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of lower-dimensional manifolds

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(Communicated by Kenneth S. Berenhaut)

We prove the following results on flag triangulations of 2- and 3-manifolds. In dimension 2, we prove that the vertex-minimal flag triangulations of $\mathbb{R}P^2$ and $\mathbb{S}^1 \times \mathbb{S}^1$ have 11 and 12 vertices, respectively. In general, we show that $8 + 3k$ (resp. $8 + 4k$) vertices suffice to obtain a flag triangulation of the connected sum of k copies of $\mathbb{R}P^2$ (resp. $\mathbb{S}^1 \times \mathbb{S}^1$). In dimension 3, we describe an algorithm based on the Lutz–Nevo theorem which provides supporting computational evidence for the following generalization of the Charney–Davis conjecture: for any flag 3-manifold, $\gamma_2 := f_1 - 5f_0 + 16 \geq 16\beta_1$, where f_i is the number of i -dimensional faces and β_1 is the first Betti number over a field k . The conjecture is tight in the sense that for any value of β_1 , there exists a flag 3-manifold for which the equality holds.

1. Introduction

A simplicial complex is called flag if all of its minimal nonfaces have cardinality 2. The notion of flagness arises naturally from differential geometry. Gromov [1987] noticed that when a piecewise Euclidean cubical complex is associated with the right-angled metric, the property that the complex is nonpositively curved is equivalent to the condition that every vertex link in the complex is flag. Many classes of simplicial complexes with interesting combinatorial structures are flag, for example, the barycentric subdivisions of simplicial complexes, order complexes of posets, and Coxeter complexes.

In this paper, we focus on a fundamental problem in combinatorial topology of flag complexes: what is the minimum number of vertices that a flag triangulation of a manifold can have? For instance, the boundary complex of a d -simplex gives the minimal triangulation of \mathbb{S}^{d-1} . In contrast, a flag triangulation of \mathbb{S}^{d-1} requires at least $2d$ vertices. The vertex-minimal flag triangulation of \mathbb{S}^{d-1} is given by the

MSC2020: 05E45, 57Q15.

Keywords: flag complexes, triangulations of manifolds, Charney–Davis conjecture.

octahedral $(d-1)$ -sphere and is unique. However, to the best knowledge of the authors, the vertex-minimal flag triangulations of any other manifolds (even the nonspherical surfaces) remain unknown.

We remark that it is not possible for us to detect flag triangulations of surfaces from existing references: most enumerative results search triangulated manifolds with up to a certain number of vertices, while we expect that the minimal flag triangulations require significantly more vertices than nonflag ones. For example, in [Sulanke and Lutz 2009] it is shown that there are 645592 distinct triangulations of $\mathbb{S}^1 \times \mathbb{S}^1$ with up to 12 vertices, and we find that exactly one of these triangulations is flag. Even though there are enumerations of small triangulations of surfaces, see, e.g., [Sulanke and Lutz 2009; Lutz 2011], in most of the references only the f -vectors and types of manifolds are given, which is not sufficient to check flagness.

The first part of our paper aims at finding the minimal flag triangulations for several surfaces. The method is based on a theorem in [Lutz and Nevo 2016], which states that any two flag homeomorphic PL manifolds can be connected via a sequence of edge subdivisions or admissible edge contractions. By computer search we find

- two nonisomorphic 11-vertex flag triangulations of the real projective plane,
- one 12-vertex flag triangulation of the torus, and
- 28 nonisomorphic 14-vertex flag triangulations of the Klein bottle.

Based on the properties of flagness, we further prove that the 11-vertex and the 12-vertex flag triangulations of $\mathbb{R}P^2$ and $\mathbb{S}^1 \times \mathbb{S}^1$ are indeed vertex-minimal. By defining a new connected sum for flag complexes, we also generate small flag triangulations of all other surfaces. Specifically, we show that $8 + 4k$ (resp. $8 + 3k$) vertices suffice to give a flag triangulation of the connected sum of k tori (resp. real projective planes).

The second part of this paper explores γ_2 -minimal flag 3-manifolds. The celebrated Charney–Davis conjecture [1995] asserts that for any flag $(2n-1)$ -dimensional simplicial sphere Δ ,

$$(-1)^n \left(1 - \frac{1}{2} f_0(\Delta) + \frac{1}{4} f_1(\Delta) - \cdots + \left(-\frac{1}{2}\right)^{2n} f_{2n-1}(\Delta) \right) \geq 0,$$

where f_i is the number of i -dimensional faces. The Charney–Davis conjecture is an implication of the long-standing Hopf–Chern–Thurston conjecture from a combinatorial perspective; see [Charney and Davis 1995; Forman 2007] for motivation of the conjecture. The conjecture is proved for $n = 2$ in [Davis and Okun 2001] using heavy machinery in differential geometry and is now known as the Davis–Okun theorem. In this case, the above inequality can be rephrased using γ -numbers as $\gamma_2(\Delta) := f_1(\Delta) - 5f_0(\Delta) + 16 \geq 0$. (We refer to [Gal 2005] for background on the γ -numbers and other lower-bound-type conjectures for flag spheres.) It is natural to ask if the Davis–Okun theorem has an extension for flag 3-manifolds. In this

paper we explore the connection between the minimal γ_2 for flag triangulations of a 3-manifold M and the first Betti number of M . Based on all flag 3-manifolds that we've constructed, we propose a conjecture that $\gamma_2 \geq 16\beta_1$. Furthermore, we prove that for any integer $b \geq 0$, there exists a flag 3-manifold that attains $\gamma_2 = 16b$. See Section 5 for more discussion.

The structure of the paper is as follows. In Section 2, we review the basic definitions and properties of flag complexes. In Section 3, we present the algorithm for computer search and describe the small triangulations of several surfaces that we have found. In Section 4, we prove that the minimal flag triangulations of $\mathbb{R}P^2$ and $\mathbb{S}^1 \times \mathbb{S}^1$ have exactly 11 and 12 vertices, respectively, and describe how to construct small flag triangulations of other surfaces. We close in Section 5 by discussing potential extension to a manifold Charney–Davis conjecture based on computer search results on flag 3-manifolds.

2. Preliminaries

A *simplicial complex* Δ on a vertex set $V = V(\Delta)$ is a collection of subsets $\sigma \subseteq V$, called *faces*, that is closed under inclusion. Two examples of simplicial complexes are the d -dimensional simplex on V , $\bar{V} := \{\tau : \tau \subseteq V\}$, and its boundary complex, $\partial\bar{V} := \{\tau : \tau \subsetneq V\}$. For $\sigma \in \Delta$, let $\dim \sigma := |\sigma| - 1$ and define the *dimension* of Δ , $\dim \Delta$, as the maximal dimension of its faces. A maximal under inclusion face of Δ is called a *facet*. If all facets of Δ are of the same dimension, then Δ is called *pure*. Let $E(\Delta)$ be the set of 1-faces in Δ . For brevity, we write $\{v\}$ as v .

For a $(d-1)$ -dimensional simplicial complex Δ , we let $f_i = f_i(\Delta)$ be the number of i -dimensional faces of Δ for $-1 \leq i \leq d-1$. The vector $(f_{-1}, f_0, \dots, f_{d-1})$ is called the *f-vector* of Δ . If Δ is a simplicial complex and σ is a face of Δ , the *link* of σ in Δ is $\text{lk}(\sigma, \Delta) := \{\tau - \sigma \in \Delta : \sigma \subseteq \tau \in \Delta\}$, and the *star* of σ in Δ is $\text{st}(\sigma, \Delta) := \{\tau \in \Delta : \sigma \cup \tau \in \Delta\}$. When the context is clear, we will abbreviate the notation and write them as $\text{lk}(\sigma)$ and $\text{st}(\sigma)$ respectively. The *restriction* of Δ to a vertex set W is defined as $\Delta[W] := \{\sigma \in \Delta : \sigma \subseteq W\}$.

