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THE METHOD OF INTERIOR PARALLELS APPLIED TO POLYGONAL OR MULTIPLY CONNECTED MEMBRANES

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## THE METHOD OF INTERIOR PARALLELS APPLIED TO POLYGONAL OR MULTIPLY CONNECTED MEMBRANES

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#### 1. Introduction.

- 1.1. The scope of this paper is (a) to discuss the possibilities of the method of interior parallels (*Makai*, *Pólya*, *Payne-Weinberger*) by considering the case of polygonal membranes (§ 2); (b) to extend it to multiply connected domains in a more satisfactory manner than has hitherto been proposed (§ 3); to this end we use a result of H. F. Weinberger [7] on the existence of an "effectless cut", published immediately after the present paper.
- 1.2. We consider the problem of a vibrating membrane covering a plane domain G and fixed along the boundary  $\Gamma$ . We are interested in the first eigenvalue  $\lambda_1$  of the problem  $\Delta u + \lambda u = 0$  in G, u = 0 along  $\Gamma$ ; by Rayleigh's principle,

$$\lambda_{\scriptscriptstyle 1} \leqq R[v] \equiv rac{D(v)}{\displaystyle \int_{\Gamma} v^2 dA} \qquad ext{if} \ \ v = 0 \ ext{along} \ \ arGamma \ .$$

dA = dxdy is the element of area;  $D(v) = \iint_{\sigma} grad^2vdA$ , Dirichlet's integral; R[v], Rayleigh's quotient.

The method of interior parallels consists in using trial functions v whose level lines are parallel to  $\Gamma$ . It was first introduced by E. Makai [2, 3]: using the trial function  $v(Q) = \delta_{Q\Gamma}$   $(Q \in G, \ \delta = \text{Euclidean})$  distance), he obtained, for every simply or doubly connected membrane G of area A, fixed along its boundary  $\Gamma$  of total length  $L_{\Gamma}$ , the bound

$$\lambda_1 \leqq 3 \frac{L_{\Gamma}^2}{A^2} .$$

His proof makes use of B. Sz.-Nagy's [6] inequality

$$q(\delta) \le L_r$$

bounding the total length  $q(\delta)$  of the "interior parallel at distance  $\delta$ " in a simply or doubly connected domain; as Sz.-Nagy proved, this length exists for almost all values of  $\delta$ .

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1.3. Refining Makai's method, G. Pólya [5] admits a priori for v any regular function  $v(\delta_{QV})$  satisfying v(0) = 0.

Let us call  $a = a(\delta)$  the area of the subdomain  $\{Q \mid Q \in G, \, \delta_{qr} < \delta\}$  of G;  $q(\delta) = da/d\delta$ . By Rayleigh's principle,

$$(\ 3\ ) \qquad \qquad \lambda_{_{1}} \leq R[v] = rac{\int_{_{a=0}}^{a} \!\! \left(rac{dv}{d\delta}
ight)^{\!2}\! da}{\int_{_{a=0}}^{a} \!\! v^{\!2}\! da} = rac{\int_{_{a=0}}^{a} \!\! q^{\!2} \!\! \left(rac{dv}{da}
ight)^{\!2}\! da}{\int_{_{a=0}}^{a} \!\! v^{\!2}\! da} \quad ext{if} \ \ v(0) = 0 \ .$$

Let  $\lambda_1^+ = \min_{v(\delta)} R[v]$ ;  $\lambda_1 \leq \lambda_1^+$ ; if G is simply or doubly connected inequality (2) gives

$$\lambda_1 \leq \lambda_1^+ \leq \lambda_1^{++} \equiv L_{arGamma}^2 \, \mathrm{Min}_{v(0)=0} \, rac{\displaystyle \int_{a=0}^{A} \! \left(rac{dv}{da}
ight)^{\!\!2} \! da}{\displaystyle \int_{a=0}^{A} \! v^2 \! da} = \left(rac{\pi}{2} \cdot rac{L_{arGamma}}{A}
ight)^{\!\!2} \, ;$$

this is Pólya's inequality (sharper than (1)).

