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Nonelementary categoricity and projective locally o-minimal classes

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## Nonelementary categoricity and projective locally o-minimal classes

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Given a cover  $\mathbb U$  of a family of smooth complex algebraic varieties, we associate with it a class  $\mathfrak{U}$ , containing  $\mathbb{U}$ , of structures locally definable in an o-minimal expansion of the real numbers. We prove that the class is  $\aleph_0$ -homogenous over submodels and stable. It follows that  $\mathfrak U$  is categorical in cardinality  $\aleph_1$ . In the case when the algebraic varieties are curves we prove that a slight modification of U is an abstract elementary class categorical in all uncountable cardinals.

### 1. Introduction

<span id="page-1-1"></span>**1.1.** Let  $k_0 \subseteq \mathbb{C}$ , a countable subfield,  $\{X_i : i \in I\}$  a collection of nonsingular irreducible complex algebraic varieties (of dim > 0) defined over  $k_0$  and  $I := (I, \geq)$ a lattice with the minimal element  $0$  determined by unramified  $k_0$ -rational epimorphisms  $pr_{i',i}: \mathbb{X}_{i'} \to \mathbb{X}_i$ , for  $i' \geq i$ . Let  $\mathbb{U}(\mathbb{C})$  be a connected complex manifold and  $\{f_i : i \in I\}$  a collection of holomorphic covering maps (local biholomorphisms)

$$
f_i: \mathbb{U}(\mathbb{C}) \to \mathbb{X}_i(\mathbb{C}), \quad \text{pr}_{i',i} \circ f_{i'} = f_i.
$$

as illustrated by



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1.2. In a number of publications, abstract elementary classes  $\mathfrak U$  containing structures  $(\mathbb{U}, f_i, \mathbb{X}_i)$ , with an abstract algebraically closed field K instead of  $\mathbb{C}$  (pseudoanalytic structures) have been considered; see [\[Zilber 2016\]](#page-17-0) for a survey. A typical result is a formulation of a "natural"  $L_{\omega_1,\omega}$ -axiom system  $\Sigma$  which holds for ( $\mathbb{U}(\mathbb{C})$ ,  $f_i$ ,  $\mathbb{X}_i(\mathbb{C})$ ) and defines a class U categorical in all uncountable cardinals. The proofs, in each case, rely on deep results in arithmetic geometry, moreover one often is able to show that the fact of categoricity of  $\Sigma$  implies the arithmetic results.

The above raised the question of whether an uncountably categorical AEC U containing ( $\mathbb{U}(\mathbb{C})$ ,  $f_i$ ,  $\mathbb{X}_i(\mathbb{C})$ ) exists under general enough assumptions on the data, leaving aside the question of axiomatisability and related arithmetic theory.

The current paper answers this question in the positive at least in the case when the  $\mathbb{X}_i$  are curves. We construct  $\mathfrak{U}$  as the class of structures  $\mathbb{U}(K)$  (K = R+*i*R) locally definable (in the sense of M. Edmundo and others) in models R of an o-minimal expansion of the real numbers projected (restricted) to the language  $L_{\text{glob}}$  (global) the primitives of which are given by analytic subsets of  $\mathbb{U}^m$  locally defined in the o-minimal structure. The main theorem states that, for the case when the complex dimension of  $\mathbb{U}(\mathbb{C})$  is equal to 1,  $\mathfrak U$  can be extended to a class of  $L_{\text{glob}}$ -structures which is an abstract elementary class categorical in all uncountable cardinals. For the general case we only were able to prove categoricity in  $\aleph_1$ .

1.3. Our main technical tool is a slightly generalised theory of K-analytic sets in o-minimal expansions of the real numbers developed by Y. Peterzil and S. Starchenko [\[2008\]](#page-17-1). We also make an essential use of the theory of quasiminimal excellence, especially the important paper by M. Bays, B. Hart, T. Hyttinen, M. Kesälä and J. Kirby [\[Bays et al. 2014\]](#page-17-2).

Note that our main technical results effectively prove that the structures in U are *analytic Zariski* in a sense slightly weaker than in [\[Zilber 2017\]](#page-17-3), where we proved results similar to the current ones for an analytic Zariski class.

1.4. Most of our examples, see [Section 2.3,](#page-3-0) have become objects of interest in the theory of o-minimality due to the Pila–Wilkie–Zannier method of counting special points of Shimura varieties and more generally; see the survey [\[Scanlon 2012\]](#page-17-4). Effectively, one counts points of  $\mathbb{U}(L) \cap D \cap S$  where *D* is an open subset of  $\mathbb{U}(\mathbb{C})$ definable in the o-minimal structure, *S* an  $L_{\text{glob}}$ -definable analytic subsets of  $\mathbb{U}(\mathbb{C})$ and *L* a number field relevant to the case at hand.

At the same time one should note that in representing an  $L_{\text{glob}}$ -structure as  $\mathbb{U}(K)$ ,  $K = R + iR$ , there is a remarkable degree of freedom in the choice of a model R of the underlying o-minimal theory.

This raises a lot of questions on the interaction between the theory of AEC and o-minimality, the model theory–arithmetic geometry perspective of categorical classes and the o-minimal Pila–Wilkie–Zannier method.

### 2. Preliminaries

**2.1.** Let  $\mathbb{R}_{\text{An}}$  be an o-minimal expansion of the real numbers,  $\mathbb{C} = \mathbb{R} + i\mathbb{R}$  in the language of rings and

$$
Mod_{An} = \{R : R \equiv \mathbb{R}_{An}\}
$$

the class of models of the complete o-minimal theory  $Th(\mathbb{R}_{An})$  in the language  $L_{An}$ . To avoid unnecessary complications we assume that  $L_{An}$  is a countable fragment of the full language of  $\mathbb{R}_{\text{An}}$ .

We write K for the algebraically closed field  $K(R) := R + iR$ .

<span id="page-3-1"></span>2.2.  $(\mathbb{R}_{\text{An}}, \{f_i\})$ -*admissible open cover of*  $\mathbb{U}(\mathbb{C})$ . In addition to the data and notation spelled out in [Section 1.1,](#page-1-1) we assume that:

(i) There is a system of connected open subsets  $D_n$ (ℂ) ⊂  $\cup$ (ℂ), *n* ∈ ℕ, definable in  $\mathbb{R}_{An}$  (possibly with parameters), such that

for any 
$$
n \in \mathbb{N}
$$
,  $D_n \subseteq D_{n+1}$ , and  $\bigcup_n D_n(\mathbb{C}) = \mathbb{U}(\mathbb{C})$ .

- (ii) The restriction  $f_{i,n}$  of  $f_i$  on  $D_n$  is definable in  $\mathbb{R}_{An}$  for each  $i \in I$  and  $n \in \mathbb{N}$ , and for each *i* there is *n* such that  $f_i(D_n) = X_i$ .
- (iii) For all  $i \in I$ , there is a group  $\Gamma_i$  of biholomorphic transformations on  $\mathbb{U}(\mathbb{C})$ , so that the restrictions of the transformations to the  $D_n(\mathbb{C})$  are  $L_{\text{An}}$ -definable and fibres of  $f_i$  are  $\Gamma_i$ -orbits, that is,

$$
f_i: \mathbb{U}(\mathbb{C}) \to \mathbb{X}_i(\mathbb{C}) \cong \mathbb{U}(\mathbb{C})/\Gamma_i.
$$

Moreover, for  $i > j$ ,  $\Gamma_i$  is a finite index subgroup of  $\Gamma_j$ , that is, the cover  $pr_{i,j}: \mathbb{X}_i \to \mathbb{X}_j$  is finite.

