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TRANSFORMATIONS OF DOMAINS IN THE PLANE AND APPLICATIONS IN THE THEORY OF FUNCTIONS

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In this paper we shall consider a family of transformations S_n $(n = 1, 2, \dots)$ operating on open or closed sets in the complex plane z. S_n is defined relatively to a fixed point called the center of transformation, and it transforms an open set into a starlike domain which, for n > 1, is also n-fold symmetric with respect to this point. Therefore, for n > 1, S_n may be classified as a method of symmetrization. This method of symmetrization was already defined by Szegö [4] for domains which are starlike with respect to the center of transformation.

The definition of S_n will be extended (in the way usually used for symmetrizations) so that S_n will operate also on a certain class of functions and a family of condensers, in the plane. It will be proved that S_n diminishes the capacity of a condenser and this result will be used in order to obtain certain theorems in the theory of functions.

1. Definitions and notations. The transformations S_n are defined as follows.

DEFINITION 1. Let Ω be an open set in the plane z, which does not contain the point at infinity, and let z_0 be a point of Ω . If $|z - z_0| < \rho$, $(0 < \rho)$, is a circle contained in Ω , we define:

$$(1) \qquad \qquad L_{
ho}(arphi) = \int_{E} rac{dr}{r} \; ,$$

where $|z - z_0| = r$ and

$$E = \{ z \, | \, z \in arDelta, \, | \, z - z_0 \, | >
ho, \, \mathrm{arg} \, (z - z_0) = arphi \} \; ;$$
(2) $L_{
ho}^{(n)}(arphi) = rac{1}{n} \sum_{k=0}^{n-1} L_{
ho} \Big(arphi \, + rac{2\pi k}{n} \Big) \; ;$

$$\left(\begin{array}{l} 3 \end{array}
ight) \qquad \left\{ egin{split} R(arphi) &=
ho \exp \left\{ L_{
ho}(arphi)
ight\} \ R^{(n)}(arphi) &= \left[\prod\limits_{k=0}^{n-1} R \Big(arphi + rac{2\pi k}{n} \Big)
ight]^{1/n} =
ho \exp \left\{ L_{
ho}^{(n)}(arphi)
ight\} \,. \end{split}$$

Evidently, $R^{(n)}(\varphi)$ does not depend on ρ .

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Now, the set obtained from Ω by the transformation $S_n = S_n(z_0)$, with center z_0 is defined as follows:

$$(\,4\,) \hspace{1cm} S_n \varOmega = \{ z \, | \, z - z_{\scriptscriptstyle 0} = r e^{i arphi}, \, 0 \leq r < R^{\, {\scriptscriptstyle (n)}}(arphi), \, 0 \leq arphi < 2\pi \}$$
 .

If instead of Ω we have a compact set H, which has an interior point z_0 , we define:

$$(4') \hspace{1cm} S_n H = \{ z \, | \, z - z_0 = r e^{i \varphi}, \, 0 \leq r \leq R^{(n)}(\varphi), \, 0 \leq \varphi < 2 \pi \}$$

It is easily verified that $S_n\Omega$ is a simply-connected domain and that S_nH is a connected compact set. Both sets are starlike with respect to z_0 .

We shall extend the definition of S_n over a family of functions \mathscr{G} which will now be defined. A non-constant real function g(z) belongs to \mathscr{G} if it is continuous over the extended plane z, if it takes its maximum value at infinity and if its minimum is assumed on a set of points, the interior of which is not empty. Let g(z) be a function of \mathscr{G} and let m and M be its minimum and maximum values, respectively. We define the following sets:

(5)
$$\begin{cases} G_m = \{z \mid g(z) = m\} \ , \ G_c = \{z \mid g(z) < c\} \ , \end{cases}$$
 for $m < c \leq M$.