1.4. For a *simply connected* domain G, L. E. Payne and H. F. Weinberger [4] made use of the sharp inequality of B. Sz.-Nagy [6]:

$$q(\delta) \leq L_{\Gamma} - 2\pi\delta ;$$

it follows by integration that  $q^2 \le L_r^2 - 4\pi a$  (see also [1]), whence by (3):

$$\begin{array}{c} \lambda_1 \leqq \lambda_1^+ \leqq \lambda_{P-W}^{1+} \\ \\ (6) \\ \equiv \lambda_{\mathrm{lext}}^{++}(A,\, L_{\varGamma}) \equiv \, \mathrm{Min}_{v(0)=0} \, \frac{\int_{a=0}^{4} (L_{\varGamma}^2 - 4\pi a) \Big(\frac{dv}{da}\Big)^2 da}{\int_{a=0}^{4} v^2 da} \Big( \leqq \lambda_1^{++} \\ \stackrel{\mathrm{P'olya}}{} \right) \, . \end{array}$$

Payne and Weinberger remarked that all inequalities (1), (2), (3), (4), (5), (6) remain valid if G is allowed to have also interior boundary curves  $\gamma$  along which the membrane is free ("holes"):  $L_{\Gamma}$  is then the total length of the "fixed" boundaries  $\Gamma$ , A the area of G (without the holes);  $q(\delta)$  is the length of that part of the "interior parallel" to  $\Gamma$  (not  $\gamma$ !) which lies inside G.

Inequality (4) is valid if  $\Gamma$  is formed by the outer boundary  $\Gamma_0$  and at most one inner boundary curve  $\Gamma_1$ ; along the other interior boundary curves  $\gamma_2, \gamma_3, \dots, \gamma_n$  the membrane is free;  $L_{\Gamma} = L_{\Gamma_0} + L_{\Gamma_1}$ .—(5) and (6) are valid only if  $\Gamma = \Gamma_0$  and all inner boundaries are free.

If G is a circular ring fixed along its outer boundary  $\Gamma_0$  and free along its inner boundary  $\gamma_1$ , its first eigenfunction  $u_1 = u_1(r)$ , whence

 $\lambda_1 = \lambda_1^+$ , and  $q^2 = L_{\Gamma_0}^2 - 4\pi a$ , whence  $\lambda_1^+ = \lambda_1^{++}$ . Therefore  $\lambda_1^{++} \equiv \lambda_{1 ext{ext}}^{++}(A, L_{\Gamma_0})$  is equal to the first eigenvalue of an annular membrane fixed along  $\Gamma_0$ , free along  $\gamma_1$ .

 $\lambda_{\text{lext}}^{++}(A, L_{r_0})$  is the root of an equation involving Bessel functions; its solution is indicated graphically in Jahnke-Emde's Tables of functions, pp. 207-8.

The inequality  $\lambda_1 \leq \lambda_1^{++}$  thus expresses an "isoperimetric" extremal property of such annular membranes.

1.5. In another paper [1] one can find a "unified" and more detailed discussion of Makai's, Pólya's and Payne-Weinberger's methods; and furthermore the proof of an analogous "isoperimetric" theorem, which we shall essentially use in § 3:

Of all multiply connected membranes of given area A, fixed along one inner boundary Jordan curve  $\Gamma_1$  of given length  $L_{\Gamma_1}$  and free along all others ( $\gamma_0$  exterior;  $\gamma_2, \gamma_3, \dots, \gamma_n$  interior), the annulus has highest  $\lambda_1$ .

Let  $\delta = \delta_{qr_1}$  (Euclidean distance), and  $q = q(\delta)$  as before; the proof of our theorem becomes easy once we introduce the new parameter

$$t(\delta) = \int_0^{\delta} \frac{d\delta}{q}$$

instead of  $a(\delta) = \int_0^{\delta} q d\delta$  (see 1.3 and 1.4). We then have, instead of (3),

$$(3') \qquad \qquad \lambda_1 \leq R[v] = rac{\int_{t=0}^{T} \Bigl(rac{dv}{dt}\Bigr)^2 dt}{\int_{t=0}^{T} q^2 v^2 dt} \; ; \qquad \lambda_1 \leq \lambda_1^+ \equiv \operatorname{Min}_v R[v] \; .$$

(Often  $T=\infty$ .) This is the Rayleigh quotient of a vibrating string, fixed at its extremity t=0 and of total mass  $\int_{t=0}^T q^2 dt = A$ .