If Δ and Γ are simplicial complexes defined on disjoint vertex sets, we define the *join* of Δ and Γ to be the simplicial complex $\Delta * \Gamma = \{\sigma \cup \tau : \sigma \in \Delta, \tau \in \Gamma\}$. Given a face $\sigma \in \Delta$, the *stellar subdivision of Δ along σ* is

$$\text{sd}(\sigma, \Delta) = \{\tau \in \Delta : \tau \cap \sigma = \emptyset\} \cup (\bar{v} * \partial\bar{\sigma} * \text{lk}(\sigma, \Delta)),$$

where \bar{v} is a new vertex. If $e = \{u, v\} \in \Delta$, then we define the *edge contraction of Δ along e* to be

$$\text{contr}(e, \Delta) = \{\tau \in \Delta : u \notin \tau\} \cup \{(\tau \cup v) \setminus u : u \subset \tau \in \Delta\}.$$

A $(d-1)$ -dimensional simplicial complex Δ is called a *simplicial $(d-1)$ -manifold* (or *triangulated $(d-1)$ -manifold*) if its geometric realization $\|\Delta\|$ is homeomorphic

to a manifold. A *combinatorial $(d-1)$ -manifold* (or *PL $(d-1)$ -manifold*) is a simplicial complex such that every vertex link is PL homeomorphic to the boundary of a $(d-1)$ -simplex or the $(d-2)$ -simplex. In particular, if Δ is a combinatorial manifold, then the *boundary complex* of Δ , denoted as $\partial\Delta$, consists of the empty face and the faces whose links are combinatorial balls. The boundary complex of a combinatorial d -ball is a combinatorial $(d-1)$ -sphere. The faces that are not in the boundary complex are called the *interior faces*. In dimension $d-1=2$ or 3 , the class of combinatorial manifolds and the class of simplicial manifolds are the same. However, there exist non-PL triangulations of the $(d-1)$ -sphere on $d+12$ vertices for all $d-1 \geq 5$; see [Björner and Lutz 2000, Theorem 7].

One advantage of working in the class of combinatorial manifolds can be explained by the following elegant theorem [Alexander 1930].

Theorem 2.1 (Alexander). *Two closed combinatorial manifolds are piecewise linear homeomorphic if and only if there exists a finite sequence of edge subdivisions and their inverses leading from one combinatorial manifold to the other.*

It is well known that if an edge $e = \{a, b\}$ in a closed combinatorial manifold Δ satisfies the *link condition* $\text{lk}(e, \Delta) = \text{lk}(a, \Delta) \cap \text{lk}(b, \Delta)$, then the edge contraction $\text{contr}(e, \Delta)$ preserves the PL type of Δ ; see [Nevo 2007].

There are two $(d-1)$ -dimensional sphere bundles over the circle. We denote by $\mathbb{S}^{d-2} \times \mathbb{S}^1$ the orientable sphere bundle and by $\mathbb{S}^{d-2} \tilde{\times} \mathbb{S}^1$ the nonorientable sphere bundle. If M is a $(d-1)$ -dimensional manifold, then we write $\#_i M$ as the (topological) connected sum of i copies of M . The connected sum of simplicial complexes is defined as an analog of the topological connected sum: let Δ and Γ be pure $(d-1)$ -dimensional simplicial complexes and let $\sigma \in \Delta$, $\tau \in \Gamma$ be facets. The connected sum of Δ and Γ , $\Delta \#_{\sigma \sim \tau} \Gamma$ (or simply $\Delta \# \Gamma$, if σ and τ are understood in the context), is the complex obtained from $\Delta \cup \Gamma$ by removing σ, τ and then identifying $\partial\bar{\sigma}$ with $\partial\bar{\tau}$.

Let $\chi(\Delta) = \sum_{i=0}^{d-1} (-1)^i \beta_i(\Delta)$ be the *Euler characteristic* of the $(d-1)$ -dimensional complex Δ , where $\beta_i(\Delta)$ is the rank of the i -th homology group of Δ computed with coefficients in \mathbb{Z} . By the Euler characteristic formula, $\chi(\Delta) = \sum_{i=0}^{d-1} (-1)^i f_i(\Delta)$. Hence the f -vector of a triangulated surface Δ can be expressed as

$$f(\Delta) = (1, f_0(\Delta), 3(f_0(\Delta) - \chi(\Delta)), 2(f_0(\Delta) - \chi(\Delta))).$$

The following classification theorem of surfaces will come in handy in our discussion of flag triangulated surfaces; see [Seifert and Threlfall 1980].

Theorem 2.2 (classification theorem of surfaces). *Every closed and connected surface is homeomorphic to either a sphere, a connected sum of tori, or a connected sum of projective planes.*

A graph is an ordered pair $G = (V, E)$, such that V is a finite set, and $E \subseteq \binom{V}{2}$. The graph of a simplicial complex Δ is $G(\Delta) = (V(\Delta), E(\Delta))$. A simplicial complex Δ is *flag* if all minimal nonfaces of Δ , also called *missing faces*, have cardinality 2; equivalently, Δ is the clique complex of $G(\Delta)$. For example, let $C_d^* = \text{conv}\{\pm e_1, \dots, \pm e_d\}$ be the d -cross-polytope, where the e_i 's form the standard basis of \mathbb{R}^d . The boundary complex ∂C_d^* is a flag $(d-1)$ -sphere. The properties of flag complexes are described in the following lemma.

Lemma 2.3 [Nevo and Petersen 2011, Lemma 5.2]. *Let Δ be a flag complex on vertex set V :*

- (1) *If $W \subseteq V(\Delta)$, then $\Delta[W]$ is also flag.*
- (2) *If σ is a face in Δ , then $\text{lk}(\sigma) = \Delta[V(\text{lk}(\sigma))]$. In particular, all links in a flag complex are also flag.*
- (3) *If $W \subset V(\Delta)$, then $\|\Delta\| - \|\Delta[W]\|$ deformation retracts onto $\|\Delta[V - W]\|$.*
- (4) *Any edge $\{v, v'\}$ in Δ satisfies the link condition $\text{lk}(v) \cap \text{lk}(v') = \text{lk}(\{v, v'\})$.*

The flag analog of Alexander's theorem is the following theorem; see [Lutz and Nevo 2016].

Theorem 2.4 (Lutz–Nevo). *Two flag simplicial complexes are piecewise linearly homeomorphic if and only if they can be connected by a sequence of flag complexes, each obtained from the previous one by either an edge subdivision or edge contraction.*

3. Algorithms and results

It is well known that the minimal flag triangulation of \mathbb{S}^2 is the octahedral 2-sphere with six vertices. Our goal in this section is to find the minimal flag triangulations of three other surfaces: the torus, the Klein bottle and the real projective plane. In the next section we will discuss how to generate small flag triangulations of all types of surfaces based on triangulations of these three surfaces.

Our implementation is based on the Lutz–Nevo theorem. Since in dimension $d = 2, 3$, the class of combinatorial d -manifolds is the same as the class of triangulated d -manifolds, Theorem 2.4 guarantees that the minimal flag triangulation of a surface M can be obtained by applying edge subdivisions and admissible edge contractions on a given (possibly very large) flag triangulation of M . In an edge subdivision of a surface, a chosen edge e of the simplicial complex is divided into two edges, with the facets containing e replaced by four facets containing the two new edges. The resulting complex is always flag. In an edge contraction, we choose an edge $e = \{a, b\}$ and identify b with a ; in other words, the edge e is contracted to the vertex a . Faces of the form $F \cup \{b\}$ are replaced by the faces $F \cup \{a\}$. However,

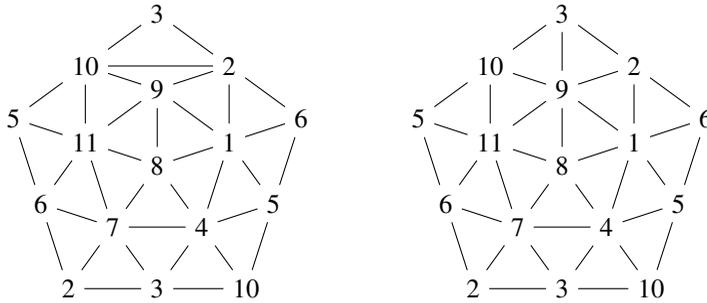


Figure 1. Two 11-vertex flag triangulations of $\mathbb{R}P^2$.

5 6 11	6 7 11	7 8 11	8 9 11	9 10 11	5 6 11	6 7 11	7 8 11	8 9 11	9 10 11
5 10 11	1 2 9	2 9 10	2 3 10	3 4 10	5 10 11	1 2 9	2 3 9	3 9 10	3 4 10
4 5 10	1 4 5	1 5 6	1 2 6	2 6 7	4 5 10	1 4 5	1 5 6	1 2 6	2 6 7
2 3 7	3 4 7	4 7 8	1 4 8	1 8 9	2 3 7	3 4 7	4 7 8	1 4 8	1 8 9

Table 1. Facets of two flag 11-vertex triangulations of $\mathbb{R}P^2$.

edge contraction does not always preserve flagness. The resulting complex is flag if and only if the edge contracted is not contained in any induced 4-cycle of the original simplicial complex; see [Lutz and Nevo 2016, Corollary 6.2]. We call such an edge an *admissible* edge.