 G_c (for m < c < M) is an open bounded set while G_m is a compact set. Let z_0 be an interior point of G_m and suppose that the circle $|z - z_0| \leq \rho$, $(0 < \rho)$, is contained in G_m . Denote by $L_{\rho}(c, \varphi)$, $L_{\rho}^{(n)}(c, \varphi)$, $R^{(n)}(c, \varphi)$ the functions defined by (1), (2), (3) with G_c replacing Ω . Clearly, for a fixed φ , $L_{\rho}(c, \varphi)$ is strictly monotonic increasing, for $m \leq c \leq M$. We also have:

$$(\ 6\) \qquad \qquad \left\{ egin{array}{lll} \lim_{c o d^-} L_
ho(c,\,arphi) = \, L_
ho(d,\,arphi), & ext{for} \ \ m < d \leq M\ ; \ \lim_{c o m} L_
ho(c,\,arphi) = \, L_
ho(m,\,
ho) \;. \end{array}
ight.$$

Let $S_n = S_n(z_0)$. From these properties of $L_{\rho}(c, \varphi)$, it follows that:

(7)
$$S_n G_c \subset S_n G_d$$
, for $m \leq c < d \leq M$;

(8)
$$S_n G_c = igcup_{m \leqq d < c} S_n G_d$$
 , for $m < c \leqq M$;

$$(9) \qquad \qquad S_n G_m = \bigcap_{m < d < M} S_n G_d \; .$$

Since $\overline{G}_c \subseteq \bigcap_{c < d < M} G_d$ we also have:

(10)
$$S_n \overline{G}_c \subseteq \bigcap_{c < d < M} S_n G_d$$
, $m \leq c < M$.

DEFINITION 2. Let $g(z) \in \mathcal{G}$. Using the notations introduced

above, we define the function $g^{(n)}(z)$ obtained from g(z) by the transformation $S_n = S_n(z_0)$, as follows:

(11)
$$S_n g \equiv g^{(n)}(z) = \begin{cases} \inf \{c \mid z \in S_n G_c\}, & \text{for } z \in S_n G_{\mathcal{U}}, \\ M, & \text{otherwise }. \end{cases}$$

From (8) and (9) we now conclude:

(12)
$$egin{array}{lll} \left\{ egin{array}{lll} S_n G_c &= \{ z \, | \, g^{(n)}(z) < c \} \;, & ext{for} \; m < c \leq M \;, \ S_n G_m &= \{ z \, | \, g^{(n)}(z) = m \} \;. \end{array}
ight.$$

2. A lemma concerning the function $g^{(n)}(z)$.

LEMMA 1. The function $g^{(n)}(z)$ is continuous over the extended plane z. If moreover g(z) is Lip on every compact subset of G_{M}^{1} then $g^{(n)}(z)$ is Lip on every compact subset of $S_{n}G_{M}$.

Proof. We begin by proving the continuity of $g^{(n)}(z)$. If $z^* \in S_n G_m$ and $g^{(n)}(z^*) = d > m$ then by (10) and (12), the set $S_n G_{d+\varepsilon}^* - S_n \overline{G}_{d-\varepsilon}^*$ (where $m < d^* - \varepsilon < d^* + \varepsilon < M$) is an open neighbourhood of z^* in which $|g^{(n)}(z) - g^{(n)}(z^*)| \leq \varepsilon$. If z^* belongs to $S_n G_m$ or z^* belongs to the complement of $S_n G_M$, then the set $S_n G_{m+\varepsilon}(m < m + \varepsilon < M)$, and the complement of $S_n \overline{G}_{M-\varepsilon}(m < M - \varepsilon < M)$ respectively, are open neighbourhoods of z^* in which $|g^{(n)}(z) - g^{(n)}(z^*)| \leq \varepsilon$.