B. Sz.-Nagy proved that here  $q(\delta) \leq L_{r_1} + 2\pi \delta$ ; whence by integration:

$$q(t) \leqq L_{arGamma_1} e^{2\pi t} \quad ext{for} \quad t \leqq t_{\scriptscriptstyle 1} = rac{1}{4\pi} ext{ln} \Big( 1 + rac{4\pi A}{L_{arGamma_1}^2} \Big) \qquad ext{(see [1])} \; ;$$

the proof is completed by a discussion of the effect of displacing the masses along the vibrating string.—We thus have

$$\lambda_1 \leq \lambda_1^+ \leq \lambda_{1int}^{++}(A, L_{\Gamma_1})$$

where  $\lambda_{\text{lint}}^{++}(A, L_{\Gamma_1})$  is the first eigenvalue of an annular membrane of

area A, fixed along its interior boundary  $\Gamma_1$  of length  $L_{\Gamma_1}$ , free along  $\gamma_0$ . To determine  $\lambda_{\text{lint}}^{++}$ , use again Jahnke-Emde's Tables of functions, pp. 207-8.

#### 2. Membranes with fixed polygonal outer boundary.

- 2.1. For (simply or multiply connected) membranes, fixed along their polygonal outer boundary  $\Gamma_0$  but free along the (possible) inner boundaries  $\gamma_1, \gamma_2, \dots, \gamma_n$ , we shall sharpen Payne-Weinberger's upper bound (§ 1.4).—Also, the new bounds obtained will give us a glimpse of the limits of the method's possibilities.
- 2.2. The regular polygon with m sides, which is circumscribed to the unit circle, has perimeter  $K_m = 2mtg(\pi/m)$ , and area  $K_m/2$ .

Any regular m-polygon with area A and perimeter L, is circumscribed to a circle of radius  $r_i = L/K_m$ ;  $L^2 = (K_m r_i)^2 = 2K_m (K_m r_i^2/2) = 2K_m (Lr_i/2) = 2K_m A$ . Therefore, by the isoperimetric property of regular polygons, any m-polygon with area A and perimeter L satisfies

$$(8) L^2 \geq 2K_m A.$$

In particular, every *m*-polygon (whether convex or not), which is circumscribed to a circle of radius  $r_i$ , satisfies  $A = Lr_i/2$ ; therefore

$$(8') L \geqq K_m r_i.$$

Let  $p \leq m$ ; a regular p-polygon is an irregular m-polygon, circumscribed to the same circle, thus  $K_p r_i = L \geq K_m r_i$ , whence  $K_p \geq K_m$ ;  $K_m$  is a decreasing function of m (which can be verified directly); when  $m \to \infty$ ,  $K_m \setminus 2\pi$ .

2.3. Let the membrane cover a plane domain G and be fixed only along the m-polygonal outer boundary  $\Gamma_0$ ; let us call  $\widetilde{G}(\supset G)$  the polygonal domain bounded by  $\Gamma_0$ ; the line  $\widetilde{\Gamma}_0^{(\delta)}$  parallel to  $\Gamma_0$  in  $\widetilde{G}$  is composed of  $p \leq m$  straight segments and possibly (if  $\Gamma_0$  is not convex) some circular arcs of radius  $\delta$ . The length  $\widetilde{q}(\delta)$  of  $\widetilde{\Gamma}_0^{(\delta)}$  is a piecewise differentiable function of  $\delta$ ;  $\widetilde{q}(\delta) \geq q(\delta)$ . If the domain  $\widetilde{G}^{(\delta)}$ , bounded by  $\widetilde{\Gamma}_0^{(\delta)}$ , is convex, it is readily seen that, for  $\varepsilon > 0$ ,  $\widetilde{q}(\delta) - \widetilde{q}(\delta + \varepsilon)$  is equal to the perimeter of a convex p-polygon (of sides parallel to  $\Gamma_0$ ) with  $p \leq m$ , circumscribed to a circle of radius  $\varepsilon$ ; whence  $\widetilde{q}(\delta) - \widetilde{q}(\delta + \varepsilon) \geq K_p \varepsilon \geq K_m \varepsilon$ . This remains true if  $\widetilde{G}^{(\delta)}$  is non-convex: indeed,  $\widetilde{q}(\delta) - \widetilde{q}(\delta + \varepsilon)$  is then larger than the perimeter of a non-convex p-polygon with  $p \leq m$ , circumscribed to a circle of radius  $\varepsilon$ . We thus have always