(iv) The system of maps  $f_i$ ,  $i \in I$  is  $\mathbb{U}$ -complete: there is a chain  $I_0 \subseteq I$  such that

$$
\bigcap_{l\in I_0}\Gamma_l=\{1\}.
$$

<span id="page-3-0"></span>**2.3.** *Examples of admissible*  $\mathbb{R}_{\text{An}}$ . In all our examples  $\mathbb{R}_{\text{An}}$  is a  $L_{\text{An}}$ -reduct of  $\mathbb{R}_{\exp,an}$ , the real numbers with exponentiation and restricted analytic functions. What varies is  $\mathbb{U}$ ,  $k_0$  and the choice of the family  $\{f_i, D_n : i \in I, n \in \mathbb{N}\}\)$  the members of which assumed to be  $L_{An}$ -definable.

(1)  $\mathbb{U}(\mathbb{C}) = \mathbb{C}, I = \mathbb{N}, \mathbb{X}_i = \mathbb{G}_m$  for all  $i \in I$ , the algebraic torus,

$$
D_n = \{z \in \mathbb{C} : -2\pi n < \operatorname{Im} z < 2\pi n\},\
$$

 $f_k(z) = \exp\left(\frac{z}{k}\right)$  $(\frac{z}{k})$ , and  $k_0 = \mathbb{Q}$ . (2)  $\mathbb{U}(\mathbb{C}) = \mathbb{C}, I = \mathbb{N}, \mathbb{X}_i = \mathbb{E}_{\tau}$  for all  $i \in I$ , an elliptic curve

$$
f_k = \exp_{\tau,k} : \mathbb{C} \to \mathbb{E}_{\tau} \subset \mathbb{P}^2
$$
,  $z \mapsto \exp_{\tau}(\frac{z}{k})$ ,

the covering map for  $E_{\tau}$  (exp<sub> $\tau$ </sub> is constructed from the Weierstrass  $\mathfrak{P}$ -function and its derivative  $\mathfrak{P}'$ , with period  $k\Lambda_{\tau} = k\mathbb{Z} + \tau k\mathbb{Z}$ ).

*D*<sub>1</sub> is the interior of the square in  $\mathbb C$  with vertices  $(0, 1, \tau, \tau+1)$ , and  $D_n = n \cdot D_1$ . Here  $k_0$  is the field of definition of  $E_{\tau}$ .

(3)  $\mathbb{U}(\mathbb{C}) = \mathbb{H}$ , the upper half-plane.

$$
D_n = \{ z \in \mathbb{H} : -\frac{1}{2}n \le \text{Re}(z) < \frac{1}{2}n \& \text{Im}(z) > \frac{1}{n+1} \}.
$$

For  $n = 1$  this is the interior of the fundamental domain of the *j*-function

$$
F = \left\{ z \in \mathbb{H} : -\frac{1}{2} \le \text{Re}(z) < \frac{1}{2} \& \text{Im}(z) > \frac{1}{2} \right\}
$$

and the results of [\[Peterzil and Starchenko 2013\]](#page-17-5) state that the restriction of *j* to *F* is defined in  $\mathbb{R}_{exp,an}$ . Note that, for each *n*,  $D_n$  can be covered by finitely many shifts of  $D_1$  by Möbius transformations from  $\Gamma := \text{PSL}_2(\mathbb{Z})$ . This allows one to define *j* on  $D_n$  in  $\mathbb{R}_{\text{exp, an}}$ .

Moreover, we can similarly consider more general functions

$$
j_N:\mathbb{H}\to\mathbb{Y}(N)\cong\mathbb{H}/\Gamma(N)
$$

onto level *N* Shimura curves. A fundamental domain for  $j<sub>N</sub>$  is a finite union of finitely many shifts of *F* and the analysis of [\[Peterzil and Starchenko 2013\]](#page-17-5) shows that the restriction of  $j_N$  on its fundamental domain is definable in  $\mathbb{R}_{\text{exn,an}}$ . Thus we can take the family  $\{j_N\}$  to be our  $\{f_i\}$  ( $i = N$ ) and  $\mathbb{Y}(N)$  to be the  $\mathbb{X}_i$ . It is well-known that the  $\mathbb{Y}(N)$  and *j<sub>N</sub>* are defined over  $k_0 = \mathbb{Q}^{ab}$ , the extension of  $\mathbb Q$  by roots of 1.

(4)  $\mathbb{U}(\mathbb{C}) = \mathbb{H}$ . Let  $\Gamma$  is a Fuchsian subgroup of PGL<sub>2</sub>( $\mathbb{R}$ ) and  $\{\Gamma_i : i \in I\}$  the system of all finite index subgroups of  $\Gamma$  (see [\[Katok 1992\]](#page-17-6)). Then the  $H/\Gamma$ *i* are biholomorphic to compact projective curves  $\mathbb{X}_i(\mathbb{C})$  with bounded fundamental domains. Thus one can define  $D_n$  and  $f_i$  as in [Section 2.2,](#page-3-1) with  $k_0$  being the union of the fields of definition of the  $\mathbb{X}_i$ .

(5) [\[Peterzil and Starchenko 2013\]](#page-17-5) supplies us with a plethora of other examples, in particular  $\mathbb{U}(\mathbb{C}) = \mathbb{H}_{g}$ , the Siegel half-space, and  $\mathbb{X}_{i}$  moduli spaces of polarised algebraic varieties.

### 3. The K-analytic setting

3.1. *Abstract structures definable in* R*.* Now we extend notations of [Section 2.2](#page-3-1) and, assuming  $R \in Mod_{An}$  be given, let  $\mathbb{U}, \mathbb{X}_i$ ,  $(i \in I)$ ,  $D_n$ ,  $\Gamma_i$  and  $f_i$  be defined as in [Section 2.2](#page-3-1) in the language  $L_{An}$ . In particular, we read  $\mathbb{U} := \mathbb{U}(K)$ ,  $\mathbb{X}_i := \mathbb{X}_i(K)$ , for  $K = K(R)$ , when the choice of the model R does not matter.

More precisely, we define

$$
\mathbb{U}(\mathbf{K})=\bigcup_{n}D_{n}(\mathbf{K}),
$$

which is an  $L_{\omega_1,\omega}$  interpretation of  $\cup$  in R for each  $i \in I$ . Now  $f_i : \mathbb{U}(\mathbf{K}) \to \mathbb{X}_i(\mathbf{K})$ is defined to be the map such that it coincides with the map  $f_{i,n}: D_n(K) \to X_i(K)$ for each  $n \in \mathbb{N}$ . Note that the latter is K-holomorphic in the sense of [\[Peterzil](#page-17-1)] [and Starchenko 2008\]](#page-17-1). We will often say K-holomorphic (analytic) in an extended sense: the restriction  $f_{i,n}$  of  $f_i$  to  $D_n(K)$  is K-holomorphic.

We write  $D_{\bar{n}} \subset \mathbb{U}^m$  meaning that  $\bar{n} = \langle n_1, \ldots, n_m \rangle \in \mathbb{N}^m$  and

$$
D_{\bar{n}}=D_{n_1}\times\cdots\times D_{n_m}.
$$

Define  $f_i$  on  $D_{\bar{n}}$  as  $\langle u_1, \ldots, u_m \rangle \mapsto \langle f_i(u_1), \ldots, f_i(u_m) \rangle$ . This obviously extends to the map  $f_i$  with the domain  $\mathbb{U}^m$ .

We will often restrict our analysis of K-analytic sets to open neighbourhoods, where *open* always means *definable open.*

Let k<sub>0</sub> be a subfield of K such that k<sub>0</sub>  $\subseteq$  dcl( $\varnothing$ ), that is any point of k<sub>0</sub> is definable in R without parameters. Note that  $k_0$  contains any point of the form  $f_i(a)$  for  $i \in I$ and a definable point  $a \in D_n$ .

More generally, we will work with an arbitrary k such that  $k_0 \subset k \subset K$ .