In order to prove the second assertion of the lemma it is sufficient to show that $g^{(n)}(z)$ is Lip on every set $S_nG_c(m < c < M)$. Without loss of generality we may suppose that $z_0 = 0$ and that $\rho = 1$. (And in this case we shall write $L^{(n)}(c, \varphi)$ instead of $L_1^{(n)}(c, \varphi)$.) We now map the z plane, cut along the positive real axis from zero to infinity, by a branch of $w = \log z$, $(w = u^+iv)$, onto the strip $0 < v < 2\pi$. (The points of the positive real axis will be mapped both on v = 0 and $v = 2\pi$). We denote by H_c and H_c^n the images of G_c and S_nG_c by this mapping, and we put $h(w) = g(e^w)$ and $h^{(n)}(w) = g^{(n)}(e^w)$.

Let c be a fixed number in the open interval (m, M). Since g(z) is Lip on G_c , the function h(w) is Lip on H_c , and if it is shown that $h^{(m)}(w)$ is Lip on H_c^n , the required result follows.

Since h(w) is Lip on H_c , there exists a number p > 0 such that: $|h(w_1) - h(w_2)| \leq p |w_1 - w_2|$, for any $w_1, w_2 \in H_c$.

We need the following assertion:

If δ is a positive number and v_1 , v_2 , c_1 , c_2 are real numbers such that:

(13)
$$|v_1 - v_2| < \delta, \, m < c_1 < c_2 - p\delta < c - p\delta$$
 ,

¹ A function g(z) is Lip on a set E if there exists a constant p, such that for any two points $z_1, z_2 \in E$, we have $|g(z_1) - g(z_2)| \leq p |z_1 - z_2|$.

then

(14)
$$L^{(n)}(c_2, v_2) \ge L^{(n)}(c_1, v_1) + [\delta^2 - (v_1 - v_2)^2]^{1/2}$$
.

Because of the definition of $L^{(n)}(c, v)$, it is enough to prove (14) for n = 1. Without loss of generality we may suppose that $0 \leq v_k < 2\pi$, (k = 1, 2).

Denote by J_k the intersection of the half line $Imw = v_k$, $Rew \ge 0$, with the set H_{c_k} , for k = 1, 2. The Lebesgue measure of J_k is $L(c_k, v_k)$. Using (5) and (13) the following is easily verified:

Let $w_1 \in J_1$. If $w_2 = u_2 + iv_2$, $u_2 \ge 0$ and $|w_1 - w_2| \le \delta$, then $w_2 \in J_2$. From this and the fact that J_1 is bounded on the right, (14) follows for n = 1.

It will now be shown that

$$|h^{(n)}(w') - h^{(n)}(w'')| \leq p |w' - w''|$$
, for any $w', w'' \in H^n_c$.

Suppose that there are two points w_1 , w_2 in H_c^n for which this inequality does not hold, and let δ be a number such that:

(15)
$$|h^{\scriptscriptstyle(n)}(w_{\scriptscriptstyle 1})-h^{\scriptscriptstyle(n)}(w_{\scriptscriptstyle 2})|>p\delta>p\,|\,w_{\scriptscriptstyle 1}-w_{\scriptscriptstyle 2}|$$
 .

Let $h^{\scriptscriptstyle(n)}(w_{\scriptscriptstyle 1}) < h^{\scriptscriptstyle(n)}(w_{\scriptscriptstyle 2})$. Then we can find numbers $c_{\scriptscriptstyle 1}, \, c_{\scriptscriptstyle 2}$ such that:

(16)
$$m \leq h^{(n)}(w_1) < c_1 < c_2 - p\delta < h^{(n)}(w_2) - p\delta < c - p\delta$$
 .

Now the numbers c_1 , c_2 , $v_1 = Imw_1$, $v_2 = Imw_2$ satisfy (13), and therefore inequality (14) holds. Since, for m < c < M,

$$H^{n}_{c} = \{w \, | \, 0 \leq \mathit{Im}w \leq 2\pi, \, h^{\scriptscriptstyle(n)}(w) < c\} = \{w \, | \, 0 \leq v \leq 2\pi, \, u < L^{\scriptscriptstyle(n)}(c, v)\}$$
 ,

it follows (by (16)) that $w_1 \in H_{c_1}^n$ and $w_2 \notin H_{c_2}^n$; hence $u_1 = \operatorname{Re} w_1 < L^{(n)}(c_1, v_1)$ and $u_2 = \operatorname{Re} w_2 \geq L^{(n)}(c_2, v_2)$. These inequalities together with (14) yield $|w_1 - w_2| > \delta$, which is in contradiction to (15). This completes the proof of the lemma.

