Pacific Journal of Mathematics

K-POLAR POLYNOMIALS

RUTH GOODMAN

Vol. 12, No. 4

April 1962

K-POLAR POLYNOMIALS

RUTH GOODMAN

1. Introduction. The complex polynomials

(1)
$$f(z) = \sum_{j=0}^{n} {n \choose j} a_j z^j$$
, $g(z) = \sum_{j=0}^{n} {n \choose j} b_j z^j$

are called apolar if their coefficients satisfy the condition

$$\sum_{j=0}^{n} (-1)^{j} {n \choose j} a_{n-j} b_{j} = 0$$
 .

A well known property of apolar polynomials is given [1] by

GRACE'S THEOREM. If the polynomials f(z) and g(z) are apolar, then every circular domain containing all the zeros of one polynomial also contains at least one zero of the other.

The term "circular domain" is used here to denote any region into which the circle $|z| \leq 1$ can be transformed by a nonsingular linear fractional transformation

$$w = (ax + b)/(cx + d);$$

that is, a circular domain is a closed interior of a circle, a closed exterior of a circle, or a closed half plane.

It is natural to ask whether similar but more stringent conditions on the coefficients of (1) will insure that every circular domain containing all the zeros of one polynomial also contains at least k zeros of the other when k is integer greater than unity. We show here that this is the case. Our results can be stated more easily if we first make the

DEFINITION. The polynomials (1) are called k-polar ($1 \le k \le n, k$ an integer) if their coefficients satisfy the k^2 conditions

(2)
$$\sum_{j=0}^{n-k+1} (-1)^{j} \binom{n-k+1}{j} a_{s-j} b_{j+k} = 0$$
$$(h = 0, \cdots, k-1; s = n, \cdots, n-k+1).$$

We shall show that k-polarity of the polynomials (1) is sufficient to insure that the desired relation between their zeros does hold.

It is apparent that when k is relatively large in comparison with

Received February 7, 1962.

RUTH GOODMAN

n there is only a restricted class of polynomials f(z) for which k-polar polynomials g(z) can exist. We shall show that when $2k + 1 \ge n$ the k-polarity of the polynomials (1) is both necessary and sufficient for them to have a common, repeated zero such that the multiplicities, p and q, with which this zero occurs in the two polynomials satisfy the inequalities $p \ge k$, $q \ge k$, $p + q \ge n + k$.

2. The polar derivative. To prove our principal results, we shall need a lemma concerning the (n-1)st degree polynomial

$$f_{\zeta}(z) = nf(z) + (\zeta - z)f'(z) = n\sum_{j=0}^{n-1} \binom{n-1}{j} (a_{j+1}\zeta + a_j)z^j$$
.

This polynomial is called the "polar derivative of f(z)" or the "derivative of f(z) with respect to ζ ". It can be obtain [2] from f(z) as follows:

By the linear transformation

(3)
$$z = L(w) = (aw + b)/(cw + d)$$
 $(bc - ad = 1)$

transform f(z) into the polynomial

(4)
$$F(w) = (cw + d)^n f(L(w));$$

then to the derivative F'(w) apply the inverse transformation $w = L^{-1}(z)$, obtaining $f_{\zeta}(z)$. If $c \neq 0$, then $\zeta = a/c = L(\infty)$; if c = 0, then $\zeta = \infty = L(\infty)$.

We shall refer to the polynomial F(w) defined by (4) as the transform by (3) of the polynomial f(z). It is important to observe [2] that the zeros of the transform F(w) are the transforms by $w = L^{-1}(z)$ of those of f(z).

LEMMA 1. Let the nth degree polynomial f(z) have n-k zeros in |z| < 1 and k zeros in |z| > r, where r > 1. Then there is a point ζ (not unique) such that $f_{\zeta}(z)$ has exactly k-1 zeros in |z| > r.

Proof. Form F(w) by applying to f(z) the transformation

$$z = L(w) = (\zeta w - 1)/(w - \zeta)$$
 $(1 < \zeta < r)$,

which takes |z| < 1 into |w| < 1 and takes |z| > r into the circle

$$K_2:\; |\,w-C_2\,| < R_{\,_2}\;, \qquad C_2 = rac{\zeta(r^2-1)}{r^2-\zeta^2}\;, \qquad R_2 = rac{r(\zeta^2-1)}{r^2-\zeta^2}\;.$$

Now F(w) has k zeros in K_2 and n-k zeros in |w| < 1. Since the maximum modulus of these latter n-k zeros is less than unity, we can choose $\mu < 1$ such that these zeros also lie in $|w| < \mu$. Let $\rho =$

 $(1 + \mu)/2$. The circle

$$K_1: |w - (\rho - 1)| < \rho$$

contains the circle $|w| < \mu$; for the line segment connecting $w = -\mu$ and $w = \mu$ is a diameter of $|w| < \mu$ and is contained in the line segment connecting w = -1 and $w = \mu$, which is a diameter of K_1 . Thus K_1 contains n - k zeros of F(w). Applying the Walsh two circle theorem [5] to K_1 and K_2 , we find that the zeros of F'(w) lie in K_1, K_2 , and the third circle

$$K:\; |\, w-C\,| < R$$
 , $\; C = rac{(n-k)C_2 + k(
ho - 1)}{n}$, $\; R = rac{(n-k)R_2 + k
ho}{n}$.