<span id="page-5-0"></span>**Definition 3.2.** Given *S* ⊂  $\mathbb{U}^m$  we say that *S* is  $L_{\text{glob}}(k)$ *-primitive* if there are  $I_S \subseteq I$ and Zariski closed  $Z_i \subseteq \mathbb{X}_i^m$ ,  $i \in I_S$ , defined over k, such that

$$
S = \bigcap_{i \in I_S} f_i^{-1}(Z_i).
$$

**Remark 3.3.** In [Definition 3.2](#page-5-0) we may assume without loss of generality that  $I<sub>S</sub>$  is a chain and, for  $i' \ge i$  in  $I_S$ ,

<span id="page-5-2"></span>
$$
\operatorname{pr}_{i'i}(Z_{i'}) = Z_i. \tag{1}
$$

*Proof.* First, we may assume that  $I_S = I$  by setting for  $i \in I \setminus I_S$ ,  $Z_i := \mathbb{X}_i^m$ .

For a finite  $J \subseteq I$ , take a  $i_J \in I$  such that  $i_J \geq J$ . Set, for each  $k \in J$ ,

<span id="page-5-1"></span>
$$
Z_{i_J,k} := \mathrm{pr}_{i_J,k}^{-1}(Z_k) \subseteq \mathbb{X}_{i_J}^m \quad \text{and} \quad Z_{i_J}^* = \bigcap_{k \in J} Z_{i_J,k}.
$$

Then, since  $f_k = \text{pr}_{i_j, k} \circ f_{i_j}$ ,

$$
f_{ij}^{-1}(Z_{i,j,k}) = f_k^{-1}(Z_i) \text{ and } \bigcap_{k \in J} f_k^{-1}(Z_i) = f_{ij}^{-1}(Z_{i,j}^*).
$$
 (2)

Since *I* is a countable lattice we can represent

$$
I=\bigcup_{n\in\mathbb{N}}J_n
$$

where  $J_n \subseteq I_S$  are finite and  $J_{n+1} \supseteq J_n$  for each *n*.

Consider [\(2\)](#page-5-1) with  $J = J_n$  and write  $i_{J_n}$  as  $i_n$ . Clearly,  $i_{n+1} \ge i_n$  and

<span id="page-6-0"></span>
$$
S = \bigcap_{n \in \mathbb{N}} f_{i_n}^{-1}(Z_{i_n}^*).
$$
 (3)

Finally, note that in [\(3\)](#page-6-0)  $\text{pr}_{i_n,i_l}(Z_{i_k}^*)$  $\binom{*}{i_n}$ ⊆  $Z_{i_l}^*$  $i_l^*$  for  $n \ge l$ , and  $\text{pr}_{i_n, i_l}(Z_{i_n}^*)$ *in* ) is a Zariski closed subset of  $\mathbb{X}_{i_l}^m$  since  $\text{pr}_{i_n, i_l}$  is unramified (and étale). Hence, we may replace *Z* ∗ <sup>\*</sup>/<sub>*i*</sub></sup> by  $\bigcap_{n \geq l}$  pr<sub>*i<sub>n</sub>, i<sub>l</sub>*</sub>  $(Z_{i_n}^*)$  $i_n^*$ ) while keeping [\(3\).](#page-6-0) Doing this consecutively for  $l = 1, 2, ...$ delivers us [\(1\).](#page-5-2)  $\Box$ 

**Remark.** The equality relation is  $L_{\text{glob}}(k_0)$ -primitive.

3.4. K*-holomorphic maps and* K*-analytic subsets.* We refer to [\[Peterzil and](#page-17-1) [Starchenko 2008\]](#page-17-1) for definitions and basic facts on K-analyticity in open definable subsets  $D_{\overline{n}}$ . By slight abuse of the terminology we call a subset  $S \subseteq \mathbb{U}^m$  K-analytic if  $S \cap D_{\bar{n}}$  is K-analytic for each  $D_{\bar{n}} \subset \mathbb{U}^m$ .

Since the complex covering maps  $f_i$  are holomorphic, the maps  $f_{i,n} : D_n(K) \to$  $\mathbb{X}_i(K)$  are K-holomorphic and locally K-biholomorphic. It follows the sets  $f_i^{-1}(Z_i)$ in [Definition 3.2](#page-5-0) are K-analytic and are locally K-biholomorphically isomorphic to the  $Z_i$ .

The dimension dim is always the K-dimension of a K-analytic set. When *Z* is an algebraic variety, the dimension of the respective K-analytic set is dim  $Z :=$  $dim Z(K)$ , and this coincides with the dimension in the sense of algebraic geometry.

**Lemma 3.5.** Given an  $L_{\text{glob}}(k)$ -primitive  $S$ ,  $S \cap D_{\bar{n}}$  is K-analytic in  $D_{\bar{n}}$ . *S* is K-analytic in  $\mathbb{U}^m$ .

*Proof.* Let *S* be as in [Definition 3.2](#page-5-0) with the assumption [\(1\)](#page-5-2) and let  $S_i := f_i^{-1}(Z_i)$ . It follows by definition that the  $S_i \cap D_{\overline{n}}$  are K-analytic. We need to prove that  $\bigcap_{i \in I_S} S_i \cap D_{\bar{n}}$  is analytic.

Let *s* ∈ *S* ∩ *D*<sup> $\bar{n}$ </sup>. For each *i* ∈ *I*<sub>*S*</sub> there is an open neighbourhood *O*<sub>*s*,*i*</sub> of *s* such that  $S_i \cap O_{s,i}$  is irreducible. We may assume that  $S_{i'} \cap O_{s,i'} \subseteq S_i \cap O_{s,i}$  for  $i' \ge i$ . Then there exists  $i_0 \in I_S$  such that for  $i' \ge i \ge i_0$ , dim  $S_{i'} \cap O_{s,i'} = \dim S_i \cap O_{s,i}$ .

Since  $S_i \cap O_{s,i}$  is irreducible,  $S_{i'} \cap O_{s,i} = S_i \cap O_{s,i}$  for all  $i' \ge i \ge i_0$ . Thus  $S \cap O_{s,i} = S_i \cap O_{s,i}$ , which proves that *S* is K-analytic in the neighbourhood, and hence in  $D_{\bar{n}}$ . □

<span id="page-6-2"></span>**Remark 3.6.** *S*<sup>sing</sup>, the set of singular points of  $L_{\text{glob}}(k)$ -primitive *S*, is also an  $L_{\rm glob}(k)$ -primitive since

$$
S^{\text{sing}} = \bigcap_{i \in I_S} f_i^{-1}(Z_i^{\text{sing}}).
$$

<span id="page-6-1"></span>**Proposition 3.7.** *Let*  $S \subseteq \mathbb{U}^m$  *be*  $L_{\text{glob}}(k)$ *-primitive and let, for some*  $n, S_{j,\bar{n}} \subseteq S \cap D_{\bar{n}}$ *be a* K-analytic irreducible component of  $S \cap D_{\overline{n}}$ . Then:

(i) *For any*  $D_{\bar{n}} \supseteq D_{\bar{n}}$  *there is unique*  $S_{j,\bar{n}'} \supseteq S_{j,\bar{n}}$  *a* K-*analytic irreducible component of S* ∩ *D<sup>n</sup>* ′ *. The set*

$$
S_j := \bigcup_{D_{\bar{n}'} \supseteq D_{\bar{n}}} S_{j, \bar{n}'}
$$

*is well-defined.* (*Call it an irreducible component of S.*)

- (ii) *The number of* K-analytic components  $S_j$  of S is at most countable.
- (iii) *The irreducible components*  $S_j$  *are*  $L_{\text{glob}}(k')$ -primitive for some algebraic *extension* k ′ *of* k*.*
- (iv) For any *i*,  $f_i(S_j)$  *is a Zariski closed*  $k'$ -definable geometrically irreducible subset of  $\mathbb{X}_i^m$ .

