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NORMAL MATRICES AND THE NORMAL BASIS IN ABELIAN NUMBER FIELDS

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# NORMAL MATRICES AND THE NORMAL BASIS IN ABELIAN NUMBER FIELDS

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1. Introduction. Throughout this note F denotes a normal field of algebraic numbers of finite degree n over the rational number field. Let  $G_1, G_2, \dots, G_n$  denote the elements of the Galois group G of F. It is known [2] that F may possess a "normal" basis for the integers consisting of the conjugates  $\alpha^{a_1}, \alpha^{a_2}, \dots, \alpha^{a_n}$  of an integer  $\alpha$ . In [4] the question of the uniqueness of the normal basis was answered when G is cyclic. (See also [1, 6].) If  $\beta_1, \beta_2, \dots, \beta_n$  is any integral basis of F then the matrix  $(\beta_i^{a_j}), 1 \leq i, j \leq n$ , is called a discriminant matrix. It was shown in [4] that if G is abelian then the discriminant matrix of the normal basis  $\beta_1 = \alpha^{a_1}, \dots, \beta_n = \alpha^{a_n}$  is a normal matrix and, if G is cyclic and F has a normal basis, then any integral basis  $\beta_1, \dots, \beta_n$  for which the discriminant matrix is normal is of the form  $\beta_{\sigma(1)} = \pm \alpha^{a_1}, \dots, \beta_{\sigma(n)} = \pm \alpha^{a_n}$  for a suitable choice of the  $\pm$  signs, where  $\sigma$  is a permutation of 1, 2,  $\dots, n$ .

It is the purpose of this note to use the methods of [4] to extend these results for cyclic fields to abelian fields. In particular, in Theorem 1, we shall give a new proof of a result obtained by G. Higman in [1]. The author wishes to thank Dr. O. Taussky-Todd for drawing the problems considered here to his attention.

# 2. Preliminary material. We suppose throughout that

$$G = (S_1) \times (S_2) \times \cdots \times (S_k)$$

is the direct product of k cyclic groups  $(S_i)$  of order  $n_i$ . Of course, each  $n_i > 1$  and  $n = n_1 n_2 \cdots n_k$ . If X and  $Y = (y_{i,j})$  are two matrices with elements in a group or a ring then we define  $X \times Y = (Xy_{i,j})$ .  $X \times Y$  is the Kronecker product [3] of X and Y. Henceforth, in this paper, the symbol  $\times$  will always be used to denote the Kronecker product of vectors or matrices. A matrix A is said to be a circulant of type  $(n_1)$  if

$$A = [a_1, a_2, \cdots, a_{n_1}]_{n_1} = egin{pmatrix} a_1 & a_2 & a_3 & \cdots & a_{n_1} \ a_{n_1} & a_1 & a_2 & \cdots & a_{n_1-1} \ & & \ddots & & \ddots & \ddots \ a_2 & a_3 & a_4 & \cdots & a_1 \end{bmatrix}.$$

Here  $a_1, a_2, \dots, a_{n_1}$  may lie in a group or a ring. For i > 1 we define

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by induction  $[A_1, A_2, \dots, A_{n_i}]_{n_i}$  to be a circulant of type  $(n_1, n_2, \dots, n_i)$  if each of  $A_1, A_2, \dots, A_{n_i}$  is a circulant of type  $(n_1, n_2, \dots, n_{i-1})$ . For  $1 \leq i \leq k$  let  $H_i = (1, S_i, S_i^2, \dots, S_i^{n_i-1})$  and  $D_i = [1, S_i^{n_i-1}, S_i^{n_i-2}, \dots, S_i]_{n_i}$ . Henceforth we shall always let  $G_1, G_2, \dots, G_n$  denote the elements of G in the order implied by the vector equality

(1) 
$$(G_1, G_2, \cdots, G_n) = H_1 \times H_2 \times \cdots \times H_k.$$

Let  $y(G_1), y(G_2), \dots, y(G_n)$  be commuting indeterminants and define the matrix Y by  $Y = (y(G_iG_j^{-1})), 1 \leq i, j \leq n$ . Then it can be proved by induction on k that  $D_1 \times D_2 \times \dots \times D_k = (G_iG_j^{-1}), 1 \leq i, j \leq n$ , and hence that Y is a circulant of type  $(n_1, n_2, \dots, n_k)$ . Since any circulant of type  $(n_1, n_2, \dots, n_k)$  is determined by its first row, it follows that any circulant of type  $(n_1, n_2, \dots, n_k)$  may be obtained by assigning particular values to the indeterminants  $y(G_1), \dots, y(G_n)$  in Y.