Furthermore, it is an immediate consequence of the two circle theorem that if the boundaries of K and K_2 do not intersect then there are exactly k-1 zeros of F'(w) in K_2 . The condition for the non-intersection of these two circles is

$$C_2 - C > R_2 + R$$
 .

This condition is equivalent to

$$n(C_2-C-R_2-R)=kC_2-R_2(2n-k)-k(2
ho-1)>0$$
 ,

and this last inequality is equivalent to

$$\phi(\zeta)=k(r^2-1)\zeta-(2n-k)r(\zeta^2-1)-k(2
ho-1)(r^2-\zeta^2)>0$$
 .

Now

$$\phi(1)=2k(r^2-1)(1-
ho)>0$$
 ,

since r > 1 and $\rho < 1$. Since $\phi(\zeta)$ is a real, continuous function of ζ , it follows that $\phi(\zeta) > 0$ in an interval $1 \leq \zeta \leq 1 + \varepsilon$, where $\varepsilon > 0$. For any value of ζ in this interval, K and K_2 do not intersect and F'(w) has exactly k - 1 zeros in K_2 . Now the zeros of $f_{\zeta}(z)$ are the transforms by z = L(w) of those of F'(w). Hence exactly k - 1 of them lie in the transform of K_2 , that is, in |z| > r.

3. Properties of the k-polarity conditions. To prove our principal results, we shall need to establish first some properties of the k-polarity conditions.

LEMMA 2. For $k = 1, \dots, n + 1$, the polynomials (1) can be written in the form

$$f(z) = \sum_{j=0}^{k-1} \binom{k-1}{j} z^j f_{k,j},$$

where

$$f_{k,j} = f_{k,j}(z) = \sum_{i=0}^{n-k+1} \binom{n-k+1}{i} a_{i+j} z^i \qquad (j=0,\,\cdots,\,k-1) \;.$$

The functions $f_{k,j}$ satisfy the relation

$$zf_{k+1,j+1} + f_{k+1,j} = f_{k,j}$$
.

Proof. We show first the property of the functions $f_{k,j}$ which is stated last in the lemma. Using the definition of $f_{k,j}$ and a well known property of the binomial coefficients, we write

$$egin{aligned} &zf_{k+1,j+1}+f_{k+1,j}=\sum\limits_{i=0}^{n-k}inom{n-k}{i}a_{i+j+1}z^{i+1}+\sum\limits_{i=0}^{n-k}inom{n-k}{i}a_{i+j}z^{i}\ &=\sum\limits_{i=0}^{n-k+1}inom{n-k}{i-1}a_{i+j}z^{i}+\sum\limits_{i=0}^{n-k}inom{n-k}{i}a_{i+j}z^{i}\ &=a_{n-k+1+j}z^{n-k+1}+\sum\limits_{i=1}^{n-k}inom{n-k}{i-1}+inom{n-k}{i-1}a_{i+j}z^{i}+a_{j}\ &=\sum\limits_{i=0}^{n-k+1}inom{n-k+1}{i-1}a_{i+j}z^{i}\ &=f_{k,j}\ . \end{aligned}$$

The proof of the first part of the lemma is by induction. It is true when k = 1, since $f_{1,0}$ reduces at once to f(z). For any k > 1 we have

$$egin{aligned} &\sum_{j=0}^k {k \choose j} z^j f_{k+1,j} = z^k f_{k+1,k} + \sum_{j=1}^{k-1} &iggl[{k-1 \choose j-1} + {k-1 \choose j} iggr] z^j f_{k+1,j} + f_{k+1,0} \ &= \sum_{j=0}^{k-1} {k-1 \choose j} z^{j+1} f_{k+1,j+1} + \sum_{j=0}^{k-1} {k-1 \choose i} z^j f_{k+1,j} \ &= \sum_{j=0}^{k-1} {k-1 \choose j} z^j (z f_{k+1,j+1} + f_{k+1,j}) \ &= \sum_{j=0}^{k-1} {k-1 \choose j} z^j f_{k,j} \;. \end{aligned}$$

If the first part of the lemma is true when k is replaced by k-1, then the last expression above is equal to f(z). It follows that the lemma is true for all values of k.

LEMMA 3. The polynomials (1) are k-polar if and only if the polynomials $f_{k,j}$ and $g_{k,i}$ are apolar for all $i = 0, \dots, k-1$ and $j = 0, \dots, k-1$.

Proof. The proof is immediate, since applying the apolarity condition to all $f_{k,j}$ and $g_{k,i}$ yields conditions (2) at once.