*Proof.* By [\[Peterzil and Starchenko 2008,](#page-17-1) 4.12],  $S_{j,\bar{n}'}$  is irreducible if and only if  $S_{j,\bar{n}'} \setminus S_{j,\bar{n}'}^{\text{sing}}$  $j_{j,\bar{n}'}$  is definably connected. The union of any two irreducible extensions of  $S_{j,\bar{n}} \setminus S_{j,\bar{n}}^{\text{sing}}$  will be connected, since any two points in the union can be connected by a definable path passing through  $S_{j,\bar{n}} \setminus S_{j,\bar{n}}^{\text{sing}}$  $j_{j,\bar{n}}^{\text{sing}}$ . Hence the extensions coincide, which gives us the first statement of proposition.

The number of such irreducible components is at most countable since the number of components in each  $D_{\bar{n}'}$  is finite. This proves (i) and (ii).

Define dim *S*<sup>*j*</sup> to be dim *S*<sub>*j*</sub>,*n*, which does not depend on  $D_{\bar{n}}$  as long as  $S_j \cap D_{\bar{n}} \neq \emptyset$ , since irreducible sets are of pure dimension (the proof is the same as in the complex case, see also [\[Peterzil and Starchenko 2008\]](#page-17-1)). Define

$$
\dim S := \max_{j} \dim S_j. \tag{4}
$$

We may assume that

$$
S = \bigcap_{i \in I_0} f_i^{-1}(Z_i)
$$

for some chain  $I_0 \subseteq I$ , some Zariski closed  $Z_i \subseteq \mathbb{X}_i^m$  such that dim  $Z_i = \dim S$  and  $pr_{i,l}(Z_i) = Z_l$  for  $i > l$  in  $I_0$ .

Let  $S^i := f_i^{-1}(Z_i)$  and let  $S^i = \bigcup_{j \in J_i} S^i_j$  be the decomposition into irreducible analytic components with maximum dimension equal to dim *S*. It follows that the components of  $S^i$  are also components of  $S^l$  for  $i > l$ , and thus  $S_j$  is a component of  $f_l^{-1}(Z_l)$ .

Fix *l* for the time being. We can represent  $Z_l = \bigcup_{p \in P} Z_{l,p}$ , a finite union of geometrically irreducible algebraic subvarieties *Zl*,*<sup>p</sup>* defined over some algebraic extension k' of k. Also, S can be represented as a finite union of  $L_{\text{glob}}(k')$ -primitives,

$$
S = \bigcup_{p \in P} T_{l,p}, \quad \text{where } T_{l,p} = S \cap f_l^{-1}(Z_{l,p})
$$

and the irreducible component  $S_j$  of *S* is an irreducible component of one of  $T_{l,p}$ .

We assume without loss of generality that  $Z_l$  is geometrically irreducible,  $P$  is a singleton and, since we are only interested in  $S_j$ , assume

$$
S = f_l^{-1}(Z_l).
$$

We omit the subscript *l* in the claim below.

**Claim.**  $f(S_i) = Z$  and for any other component  $S_k$  of *S* there is  $\gamma \in \Gamma$  such that  $\gamma \cdot S_i = S_k$ .

*Proof.* By [Section 1.1](#page-1-1) there is  $\bar{n}$  such that  $f(D_{\bar{n}}) = \mathbb{X}^m$ .

By our assumption then

$$
Z = f\left(\bigcup_{k \in J} S_k\right) = \bigcup_{k \in J} f(S_k \cap D_{\bar{n}}) = \bigcup_{k \in J_0} f(S_k \cap D_{\bar{n}})
$$

where *J* lists all the components of *S* and  $J_0$  lists the components  $S_k$  such that  $S_k \cap D_{\bar{n}} \neq \emptyset$ , so  $J_0$  is finite.

Hence for the finite  $J_1$ ,  $J_0 \subseteq J_1 \subseteq J$ , we have

<span id="page-8-0"></span>
$$
Z=\bigcup_{k\in J_1}f(S_k).
$$

Let  $Z^{\text{sing}}$  the singular points of  $Z$  and  $S^{\text{sing}}$  the singular points of  $S$ , which by the fact that *f* is a local biholomorphisms are related as

$$
f^{-1}(Z^{\text{sing}}) = S^{\text{sing}}.\tag{5}
$$

Note that if  $s \in S_j \cap S_k$ , a common point of two distinct components of *S* then  $s \in S^{\text{sing}}$ . That is  $S \setminus S^{\text{sing}}$ , the analytic subset of the open set  $\mathbb{U}^m \setminus S^{\text{sing}}$ , splits into nonintersecting analytic components  $S_k \setminus S^{\text{sing}}$ . We get from [\(5\)](#page-8-0)

$$
Z \setminus Z^{\text{sing}} = \bigcup_{k \in J_1} f(S_k \setminus S^{\text{sing}}). \tag{6}
$$

The union on the right cannot be disjoint, that is, either  $J_1$  is a singleton, or there are distinct  $k_0, k_1 \in J_1$  such that  $f(S_{k_0} \setminus S^{\text{sing}}) \cap f(S_{k_1} \setminus S^{\text{sing}}) \neq \emptyset$ . Indeed, suppose for a contradiction that it is disjoint. Note that for a respective  $D_{\bar{n}}$ ,  $f : D_{\bar{n}} \to \mathbb{X}^m$ is a (definably) closed covering map since it is locally biholomorphisms. Hence *f* ( $D_{\bar{n}} \cap S_k \setminus S^{\text{sing}}$ ),  $k \in J_1$ , are disjoint definably closed subsets the union of which is the definably connected algebraic set  $Z \setminus Z^{\text{sing}}$ , which is a contradiction.

Now we claim that

<span id="page-8-1"></span>
$$
f(S_{k_0} \setminus S^{\text{sing}}) = Z \setminus Z^{\text{sing}} \quad \text{for a } k_0 \in J_1.
$$
 (7)

Indeed, otherwise there are  $k_0, k_1 \in J_1$  such that  $f(S_{k_0} \setminus S^{\text{sing}}) \neq f(S_{k_1} \setminus S^{\text{sing}})$  but *f* (*S*<sub>*k*0</sub> ∖ *S*<sup>sing</sup>) ∩ *f* (*S*<sub>*k*<sub>1</sub></sub> ∖ *S*<sup>sing</sup>) ≠ ∅. The latter means that there are *s*<sub>0</sub> ∈ *S*<sub>*k*0</sub> ∖ *S*<sup>sing</sup> and  $s_1 \in S_{k_1} \setminus S^{\text{sing}}$  such that  $f(s_1) = f(s_0)$ , and hence  $s_1 = \gamma \cdot s_0$  for some  $\gamma \in \Gamma$ .

It follows that the K-analytic sets  $S_{k_1}$  and  $\gamma \cdot S_{k_0}$  intersect in a nonsingular point of *S* ∩ *D*<sup>*n*</sup> and thus *S*<sub>*k*1</sub></sub> ∩ *D*<sup>*n*</sup> =  $\gamma$  · *S*<sub>*k*0</sub></sub> ∩ *D*<sup>*n*</sup>, and so

$$
S_{k_1} = \gamma \cdot S_{k_0} \quad \text{and} \quad f(S_{k_1}) = f(S_{k_0}).
$$

[\(7\)](#page-8-1) follows. This finishes the proof of the claim and of the statement (iv).  $\Box$ 

Now, for any  $i \in I$  consider

$$
Z_{ij} := f_i(S_j)
$$

which we proved to be Zariski closed irreducible and

$$
f_i^{-1}(Z_{ij}) = \bigcup_{\gamma \in \Gamma_i} \gamma \cdot S_j.
$$

Since by assumption  $\bigcap_{l \in I} \Gamma_l$  is trivial, for some chain  $I_1 \subseteq I$  extending  $I_0$  we have

$$
S_j = \bigcap_{l \in I_1} f_l^{-1}(Z_{lj}),
$$

proving (iii).  $\Box$ 

**Definitions 3.8.** For an *m*-tuple *u* in  $\mathbb{U}$  and a subfield k ⊂ K the *locus* of *u* over k, written  $loc(u/k)$ , is the minimum  $L_{glob}(k)$ -primitive containing *u*.

