  $\tilde{F}_x$  and  $\tilde{F}_x \cup F_y^*$  for  $g_3$ . The statements about compositions follow directly from the first three.

#### 4. Terms of maximal degree in det $G_n$

Theorem 3.1 proves that the product of the diagonal entries of  $G_n$  is the unique term of maximal degree,  $n(n+1)\binom{2n}{n}$ , in det  $G_n$  consisting only of the variables d and  $z_1$ . More precisely, the product of the diagonal of  $G_n$  is given by

$$\delta(n) = \prod_{b_i \in \mathbf{B}^n} \langle b_i, b_i \rangle = d^{\alpha(n)} z_1^{\beta(n)},$$

with  $\alpha(n) + \beta(n) = n(n+1)\binom{2n}{n}$ . The value of  $\delta(n)$  for the first few *n* are

$$\delta(1) = d^2 z_1^2, \quad \delta(2) = d^{20} z_1^{16}, \quad \delta(3) = d^{144} z_1^{96}, \quad \delta(4) = d^{888} z_1^{512}$$

Computing the general formula for  $\delta(n)$  can be reduced to a purely combinatorial problem. We conjectured that  $\beta(n) = (2n)4^{n-1}$  and this was proven by Louis Shapiro (personal communication, 2008) using an involved generating function argument. The result is stated formally below.

# **Theorem 4.1.** $\delta(n) = d^{n(n+1)\binom{2n}{n} - (2n)4^{n-1}} z_1^{(2n)4^{n-1}}$ .

Let  $h(\det G_n)$  denote the truncation of  $\det G_n$  to terms of maximal degree, that is, of degree  $n(n+1)\binom{2n}{n}$ . Each term is a product of  $(n+1)\binom{2n}{n}$  entries in  $G_n$ , each of which is a monomial of degree n. By Lemma 3.2,  $\langle b_i, b_j \rangle$  has degree n if and only if  $b_i$  and  $b_j$  have the same underlying Catalan state. Divide  $\mathbf{B}^n$  into subsets corresponding to underlying Catalan states, that is, into subsets  $A_1, \ldots, A_{C_n}$ , such that for all  $b_i, b_j \in A_k, \gamma(b_i) = \gamma(b_j)$ . Then from Lemma 3.2 we have: **Proposition 4.2.** For  $1 \le k \le C_n$ , let  $I_k$  be the set of indices such that  $A_k = \{b_i\}_{i \in I_k}$ , and let  $\langle A_k, A_k \rangle$  be the submatrix of  $G_n$  whose rows and columns are indexed by  $I_k$ . Then

$$h(\det G_n) = \prod_{k=1}^{C_n} \det \langle A_k, A_k \rangle.$$

Note that the  $\langle A_k, A_k \rangle$  are simply blocks in  $G_n$ , and their determinants can be multiplied together to give the highest terms in det  $G_n$ . Finding the terms of maximal degree in det  $G_n$  can give insight into the decomposition of det  $G_n$  for large n.

**Example 4.3.**  $B^1$  corresponds to the single Catalan state in  $B_0^1$ . Thus, det  $G_1 = h(\det G_1)$ , a homogeneous polynomial of degree 4 (given on page 154).

**Example 4.4.** We can divide  $B^2$  into two sets, corresponding to the two Catalan states in  $B_0^2$ . Thus  $h(\det G_2)$  can be found by computing two  $9 \times 9$  block determinants. The two Catalan states in  $B_0^2$  are equivalent up to rotation, so the two block determinants are equal. Specifically, we have:

$$h(\det G_2) = d^6 (x_1 x_2 + x_2 y_1 + x_1 y_2 + y_1 y_2 - dz_1 - z_1 z_2 - dz_3 - z_2 z_3)^4$$

$$(-x_1 x_2 + x_2 y_1 + x_1 y_2 - y_1 y_2 + dz_1 - z_1 z_2 - dz_3 + z_2 z_3)^4$$

$$(-x_1 x_2 z_1 - y_1 y_2 z_1 + dz_1^2 + x_2 y_1 z_3 + x_1 y_2 z_3 - dz_3^2)^2$$

$$(-2x_1 x_2 y_1 y_2 + dx_1 x_2 z_1 + dy_1 y_2 z_1 - d^2 z_1^2 + dx_2 y_1 z_3 + dx_1 y_2 z_3 - d^2 z_3^2)^2$$