1280

LEMMA 4. The k-polarity conditions (2) are invariant under nonsingular linear transformations of the polynomials (1).

Proof. Since any non-singular linear transformation is equivalent to a succession of transformations of the forms $z = \gamma w(\gamma \neq 0)$, z = 1/w, $z = w + \gamma$, the lemma can be established by showing the invariance of (2) for each of these special forms.

Each sum in (2) is invariant under magnifications and rotations. For applying $z = \gamma w$ to both f(z) and g(z) replaces a_{s-j} by $\gamma^{s-j}a_{s-j}$ and b_{j+h} by $\gamma^{j+h}b_{j+h}$, whence each term of the sum is multiplied by $\gamma^{s-j}\gamma^{j+h} = \gamma^{s+h}$. The sum, therefore, remains equal to zero.

Under the transformation z = 1/w, the polynomials (1) are carried into

$$F(w) = \sum_{j=0}^n {n \choose j} A_j w^j$$
 and $F(w) = \sum_{j=0}^n {n \choose j} B_j w^j$,

where $A_j = a_{n-j}$ and $B_j = b_{n-j}$ $(j = 0, \dots, n)$. The entire set of conditions (2) is invariant under this transformation. For we have

$$egin{aligned} & \sum_{j=0}^{n-k+1} (-1)^j {n-k+1 \choose j} A_{s-j} B_{j+h} \ & = \sum_{j=0}^{n-k+1} (-1)_j {n-k+1 \choose j} a_{n-s+j} b_{n-h-j} \ & = \sum_{j=n-k+1}^0 (-1)^{n-k+1-j} {n-k+1 \choose n-k+1-j} a_{2n-s-k+1-j} b_{k-h-1+j} \ & = (-1)^{n-k+1} \sum_{j=0}^{n-k+1} (-1)^j {n-k+1 \choose j} a_{s'-j} b_{h'+j} \;, \end{aligned}$$

where s' = 2n - s - k + 1 and h' = k - h - 1, so that s' takes on the values $n - k + 1, \dots, n$ and h' takes on the values $k - 1, \dots, 0$. Hence satisfaction of (2) by f(z) and g(z) insures satisfaction of (2) by F(w) and G(w).

To prove the invariance of (2) under translations, we first make use of Lemma 2 and show that if f(z) is transformed into F(w) by $z = w + \gamma$, then each polynomial $F_{k,j}(w)$ is a linear combination of the polynomials $f_{k,j}(w+c)(j=0,\dots,k-1)$. Precisely, we show that the equations

(5)
$$F_{k,j}(w) = \sum_{h=0}^{k-j-1} {\binom{k-j-1}{h}} \gamma^h f_{k,j+h}(w+\gamma)$$
 $(j=0, \dots, k-1)$

hold for every $k = 1, \dots, n + 1$. The proof is by induction on k. We show first that the desired relations hold for the highest value of k, that is, k = n + 1. When k = n + 1, the equations defining $f_{k,j}$ and $F_{k,j}$ reduce to $f_{n+1,j} = a_j$ and $F_{n+1,j} = A_j$, so that (5) becomes

$$A_j = \sum\limits_{h=0}^{n-j} {n-j \choose h} \gamma^h a_{j+h}$$
 $(j=0,\cdots,k-1)$.

To see that this holds, we find A_j by collecting the coefficients of the powers of w in the polynomial $f(w + \gamma)$. We have

$$egin{aligned} F(w) &= f(w+\gamma) = \sum\limits_{i=0}^n \binom{n}{i} a_i (w+\gamma)^i \ &= \sum\limits_{i=0}^n \binom{n}{i} a_i \sum\limits_{j=0}^i \binom{i}{j} \gamma^{i-j} w^j \ &= \sum\limits_{j=0}^n \binom{n}{j} w^j \sum\limits_{i=j}^n rac{\binom{n}{i} \binom{i}{j}}{\binom{n}{j}} \gamma^{i-j} \ &= \sum\limits_{j=0}^n \binom{n}{j} w^j \sum\limits_{i=j}^n \binom{n-j}{i-j} a_i \gamma^{i-j} \,, \end{aligned}$$

so that

$$A_j = \sum\limits_{i=j}^n {n-j \choose i-j} a_i \gamma^{i-j} = \sum\limits_{h=0}^{n-j} {n-j \choose h} \gamma^h a_{j+h} \; .$$