Our computer search algorithm is as follows: we first build a relatively small flag triangulation of a given surface and apply a random sequence of edge subdivisions on the complex until the number of vertices reaches a set number. Then a sequence of admissible edge contractions is performed until a local minimum on f_0 is attained; i.e., no more admissible edges exist in the complex. The above process of edge subdivisions followed by edge contractions is iterated a given number of times. In each iteration, we keep track of the number of vertices in the complexes.

In what follows, we summarize the smallest flag triangulations of $\mathbb{R}P^2$, $\mathbb{S}^1 \times \mathbb{S}^1$ and $\mathbb{S}^1 \tilde{\times} \mathbb{S}^1$ found by our implementation.

Example 3.1 (triangulation of $\mathbb{R}P^2$). We started with an 11-vertex flag triangulation of $\mathbb{R}P^2$ as shown in the left of Figure 1. Our program found another nonisomorphic construction of $\mathbb{R}P^2$ with 11 vertices (see the right of Figure 1). Observe that, as the picture suggests, the right-hand triangulation has automorphism group Dih_5 (the symmetry group of the pentagon), while the left-hand triangulation has a different automorphism group. The facets of these two triangulations are listed in Table 1. In particular, they differ by one bistellar flip, i.e., by replacing the 2-faces $\{2, 3, 10\}, \{2, 9, 10\}$ with $\{2, 3, 9\}, \{3, 9, 10\}$. We will prove in the next section that the minimal flag triangulations of $\mathbb{R}P^2$ indeed have 11 vertices.

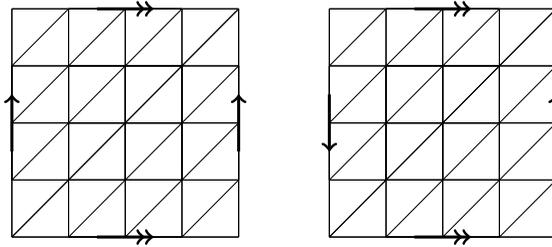


Figure 2. The 16-vertex flag triangulations of the torus (left) and the Klein bottle (right).

1 2 3	1 2 5	1 3 11	1 4 5	1 4 12	1 11 12
2 3 8	2 5 6	2 6 7	2 7 8	3 8 9	3 9 10
3 10 11	4 5 10	4 7 8	4 7 10	4 8 12	5 6 9
5 9 10	6 7 11	6 9 12	6 11 12	7 10 11	8 9 12

Table 2. Facets of the flag 12-vertex triangulation of $S^1 \times S^1$.

Example 3.2 (triangulation of the torus). We started with a flag triangulation of the torus with 16 vertices as shown in the left of Figure 2. A unique flag triangulation with 12 vertices was found in the searching process. The facets are listed in Table 2. We will show in the next section that this is indeed the unique minimal flag triangulation of the torus; see Figure 5.

Example 3.3 (triangulation of the Klein bottle). We started the searching process with a flag triangulation of the Klein bottle with 16 vertices as shown in Figure 2. Our program suggested that a minimal flag triangulation of the Klein bottle has 14 vertices, and there are at least 28 nonisomorphic such triangulations. We will see in the next section how to obtain several 14-vertex triangulations from 11-vertex flag triangulations of RP^2 .

Remark 3.4. The minimal flag triangulation of $S^1 \times S^1$ is exactly the vertex-transitive 2-manifold ${}^2 12_{1}^{83}$ found in [Lutz 2011]. It admits the group action of $S_4 \times S_3$.

4. Proof of minimality

In Section 3, we saw that there exist flag triangulations of RP^2 and $S^1 \times S^1$ with 11 and 12 vertices, respectively. In this section, we prove that indeed our constructions give the minimal flag triangulations. The following lemma provides a necessary condition for a flag triangulation to be vertex-minimal.

Lemma 4.1. *Let Δ be a minimal flag triangulated surface. Then every edge is in an induced 4-cycle of Δ .*

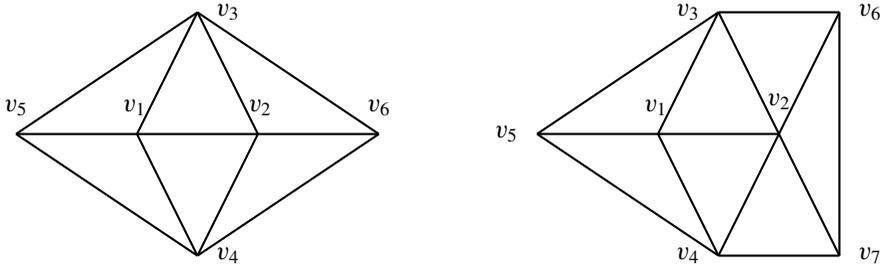


Figure 3. Links in the proofs of Lemma 4.2 (left), where $\deg v_1 = \deg v_2 = 4$, and Lemma 4.3 (right), where $\deg v_1 = 4$, $\deg v_2 = 5$.

Proof. Suppose not; then there is an edge $e = \{u, v\} \in \Delta$, such that it is not in any induced 4-cycle of Δ . Then $\{u, v\}$ can be admissibly contracted into Δ' , where Δ' is homeomorphic to Δ and Δ' contains no induced 3-cycle; i.e., Δ is flag. This contradicts that Δ is the minimal flag triangulation. \square

The minimal flag triangulation of $\mathbb{R}P^2$. In what follows, by (w_1, w_2, \dots, w_n) we denote the n -cycle with edges $\{w_i, w_{i+1}\}$ for $1 \leq i \leq n - 1$ and $\{w_n, w_1\}$.

Lemma 4.2. *Let Δ be a minimal flag triangulation of a surface M . If there is an edge $e = \{v_1, v_2\} \in \Delta$ such that both of the links $\text{lk}(v_1), \text{lk}(v_2)$ are 4-cycles, then $M \cong \mathbb{S}^2$ and Δ is the octahedral sphere.*

Proof. Assume that $\text{lk}(v_1) = (v_5, v_3, v_2, v_4)$ and $\text{lk}(v_2) = (v_1, v_3, v_6, v_4)$, as shown in the left of Figure 3. By Lemma 2.3, $\text{lk}(v_1) \cap \text{lk}(v_2) = \text{lk}(\{v_1, v_2\})$ is the union of two vertices v_3, v_4 and hence v_5, v_6 are distinct. Since Δ is a minimal flag triangulation, the edge $\{v_5, v_1\}$ must be in at least one induced 4-cycle C of Δ . Since C is induced, $\{v_3, v_1\}$ or $\{v_4, v_1\}$ cannot be in C . So the edge $\{v_1, v_2\}$ is in C . Similarly, we have $\{v_2, v_6\} \subset C$. Hence $C = (v_5, v_1, v_2, v_6)$. Note that $G(\text{st}(v_1) \cup \text{st}(v_2) \cup \{v_5, v_6\})$ is the graph of an octahedral sphere. By flagness, this octahedral sphere is a subcomplex of Δ , and hence Δ must be the octahedral sphere. \square

We can strengthen Lemma 4.2 as follows:

Lemma 4.3. *Let Δ be a minimal flag triangulation of a surface M . Then no adjacent vertices can have degree 4 and 5, respectively, in Δ .*

Proof. Assume that $\text{lk}(v_1) = (v_5, v_3, v_2, v_4)$ and $\text{lk}(v_2) = (v_1, v_3, v_6, v_7, v_4)$, as shown in the right of Figure 3. Using the same proof as in Lemma 4.2, v_5, v_6, v_7 are distinct vertices and the induced 4-cycle C that contains the edge $\{v_5, v_1\}$ must also contain $\{v_1, v_2\}$. By symmetry and without loss of generality, we may assume that $\{v_2, v_6\}$ is in C . Hence $C = (v_5, v_1, v_2, v_6)$. By flagness $\text{lk}(v_3)$ must be the 4-cycle C . Now both $\text{lk}(v_3)$ and $\text{lk}(v_1)$ are 4-cycles and $\{v_1, v_3\} \in \Delta$. By Lemma 4.2, Δ is the octahedral sphere. This contradicts that $\text{lk}(v_2)$ is a 5-cycle. \square

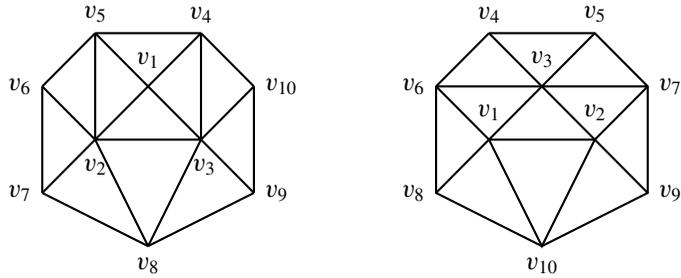


Figure 4. Links for case 1 of the proof of Theorem 4.4 (left), where $(\deg v_1, \deg v_2, \deg v_3) = (4, 6, 6)$, and case 2 (right), where $(\deg v_1, \deg v_2, \deg v_3) = (5, 5, 6)$.