$$= d^6 \det G_1^4 (-x_1 x_2 z_1 - y_1 y_2 z_1 + dz_1^2 + x_2 y_1 z_3 + x_1 y_2 z_3 - dz_3^2)^2$$

$$(-2x_1 x_2 y_1 y_2 + dx_1 x_2 z_1 + dy_1 y_2 z_1 - d^2 z_1^2 + dx_2 y_1 z_3 + dx_1 y_2 z_3 - d^2 z_3^2)^2$$

**Example 4.5.**  $B^3$  can be divided into five subsets, corresponding to the five Catalan states in  $B_0^3$ . We can thus find  $h(\det G_3)$  by computing the determinants of five blocks in  $B^3$ . The determinant of each block gives a homogeneous polynomial of degree 240/5 = 48.  $B_0^3$  forms two equivalence classes up to rotation, so there are only two unique block determinants. The result is

$$h(\det G_3) = h(\det G_2)^6 \det G_1^{-9} d^{30} w^3 \bar{w}^3$$
  

$$= d^{66} (-x_1 x_2 + x_2 y_1 + x_1 y_2 - y_1 y_2 + dz_1 - z_1 z_2 - dz_3 + z_2 z_3)^{15} (-x_1 x_2 - x_2 y_1 - x_1 y_2 - y_1 y_2 + dz_1 + z_1 z_2 + dz_3 + z_2 z_3)^{15} (-x_1 x_2 z_1 - y_1 y_2 z_1 + dz_1^2 + x_2 y_1 z_3 - dz_3^2)^{12} (2x_1 x_2 y_1 y_2 - dx_1 x_2 z_1 - dy_1 y_2 z_1 + d^2 z_1^2 - dx_2 y_1 z_3 - dx_1 y_2 z_3 + d^2 z_3^2)^{12} (x_1 x_2 y_1 y_2 z_1 - dx_1 x_2 z_1^2 - dy_1 y_2 z_1^2 + d^2 z_1^3 - x_1 x_2 y_1 y_2 z_3 + dx_2 y_1 z_3^2 + dx_1 y_2 z_3^2 - d^2 z_3^3)^3 (x_1 x_2 y_1 y_2 z_1 - dx_1 x_2 z_1^2 - dy_1 y_2 z_1^2 + d^2 z_1^3 + x_1 x_2 y_1 y_2 z_3 - dx_2 y_1 z_3^2 - dx_1 y_2 z_3^2 + d^2 z_3^3)^3.$$

#### 5. det $G_{n-1}$ divides det $G_n$

We defined in Section 2 the embeddings  $i_0, i_1 : \mathbf{B}^n \to \mathbf{B}^{n+1}$ . We now introduce inverses of sort for these two maps.

Given  $b_i \in \mathbf{B}^n$ , imagine adding to  $b_i$  a noncrossing chord connecting  $a_0$  and  $a_{2n-1}$  outside the circle, and then pushing this chord inside the circle, together with the points  $a_0$  and  $a_{2n-1}$ ; see Figure 12. With the removal of these two points from the boundary, we relabel the remaining ones so the old  $a_k$  becomes  $a_{k-1}$ , for 0 < k < 2n - 1. So now there are 2n - 2 marked points on the boundary; this establishes a projection  $\mathbf{B}^n \to \mathbf{B}^{n-1}$ , with one caveat soon to be discussed. We denote this projection by  $p_0$ .