Thus equations (5) hold when k = n + 1. Next, we assume that they hold for general index k + 1 and show that they also hold for index k. For convenience, we shall temporarily let $\phi_{k,j}$ denote $f_{k,j}(w + \gamma)$. $(F_{k,j}$ will denote $F_{k,j}(w)$ as usual.) Using the property of $F_{k,j}$ and $f_{k,j}$ established in Lemma 2 and assuming that equations (5) hold for k + 1, we can write

$$\begin{split} F_{k,j} &= wF_{k+1,j+1} + F_{k+1,j} \\ &= w\sum_{h=0}^{k-j-1} \binom{k-j-1}{h} \gamma^h \phi_{k+1,j+h+1} + \sum_{h=0}^{k-j} \binom{k-j}{h} \gamma^h \phi_{k+1,j+h} \\ &= w\sum_{h=0}^{k-j-1} \binom{k-j-1}{h} \gamma^h \phi_{k+1,j+h+1} \\ &+ \sum_{h=0}^{k-j-1} \binom{k-j-1}{h-1} + \binom{k-j-1}{h} \binom{j}{\gamma^h \phi_{k+1,j+h}} \\ &+ \phi_{k+1,j} + \gamma^{k-j} \phi_{k+1,k} \\ &= w\sum_{h=1}^{k-j-1} \binom{k-j-1}{h} \gamma^h \phi_{k+1,j+h+1} \\ &+ \sum_{h=0}^{k-j-1} \binom{k-j-1}{h} \gamma^{h+1} \phi_{k+1,j+h+1} \\ &+ \sum_{h=0}^{k-j-1} \binom{k-j-1}{h} \gamma^h \phi_{k+1,j+h} \\ &= \sum_{h=0}^{k-j-1} \binom{k-j-1}{h} \gamma^h \{(w+\gamma) \phi_{k+1,j+h+1} + \phi_{k+1,j+h}\} \\ &= \sum_{h=0}^{k-j-1} \binom{k-j-1}{h} \gamma^h \phi_{k,j+h} \,. \end{split}$$

1282

Thus equations (5) hold for $k = n + 1, \dots, 1$.

We have now established that each polynomial $F_{k,j}(w)$ is a linear combination of the polynomials $f_{k,j}(w + \gamma)$. To finish the proof of the invariance of (2) under translations, we recall the known facts (i) that apolarity is invariant under translations of the polynomials [1] and (ii) that if E_1 and E_2 are two sets of polynomials such that every polynomial of E_1 is apolar to every polynomial of E_2 , then any linear combination of polynomials from E_1 is apolar to any linear combination of polynomials [1] from E_2 . By Lemma 3, the k-polarity of f(z) and g(z) implies the apolarity of each polynomial in the set E_1 : $\{f_{k,k-1}(w), \dots, f_{k,0}(w)\}$ to each polynomial in the set E_2 : $\{g_{k,k-1}(w), \dots, g_{k,0}(w)\}$. Property (i) therefore implies that all polynomials of E'_1 : $\{f_{k,k-1}(w+\gamma), \dots, f_{k,0}(w+\gamma)\}$ are applar to all polynomials of E'_2 : $\{g_{k,k-1}(w+\gamma), \dots, g_{k,0}(w+\gamma)\}$. We have just shown that each polynomial $F_{k,j}(w)$ is a linear combination of polynomials from E'_1 and each $G_{k,j}(w)$ is a linear combination of polynomials from E'_{2} . Thus property (ii) implies the applarity of all the $F_{k,j}(w)$ to all the $G_{k,i}(w)$. Lemma 3 now gives the k-polarity of F(w) and G(w).

For convenience, we shall denote the repeated polar derivative $f_{\zeta_1,\ldots,\zeta_s}(z)$ as $f(z; \zeta, s)$.

LEMMA 5. Let $k \ge 2$ and $1 \le s \le k-1$. The k-polarity of f(z)and g(z) is necessary and sufficient for the (k-s)-polarity of the repeated polar derivatives $f(z; \zeta, s)$ and $g(z; \eta, s)$ for arbitrary points ζ_1, \dots, ζ_s and η_1, \dots, η_s .

Proof. It suffices to make the proof for s = 1, since re-application of this proof will then establish the lemma for all values of s concerned. Letting $\phi(z) = f(z; \zeta, 1)$ and $\psi(z) = g(z; \eta, 1)$, we have

$$\phi(z) = \sum\limits_{j=0}^{n-1} {n-1 \choose j} (a_{j+1} \zeta_1 + a_j) z^j$$
 ,

whence

$$egin{aligned} \phi_{k-1,\,j}(z) &= \sum\limits_{i=0}^{n-k+1} {n-k+1 \choose i} (a_{i+j}\zeta_1+a_{i+j}) z^i \ &= \zeta_1 f_{k,\,j+1}(z) + f_{k,\,j}(z) \qquad (j=0,\,\cdots,\,k-2) \;. \end{aligned}$$

Similarly,

$$\psi_{k-1,j}(z) = \eta_1 g_{k,j+1}(z) + g_{k,j}(z) \qquad (j = 0, \, \cdots, \, k-2) \; .$$

