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The probability of randomly generating
finite abelian groups

Tyler Carrico



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Extending the work of Deborah L. Massari and Kimberly L. Patti, this paper makes progress toward finding the probability of k elements randomly chosen without repetition generating a finite abelian group, where k is the minimum number of elements required to generate the group. A proof of the formula for finding such probabilities of groups of the form $\mathbb{Z}_{p^m} \oplus \mathbb{Z}_{p^n}$, where $m, n \in \mathbb{N}$ and p is prime, is given, and the result is extended to groups of the form $\mathbb{Z}_{p^{n_1}} \oplus \cdots \oplus \mathbb{Z}_{p^{n_k}}$, where $n_i, k \in \mathbb{N}$ and p is prime. Examples demonstrating applications of these formulas are given, and aspects of further generalization to finding the probabilities of randomly generating any finite abelian group are investigated.

Introduction

Throughout this paper, let k be the minimum number of elements required to generate a group G , A_G be the event where k elements randomly chosen without repetition generate G , and $P(A_G)$ be the probability of A_G occurring. Massari [1979] showed that, for a finite cyclic group G of order a , $P(A_G) = \phi(a)/a$, where ϕ is the Euler phi function. Patti [2002] showed, among other things, that, for $G = \mathbb{Z}_p \oplus \cdots \oplus \mathbb{Z}_p$ (the external direct product of \mathbb{Z}_p taken k times, where p is prime),

$$P(A_G) = \frac{\prod_{i=0}^{k-1} (p^k - p^i)}{\prod_{j=0}^{k-1} (p^n - p^j)}.$$

It is natural to ask what the probability of generating groups like G is when powers are added to the p subscripts. We now turn to this problem.

Theorem 1. *Let $G = \mathbb{Z}_{p^m} \oplus \mathbb{Z}_{p^n}$ where $m, n \in \mathbb{N}$ and p is prime. Then*

$$P(A_G) = \frac{p^{2(m+n-2)}(p^2 - 1)(p^2 - p)}{p^{m+n}(p^{m+n} - 1)}.$$

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Proof. Partition the elements of G into p^2 subsets (these particular types of subsets will be referred to as A-subsets from this point forward):

$$A_{ij} = \{(px+i \pmod{p^m}, py+j \pmod{p^n}) : x \in \mathbb{Z}_{p^m}, y \in \mathbb{Z}_{p^n}, i, j \in \{0, 1, \dots, p-1\}\}.$$

Note that $\bigcup A_{ij} = G$ and $|A_{ij}| = |G|/p^2 = p^{m+n-2}$ for $i = 0, 1, \dots, p-1$ and $j = 0, 1, \dots, p-1$.

From this point forward we assume without being explicit that, in any tuple $(px+i, py+j)$, i and j are reduced modulo p , $px+i$ is reduced modulo p^m , and $py+j$ is reduced modulo p^n .

Note that, for any $g \in G$ and any $t_1, t_2 \in \mathbb{Z}$ such that $t_1 \equiv t_2 \pmod{p}$, t_1g and t_2g belong to the same A-subset. Therefore, any element $g \in G$ can at most generate p A-subsets since there are p possible choices for an integer t that have the potential to place tg in different A-subsets. For an element g , let F_g denote the family of A-subsets to which tg belongs for all possible values of t . Note that for each $g \notin A_{00}$ exactly p A-subsets belong to F_g (g does not necessarily generate all A-subsets belonging to F_g , but it generates at least one element belonging to each A-subset).

Let $g = (a, b) \in G$, and let (c, d) and (e, f) be two elements, each from any A-subset belonging to F_g . Then $(c, d) \equiv k_1(a, b) \pmod{p}$ and $(e, f) \equiv k_2(a, b) \pmod{p}$ for some $k_1, k_2 \in \mathbb{Z}$. Thus, for any $k_3, k_4 \in \mathbb{Z}$, $k_3(c, d) + k_4(e, f) \equiv k_3k_1(a, b) + k_4k_2(a, b) = [k_3k_1 + k_4k_2](a, b) \pmod{p}$, which belongs to an A-subset in F_g . Thus, these two elements generate at most p A-subsets and thus cannot generate G . Note that, since $A_{00} \in F_g$ for all $g \in G$, it is impossible for two elements to generate G when one of them belongs to A_{00} .

Now suppose we choose elements $a, b \notin A_{00}$, say $a = (px_1 + i_1, py_1 + j_1)$ and $b = (px_2 + i_2, py_2 + j_2)$, such that a does not belong to any A-subset in F_b and b does not belong to any A-subset in F_a (thus it is not the case that $i_1 = i_2 = 0, j_1 = j_2 = 0, i_1 = j_1 = 0$, or $i_2 = j_2 = 0$). We will show that a and b together generate G .