$$-\frac{d\tilde{q}}{d\lambda} \ge K_m.$$

As in 1.3 and 1.4, we use as parameter the area  $a(\delta)=\int_0^\delta qd\delta$  of the subdomain  $\{Q\,|\,Q\in G,\,\delta_{Q\Gamma_0}<\delta\};\,da/d\delta=q;$ 

$$egin{align} rac{-d(\widetilde{q}^{\,2})}{da} &= 2\widetilde{q}\Big(rac{-d\widetilde{q}}{da}\Big) \geqq 2q\Big(rac{-d\widetilde{q}}{da}\Big) = 2rac{da}{d\delta}\Big(rac{-d\widetilde{q}}{da}\Big) \ &= 2\Big(rac{-d\widetilde{q}}{d\delta}\Big) \geqq 2K_{_{m}} \; ; \ \end{aligned}$$

whence by integration from 0 to a:  $L_{\Gamma_0}^2 - \tilde{q}^2 \ge 2K_m a$ ;

$$(10) q^2 \leqq \widetilde{q}^2 \leqq L_{\Gamma_0}^2 - 2K_m a ,$$

with equality if  $G = \tilde{G} = \text{regular}$  m-polygon.—This evaluation (valid for m-polygons) is sharper than  $q^2 \leq \tilde{q}^2 \leq L_{r_0}^2 - 4\pi a$  (always valid), which is the basis of Payne-Weinberger's method (see [1]).

Using (3), we thus may write (instead of (6)):

$$(11) \lambda_1 < \lambda_1^+ \leqq \lambda_1^{++} \text{, where } \lambda_1^{++} = \mathrm{Min}_{v(0)=0} \frac{\int_{a=0}^{A} (L_{\Gamma_0}^2 - 2K_m a) \Big(\frac{dv}{da}\Big)^2 da}{\int_{a=0}^{A} v^2 da} \text{.}$$

Note that for polygons  $\lambda_1$  is always smaller than  $\lambda_1^+$ : this limits the sharpness obtainable by the method of interior parallels.

When  $m \to \infty$ ,  $K_m \setminus 2\pi$ ; thus

We shall construct an annular membrane having exactly the first eigenvalue  $\lambda_1^{++}$ :

Instead of a, we introduce a new independent variable r by

$$L_{\Gamma_0}^2 - 2K_{\scriptscriptstyle m} a = K_{\scriptscriptstyle m}^2 r^2$$
 , i.e.  $a = \frac{L_{\Gamma_0}^2}{2K_{\scriptscriptstyle m}} - \frac{1}{2} K_{\scriptscriptstyle m} r^2$  ; then  $\frac{da}{dr} = -K_{\scriptscriptstyle m} r$  ;

(13) 
$$\lambda_{1}^{++} = \operatorname{Min}_{v(R_{0})=0} \frac{\int_{r=r_{1}}^{R_{0}} \left(\frac{dv}{dr}\right)^{2} K_{m} r dr}{\int_{r=r_{1}}^{R_{0}} v^{2} K_{m} r dr} = \operatorname{Min}_{v(R_{0})=0} \frac{\int_{r=r_{1}}^{R_{0}} \left(\frac{dv}{dr}\right)^{2} 2 \pi r dr}{\int_{r=r_{1}}^{R_{0}} v^{2} 2 \pi r dr}$$

with  $R_0 = L_{\Gamma_0}/K_m$  and  $r_1^2 = R_0^2 - 2A/K_m$ .

This is the annular membrane we wanted: fixed along its outer circle of radius  $R_0$ , free along its inner circle of radius  $r_1$ .—Consider two homothetic regular m-polygons, the outer one of length  $L_{r_0}$ , the inner one such that the area comprised between them be A: the first is circumscribed to the circle of radius  $R_0$ , the second to the circle of radius  $r_1$ .

REMARK. The fact that  $\lambda_1^{++}$  increases with m thus expresses a property of Bessel functions.