Now we are ready to prove our main theorem.

Theorem 4.4. *Let Δ be a minimal flag triangulation of a surface $M \neq \mathbb{S}^2$. Then $f_0(\Delta) \geq 11$.*

Proof. Let $F = \{v_1, v_2, v_3\} \in \Delta$. By Lemmas 4.2 and 4.3, $(\deg v_1, \deg v_2, \deg v_3)$ is equal to either $(4, \geq 6, \geq 6)$ or $(\geq 5, \geq 5, \geq 5)$.

Case 1: The vertex v_1 is of degree 4. Since $\text{lk}(v_i) \cap \text{lk}(v_j) = \text{lk}(\{v_i, v_j\})$ consists of two distinct vertices for any distinct $i, j \in \{1, 2, 3\}$, by the inclusion-exclusion principle and flagness of Δ we have

$$\begin{aligned} f_0(\Delta) &\geq f_0\left(\bigcup_{i=1}^3 \text{lk}(v_i)\right) = \sum_{i=1}^3 f_0(\text{lk}(v_i)) - \sum_{1 \leq i < j \leq 3} f_0(\text{lk}(v_i) \cap \text{lk}(v_j)) \\ &= \sum_{i=1}^3 f_0(\text{lk}(v_i)) - 6 \geq 10. \end{aligned}$$

In particular, if $f_0(\Delta) = 10$, then it follows that $(\deg v_1, \deg v_2, \deg v_3) = (4, 6, 6)$. Assume that the links of v_1, v_2, v_3 are as shown in the left of Figure 4. Since $\deg v_1 = 4$, by Lemma 4.3 $\text{lk}(v_4)$ is not a 4-cycle and hence $\{v_5, v_{10}\} \notin \Delta$. Since $\text{lk}(v_2)$ is flag, $\{v_5, v_3\}$, $\{v_5, v_7\}$ and $\{v_5, v_8\}$ are not edges of Δ . Hence v_5 can have at most degree 5 in Δ . However by Lemma 4.2, $\deg v_5 \geq 6$, a contradiction. Therefore, $f_0(\Delta) \geq 11$.

Case 2: Every vertex in Δ is of degree at least 5. It follows from the Euler characteristic formula that $f(\Delta) = (1, f_0(\Delta), 3(f_0(\Delta) - \chi(\Delta)), 2(f_0(\Delta) - \chi(\Delta)))$; here $\chi(\Delta) \leq 1$ as $M \neq \mathbb{S}^2$. If all vertices of Δ are of degree 5, by double counting we have

$$6(f_0(\Delta) - \chi(\Delta)) = 2f_1(\Delta) = \sum_{v \in \Delta} f_0(\text{lk}(v)) = 5f_0(\Delta).$$

That is, $f_0(\Delta) = 6\chi(\Delta) \leq 6$, a contradiction.

Without loss of generality, assume $(\deg v_1, \deg v_2, \deg v_3) = (\geq 5, \geq 5, \geq 6)$. As before, we have $f_0(\Delta) \geq f_0(\bigcup_{i=1}^3 \text{lk}(v_i)) \geq 5 + 5 + 6 - 6 = 10$. Suppose $f_0(\Delta) = 10$, in which case $(\deg v_1, \deg v_2, \deg v_3) = (5, 5, 6)$ and the links of v_i are as shown in the right of Figure 4. By Lemma 4.3, $\text{lk}(v_{10})$ and $\text{lk}(v_6)$ are not 4-cycles and hence $\{v_8, v_9\}, \{v_8, v_4\} \notin \Delta$. Since $\deg(v_8) \geq 5$, we must have $\{v_8, v_5\}, \{v_8, v_7\} \in \Delta$ and $\text{lk}(v_8)$ is equal to $(v_6, v_1, v_{10}, v_5, v_7)$ or $(v_6, v_1, v_{10}, v_7, v_5)$. However, both $\text{lk}(v_2)$ and $\text{lk}(v_3)$ are flag and $\{v_7, v_{10}\}, \{v_6, v_7\}$ cannot be edges of Δ . This leads to a contradiction. \square

In Section 3 we showed the existence of an 11-vertex flag triangulation of $\mathbb{R}P^2$. We immediately obtain the following:

Theorem 4.5. *A minimal flag triangulation of $\mathbb{R}P^2$ has 11 vertices.*

The minimal flag triangulation of $\mathbb{S}^1 \times \mathbb{S}^1$. In what follows, we show that the minimal flag triangulation of $\mathbb{S}^1 \times \mathbb{S}^1$ has 12 vertices and furthermore, it is unique.

Lemma 4.6. *Let Δ be a minimal flag triangulation of $\mathbb{S}^1 \times \mathbb{S}^1$. Then $f_0(\Delta) \leq 12$ and every vertex of Δ is of degree ≤ 6 .*

Proof. We know that $f_0(\Delta) \leq 12$, since in Section 3 we found a flag triangulation of $\mathbb{S}^1 \times \mathbb{S}^1$ with 12 vertices. Assume that there is a vertex v of degree ≥ 7 . Let $W = V(\text{st}(v))$ and $W^c = V(\Delta) - W$. By Lemma 2.3, $\|\Delta - \Delta[W]\| = \|\Delta - \text{st}(v)\|$ deformation retracts onto $\|\Delta[W^c]\|$. On the other hand, since $|W^c| = |V(\Delta)| - |W| \leq 12 - 8 \leq 4$ and $\Delta[W^c]$ is flag, it follows that either $\Delta[W^c]$ is the 4-cycle or it is contractible. Hence

$$2 = \beta_1(\|\Delta - v\|) = \beta_1(\|\Delta - \text{st}(v)\|) = \beta_1(\|\Delta[W^c]\|) \leq 1,$$

a contradiction. \square

Lemma 4.7. *A minimal flag triangulation Δ of $\mathbb{S}^1 \times \mathbb{S}^1$ has 12 vertices and each vertex is of degree 6.*

Proof. Since $\chi(\Delta) = 0$, by the Euler characteristic, $f(\Delta) = (1, f_0, 3f_0, 2f_0)$. By Lemma 4.6,

$$6f_0(\Delta) = 2f_1(\Delta) = \sum_{v \in \Delta} f_0(\text{lk}(v)) \leq 6f_0(\Delta).$$

Hence $f_0(\text{lk}(v)) = 6$ for every vertex of Δ . If $\{v_1, v_2, v_3\}$ is a 2-face of Δ , then

$$\begin{aligned} 12 \geq f_0(\Delta) &\geq f_0\left(\bigcup_{i=1}^3 \text{lk}(v_i)\right) \\ &\geq \sum_{i=1}^3 f_0(\text{lk}(v_i)) - \sum_{1 \leq i < j \leq 3} f_0(\text{lk}(\{v_i, v_j\})) = 3 \cdot 6 - 3 \cdot 2 = 12. \end{aligned}$$

This proves the claim. \square

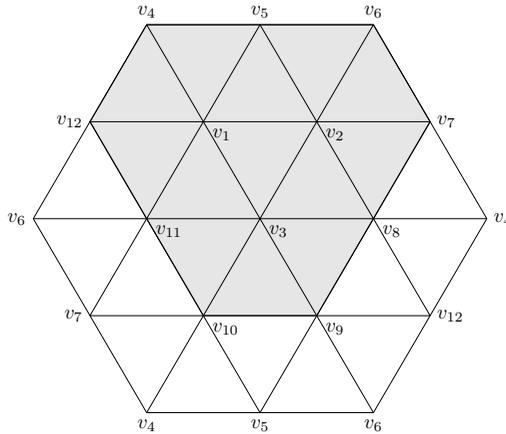


Figure 5. The triangulation of $\mathbb{S}^1 \times \mathbb{S}^1$ obtained by identifying three pairs of (oriented) opposite sides in the triangulated hexagon.