Case 1: At least one of i_1, i_2, j_1, j_2 is zero. Without loss of generality let $i_1 = 0$. Then $i_2 \neq 0, j_1 \neq 0$, and because $\gcd(py_1 + j_1, p^n) = 1$ there exists $q \in \mathbb{Z}$ such that $qa = (px, 1)$ for some $x \in \mathbb{Z}_{p^m}$.

Subcase 1: $j_2 = 0$. Then by similar reasoning there exists $r \in \mathbb{Z}$ such that $rb = (1, py)$ for some $y \in \mathbb{Z}_{p^n}$. Now $qa - pxrb = (0, -p^2xy + 1)$, and $\gcd(-p^2xy + 1, p^n) = 1$ so there exists $s \in \mathbb{Z}$ such that $s[qa - pxrb] = (0, 1)$. Finally, $rb - pys[qa - pxrb] = (1, 0)$.

Subcase 2: $j_2 \neq 0$. Then $b - j_2qa = (p[x_2 - j_2x] + i_2, py_2)$, and we arrive at the same situation as Subcase 1.

Case 2: None of i_1, i_2, j_1, j_2 are zero. Let

$$e = i_2a - i_1b = (p[i_2x_1 - i_1x_2], p[i_2y_1 - i_1y_2] + c),$$

where $c = i_2j_1 - i_1j_2$. We will show that $c \neq 0$. Assume to the contrary that $c = 0$. Since $j_2 \neq 0$, $j_2 \in \{1, 2, \dots, p-1\} \subset \mathbb{Z}_p$, and, because \mathbb{Z}_p is a field, there exists $k \in \mathbb{Z}_p$ such that $kj_2 \equiv 1 \pmod p$. Let $d = j_1k$. Because $i_1j_2 = i_2j_1$, we now have $i_1 \equiv i_1j_2k = i_2j_1k = di_2 \pmod p$ and $j_1 \equiv j_1j_2k = dj_2 \pmod p$ so that a and db are in the same A-subset, a contradiction. Thus, $c \neq 0$.

Now, because $\gcd(p[i_2y_1 - i_1y_2] + c, p^n) = 1$, there exists $q \in \mathbb{Z}$ such that $qe = (px, 1)$ for some $x \in \mathbb{Z}_{p^m}$. Further, $f = b - qe[py_2 + j_2] = (px_3 + i_2, 0)$ for some $x_3 \in \mathbb{Z}_{p^m}$ and $\gcd(px_3 + i_2, p^m) = 1$, so there exists $t \in \mathbb{Z}$ such that $tf = (1, 0)$. Finally, $qe - pxtf = (0, 1)$.

In any case, we have shown that a and b generate $(1, 0)$ and $(0, 1)$, and thus a and b together generate G .

It is left to show the value of $P(A_G)$. For the first element a , any element other than an element from A_{00} can be chosen. Thus, there are p^{m+n-2} elements from each of the $p^2 - 1$ possible A-subsets from which to choose, a total of $p^{m+n-2}(p^2 - 1)$ elements out of the possible p^{m+n} . For the second element, an element must be chosen from an A-subset not belonging to F_a . Since p A-subsets belong to F_a , there are $p^2 - p$ such A-subsets, each containing p^{m+n-2} elements. Thus, there are $p^{m+n-2}(p^2 - p)$ elements out of the remaining $p^{m+n} - 1$ possible elements from which to choose. Therefore,

$$\begin{aligned} P(A_G) &= \frac{p^{m+n-2}(p^2 - 1)}{p^{m+n}} \cdot \frac{p^{m+n-2}(p^2 - p)}{p^{m+n} - 1} \\ &= \frac{p^{2(m+n-2)}(p^2 - 1)(p^2 - p)}{p^{m+n}(p^{m+n} - 1)}. \quad \square \end{aligned}$$

Example. Consider the group $H = \mathbb{Z}_{7^5} \oplus \mathbb{Z}_{7^{12}}$. Then

$$\begin{aligned} P(A_H) &= \frac{7^{2(5+12-2)}(7^2 - 1)(7^2 - 7)}{7^{5+12}(7^{5+12} - 1)} \\ &= \frac{7^{30}(48)(42)}{7^{17}(7^{17} - 1)} \\ &= 0.83965. \end{aligned}$$

This result can be extended to the external direct product of any finite number of $\mathbb{Z}_{p^{n_i}}$.