The k-polarity of f(z) and g(z) implies the apolarity of both $f_{k,j+1}(z)$ and $f_{k,j}(z)$ to both $g_{k+1,j}(z)$ and $g_{k,j}(z)$. Thus $\phi_{k-1,j}(z)$ and $\psi_{k-1,j}(z)$, which are linear combinations of these polynomials, are apolar. The (k-1)polarity of $\phi(z)$ and $\psi(z)$ now follows at once from Lemma 3. If, on the other hand, $f(z; \zeta, 1) = f_{\zeta_1}(z)$ and $g(z; \eta, 1) = g_{\eta_1}(z)$ are (k-1)-polar for arbitrary values of ζ_1 and η_1 , then, in particular, both $f_0(z)$ and $f_{\infty}(z)$ are (k-1)-polar to both $g_0(z)$ and $g_{\infty}(z)$. For convenience, denote $f(z; \zeta, 1)$ by $\phi(z; \zeta_1)$ and $g(z; \eta, 1)$ by $\psi(z; \eta_1)$. We have

$$egin{aligned} \phi(z;\,0) &= f_0(z) = n\sum\limits_{j=0}^{n-1} \binom{n-1}{j} a_j z^j \ , \ \phi(z;\,\infty) &= f_\infty(z) = n\sum\limits_{j=0}^{n-1} \binom{n-1}{j} a_{j+1} z^j \ , \end{aligned}$$

whence

$$egin{aligned} \phi_{k-1,j}(z;0) &= n \sum_{i=0}^{(n-1)-(k+1)-1} {n-k+1 \choose i} a_{i+j} z^i = f_{k,j}(z) \;, \ \phi_{k-1,j}(z,\infty) &= n \sum_{i=0}^{n-k+1} {n-1 \choose i} a_{i+j+1} z^i = f_{k,j+1}(z) \ &(j=0,\cdots,k-2) \end{aligned}$$

Similarly,

$$egin{aligned} &\psi_{k-1,j}(z;0)=g_{k,j}(z)\ ,\ &\psi_{k-1,j}(z;\infty)=g_{k,j+1}(z)\ \ &(j=0,\,\cdots,\,k-2)\ . \end{aligned}$$

The (k-1)-polarity of $\phi(z; 0)$ and $\phi(z; \infty)$ to $\psi(z; 0)$ and $\psi(z; \infty)$ implies the apolarity of all the $\phi_{k-1,j}(z; 0)$ and $\phi_{k-1,j}(z; \infty)$ to all the $\psi_{k-1,j}(z; 0)$ and $\psi_{k-1,j}(z; \infty)$ for $j = 0, \dots, k-2$. The apolarity of all the $f_{k,j}(z)$ to all the $g_{k,j}(z)$ for $j = 0, \dots, k-1$ now follows at once. This, in turn, implies the k-polarity of f(z) and g(z).

LEMMA 6. Let the nth degree polynomials f(z) and g(z) be k-polar. Let $\zeta_1, \dots, \zeta_{n-k+1}$ be the zeros of any one of the polynomials $g_{k,k-1}(z), \dots, g_{k,0}(z)$, and let all these zeros be finite. Then $f(z; \zeta, n-k+1)$ vanishes identically.

Proof. If $\zeta_1, \dots, \zeta_{n-k+1}$ are the zeros of

$$g_{{}_{k,h}}\!(z) = \sum\limits_{i=0}^{n-k+1} \! {n-k+1 \choose i} b_{i{}_{i+h}} z^i$$
 ,

then their elementary symmetric functions can be expressed in terms of the coefficients. Let $S_0^{(m)} = 1$ and for $i = 1, \dots, m$ let $S_{\iota}^{(m)}$ denote the sum of all possible products of ζ_1, \dots, ζ_m taken *i* at a time. (Note that $b_{n-k+1+h} \neq 0$ since it is the leading coefficient of $g_{k,h}(z)$ and all the zeros of this polynomial are finite.) We have

$$S_1^{(n-k+1)} = (-1)^i {n-k+1 \choose i} rac{b_{n-k+1+h-i}}{b_{n-k+1+h}} \qquad (i=0,\,\cdots,\,n-k+1)\,.$$

Thus we can write

$$b_{n-k+1+h}\sum_{i=0}^{n-k+1}a_{j+i}S_i^{(n-k+1)} \ \sum_{i=0}^{n-k+1}(-1)^i\binom{n-k+1}{i}a_{j+i}b_{n-k+1+h-i} \qquad (j=0,\,\cdots,\,k-1)\,.$$

Now for each value of j, the last expression above is the left side of one of the conditions (2). Consequently the k-polarity of f(z) and g(z) gives

$$\sum\limits_{i=0}^{n-k+1} a_{j+i} S_i^{(n-k+1)} = 0$$
 $(j=0,\,\cdots,\,k-1)$.

Now it is known [3] that $f(z; \zeta, t)$ can be written in the form

$$f(z; \zeta, t) = rac{n!}{(n-t)!} \sum_{j=0}^{n-t} {n-t \choose j} \sum_{i=0}^{t} a_{j+i} S_i^{(t)} z^j$$
 .

For t = n - k + 1, we have just shown that the sum which appears in the coefficient of each z^{j} vanishes. Consequently, we have $f(z; \zeta, n - k + 1) \equiv 0$, as we wanted to show.