LEMMA 1. Circulants of type  $(n_1, n_2, \dots, n_k)$  with coefficients in a field K form a commutative matrix algebra containing the inverse of each of its invertible elements. For fixed m, all matrices  $X = (X_{i,j})$ ,  $1 \leq i, j \leq m$ , in which each  $X_{i,j}$  is a circulant of type  $(n_1, n_2, \dots, n_k)$  with coefficients in K, form a matrix algebra containing the inverse of each of its invertible elements.

*Proof.* Let  $W = (w(G_iG_j^{-1})), 1 \leq i, j \leq m$ . Then W + Y and aW for  $a \in K$  are clearly circulants of type  $(n_1, n_2, \dots, n_k)$ . The (i, j) element of WY is

$$\sum_{t=1}^n w(G_iG_t^{-1})y(G_tG_j^{-1}) = \sum_{t=1}^n w(G_i(G_t^{-1}G_iG_j)^{-1})y((G_t^{-1}G_iG_j)G_j^{-1}) \ = \sum_{t=1}^n y(G_iG_t^{-1})w(G_tG_j^{-1}) \; .$$

But this is the (i, j) element of YW. Hence WY = YW. Define

$$z(G_iG_j^{-1}) = \sum_{t=1}^n w(G_iG_t^{-1})y(G_tG_j^{-1})$$
.

Then a straightforward calculation shows that  $z(G_iG_j^{-1}) = z(G_pG_q^{-1})$  if  $G_iG_j^{-1} = G_pG_q^{-1}$ . Hence the variables  $z(G_iG_j^{-1})$ ,  $1 \leq i, j \leq n$ , are unambiguously defined, so that WY is a circulant of type  $(n_1, n_2, \dots, n_k)$ . This proves the first half of the first assertion of the lemma. The rest of the first assertion follows from the fact that the inverse of a matrix is a polynomial in the matrix. The other assertion of the lemma is now clear.

We let B' and  $B^*$  denote, respectively, the transpose and the complex conjugate transpose of the matrix B. The diagonal matrix

whose diagonal entries are  $\lambda_1, \lambda_2, \dots, \lambda_n$  is denoted by diag  $(\lambda_1, \lambda_2, \dots, \lambda_n)$ . The zero and identity matrices with s rows and columns are denoted by  $0_s$  and  $I_s$ , respectively, and for  $i = 1, 2, \dots, k$ , the companion matrix of the polynomial  $x^{n_i} - 1$  is denoted by  $F_i = [0, 1, 0, \dots, 0]_{n_i}$ .

Let  $\zeta_u$  be a primitive root of unity of order  $n_u$  for  $1 \leq u \leq k$ . Set  $\Omega_u = (\zeta_u^{(i-1)(j-1)})$ ,  $1 \leq i, j \leq n_u$ , and set  $\Omega = \Omega_1 \times \Omega_2 \times \cdots \times \Omega_k$ . Define  $T_u = n_u^{-1/2}\Omega_u$  and  $T = n^{-1/2}\Omega$ . It can be shown by direct computation that  $T_u$  is a unitary matrix. Hence, using the basic properties  $(X \times Y)$   $(Z \times W) = XZ \times YW$  and  $(X \times Y)^* = X^* \times Y^*$  of the Kronecker product, it follows immediately that T is a unitary matrix.

LEMMA 2. If A is a circulant of type  $(n_1, n_2, \dots, n_k)$  with first row  $a = (a_1, a_2, \dots, a_n)$  and complex coefficients, then  $T^*AT = \text{diag}$  $(\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n)$  where the vector  $\varepsilon = (\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n)$  is linked to the vector a by  $\varepsilon' = \Omega a'$ .

