

# Pacific Journal of Mathematics

**THE  $H$ -PROBLEM AND THE STRUCTURE OF  $H$ -GROUPS**

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# THE $H_p$ -PROBLEM AND THE STRUCTURE OF $H_p$ -GROUPS

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**1. Introduction.** Let  $G$  be a group,  $p$  a prime, and  $H_p(G)$  the subgroup of  $G$  generated by the elements of  $G$  which do not have order  $p$ . In a research problem in the Bulletin of the American Mathematical Society, one of the authors posed the following problem: is it always true that  $H_p(G) = 1$ ,  $H_p(G) = G$ , or  $[G : H_p(G)] = p$ ? This problem is easily settled in the affirmative for  $p = 2$ , and a similar answer was recently given for  $p = 3$  ([5]). In this paper (Section 2) we give an affirmative answer for the case that  $G$  is finite and not a  $p$ -group. Furthermore (Section 3) we are able to give a rather precise description of the structure of  $G$  in the most interesting case, when  $[G : H_p(G)] = p$ . This structure theorem depends heavily on the deep results of Hall and Higman ([4]) and Thompson ([6]) on finite groups. If  $H (\neq 1)$  is a finite group and there exists a group  $G$  such that  $H_p(G)$  is isomorphic to  $H$ , where  $H_p(G) \neq G$ , then we call  $H$  an  $H_p$ -group; it is seen that  $H_p$ -groups are natural generalizations of "Frobenius groups." By a Frobenius group we mean a finite group  $G$  possessing an automorphism  $\sigma$  of prime order  $p$  such that  $x^\sigma = x$  if and only if  $x = 1$ . It is easy to show that this implies

$$x^{1+\sigma+\dots+\sigma^{p-1}} = x(x^\sigma) \dots (x^{\sigma^{p-1}}) = 1,$$

for all  $x$  in  $G$ . This last equation characterizes  $H_p$ -groups,<sup>1</sup> and as a generalization of Thompson's result ([6]) that Frobenius groups are nilpotent, we show that  $H_p$ -groups are solvable, among other things.

Throughout the paper, if  $B$  is a group,  $A$  a subgroup of  $B$ , then  $N_B(A)$  and  $C_B(A)$  mean, respectively, the normalizer and centralizer of  $A$  in  $B$ . By  $Z(A)$  we mean the center of  $A$ .

**2. The  $H_p$ -problem.** Let  $G$  be a group, and let  $H = H_p(G)$ . Suppose

(1)  $G$  is finite,

(2)  $G$  is not a  $p$ -group,

(3) the index of  $H$  in  $G$  is greater than  $p$ ,

(4)  $G$  is a group of minimal order satisfying (1), (2), (3). Note that every element of  $G$  which is not in  $H$  has order  $p$ .

Let  $q$  be a prime dividing  $[G : 1]$ ,  $q \neq p$ , and let  $Q$  be a Sylow  $q$ -group of  $G$ ; then  $Q$  is also a Sylow  $q$ -group of  $H$ . Let  $N = N_G(Q)$ ; then

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<sup>1</sup> Unless the group is a  $p$ -group; see Theorem 2.

by the Frattini argument (see [1], p. 117, for instance),  $G = NH$ . Thus  $[G : 1] = [NH : 1] = [N : 1][H : 1]/[N \cap H : 1]$ .

First, let us suppose  $N \neq G$ . Then clearly  $H_p(N) \subseteq H_p(G)$ , so  $H_p(N) \subseteq H \cap N$ . Since  $Q \subseteq H_p(N)$ , it follows that  $H_p(N) \neq 1$ , so  $[N : H_p(N)] \leq p$ , and hence  $[N : N \cap H] \leq p$ . So  $p^2 = [G : H] = [G : 1]/[H : 1] = [N : 1]/[N \cap H : 1] = [N : N \cap H] \leq p$ . This is impossible, so we must have  $N = G$ , and thus  $Q$  is normal in  $G$ .

Now let  $Q_1 (\neq 1)$  be any subgroup of  $Q$ , normal in  $G$ , and consider  $G/Q_1$ . Clearly  $H_p(G/Q_1) = 1$  or  $H_p(G/Q_1)$  has index  $p$  in  $G/Q_1$ , unless  $G/Q_1$  is a  $p$ -group. Indeed, it is obvious that  $H_p(G/Q_1) \subseteq H/Q_1$ . But  $[G/Q_1 : H/Q_1] = [G : H] = p^2$ , so  $[G/Q_1 : H_p(G/Q_1)] \geq [G/Q_1 : H/Q_1] = p^2$  implies  $H_p(G/Q_1) = 1$ . So  $G/Q_1$  is a  $p$ -group.

