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SOME THEOREMS ON GENERALIZED DEDEKIND SUMS

L. CARLITZ

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1. Introduction. Using a method developed by Rademacher [5], Apostol [1] has proved a transformation formula for the function

$$(1.1) \quad G_p(x) = \sum_{m, n=1}^{\infty} n^{-p} x^{mn} \quad (|x| < 1),$$

where p is a fixed odd integer > 1 . The formula involves the coefficients

$$(1.2) \quad c_r(h, k) = \sum_{\mu \pmod{k}} P_{p+1-r}\left(\frac{\mu}{k}\right) P_r\left(\frac{h\mu}{k}\right) \quad (0 \leq r \leq p+1),$$

where $(h, k) = 1$, the summation is over a complete residue system \pmod{k} , and $P_r(x) = \bar{B}_r(x)$, the Bernoulli function.

We shall show in this note that the transformation formula for (1.1) implies a reciprocity relation involving $c_r(h, k)$, which for $r = p$ reduces to Apostol's reciprocity theorem [1, Th. 1; 2, Th. 2] for the generalized Dedekind sum $c_p(h, k)$. In addition, we prove some formulas for $c_r(h, k)$ which generalize certain results proved by Rademacher and Whiteman [6]. Finally we derive a representation of $c_r(h, k)$ in terms of so-called "Eulerian numbers".

2. Some preliminaries. It will be convenient to recall some properties of the Bernoulli function $P_r(x)$; by definition, $P_r(x) = B_r(x)$ for $0 \leq x < 1$, and $P_r(x+1) = P_r(x)$. Also we have the formulas

$$(2.1) \quad \sum_{r=0}^{k-1} P_r\left(t + \frac{r}{k}\right) = k^{1-m} P_r(kt), \quad P_r(-x) = (-1)^r P_r(x).$$

It follows from the second of (2.1) that $c_r(h, k) = 0$ for p even and $0 \leq r \leq p+1$. We have also

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$$(2.2) \quad c_0(h, k) = c_{p+1}(h, k) = k^{-p} B_{p+1}$$

provided $(h, k) = 1$. Further, it is clear from the second of (2.1) that

$$(2.3) \quad c_r(-h, k) = (-1)^r c_r(h, k).$$

Now as in [5, 321] put $x = e^{2\pi i \tau}$,

$$\tau = \frac{iz + h}{k}, \quad \tau' = \frac{iz^{-1} + h'}{k},$$

so that, on eliminating z , we get

$$(2.4) \quad \tau' = \frac{h'\tau + k'}{k\tau - h} \quad (hh' + kk' + 1 = 0);$$

thus (2.4) is a unimodular transformation. Now Apostol's transformation formula [1, Th. 2] reads (in our notation)

$$\begin{aligned} G_p(e^{2\pi i \tau}) &= (iz)^{p-1} G_p(e^{2\pi i \tau'}) - \frac{1}{2} \left(\frac{2\pi z}{k}\right)^p \frac{B_{p+1}}{(p+1)!} \\ &+ \frac{i^{p-1}}{2z} \left(\frac{2\pi}{k}\right)^p \frac{B_{p+1}}{(p+1)!} + \frac{(2\pi i)^p}{2 \cdot p!} c_p(h, k) \\ &+ \frac{(2\pi)^p z^{p-1}}{2(p+1)!} \sum_{r=0}^{p-2} \binom{p+1}{r+1} e^{\pi i(r-1)/2} z^{-r} \sum_{\mu=1}^k P_{p-r}\left(\frac{h'\mu}{k}\right) P_{r+1}\left(\frac{\mu}{k}\right). \end{aligned}$$