*Proof.* The proof is by induction on k. For k = 1 it is well known (and straightforward to check) that  $AT_1 = T_1 \operatorname{diag}(\varepsilon_1, \varepsilon_2, \cdots, \varepsilon_{n_1})$ . Suppose the result known for k - 1. If

$$A = [A_{\scriptscriptstyle 1}, A_{\scriptscriptstyle 2}, \, \cdots, \, A_{\scriptscriptstyle n_k}]_{\scriptscriptstyle n_k} = \sum_{i=1}^{n_k} \!\! A_i imes F_k^{i-1}$$

and if we set  $d = n_1 n_2 \cdots n_{k-1}$  and define  $(\gamma_{(i-1)d+1}, \gamma_{(i-1)d+2}, \cdots, \gamma_{id})$  by

(2) 
$$\Omega_1 imes \cdots imes \Omega_{k-1}(a_{(i-1)d+1}, a_{(i-1)d+2}, \cdots, a_{id})' = (\gamma_{(i-1)d+1}, \gamma_{(i-1)d+2}, \cdots, \gamma_{id})', \qquad 1 \le i \le n_k$$
,

then, by the induction assumption,

$$egin{aligned} &(T_1 imes\cdots imes T_{k-1})^*A_i(T_1 imes\cdots imes T_{k-1})\ &= ext{diag}\ (\gamma_{(i-1)d+1},\gamma_{(i-1)d+2},\cdots,\gamma_{id}), \ &1\leq i\leq n_k\ . \end{aligned}$$

Then

$$egin{aligned} T^*AT &= \sum\limits_{i=1}^{n_k} (T_1 imes \cdots imes T_{k-1} imes T_k)^* (A_i imes F_k^{i-1}) (T_1 imes \cdots imes T_{k-1} imes T_k) \ &= \sum\limits_{i=1}^{n_k} (\{(T_1 imes \cdots imes T_{k-1})^*A_i (T_1 imes \cdots imes T_{k-1})\} imes \{T_k^*F_kT_k\}^{i-1}) \ &= \sum\limits_{i=1}^{n_k} (\{ ext{diag}\,(\gamma_{(i-1)d+1},\gamma_{(i-1)d+2},\cdots,\gamma_{id})\} \ & imes \{ ext{diag}\,(1,\,\zeta_k^{i-1},\,\zeta_k^{2(i-1)},\,\cdots,\,\zeta_k^{(n_k-1)(i-1)})\}) \;. \end{aligned}$$

Thus  $T^*AT$  is diagonal. If r = (b-1)d + c where  $1 \le c \le d$  and  $1 \le b \le n_k$ , then the (r, r) diagonal element of  $T^*AT$  is

(3) 
$$\varepsilon_r = \sum_{i=1}^{n_k} \gamma_{(i-1)d+c} \zeta_k^{(b-1)(i-1)}, \qquad 1 \leq r \leq n.$$

Setting  $\varepsilon = (\varepsilon_1, \varepsilon_2, \dots, \varepsilon_n)$  and  $\gamma = (\gamma_1, \gamma_2, \dots, \gamma_n)$ , equations (3) are the same as the matrix equation  $\varepsilon' = (I_d \times \Omega_k)\gamma'$  and equations (2) are the same as  $((\Omega_1 \times \dots \times \Omega_{k-1}) \times I_{n_k})a' = \gamma'$ . Combining these two facts, we obtain  $\varepsilon' = \Omega a'$ , as required.

The uniqueness of the normal basis. If  $\beta^{a_1}, \dots, \beta^{a_n}$  is another 3. normal basis of F then  $(\beta^{a_1}, \dots, \beta^{a_n})' = (a_{i,j})(\alpha^{a_1}, \dots, \alpha^{a_n})'$  so that  $(\beta^{a_i a_j^{-1}})$  $=(a_{i,j})(\alpha^{a_ia_j^{-1}}), \ 1 \leq i,j \leq n$ , where  $(\beta^{a_ia_j^{-1}})$  and  $(\alpha^{a_ia_j^{-1}})$  are both circulants of type  $(n_1, n_2, \dots, n_k)$  and  $(a_{i,j})$  is a unimodular matrix of rational integers. By Lemma 1,  $(a_{i,j}) = (\beta^{a_i a_j^{-1}})(\alpha^{a_i a_j^{-1}})^{-1}$  is also a circulant of type  $(n_1, n_2, \dots, n_k)$ . Conversely, if  $\beta_1, \dots, \beta_n$  is an integral basis such that  $(\beta_1, \dots, \beta_n)' = (a_{i,j})(\alpha^{a_1}, \dots, \alpha^{a_n})'$  where  $(a_{i,j})$  is a unimodular circulant of rational integers of type  $(n_1, n_2, \dots, n_k)$ , then  $(\beta_{ij}^{g^{-1}}) = (a_{i,j})(\alpha^{g_i g_j^{-1}})$  so that, by Lemma 1,  $(\beta_{ij}^{g-1})$  is also a circulant. Then, in  $(\beta_{ij}^{g-1})$ , the elements in the first column are a permutation on those in the first row. Hence  $\beta_1, \dots, \beta_n$  is a permutation of a normal basis. Following [4], we call a circulant trivial if it has but a single nonzero entry in each row. Thus  $\beta_1, \dots, \beta_n$  is necessarily a permutation of  $\alpha^{g_1}, \dots, \alpha^{g_n}$  or of  $-\alpha^{g_1}$ ,  $\cdots$ ,  $-\alpha^{G_n}$  precisely when all unimodular circulants of rational integers of type  $(n_1, n_2, \dots, n_k)$  are trivial.