REMARK. The following is a consequence of the second part of the lemma: If g(z) is Lip on every compact subset of $G_M - G_m$, then $g^{(n)}(z)$ is Lip on every compact subset of $S_n G_M - S_n G_m$.

3. On a class of functions (C, z_0) . Let $C = (D, E_0, E_1)$ be a condenser in the complex plane z, i.e. a system consisting of a domain D and two disjoint closed sets E_0 and E_1 , such that D does not contain the point at infinity, E_0 is bounded, E_1 is unbounded and the union of E_0 and E_1 is equal to the complement of D.

Suppose that E_0 contains an interior point z_0 , let $z - z_0 = re^{i\varphi}$ and denote by S_{φ} the ray arg $(z - z_0) = \varphi$. Then a subclass (C, z_0) of \mathscr{G} is defined as follows.

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A real function g(z), continuous over the extended plane z, belongs to (C, z_0) if:

(i) g(z) possesses continuous first partial derivatives, in D.

(ii) $g(z) \equiv 0$ in E_0 , $g(z) \equiv 1$ in E_1 and 0 < g(z) < 1 in D.

(iii) The set of points on the ray S_{φ} , at which g(z) assumes a given value $c \ (0 < c < 1)$, is finite.

(iv) Any compact set of points on S_{φ} , which is contained in D, contains only a finite number of points (possibly zero) at which $\partial g(r, \varphi)/\partial r = 0$.

Suppose that the Dirichlet problem of the equation $\Delta u = 0$, with continuous boundary values, always has a solution in D. Then there exists a real function $\omega(z)$, continuous over the extended plane z, which is harmonic in D, vanishes on E_0 and assumes the value 1 on E_1 . This function is the potential functions of C. Evidently, it belongs to (C, z_0) .

Let $g(z) \in (C, z_0)$. Using property (iii) we find that (6) may be replaced by

(17)
$$\lim_{c \to c_0} L_{\rho}(c, \varphi) = L_{\rho}(c_0, \varphi), \qquad \text{for } 0 \leq c_0 \leq 1.$$

Therefore in this case, the function $g^{(n)}(z) \equiv S_n(z_0)g$ may be defined in the following way:

$$(18) \qquad g^{(n)}(z) = g^{(n)}(r, arphi) = egin{cases} 0, & ext{ for } r \leq R^{(n)}(0, arphi), \ c, & ext{ for } r = R^{(n)}(c, arphi), 0 < c < 1 \ 1, & ext{ for } r \geq R^{(n)}(1, arphi) \ . \end{cases}$$

Since, for a fixed φ , $g^{(n)}(r, \varphi)$ is a strictly monotonic increasing function of r in the interval $R^{(n)}(0, \varphi) < r < R^{(n)}(1, \varphi)$ and since $g^{(n)}(r, \varphi)$ is continuous over the entire plane, it follows that $R^{(n)}(c, \varphi)$ is continuous in both variables for 0 < c < 1, $0 \leq \varphi < 2\pi$.

The following definition extends the transformation S_n over a family of condensers $\{C\}$.

DEFINITION 3. Let $C = (D, E_0, E_1)$ be a condenser in the complex plane z, such that E_0 contains an interior point z_0 . Put $G_1 = D \cup E_0$ and suppose that S_nG_1 (with $S_n = S_n(z_0)$) does not contain the entire open plane. Then, the condenser $C^{(n)}$ obtained from C by the transformation $S_n = S_n(z_0)$ is defined as follows:

$$C^{\,{\scriptscriptstyle(n)}}=(D^{\,{\scriptscriptstyle(n)}},\,E_{\scriptscriptstyle 0}^{\,{\scriptscriptstyle(n)}},\,E_{\scriptscriptstyle 1}^{\,{\scriptscriptstyle(n)}})$$
 ,

where $D^{(n)} = S_n G_1 - S_n E_0$, $E_0^{(n)} = S_n E_0$ and $E_1^{(n)} =$ the complement of $S_n G_1$.