We say an  $L_{\text{glob}}(k)$ -primitive *S* is k-*irreducible* if *S* cannot be represented as  $S_1 \cup S_2$  with  $L_{\text{glob}}(k)$ -primitives  $S_1$  and  $S_2$ , both distinct from *S*.

**Remark.** Note that  $\text{loc}(u/k)$  is k-irreducible.

### 4. *L*glob-structures

4.1. Recall, see [\[Pillay and Steinhorn 1986\]](#page-17-7), that an o-minimal structure R is a pregeometry, i.e., has a well-behaved dependence relation, and one can define a notion of a (combinatorial) dimension cdim *A* of a subset  $A \subseteq R$  (not to be confused with K-dimension) as the cardinality of a maximal independent subset of *A*.

In particular, cdim  $R_0 = 0$  for the prime model  $R_0$  of the theory Th( $\mathbb{R}_{An}$ ). And, if card  $R = \kappa > \aleph_0$ , then cdim  $R = \kappa$ .

This has the following relationship with dim<sub>R</sub>  $S$  (the "real" dimension in the sense of [\[Peterzil and Starchenko 2008\]](#page-17-1)) for an R-manifold  $S \subseteq \mathbb{R}^m$  defined over a set *C*: assuming cdim  $R/C \ge m$ , for any  $d \in \mathbb{N}$ ,

 $\dim_R S \ge d$  if and only if there exists  $\langle s_1, \ldots, s_m \rangle \in S$  such that  $\text{cdim}(\{s_1, \ldots, s_m\}/C) > d.$  (8)

Recall that if *S* is K-analytic, then

<span id="page-9-1"></span><span id="page-9-0"></span>
$$
\dim S = \frac{1}{2} \dim_{\mathsf{R}} S. \tag{9}
$$

**Definition 4.2.** Given R ∈ Mod<sub>An</sub>, define  $\mathfrak{U}(R)$  to be the structure with universe  $\mathbb{U}(K)$ (K the field  $R + iR$ ) in the language of  $L_{\text{glob}}(k_0)$ -primitives.

Define  $\mathfrak U$  to be the class of all structures of the form  $\mathfrak U(R)$ .

<span id="page-10-0"></span>**Fact 4.3.** *For* K *an algebraically closed field, consider the structure*  $\mathbb{X}(K)_{Zar, ko}$ *on an infinite algebraic variety*  $\mathbb{X}(\mathbf{K})$  *over*  $\mathbf{k}_0$  *equipped with relations*  $Z \subseteq \mathbb{X}^m$ , *all Zariski closed Z over*  $k_0$ *.* 

*The field structure* K *together with its*  $k_0$ -points is  $\varnothing$ -interpretable in  $\mathbb{X}(K)_{Zar,k_0}$ .

This is well-known. A detailed proof is given in [\[Bays 2009,](#page-17-8) Appendix A].

**Proposition 4.4.**  $\mathfrak{U}(\mathsf{R})$  *interprets in the first order way over*  $\emptyset$  *the field* K, *points of the subfield*  $k_0$  *and all the maps*  $f_i : \mathbb{U} \to \mathbb{X}_i(K)$ *.* 

*Proof.* First note that the equivalence relations on  $\mathbb{U}$ ,

$$
E_i(u_1, u_2) := f_i(u_1) = f_i(u_2),
$$

are  $L_{\text{glob}}(k)$ -primitives. Thus the sets  $\mathbb{X}_i(K)$  are  $\emptyset$ -interpretable as  $\mathbb{U}/E_i$  together with the maps  $f_i: \mathbb{U} \to \mathbb{U}/E_i$ .

Given a Zariski closed  $Z_i \subset \mathbb{X}_i^m$  we have  $Z_i^{\mathbb{U}}$  $\mathcal{L}_i$ <sup>∪</sup> :=  $f_i^{-1}(Z_i)$ , a definable subset of  $\mathbb{U}^m$ . Thus  $Z_i = f_i(Z_i^{\mathbb{U}})$  $\binom{[1]}{i}$  are  $\emptyset$ -interpretable.

Now the structure  $\mathbb{X}_0(K)_{Zar,k_0}$  equipped with relations  $Z \subseteq \mathbb{X}_0^m$ , for all Zariski closed *Z* over  $k_0$ , is  $\emptyset$ -interpretable.

It follows from [Fact 4.3](#page-10-0) that one can interpret K and  $k_0$ -points in  $\mathfrak{U}(R)$ .  $\Box$ 

Corollary 4.5. *Any L*glob(K)*-primitive is type-definable in* U(R) *using parameters.*

Below U is always the universe  $U(K)$  for some  $\mathfrak{U}(R)$  in  $\mathfrak{U}$ .

<span id="page-10-1"></span>**Lemma 4.6.** If k is algebraically closed then  $\text{loc}(u/\textbf{k})$ , the locus of *u* over k, is K*-analytically irreducible.*

*If*  $S \subseteq \mathbb{U}^m$  *is an*  $L_{\text{glob}}(k)$ *-primitive and* K-*analytically irreducible, then*  $S =$  $loc(u/k)$ , *for some*  $u \in S$ .

*Proof.* The first statement is just a corollary to [Proposition 3.7\(](#page-6-1)iv).

Let dim  $S = d$ . By [\(8\)](#page-9-0) and [\(9\)](#page-9-1) there is a  $u \in S$  such that  $u = \langle s_1, \ldots, s_m \rangle$ with cdim( $s_1, \ldots, s_m/k$ ) = 2*d*. Then loc( $u/k$ )  $\subseteq$  *S* and, again by [\(8\)](#page-9-0) and [\(9\),](#page-9-1)  $\dim \text{loc}(u/k) > d$ . Since *S* is K-analytically irreducible,  $\text{loc}(u/k) = S$ .

**Lemma 4.7.** *Let S* ⊂  $\mathbb{U}^m$  *be an*  $L_{\text{glob}}(k)$ *-primitive*, dim *S* = *d. Assume also* cdim(R/k)  $\geq$   $\aleph_0$ *. Then, for any family*  $L_{j \in J}$  *of*  $L_{\text{glob}}(k)$ *-primitives such that* dim  $L_j < d$ , for all  $j \in J$ ,

<span id="page-10-3"></span>
$$
S \setminus \bigcup_{j \in J} L_j \neq \varnothing. \tag{10}
$$

<span id="page-10-2"></span>*Proof. S* contains a point  $u = \langle s_1, \ldots, s_m \rangle$  with  $cdim(s_1, \ldots, s_m/k) = 2d$ , which is not a point of any  $L_i$ . . □

Proposition 4.8 (the projection of an irreducible analytic set). *Let* k *be algebraically closed*, cdim(R/k) ≥  $\aleph_0$ *. Let*  $T \subseteq \bigcup^{m+1}$  *be an*  $L_{glob}(k)$ *-primitive* K*-analytically irreducible, and let*  $p: \mathbb{U}^{m+1} \to \mathbb{U}^m$  *be the projection onto the first m coordinates. Then there are an*  $L_{\text{glob}}(k)$ -primitive  $S \subseteq \bigcup^m$ , *an*  $i_0 \in I$  *and a Zariski closed subset*  $R \subseteq \mathbb{X}_{i_0}^m$  *defined over* k *such that* dim  $R <$  dim *S and* 

<span id="page-11-2"></span><span id="page-11-1"></span>
$$
S \setminus f_{i_0}^{-1}(R) \subseteq p(T) \subseteq S. \tag{11}
$$

*Moreover, for any*  $d \leq \dim T - \dim S$ , *there is a Zariski closed*  $R_d \subset \mathbb{X}_{i_0}^m$  *defined over* k *such that*  $R \subseteq R_d$ , dim  $R_d$  < dim *S* and

$$
p(T) \setminus f_{i_0}^{-1}(R_d) = p_d(T), \tag{12}
$$

*where*

$$
\mathbf{p}_d(T) := \{ s \in \mathbf{p}(T) : \dim(\mathbf{p}^{-1}(s) \cap T) \leq d \}.
$$

*Proof.* By [Lemma 4.6,](#page-10-1)

$$
T = \ln(\bar{u}v/\mathrm{k})
$$

for some  $\bar{u}v \in \mathbb{U}^{m+1}$ ,  $(\bar{u} \in \mathbb{U}^m, v \in \mathbb{U})$ .