Making use of (1.2), (2.2), and (2.3), we easily verify that this result can be put in the form

$$(2.5) \quad G_p(e^{2\pi i \tau}) = (k\tau - h)^{p-1} G_p(e^{2\pi i \tau'}) + \frac{(2\pi i)^p}{2(p+1)!} f(h, k; \tau),$$

where

$$(2.6) \quad f(h, k; \tau) = \sum_{r=0}^{p+1} \binom{p+1}{r} (k\tau - h)^{p-r} c_r(h, k).$$

We remark that (2.6) can be written in the symbolic form

$$(2.7) \quad (k\tau - h) f(h, k; \tau) = (k\tau - h + c(h, k))^{p+1},$$

where it is understood that after expanding the right member of (2.7) by the binomial theorem, $c^r(h, k)$ is replaced by $c_r(h, k)$.

We shall require an explicit formula for $f(0, 1; \tau)$. Since, by (1.2),

$$c_r(0, 1) = P_{p+1-r}(0) P_r(0) = B_{p+1-r} B_r,$$

it is clear that (2.6) implies

$$(2.8) \quad f(0, 1; \tau) = \frac{1}{\tau} \sum_{r=0}^{p+1} \binom{p+1}{r} B_{p+1-r} B_r \tau^{p+1-r} = \frac{1}{\tau} (B + \tau B)^{p+1}.$$

If in (2.4) we replace τ by $-1/\tau$, then τ' becomes

$$(2.9) \quad \tau^* = \frac{-k'\tau + h'}{h\tau + k},$$

and (2.5) becomes

$$(2.10) \quad G_p(e^{-2\pi i/\tau}) = \left(\frac{h\tau + k}{\tau}\right)^{p-1} G_p(e^{2\pi i\tau^*}) + \frac{(2\pi i)^p}{2(p+1)!} f\left(h, k; -\frac{1}{\tau}\right).$$

By (2.5) and (2.8) we have

$$(2.11) \quad G_p(e^{2\pi i\tau}) = \tau^{p-1} G_p(e^{-2\pi i/\tau}) + \frac{(2\pi i)^p}{2\tau(p+1)!} (B + \tau B)^{p+1},$$

and by (2.5) and (2.9),

$$(2.12) \quad G_p(e^{2\pi i\tau}) = (h\tau + k)^{p-1} G_p(e^{2\pi i\tau^*}) + \frac{2\pi i}{2(p+1)!} f(-k, h; \tau).$$

Comparison of (2.10), (2.11), (2.12) yields

$$f(-k, h; \tau) = \tau^{p-1} f\left(h, k; -\frac{1}{\tau}\right) + \frac{1}{\tau} (B + \tau B)^{p+1},$$

or with τ replaced by $-1/\tau$,

$$(2.13) \quad f(h, k; \tau) = \tau^{p-1} f\left(-k, h; -\frac{1}{\tau}\right) + \frac{1}{\tau}(B + \tau B)^{p+1}.$$

(For the above, compare [3, pp. 162-163]).

3. The main results. In (2.7) replace h, k, τ by $-k, h, -1/\tau$ respectively; we get

$$\frac{k\tau - h}{\tau} f\left(-k, h; -\frac{1}{\tau}\right) = \left(\frac{k\tau - h}{\tau} + c(-k, h)\right)^{p+1}.$$

By (2.3), it is clear that (2.13) becomes

$$(3.1) \quad \tau(k\tau - h + c(h, k))^{p+1} \\ = (\tau c(k, h) - \tau k + h)^{p+1} + (k\tau - h)(B + \tau B)^{p+1}.$$

Comparison of the coefficients of τ^{r+1} in both members of (3.1) leads immediately to:

THEOREM 1. For p odd > 1 , $0 \leq r \leq p$,

$$(3.2) \quad \binom{p+1}{r} k^r (c(h, k) - h)^{p+1-r} = \binom{p+1}{r+1} h^{p-r} (c(k, h) - k)^{r+1} \\ + k B_{p+1-r} B_r - h B_{p-r} B_{r+1}.$$