4. K-polar polynomials. We are now ready to prove our principal results.

THEOREM 1. If the polynomials f(z) and g(z) are k-polar, then every circular domain containing all the zeros of one polynomial also contains at least k zeros of the other.

Proof. The proof will be by induction on k. For k = 1, this theorem is simply Grace's theorem.

Assume that the theorem holds for k = m, and let f(z) and g(z)be (m + 1)-polar. Let C be a closed circular domain containing all the zeros of g(z) and exactly s zeros of f(z). Then C is contained in an open circular domain C' whose closure also contains exactly s zeros of f(z). Since k-polarity is invariant under linear transformations, we can take |z| > 1 as C'. Then for a suitable r > 1, all the zeros of g(z) and exactly s zeros of f(z) lie in |z| > r, while n - s zeros of f(z) lie in |z| < 1. By Lemma 1, therefore, there is a point ζ such that exactly s - 1 zeros of $f_{\zeta}(z)$ lie in |z| > r. Also, by Laguerre's theorem [2], all the zeros of $g_{\eta}(z)$ lie in |z| > r whenever η lies in $|z| \leq r$. By Lemma 5, the (m + 1)-polarity of f(z) and g(z) implies the m-polarity of $f_{\zeta}(z)$ and $g_{\eta}(z)$ for all values of ζ and η . Consequently, the assumption that the theorem holds for k = m implies that the circular domain |z| > r, which contains all the zeros of $g_{\eta}(z)$, must contain at least m zeros of $f_{\zeta}(z)$. Since we know that this domain contains exactly s-1 zeros of $f_{\zeta}(z)$, we have $s-1 \ge m$. That is, $s \ge m+1$, so that the theorem holds for k=m+1.

THEOREM 2. For $(n + 1)/2 \leq k \leq n$, the k-polarity of the nth degree polynomials f(z) and g(z) is necessary and sufficient for them to have a common, repeated zero whose multiplicities, p and q, satisfy the inequalities $p \geq k$, $q \geq k$, $p + q \geq n + k$.

Proof. Suppose that two polynomials have a common repeated root whose multiplicities satisfy the given inequalities. A linear transformation will take the polynomials into

$$z^{p}\phi(z) = \sum_{i=0}^{n} {n \choose i} a_{i} z^{i}$$

and

$$z^q \psi(z) = \sum\limits_{i=0}^n {n \choose i} b_i z^i$$

where $a_0 = \cdots = a_{p-1} = 0$ and $b_0 = \cdots = b_{q-1} = 0$. Now every product $a_i b_j$ which occurs in the k-polarity conditions (2) vanishes. For if $a_i b_j$ is to be nonzero, we must have $i \ge p$ and $j \ge q$, so that $i + j \ge p + q$ whence $i + j \ge n + k$. The maximum value which i + j can assume for any $a_i b_j$ in (2), however, is n + k - 1. Thus conditions (2) are satisfied and the polynomials are k-polar.

Suppose now that f(z) and g(z) are k-polar, with $k \ge (n + 1)/2$. We can, if necessary, perform a linear transformation on the polynomials to make $b_n \ne 0$ and $b_0 = 0$; that is, we can make all the zeros $\zeta_1, \dots, \zeta_{n-k+1}$ of $g_{k,k-1}(z)$ finite and put one of these zeros at the origin. By Lemma 6, $f(z; \zeta, n - k + 1) \equiv 0$. Thus [4] either $f(z; \zeta, n - k) \equiv 0$ or $f(z; \zeta, n - k) = c(z - \eta_{n-k+1})^k$. In either event, there is an h in the range $k \le h \le n$ such that $f(z; \zeta, n - h + 1) \equiv 0$ and $f(z; \zeta, n - h) = c(z - \zeta_{n-h+1})^h$. (Note that $f(z; \zeta, n - h + 1) \equiv 0$ and $f(z; \zeta, n - h) = c(z - \zeta_{n-h+1})^h$. (Note that $f(z; \zeta, n - h) = cz^h$. By Lemma 5, the k-polarity of f(z) and g(z) guarantees the (k + h - n)-polarity of $f(z; \zeta, n - h)$ and $g(z; \gamma, n - h)$ for arbitrary $\eta_1, \dots, \eta_{n-h}$. Let

$$egin{aligned} f(z; \zeta, n-h) &= \sum\limits_{j=0}^h inom{h}{j} A_j z^j \;, \ g(z; \eta, n-h) &= \sum\limits_{j=0}^h inom{h}{j} B_j z^j \;. \end{aligned}$$

Then we have $A_0 = \cdots = A_{h-1} = 0$, $A_h \neq 0$; and the (k + h - n)-polariy conditions which involve A_h reduce to

whence

(6)
$$B_0 = \cdots = B_{k+h-n-1} = 0$$
.