Let

$$
S = \ln(\bar{u}/k).
$$

By definition

$$
S = \bigcap_{i \in I_0} f_i^{-1}(Z_i), \quad T = \bigcap_{i \in I_0} f_i^{-1}(W_i)
$$

for some Zariski closed  $Z_i \subseteq \mathbb{X}_i^m$ ,  $W_i \subseteq \mathbb{X}_i^{m+1}$  over k and we apply the same notation to the projection map  $p: \mathbb{X}_i^{m+1} \to \mathbb{X}_i^m$ . By [Proposition 3.7\(](#page-6-1)iv) we may assume that all the  $Z_i$  and  $W_i$  are irreducible and of dimension equal to that of  $S$  and  $T$ respectively,

$$
f_i(S) = Z_i
$$
 and  $f_i(T) = W_i$  for all  $i \in I_0$ ,

and  $f_i(\bar{u})$  is a generic point of  $Z_i$ ,  $f_i(\bar{u}) \cap f_i(v)$  a generic point of  $W_i$ .

By basic algebraic geometry,  $p(W_i)$  is a constructible irreducible set and  $f_i(\bar{u})$ its generic point, and thus the Zariski closure of  $p(W_i)$  is equal to  $Z_i$ . That is, there are Zariski closed  $R_i \subset Z_i$  over k such that

<span id="page-11-0"></span>
$$
Z_i = p(W_i) \cup R_i \quad \text{and} \quad \dim R_i < \dim Z_i. \tag{13}
$$

Since

$$
\boldsymbol{p}\big(\bigcap_{i\in I} f_i^{-1}(W_i)\big) \subseteq \bigcap_{i\in I_0} \boldsymbol{p}(f_i^{-1}(W_i)) = \bigcap_{i\in I_0} f_i^{-1}(\boldsymbol{p}(W_i)),
$$

we have

$$
p(T)\subseteq S.
$$

Let *i*<sub>0</sub> be an element of *I*<sub>0</sub> and, for simplicity of notation,  $f := f_{i_0}$ , so  $f(T) = W$ , *f* (*S*) = *Z* and *Z* =  $p(W)$  ∪ *R* as in [\(13\).](#page-11-0)

By the basic assumptions, given arbitrary  $t \in T$ ,  $s = p(t)$ , for some R-definable open neighbourhood  $U \subset \mathbb{U}^m$  of *s* and open neighbourhood  $U \times V \subset \mathbb{U}^{m+1}$  of *t*, with  $V \subset \mathbb{U}$ , the restriction  $f_U: U \to \mathbb{X}^m$  and  $f_{U \times V}: U \times V \to \mathbb{X}^{m+1}$  are injective.

Thus we obtain the commutative diagram

<span id="page-12-0"></span>
$$
T \cap (U \times V) \xrightarrow{f_{U \times V}} W
$$
  
\n
$$
\downarrow p
$$
  
\n
$$
S \cap U \xrightarrow{f_U} p(W) \supseteq Z \setminus R
$$
\n(14)

By comparing images of the downward-pointing arrows we conclude

$$
S \cap U \supseteq p(T \cap (U \times V)) \supseteq f_U^{-1}(Z \setminus R).
$$

Note that

$$
f_U^{-1}(Z \setminus R) = S \cap U \setminus f^{-1}(R),
$$

and the choice of *R* is independent on the choice of *U*. Hence  $p(T) \supseteq S \setminus f^{-1}(R)$ and [\(11\)](#page-11-1) is proved.

To prove the second statement recall another basic fact of algebraic geometry: there is a Zariski closed  $R_d \subset \mathbb{X}^m$  such that

$$
\boldsymbol{p}(W)\setminus R_d=\boldsymbol{p}_d(W):=\{z\in\boldsymbol{p}(W):\dim\boldsymbol{p}^{-1}(z)\cap W\leq d\}.
$$

Now repeat the argument with the diagram [\(14\)](#page-12-0) with  $p_d(W)$  in place of  $p(W)$ . This proves [\(12\).](#page-11-2)  $\Box$ 

Recall the notion of an *analytic Zariski structure*, see [\[Zilber 2010;](#page-17-9) [2017\]](#page-17-3).

**Corollary 4.9.** Assuming that k is algebraically closed and  $\text{cdim}(R/k) \geq \aleph_0$ , the *structure* U(R) *in the language L*glob(k) *is an analytic Zariski structure.*

*Proof.* The statement of [Proposition 4.8](#page-10-2) asserts that the structure on  $\mathbb U$  determined by *L*glob(k)-primitives satisfies the key axioms (WP) and (FC) of the definition of an analytic Zariski structure. The rest of the axioms follow easily from definitions and basic algebraic geometry. □

The next statements and their proofs are similar to one of the main statements of [\[Zilber 2017\]](#page-17-3) for analytic Zariski structures. More early work of M. Gavrilovich also proves this for complex analytic Zariski structures.

<span id="page-12-1"></span>Proposition 4.10. *U* is  $\aleph_0$ -homogeneous over algebraically closed subfields:

 $Suppose \mathfrak{U}(R_1), \mathfrak{U}(R_2) \in \mathfrak{U}, R_0, R_1, R_2 \in Mod_{An}, R_0 \subseteq R_1, R_0 \subseteq R_1.$ 

*Let*  $k \subseteq K_0 = K(R_0)$  *be an algebraically closed subfield such that* cdim( $R_1/k \geq R_0$ *and* cdim(R<sub>2</sub>/k)  $\geq$   $\aleph_0$ .

*Then for any*  $\bar{u}_1 \in \mathbb{U}^m(K_1)$ ,  $\bar{u}_2 \in \mathbb{U}^m(K_2)$ , and  $w_1 \in \mathbb{U}(K_1)$  *such that* 

$$
loc(\bar{u}_1/k) = loc(\bar{u}_2/k)
$$

*there is*  $w_2 \in \mathbb{U}(\mathbf{K}_2)$  *such that* 

$$
loc(\bar{u}_1w_1/k) = loc(\bar{u}_2w_2/k).
$$

*Proof.* Let  $S = loc(\bar{u}_1/k)$  and  $T = loc(\bar{u}_1w_1/k)$ . Note that  $\bar{u}_1$  and  $\bar{u}_2$  are nonsingular points of *S* and  $\bar{u}_1w_1$  a nonsingular point of *T*, by [Remark 3.6.](#page-6-2)

Let  $d := \dim p^{-1}(\bar{u}_1) \cap T$  be the dimension of the fibre over  $\bar{u}_1$ , and the subset  $p_d(T)$  be as defined in [Proposition 4.8.](#page-10-2) Note that by the dimension theorem of algebraic geometry dim  $p_d(T) = \dim S$ , since dim  $p_d(W) = \dim S$  (in the notation of [Proposition 4.8\)](#page-10-2). Note also that

$$
\dim T = \dim S + d
$$

since respective equality holds for the dimensions of *W* and *Z*.