The procedure we've described works fine so long as  $b_i$  does not include a chord joining  $a_0$  and  $a_{2n-1}$ . Indeed, if  $a_0$  and  $a_{2n-1}$  are connected respectively to  $a_j$  and  $a_k$  in  $b_i$ , the added exterior chord ends up, in  $p_0(b_i)$ , as part of a chord joining  $a_{j-1}$  to  $a_{k-1}$  (see again Figure 12). However, a problem arises when  $b_i$  has a chord from  $a_0$  to  $a_{2n-1}$ . In this case, the procedure creates a closed curve inside the disc, coming from the two chords joining the old  $a_0$  to  $a_{2n-1}$ , one internal and one external. One could imagine erasing this loop to obtain an element of  $\mathbf{B}^{n-1}$ , but the loop carries information — it may enclose an arbitrary subset of  $\{X_1, Y_1\}$ . So we keep it at present, and we make  $p_0$  take values in the set  $\overline{\mathbf{B}}^{n-1}$  of equivalence classes of diagrams in  $F_2^{n-1}$  consisting of n-1 chords joining marked points on the boundary together with an optional closed loop disjoint from the boundary.

These observations can be summarized as follows:

**Lemma 5.1.** An element  $b_i \in \mathbf{B}^n$  is taken under  $p_0 : \mathbf{B}^n \to \overline{\mathbf{B}}^{n-1}$  to an element of  $\mathbf{B}^{n-1}$  if and only if  $b_i$  contains no chord connecting  $a_0$  and  $a_{2n-1}$ .

A bit of experimentation will persuade the reader of the correctness of the next result — which, incidentally, justifies our decision to expand the range of  $p_0$  to include diagrams with a loop.

**Proposition 5.2.** For any  $b_i \in \mathbf{B}^n$  and  $b_j \in \mathbf{B}^{n-1}$ , we have

$$b_i \circ i_0(b_j)^* = p_0(b_i) \circ b_j^*,$$

where the equivalence relation implicit in this equality consists of isotopies of the four-holed sphere, not necessarily preserving the unit disk.



**Figure 12.** Action of the projection  $p_0$ .

We're gearing up toward a demonstration that the Gram determinant for n - 1 chords divides the Gram determinant for *n* chords. We need one more lemma.

**Lemma 5.3.** Fix  $b_i \in \mathbf{B}^n$ . There exists an element  $b_{\alpha(i)} \in \mathbf{B}^{n-1}$  and a monomial  $q \in \{1, d, x_1, y_1, z_2\}$  such that

$$\langle p_0(b_i), b_j \rangle = q \langle b_{\alpha(i)}, b_j \rangle$$
 for all  $b_j \in \mathbf{B}^{n-1}$ .

*Proof.* If  $p_0(b_i) \in \mathbf{B}^{n-1}$  we can take  $b_{\alpha(i)} = p_0(b_i)$  and q = 1. Otherwise, it follows from Lemma 5.1 that  $b_i$  contains a chord connecting  $a_0$  and  $a_{2n-1}$ , and  $p_0(b_i)$  is the union of some  $b_{\alpha(i)} \in \mathbf{B}^{n-1}$  with a loop enclosing a subset of  $\{X_1, Y_1\}$ . Let q be the variable corresponding to the partition of the holes effected by extra loop, according to Table 1. Then  $\langle p_0(b_{\alpha(i)}), b_j \rangle = q \langle b_{\alpha(i)}, b_j \rangle$  for any  $b_j$ .

For the remainder of the paper we adopt the following notation: if B and B' are subsets of  $B^n$ , let

$$\langle B, B' \rangle := \left[ \langle b_i, b_j \rangle \right]_{\substack{i:b_i \in B \\ j:b_j \in B'}}$$

be the submatrix of  $G_n$  whose rows correspond to the elements of B and whose columns correspond to the elements of B'.

**Theorem 5.4.** For n > 1, det  $G_{n-1}$  divides det  $G_n$ .

*Proof.* We use the easily checked equality (also proved in detail as Lemma 6.1)

$$\langle i_0(b_i), i_1(b_j) \rangle = \langle i_1(b_i), i_0(b_j) \rangle = \langle b_i, b_j \rangle$$
 for all  $b_i, b_j \in \mathbf{B}^{n-1}$ .

In the notation defined before the theorem, this means that  $\langle b_i, \mathbf{B}^{n-1} \rangle$  (the *i*-th row of  $G_{n-1}$ ) coincides with the row in the submatrix  $\langle \mathbf{B}^n, i_0(\mathbf{B}^{n-1}) \rangle$  of  $G_n$  given by  $\langle i_1(b_i), i_0(\mathbf{B}^{n-1}) \rangle$ .