Theorem 2. Let $G = \mathbb{Z}_{p^{n_1}} \oplus \dots \oplus \mathbb{Z}_{p^{n_k}}$, where $n_i \in \mathbb{N}$ and p is prime. Define $n = \sum_{i=1}^k n_i$. Then

$$P(A_G) = \frac{p^{k(n-k)} \prod_{i=0}^{k-1} (p^k - p^i)}{\prod_{j=0}^{k-1} (p^n - p^j)}.$$

Proof. Partition the elements of G into p^k A -subsets:

$$A_{i_1 \dots i_k} = \{(px_1 + i_1 \bmod p^{n_1}, \dots, px_k + i_k \bmod p^{n_k}) : x_j \in \mathbb{Z}_{p^{n_j}}, i_j \in \{0, 1, \dots, p-1\}\}.$$

Note that $\bigcup A_{i_1 \dots i_k} = G$ and $|A_{i_1 \dots i_k}| = |G|/p^k = p^{n-k}$ for $i_j = 0, 1, \dots, p-1$.

Similar to the case where $k = 2$, any element $g \in G$ can at most generate p A -subsets, and for each $g \notin A_{0 \dots 0}$ exactly p A -subsets belong to F_g . When k elements are chosen, if any two elements belong to A -subsets within the same family, at most p^{k-1} A -subsets can be generated. Therefore, it is impossible to generate G with such a choice of elements.

Now choose an element not in the null family, then choose another not in the family of the first element, then choose another such that it is not in any family generated by any linear combination of the first two elements, and so forth until we have chosen k elements a_1, \dots, a_k . Then none of the elements can be written as a linear combination of the other $k-1$ elements. Define $A = \{a_1, \dots, a_k\}$. Assume that none of the elements of A are part of an A -subset with zero in its subscript. Then for any a_{m_1} and a_{m_2} there exist integers c_1 and c_2 so that $c_1 a_{m_1} + c_2 a_{m_2} \in A_{0i_2 \dots i_k}$, where not all of the i_j are zero. Therefore, we can generate $k-1$ elements a'_1, \dots, a'_{k-1} where $a'_m = c_1 a_m + c_2 a_{m+1}$ and c_1 and c_2 are such that $a'_m \in A_{0i_2 \dots i_k}$, where not all of the i_j are zero. Assume that $i_j \neq 0$ for $j = 2, \dots, k$. Define $A' = \{a'_1, \dots, a'_{k-1}\}$. Note that none of a'_1, \dots, a'_{k-1} can be written as linear combinations of the other $k-2$ elements, for, if this were possible, some a_j could be written as a linear combination of the elements in A other than a_j , which contradicts our choice of the elements of A . We can now generate $k-2$ elements a''_1, \dots, a''_{k-2} in a similar manner so that $a''_m \in A_{00i_3 \dots i_k}$, and similar conditions and assumptions hold. Continuing in this manner, we generate an element $a^{(k)} \in A_{0 \dots 0i_k}$, where $i_k \neq 0$. Now, because $\gcd(px_k + i_k, p^{n_k}) = 1$, there exists c such that $ca^{(k)} = (py_1 \bmod p^{n_1}, \dots, py_{k-1} \bmod p^{n_{k-1}}, 1)$ for some $y_i \in \mathbb{Z}_{p^{n_i}}$.

Following a procedure similar to the one previously described, only changing the order by which the linear combinations of the elements are taken, we can generate $k-1$ other elements so that we have a total of k elements b_1, \dots, b_k such that the j -th coordinate of b_j is 1 and the remaining coordinates are multiples of p . Now linear combinations of these elements can be taken so that k elements c_1, \dots, c_k are generated, where the j -th coordinate of c_j is not a multiple of p and the remaining coordinates are 0. Thus, the greatest common divisor of the j -th coordinate of each c_j and p^{n_j} is 1, and thus there exists t_j for each c_j such that $t_j c_j$ has 1 for the j -th coordinate and zero for the remaining coordinates.

If, unlike our earlier assumptions, it happens at any point that some i_j is zero, notice that this is a subcase of our original case, where we already possess elements

which otherwise we would have had to generate as we did in our original case. Thus, our assumption that each i_j be nonzero at each step is unnecessary and, in any case, a_1, \dots, a_k together generate G .