In the next place, if for brevity we put $w = k\tau - h$, then (3.1) becomes

$$(3.3) \quad k^p (w + h)(w + c(h, k))^{p+1} \\ = ((w + h)c(k, h) - wk)^{p+1} + w(Bk + (w + h)B)^{p+1}.$$

We now compare coefficients of w^{r+1} in both members of (3.3); a little care is required in connection with the extreme right member. We state the result as:

THEOREM 2. For p odd > 1 , $0 \leq r \leq p$,

$$(3.4) \quad \binom{p+1}{r+1} h k^p c_{p-r}(h, k) + \binom{p+1}{r} k^p c_{p+1-r}(h, k)$$

$$= \binom{p+1}{r+1} h^{p-r} (c(k, h) - k)^{r+1} c^{p-r}(k, h) + \binom{p+1}{r} (Bk + B'h)^{p+1-r} B'^r,$$

where

$$(Bk + B'h)^{p+1-r} B'^r = \sum_{s=0}^{p+1-r} \binom{p+1-r}{s} B_{p+1-r-s} B_{r+s} k^{p+1-r-s} h^s.$$

For $r = 0$, (3.4) becomes

$$\begin{aligned} & (p+1) h k^p c_p(h, k) + k^p c_{p+1}(h, k) \\ &= (p+1) h^p \{c_{p+1}(k, h) - k c_p(k, h)\} + (p+1) (Bk + Bh)^{p+1}, \end{aligned}$$

which reduces to

$$(3.5) \quad (p+1) \{h k^p c_p(h, k) + k^p h c_p(k, h)\} = (p+1) (Bk + Bh)^{p+1} + p B_{p+1}.$$

This is Apostol's reciprocity theorem.

If we take $r = 1$ in (3.4), we get

$$\begin{aligned} & p \{h^2 k^p c_{p-1}(h, k) - k^2 h^p c_{p-1}(k, h)\} \\ &= -2 \{h k^p c_p(h, k) + p k h^p c_p(h, k)\} + p B_{p+1} + 2 (Bk + B'h)^p B'h. \end{aligned}$$

If in this formula we interchange h and k and add we again get (3.5), while if we subtract we get

$$\begin{aligned} (3.6) \quad & p \{h^2 k^p c_{p-1}(h, k) - k^2 h^p c_{p-1}(k, h)\} \\ &= (p-1) \{h k^p c_p(h, k) - k h^p c_p(k, h)\} - (Bk + Bh)^p (Bk - Bh). \end{aligned}$$

In view of (3.6), it does not seem likely that Theorem 2 will yield a simple expression for

$$h^{r+1} k^p c_{p-r}(h, k) + (-1)^r k^{r+1} h^p c_{p-r}(k, h) \quad (r > 0).$$

We remark that Theorems 1 and 2 are equivalent. Indeed it is evident that

(3.2) is equivalent to (3.1), and (3.4) is equivalent to (3.3); also it is clear that (3.1) and (3.3) are equivalent.

4. Some additional results. We next prove (compare [6, Th. 1]):

THEOREM 3. For $p, q \geq 1, 0 \leq r \leq p + 1$, we have

$$(4.1) \quad c_r(qh, qk) = q^{r-p} c_r(h, k).$$

Note that we now do not assume p odd, $(h, k) = 1$.

To prove (4.1), we have, using (1.2),

$$\begin{aligned} c_r(qh, qk) &= \sum_{\mu \pmod{qk}} P_{p+1-r} \left(\frac{\mu}{qk} \right) P_r \left(\frac{h\mu}{k} \right) \\ &= \sum_{\substack{\nu \pmod{q} \\ \rho \pmod{k}}} P_{p+1-r} \left(\frac{\nu k + \rho}{qk} \right) P_r \left(\frac{h(\nu k + \rho)}{k} \right) \\ &= \sum_{\rho} P_r \left(\frac{h\rho}{k} \right) \sum_{\nu} P_{p+1-r} \left(\frac{\nu}{q} + \frac{\rho}{qk} \right) \\ &= q^{r-p} \sum_{\rho} P_{p+1-r} \left(\frac{\rho}{k} \right) P_r \left(\frac{h\rho}{k} \right) \\ &= q^{r-p} c_r(h, k). \end{aligned}$$