We know [3] that

$$B_{j} = \mu \sum\limits_{i=0}^{n-h} b_{j+i} S_{i}^{(n-h)}$$
 $(j=0,\,\cdots,\,h)$,

where $\mu = (n!)/(h!)$. Now equations (6) hold for arbitrary values of $\eta_1, \dots, \eta_{n-h}$. Hence they hold in particular for $\eta_1 = \dots = \eta_{n-h} = 0$. For these values, we have $S_1^{(n-h)} = \cdots = S_{n-h}^{(n-h)} = 0$, so that $B_0 = \mu b_0$, whence $B_0 = 0$ implies $b_0 = 0$. We can now use $\eta_1 = 1$, $\eta_2 = \cdots = \eta_{n-h} = 0$, so that $S_1^{(n-h)} = 1$, $S_2^{(n-h)} = \cdots = S_{n-h}^{(n-h)} = 0$, $B_0 = \mu b_1$, whence $b_1 = 0$. Using $\eta_1 = \eta_2 = 1, \ \eta_3 = \dots = \eta_{n-h} = 0$ gives $S_1^{(n-h)} = 2, \ S_2^{(n-h)} = 1, \ S_3^{(n-h)} =$ $\cdots = S_{n-h}^{(n-h)} = 0$, $B_0 = \mu b_2$, whence $b_2 = 0$. It is clear that we can proceed in this way to establish $b_3 = \cdots = b_{n-h} = 0$. We now have $B_1 = \mu b_{n-h+1} S_{n-h}^{(n-h)}$, whence we can conclude that $b_{n-h+1} = 0$. It then follows that $B_2 = \mu b_{n-h+2} S_{n-h}^{(n-h)}$, whence $b_{n-h+2} = 0$. We can proceed in this way to show that successive values of b_j vanish until we arrive at $B_{k+h-n-1} = \mu b_{k-1} S_{n-h}^{(n-h)} = 0$, whence $b_{k-1} = 0$. Thus g(z) has at least a k-fold zero at the origin. Let q be the multiplicity of this zero, so that $b_0 = \cdots = b_{q-1} = 0$, $b_q \neq 0$. Since $q \ge k = 2k - k \ge n + 1 - k$, it follows that b_a appears as the b_i of highest index in k of the k-polarity conditions. Since it is the only nonvanishing b_j in any of these k conditions, they reduce to

$$b_a a_0 = \cdots = b_a a_{k-1} = 0$$
,

whence

$$a_0 = \cdots = a_{k-1} = 0$$
.

Thus f(z) has a *p*-fold zero at the origin with $p \ge k$. To finish the proof, we have left only to show that $p+q \ge n+k$. Now the product a_pb_q is nonvanishing. If it were to appear in any of the *k*-polarity equations (2), then the indices of every product a_ib_j appearing in the same equation would have to satisfy i + j = p + q. But this means that if i > p so that $a_i \ne 0$, then j < q so that $b_j = 0$. Thus, if a_pb_q did appear in any equation of (2), it would be the only non-vanishing product in this equation, whence the equation would not hold. Hence the product a_pb_q cannot appear in any of the equations (2). But every product a_ib_j does appear for which

$$n-k+1 \leq i+j \leq n+k-1$$
.

Therefore, either p+q < n-k+1 or p+q > n+k-1. But $p+q \ge k+k \ge n+1 > n+1-k$. Consequently, we must have p+q > n+k-1, that is, $p+q \ge n+k$.

References

J. H. Grace, *The zeros of a polynomial*, Proc. Cambridge Philos. Soc., **11** (1902), 352-357.
 E. Laguerre, Oeuvres, Paris: Gauthier-Villars, 1898, Vol. 1, pp. 48-66.

3. M. Marden, The geometry of the zeros of a polynomial in a complex variable, A. M. S. Math. Surveys No. III, New York: (1949), 38-43.

4. G. Pólya and G. Szegö, Aufgaben und Lehrsätz aus der Analysis, Berlin: Julius Springer, Vol. II, (1925), 61-64.

5. J. L. Walsh, On the location of the roots of the derivative of a polynomial, C. R. du Congress international des Mathematiciens, Strasbourg (1920), 339-342.

WESTINGHOUSE ELECTRIC CORP.

PACIFIC JOURNAL OF MATHEMATICS

EDITORS

RALPH S. PHILLIPS Stanford University Stanford, California

M. G. ARSOVE University of Washington Seattle 5, Washington A. L. WHITEMAN University of Southern California Los Angeles 7, California

LOWELL J. PAIGE University of California Los Angeles 24, California

ASSOCIATE EDITORS

E. F. BECKENBACH	D. DERRY	H. L. ROYDEN	E. G. STRAUS
T. M. CHERRY	M. OHTSUKA	E. SPANIER	F. WOLF

SUPPORTING INSTITUTIONS

UNIVERSITY OF BRITISH COLUMBIA CALIFORNIA INSTITUTE OF TECHNOLOGY UNIVERSITY OF CALIFORNIA MONTANA STATE UNIVERSITY UNIVERSITY OF NEVADA NEW MEXICO STATE UNIVERSITY OREGON STATE UNIVERSITY UNIVERSITY OF OREGON OSAKA UNIVERSITY UNIVERSITY OF SOUTHERN CALIFORNIA STANFORD UNIVERSITY UNIVERSITY OF TOKYO UNIVERSITY OF UTAH WASHINGTON STATE UNIVERSITY UNIVERSITY OF WASHINGTON