It follows that  $p_d(T)$  contains all generic over k points of *S*,  $\bar{u}_2 \in p_d(T)$  and thus

$$
\dim \mathbf{p}^{-1}(\bar{u}_2) \cap T = d.
$$

Thus there exists  $w_2$  such that  $\bar{u}_2 w_2 \in p^{-1}(\bar{u}_2) \cap T$  and  $\dim(w_2/\bar{u}_2 k) = d$ . Since *T* is k-irreducible,

$$
T = \ln(\bar{u}_2 w_2 / k). \qquad \qquad \Box
$$

**Lemma 4.11.** Let  $S \subseteq \bigcup^{m+n}$  be an  $L_{\text{glob}}(k)$ -primitive and  $\bar{u} \in \bigcup^{m}$ . Let

$$
S_{\overline{u}} = \{ \overline{v} \in \mathbb{U}^n : \overline{u} \overline{v} \in S \}.
$$

*Then*  $S_{\bar{u}}$  *is an*  $L_{\text{glob}}(k')$ -primitive, for k', extension of k by coordinates of  $f_i(\bar{u})$ ,  $i \in I$ .

*Proof.* By definition  $S = \bigcap_{i \in I} f_i^{-1}(Z_i)$  for  $Z_i \subseteq \mathbb{X}_i^{m+n}$  $\frac{m+n}{i}$ . Let, for  $z_i \in \mathbb{X}_i^m(\mathbf{K})$ ,

$$
Z_{i,z_i} = \{x_i \in \mathbb{X}_i^n(\mathbf{K}) : z_i x_i \in Z_i\}.
$$

Thus

$$
S_{\overline{u}} = \left\{ \overline{v} \in \mathbb{U}^n : \bigwedge_{i \in I} f_i(\overline{u}) f_i(\overline{v}) \in Z_i \right\} = \bigcap_{i \in I} f_i^{-1}(Z_{i, f_i(\overline{u})}). \qquad \qquad \square
$$

<span id="page-13-0"></span>**Corollary 4.12.** Assuming  $k_0$  *is algebraically closed*,  $\mathfrak{U}$  *is*  $\aleph_0$ -homogenous over  $\varnothing$ *and over small submodels: Using the notation of [Proposition 4.10](#page-12-1), let*  $V = \emptyset$  *or*  $V = \mathbb{U}(\mathbf{K}_0)$  *and assume* cdim( $\mathbb{R}_i/\mathbb{K}_0$ )  $\geq \aleph_0$  *for i* = 1, 2*.* 

*Then, for any*  $\bar{u}_1 \in \mathbb{U}^m(K_1)$ ,  $\bar{u}_2 \in \mathbb{U}^m(K_2)$ ,  $w_1 \in \mathbb{U}^m(K_1)$  *such that* 

$$
tp(\bar{u}_1/V) = tp(\bar{u}_2/V)
$$

*there is*  $w_2 \in \mathbb{U}^m(\mathbf{K}_2)$  *such that* 

$$
tp(\bar{u}_1w_1/V) = tp(\bar{u}_2w_2/V),
$$

*where* tp *is the quantifier-free type of the form* [\(10\)](#page-10-3)*.*

*Proof.* For the language without parameters use [Proposition 4.10](#page-12-1) with  $k = k_0$ . Over the submodel use the statement of [Proposition 4.10](#page-12-1) with  $k = K_0$ .

**Lemma 4.13.** *The structure*  $\mathfrak{U}(\mathsf{R}_0)$ *, for*  $\mathsf{R}_0$  *the prime model of the o-minimal theory* Th( $\mathbb{R}_{An}$ ), *is a prime model of*  $\mathfrak{U}$ *, that is, there is an*  $L_{\text{glob}}$ *-embedding*  $\mathfrak{U}(\mathsf{R}_0) \subseteq \mathfrak{U}(\mathsf{R})$ *for any*  $R \in Mod_{An}$ *.* 

*Proof.* An embedding  $R_0 \le R$  induces an embedding  $\mathfrak{U}(R_0) \subseteq \mathfrak{U}(R)$ .

**Theorem 4.14.** *Suppose*  $k_0$  *is algebraically closed. Let*  $R_1$ ,  $R_2 \in Mod_{An}$  *and*  $\aleph_0 \leq \text{cdim } R_1 = \text{cdim } R_2 \leq \aleph_1$ . *Then* 

$$
\mathfrak{U}(\mathsf{R}_1)\cong \mathfrak{U}(\mathsf{R}_2).
$$

*In particular,*  $\mathfrak U$  *is categorical in cardinality*  $\aleph_1$ *.* 

*Proof.* First consider the case when cdim  $R_1 =$ cdim  $R_2 = \aleph_0$ . Then  $\mathfrak{U}(R_1)$  and  $\mathfrak{U}(R_2)$ are countable and so we can construct an isomorphism via a countable back-and-forth process using [Corollary 4.12,](#page-13-0) where  $K_0 = K(R_0)$ ,  $R_0$  is the prime model of  $Th(\mathbb{R}_{An})$ .

In the case when cdim  $R_1 =$ cdim  $R_2 = \aleph_1$ , we represent by

$$
R_1 = \bigcup_{\alpha < \aleph_1} R_{1,\alpha} \quad \text{and} \quad R_2 = \bigcup_{\alpha < \aleph_1} R_{2,\alpha}
$$

the ascending chains of elementary extensions, cdim( $R_{i,\alpha+1}/R_{i,\alpha}$ ) =  $\aleph_0$  for  $i = 1, 2$ , and  $R_{1,0} = R_{2,0}$  are prime models. Then the required isomorphism is constructed by induction on  $\alpha$ : Assume that R<sub>1, $\alpha \cong R_{2,\alpha}$ , and even that both are equal to a R $_{\alpha}$ . Now</sub> apply [Corollary 4.12](#page-13-0) with  $K_0 = K(R_\alpha)$ ,  $K_1 = K(R_{1,\alpha+1})$ , and  $K_2 = K(R_{2,\alpha+1})$ . This again produces an isomorphism  $R_{1,\alpha+1} \cong R_{2,\alpha+1}$  by the back-and-forth procedure.

For limit indices the extension of isomorphism is obvious.  $\Box$ 

### 5. The one-dimensional case

**5.1.** Let  $P(\mathbb{U})$  stand for the power-set of  $\mathbb{U}$ . Define a closure operator cl:  $P(\mathbb{U}) \rightarrow$  $P(\mathbb{U})$  by the condition

 $u \in \text{cl}(\overline{w})$  if and only if dim  $\text{loc}(u\overline{w}/k) = \text{dim} \text{loc}(\overline{w}/k)$ 

for  $\overline{w} \subset \mathbb{U}$  finite. And

$$
\mathrm{cl}(W) = \bigcup \{ \mathrm{cl}(\overline{w}) : w \subseteq_{\mathrm{fin}} W \}
$$

<span id="page-14-0"></span>for *W* infinite.

**Lemma 5.2.** *Suppose*  $W \in P(\mathbb{U})$  *and*  $cl(W) = W$ *. Then, for any*  $i \in I$ *, the subset*  $f_i(W) \subset X_i(K)$  *is closed under* acl, *the algebraic closure in the sense of fields.* 

*There is an algebraically closed subfield*  $L = L_W \subseteq K$ .

$$
f_i(W) = \mathbb{X}_i(L) \quad \text{for all } i \in I.
$$

*Proof.* Let  $\overline{w} \in W^n$  and  $f_i(\overline{w}) = \overline{x} \in \mathbb{X}_i^n(K)$ . Let  $y \in \mathbb{X}_i(K)$  such that  $y \in \text{acl}(\overline{x})$ , where acl is over the base field k. Thus, for

$$
X = \ln(\bar{x}/k), \quad Y = \ln(\bar{x}y/k)
$$

we have dim  $X = \dim Y$ . Hence, since  $f_i$  is a local biholomorphism, for any  $v \in f_i^{-1}(y)$ , we have

$$
\dim \operatorname{loc}(\overline{w}/k) = \dim \operatorname{loc}(\overline{w}v/k),
$$

which implies  $v \in \text{cl}(\overline{w}) \subset W$ . This proves that  $f_i(W)$  is closed under acl and hence  $f_i(W) = \mathbb{X}_i(L)$  for some algebraically closed field  $L = L_{W,i}$ .

