

## FastMinors package for Macaulay2

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ABSTRACT: In this article, we present `FastMinors.m2`, a package in *Macaulay2* designed to introduce new methods focused on computations in function field linear algebra. Some key functionality that our package offers includes: finding a submatrix of a given rank in a provided matrix (when present), verifying that a ring is regular in codimension  $n$ , recursively computing the ideals of minors in a matrix, and finding an upper bound of the projective dimension of a module.

1. INTRODUCTION. We start with some motivation. Suppose that  $I = (f_1, \dots, f_m) \subseteq k[x_1, \dots, x_n]$  is a prime ideal. The corresponding variety  $X := V(I)$  is nonsingular if and only if  $I$  plus the ideal generated by the minors of size  $n - \dim X$  of the Jacobian matrix

$$\text{Jac}(X) = \left( \frac{\partial f_i}{\partial x_j} \right)$$

generates the unit ideal. Unfortunately, even for relatively small values of  $m$  and  $n$ , the number of such submatrices is prohibitive. Suppose for instance that  $n = 10$ ,  $m = 15$  and  $\dim X = 5$ . Then there are

$$\binom{10}{5} \cdot \binom{15}{5} = 756756$$

such submatrices. We cannot reasonably compute all of their determinants. This package attempts to fix this in several ways.

- (1) We try to compute just a portion of the determinants, in a relatively smart way.
- (2) We offer some tools for computing determinants that are sometimes faster.

Our techniques have also been applied to the related problem of showing that the singular locus has a certain codimension (for example, checking that a variety is R1 in order to prove normality). Of course, computing the singular locus is not the only potential application. We provide a function for giving a better upper bound on the projective dimension of a non-homogeneous module. Finally, this package has also been applied in the `RationalMaps` *Macaulay2* package.

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`FastMinors.m2` version 1.2.6

We provide the following functions:

- `getSubmatrixOfRank`, which tries to find a submatrix of a given rank; see [Section 4](#).
- `isRankAtLeast`, which uses `getSubmatrixOfRank` to try to find lower bounds for the rank of a matrix; see [Section 5](#).
- `regularInCodimension`, which tries to verify if an integral domain is regular in codimension  $n$ ; see [Section 6](#).
- `projDim`, which tries to find upper bounds for the projective dimension of a non-homogeneous module; see [Section 7](#).
- `recursiveMinors`, which computes the ideal of minors of a matrix via a recursive cofactor algorithm, as opposed to the included non-recursive cofactor algorithm; see [Section 8](#).

Version 1.2.6 of this package is available as an [online supplement](#) to this paper. Later versions will be available at <https://github.com/kschwede/M2/blob/master/M2/Macaulay2/packages/FastMinors.m2>

This paper refers to `FastMinors` version 1.2.2. Earlier versions are also available in the *Macaulay2* build tree.

**2. FINDING INTERESTING SUBMATRICES.** A lot of the speedups available in the package come down to finding interesting square submatrices of a given matrix. For example, it is often useful to compute a square submatrix whose determinant has small degree. The idea is that the determinant of this submatrix will be less likely to vanish.

**2.1. How are the submatrices chosen?** Consider the following matrix defined over  $\mathbb{Q}[x, y]$ :

$$\begin{bmatrix} x & xy & 0 \\ xy^2 & x^6 & 0 \\ 0 & x^2y^3 & xy^4 \end{bmatrix}.$$

Suppose we want to choose a submatrix of size  $2 \times 2$ . Consider the monomial order Lex where  $x < y$ . We find, in the matrix, the nonzero element of smallest order. In this case, that is  $x$ . We choose this element to be a part of our submatrix. Hence our submatrix will include the first row and column as well:

$$\begin{bmatrix} \mathbf{x} & xy & 0 \\ xy^2 & x^6 & 0 \\ 0 & x^2y^3 & xy^4 \end{bmatrix}.$$

To find the next element, we discard that row and column containing this term. Now, the next smallest element with respect to our monomial order is  $xy^4$ :

$$\begin{bmatrix} x^6 & 0 \\ x^2y^3 & xy^4 \end{bmatrix} \quad \begin{bmatrix} x^6 & 0 \\ x^2y^3 & \mathbf{xy^4} \end{bmatrix}.$$

1<sup>1/2</sup> Since we are only looking for a  $2 \times 2$  submatrix, we stop here. We have selected the submatrix with rows  
 2 0 and 2 and columns 0 and 2:

$$\begin{bmatrix} x & 0 \\ 0 & xy^4 \end{bmatrix}.$$

3  
 4  
 5 The determinant of this submatrix is  $x^2y^4$ . This happens to be the smallest  $2 \times 2$  minor with respect to  
 6 the given monomial order (which frequently happens, although it is certainly not always the case).

7 If we choose a different monomial order, we get a different submatrix, with a different determinant.

8 For example,

9 • Lex,  $x > y$ . We obtain the submatrix with rows 0 and 1 and columns 0 and 1, whose determinant is  
 10  $x^7 - xy^3$ .

11 • GRevLex,  $x < y$ . We obtain the submatrix with rows 0 and 2 and columns 0 and 1, whose determinant  
 12 is  $x^3y^3$ .

13  
 14 For any of these strategies, in this package, we randomize the order of the variables before choosing a  
 15 submatrix.

16 **2.2. Ways of choosing submatrices.** In the end we have the following methods to select submatrices:

17 GRevLexLargest: Choose entries which are largest with respect to a random GRevLex order.

18 GRevLexSmallest: Choose nonzero entries which are smallest with respect to a random GRevLex  
 19 order.

20  
 20<sup>1/2</sup> GRevLexSmallestTerm: Choose nonzero entries which have the smallest terms with respect to a  
 21 random GRevLex order.

22  
 23 LexLargest: Choose entries which are largest with respect to a random Lex order.

24  
 25 LexSmallest: Choose nonzero entries which are smallest with respect to a random Lex order.

26  
 27 LexSmallestTerm: Choose nonzero entries which have the smallest terms with respect to a random  
 GRevLex order.

28  
 29 Points: Choose a submatrix whose determinant does not vanish at a random point found on a given  
 ideal.

30  
 31 Random: Choose random entries.

32  
 33 RandomNonzero: Choose random nonzero entries.