If G has a cyclic direct factor of order other than 2, 3, 4, or 6, we may choose the notation so that  $(S_1)$  is this cyclic direct factor. By [4] there exists a nontrivial unimodular circulant B of rational integers of type  $(n_1)$ . Then  $B \times I_{n_2 \dots n_k}$  is a nontrivial unimodular integral circulant of type  $(n_1, n_2, \dots, n_k)$  and so the normal basis is not unique. Hence only the following two cases remain to be considered:

- (i) each  $n_i = 4$  or 2;
- (ii) each  $n_i = 3$  or 2;  $1 \leq i \leq k$ .

In either case (i) or case (ii) let A be a unimodular circulant of rational integers of type  $(n_1, n_2, \dots, n_k)$ . Then, by Lemma 2, the determinant of A is  $\varepsilon_1 \varepsilon_2 \cdots \varepsilon_n$  where each  $\varepsilon_i$  is an integer and hence a unit in the field K generated by  $\zeta_1, \dots, \zeta_k$ . K is generated by the root of unity whose order is the least common multiple of  $n_1, n_2, \dots, n_k$ . Since this least common multiple is 2, 3, 4, or 6, by the fundamental theorem on units K contains no units of infinite order and hence each  $\varepsilon_i$  is a root of unity. By Lemma 2,

$$(4) Ta' = n^{-1/2} \varepsilon' .$$

Since the first row T consists of ones only,  $\varepsilon_1$  is rational. In (4) we replace, if necessary, each  $a_i$  with  $-a_i$  and each  $\varepsilon_i$  with  $-\varepsilon_i$  to ensure that  $\varepsilon_1 = 1$ . Since T is unitary,

(5) 
$$a' = n^{-1/2} T^* \varepsilon' = n^{-1} \Omega^* \varepsilon' .$$

Let  $\Omega = (r_{i,j}), 1 \leq i, j \leq n$ . Then, using (5), the triangle inequality, and the fact that each  $|r_{j,i}|$  and each  $|\varepsilon_j|$  is one, we find that

(6) 
$$|a_i| \leq n^{-1} \sum_{j=1}^n |\bar{r}_{j,i} \varepsilon_j| = 1$$
,  $1 \leq i \leq n$ .

If we have  $a_q \neq 0$  for some q, then  $|a_q| \geq 1$ , so that in (6) for i = qwe have equality. Since  $r_{1,q} = \varepsilon_1 = 1$ , the condition for equality in the triangle inequality forces  $\overline{r}_{j,q}\varepsilon_j = 1$  for each j so that  $\varepsilon_j = r_{j,q}$  for j = $1, 2, \dots, n$ . Then, for  $i \neq q$ ,

$$na_i = \sum_{j=1}^n \overline{r}_{j,i} r_{j,q} = 0$$

since the columns of  $\Omega$  are pairwise orthogonal. Thus, in A, there is but a single nonzero entry in each row.

THEOREM 1. The normal basis for the integers of F is unique (up to permutation and change of sign) precisely when either (i) or (ii) below is satisfied:

(i) G is the direct product of cyclic groups of order 4 and/or order 2;

(ii) G is the direct product of cyclic groups of order 3 and/or order 2.

Another form of this theorem is given in [1, Theorem 6].

4. Normal discriminant matrices. Let  $\alpha^{G_1}, \dots, \alpha^{G_n}$  be a normal integral basis of F and let  $\Delta$  be any normal discriminant matrix. Permuting the row and columns of  $\Delta$  in the same way (this preserves normality) we may assume  $\Delta = (\beta_{ij}^{g^{-1}}) \mathbf{1} \leq i, j \leq n$ , where  $G_1, \dots, G_n$  are given by (1). Now  $\Delta = (a_{i,j})D$  where  $D = (\alpha^{G_iG_j}), \mathbf{1} \leq i, j \leq n$ , and where  $(a_{i,j})$  is a unimodular matrix of rational integers. From  $\Delta \Delta^* = \Delta^* \Delta$  we get  $(a_{i,j})DD^*(a_{i,j})' = D^*(a_{i,j})'(a_{i,j})D$ . As in [4],  $DD^*$  is rational so that  $D^*(a_{i,j})'(a_{i,j})D$  is left fixed by every element of G. Let