For brevity we define

$$(4.2) \quad b_r(h, k) = (c(h, k) - h)^r = \sum_{s=0}^r (-1)^{r-s} \binom{r}{s} h^{r-s} c_s(h, k),$$

which occurs in Theorem 1. Clearly

$$c_r(h, k) = (b(h, k) + h)^r.$$

THEOREM 4. For $p, q \geq 1, 0 \leq r \leq p + 1$, we have

$$(4.3) \quad b_r(qh, qk) = q^{r-p} b_r(h, k).$$

By (4.1) and (4.2) we have

$$\begin{aligned} b_r(qh, qk) &= \sum_{s=0}^r (-1)^{r-s} \binom{r}{s} (qh)^{r-s} c_s(qh, qk) \\ &= \sum_{s=0}^r (-1)^{r-s} \binom{r}{s} h^{r-s} q^{r-p} c_s(h, k) \\ &= q^{r-p} b_r(h, k). \end{aligned}$$

If we define

$$(4.4) \quad a_r(h, k) = (c(h, k) - h)^r c^{p+1-r}(h, k),$$

which is suggested by Theorem 2, we get:

THEOREM 5. For $p, q \geq 1, 0 \leq r \leq p + 1,$

$$(4.5) \quad a_r(qh, qk) = qa_r(h, k).$$

The proof, which is exactly like the proof of (4.3), will be omitted.

We note that (4.4) implies

$$(4.6) \quad h^r c^{p+1-r}(h, k) = \sum_{s=0}^r (-1)^s \binom{r}{s} a_s(h, k) = (1 - a(h, k))^r.$$

Also using (4.2) and (4.6), we get

$$(4.7) \quad h^{p+1-r} b_r(h, k) = (1 - a(h, k))^{p+1-r} a^r(h, k),$$

and reciprocally from (4.4),

$$(4.8) \quad a_r(h, k) = (b(h, k) + h)^{p+1-r} b^r(h, k).$$

Using $a_r(h, k)$ and $b_r(h, k)$, we can state Theorems 1 and 2 somewhat more compactly.

5. Another property of $c_r(h, k)$. For the next theorem compare [6, Th. 2].

THEOREM 6. For $p \geq 1, 0 \leq r \leq p,$ and q prime, we have

$$(5.1) \quad \sum_{m=0}^{q-1} c_r(h + mk, qk) = (q + q^{1-p}) c_r(h, k) - q^{1-r} c_r(ph, k).$$

By (1.2), the left member of (5.1) is equal to

$$\begin{aligned} & \sum_{m=0}^{q-1} \sum_{\mu=1}^{qk} P_{p+1-r} \left(\frac{\mu}{qk} \right) P_r \left(\frac{(h + mk)\mu}{qk} \right) \\ &= \sum_{\mu=1}^{qk} P_{p+1-r} \left(\frac{\mu}{qk} \right) \sum_{m=0}^{q-1} P_r \left(\frac{h\mu}{qk} + \frac{m\mu}{q} \right) \\ &= \sum_{\mu=1}^{qk} P_{p+1-r} \left(\frac{\mu}{qk} \right) P_r \left(\frac{h\mu}{k} \right) q^{1-r} \\ & \quad + \sum_{\nu=1}^k P_{p+1-r} \left(\frac{\nu}{k} \right) \left\{ q P_r \left(\frac{h\nu}{k} \right) - P_r \left(\frac{qh\nu}{k} \right) q^{1-r} \right\} \\ &= q^{1-r} c_r(qh, qk) + q c_r(h, k) - q^{1-r} c_r(qh, k) \\ &= (q^{1-p} + q) c_r(h, k) - q^{1-r} c_r(qh, k), \end{aligned}$$

by (4.1).