We claim that  $L_{W,i} = L_{W,i}$  for any  $i, j \in I$ . Indeed, consider the direct product  $\mathbb{U} \times \mathbb{U}$  instead of  $\mathbb{U}$  and

$$
f_i \times f_j : \mathbb{U} \times \mathbb{U} \to X_i \times X_j
$$

instead of  $f_i$  and  $f_j$ , which still are local biholomorphisms onto smooth algebraic varieties. Clearly,  $cl(W \times W) = W \times W$  for cl in the product structure and

$$
\mathbb{X}_{i}(L_{W,ij}) \times \mathbb{X}_{j}(L_{W,ij}) = (f_i \times f_j)(W \times W) = \mathbb{X}_{i}(L_{W,i}) \times \mathbb{X}_{j}(L_{W,j}),
$$

that is,  $L_{W,ij} = L_{W,i} = L_{W,j} = L$ .

5.3. Recall (see [\[Bays et al. 2014\]](#page-17-2)) that one calls (U, cl) a *quasiminimal pregeometry structure* if the following holds:

- QM1 The pregeometry is determined by the language. That is, if  $tp(v\overline{w}) = tp(v'\overline{w}')$ then  $v \in \text{cl}(\overline{w})$  if and only if  $v' \in \text{cl}(\overline{w}')$ .
- $OM2$  U is infinite-dimensional with respect to cl.
- QM3 (Countable closure property) If  $W \subset \mathbb{U}$  is finite then cl(*W*) is countable.
- QM4 (Uniqueness of the generic type) Suppose that  $W, W' \subseteq \mathbb{U}$  are countable subsets,  $\text{cl}(W) = W$ ,  $\text{cl}(W') = W'$  and *W*, *W'* enumerated so that  $\text{tp}(W) = \text{tp}(W')$ . If  $v \in U \setminus W$  and  $v' \in U \setminus W'$  then tp( $Wv$ ) = tp( $W'v'$ ) (with respect to the same enumerations for *W* and *W*′ ).
- QM5 ( $\aleph_0$ -homogeneity over closed sets and the empty set) Let *W*,  $W' \subseteq \mathbb{U}$  be countable closed subsets or empty, enumerated such that  $tp(W) = tp(W')$ , and let  $\overline{w}$ ,  $\overline{w}'$  be finite tuples from U such that tp( $W\overline{w}$ ) = tp( $W'\overline{w}'$ ), and let  $v \in \text{cl}(W\overline{w})$ . Then there is  $v' \in \mathbb{U}$  that  $\text{tp}(\overline{w}vW) = \text{tp}(\overline{w}'v'W')$ .

<span id="page-15-0"></span>**Proposition 5.4.** *Assume that*  $k_0$  *is algebraically closed*, dim  $\mathbb{U}=1$  *and* cdim  $\mathbb{R}\geq\aleph_0$ . *Then* (U(R), cl) *is a quasiminimal pregeometry.*

*Proof.* QM1 is by definition.

QM2 is by the assumption on R.

QM3 follows from the fact that in the language of o-minimal structure acl(*W*) is countable and that  $cl(W) \subseteq \text{acl}(W)$ , by [\(8\)](#page-9-0) and [\(9\).](#page-9-1)

QM4 follows from the fact that  $\mathbb U$  is one-dimensional irreducible and  $v \notin cl(W)$ ,  $v' \notin cl(W').$ 

QM5. If *W* and *W*′ are empty then the required follows from [Proposition 4.10](#page-12-1) when k = k<sub>0</sub>. In the nonempty case we may assume by  $\aleph_0$ -homogeneity over  $\varnothing$ that  $W = W'$ . Now [Lemma 5.2](#page-14-0) allows us to replace tp( $\overline{w}W$ ) and tp( $\overline{w}'W'$ ) by  $\text{loc}(\overline{w}/L_W)$  and  $\text{loc}(\overline{w}/L_W)$ , and  $\text{tp}(\overline{w}vW)$  and  $\text{tp}(\overline{w}'v'W')$  by  $\text{loc}(\overline{w}v/L_W)$  and  $\ln\left(\frac{\overline{w}'v'}{L_W}\right)$ , respectively.

The existence of v' follows from [Proposition 4.10](#page-12-1) when  $k = L_W$ .

Now we recall that given a quasiminimal pregeometry structure  $(U, cl)$  one can associate with it an abstract elementary class containing the structure, see [\[Bays et al.](#page-17-2) [2014,](#page-17-2) 2.2–2.3], or more generally [\[Zilber 2017,](#page-17-3) 2.17–2.18]. Call this class  $\mathfrak{U}_{\text{glob}}$ .

By definition, one starts with a structure  $\mathbb{U} = \mathfrak{U}(R)$  for a R of cardinality  $\aleph_1$ . Define  $\mathfrak{U}_{\text{glob}}^-$  to be the class of all cl-closed substructures of  $\mathbb U$  with embedding  $\prec$  of structures defined as a *closed* embedding, that is,  $\mathbb{U}_1 \lt \mathbb{U}_2$  if and only if  $\mathbb{U}_1 \subset \mathbb{U}_2$ and, for finite  $W \subset \mathbb{U}_1$ ,

$$
cl_{\mathbb{U}_1}(W) = cl_{\mathbb{U}_2}(W).
$$

Now define  $\mathfrak{U}_{\text{glob}}$  to be the smallest class which contains  $\mathfrak{U}_{\text{glob}}^-$  and is closed under unions of ≺-chains.

### <span id="page-16-0"></span>Lemma 5.5.  $\mathfrak{U} \subset \mathfrak{U}_{\mathrm{olob}}$ .

*Proof.* We need to show that  $\mathbb{U}(R) \in \mathfrak{U}_{\text{glob}}$ , for any  $R \in \text{Mod}_{An}$ .

We prove by induction on  $\kappa = \text{card } R \ge \aleph_1$  that there is a  $\kappa$ -chain

$$
\{\mathbb U_\lambda\in \mathfrak{U}_{\text{glob}}:\lambda\in \kappa\}\quad \text{such that}\quad \bigcup_{\lambda\in \kappa}\mathbb U_\lambda=\mathbb U(R).
$$

Indeed, R can be represented as

$$
R=\bigcup_{\lambda<\kappa}R_\lambda
$$

for an elementary chain

$$
\{R_{\lambda} \in : \lambda \in \kappa\}, \quad \text{card } R_{\lambda} = \text{card } \lambda + \aleph_0, \quad R_{\lambda} \prec R_{\mu} \qquad \text{for } \lambda < \mu.
$$

Hence

$$
\mathbb{U}_{\lambda} := \mathfrak{U}(R_{\lambda}) \in \mathfrak{U}_{glob}
$$

which proves the inductive step and the lemma.  $\Box$ 

**Theorem 5.6.** Assuming  $\dim_K \mathbb{U} = 1$ , the class  $\mathfrak{U}_{\text{glob}}$  is an abstract elementary class *extending* U*.* Uglob *is categorical in uncountable cardinals and can be axiomatised by an*  $L_{\omega_1,\omega}(Q)$ -sentence.

*Proof.* The first part is by [Proposition 5.4](#page-15-0) and [Lemma 5.5.](#page-16-0) The second part is the main result, Theorem 2.3, of [\[Bays et al. 2014\]](#page-17-2).  $\Box$ 

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