$$P_s = I_{n_0n_1\cdots n_{s-1}}\! imes F_s\! imes I_{n_{s+1}n_{s+2}}\!\cdots n_{k+1}$$
 ,  $1\leq arepsilon\leq k$  ,

where, here and henceforth,  $n_0 = n_{k+1} = 1$ . The effect of replacing  $\alpha$  with  $\alpha^{s_s}$  in D may be determined by noting that

$$egin{aligned} S_s(D_1 imes \cdots imes D_k) &= D_1 imes \cdots imes (S_sD_s) imes \cdots imes D_k \ &= D_1 imes \cdots imes (F_sD_s) imes \cdots imes D_k \ &= I_{n_1} imes \cdots imes I_{n_{s-1}} imes F_s imes I_{n_{s+1}} imes \cdots imes I_{n_k} D_1 imes \cdots imes D_k \ &= P_s(D_1 imes \cdots imes D_k) \ . \end{aligned}$$

Hence, replacing  $\alpha$  with  $\alpha^{s_s}$  in D changes D into  $P_sD$ . Therefore  $D^*(a_{i,j})'(a_{i,j})D = (P_sD)^*(a_{i,j})'(a_{i,j})(P_sD)$  so that  $P_s(a_{i,j})'(a_{i,j})P'_s = (a_{i,j})'(a_{i,j})$ ,

for  $s = 1, 2, \dots, k$ . Following [4] we define a generalized permutation matrix to be a permutation matrix in which the nonzero entries are permitted to be  $\pm 1$ . Then Lemma 3 below shows that  $(a_{i,j}) = QC$  where Q is a generalized permutation matrix and C is a circulant of type  $(n_1, n_2, \dots, n_k)$ . Since  $(\beta_1, \dots, \beta_n)' = (a_{i,j})(\alpha^{\alpha_1}, \dots, \alpha^{\alpha_n})'$ , this implies (by remarks made in § 2) that  $\beta_1, \dots, \beta_n$  is a generalized permutation of a normal basis.

THEOREM 2. Let F be a field with a normal integral basis. Then only generalized permutations of a normal basis can give rise to normal discriminant matrices.

THEOREM 3. If A is a unimodular matrix of rational integers such that AA' is a circulant of type  $(n_1, n_2, \dots, n_k)$ , then A = CQwhere C is a unimodular circulant of rational integers of type  $(n_1, n_2, \dots, n_k)$  and Q is a generalized permutation matrix.

*Proof.* Since each  $P_i$  is a circulant of type  $(n_1, n_2, \dots, n_k)$ , it follows from Lemma 1 that  $P_iAA'P'_i = AA'$  for  $i = 1, 2, \dots, k$ , so that Theorem 3 follows from Lemma 3.

LEMMA 3. If A is a unimodular matrix of rational integers such that  $P_iAA'P'_i = AA'$  for  $i = 1, 2, \dots, k$ , then A = CQ where C and Q are as in Theorem 3.

*Proof.* Let  $A_0 = A$  and  $Q_0 = I_n$ . We shall prove by induction on i that, for  $1 \leq i \leq k$ ,  $A = A_iQ_i$  where  $Q_i$  is a generalized permutation matrix and  $A_i$  may be so partitioned that  $A_i = (X_{s,t}), 1 \leq s, t \leq n_{i+1}n_{i+2} \cdots n_k n_{k+1}$ , where each  $X_{s,t}$  is a circulant of type  $(n_1, n_2, \dots, n_i)$ . The case i = k is the statement of the lemma. To avoid having to give a special discussion of the case i = 1 we make the following definitions and changes in notation. Recall that  $n_0 = n_{k+1} = 1$ .