2.4. More precise evaluations in terms of A,  $L_{\Gamma_0}$  and the interior angles  $\pi - \alpha_1, \pi - \alpha_2, \cdots, \pi - \alpha_m$  of  $\Gamma_0$ , when  $\widetilde{G}$  is convex.

We consider a membrane G fixed only along its convex polygonal outer boundary  $\Gamma_0$ . We have  $\alpha_1 + \cdots + \alpha_m = 2\pi$ ,  $0 < \alpha_i < \pi$ .

Let us call  $F(\alpha_1, \dots, \alpha_m) = 2 \sum_{i=1}^m tg(\alpha_i/2)$  the perimeter of the (convex) polygon C with interior angles  $\pi - \alpha_1, \dots, \pi - \alpha_m$  (in this order), circumscribed to the unit circle. The area of C is  $F(\alpha_1, \dots, \alpha_m)/2$ . By (8'),  $F(\alpha_1, \dots, \alpha_m) \geq K_m$ ; with equality if  $\alpha_1 = \dots = \alpha_m = 2\pi/m$ .

Every interior parallel  $\widetilde{\Gamma}_0^{(\delta)}$  to  $\Gamma_0$  in  $\widetilde{G}$  is a polygon with  $p \leq m$  sides (parallel to those of  $\Gamma_0$ ) and inner angles  $\pi - \beta_1, \dots, \pi - \beta_p$ , where  $\beta_1 + \dots + \beta_p = 2\pi$  and each  $\beta_j$  is equal either to an  $\alpha_i$  or to the sum of several consecutive  $\alpha_i$ . For a sufficiently small  $\varepsilon > 0$ ,  $\widetilde{q}(\delta) - \widetilde{q}(\delta + \varepsilon)$  is equal to the length of a (convex) p-polygon with angles  $\pi - \beta_1, \dots, \pi - \beta_p$  (in this order), circumscribed to a circle of radius  $\varepsilon$ ; whence  $\widetilde{q}(\delta) - \widetilde{q}(\delta + \varepsilon) = F(\beta_1, \dots, \beta_p) \cdot \varepsilon$ ;

$$rac{-d\widetilde{q}}{d\delta}=F(eta_{\scriptscriptstyle 1},\,\cdots,\,eta_{\scriptscriptstyle p})=2\sum\limits_{\scriptscriptstyle j=1}^{\scriptscriptstyle p}tgrac{eta_{\scriptscriptstyle j}}{2}$$
 ;

since  $\widetilde{G}$  is by hypothesis convex,  $0 < \alpha_i < \pi$ ,  $0 < \beta_j < \pi$ , thus each  $tg(\alpha_i/2) > 0$  and

$$tgrac{lpha_i+lpha_{i+1}}{2}=rac{tgrac{lpha_i}{2}+tgrac{lpha_{i+1}}{2}}{1-tgrac{lpha_i}{2}tgrac{lpha_{i+1}}{2}}>tgrac{lpha_i}{2}+tgrac{lpha_{i+1}}{2}\;;$$

therefore  $F(\beta_1, \dots, \beta_p) \geq F(\alpha_1, \dots, \alpha_m)$  (which is also geometrically clear) and always

$$(9') -\frac{d\widetilde{q}}{d\delta} \ge F(\alpha_1, \cdots, \alpha_m) ;$$

whence

(10') 
$$q^2 \leq \widetilde{q}^2 \leq L_{\Gamma_0}^2 - 2F(\alpha_1, \cdots, \alpha_m)a$$

and the inequality

(11') 
$$\lambda_1 < \lambda_1^+ \leqq \lambda_1^{++}, \quad \text{where}$$

$$\lambda_1^{++} = \min_{\alpha_1, \cdots, \alpha_m} \int_{a=0}^{a} [L_{\Gamma_0}^2 - 2F(\alpha_1, \cdots, \alpha_m)a] \Big(\frac{dv}{da}\Big)^2 da \int_{a=0}^{a} v^2 da$$

 $\lambda_1^{++}\underset{(lpha_1,\cdots,lpha_m)}{\leq}\lambda_1^{++}; ext{ equality only if } lpha_1=\cdots=lpha_m=2\pi/m.$ 

Let us now introduce another independent variable r instead of a:  $L_{r_0}^2-2F(\alpha_1,\cdots,\alpha_m)a=[F(\alpha_1,\cdots,\alpha_m)]^2\cdot r^2;$  we then obtain a formula like (13) with  $F(\alpha_1,\cdots,\alpha_m)$  instead of  $K_m$ , now  $R_0=L_{r_0}/F(\alpha_1,\cdots,\alpha_m)$  and  $r_1^2=R_0^2-2A/F(\alpha_1,\cdots,\alpha_m)$ . The annular membrane with fixed outer circle of radius  $R_0$  and free inner circle of radius  $r_1$  has first eigenvalue  $\lambda_1^{++}$ .