34 However, from the end user's perspective, normally we find multiple minors, and the strategy will  
 35 combine several of these methods (one typically does not know which method will work best in a given  
 36 situation). For instance, the first minor might be selected by GRevLexSmallest and the second minor by  
 Random. How to arrange what method is used (and with what probability) is described in [Section 3.1](#).

37 We now describe each of these methods for selecting a submatrix in more detail. Note we have already  
 38 described Lex and given an initial description of GRevLex.

1 **2.3.** `LexSmallestTerm` *and* `GRevLexSmallestTerm`. If we have a matrix whose entries are not mono-  
 2 mial, then we could reasonably either pick the submatrix of the smallest entries with respect to our  
 3 monomial order: `LexSmallest` or `GRevLexSmallest`.

4 Alternatively, we can pick the submatrix whose entries have the smallest terms via `LexSmallestTerm`  
 5 or `GRevLexSmallestTerm`.

6 For example, consider the matrix

$$\begin{bmatrix} x^2 + y^2 & 0 & xy + 2x \\ y^4 - x & 0 & 3x^5 \\ x^3 & x^4y^5 - y^8 & 0 \end{bmatrix}.$$

7  
 8  
 9  
 10 In this case, if we are choosing the entries with the smallest terms, we first replace each entry in the matrix  
 11 with the smallest term. For example, if we are using `LexSmallestTerm` with  $x < y$ , we would obtain

$$\begin{bmatrix} x^2 & 0 & 2x \\ -x & 0 & 3x^5 \\ x^3 & x^4y^5 & 0 \end{bmatrix}.$$

12  
 13  
 14  
 15 Then we proceed as before. Notice that if there is a tie, it is broken randomly.

16  
 17 **Remark.** Different strategies work differently on different examples. When working with a non-  
 18 homogeneous matrix, with some entries that have constant terms, those entries will always be chosen first  
 19 in `LexSmallestTerm` or `GRevLexSmallestTerm`, regardless of the monomial order. On the other hand,  
 20 for homogeneous matrices, choosing the smallest term is frequently very effective.

21  
 22 **2.4.** `GRevLexLargest` *and* `LexLargest`. While we can imagine uses for these, in most cases these  
 23 strategies appear to be worse than random. Indeed, submatrices picked this way seem likely to already  
 24 vanish everywhere of interest.

25  
 26 **2.5.** `Points`. Instead of finding interesting submatrices by inspection, we can alternatively find subma-  
 27 trices by trying to find rational points. In that case, typically we are trying to find a submatrix with full  
 28 rank on a certain subvariety, defined by an ideal  $J$ . We use the package `RandomRationalPoints` [Bisui  
 29 et al.] to find a (rational) point  $Q$  on  $V(J)$  (or a point over some finite extension of our base field). We  
 30 then evaluate our entire matrix at that point. Because we now have a matrix over a field, we use the very  
 31 fast built-in commands to find pivot rows and columns, and thus find a submatrix of the desired rank. To  
 32 use this functionality, use the strategy `Points`.

33 Currently, this functionality only works over a finite field. In characteristic zero, the `Points` strategy  
 34 returns random submatrices.

35 For example, suppose we are working over  $\mathbb{F}_5[x, y, z]$  with an ideal  $I = (z^2y - x(x - z)(x + z))$ , with  
 36 the matrix

$$M = \begin{bmatrix} x^2 & xy & 3y^2 \\ x^3 + y^3 & x^2 + z^2 & y^2 + z^2 \\ x^2 * z & z^2 * y & y^2 * x \end{bmatrix}.$$

37  
 38

1<sup>1/2</sup> Suppose we found the point  $(2, 0, 2)$  on this elliptic curve. We then evaluate our matrix at that point to  
 2 obtain

$$M = \begin{bmatrix} 4 & 0 & 0 \\ 3 & 3 & 4 \\ 3 & 0 & 0 \end{bmatrix}.$$

3  
 4  
 5  
 6 We would then identify a submatrix with nonzero determinant, for instance the top left  $2 \times 2$  submatrix  
 7  $\begin{bmatrix} 4 & 0 \\ 3 & 3 \end{bmatrix}$  and then return the top determinant of the top  $2 \times 2$  submatrix of the original matrix:

$$\det \begin{bmatrix} x^2 & xy \\ x^3 + y^3 & x^2 + z^2 \end{bmatrix} = x^4 + x^2z^2 - x^4y - xy^4.$$

8  
 9  
 10 Typically, the `Points` strategy will find much better matrices than any of the heuristic methods  
 11 `LexSmallest`, `GRevLexSmallestTerm`, etc., allowing it to compute fewer determinants. However, there  
 12 is still a substantial amount of work needed to find each submatrix. In our experience, the heuristic  
 13 methods above perform better than `Points` roughly half of the time. If the user is implementing the  
 14 `Points` strategy, we make two recommendations to optimize performance:

- 15  
 16 (i) Set the option `MaxMinors` (the maximum number of minors to be computed) to a relatively low  
 17 number.  
 18 (ii) Set the option `CodimCheckFunction` to a linear function (such as  $t \rightarrow t$ ). This will force the  
 19 dimension of the ideal of minors computed so far to be checked more frequently (in our example,  
 20 after adding every new minor to the ideal of minors)

20<sup>1/2</sup>  
 21 The tutorial `RegularInCodimensionTutorial` in the package documentation contains further discus-  
 22 sion of `MaxMinors`, `CodimCheckFunction` and other options.

## 23 2.6. Random *and* RandomNonzero.

24  
 25 `Random`: With this strategy, a random submatrix is chosen.

26 `RandomNonzero`: With this strategy, a random nonzero element is chosen in each step following the  
 27 method used by the other strategies. This guarantees a submatrix where no row or column is zero,  
 28 which can be very useful when dealing with relatively sparse matrices.

29  
 30 *More on GRevLex: modifying the underlying matrix.* Finally, when using the `GRevLexSmallest` and  
 31 `GRevLexSmallestTerm` methods, we periodically change the underlying matrix by replacing terms of  
 32 small order with terms of larger order in order to avoid recomputing the same submatrix. For example, in  
 33 the matrix

$$\begin{bmatrix} x^2 & 0 & xy \\ y^4 & 0 & x^5 \\ x^3 & x^4y^5 & 0 \end{bmatrix},$$

34  
 35  
 36 after several iterations, we might replace the  $x^2$  term with

$$x^2 \cdot (\text{a random degree 1 polynomial}).$$

37  
 38

1 which might look something like

$$\begin{bmatrix} x^2(2x - 7y) & 0 & xy \\ y^4 & 0 & x^5 \\ x^3 & x^4y^5 & 0 \end{bmatrix}.$$

5 This forces the algorithm to make different choices. After several minors are selected, the matrix is reset  
6 again to its original form.