Reorder the elements of  $B^n$  so that  $\langle i_0(B^{n-1}), i_0(B^{n-1}) \rangle$  forms the upper left block of  $G_n$  and  $\langle i_1(B^{n-1}), i_0(B^{n-1}) \rangle$  forms a block directly underneath it:

Lemma 5.3 implies that every row of  $\langle \mathbf{B}^n, i_0(\mathbf{B}^{n-1}) \rangle$  is a multiple of some row in  $G_{n-1}$ . Let  $j_1, \ldots, j_k$  denote the indices of all rows of  $\langle \mathbf{B}^n, i_0(\mathbf{B}^{n-1}) \rangle$  other than those in  $\langle i_1(\mathbf{B}^{n-1}), i_0(\mathbf{B}^{n-1}) \rangle$ . Let  $G'_n$  be the matrix obtained by properly subtracting multiples of rows in  $\langle i_1(\mathbf{B}^{n-1}), i_0(\mathbf{B}^{n-1}) \rangle$  from rows  $j_1, \ldots, j_k$  of  $G_n$  so that the submatrix obtained by restricting  $G'_n$  to rows  $j_1, \ldots, j_k$  and columns corresponding to states in  $i_0(\mathbf{B}^{n-1})$  is equal to 0:

$$G'_{n} = \begin{bmatrix} 0 & * & * & * & * \\ G_{n-1} & * & * & * & * \\ 0 & * & * & * & * \\ 0 & * & * & * & * \\ 0 & * & * & * & * \\ 0 & * & * & * & * \\ 0 & * & * & * & * \end{bmatrix}$$

Thus,  $G'_n$  restricted to the columns corresponding to states in  $i_0(\mathbf{B}^{n-1})$  contains precisely  $n\binom{2n-2}{n-1}$  nonzero rows, each equal to some unique row of  $G_{n-1}$ . The determinant of this submatrix is equal to det  $G_{n-1}$ . Since det  $G_{n-1}$  divides det  $G'_n$  and det  $G'_n = \det G_n$ , this completes the proof.

#### 6. Further relations between det $G_{n-1}$ and det $G_n$

As noted in the previous proof, there is a submatrix of  $G_n$  equal to  $G_{n-1}$ . We will now focus on identifying multiple nonoverlapping submatrices in  $G_n$  equal to multiples of  $G_{n-1}$ . This will help in simplifying the computation of det  $G_n$ . We start with a detailed justification of the first assertion in the proof of Theorem 5.4:

**Lemma 6.1.** For any  $b_i, b_j \in \mathbf{B}^{n-1}, \langle i_0(b_i), i_1(b_j) \rangle = \langle i_1(b_i), i_0(b_j) \rangle = \langle b_i, b_j \rangle.$ 

*Proof.* We begin with the equality  $\langle i_1(b_i), i_0(b_j) \rangle = \langle b_i, b_j \rangle$ . By Proposition 5.2,  $i_1(b_i) \circ i_0(b_j)^* = p_0 i_1(b_i) \circ b_i^*$ , so it suffices to prove that

$$p_0 i_1(b_i) = p_0 r_{\pi/n} i_0 r_{\pi/(n-1)}^{-1}(b_i) = b_i.$$

This is demonstrated pictorially in Figure 13.



**Figure 13.** Proof that  $p_0 \circ i_1$  is the identity.

Thus,  $\langle i_1(b_i), i_0(b_j) \rangle = \langle b_i, b_j \rangle$ . Recall that  $\langle b_i, b_j \rangle = h_t(\langle b_j, b_i \rangle)$ . From this and the previous equality, it follows that

$$\langle i_0(b_i), i_1(b_j) \rangle = h_t(\langle i_1(b_j), i_0(b_i) \rangle) = h_t(\langle b_j, b_i \rangle) = h_t^2(\langle b_i, b_j \rangle) = \langle b_i, b_j \rangle. \square$$

**Corollary 6.2.**  $\langle i_0(\boldsymbol{B}^{n-1}), i_1(\boldsymbol{B}^{n-1}) \rangle = \langle i_1(\boldsymbol{B}^{n-1}), i_0(\boldsymbol{B}^{n-1}) \rangle = G_{n-1}.$ 