A one row, one column matrix is said to be a circulant of type  $(n_0)$ . A circulant of type  $(n_1, \dots, n_i)$  will now be called a circulant of type  $(n_0, n_1, \dots, n_i)$ . We then know that  $A = A_0Q_0$  where  $A_0$  is composed of one row, one column blocks which are circulants of type  $(n_0)$  and where  $Q_0$  is a generalized permutation matrix. Our induction assumption is that for a fixed value of i with  $1 \leq i \leq k$  we have  $A = A_{i-1}Q_{i-1}$  where we may partition  $A_{i-1} = (A_{s,i}), 1 \leq s, t \leq n_i n_{i+1} \cdots n_{k+1}$ , so that each  $A_{s,i}$  is a circulant of type  $(n_0, n_1, \dots, n_{i-1})$ , and where  $Q_{i-1}$  is a generalized permutation matrix. We shall then deduce that  $A = A_iQ_i$ . For notational simplicity we set  $f = n_0n_1 \cdots n_{i-1}$ ,  $g = n_in_{i+1} \cdots n_k$ ,  $h = n_{i+1}n_{i+2} \cdots n_{k+1}$ ,  $m = n_1n_2 \cdots n_i$ .

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Now  $AA' = A_{i-1}A'_{i-1}$  so that from  $P_iAA'P'_i = AA'$  we deduce that  $M_iM'_i = I_n$ , where  $M_i = A_{i-1}^{-1}P_iA_{i-1}$ . Since  $M_i$  is a matrix of rational integers it follows that  $M_i$  is a generalized permutation matrix. Since  $P_i$  and  $A_{i-1}$  may, after partitioning, be viewed as matrices with g rows and columns in elements which are circulants of type  $(n_0, n_1 \cdots, n_{i-1})$ , it follows from Lemma 1 that  $M_i$  is also a matrix with g rows and columns in elements which are circulants of type  $(n_0, n_1 \cdots, n_{i-1})$ . From this point of view  $M_i$  must be a "generalized permutation matrix" in that it has but a single nonzero entry in each of its g rows and columns. Each of these nonzero entries is of course both a circulant of type  $(n_0, n_1, \cdots, n_{i-1})$  and a generalized permutation matrix.

We now digress for a moment to note that if M is a permutation matrix whose coefficients lie in a ring with identity then a permutation matrix R exists with coefficients in the same ring such that R'MR is a direct sum of one row identity matrices and/or matrices like  $[0, 1, 0, \cdots, 0]_t$  for t > 1. This assertion is a consequence of the fact that a permutation may be decomposed into disjoint cycles.

Applying this fact to the "generalized permutation matrix"  $M_i$ , we find that a permutation matrix  $R_i$  exists with g rows and columns in elements which are either  $0_f$  or  $I_f$  such that  $R'_iM_iR_i = N_i$  is a direct sum of r matrices of the following type:

$$E_{j} = \begin{bmatrix} 0 & E_{j,1} & 0 & 0 & \cdots & 0 \\ 0 & 0 & E_{j,2} & 0 & \cdots & 0 \\ \vdots & \vdots & \vdots & \ddots & \vdots & \vdots \\ 0 & 0 & 0 & \vdots & \cdots & E_{j,e_{j}-1} \\ E_{j,e_{j}} & 0 & 0 & 0 & \cdots & 0 \end{bmatrix}$$

if  $e_j > 1$ , and  $E_j = (E_{j,1})$  if  $e_j = 1$ . Here each  $0 = 0_f$  and each  $E_{j,q}$  is both a circulant of type  $(n_0, n_1, \dots, n_{i-1})$  (since  $R_i$  has circulants of this type as "elements") and a generalized permutation matrix. Moreover,  $e_1 + e_2 + \dots + e_r = g$ . Since  $N_i$  is similar to  $P_i$  and  $P_i^{n_i} = I_n$ , then  $N_i^{n_i} = I_n$ . This implies that each  $e_j \leq n_i$ . We shall prove that each  $e_j = n_i$ . The proof is by contradiction. Suppose for at least one j that  $e_j < n_i$ . We know that  $f(e_1 + e_2 + \dots + e_r) = fg = n$ . Hence  $fn_ir > n$ and so r > h. Now

$$\mathbf{P}_i = [\mathbf{0}_f, I_f, \mathbf{0}_f, \cdots, O_f]_{n_i} \times I_h$$

and  $P_i A_{i-1} = A_{i-1} M_i$ . Let  $H_s = (A_{s,1}, A_{s,2}, \dots, A_{s,g})$  for  $1 \le s \le g$ . Then from  $P_i A_{i-1} = A_{i-1} M_i$  it follows that:  $H_2 = H_1 M_i, H_3 = H_2 M_i, \dots, H_{n_i} =$  $H_{n_i-1} M_i; H_{n_i+2} = H_{n_i+1} M_i, H_{n_i+3} = H_{n_i+2} M_i, \dots, H_{2n_i} = H_{2n_i-1} M_i; \dots; H_{(h-1)n_i+2} =$  $= H_{(h-1)n_i+1} M_i, H_{(h-1)n_i+3} = H_{(h-1)n_i+2} M_i, \dots, H_{hn_i} = H_{hn_i-1} M_i.$  Hence, if  $B_j = H_{(j-1)n_i+1}$  for  $1 \le j \le h$ , then  $H_{(j-1)n_i+q} = B_j M_i^{q-1}$  for  $2 \le q \le n_i.$  Consequently,