Let us construct two homothetic m-polygons, circumscribed to concentric circles, with sides parallel to those of  $\Gamma_0$  (and in the same order), the outer polygon of length  $L_{\Gamma_0}$ , the inner polygon such that the area comprised between them be A; the outer circle has then radius  $R_0$ , the inner circle radius  $r_1$ : this is our auxiliary annulus.

2.5. Remark on the limits of the possibilities of the method of interior parallels.—As follows from the above discussion, if  $G = \widetilde{G}$  is itself a convex polygon circumscribed to a circle, we have  $L_{\Gamma_0}^2 = 2F(\alpha_1, \dots, \alpha_m)A$ , whence  $r_1 = 0$ ;  $R_0 = r_{\text{inscr}}$ ;

$$egin{aligned} \lambda_1 < \lambda_1^{++} &= rac{\hat{J}_0^2}{r_{ ext{inser}}^2} \leqq \lambda_1^{++} < \lambda_1^{++} &= \lambda_1^{++} < \lambda_1^{++} \ &= (rac{\pi}{2} rac{L_{r_0}}{A})^2 = rac{\pi^2}{r_{ ext{inser}}^2} < \lambda_1^{++} &= 3 \Big(rac{L_{r_0}}{A}\Big)^2 = rac{12}{r_{ ext{inser}}^2} \;. \end{aligned}$$

Observe that here  $d\tilde{q}/d\delta = -F(\alpha_1, \dots, \alpha_m)$  and  $q^2 = \tilde{q}^2 = L_{r_0}^2 - 2F(\alpha_1, \dots, \alpha_m)a$ , i.e.  $\lambda_1^+ = \lambda_1^{++}$  is the first eigenvalue of the inscribed circle; the inequality  $\lambda_1 < j_0^2/r_{\text{inscr}}^2$  is trivial (monotony), but the method of interior parallels is (in this case of a circumscribed polygon) unable to give any sharper bound.

It may be noted that Pólya's bound—and therefore Payne-Weinberger's bound as well as  $\lambda_1^{++}$  and  $\lambda_1^{++}$ —become sharp for the infinite strip considered as the limit of a long rectangle: let b be its breadth,  $\lambda_1^{++} \approx (\pi/b)^2$ ; but, if we consider the strip as the limit of a long rhombus (i.e. circumscribed to a circle),  $\lambda_1^{++} \approx (2\pi/b)^2$  and  $\lambda_1^{++} = j_0^2/r_{\rm inscr}^2 \approx (2j_0/b)^2$ , which is trivial by monotony.

#### 3. Multiply connected membranes.

- 3.1. Let us consider e.g. a doubly connected membrane G, fixed both along its outer boundary  $\Gamma_0$  and its inner boundary  $\Gamma_1$ .
- (i) Given the area A of G and the lengths  $L_{\Gamma_0}$  and  $L_{\Gamma_1}$ , we are looking for a bound  $\lambda_1 \leq \lambda_1^{++}(A; L_{\Gamma_0}, L_{\Gamma_1})$  such that, when  $\Gamma_1$  reduces to a point,  $\lambda_1^{++}(A; L_{\Gamma_0}, 0) = \lambda_{\text{lext}}^{++}(A, L_{\Gamma_0})$  (exact bound of Payne-Weinberger); indeed, such is the case for the true  $\lambda_1$ .