**Lemma 6.3.** *For any*  $b_i, b_j \in B^{n-1}$ ,

$$\langle i_0(b_i), i_0(b_j) \rangle = \langle i_1(b_i), i_1(b_j) \rangle = d \langle b_i, b_j \rangle$$

*Proof.*  $i_0(b_i) \circ i_0(b_j)^*$  is composed of  $b_i \circ b_j^*$  in addition to a chord close to the boundary glued with its inverse. These two chords form a trivial loop. Thus,  $\langle i_0(b_i), i_0(b_j) \rangle = d \langle b_i, b_j \rangle$  for all  $b_i, b_j \in \mathbf{B}^{n-1}$ .

By symmetry,  $\langle i_1(B^{n-1}), i_1(B^{n-1}) \rangle = dG_{n-1}$ . **Corollary 6.4.**  $\langle i_0(B^{n-1}), i_0(B^{n-1}) \rangle = \langle i_1(B^{n-1}), i_1(B^{n-1}) \rangle = dG_{n-1}$ .

**Corollary 6.4.**  $\langle l_0(B^{n-1}), l_0(B^{n-1}) \rangle = \langle l_1(B^{n-1}), l_1(B^{n-1}) \rangle = dG_{n-1}.$ 

Using these facts, we can construct from  $G_n$  a  $(|B_n|-2|B_{n-1}|) \times (|B_n|-2|B_{n-1}|)$  matrix whose determinant is equal to

$$\frac{\det G_n}{(1-d^2)^{n\binom{2n-2}{n-1}}} (\det G_{n-1})^2.$$

This allows us to compute det  $G_n$  with greater ease, assuming we know det  $G_{n-1}$ . This process is shown in the next theorem.

**Theorem 6.5.** There is a nonnegative integer<sup>3</sup> k such that, for all integers n > 1,

det  $G_{n-1}^2$  divides det  $G_n(1-d^2)^k$ .

*Proof.* Order the elements of  $B^n$  (or equivalently, the rows and columns of  $G_n$ ), as shown in Theorem 5.4. Apply the procedure from Theorem 5.4 to construct  $G'_n$ , whose form is roughly

$$G'_{n} = \begin{bmatrix} 0 & (1-d^{2})G_{n-1} & * & * & * \\ G_{n-1} & dG_{n-1} & * & * & * \\ 0 & & & & * & * & * \\ 0 & & & & & * & * & * \\ 0 & & & & & & * & * & * \\ 0 & & & & & & & * & * & * \\ 0 & & & & & & & & * & * & * \end{bmatrix}$$

Consider the block in  $G'_n$  whose columns correspond to states in  $i_1(\mathbf{B}^{n-1})$  and whose rows correspond to states in neither  $i_0(\mathbf{B}^{n-1})$  nor  $i_1(\mathbf{B}^{n-1})$  (boxed above). Every row in this submatrix is a linear combination of two rows from  $G_{n-1}$ . More

<sup>&</sup>lt;sup>3</sup>Clearly this integer is bounded above by  $(n+1)\binom{2n}{n}$ , or even better, by  $|\mathbf{B}^n| - 2|\mathbf{B}^{n-1}|$ . Better bounds are possible, but we do not address them in this paper.

precisely, each row is of the form  $a_1l_1 - a_2dl_2$ , where  $l_1$  and  $l_2$  are two rows, not necessarily distinct, in  $G_{n-1}$ , and  $a_1, a_2 \in \{1, d, x_1, y_1, z_2\}$ . If we assume  $1 - d^2$ is invertible in our ring (for example, if we consider a ring of rational functions), then each row is a linear combination of two rows from  $(1 - d^2)G_{n-1}$ . We then simplify  $G'_n$  as follows.