4. A theorem concerning the Dirichlet integral of functions belonging to (C, z_0) .

THEOREM 1. Let $C = (D, E_0, E_1)$ be a condenser in the complex plane z, such that E_0 contains an interior point z_0 . Suppose that g(z) belongs to (C, z_0) and that its Dirichlet integral over D is finite. If $S_n = S_n(z_0)$, $(n = 1, 2, 3, \dots)$, $g^{(n)}(z) = S_n g$, and $D^{(n)}$ is the domain mentioned in Definition 3, then:

(19)
$$\iint_{D^{(n)}} (\nabla g^{(n)})^2 dx dy \leq \iint_D (\nabla g)^2 dx dy .$$

REMARK. This theorem was proved by Szegö [4], for $n = 2, 3, \dots$, in the special case where, D is a doubly-connected domain bounded by two smooth curves which are starlike with respect to z_0 ; E_0 and E_1 are connected sets; and the function g(z) is the potential function of the condenser C.

Proof. By property (i) of g(z) and by the remark at the end of Lemma 1 it follows that $g^{(n)}(z)$ is Lip on every compact subset of $D^{(n)}$. Therefore the first partial derivatives of $g^{(n)}(x, y)$ exist almost everywhere in $D^{(n)}$ and are bounded in every compact subset of $D^{(n)}$.

Without loss of generality we may suppose that $z_0 = 0$ and that the circle $|z| \leq \rho = 1$ is contained in E_0 . Again we shall write $L^{(n)}(c, \varphi)$ instead of $L_{\rho}^{(n)}(c, \varphi)$. We also introduce the following notations:

$$egin{array}{ll} D(a,\,b) = \{ z \, | \, a < g(z) < b \} \; , \ D^{\,(n)}(a,\,b) = \{ z \, | \, a < g^{(n)}(z) < b \} \; , & {
m for} \;\; 0 < a < b < 1 \; . \end{array}$$

The sets D(a, b) and $D^{(n)}(a, b)$ will be mapped by $w = \log z$ $(0 \le Imw < 2\pi)$ on two sets which we denote by H(a, b) and $H^{(n)}(a, b)$, respectively. Finally we define: $h(w) = g(e^w)$, $h^{(n)}(w) = g^{(n)}(e^w)$ and

$$\gamma_{c} = \{ w \, | \, 0 < \mathit{Im} \, w < 2\pi, \, h(w) = c \}$$
 , for $\, 0 < c < 1$.

The proof of the theorem rests on the following inequality:

(20)
$$\iint_{H^{(n)}(a,b)} [1 + \varepsilon^2 (\nabla h^{(n)})^2]^{1/2} du dv \leq \iint_{H(a,b)} [1 + \varepsilon^2 (\nabla h)^2]^{1/2} du dv ,$$

where w = u + iv, 0 < a < b < 1 and $\varepsilon > 0$.

Inequality (19) is derived from (20) by a standard argument which we shall briefly describe.

The closures of the sets D(a, b) and $D^{(n)}(a, b)$ are compact sets contained in D and $D^{(n)}$, respectively. Therefore the first partial derivatives of h(u, v) $(h^{(n)}(u, v))$ are bounded in H(a, b) $(H^{(n)}(a, b))$. It is evident from the definitions that the area of H(a, b) equals that

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of $H^{(n)}(a, b)$. Taking into account these facts and using the binomial expansion of the integrands in (20), (for ε small enough), we obtain:

$$\frac{\varepsilon^2}{2} \iint_{H^{(n)}(a,b)} (\mathcal{V}h^{(n)})^2 du dv + O(\varepsilon^4) \leq \frac{\varepsilon^2}{2} \iint_{H(a,b)} (\mathcal{V}h)^2 du dv + O(\varepsilon^4) \; .$$

Dividing by ε^2 and letting ε tend to zero we find that

$$\iint_{H^{(n)}(a,b)} (\nabla h^{(n)})^2 du dv \leq \iint_{H(a,b)} (\nabla h)^2 du dv .$$

Since the Dirichlet integral is invariant under a simple conformal mapping, it follows that

Hence, letting a tend to zero and b tend to one, we obtain the required inequality.