7  
8 **3. chooseGoodMinors AND SUBMATRIX SELECTION CONTROL.** The function `chooseGoodMinors`  
9 tries to choose interesting submatrices of a given matrix. This is done by running the command

```
10 i1 : R = QQ[x, y, z];
      chooseGoodMinors()
```

11  
12 **3.1. The Strategy option.** The core features included in the package allow the user to choose which  
13 methods from [Section 2.2](#) should be used when selecting submatrices. This is done most easily by  
14 setting a Strategy option to one of the ways of choosing submatrices as above: `GRevLexSmallest`,  
15 `GRevLexSmallestTerm`, `GRevLexLargest`, `LexSmallest`, `LexSmallestTerm`, `LexLargest`, `Points`,  
16 `Random`, `RandomNonzero`. However, most of the time it is best to choose several strategies simultaneously,  
17 as one doesn't know which strategy will perform the best (in some cases, a combination works best).  
18 Hence instead of choosing a strategy which uses only one method, by default we use several. Thus you  
19 can set the Strategy option to one of the following:

- 20 • `StrategyDefault`: This strategy uses `LexSmallest`, `LexSmallestTerm`, `GRevLexSmallest`,  
21 `GRevLexSmallestTerm`, `Random` and `RandomNonzero` with equal probability.
- 22 • `StrategyDefaultNonRandom`: This uses `LexSmallest`, `LexSmallestTerm`, `GRevLexSmallest`  
23 and `GRevLexSmallestTerm` with equal probability.
- 24 • `StrategyDefaultWithPoints`: This strategy uses `Points` one third of the time and `LexSmallest`,  
25 `LexSmallestTerm`, `GRevLexSmallest` and `GRevLexSmallestTerm` with equal probability the rest  
26 of the time.
- 27 • `StrategyLexSmallest`: This strategy chooses 50% of the submatrices using `LexSmallest` and  
28 50% using `LexSmallestTerm`.
- 29 • `StrategyGRevLexSmallest`: This chooses 50% of the submatrices using `GRevLexSmallest` and  
30 50% using `GRevLexLargest`.
- 31 • `StrategyPoints`: This chooses submatrices by finding rational points, evaluating the submatrix at  
32 those points, and then doing a computation.
- 33 • `StrategyRandom`: This chooses submatrices by using 50% `Random` and 50% `RandomNonzero`.

34  
35  
36 The user can also create their own custom strategy by setting the Strategy parameter to a HashTable  
37 with the following keys: `LexLargest`, `LexSmallestTerm`, `LexSmallest`, `GRevLexSmallestTerm`,  
38 `GrevLexSmallest`, `GRevLexLargest`, `Random`, `RandomNonzero`, each with value an integer (the values

<sup>1</sup>/<sub>2</sub> need not sum to 100). If one value is twice the size of another, that strategy will be employed twice as often. For example, `StrategyDefaultNonRandom` was created by the command

```

3 StrategyDefaultNonRandom = new HashTable from {
4   LexLargest => 0,
5   LexSmallestTerm => 25,
6   LexSmallest => 25,
7   GRevLexSmallestTerm => 25,
8   GRevLexSmallest => 25,
9   GRevLexLargest => 0,
10  Random => 0,
11  RandomNonzero => 0,
12  Points => 0
13 };

```

For a tutorial on strategy choice, see the documentation, particularly `FastMinorsStrategyTutorial`.

**4. FIND A SUBMATRIX OF A GIVEN RANK: `getSubmatrixOfRank`.** This method examines the submatrices of an input matrix and attempts to find one of a given rank. If a submatrix with the specified rank is found, a list of two lists is returned. The first is the list of row indices and the second is the list of column indices, which describe the desired submatrix of the desired rank. If no such submatrix is found, the function will return `null`.

The option `MaxMinors` allows the user to control how many minors to consider before giving up. If left `null`, the number considered is based on the size of the matrix. This method will choose the indicated amount of minors using one of the strategy options described above. If one of the chosen submatrices has the desired rank, the function will terminate and return its rows and columns. This process continues until a submatrix is found or `MaxMinors` submatrices have been unsuccessfully checked.

<sup>20</sup>/<sub>2</sub> The strategy can be controlled using the `Strategy` option as described above; the default value is `StrategyDefaultNonRandom`.

**4.1. Examples of `getSubmatrixOfRank`.** In the following example, we first create a  $3 \times 4$  matrix  $M$  over  $\mathbb{Q}[x, y, z]$ . We execute two calls to `getSubmatrixOfRank`; the first has no `Strategy` parameter and the second utilizes `StrategyGRevLexSmallest`. Note that these calls return different indices, but both find valid rank 3 submatrices.

```

27 i1 : loadPackage "FastMinors";
28 i2 : R = QQ[x,y];
29 i3 : M = random(R^{2,2},R^4)
30 o3 = {-2} | x2+2/3xy+9/2y2   3/10x2+2/3xy+1/5y2   2x2+5/3xy+7/5y2   4/3x2+1/3xy+10/9y2 |
31   {-2} | 3/2x2+2/3xy+2y2   1/2x2+3/2xy+3/4y2   6x2+5xy+4y2   9/5x2+1/5xy+7/2y2 |
32   {-2} | 1/4x2+1/7xy+5/6y2  7/5x2+4xy+4/5y2   10/9x2+3/7xy+5/9y2  5/2x2+xy+7/6y2 |
33 o3 : Matrix R 3 --- R 4
34 i4 : getSubmatrixOfRank(3,M)
35 o4 = {{2, 0, 1}, {0, 1, 3}}
36 o4 : List
37 i5 : getSubmatrixOfRank(3, M, Strategy=>StrategyGRevLexSmallest)
38 o5 = {{0, 2, 1}, {1, 2, 0}}
39 o5 : List