It is left to show the value of $P(A_G)$. For the first element a_1 , any element other than an element from $A_{0\dots 0}$ can be chosen. Thus, there are p^{n-k} elements from each of the $p^k - 1$ possible A-subsets from which to choose, a total of $p^{n-k}(p^k - 1)$ elements out of the possible p^n . For the second element a_2 , an element must be chosen from an A-subset not belonging to F_{a_1} . Since p A-subsets belong to F_{a_1} , there are $p^k - p$ such A-subsets, each containing p^{n-k} elements. Thus, there are $p^{n-k}(p^k - p)$ elements out of the remaining $p^n - 1$ possible elements from which to choose. Continuing in this manner and multiplying the resulting probabilities, we have

$$\begin{aligned}
 P(A_G) &= \frac{p^{n-k}(p^k - 1)}{p^n} \cdot \frac{p^{n-k}(p^k - p)}{p^n - 1} \dots \frac{p^{n-k}(p^k - p^{k-1})}{p^n - k} \\
 &= \frac{p^{k(n-k)} \prod_{i=0}^{k-1} (p^k - p^i)}{\prod_{j=0}^{k-1} (p^n - j)}. \quad \square
 \end{aligned}$$

Example. Consider the group $I = \mathbb{Z}_{29} \oplus \mathbb{Z}_{29^2} \oplus \mathbb{Z}_{29^3} \oplus \mathbb{Z}_{29^4}$. Then $1 + 2 + 3 + 4 = 10$, so

$$\begin{aligned}
 P(A_I) &= \frac{29^{4(10-4)}(29^4 - 1)(29^4 - 29)(29^4 - 29^2)(29^4 - 29^3)}{29^{10}(29^{10} - 1)(29^{10} - 2)(29^{10} - 3)} \\
 &= 0.964.
 \end{aligned}$$

Extension. The fundamental theorem of finite abelian groups states that every finite abelian group is isomorphic to a direct product of cyclic groups of prime-power order, that is, groups of the form $\mathbb{Z}_{p_1^{n_1}} \oplus \dots \oplus \mathbb{Z}_{p_k^{n_k}}$, where $n_i \in \mathbb{N}$ and p_i are prime [Gallian 2006]. We would thus hope that extending the previous theorem by varying the primes would be simple. This is not the case, however. Consider the following three groups and the probabilities of generating them:

- (1) Let $G_1 = \mathbb{Z}_2 \oplus \mathbb{Z}_2$. Then $P(A_{G_1}) = 1/2$.
- (2) Let $G_2 = \mathbb{Z}_3 \oplus \mathbb{Z}_3$. Then $P(A_{G_2}) = 2/3$.
- (3) Let $G_3 = \mathbb{Z}_2 \oplus \mathbb{Z}_2 \oplus \mathbb{Z}_3 \oplus \mathbb{Z}_3$. Then $P(A_{G_3}) = 8/35$.

Notice that, although we have a formula for finding the probabilities of generating (1) and (2) and G_3 is isomorphic to $G_1 \oplus G_2$, the relationship between the probabilities of generating each of the three groups (1/2, 2/3, and 8/35) is not obvious. The following is a conjecture for the probability of generating groups of form similar to G_3 .

Conjecture. Let $G = \mathbb{Z}_{p^{n_1}} \oplus \mathbb{Z}_{p^{n_2}} \oplus \mathbb{Z}_{q^{n_3}} \oplus \mathbb{Z}_{q^{n_4}}$, where $n_i \in \mathbb{N}$ and p and q are prime. Then

$$P(A_G) = \frac{p^{2(n_1+n_2-2)}q^{2(n_3+n_4-2)}(p^2q^2 - p^2 - q^2 + 1)(p^2q^2 - p^2q - q^2p + pq)}{p^{n_1+n_2}q^{n_3+n_4}(p^{n_1+n_2}q^{n_3+n_4} - 1)}.$$

This equation is similar in form to our previous theorem, yet it differs significantly in the number of A-subsets from which elements can be chosen that successfully generate G ; the first element can be chosen from $p^2q^2 - p^2 - q^2 + 1$ A-subsets and the second element can be chosen from $p^2q^2 - p^2q - q^2p + pq$ A-subsets. The following conjecture shows how similar complexities arise in a group form similar to the previous case:

Conjecture. Let $G = \mathbb{Z}_{p^{n_1}} \oplus \mathbb{Z}_{p^{n_2}} \oplus \mathbb{Z}_{p^{n_3}} \oplus \mathbb{Z}_{q^{n_4}}$ where $n_i \in \mathbb{N}$ and p and q are prime. Then

$$P(A_G) = \frac{p^{3(n_1+n_2+n_3-3)}q^{n_4-1}(p^3 - 1)(p^3 - p)(p^3 - p^2)(q^3 - 1)}{p^{n_1+n_2+n_3}q^{n_4}(p^{n_1+n_2+n_3}q^{n_4} - 1)(p^{n_1+n_2+n_3}q^{n_4} - 2)}.$$

Finally, since a set of elements from a group will either generate the whole group or a proper subgroup, if we let B_G be the event where k elements randomly chosen without repetition generate a proper subgroup of G , then $P(B_G)$, the probability of B_G occurring, is

$$P(B_G) = 1 - P(A_G).$$

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
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