AMERICAN MATHEMATICAL SOCIETY CALIFORNIA RESEARCH CORPORATION SPACE TECHNOLOGY LABORATORIES NAVAL ORDNANCE TEST STATION

Mathematical papers intended for publication in the *Pacific Journal of Mathematics* should be typewritten (double spaced), and the author should keep a complete copy. Manuscripts may be sent to any one of the four editors. All other communications to the editors should be addressed to the managing editor, L. J. Paige at the University of California, Los Angeles 24, California.

50 reprints per author of each article are furnished free of charge; additional copies may be obtained at cost in multiples of 50.

The *Pacific Journal of Mathematics* is published quarterly, in March, June, September, and December. Effective with Volume 13 the price per volume (4 numbers) is \$18.00; single issues, \$5.00. Special price for current issues to individual faculty members of supporting institutions and to individual members of the American Mathematical Society: \$8.00 per volume; single issues \$2.50. Back numbers are available.

Subscriptions, orders for back numbers, and changes of address should be sent to Pacific Journal of Mathematics, 103 Highland Boulevard, Berkeley 8, California.

Printed at Kokusai Bunken Insatsusha (International Academic Printing Co., Ltd.), No. 6, 2-chome, Fujimi-cho, Chiyoda-ku, Tokyo, Japan.

PUBLISHED BY PACIFIC JOURNAL OF MATHEMATICS, A NON-PROFIT CORPORATION

The Supporting Institutions listed above contribute to the cost of publication of this Journal, but they are not owners or publishers and have no responsibility for its content or policies.

Pacific Journal of Mathematics Vol. 12, No. 4 April, 1962

Tsuyoshi Andô, On fundamental properties of a Banach space with a cone	1163
Sterling K. Berberian, A note on hyponormal operators	1171
Errett Albert Bishop, Analytic functions with values in a Frechet space	1177
(Sherman) Elwood Bohn, Equicontinuity of solutions of a quasi-linear	
equation	1193
Andrew Michael Bruckner and E. Ostrow, Some function classes related to the	
class of convex functions	1203
J. H. Curtiss, <i>Limits and bounds for divided differences on a Jordan curve in the complex domain</i>	1217
P. H. Doyle, III and John Gilbert Hocking, <i>Dimensional invertibility</i>	1235
David G. Feingold and Richard Steven Varga, <i>Block diagonally dominant matrices</i>	
and generalizations of the Gerschgorin circle theorem	1241
Leonard Dubois Fountain and Lloyd Kenneth Jackson, A generalized solution of the	
boundary value problem for $y'' = f(x, y, y')$	1251
Robert William Gilmer, Jr., Rings in which semi-primary ideals are primary	1273
Ruth Goodman, <i>K</i> -polar polynomials	1277
Israel Halperin and Maria Wonenburger, On the additivity of lattice	
completeness	1289
Robert Winship Heath, Arc-wise connectedness in semi-metric spaces	1301
Isidore Heller and Alan Jerome Hoffman, On unimodular matrices	1321
Robert G. Heyneman, <i>Duality in general ergodic theory</i>	1329
Charles Ray Hobby, Abelian subgroups of p-groups	1343
Kenneth Myron Hoffman and Hugo Rossi, <i>The minimum boundary for an analytic polyhedron</i>	1347
Adam Koranyi. The Bergman kernel function for tubes over convex cones.	1355
Pesi Rustom Masani and Jack Max Robertson. <i>The time-domain analysis of a</i>	
continuous parameter weakly stationary stochastic process	1361
William Schumacher Massey. <i>Non-existence of almost-complex structures on</i>	
quaternionic projective spaces	1379
Deane Montgomery and Chung-Tao Yang, A theorem on the action of SO(3)	1385
Ronald John Nunke, A note on Abelian group extensions	1401
Carl Mark Pearcy. A complete set of unitary invariants for operators generating	
finite W*-algebras of type I	1405
Edward C. Posner, Integral closure of rings of solutions of linear differential	
equations	1417
Duane Sather, Asymptotics. III. Stationary phase for two parameters with an application to Bessel functions	1423
I Śladkowska. Bounds of analytic functions of two complex variables in domains	1123
with the Beroman-Shilov boundary.	1435
Joseph Gail Stampfli Hyponormal operators	1453
George Gustave Weill Some extremal properties of linear combinations of kernels	1.55
on Riemann surfaces	1459
Edward Takashi Kobayashi, Errata: "A remark on the Niienhuis tensor"	1467