```

<sup>1</sup>/<sub>2</sub> 1 In our next example, over a ring with 6 variables, we create a Jacobian matrix out of an ideal generated  
 2 by 8 random forms of various degrees. We display the time needed for the rank function to return, followed  
 3 by the time elapsed during a call to `getSubmatrixOfRank` when searching for a rank 6 submatrix. We  
 4 find that `getSubmatrixOfRank` significantly outperformed `rank`:

```
5 i6 : R = ZZ/103[x_1..x_6]
6 o6 = R
7 o6 : PolynomialRing
8 i7 : J = jacobian ideal apply(8, i -> random(2+random(2), R));
9 o7 : Matrix R 6 <--- R 8
10 i8 : time rank J
    -- used 21.8251 seconds
11 o8 = 6
12 i9 : time getSubmatrixOfRank(6, J)
    -- used 0.00714912 seconds
13 o9 = {{5, 1, 3, 4, 2, 0}, {5, 2, 6, 0, 4, 7}}
```

15 In one of the core examples from the `RationalMaps` package, before using this package a function  
 16 would look at several thousands of submatrices (randomly) typically before finding a submatrix of the  
 17 desired rank, whereas this package finds one after looking at fewer than half a dozen (typically only  
 18 looking at 1 or 2 submatrices). Using this package sped up the computation of that example by more than  
 19 one order of magnitude; see the non-maximal linear rank example from [Bott et al. 2022, page 7].

<sup>20</sup>/<sub>2</sub> 20 **5. FINDING LOWER BOUNDS FOR MATRIX RANKS: `isRankAtLeast`.** This method is a direct imple-  
 21 mentation of `getSubmatrixOfRank`. This function returns a boolean value indicating whether the rank  
 22 of an input matrix,  $M$ , is greater than or equal to an input integer,  $n$ . In order to do so, the function first  
 23 performs some basic checks to ensure a rank of  $n$  is possible given  $M$ 's dimensions, then executes a call  
 24 to `getSubmatrixOfRank`. If `getSubmatrixOfRank` returns a matrix, then this function will return true.  
 25 However, if `getSubmatrixOfRank` does not return a matrix, a conclusive answer can not be reached. As  
 26 such, the method will then evaluate the rank of  $M$  and return the appropriate boolean value.

27 However, the function `isRankAtLeast`, which is efficient when `getSubmatrixOfRank` returns  
 28 quickly, may be costly if the results are inconclusive and a rank evaluation is necessary. As such,  
 29 the described implementation is not optimized. In order to lead to time improvements, we devel-  
 30 oped a multithreaded version of this function that simultaneously evaluates the rank of  $M$  and invokes  
 31 `getSubmatrixOfRank`. Once a thread has terminated with a usable answer, the other threads are canceled  
 32 and the appropriate value is returned. During the implementation of this functionality, we discovered that  
 33 `Macaulay2` becomes unstable when canceling threads and thus users are not currently allowed to invoke  
 34 the multithreaded version. However, this functionality is included in the package and can be made easily  
 35 accessible once the stability issue is resolved.

37 **5.1. Example of `isRankAtLeast`.** The following example first creates a  $9 \times 9$  matrix,  $N$ , and calls  
 38 `isRankAtLeast` to determine whether its rank is at least 7. Directly calling `rank N` on a matrix of this



<sup>1</sup>/<sub>2</sub> size would take multiple seconds, whereas `isRankAtLeast` returns in a fraction of the time:

```

1  i1 : loadPackage "FastMinors";
2
3  i2 : N = random(R^{6,6,6,6,6,6,7},R^9);
4  o2 : Matrix R  <--- R
5  i3 : elapsedTime isRankAtLeast(7,N)
6  -- 0.0654172 seconds elapsed
7  o3 = true

```

**6. REGULAR IN CODIMENSION  $n$ : `regularInCodimension`.** Using the `getSubmatrixOfRank` routines, we provide a function for checking whether a variety is regular in codimension  $n$ , or  $R_n$ . The default strategy is `Strategy=>Default`.

The function `regularInCodimension(ZZ, Ring)` returns `true` if it verifies that the ring is regular in codimension  $n$ . This only works if the ring is equidimensional, as it is using a Jacobian criterion. If it cannot make a determination, it returns `null`. If it ended up computing all minors of the matrix, and it still doesn't have the desired codimension, it will return `false` (note this will likely only occur for small matrices).

**6.1. Example of `regularInCodimension`.** We begin with an example of a 3-dimensional ring that is regular in codimension 1, but not in codimension 2. It is generated by 12 equations in 7 variables:

```

19  i3 : T = ZZ/101[x1,x2,x3,x4,x5,x6,x7];
20
201/2 i4 : I = ideal(x5*x6-x4*x7,x1*x6-x2*x7,x5^2-x1*x7,x4*x5-x2*x7,x4^2-x2*x6,x1*x4-x2*x5,
21  x2*x3^3*x5+3*x2*x3^2*x7+8*x2^2*x5+3*x3*x4*x7-8*x4*x7+x6*x7,
22  x1*x3^3*x5+3*x1*x3^2*x7+8*x1*x2*x5+3*x3*x5*x7-8*x5*x7+x7^2,
23  x2*x3^3*x4+3*x2*x3^2*x6+8*x2^2*x4+3*x3*x4*x6-8*x4*x6+x6^2,
24  x2^2*x3^3+3*x2*x3^2*x4+8*x2^3+3*x2*x3*x6-8*x2*x6+x4*x6,
25  x1*x2*x3^3+3*x2*x3^2*x5+8*x1*x2^2+3*x2*x3*x7-8*x2*x7+x4*x7,
26  x1^2*x3^3+3*x1*x3^2*x5+8*x1^2*x2+3*x1*x3*x7-8*x1*x7+x5*x7);
27
28  o4 : Ideal of T
29  i5 : S = T/I; dim S
30  o6 = 3
31  i7 : time regularInCodimension(1, S)
32  -- used 0.150734 seconds
33  o7 = true
34  i8 : time regularInCodimension(2, S)
35  -- used 2.12777 seconds
36  i9 : time singularLocus S;
37  -- used 8.29746 seconds
38  i10 : time dim o9
39  -- used 23.2483 seconds
40  o10 = 1

```

As seen above, the function `regularInCodimension` verified that  $S$  was regular in codimension 1 in a fraction of a second. When `regularInCodimension(2, S)` was called, nothing was returned, indicating that nothing was found (our function could not make a determination). Computing the Jacobian ideal however took more than 8 seconds and verifying that it had dimension 1 took more than 23 seconds.