It does not seem possible to frame a result like (5.1) for the expressions $b_r(h, k)$ or $a_r(h, k)$ defined by (4.2) and (4.3).

6. Representation by Eulerian numbers. If $k > 1$, $\rho^k = 1$, $\rho \neq 1$, we define the "Eulerian number" $H_m(\rho)$ by means of [4, p. 825]

$$(6.1) \quad \frac{1 - \rho}{e^t - \rho} = \sum_{m=0}^{\infty} H_m(\rho) \frac{t^m}{m!}.$$

Then it is easily verified that [4, p. 825]

$$k^{m-1} \sum_{r=0}^{k-1} \rho^r B_m \left(\frac{r}{k} \right) = \frac{m}{\rho - 1} H_{m-1}(\rho^{-1}),$$

which may be put in the more convenient form

$$(6.2) \quad k^{m-1} \sum_{r \pmod k} \rho^r P_m \left(\frac{r}{k} \right) = \frac{m}{\rho - 1} H_{m-1}(\rho^{-1}).$$

Now consider the representation (finite Fourier series)

$$(6.3) \quad P_m \left(\frac{r}{k} \right) = \sum_{s=0}^{k-1} A_s \zeta^{-rs} \quad (\zeta = e^{2\pi i/k}).$$

If we multiply both members of (6.3) by ζ^{rt} and sum, we get

$$kA_t = \sum_r \zeta^{rt} P_m \left(\frac{r}{k} \right) = \begin{cases} \frac{mk^{1-m}}{\zeta^t - 1} H_{m-1}(\zeta^{-t}) & (t \neq 0) \\ k^{1-m} B_m & (t = 0), \end{cases}$$

by (6.2) and (2.1). Thus (6.3) becomes

$$(6.4) \quad P_m \left(\frac{\mu}{k} \right) = k^{-m} B_m + mk^{-m} \sum_{s=1}^{k-1} \frac{H_{m-1}(\zeta^{-s})}{\zeta^s - 1} \zeta^{-\mu s}.$$

Thus substituting from (6.4) in (1.2), we get after a little reduction

$$(6.5) \quad c_r(h, k) = \frac{B_{p+1-r} B_r}{k^p} + \frac{r(p+1-r)}{k^p} \sum_{t=1}^{k-1} \frac{H_{p-r}(\zeta^{ht}) H_{r-1}(\zeta^{-t})}{(\zeta^{-ht} - 1)(\zeta^t - 1)}.$$

Thus $c_r(h, k)$ has been explicitly evaluated in terms of the Eulerian numbers. One or two special cases of (6.5) may be mentioned. For $r = p$ we have

$$(6.6) \quad c_p(h, k) = \frac{p}{k^p} \sum_{t=1}^{k-1} \frac{H_{p-1}(\zeta^{-t})}{(\zeta^{-ht} - 1)(\zeta^t - 1)} \quad (p > 1),$$

while for $r = p = 1$ we have

$$\bar{s}(h, k) = \frac{1}{4k} + \frac{1}{k} \sum_{t=1}^{k-1} \frac{1}{(\zeta^{-ht} - 1)(\zeta^t - 1)},$$

where $\bar{s}(h, k) = c_1(h, k)$. Note that $\bar{s}(h, k) = s(h, k) + 1/4$, where $s(h, k)$ is the ordinary Dedekind sum [6]. We also note that (6.4) becomes, for $m = 1$,

$$P_1\left(\frac{\mu}{k}\right) = -\frac{1}{2k} + \frac{1}{k} \sum_{s=1}^{k-1} \frac{\zeta^{-\mu s}}{\zeta^s - 1},$$

which is equivalent to a formula of Eisenstein.

Possibly (6.5) can be used to give a direct proof of Theorem 1 or Theorem 2.

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