This requirement is not fulfilled by Pólya's -or Makai's- bounds (even if  $\Gamma_1$  is very small, they consider trial functions depending only on the distance to  $\Gamma = \Gamma_0 \cup \Gamma_1$ , which does not correspond, qualitatively, to the behavior of the true first eigenfunction of G); nor is it fulfilled by Payne-Weinberger's suggestion to make G simply connected by adding between  $\Gamma_0$  and  $\Gamma_1$  a rectilinear constraint (length c), thus replacing  $L_\Gamma$  by  $L_\Gamma + 2c$ : indeed, when  $\Gamma_1$  reduces to a point, this constraint would remain and the bound  $\lambda_{\text{lext}}^{++}(A, L_{\Gamma_0} + L_{\Gamma_1} + 2c)$  would become  $\lambda_{\text{lext}}^{++}(A, L_{\Gamma_0} + 2c)$  instead of  $\lambda_{\text{lext}}^{++}(A, L_{\Gamma_0})$ . Any small boundary component  $\Gamma_1$  has then a disproportionate effect on the bound.—In particular, consider a fixed annular membrane with radii 1 and  $\varepsilon \to 0$ ; the true  $\lambda_1$  tends to  $j_0^2 \cong 5.78$ ;  $\lambda_1^{++}$  (Payne-Weinberger) tends to  $\lambda_{\text{lext}}^{++}(\pi, 2\pi + 2)$ , which is larger than the first eigenvalue of the unit circular sector of aperture 360°, i.e. larger than  $\pi^2$ ; Pólya's inequality gives (as for the circle)  $\lambda_1 \to \leq ((\pi/2)(2\pi/\pi))^2 = \pi^2$ .

- (ii) We look for a bound which, for any fixed annular membrane, should coincide with the exact value  $\lambda_1$ .
- 3.2. From H. F. Weinberger's paper [7], which is printed immediately after the present one, it follows that: Given a multiply connected membrane G which is fixed along its outer boundary  $\Gamma_0$  and its inner boundary components  $\Gamma_1, \Gamma_2, \dots, \Gamma_p$ , and free along its other inner boundaries  $\gamma_{p+1}, \gamma_{p+2}, \dots, \gamma_n$  (the  $\Gamma_i$  are assumed to have continuous normals and the  $\gamma_j$  to be analytic), then there exists an "effectless cutting" of the membrane G into g into g 1 sub-membranes  $G_0, G_1, \dots, G_p$ , where each  $G_i$  has  $\Gamma_i$  as a fixed boundary component and is otherwise free, such that  $\lambda_1^{G_0} = \lambda_1^{G_1} = \dots = \lambda_1^{G_p} = \lambda_1^{G}$ . In other words: The domain G can be cut into  $G_0, \dots, G_p$  by means of a system of analytic arcs along which  $\partial u_1/\partial n = 0$ , where  $u_1$  is the first eigenfunction of G;  $u_1$  is then also the first eigenfunction of each  $G_i$  (membrane fixed along  $\Gamma_i$ , free along the cuts and the  $\gamma_j$ ). We use essentially this result in the following.
- 3.3. Let  $A_i$  be the area of the partial domain  $G_i$ ;  $A_0 + A_1 + \cdots + A_p = A$ ; the lengths  $L_{\Gamma_0}, L_{\Gamma_1}, \cdots, L_{\Gamma_p}$  are known, but not the individual  $A_i$ !—We know that  $\lambda_1 \leq \lambda_{\text{lext}}^{++}(A_0, L_{\Gamma_0})$  and  $\lambda_1 \leq \lambda_{\text{lint}}^{++}(A_i, L_{\Gamma_i})$

for  $i = 1, 2, \dots, p$ . Therefore:

$$\lambda_1 \leq \min \left\{ \lambda_{\text{lext}}^{++}(A_0, L_{\Gamma_0}); \, \lambda_{\text{lint}}^{++}(A_1, L_{\Gamma_1}); \, \cdots; \, \lambda_{\text{lint}}^{++}(A_p, L_{\Gamma_p}) \right\}$$

and hence

$$\lambda_1 \leq \max\{^{\text{choice of } \hat{A}_0 \geq 0}_{\text{satisfying } \hat{A}_0 + \cdots + \hat{A}_p = A}\} \min\{\lambda_{\text{lext}}^{++}(\hat{A}_0, L_{\Gamma_0}); \lambda_{\text{lint}}^{++}(\hat{A}_1, L_{\Gamma_1}); \cdots\} \text{.}$$