<sup>1</sup>/<sub>2</sub> **6.2. Options and strategies for regularInCodimension.** We consider the same example using some different strategies. For another look at options in this function, see the tutorial in the document under the key RegularInCodimensionTutorial.

One might think that it might be just as effective to choose random matrices as to use our strategies, and sometimes it is, but this is not the typical behavior we have observed.

```

6 i11 : time regularInCodimension(1, S, Strategy=>StrategyRandom, Verbose=>true)
7 regularInCodimension: ring dimension =3, there are 17325 possible minors,
8 regularInCodimension: we will compute up to 317.599 of them.
9 regularInCodimension: About to enter loop
10 internalChooseMinor: Choosing Random
11 regularInCodimension: Loop step, about to compute dimension. Submatrices considered: 7,
12 and computed = 7
13 regularInCodimension: isCodimAtLeast failed, computing codim.
14 regularInCodimension: partial singular locus dimension computed, = 2
15 regularInCodimension: Loop step, about to compute dimension. Submatrices considered: 9,
16 and computed = 9
17 regularInCodimension: isCodimAtLeast failed, computing codim.
18 regularInCodimension: partial singular locus dimension computed, = 2
19 regularInCodimension: Loop step, about to compute dimension. Submatrices considered: 11,
20 and computed = 11
21 regularInCodimension: isCodimAtLeast failed, computing codim.
22 regularInCodimension: partial singular locus dimension computed, = 2
23 regularInCodimension: Loop step, about to compute dimension. Submatrices considered: 14,
24 and computed = 14
25 regularInCodimension: isCodimAtLeast failed, computing codim.
26 regularInCodimension: partial singular locus dimension computed, = 2
27 regularInCodimension: Loop step, about to compute dimension. Submatrices considered: 18,
28 and computed = 18
29 regularInCodimension: isCodimAtLeast failed, computing codim.
30 regularInCodimension: partial singular locus dimension computed, = 2
31 regularInCodimension: Loop step, about to compute dimension. Submatrices considered: 24,
32 and computed = 24
33 regularInCodimension: isCodimAtLeast failed, computing codim.
34 regularInCodimension: partial singular locus dimension computed, = 2
35 regularInCodimension: Loop step, about to compute dimension. Submatrices considered: 31,
36 and computed = 31
37 regularInCodimension: isCodimAtLeast failed, computing codim.
38 regularInCodimension: partial singular locus dimension computed, = 2
39 regularInCodimension: Loop step, about to compute dimension. Submatrices considered: 40,
40 and computed = 40
41 regularInCodimension: isCodimAtLeast failed, computing codim.
42 regularInCodimension: partial singular locus dimension computed, = 2
43 regularInCodimension: Loop step, about to compute dimension. Submatrices considered: 52,
44 and computed = 52
45 regularInCodimension: isCodimAtLeast failed, computing codim.
46 regularInCodimension: partial singular locus dimension computed, = 2
47 regularInCodimension: Loop step, about to compute dimension. Submatrices considered: 67,
48 and computed = 67
49 regularInCodimension: isCodimAtLeast failed, computing codim.
50 regularInCodimension: partial singular locus dimension computed, = 2
51 regularInCodimension: Loop step, about to compute dimension. Submatrices considered: 87,
52 and computed = 87
53 regularInCodimension: isCodimAtLeast failed, computing codim.
54 regularInCodimension: partial singular locus dimension computed, = 1
55 regularInCodimension: Loop completed, submatrices considered = 87,
56 and computed = 87.
57 singular locus dimension appears to be = 1
58 -- used 1.04945 seconds
59 o11 = true

```

Above, we have deleted 86 of the 87 times the verbose output displays internalChooseMinor: Choosing Random.

1 In this particular example, the `StrategyRandom` option looked at 87 submatrices of the Jacobian  
 2 matrix. Note it does not check to see whether we have obtained the desired codimension after considering  
 3 each new random submatrix. Instead, it only computes the codimension periodically, with the space  
 4 between checks increasing. The considered values on each line tell how many submatrices have been  
 5 considered. The computed value tells how many were not repeats (computed will be nearly the same as  
 6 considered with a random strategy).

7 Running `Rn(1, S, Strategy=>StrategyRandom, Verbose=>true)` 50 times yielded:

- 8 (1) an average of 61.3 submatrices of the Jacobian matrix considered.
- 9 (2) a median value of 40 or 52 submatrices of the Jacobian matrix considered.
- 10 (3) a minimum value of 7 submatrices of the Jacobian matrix considered (1 time).
- 11 (4) a maximum value of 248 submatrices of the Jacobian matrix considered (1 time).

12 Due to certain settings, we do not check the codimension of the singular locus until 7 submatrices have been  
 13 considered. Users can control this behavior via the `MinMinorsFunction` and `CodimCheckFunction`  
 14 options; see the tutorial in the documentation.

15 The default strategy `Rn(1, S, Strategy=>StrategyDefaultNonRandom, Verbose=>true)`, on  
 16 the other hand, run 50 times yielded

- 17 (1) an average of 12.1 submatrices of the Jacobian matrix considered.
- 18 (2) a median value of 7 or 9 submatrices of the Jacobian matrix considered.
- 19 (3) a minimum value of 7 submatrices of the Jacobian matrix considered (25 times).
- 20 (4) a maximum value of 40 submatrices of the Jacobian matrix considered (1 time).

21 In the above example, `Strategy=>StrategyLexSmallest` yields even better performance.

22 Using `Strategy=>StrategyPoints` (combined with the options `MinMinorsFunction=>(t->t)`  
 23 and `CodimCheckFunction=>(t->t)`) to check codimension after computing every submatrix, produces:

- 24 (1) an average of 4.96 submatrices of the Jacobian matrix considered.
- 25 (2) a median value of 5 submatrices of the Jacobian matrix considered.
- 26 (3) a minimum value of 4 submatrices of the Jacobian matrix considered (3 times).
- 27 (4) a maximum value of 6 submatrices of the Jacobian matrix considered (1 time).

28 In this case, `StrategyPoints` considers very few submatrices, but it actually does the computation  
 29 substantially slower than `StrategyDefaultNonRandom` since finding each submatrix can be a lot of  
 30 work as rational points must be found. However, `StrategyPoints` is still faster than `StrategyRandom`.