**LEMMA 1.** *If  $[G : H] = p^2$ , then  $Q$  is an elementary abelian  $q$ -group, none of whose proper subgroups ( $\neq 1$ ) is normal in  $G$ ,  $Q$  is normal in  $G$ , and  $G = PQ$ , where  $P$  is a Sylow  $p$ -group of  $G$ .*

*Proof.* We have shown that  $Q$  is normal. If  $Q_1$  above is taken to be the Frattini subgroup of  $Q$ , then  $Q_1$  is normal in  $G$ , since it is characteristic in  $Q$ . Since  $Q_1 \neq Q$ ,  $G/Q_1$  cannot be a  $p$ -group, so we must have  $Q_1 = 1$ . Thus  $Q$  is elementary abelian. Since  $G/Q$  is a  $p$ -group, it is clear that  $G = PQ$ , and the rest of the lemma follows similarly.

In what follows,  $P$  is a Sylow  $p$ -group of  $G$  and  $P_0 \subseteq P$  is a Sylow  $p$ -group of  $H$ ; clearly  $[P : P_0] = p^2$  and  $P_0$  is normal in  $P$ , since  $P_0 = P \cap H$ .

If  $x (\neq 1)$  is in  $Q$ , while  $a$  is in  $G$ , not in  $H$ , and if  $ax = xa$ , then  $ax$  has order  $pq$ . But  $ax$  is not in  $H$ , since  $a$  is not in  $H$ , and thus  $ax$  has order  $p$ ; hence  $ax \neq xa$ . If  $P_0 = 1$ , then  $P$ , of order  $p^2$ , is an automorphism group of  $H = Q$  such that no non-identity element of  $P$  fixes any non-identity element of  $Q$ . But by ([2], pp. 334–335) this means that  $P$  is cyclic, whereas  $P$  is clearly elementary abelian in this case (for all its elements have order  $p$ ). So  $P_0 \neq 1$ .

Since  $P_0$  is normal in  $P$ ,  $P_0 \cap Z(P) \neq 1$  (see [3], p. 35, for instance). Let  $z$  be an element of  $P_0 \cap Z(P)$ , chosen to have order  $p$ , and let  $Z_0$  be the subgroup (of order  $p$ ) generated by  $z$ ; note that  $z$  and  $Z_0$  are contained in  $H$ . Let  $K = Z_0Q$ , and observe that  $[K : 1] = p[Q : 1]$ . Let  $a$  be an element of  $G$ , not in  $H$ , and  $G_1 = \{a, K\}$  = the group generated by  $a$  and  $K$ . Then  $Q \subseteq H_p(G_1) \subseteq H \cap G_1 \neq G_1$ , so  $[G_1 : H_p(G_1)] = p$ , by induction. Hence  $Z_0 \subseteq K \subseteq H_p(G_1)$ , so there must be an element  $y$  in  $K$  of order  $pq$ . Then  $y^p$  is in  $Q$  and  $y^q$  is in  $x^{-1}Z_0x$ , for some  $x$  in  $K$ , since  $Z_0$  is a Sylow  $p$ -group of  $K$ . By adjusting our choice of  $P$ , we can assume that  $y^q$  is in  $Z_0$ ; let  $u = y^p$ ,  $v = y^q$ . Then  $u \neq 1$ ,  $v \neq 1$ ,  $u$  is in  $Q$ ,  $v$  is in  $Z_0$ , and  $uv = vu$ . So if  $Q_1 = \{u\}$ , we have  $Z_0 \subseteq C_G(Q_1)$ . But then  $x^{-1}Z_0x \subseteq C_G(x^{-1}Q_1x)$ , and if  $x$  is in  $P$ , this implies  $Z_0 \subseteq C_G(x^{-1}Q_1x)$ , for all  $x$  in  $P$ . But, from Lemma 1, the subgroup generated by all

$x^{-1}Q_1x$ , as  $x$  ranges over  $P$ , must be  $Q$ , and so  $Z_0 \subseteq C_G(Q)$ . Since  $Z_0$  is in the center of  $P$ , it follows that  $Z_0$  is normal in  $G$ , so we consider  $G/Z_0$ . One easily sees that  $H_p(G/Z_0) \subseteq H/Z_0$ , and  $H_p(G/Z_0)$  equals neither 1 nor  $G/Z_0$ . Hence  $p^2 = [G : H] = [G/Z_0 : H/Z_0] \leq [G/Z_0 : H_p(G/Z_0)] = p$ , which is a contradiction. So:

**THEOREM 1.** *If  $H_p(G) \neq 1$  or  $G$ , and if  $G$  is finite and not a  $p$ -group, then  $[G : H_p(G)] = p$ .*

If  $G$  is a  $p$ -group, or is infinite, the situation seems more inaccessible; as remarked earlier, Theorem 1 still holds if  $p = 2$  or  $3$ , no matter what  $G$  is. But the proof for  $p = 3$  (see [5]) utilizes the Burnside theorem (for  $p = 3$ ) and this strongly suggests that the infinite case at least is considerably harder.