Let  $G''_n$  be the matrix obtained by properly subtracting linear combinations of the first  $n\binom{2n-2}{n-1}$  rows of  $G'_n$  from the rows which correspond to states in neither  $i_0(\mathbf{B}^{n-1})$  nor  $i_1(\mathbf{B}^{n-1})$  so that the submatrix obtained by restricting  $G''_n$  to columns corresponding to states in  $i_1(\mathbf{B}^{n-1})$  and rows corresponding to states in neither  $i_0(\mathbf{B}^{n-1})$  nor  $i_1(\mathbf{B}^{n-1})$  is equal to 0:

$$G_n'' = \begin{bmatrix} 0 & (1-d^2)G_{n-1} & * & * & * \\ G_{n-1} & dG_{n-1} & * & * & * \\ 0 & 0 & & & & * & * & * \\ 0 & 0 & & & & & * & * & * \\ 0 & 0 & & & & & & * & * & * \\ 0 & 0 & & & & & & & * & * & * \\ \end{bmatrix}$$

The block decomposition so far proves that det  $G''_n$  equals  $(1-d^2)^{n\binom{2n-2}{n-1}}(\det G_{n-1})^2$  times the determinant of the boxed block, which we denote by  $\overline{G}_n$ . The latter contains a power of  $(1-d^2)^{-1}$ , whose degree is unspecified. Thus,

det 
$$G_{n-1}^2$$
 divides det  $G''_n(1-d^2)^k$ ,

for some integer  $k \ge 0$ . We remind the reader that  $G''_n$  is obtained from  $G'_n$  via determinant-preserving operations, and hence det  $G'_n = \det G_n$ .

Note that if det  $\overline{G}_n$  has fewer than  $n\binom{2n-2}{n-1}$  powers of  $(1-d^2)^{-1}$ , then

det  $G_{n-1}^2$  divides det  $G_n$ .

It remains an open problem as to whether the former is true. For an example of this decomposition, we mention the equality

$$\det \overline{G}_2 = \frac{\det G_2}{(1-d^2)^4 \det G_1^2}.$$

#### 7. Future directions

In this section, we discuss briefly generalizations of the Gram determinant and present a number of open questions and conjectures.

*The case of a disk with k holes.* We can generalize our setup by considering  $F_k^n$ , a unit disk with k holes, in addition to 2n points,  $a_0, \ldots, a_{2n-1}$ , arranged in a similar

way to points in  $F_2^n$ . For  $b_i, b_j \in \mathbf{B}_k^n$ , let  $b_i \circ b_j^*$  be defined in the same way as before. Each paired diagram  $b_i \circ b_j^*$  consists of up to *n* closed curves on the 2-sphere with 2k holes. Let  $\mathcal{S}$  denote the set of all 2k holes. We differentiate between the closed curves based on how they partition  $\mathcal{S}$ . We define a bilinear form by counting the multiplicities of each type of closed curve in the paired diagram. In the case k = 2, we assigned to each paired diagram a corresponding element in a polynomial ring of eight variables, each variable representing a type of closed curve. In the general case, the number of types of closed curves is equal to

$$\frac{2^{|S|}}{2} = \frac{2^{2k}}{2} = 2^{2k-1},$$

so we can define the Gram matrix of the bilinear form for a disk with k holes and 2n points with  $(n + 1)^{k-1} \binom{2n}{n} \times (n + 1)^{k-1} \binom{2n}{n}$  entries, each belonging to a polynomial ring of  $2^{2k-1}$  variables. We denote this Gram matrix by  $G_n^{F_k}$ . For n = 1and k = 3, we can easily write this  $8 \times 8$  Gram matrix. For purposes of notation, let us denote the holes in  $F_{0,3}^n$  by  $\partial_1$ ,  $\partial_2$  and  $\partial_3$ , and their inversions by  $\partial_{-1}$ ,  $\partial_{-2}$  and  $\partial_{-3}$ , respectively. Hence, each closed curve in the surface encloses some subset of  $\mathcal{G} = \{\partial_1, \partial_{-1}, \partial_2, \partial_{-2}, \partial_3, \partial_{-3}\}$ . Let  $x_{a_1,a_2,a_3}$  denote a curve separating the set of holes  $\{\partial_{a_1}, \partial_{a_2}, \partial_{a_3}\}$  from  $\mathcal{G} - \{\partial_{a_1}, \partial_{a_2}, \partial_{a_3}\}$ . We can similarly define  $x_{a_1,a_2}$  and  $x_{a_1}$ . The Gram matrix is then