$$A_{i-1}R_{i} = \begin{pmatrix} B_{1} \\ B_{1}M_{i} \\ B_{1}M_{i} \\ B_{1}M_{i}^{2} \\ \cdots \\ B_{1}M_{i}^{n_{i}-1} \\ \vdots \\ B_{h} \\ B_{h}M_{i} \\ \cdots \\ B_{h}M_{i}^{n_{i}-1} \end{pmatrix} R_{i} = \begin{pmatrix} B_{1}R_{i} \\ B_{1}M_{i}R_{i} \\ B_{1}M_{i}R_{i} \\ \vdots \\ B_{h}R_{i} \\ B_{h}M_{i}R_{i} \\ \vdots \\ B_{h}M_{i}^{n_{i}-1}R_{i} \\ \vdots \\ B_{h}M_{i}^{n_{i}-1}R_{i} \\ \end{bmatrix} = \begin{pmatrix} B_{1}R_{i} \\ B_{1}R_{i}N_{i} \\ B_{1}R_{i}N_{i} \\ B_{1}R_{i}N_{i}^{n_{i}-1} \\ \vdots \\ B_{h}R_{i} \\ B_{h}R_{i} \\ \vdots \\ B_{h}M_{i}^{n_{i}-1}R_{i} \\ \end{bmatrix}$$

Here each  $B_j R_i$   $1 \leq j \leq h$ , may also be regarded as a row vector with g coordinates in elements which are circulants of type  $(n_0, n_1, \dots, n_{i-1})$ . This is so because both  $B_j$  and  $R_i$  have circulants of this type as "elements".

Let  $X = (X_1, X_2, \dots, X_g)$  be a row vector in which the  $X_i$  are square matrices with f rows and columns. Then

$$egin{aligned} XN_i &= (X_{e_1}E_{1,e_1}, X_1E_{1,1}, X_2E_{1,2}, \cdots, X_{e_1-1}E_{1,e_1-1}, \ X_{e_1+e_2}E_{2,e_2}, X_{e_1+1}E_{2,1}, X_{e_1+2}E_{2,2}, \cdots, X_{e_1+e_2-1}E_{2,e_2-1} \ \cdots, X_qE_{r,e_s}, \cdots, X_{q-1}E_{r,e_s-1}) \ . \end{aligned}$$

Since each  $E_{j,q}$  is a generalized permutation matrix, it follows that the first  $fe_1$  columns of  $XN_i$  are, apart from order and possible change of sign, just the first  $fe_1$  columns of X; the next  $fe_2$  columns of  $XN_i$  are, up to order and sign, just the next  $fe_2$  columns of X; and, in general, columns

(7) 
$$f(e_0 + e_1 + \dots + e_{s-1}) + 1, f(e_0 + e \dots + e_{s-1}) + 2, \dots,$$
  
 $f(e_0 + e_1 + \dots + e_s)$ 

of  $XN_i$  are, apart from order and sign, just these same columns in X. Here  $e_0 = 0$ . This holds for  $s = 1, 2, \dots, r$ .

Hence, in  $B_j R_i N_i^v$  for  $1 \leq v \leq n_i - 1$  and fixed j, columns (7) (for a fixed value of s) are just a generalized permutation of columns (7) in  $B_j R_i$ . Moreover, the elements appearing in columns (7) and row qof  $B_j R_i$  for  $2 \leq q \leq f$  are just a permutation of the elements in columns (7) and the first row of  $B_j R_i$ , since  $B_j R_i$  is composed of blocks which are circulants of type  $(n_0, n_1, \dots, n_{i-1})$ . All this means that the elements in columns (7) (for a fixed value of s) and row q (for  $2 \leq q \leq m$ ) of the matrix

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(8)

$$egin{pmatrix} B_jR_i\ B_jR_iN_i\ B_jR_iN_i^2\ & \cdots\ B_jR_iN_i^{n_i-1} \end{pmatrix}$$

are generalized permutations of the elements in columns (7) and the first row of this matrix. Hence the integers in row q (for  $2 \leq q \leq m$ ) and columns (7) of the matrix (8) are congruent (modulo 2) to a permutation of the integers in column (7) and the first row of (8).