Theorem 4.8. *The minimal flag triangulation of $\mathbb{S}^1 \times \mathbb{S}^1$ has 12 vertices and is unique.*

Proof. Let $\{v_1, v_2, v_3\}$ be a 2-face of Δ . By the above lemma, $|V(\bigcup_{i=1}^3 \text{st}(v_i))| = |V(\Delta)| = 12$. Furthermore, $G(\Delta)$ contains the subgraph $G(\bigcup_{i=1}^3 \text{st}(v_i))$, as shown in the shaded part of Figure 5.

Since $\text{lk}(v_i) = \Delta[V(\text{lk}(v_i))]$, by flagness v_5 is not connected to $v_7, v_8, v_3, v_{11}, v_{12}$. On the other hand, $\text{deg}(v_5) = 6$. Hence v_5 must be connected to v_9, v_{10} . Applying the same argument to v_8, v_{11} , we have $\{v_8, v_4\}, \{v_8, v_{12}\}, \{v_{11}, v_6\}, \{v_{11}, v_7\} \in \Delta$.

It is left to decide the remaining six edges. Since v_4 is of degree 6, v_4 is connected to two vertices among v_7, v_9, v_{10} . However, if both $\{v_4, v_9\}$ and $\{v_4, v_{10}\}$ are edges of Δ , $\{v_4, v_5, v_9, v_{10}\}$ will form a clique in $G(\Delta)$ and by flagness of Δ form a 3-face of Δ , which is not possible. Hence $\{v_4, v_7\} \in \Delta$. Similarly, we apply the same argument on the vertices $v_7, v_{10}, v_6, v_9, v_{12}$ respectively. This shows that $\{v_7, v_{10}\}, \{v_{10}, v_4\}, \{v_6, v_9\}, \{v_9, v_{12}\}$ and $\{v_{12}, v_6\}$ are edges of Δ . This gives all 36 edges in Δ and the graph is isomorphic to the 12-vertex construction in Section 3. \square

Generating small flag triangulations of all surfaces. By the classification theorem of surfaces (see Theorem 2.2), a surface is either a 2-sphere, or the connected sum of $\mathbb{S}^1 \times \mathbb{S}^1$, or the connected sum of $\mathbb{R}P^2$. Hence to construct a small flag triangulation of all surfaces, it suffices to show how to take the connected sum of surfaces efficiently while preserving flagness.

Definition 4.9. Let Δ_1 and Δ_2 be the flag triangulations of $(d-1)$ -manifolds M_1 and M_2 , respectively. Let $W_1 \subset V(\Delta_1)$ and $W_2 \subset V(\Delta_2)$. Assume that $\Delta_1[W_1]$ and $\Delta_2[W_2]$ are simplicial $(d-1)$ -balls and that $\partial\Delta_1[W_1]$ and $\partial\Delta_2[W_2]$ are flag

simplicial $(d-2)$ -spheres; furthermore, assume that $\phi : \partial\Delta_1[W_1] \rightarrow \partial\Delta_2[W_2]$ is a simplicial isomorphism. Define a simplicial complex $\Delta = \Delta_1 \#_\phi \Delta_2$ by

- (1) removing the interior faces of $\Delta_1[W_1]$ and $\Delta_2[W_2]$, and
- (2) gluing Δ_1 and Δ_2 by identifying $\partial(\Delta_1[W_1])$ with $\phi(\partial(\Delta_1[W_1])) = \partial(\Delta_2[W_2])$.

We say Δ is a *flag connected sum* of Δ_1 and Δ_2 under ϕ .

Note that $\Delta_1[W_1]$ and $\Delta_2[W_2]$ are not necessarily isomorphic in Definition 4.9. The following lemma shows that Definition 4.9 generates a new flag complex.

Lemma 4.10. *If Δ_1 and Δ_2 are flag triangulations of $(d-1)$ -manifolds M_1 and M_2 , respectively, then the flag connected sum $\Delta = \Delta_1 \#_\phi \Delta_2$ is a flag triangulation of $M_1 \# M_2$.*

Proof. First Δ triangulates $M_1 \# M_2$ because $\|\Delta_1\| = M_1$, $\|\Delta_2\| = M_2$ and $\Delta_1[W_1]$ and $\Delta_2[W_2]$ are simplicial full-dimensional balls. Assume that Δ is not flag and F is a missing face of Δ of dimension ≥ 2 . If there are two vertices $v_1, v_2 \in F$ such that $v_i \in \Delta_i$ but $v_i \notin W_i$ for $i = 1, 2$, then by the construction, v_1 is not connected to v_2 in Δ , contradicting the fact that F is a missing face of dimension ≥ 2 . So all the vertices of F are either in Δ_1 or Δ_2 ; without loss of generality assume that they are in Δ_1 . By flagness of Δ_1 , we have $F \in \Delta_1$. But since $F \notin \Delta$, F must be an interior face of $\Delta_1[W_1]$ which is removed in the flag connected sum. In particular, F is a missing face of $\partial\Delta_1[W_1]$, contradicting the flagness of $\partial\Delta_1[W_1]$. \square

The above lemma together with the minimal flag triangulations of $\mathbb{S}^1 \times \mathbb{S}^1$ and $\mathbb{R}P^2$ lead to the following upper bound on the number of vertices of minimal flag triangulated surfaces.

Theorem 4.11. *Let $k \geq 1$ be an integer. A minimal flag triangulation of the connected sum of k tori requires at most $8 + 4k$ vertices, while a minimal flag triangulation of the connected sum of k real projective planes requires at most $8 + 3k$ vertices.*

Proof. The proof is by induction on k . In the case of connected sum of tori, the base case is verified by the 12-vertex flag triangulation (whose vertex links are all 6-cycles) that we found in Section 3. Inductively, assume that there is a flag triangulation Δ_1 of the connected sum of $k-1$ tori with $4 + 4k$ vertices and, furthermore, that there is a vertex v_1 of degree 6 in Δ_1 . Let Δ_2 be the 12-vertex flag triangulation of $\mathbb{S}^1 \times \mathbb{S}^1$. By the construction, there is a vertex v_2 of degree 6 in Δ_2 . We let $W_i = V(\text{st}(v_i, \Delta_i))$ for $i = 1, 2$. Then $\Delta_1[W_1] = \text{st}(v_1, \Delta_1)$ and $\Delta_2[W_2] = \text{st}(v_2, \Delta_2)$ are indeed simplicial 2-balls whose boundaries are both 6-cycles. Since the vertex stars and vertex links are induced, by Lemma 4.10 the flag connected sum $\Delta = \Delta_1 \# \Delta_2$ is well-defined and triangulates the connected

sum of k tori. Furthermore,

$$f_0(\Delta) = f_0(\Delta_1) + f_0(\Delta_2) - f_0(\text{st}(v_1, \Delta_1)) - 1 = (4 + 4k) + 12 - 7 - 1 = 8 + 4k.$$

Finally, to make the inductive construction work, we need to check that there is a vertex w of degree 6 in the new complex Δ . Indeed, we can take any vertex w from Δ_2 such that $w \notin \text{st}(v_2, \Delta_2)$. Any such w has $\text{st}(w, \Delta_2) = \text{st}(w, \Delta)$ and so it is of degree 6 in Δ .