$$G_1^{F_3} = \begin{bmatrix} d & x_{-3} & x_{-2} & x_{-2,-3} & x_{-1} & x_{-1,-3} & x_{-1,-2} & x_{1,2,3} \\ x_3 & x_{3,-3} & x_{-2,3} & x_{1,-1,2} & x_{-1,3} & x_{1,2,-2} & x_{1,2,-3} & x_{1,2} \\ x_2 & x_{2,-3} & x_{2,-2} & x_{1,-1,3} & x_{-1,2} & x_{1,-2,3} & x_{1,3,-3} & x_{1,3} \\ x_{2,3} & x_{1,-1,-2} & x_{1,-2,-3} & x_{1,-1} & x_{1,-2,-3} & x_{1,-2} & x_{1,-3} & x_{1} \\ x_1 & x_{1,-3} & x_{1,-2} & x_{1,-2,-3} & x_{1,-1} & x_{1,-1,-3} & x_{1,-1,-2} & x_{2,3} \\ x_{1,3} & x_{1,3,-3} & x_{1,2,-2} & x_{1,-3} & x_{1,-1,3} & x_{2,-2} & x_{2,-3} & x_{2} \\ x_{1,2} & x_{1,2,-3} & x_{1,2,-2} & x_{1,-3} & x_{1,-1,2} & x_{-2,3} & x_{3,-3} & x_{3} \\ x_{1,2,3} & x_{-1,-2} & x_{-1,-3} & x_{-1} & x_{-2,-3} & x_{-2} & x_{-3} & d \end{bmatrix}.$$

It would be tempting to conjecture that the determinant of the matrix above has a straightforward decomposition of the form (u + v)(u - v). We found that this is the case when any two variables of the form  $x_{a_1}$  and  $x_{a_1,a_2}$  are replaced by 0; explicitly, we have, with  $a_1, a_2 \in \{-3, -2, -1, 1, 2, 3\}$ ,

$$\det G_1^{F_3}|_{x_{a_1}=x_{a_1,a_2}=0} = -(d-x_{1,2,3})(d+x_{1,2,3}) \times (x_{1,2,-2}x_{1,-1,3}x_{1,-1,-2}+x_{1,3,-3}x_{1,-1,2}x_{1,-1,-3}-x_{1,2,-3}x_{1,-1,3}x_{1,-1,-3} - x_{1,-1,-2}x_{1,-1,-2}x_{1,-2,3}-x_{1,2,-2}x_{1,3,-3}x_{1,-2,-3}+x_{1,2,-3}x_{1,-2,-3}x_{1,-2,-3})^2.$$

In general, however, preliminary calculations suggest that det  $G_n^{F_3}$  may be an irreducible polynomial.

Finally, we observe that many of the results we have proved for det  $G_n^{F_2}$  also hold for general det  $G_n^{F_k}$ . For example, det  $G_n^{F_k}$  is nonzero and divides det  $G_n^{F_{k+1}}$ . In the specific case of det  $G_n^{F_3}$ , we conjecture that the diagonal term is of the form  $\delta(n) = d^{\alpha(n)}(x_{1,-1}x_{2,-2}x_{3,-3})^{\beta(n)}$ , where

$$\alpha(n) + 3\beta(n) = n(n+1)^2 {\binom{2n}{n}}$$
 and  $\beta(n) = n(n+1)4^{n-1}$ .

Speculation on the factorization of det  $G_n$ . Section 5 establishes that

det  $G_{n-1}$  divides det  $G_n$ ,

but we conjecture that there are many more powers of det  $G_{n-1}$  in det  $G_n$ . Indeed, even in the base case, det  $G_1^k$  divides det  $G_2$  for k up to 4. Finding the maximal power of det  $G_{n-1}$  in det  $G_n$  in the general case is an open problem and can be helpful toward computing the full decomposition of det  $G_n$ .