31 Note that larger matrices tend to exhibit even larger disparities between the strategies.

1 **6.3. Notes on implementation.** As mentioned above, this function computes minors (based on the passed  
 2 Strategy option) until either it finds that the singular locus has the desired dimension, or until it has  
 3 considered too many minors. By default, it considers up to  $10 \cdot (\text{minimum number of minors needed}) +$   
 4  $8 \cdot \log_{1.3}(\text{possible minors})$ . This value was simply chosen by experimentation. If the user is trying to  
 5 show a singular locus has a certain codimension, they will need a minimum number of minors. The  
 6 multiplication by 10 is due to our default strategy using multiple strategies, but only considering one might  
 7 work well on a given matrix. The user can set the option `MaxMinors` to a function  $F$  with two inputs,  
 8  $x = (\text{minors needed})$  and  $y = (\text{possible minors})$ , where  $F$  outputs the maximum number of matrices to  
 9 compute. More simply, one may simply set `MaxMinors` to be a number.

10 These matrices are considered in a loop. We begin with computing a constant number of minors, by  
 11 default  $2 \cdot (\text{minimum number of minors needed}) + 3$ , and check whether the output has the right dimension.  
 12 The user can also set the option `MinMinorsFunction` to a function  $G$  with one input,  $x = (\text{minors needed})$ ,  
 13 which will output how many minors to compute before first checking the codimension. After those initial  
 14 minors are found, we compute additional minors, checking periodically (based on an exponential function,  
 15  $1.3^k$  minors considered before the next reset) whether our minors define a subset of the desired codimension.  
 16 New functions can be provided via the option `CodimCheckFunction`; see the tutorial for more details.  
 17 If in this loop, a submatrix is considered again, it is not recomputed, but the counter is still increased.

18  
 19 **6.4. Other options.** This function also includes other options including the option `Modulus` which handles  
 20 switching the coefficient field for a field of characteristic  $p > 0$  (which is specified with `Modulus=>p`.)

20<sup>1/2</sup>  
 21 One can also control how determinants are computed with the `DetStrategy` option; valid values are  
 22 `Bareiss`, `Cofactor` and `Recursive`.

23  
 24 **7. PROJECTIVE DIMENSION: `projDim`.** In April of 2019, it was pointed out in a thread on github  
 25 (<https://github.com/Macaulay2/M2/issues/936>) that the command `pdim` sometimes provides an incorrect  
 26 value (an overestimate) for the projective dimension for non-homogeneous modules over polynomial  
 27 rings. There, it was also suggested that this could be addressed by looking at appropriate minors of the  
 28 matrices in a possibly non-minimal resolution, but that in practice these matrices have too many minors  
 29 to compute. We have implemented a function `projDim` that tries to address this by looking at only *some*  
 30 minors. Our function does not solve the problem as it also gives only an upper bound on the projective  
 31 dimension. However, this upper bound is frequently correct.

32 The idea is as follows. Take a free resolution of a module  $M$  over a polynomial ring  $R$ ,

$$33 \quad 0 \longleftarrow M \longleftarrow F_0 \xleftarrow{d_1} F_1 \longleftarrow \cdots \xleftarrow{d_{n-1}} F_{n-1} \xleftarrow{d_n} F_n \longleftarrow 0.$$

34  
 35 Each  $d_i$  is given by a matrix. The term  $F_n$  is unnecessary (i.e.,  $d_n$  splits) exactly when the rank  $F_n$  minors  
 36 of  $d_n$  generate the unit ideal. In that case, we know our projective dimension is at most  $n - 1$ . Continuing  
 37 in this way, we can compute the  $(\text{rank } F_{n-1} - \text{rank } F_n)$ -minors of  $d_{n-1}$ , and see whether they generate  
 38 the unit ideal. Our algorithm of course only computes a subset of those minors.

<sup>1</sup>/<sub>2</sub> **7.1. Example of projDim.** In the below example, we take a monomial ideal of projective dimension 2, compute a non-homogeneous change of coordinates, and observe that `pdim` returns an incorrect answer that `projDim` corrects:

```

4 i1 : R = QQ[x,y,z,w];
5 i2 : I = ideal(x^4,x*y,w^3, y^4);
6 i3 : pdim module I
7 o3 = 2
8 i4 : f = map(R, R, {x+x^2+1, x+y+1, z+z^4+x-2, w+w^5+y+1});
9 i5 : pdim module f I
10 o5 = 3
11 i6 : time projDim module f I
12 -- used 3.43851 seconds
12 o6 = 2
13 i7 : time projDim(module f I, MinDimension=>2)
14 -- used 0.0503165 seconds
14 o7 = 2

```

<sup>20</sup>/<sub>2</sub> **7.2. Options.** As seen in the previous example, setting `MinDimension` can substantially speed up the computation, as otherwise the function will try to determine whether the projective dimension is actually 1. The option `MaxMinors` can be set to be the number of minors computed at each step. Alternatively, it can be set to be a list of numbers, one for each step in the above algorithm. Finally, it can be set to be a function of the dimension  $d$  of the polynomial ring  $R$  and the number  $t$  of possible minors. This is the default option, and the function is  $5 * d + 2 * \log_{1.3}(t)$ . The option `Strategy` is also available and it works as in the above functions with the default value being `StrategyDefault`.

23

**8. COMPUTING IDEALS OF MINORS: recursiveMinors.** *Macaulay2* contains a `minors` method that returns the ideal of minors of a certain size,  $n$ , in a given matrix, a necessary step in locating singularities. However, the current implementation's default is to evaluate determinants using the Bareiss algorithm, which is efficient when the entries in the matrix have a low degree and few variables, but very slow otherwise. The current `minors` method also allows users to compute determinants using cofactor expansion, but this strategy performs some unnecessary calculations, causing it to be quite costly as well. We improved the current cofactor expansion method to find the determinants of minors by adding recursion and multithreading throughout. We also eliminated said unnecessary calculations by ensuring that only the required determinants are being computed at each step of the recursion, rather than all possible determinants of the given size.

In order to do so, we programmed a method in *Macaulay2*'s software that recursively finds all  $n \times n$  minors by first computing the  $2 \times 2$  minors and storing them in a hash table. Then we use the  $2 \times 2$  minors to compute the necessary  $3 \times 3$  minors, and so on, with the process repeated recursively until the minors of size  $n \times n$  are evaluated. At each step, we only compute the determinants that will be needed when performing a cofactor expansion on the following size minor.