The proof for connected sum of $\mathbb{R}P^2$ is similar. We begin with an 11-vertex flag triangulation of $\mathbb{R}P^2$ as in Figure 1 and note that it has two disjoint vertices of degree 6 (for example, the vertices 1 and 11). Inductively we take the flag connected sum of a $(5+3k)$ -vertex flag triangulation Γ_1 of the connected sum of $k - 1$ copies of $\mathbb{R}P^2$ found by induction with an 11-vertex flag triangulation Γ_2 of $\mathbb{R}P^2$. This is done by choosing a vertex u of degree 6 in Γ_1 (whose existence is by induction), removing all interior faces of $\text{st}(u, \Gamma_1)$ and $\text{st}(11, \Gamma_2)$, and identifying $\text{lk}(u, \Gamma_1)$ with $\text{lk}(11, \Gamma_2)$. The vertex 1 from Γ_2 is also a vertex of degree 6 in $\Gamma_1 \# \Gamma_2$. Finally,

$$\begin{aligned} f_0(\Gamma_1 \# \Gamma_2) &= f_0(\Gamma_1) + f_0(\Gamma_2) - f_0(\text{st}(u, \Gamma_1)) - 1 \\ &= (5 + 3k) + 11 - 7 - 1 = 8 + 3k. \end{aligned} \quad \square$$

Remark 4.12. As shown in Section 3, the computer search found 28 combinatorially distinct 14-vertex flag triangulations of the Klein bottle. Indeed many of these 14-vertex triangulations can be obtained by taking the flag connected sum of two 11-vertex flag triangulation of $\mathbb{R}P^2$, as suggested in the proof of Theorem 4.11.

5. Towards a manifold Charney–Davis conjecture

As mentioned in the Introduction, while the lower bound theorem for flag 3-spheres was established by Davis and Okun, so far there is no analogous lower bound conjecture for flag 3-manifolds. To motivate why such a conjecture might exist, let us recall the classical lower bound theorems for manifolds and balanced manifolds. A simplicial $(d-1)$ -sphere is *stacked* if it is the connected sum of the boundaries of d -simplices. The *Walkup class* \mathcal{H}^d ($d \geq 3$) is defined recursively as follows:

- (1) $\mathcal{H}^d(0)$ is the set of all stacked $(d-1)$ -spheres, that is, the spheres obtained by successively taking the connected sum of the boundary complexes of the simplices.
- (2) A simplicial complex Δ is in $\mathcal{H}^d(k+1)$ if it is obtained from a member of $\mathcal{H}^d(k)$ by a handle addition.
- (3) $\mathcal{H}^d = \bigcup_{k \geq 0} \mathcal{H}^d(k)$.

Theorem 5.1 [Datta and Murai 2017; Murai 2015; Novik and Swartz 2009]. *For any connected simplicial $(d-1)$ -manifold without boundary Δ and $d \geq 4$,*

$$g_2(\Delta) := f_1(\Delta) - df_0(\Delta) + \binom{d+1}{2} \geq \binom{d+1}{2} \beta_1(\Delta).$$

Furthermore, the equality is attained if and only if Δ is in the Walkup class.

We remark that a weaker inequality $g_2 \geq 0$ was first proved in the class of simplicial polytopes [Barnette 1973b] and in the class of simplicial manifolds [Barnette 1973a]. Using rigidity theory of frameworks, Kalai [1987] found an alternative proof of the inequality and also characterized all simplicial $(d-1)$ -manifolds with $g_2 = 0$ assuming $d \geq 4$. In recent years, lower bound results were also established for balanced simplicial polytopes and manifolds. A $(d-1)$ -dimensional simplicial complex Δ is called *balanced* if there is a coloring map $\kappa : V(\Delta) \rightarrow [d]$ such that if $\{a, b\} \in \Delta$, then $\kappa(a) \neq \kappa(b)$. The balanced Walkup class, \mathcal{BH}^d , consists of balanced $(d-1)$ -dimensional complexes that are obtained from the boundary complexes of d -cross-polytopes by successively applying the operations of balanced connected sums and balanced handle additions.

Theorem 5.2 [Klee and Novik 2016; Juhnke-Kubitzke et al. 2018]. *For any connected balanced simplicial $(d-1)$ -manifold without boundary Δ and $d \geq 4$,*

$$\bar{g}_2(\Delta) := 2f_1(\Delta) - 3(d-1)f_0(\Delta) + 2d(d-1) \geq 4 \binom{d}{2} \beta_1(\Delta).$$

Furthermore, for $d \geq 5$ the equality holds if and only if Δ is in the balanced Walkup class.

In particular, the above theorems imply that if Δ is a simplicial $(d-1)$ -manifold (balanced simplicial $(d-1)$ -manifold, resp.) that attains the lower bound on g_2 (\bar{g}_2 , resp.), then its geometric realization $\|\Delta\|$ could be (the connected sum of) sphere bundles over the circle but could not be a $(d-1)$ -dimensional torus, $\mathbb{R}P^{d-1}$, etc.

As suggested in [Gal 2005], the analog of g -numbers for any flag $(d-1)$ -manifold Δ are the γ -numbers. In what follows, we will only consider the first two γ -numbers of Δ , given by

$$\gamma_1(\Delta) = f_0(\Delta) - 2d, \quad \gamma_2(\Delta) = f_1(\Delta) - (2d-3)f_0(\Delta) + 2d(d-2).$$

The following statements are the celebrated Davis–Okun theorem [2001] and a special case of the γ -conjecture [Gal 2005] (which we will call the γ_2 -conjecture). The γ_2 -conjecture has been verified for several classes of flag simplicial spheres; see, for example, [Karu 2006; Nevo and Petersen 2011].

Theorem 5.3 [Davis and Okun 2001]. *Let Δ be a flag simplicial 3-sphere. Then $\gamma_2(\Delta) \geq 0$.*

Conjecture 5.4 [Gal 2005]. *Let Δ be a flag simplicial $(d-1)$ -sphere. Then $\gamma_2(\Delta) \geq 0$.*

As we see from Theorems 5.1 and 5.2, the lower bound theorem for (balanced) simplicial spheres can be extended to (balanced) simplicial manifolds. A natural question to ask is: does there also exist a generalization of the Davis–Okun theorem to the class of flag simplicial 3-manifolds? Is there a reasonable manifold γ_2 -conjecture?

Generating flag triangulations of 3-manifolds. In this section, we collect data on certain flag 3-manifolds Δ to test whether there is relation between the minimum $\gamma_2(\Delta)$ and $\beta_1(\Delta)$. The candidates of types of manifolds that could attain small γ_2 with respect to β_1 are (the connected sum) of sphere bundles over the circle. To obtain a flag triangulation of a 3-manifold M , one can always take the barycentric subdivision of any triangulation of M . However, taking barycentric subdivisions usually generates a lot of new vertices. In what follows we introduce another useful way to construct flag triangulations of products of manifolds, and discuss how to apply the flag connected sum. This applies to finding small flag triangulations of $\#_i \mathbb{S}^2 \times \mathbb{S}^1$, $\#_i \mathbb{S}^2 \tilde{\times} \mathbb{S}^1$ and $\#_i \mathbb{S}^1 \times \mathbb{S}^1 \times \mathbb{S}^1$.

Step 1: Construct the flag triangulations of products. The method of constructing triangulations of products is well known; see, for example, [Lee 1997; Eilenberg and Steenrod 1952]. We follow the description in [Lutz 2003, Section 3]. Let Δ_1 and Δ_2 be the flag triangulations of two manifolds M_1 and M_2 , respectively. A cell decomposition of $M_1 \times M_2$ is given by taking the union of all cells $\sigma_m \times \sigma_n$, where $\sigma_m = \{u_0, u_1, \dots, u_m\}$ is an m -face of Δ_1 and $\sigma_n = \{v_0, v_1, \dots, v_n\}$ is an n -face of Δ_2 . Identify the vertices $\{(u_i, v_j) : 0 \leq i \leq m, 0 \leq j \leq n\}$ with the points $\{(i, j) : 0 \leq i \leq m, 0 \leq j \leq n\}$ in \mathbb{Z}^2 . Then the set of all monotone increasing lattice paths $(u_{i_0} = u_0, v_{i_0} = v_0) - (u_{i_1}, v_{i_1}) - \dots - (u_{i_{m+n}} = u_m, v_{i_{m+n}} = v_n)$ corresponds to the set of facets $\{(u_{i_0}, v_{i_0}), \dots, (u_{i_{m+n}}, v_{i_{m+n}})\}$ of $\sigma_m \times \sigma_n$. This is called the *staircase triangulation of product of simplices*. Furthermore, if the vertices of Δ_1 and Δ_2 are totally ordered, then the union of all faces of the staircase triangulation of $\sigma_m \times \sigma_n$ gives a *consistent* product triangulation of $M_1 \times M_2$. We call it the *staircase triangulation of $\Delta_1 \times \Delta_2$* .