Since each of the  $\lambda_{\text{lext}}^{++}$ ,  $\lambda_{\text{lint}}^{++}$  is a monotonous decreasing function of the corresponding  $\hat{A}_i$ , the max min is attained when  $\hat{A}_0, \dots, \hat{A}_p$  are chosen such that all those  $\lambda_1^{++}$  are equal:

(14) 
$$\lambda_{\text{lext}}^{++}(\widehat{A}_{0}, L_{\Gamma_{0}}) = \lambda_{\text{lint}}^{++}(\widehat{A}_{1}, L_{\Gamma_{1}}) = \cdots = \lambda_{\text{lint}}^{++}(\widehat{A}_{p}, L_{\Gamma_{p}});$$

those are p transcendental equations, which together with

$$\hat{A}_{\scriptscriptstyle 0} + \hat{A}_{\scriptscriptstyle 1} + \cdots + \hat{A}_{\scriptscriptstyle p} = A$$

determine  $\hat{A}_0, \dots, \hat{A}_p$ ; these values are in general NOT equal to the true  $A_0, \dots, A_p$  corresponding to Weinberger's "effectless cutting"; but the common value

$$\lambda_1^{++}(\hat{A}_i, L_{P_i}) = \lambda_1^{++}(A; L_{\Gamma_0}, L_{\Gamma_1}, \dots, L_{\Gamma_n})$$

is the upper bound we were looking for.

Indeed: (i) If an inner boundary component  $\Gamma_p$  reduces to a point, i.e.  $L_{\Gamma_p} \to 0$ , then the corresponding  $\hat{A}_p \to 0$  (and also  $A_p \to 0$ ); there remain p-1 transcendental relations in (14) between  $\hat{A}_0, \dots, \hat{A}_{p-1}$ , which together with (15) determine these p quantities; therefore  $\lambda_1^{++}(A; L_{\Gamma_0}, \dots, L_{\Gamma_{p-1}}, 0) = \lambda_1^{++}(A; L_{\Gamma_0}, \dots, L_{\Gamma_{p-1}})$  as we wanted.

In the special case p=1, we have  $\lambda_1^{++}(A;L_{\Gamma_0},0)=\lambda_{\mathrm{lext}}^{++}(A,L_{\Gamma_0})$ .

(ii) If p=1 and  $L_{\Gamma_0}^2-L_{\Gamma_1}^2=4\pi A$ , there exists a circular ring with area A, outer perimeter  $L_{\Gamma_0}$  and inner perimeter  $L_{\Gamma_1}$ ; its first eigenvalue is precisely equal to  $\lambda_1^{++}(A;L_{\Gamma_0},L_{\Gamma_1})$ . (Here  $\widehat{A}_0=A_0$  and  $\widehat{A}_1=A_1$ ,  $G_0$  and  $G_1$  are separated by the "maximum line" of the annular membrane's first eigenfunction.)—Whence the isoperimetric inequality:

Of all (doubly or multiply connected) membranes which are fixed along their outer boundary  $\Gamma_0$  and one inner boundary component  $\Gamma_1$  (and otherwise free), with given A,  $L_{\Gamma_0}$  and  $L_{\Gamma_1}$  satisfying  $L_{\Gamma_0}^2 - L_{\Gamma_1}^2 = 4\pi A$ , the annular membrane has maximal  $\lambda_1$ .

EXAMPLE. A doubly connected fixed membrane, bounded by two circles of given radii, has maximum  $\lambda_1$  when the circles are concentric.

Remarks. (a) If  $\Gamma_0$  is a polygon,  $\lambda_{\text{text}}^{++}$  in (14) can be advantageously replaced by  $\lambda_1^{++}$  or by  $\lambda_1^{++}$ .

(b) If the considered membrane has a free outer boundary  $\gamma_0$ , the above discussion remains valid, the first term in (14) disappears from the formula, as disappear  $A_0$ ,  $\widehat{A}_0$  and  $L_{\Gamma_0}$ .

My best thanks are due to H. F. Weinberger for his proof [7] of the existence of an "effectless cutting", which allowed the very simple proof given in this § 3; without both Weinberger's kindness and skill, a long and delicate construction and discussion of a continuous trial function in the whole domain G (with level lines consisting of arcs parallel to different  $\Gamma_i$ ) would have been necessary to get the same (14), (15) and (16) finally.

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