Examining the terms of highest degree in det  $G_n$ , that is,  $h(\det G_n)$  may also yield helpful hints toward the full decomposition. In particular, we note that

det 
$$G_1^4$$
 divides  $h(\det G_2)$  and  $\frac{h(\det G_2)^6}{\det G_1^9}$  divides  $h(\det G_3)$ 

We can conjecture that  $(\det G_2^6)/(\det G_1^9)$  divides  $\det G_3$ , from which it follows that  $\det G_1^{15}$  divides  $\det G_3$ . We therefore offer the following conjecture:

**Conjecture 7.1.** det  $G_1 \binom{2n}{n-1}$  divides det  $G_n$  for  $n \ge 1$ .

The next conjecture is motivated by observations of det  $G_1$  and det  $G_2$ .

**Conjecture 7.2.** Let  $H_n$  denote the product of factors of det  $G_n$  not in det  $G_{n-1}$ . Then  $H_{n-1}^{2n}$  divides det  $G_n$ .

**Conjecture 7.3.** Let, as before,  $R = \mathbb{Z}[d, x_1, x_2, y_1, y_2, z_1, z_2, z_3]$ , and let  $R_1$  be the subgroup of R of elements invariant under  $h_1, h_2, h_t$ , and  $g_1, g_2, g_3$ . Similarly, let  $R_2$  be the subgroup of R composed of elements  $w \in R$  such that

 $h_1(w) = h_2(w) = -w$  and  $h_t(w) = g_1(w) = g_2(w) = g_3(w)$ .

Then:

(1) det  $G_n = u^2 - v^2$ , where  $u \in R_1$  and  $v \in R_2$ .

- (2) det  $G_n = \prod_{\alpha} (u_{\alpha}^2 v_{\alpha}^2)$ , where  $u_{\alpha} \in R_1$  and  $v_{\alpha} \in R_2$ , and  $u_{\alpha} v_{\alpha}$  and  $u_{\alpha} + v_{\alpha}$  are irreducible polynomials.
- (3) det  $G_n = \prod_{i=1}^n (u_i^2 v_i^2)^{\binom{2n}{n-i}}$ , where  $u_i \in R_1$  and  $v_i \in R_2$ .

Notice that if 
$$w_1 = u_1^2 - v_1^2$$
 and  $w_2 = u_2^2 - v_2^2$ , then

$$w_1w_2 = (u_1u_2 + v_1v_2)^2 - (u_1v_2 + u_2v_1)^2.$$

We have little confidence in Conjecture 7.3(3). It is closely, maybe too closely, influenced by the case of det  $G_n^{F_1}$ , the Gram determinant of type B:

Theorem 7.4 [Martin and Saleur 1993; Chen and Przytycki 2009].

$$\det G_n^{F_1} = \prod_{i=1}^n \left( T_i(d)^2 - a^2 \right)^{\binom{2n}{n-i}},$$

where  $T_i(d)$  is the Chebyshev polynomial of the first kind (recursively defined by  $T_0 = 2$ ,  $T_1 = d$ ,  $T_i = d$   $T_{i-1} - T_{i-2}$ ), and d and a correspond to the trivial and the nontrivial curves in the annulus  $F_1$ , respectively.

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# 2010 vol. 3 no. 2

J. LARRY LEHMAN AND CHRISTOPHER TRIOLA	129
The Gram determinant for plane curves JÓZEF H. PRZYTYCKI AND XIAOQI ZHU	149
The cardinality of the value sets modulo <i>n</i> of $x^2 + x^{-2}$ and $x^2 + y^2$ SARA HANRAHAN AND MIZAN KHAN	171
Minimal k-rankings for prism graphs Juan Ortiz, Andrew Zemke, Hala King, Darren Narayan and Mirko Horňák	183
An unresolved analogue of the Littlewood Conjecture CLARICE FEROLITO	191
Mapping the discrete logarithm DANIEL CLOUTIER AND JOSHUA HOLDEN	197
Linear dependency for the difference in exponential regression INDIKA SATHISH AND DIAWARA NOROU	215
The probability of relatively prime polynomials in $\mathbb{Z}_{p^k}[x]$ THOMAS R. HAGEDORN AND JEFFREY HATLEY	223
G-planar abelian groups Andrea DeWitt, Jillian Hamilton, Alys Rodriguez and Jennifer Daniel	233

1.00