Lemma 5.5. *If Δ_1 and Δ_2 are flag triangulations of the manifolds M_1 and M_2 , respectively, then the staircase triangulation Δ of $\Delta_1 \times \Delta_2$ is also flag.*

Proof. Assume that the vertices of Δ_1 and Δ_2 are totally ordered as (u_0, u_1, \dots) and (v_0, v_1, \dots) , respectively. Suppose F is a missing face of Δ of size $k > 2$.

First we claim that there is an order of $V(F) = \{s_1, s_2, \dots, s_k\}$ where $s_i = (u_{p_i}, v_{q_i})$ such that $p_1 \leq p_2 \leq \dots \leq p_k$ and $q_1 \leq q_2 \leq \dots \leq q_k$. Indeed, if for some $i < j$ we have $p_i < p_j$ and $q_i > q_j$ (or similarly, $p_i > p_j$ and $q_i < q_j$), then the points (p_i, q_i) and (p_j, q_j) do not belong to any monotone increasing lattice path in \mathbb{Z}^2 , and hence $\{s_i, s_j\} \notin \Delta$, a contradiction.

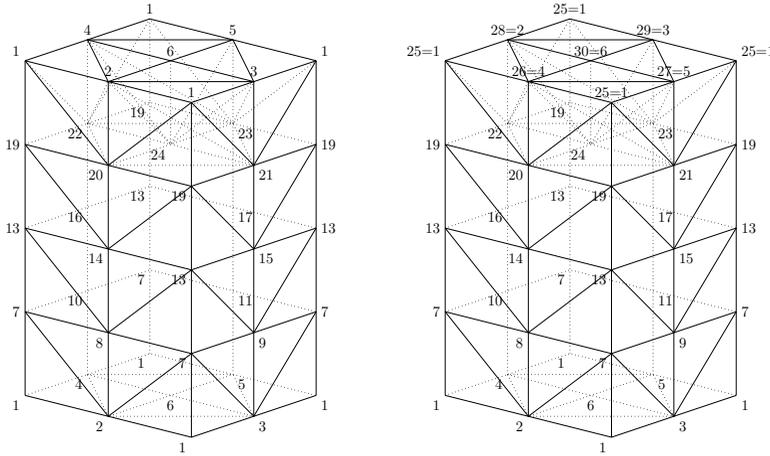


Figure 6. A triangulation of the orientable and nonorientable 3-dimensional sphere bundles over \mathbb{S}^1 , respectively. Only the edges in the top layer of the prism are shown for simplicity.

By the definition of the staircase triangulation, any pair of distinct u_{p_i} forms an edge in Δ_1 . Hence, $P = \{u_{p_1}, u_{p_2}, \dots, u_{p_k}\}$ is a clique in Δ_1 . Since Δ_1 is flag, we have $P \in \Delta_1$. Similarly, $Q = \{v_{q_1}, v_{q_2}, \dots, v_{q_k}\} \in \Delta_2$. In other words, all the vertices of F are in the cell $P \times Q$. Since the vertices of F are in an increasing order in both u and v , they are also lattice points on some monotone increasing lattice path from (p_1, q_1) to (p_k, q_k) . Hence F is a face in the staircase triangulation of $P \times Q$, i.e., $F \in \Delta$, which leads to a contradiction. \square

As an illustration, to form flag triangulations of $\mathbb{S}^2 \times \mathbb{S}^1$ (orientable) and $\mathbb{S}^2 \tilde{\times} \mathbb{S}^1$ (nonorientable), we take the flag triangulation of \mathbb{S}^2 as the octahedral 2-sphere and the flag triangulation of \mathbb{S}^1 as the 4-cycle. Based on the above lemma, we generate two triangulations as shown in Figure 6.

Step 2: Apply the flag connected sum. To generate the flag connected sum of flag 3-manifolds, we identify isomorphic edge stars and then remove all the faces containing the corresponding edges. (We use the fact that the edge stars are induced subcomplexes in the flag complexes whose boundaries are also flag.) One advantage of applying this special flag connected sum is that it does little change to the graphs of the complexes.

Step 3: Search for minimal γ_2 by the Lutz–Nevo theorem. We generate a few flag triangulations of 3-manifolds by using either the staircase triangulation or the barycentric subdivision of the minimal (nonflag) triangulation. From the Lutz–Nevo theorem and our implementation as described in Section 3, we obtain data on estimated minimum γ_2 for various triangulated 3-manifolds, summarized in Table 3.

	β_1	minimum γ_2
\mathbb{S}^3	0	0
$\mathbb{R}P^3$	0	38
$L(3, 1)$	0	82
$\#_i(\mathbb{S}^2 \times \mathbb{S}^1)$ for $i \in [10]$	i	$16i$
$\#_i(\mathbb{S}^2 \tilde{\times} \mathbb{S}^1)$ for $i \in [10]$	i	$16i$
$\#_i(\mathbb{S}^1 \times \mathbb{S}^1 \times \mathbb{S}^1)$ for $i = 1, 2$	$3i$	$112i$
$\#_i \mathbb{S}^3 \times \mathbb{S}^1$ for $i \in [4]$	i	$30i$

Table 3. Estimated minimal γ_2 for several flag 3- and 4-manifolds; here $[n] = \{1, 2, \dots, n\}$.

We propose the following 3-dimensional manifold Charney–Davis conjecture.

Conjecture 5.6. *Let Δ be a flag 3-manifold. Then $\gamma_2(\Delta) \geq 16\beta_1(\Delta)$.*

Several remarks are in order. First, it is not surprising that the estimated minimum γ_2 could be achieved by many distinct flag triangulations of a given manifold. Second, the data suggest that the minimum γ_2 -numbers for flag triangulations of certain 3-manifolds are highly linear with respect to their first Betti numbers. Third, among the 3-manifolds having the same β_1 , the connected sum of sphere bundles over the circle has smaller γ_2 . Fourth, although the lower bound on γ_2 for higher dimensional flag manifolds is also of interest, our program is not efficient enough to get reliable estimation in these cases. For sake of completeness, we include the results on the connected sum of $\mathbb{S}^3 \times \mathbb{S}^1$ in Table 3. (It is possible that there is a more general conjecture $\gamma_2 \geq 2d(d - 2)\beta_1$. For lack of evidence, we exclude it from our conjecture.)

We close by showing the lower bound on γ_2 in the conjecture, if true, is tight. First we define the handle addition on flag complexes.

Definition 5.7. Let Δ be a pure flag simplicial complex of dimension $d - 1$. For any two vertices u and v , let $\text{dist}(u, v)$ be the length of a shortest path from u to v in Δ . Assume that there are two disjoint subsets $W_1, W_2 \subset V(\Delta)$ such that

- (1) both $\Delta[W_1]$ and $\Delta[W_2]$ are simplicial $(d - 1)$ -balls,
- (2) $\partial\Delta[W_1]$ and $\partial\Delta[W_2]$ are isomorphic flag simplicial $(d - 2)$ -spheres, where $\phi : \partial\Delta[W_1] \rightarrow \partial\Delta[W_2]$ is a simplicial isomorphism, and
- (3) $\text{dist}(u, v) \geq 4$ for every $u \in W_1$ and $v \in W_2$.

The simplicial complex Δ^ϕ obtained from Δ by removing all interior faces of $\Delta[W_1]$ and $\Delta[W_2]$ and identifying each $v \in W_1$ with $\phi(v)$ is called a *flag handle addition* to Δ .