<sup>1</sup>/<sub>2</sub> To allow for further time improvements, we also utilized *Macaulay2*'s existing parallel programming methods to multithread our code so different computations at each step of the recursion can occur simultaneously in separate threads. We divide the list of all determinants to be evaluated into different available threads and wait for them to finish before consolidating the results in a hash table and proceeding with the recursion. In order to more effectively utilize *Macaulay2*'s multithreading methods, we also created a `nanosleep` method that waits a given number of nanoseconds, rather than full seconds. This function has already been incorporated into the software.

**8.1. Example of recursiveMinors.** Below, we first create a simple matrix,  $M$ , of polynomials in a single variable with rational coefficients and execute the `recursiveMinors` method to find the ideal of all  $3 \times 3$  minors. As can be seen, the result is equivalent to the output of the `minors` method when called with the same parameters. We then create a new, larger matrix,  $N$ , with two dimensional rational coefficients and return the computation time for `recursiveMinors` and `minors` utilizing both the Bareiss and Cofactor strategies. The `recursiveMinors` method finished executing approximately six times faster than the Bareiss algorithm and almost seven times faster than the Cofactor expansion, while yielding the same results.

```

16 i1 : loadPackage "FastMinors";
17 i2 : allowableThreads => 8;
18 i3 : R = QQ[x];
19 i4 : M = random(R^{2,2,2}, R^4)
20 o4 = {-2} | x2 3x2 5/8x2 7/10x2 |
      {-2} | 3/4x2 2x2 7/4x2 9x2 |
      {-2} | x2 2/9x2 1/2x2 4/3x2 |
21 o4 : Matrix R <--- R
22 i5 : recursiveMinors(3,M)
23 o5 = ideal (-----x , -----x , - ----x , ----x )
24           60      240      45      144
25 o5 : Ideal of R
26 i6 : recursiveMinors(3,M) == minors(3,M)
27 o6 = true
28 i7 : Q = QQ[x,y];
29 i8 : N = random(Q^{5,5,5,5,5,5}, Q^7);
30 o8 : Matrix Q <--- Q
31 i9 : elapsedTime minors(5,N, Strategy => Bareiss);
32 -- 1.42867 seconds elapsed
33 o9 : Ideal of Q
34 i10 : elapsedTime minors(5,N, Strategy => Cofactor);
35 -- 1.82251 seconds elapsed
36 o10 : Ideal of Q
37 i11 : elapsedTime recursiveMinors(5,N);
38 -- 0.273007 seconds elapsed;
39 o11 : Ideal of Q
40 i12 : recursiveMinors(5,N) == minors(5,N)
41 o12 = true

```

Degree	Bareiss	Cofactor	RecursiveMinors	RecursiveMinors, Threads=>4
8	3.465	4.443	0.632	0.408
10	5.771	6.799	0.971	0.560
12	7.405	8.935	1.220	0.699
15	12.187	12.007	1.687	1.007
20	21.007	22.615	2.819	1.854
25	31.915	34.865	4.233	2.635
40	83.583	77.198	10.585	6.296
60	181.179	192.911	23.875	13.062

**Table 1.** Time to compute the  $5 \times 5$  minors of a  $6 \times 7$  random matrix over  $\mathbb{Q}[x, y]$ .

Degree	Bareiss	Cofactor	RecursiveMinors	RecursiveMinors, Threads=>4
2	5.998	3.785	0.588	0.519
3	17.397	8.781	1.730	1.535
4	49.615	22.575	4.582	3.833
5	115.412	45.088	8.364	6.394

**Table 2.** Time to compute the  $5 \times 5$  minors of a  $6 \times 7$  random matrix over  $\mathbb{Q}[x, y, z]$ .

We briefly show the limits of this package in Tables 1 and 2. All times in the paper are given in seconds. We consider a random  $6 \times 7$  matrix over  $\mathbb{Q}[x, y]$  as above, and then also for  $\mathbb{Q}[x, y, z]$ . We compare the single-threaded and 4-threaded versions of `recursiveMinors` in this package with the Bareiss and Cofactor strategies with `recursiveMinors` for different degrees of the terms.

Generally speaking, `recursiveMinors` performs best when the matrix one is looking at has very-expensive-to-compute minors (such as with the random matrices we consider above). In sparse examples and examples with easy-to-compute determinants, other strategies tend to perform better.

**9. PERFORMANCE AND LIMITS OF THE PACKAGE.** We conclude by providing some tables showing how long various computations take in several different strategies. We limit ourselves to the function `regularInCodimension` as other functions such as `projDim` have roughly similar performance. Note that we have already discussed some of the performance behavior of taking determinants (including via a recursive algorithm). Again, we recommend the interested user also see the tutorial in the package documentation.

The Successful column shows what percentage of the time the function verified that the given equation was regular in a certain codimension (depending on the strategy, it doesn't always succeed). All computations were run in *Macaulay2* version 1.18 on a machine running Ubuntu 20.04 with 64 gigabytes of memory.

In Table 3, we verify that the cone over a product of elliptic curves (an Abelian surface) embedded in  $\mathbb{P}^8$  is regular in codimension 1. Note that `StrategyRandom` does not tend to work well on this or other examples, and so we generally do not consider it further. In Table 4 we verify the same example is regular in codimension 2. When we make the elliptic curves defined by less sparse equations, `Points` tends to perform much better, as can be seen in Table 5.

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Strategy	Attempts	Average time	Successful
StrategyDefault	100	1.7	100%
StrategyDefaultNonRandom	100	0.9	100%
Points	100	4.0	100%
StrategyDefaultWithPoints	100	2.2	100%
StrategyRandom	100	6.1	4%
StrategyRandom, MaxMinors=>2000	20	49.0	15%
StrategyRandom, MaxMinors=>5000	10	238.1	50%

Regular in codimension 1, 9 variables, 28 equations, 31646160 possible 6×6 minors

**Table 3.** We check  $R$  is regular in codimension 1 where  $R$  is the cone over a product of two elliptic curves in positive characteristic given with a Segre embedding. One of the curves is diagonal, the other is in Weierstrass form. This has a relatively sparse Jacobian matrix.