In the matrix  $A_{i-1}R_i$  add columns  $f(e_0 + e_1 + \cdots + e_{s-1}) + 1$ ,  $f(e_0 + e_1 + \cdots + e_{s-1}) + 2$ ,  $\cdots$ ,  $f(e_0 + e_1 + \cdots + e_s) - 1$  to column  $f(e_0 + e_1 + \cdots + e_s)$  for  $s = 1, 2, \cdots, r$ . The integers appearing in rows mp + 2,  $mp + 3, \cdots, m(p+1)$  of column  $f(e_0 + e_1 + \cdots + e)$  are now congruent (modulo 2) to the integer in row mp + 1 and column  $f(e_0 + e_1 + \cdots + e_s)$ . This holds for  $p = 0, 1, \cdots, h - 1$ , and  $s = 1, 2, \cdots, r$ . Now add row mp + 1 to rows  $mp + 2, mp + 3, \cdots, m(p+1)$  for  $p = 0, 1, \cdots, h - 1$ . The integer in row mp + q and column  $f(e_1 + e_2 + \cdots + e_s)$  is now congruent to zero (modulo 2), for  $2 \leq q \leq m$ ;  $0 \leq p \leq h - 1$ ;  $1 \leq s \leq r$ . Hence columns  $f(e_1 + e_2 + \cdots + e_s)$  for  $1 \leq s \leq r$  may be regarded as lying in the same vector space of dimension h over the field of two elements. Since r > h, these vectors are dependent. Consequently the determinant of  $A_{i-1}R_i$  is congruent to zero (modulo 2). This is a contradiction as the determinant of  $A_{i-1}R_i$  is  $\pm 1$ .

Hence each  $e_j = n_i$ . Let  $Z_j$  be the block diagonal matrix diag  $(I_f, E_{j,1}, E_{j,1}E_{j,2}, \cdots, E_{j,1}E_{j,2}, \cdots E_{j,n_i-1})$ . Since  $E_{j,1}E_{j,2}, \cdots E_{j,n_i}$  is a diagonal block in  $E_j^{n_i}$  and since  $E_j^{n_i} = I_m$ , it follows that  $E_{j,1}E_{j,2}, \cdots E_{j,n_i}$  =  $I_f$ . From this fact and the fact that the  $E_{j,q}$  are generalized permutation matrices we find that  $Z_j E_j Z'_j = [0_f, I_f, 0_f, \cdots, 0_f]_{n_i}$ . Hence, if  $Z = \text{diag}(Z_1, Z_2, \cdots, Z_r)$ , then  $ZN_iZ' = P_i$ . Morever, Z is a matrix with g rows and columns in elements which are circulants of type  $(n_0, n_1, \cdots, n_{i-1})$ . We now have  $M_i = U'_iP_iU_i$  where  $U'_i = R_iZ'$  is a generalized permutation matrix and a matrix with g rows and columns in elements which are the  $U'_i = R_iZ'$  is a generalized permutation matrix and a matrix with g rows and columns in elements where  $M_i = U'_iP_iU_i$  where  $U'_i = R_iZ'$  is a generalized permutation matrix of type  $(n_0, n_1, \cdots, n_{i-1})$ . Then

$$A_{i-1} = egin{pmatrix} B_1U_i'U_i \ B_1U_i'P_iU_i \ \cdots \ B_1U_i'P_i^{n_i-1}U_i \ \cdots \ B_hU_i'U_i \ \cdots \ B_hU_i'U_i \ \cdots \ B_hU_i'P_i^{n_i-1}U_i \end{bmatrix} = egin{pmatrix} B_1U_i' \ B_1U_i'P_i \ \cdots \ B_1U_i'P_i^{n_i-1} \ \cdots \ B_hU_i'P_i^{n_i-1} \ \cdots \ B_hU_i'P_i^{n_i-1} \end{bmatrix} U_i = A_iU_i \;,$$

say. Here each  $B_j U'_i$  is a vector with g coordinates in elements which are circulants of type  $(n_0, n_1, \dots, n_{i-1})$ . From the form of  $A_i$  it follows that  $A_i$  may be partitioned into blocks which are circulants of type  $(n_0, n_1, \dots, n_i)$ .

The proof is now complete.

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