**3. Structure of  $H_p$ -groups.** Let us suppose that  $G$  is a finite group, and that  $H = H_p(G)$  has index  $p$  in  $G$ . Then we say that  $H$  is an  $H_p$ -group.

**THEOREM 2.** *If  $H$  is not a  $p$ -group, then  $H$  is an  $H_p$ -group if and only if  $H$  has an automorphism  $\sigma$  of order  $p$  such that*

$$x^{1+\sigma+\dots+\sigma^{p-1}} = 1,$$

for all  $x$  in  $H$ .

*Proof.* If  $H = H_p(G)$ , let  $a$  be in  $G$ ,  $a$  not in  $H$ , and define  $x^\sigma = a^{-1}xa$ , for  $x$  in  $H$ . Since  $(ax)^p = 1$ , while  $(ax)^p = a^p(x)(x^\sigma) \dots (x^{\sigma^{p-1}})$ , the equation of the theorem follows immediately.

Conversely, if  $\sigma$  exists satisfying the hypotheses of the theorem, then let  $G$  be the holomorph of  $H$  by the automorphism group  $\{\sigma\}$ . It is easy to see that  $H_p(G) \subseteq H$ . Since  $H_p(G) \neq 1$  (for  $H$  is not a  $p$ -group), it follows that  $[G : H_p(G)] = p$ , from Theorem 1, so  $H_p(G) = H$ .

Note that if  $x^\sigma = x$ , then the equation of Theorem 2 implies  $x^p = 1$ . So if  $p$  does not divide the order of the  $H_p$ -group  $H$ , then  $H$  is even a Frobenius group, and so is nilpotent ([6]).

**THEOREM 3.** *If  $H$  is an  $H_p$ -group, then  $H = PK$ , where  $P$  is a Sylow  $p$ -group of  $H$ ,  $K$  is normal in  $H$  and is nilpotent, and  $P \cap K = 1$ . In particular,  $H$  is solvable.*

*Proof.* We can assume that  $P \neq 1$ , and that  $H$  is not a  $p$ -group. Inductively, suppose the theorem is true for all  $H_p$ -groups whose order is less than the order of  $H$ , and (using Theorem 2) let  $\gamma$  be an automorphism of  $H$ , of order  $p$ , such that

$$x^{1+\gamma+\dots+\gamma^{p-1}} = 1, \text{ all } x \text{ in } H.$$

If  $A$  is a  $\gamma$ -invariant subgroup of  $H$ , then  $A$  is an  $H_p$ -group or is a  $p$ -group, while if  $B$  is a  $\gamma$ -invariant normal subgroup of  $H$ , then  $H/B$  is an  $H_p$ -group or is a  $p$ -group.

Now let  $B$  be any  $\gamma$ -invariant subgroup of  $P$ ,  $B$  normal in  $P$ ,  $B \neq 1$ ; let  $N = N_H(B)$ . If  $N = H$ , then  $H/B$  is an  $H_p$ -group, so  $H/B = (P/B)(K_1/B)$ , where  $K_1/B$  is normal in  $H/B$  and is nilpotent. So  $K_1$  is normal in  $H$  and since  $K_1/B$  is  $\gamma$ -invariant in  $H/B$ , so is  $K_1$   $\gamma$ -invariant in  $H$ . So  $K_1$  is an  $H_p$ -group. If  $K_1 \neq H$ , then  $K_1 = BK$ , where  $K$  is normal in  $K_1$  and is nilpotent, and  $K \cap B = 1$ . But then  $K$  is characteristic in  $K_1$ , hence is normal in  $H$ ; every Sylow  $q$ -group of  $H$ ,  $q \neq p$ , is in  $K$ . So  $K$  is characteristic in  $H$  and clearly  $H = PK$ ,  $P \cap K = 1$ .

If  $K_1 = H$  for every such  $B$ , then  $B = P$  is the only  $\gamma$ -invariant normal subgroup of  $P$ , other than 1. Hence in particular  $P$  is elementary abelian. Then  $H/P$  is an  $H_p$ -group, and even a Frobenius group, so is nilpotent. Furthermore (since  $H$  is then solvable),  $H = PK$ , where  $K$  is isomorphic to  $H/P$ . Let  $K = Q_1Q_2 \cdots Q_t$ , where  $Q_i$  is a Sylow  $q_i$ -group of  $K$  (and of  $H$ ) for distinct primes  $q_1, q_2, \dots, q_t$ .