Strategy	Attempts	Average time	Successful
StrategyDefault	10	10.9	0%
StrategyDefault, MaxMinors=>5000	10	30.1	100%
StrategyDefaultNonRandom	10	7.7	0%
StrategyDefaultNonRandom, MaxMinors=>5000	10	13.7	100%
Points	10	4.6	100%
StrategyDefaultWithPoints	10	5.8	100%

Regular in codimension 2, 9 variables, 28 equations, 31646160 possible 6×6 minors

**Table 4.** We check  $R$  is regular in codimension 2 where  $R$  is the cone over a product of two elliptic curves in positive characteristic given with a Segre embedding. One of the curves is diagonal, the other is in Weierstrass form. This has a relatively sparse Jacobian matrix. Using StrategyDefault and StrategyDefaultNonRandom did not work with the default number of minors, but increasing MaxMinors led to successful verification that the ring was regular in codimension 2.

We next consider a relatively sparse higher dimension example in Table 6. Here we are taking a cone over a product of an elliptic curve with a diagonal equation, an elliptic curve in Weierstrass form and a copy of  $\mathbb{P}^1$ . This is a cone over a 3-dimensional smooth projective variety embedded in  $\mathbb{P}^{17}$ .

We now move on to computing dimensions of singular loci of varieties that are not cones. We constructed several non-normal (non-S2) varieties using the Pullback package. First, in Table 7 we took 3 coordinate axes through the origin in  $\mathbb{A}^3$  and randomly glued them to a single line. In Table 8 we did the same with three random lines through the origin (creating a less sparse Jacobian matrix). Finally, in Table 9, we consider a similar example in  $\mathbb{A}^4$  (except now it is regular in codimension 2), first verifying it is regular in codimension 1. Finally, we verify it is regular in codimension 2 in Table 10.

SUPPLEMENT. The online supplement contains version 1.2.6 of FastMinors.m2.



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Strategy	Attempts	Average time	Successful
StrategyDefault	10	$\infty?$	0%
StrategyDefaultNonRandom	10	$\infty?$	<10%
Points, CodimCheckFunction => t->t+1	10	7.7	100%
StrategyDefaultWithPoints	10	?	about 50%

Regular in codimension 1, 9 variables, 28 equations, 31646160 possible  $6 \times 6$  minors

**Table 5.** We check  $R$  is regular in codimension 1 where  $R$  is the cone over a product of two elliptic curves in positive characteristic given with a Segre embedding. One of the curves is in Weierstrass form, the other is given by a random degree 3 equation. This has a relatively complicated (non-sparse) Jacobian matrix. The other strategies generally do not work. The one exception is StrategyDefaultWithPoints which sometimes is very fast (faster than Points), and other times gets stuck trying to compute a point. Setting CodimCheckFunction => t->t+1 forces the codimension to be checked at every step, which provides better and more consistent performance. Without that, sometimes this function will hang trying to find a point after on a 1-dimensional scheme where it has already verified that  $R$  is regular in codimension 1, but has not computed that codimension yet.

Strategy	Attempts	Average time	Successful
StrategyDefaultNonRandom	10	$\infty?$	0%
Points	10	58.5	100%
StrategyDefaultWithPoints	10	27.1	100%

Regular in codimension 1, 18 variables, 139 equations, 17927476818965522386560 possible  $14 \times 14$  minors

**Table 6.** We check  $R$  is regular in codimension 1 where  $R$  is the cone over a product of two elliptic curves plus a  $\mathbb{P}^1$  in positive characteristic given with a Segre embedding. One of the curves is in Weierstrass form, the other is given by a random degree 3 equation. This has a relatively sparse Jacobian matrix. The other strategies (not involving points) generally do not work. Using StrategyDefaultNonRandom took more than 30 minutes and computed more than 5000 minors, but still did not finish.

Strategy	Attempts	Average time	Successful
StrategyDefault	100	0.8	100%
StrategyDefaultNonRandom	100	0.5	100%
Points	100	3.0	100%
StrategyDefaultWithPoints	100	2.4	100%

Regular in codimension 1, 8 variables, 26 equations, 3683680 possible  $5 \times 5$  minors

**Table 7.** We check  $R$  is regular in codimension 1 where  $R$  is obtained by gluing three coordinate axis lines through the origin in  $\mathbb{A}^3$  together to a single line. This is a 3-dimensional ring that is regular in codimension 1, but not codimension 2. The Jacobian matrix is fairly sparse, but has some quite complicated sections.

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Strategy	Attempts	Average time	Successful
StrategyDefault	20	2.0	100%
StrategyDefaultNonRandom	20	0.5	100%
Points	10	11.6	100%
StrategyDefaultWithPoints	10	6.9	100%

Regular in codimension 1, 8 variables, 34 equations, 15582336 possible 5×5 minors

**Table 8.** We check  $R$  is regular in codimension 1 where  $R$  is obtained by gluing random lines through the origin in  $\mathbb{A}^3$  together to a single line. This is a 3-dimensional ring that is regular in codimension 1, but not codimension 2. The Jacobian matrix is substantially less sparse than when we glued the three *coordinate axes*.

Strategy	Attempts	Average time	Successful
StrategyDefault	100	5.2	100%
StrategyDefaultNonRandom	100	1.3	100%
Points	20	7.3	100%
StrategyDefaultWithPoints	20	4.0	100%

Regular in codimension 1, 11 variables, 52 equations, 44148904800 possible 7×7 minors

**Table 9.** We check  $R$  is regular in codimension 1 where  $R$  is obtained by gluing three coordinate axis lines through the origin in  $\mathbb{A}^4$  together to a single line. This is a 4-dimensional ring that is regular in codimension 2, but not codimension 3. The Jacobian matrix is fairly sparse, but has some quite complicated sections.

Strategy	Attempts	Average time	Successful
StrategyDefault	20	14.9	100%
StrategyDefaultNonRandom	20	5.5	100%
Points	10	$\infty?$	0%
StrategyDefaultWithPoints	10	$\infty?$	0%

Regular in codimension 2, 11 variables, 52 equations, 44148904800 possible 7×7 minors

**Table 10.** We check  $R$  is regular in codimension 2 where  $R$  is obtained by gluing three coordinate axis lines through the origin in  $\mathbb{A}^4$  together to a single line. This is a 4-dimensional ring that is regular in codimension 2, but not codimension 3. The Jacobian matrix is fairly sparse, but has some quite complicated sections. Strategies involving Points fail quickly as they use more than 64 gigabytes of RAM.

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