Lemma 5.8. *If Δ is flag, then a flag handle addition Δ^ϕ is flag.*

Proof. Let $V = V(\Delta)$ and $\bar{\phi} : \Delta \rightarrow \Delta^\phi$ be the handle addition map. Assume that F is a missing face of Δ^ϕ of size > 2 . If $\bar{\phi}^{-1}(F) \in \Delta$ contains a pair of vertices $u \in W_1$ and $v \in W_2$, it also contains a path from u to v in Δ . However, $\text{dist}(u, v) \geq 4$, and hence F cannot be the clique on $V(F)$. Now assume that $V(F) \subseteq V \setminus W_2$. Since $\Delta[V \setminus W_2]$ is flag, we have $F \in \Delta[V \setminus W_2]$. Furthermore, $\Delta^\phi[W_1] = \partial \Delta[W_1]$ is flag implies that $F \in \Delta^\phi$, which leads to a contradiction. \square

Lemma 5.9. *Let Δ_1 and Δ_2 be two flag 3-manifolds. Further assume that for two edges $e_1 \in \Delta_1$ and $e_2 \in \Delta_2$, there exists a simplicial isomorphism $\phi : \partial \text{st}(e_1, \Delta_1) \rightarrow \partial \text{st}(e_2, \Delta_2)$. Then*

$$\gamma_2(\Delta_1 \#_\phi \Delta_2) = \gamma_2(\Delta_1) + \gamma_2(\Delta_2) + 2\gamma_1(\text{lk}(e_1, \Delta_1)).$$

Similarly, if Δ is a flag 3-manifold containing two edges σ_1, σ_2 such that there is a simplicial isomorphism $\psi : \partial \text{st}(\sigma_1, \Delta) \rightarrow \partial \text{st}(\sigma_2, \Delta)$ that defines a flag handle addition on Δ , then

$$\gamma_2(\Delta^\psi) = \gamma_2(\Delta) + 2\gamma_1(\text{lk}(\sigma_1, \Delta)) + 16.$$

Proof. By the definition of γ_2 ,

$$\gamma_2(\Delta_1 \#_\phi \Delta_2) = f_1(\Delta_1 \#_\phi \Delta_2) - 5f_0(\Delta_1 \#_\phi \Delta_2) + 16.$$

Also by the definition of the flag connected sum,

$$\begin{aligned} f_1(\Delta_1 \#_\phi \Delta_2) &= f_1(\Delta_1) + f_1(\Delta_2) - f_1(\text{st}(e_1, \Delta_1)) - 1, \\ f_0(\Delta_1 \#_\phi \Delta_2) &= f_0(\Delta_1) + f_0(\Delta_2) - f_0(\text{st}(e_1, \Delta_1)). \end{aligned}$$

Combining the above equations, we have

$$\gamma_2(\Delta_1 \#_\phi \Delta_2) = \gamma_2(\Delta_1) + \gamma_2(\Delta_2) + (-f_1(\text{st}(e_1, \Delta_1)) + 5f_0(\text{st}(e_1, \Delta_1)) - 17).$$

The last term on the right-hand side of the above equation is

$$\begin{aligned} -f_1(\text{st}(e_1)) + 5f_0(\text{st}(e_1)) - 17 \\ &= -(f_0(\text{lk}(e_1)) + 1) + 2f_0(\text{lk}(e_1)) + 5(f_0(\text{lk}(e_1)) + 2) - 17 \\ &= 2f_0(\text{lk}(e_1)) - 8 = 2\gamma_1(\text{lk}(e_1)), \end{aligned}$$

which proves the first claim. The proof of the second claim is similar:

$$\begin{aligned} \gamma_2(\Delta^\psi) &= (f_1(\Delta) - f_1(\text{st}(e_1)) - 1) - 5(f_0(\Delta) - f_0(\text{st}(e_1))) + 16 \\ &= \gamma_2(\Delta) - (3f_0(\text{lk}(e_1)) + 1) - 1 + 5(f_0(\text{lk}(e_1)) + 2) \\ &= \gamma_2(\Delta) + 2\gamma_1(\text{lk}(e_1)) + 16. \end{aligned} \quad \square$$

Proposition 5.10. *For every positive integer b , there exists a flag 3-manifold Δ with $\beta_1(\Delta) = b$ and $\gamma_2(\Delta) = 16b$.*

Proof. The construction is done in three steps.

Step 1: Construct a 3-manifold Δ_4 with two edges e and e' such that their links are 4-cycles, and the vertex sets of their stars are disjoint. Let $\Gamma_1, \Gamma_2, \Gamma_3, \Gamma_4$ be four disjoint copies of the octahedral 3-sphere, and let $\Delta_1 = \Gamma_1$. We will inductively define a complex Δ_i for $2 \leq i \leq 4$ by $\Delta_i = \Delta_{i-1} \#_{\phi_{i-1}} \Gamma_i$ as follows. Assign colors 1, 2, 3, 4 to the vertices of each Γ_i by giving antipodal vertices the same color. Let e and e_1 be distinct edges of color 1, 2 in Δ_1 , and let e'_1 be an edge of color 1, 2 in Γ_2 . There is a color-preserving isomorphism $\phi_1 : \partial \text{st}(e_1, \Delta_1) \rightarrow \partial \text{st}(e'_1, \Gamma_2)$, and we define $\Delta_2 = \Delta_1 \#_{\phi_1} \Gamma_2$, which may be viewed as the join of the 4-cycle $\text{lk}(e'_1, \Gamma_2)$ colored by 3, 4 and a 6-cycle colored by 1, 2.

Next choose $e_2 = \{v_1, v_2\} \in \Delta_2$, where v_1 is a vertex of color 3 in $\text{lk}(e'_1, \Gamma_2)$ and v_2 is a vertex of color 2 not in Δ_1 . Given an edge e'_2 of color 2, 3 in Γ_3 , use a color-preserving isomorphism $\phi_2 : \partial \text{st}(e_2, \Delta_2) \rightarrow \partial \text{st}(e'_2, \Gamma_3)$ to define $\Delta_3 = \Delta_2 \#_{\phi_2} \Gamma_3$. Here we have that $\text{lk}(e'_2, \Delta_3)$ is a cycle of colors 1, 4.

Then choose the edge $e_3 = \{v_3, v_4\} \in \Delta_3$ such that the vertex $v_3 \in \text{lk}(e'_2, \Gamma_3)$ is of color 4 and the vertex $v_4 \notin \Delta_2$ is of color 3. Given an edge e'_3 of color 3, 4 in Γ_4 , let e' be its antipodal edge in Γ_4 , and use a color-preserving isomorphism $\phi_3 : \partial \text{st}(e_3, \Delta_3) \rightarrow \partial \text{st}(e'_3, \Gamma_4)$ to define $\Delta_4 = \Delta_3 \#_{\phi_3} \Gamma_4$. Then e' is disjoint from Δ_1 and does not share a color with e ; thus we verify that the links of e and e' in Δ_4 are indeed disjoint.

Step 2: Construct a flag 3-manifold Δ_{16} from Δ_4 and apply flag handle addition. Take four copies $\Delta_4^1, \Delta_4^2, \Delta_4^3, \Delta_4^4$ of the above Δ_4 to form a flag connected sum

$$\Delta_{16} = \Delta_4^1 \#_{\psi_1} \Delta_4^2 \#_{\psi_2} \Delta_4^3 \#_{\psi_3} \Delta_4^4,$$

where $\psi_i : \partial \text{st}(e', \Delta_4^i) \rightarrow \partial \text{st}(e, \Delta_4^{i+1})$ (in this step we forget the colors on the vertices). If $v \in \text{st}(e, \Delta_4^1)$ and $v' \in \text{st}(e', \Delta_4^4)$, then since any path from v to v' must pass one vertex from the identified stars $\text{st}(e', \Delta_4^i)$ ($i = 1, 2, 3$), it follows that $\text{dist}(v, v') \geq 4$. Hence by identifying $\text{st}(e, \Delta_4^1)$ with $\text{st}(e', \Delta_4^4)$ and removing e, e' in a flag handle addition, we obtain a new flag 3-manifold Γ with $\beta_1(\Gamma) = 1$. Both $\text{lk}(e, \Delta_4^1)$ and $\text{lk}(e', \Delta_4^4)$ are 4-cycles. Hence by Lemma 5.9,

$$\gamma_2(\Gamma) = \gamma_2(\Delta_{16}) + 2\gamma_1(\text{lk}(e, \Delta_4^1)) + 16 = 16.$$

Step 3: Generate a flag 3-manifold with arbitrary β_1 . This is done by taking the flag connected sum of b copies of Γ along the stars of edges whose links are 4-cycles. The resulting complex has $\gamma_2 = 16b$ and $\beta_1 = b$. □

Acknowledgements

The research was part of Lab of Geometry at Michigan projects offered by the Department of Mathematics at University of Michigan during the winter semester

of 2019. We would like to thank Harrison Bray and many others who coordinated the projects. We also thank the referee for valuable feedback.

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Received: 2020-03-31 Revised: 2020-07-20 Accepted: 2020-08-06

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Involve (ISSN 1944-4184 electronic, 1944-4176 printed) at Mathematical Sciences Publishers, 798 Evans Hall #3840, c/o University of California, Berkeley, CA 94720-3840, is published continuously online. Periodical rate postage paid at Berkeley, CA 94704, and additional mailing offices.

Involve peer review and production are managed by EditFLOW[®] from Mathematical Sciences Publishers.

PUBLISHED BY

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2020 vol. 13 no. 4

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