Now let  $G$  be the holomorph of  $H$  with the group  $\{\gamma\}$ . Then, by the Frattini argument,  $N_G(Q_i) \cap H \neq N_G(Q_i)$ , so by an appropriate choice of  $\gamma_i$  in  $G$ ,  $\gamma_i$  not in  $H$ , we can assume that  $Q_i$  is  $\gamma_i$ -invariant. Thus  $PQ_i$  is  $\gamma_i$ -invariant and so it is an  $H_p$ -group (it is straightforward to check that any element of  $G$ , not in  $H$ , can play the role of  $\gamma$ ).<sup>2</sup>

If  $t > 1$ , then  $PQ_i$  has order smaller than  $H$ , so  $Q_i$  is normal in  $PQ_i$ . Thus both  $P$  and  $K$  are contained in  $N_H(Q_i)$ , so  $Q_i$  is normal in  $H$ , hence  $K$ , which is the direct product of the  $Q_i$ , is normal in  $H$ , so we are done.

If  $t = 1$ , let  $Q = Q_1$ , and as above, choose  $\gamma$  in  $G$ , not in  $H$ , so that  $Q$  is  $\gamma$ -invariant. If  $Q_0 \neq 1$  is a  $\gamma$ -invariant normal subgroup of  $Q$ , then  $PQ_0$  is an  $H_p$ -group, smaller than  $H = PQ$  if  $Q_0 \neq Q$ ; thus  $P$  normalizes  $Q_0$ , so  $Q_0$  is normal in  $H$ . Then by considering  $H/Q_0$ , we find that  $Q/Q_0$  is normal, so  $Q$  is normal in  $H$ , and again we are done. Thus we can assume that  $Q$  is elementary abelian with only trivial  $\gamma$ -invariant normal subgroups.

Now we consider the holomorph  $G$  again. The maximal normal  $p$ -group of  $G$  is  $P$ , since  $\{\gamma\}$  (as part of  $G$ ) is not normalized modulo  $P$  by  $Q$ . Then  $G/P$  is a solvable (and in particular,  $p$ -solvable) group of automorphisms of the elementary abelian group  $P$ , and  $G/P$  has no normal  $p$ -group ( $\neq 1$ ). Furthermore, this representation of  $G/P$  as a linear transformation group on  $P$  is faithful, since  $C_H(P) \cap Q = 1$  (otherwise  $C_H(P) \cap Q$  would be a non-trivial  $\gamma$ -invariant normal subgroup of  $Q$ ). Thus we can utilize Theorem B of Hall and Higman ([4]); since  $Q$  is abelian, Theorem B asserts that  $\gamma$ , as a linear transformation of  $P$ , has the minimal

<sup>2</sup> In these references to the holomorph  $G$ , we are not making a distinction between an element as an automorphism of  $H$  and as an element of  $G$ ; the automorphism is actually identified with an element of  $G$  which induces the prescribed automorphism in  $H$ .

polynomial  $(x - 1)^n$ . But in fact,  $\gamma$  has a minimal polynomial which divides  $1 + x + \dots + x^{n-1}$ , since

$$b^{1+\gamma+\dots+\gamma^{n-1}} = 1,$$

for all  $b$  in  $P$ . Thus we have a contradiction, and so  $Q$  is normal in  $H$ , and we are done.

Now we must consider the case that if  $B$  ( $\neq 1$ ) is any  $\gamma$ -invariant subgroup of  $P$ , normal in  $P$ , then  $N = N_H(B)$  is never equal to  $H$ . Hence  $N$ , being  $\gamma$ -invariant, is an  $H_p$ -group or is a  $p$ -group, so  $N = P_1K_1$ , where  $P_1$  is a Sylow  $p$ -group of  $N$ ,  $K_1$  is normal in  $N$  and is nilpotent, and  $K_1 \cap P_1 = 1$ . Since  $B$  is normal in  $N$ ,  $K_1$  is contained in  $C_N(B)$ , and thus contained in  $C_H(B)$ , so  $N_H(B)/C_H(B)$  is a  $p$ -group (i.e., is isomorphic to  $P_1/P_0$ , for some subgroup  $P_0$  of  $P_1$ ). But then, since this holds for all such  $B$ , Thompson's theorem ([6]) asserts that  $P$  has a normal complement  $K$  in  $H$ ; i.e.,  $H = PK$ , where  $P \cap K = 1$  and  $K$  is normal in  $H$ . Since  $K$  consists exactly of the elements of  $H$  whose order is prime to  $p$ ,  $K$  is characteristic. Thus  $K$  is an  $H_p$ -group (even a Frobenius group) and is nilpotent, so we are done.

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