In the proof of (20) we may suppose that $\varepsilon = 1$.

The first step is the following assertion. Suppose that $w^* = u^* + iv^* \in H^{(n)}(a, b)$ and $0 < v^* < (2\pi/n)$. Put $h^{(n)}(u^*, v^*) = c^*$. If $\partial h/\partial u \neq 0$ at all the points of intersection of the set γ_{c^*} and the lines $Im \ w = v^* + (2\pi m/n) \ (m = 0, \dots, n-1)$, then there exists a neighbourhood of w^* in which $h^{(n)}(u, v) \in C^1$.

In order to prove this assertion we shall show first that $L(c, v) \in C^1$ in a neighbourhood of (c^*, v^*) . By property (iii) the set γ_{c^*} intersects the line $Im \ w = v^*$ in a finite number of points, which we denote by w_1, \dots, w_p , where $Re \ w_1 < Re \ w_2 < \dots < Re \ w_p$. By hypothesis, $\partial h/\partial u \neq 0$ at these points. Let q be a positive number such that the circles $K_j: |w - w_j| \leq q$, $(j = 1, \dots, p)$, are contained in H(a, b) and $\partial h/\partial u \neq 0$ in them. Then the following is easily verified:

There exists a rectangle

$$P = \{(c, v) \mid | c - c^* | \leq \delta, | v - v^* | \leq \delta \}$$
,

(where $a < c^* - \delta < c^* + \delta < b$, $0 < v^* - \delta < v^* + \delta < (2\pi/n)$), such that:

(a) If $(c, v) \in P$ then γ_c intersects the line $Im \ w = v$ in exactly p points, one point in each circles K_j .

(b) The set $H(c^*-\delta, c^*+\delta)$ intersects the strip $v^*-\delta < Imw < v^*+\delta$ in exactly p domains Q_j , where $Q_j \subset K_j$, $(j = 1, \dots, p)$. Solving c = h(u, v) for u in Q_j we obtain a function $u = u_j(c, v)$. This function belongs to C^1 in the rectangle P where

(21)
$$\frac{\partial u_j}{\partial c} = \left(\frac{\partial h}{\partial u}\right)^{-1}, \quad \frac{\partial u_j}{\partial v} = -\left(\frac{\partial h}{\partial v}\right) \times \left(\frac{\partial h}{\partial u}\right)^{-1}.$$

Since by definition:

(22)
$$L(c, v) = \sum_{j=1}^{p} (-1)^{j+1} \times u_j(c, v)$$

it follows that $L(c, v) \in C^{1}[P]$. We observe that in Q_{j} we have $\partial h/\partial u = (-1)^{j+1} \times |\partial h/\partial u|$ so that

(23)
$$\frac{\partial L}{\partial c} = \sum_{j=1}^{p} \left| \frac{\partial u_j}{\partial c} \right|, \qquad \text{in } P.$$

Evidently, similar results hold for any of the points $c = c^*$, $v = v^* + (2\pi m/n)$, for $m = 0, \dots, n-1$. Therefore it is possible to find a positive number $\eta(\eta \leq \delta)$ such that $L^{(n)}(c, v) \in C^1$ and $(\partial L^{(n)}/\partial c) > 0$ in the rectangle $|c - c^*| < \eta$, $|v - v^*| < \eta$. By (18), for any fixed $v, c = h^{(n)}(u, v)$ is the inverse function of $u = L^{(n)}(c, v)$ in the interval 0 < c < 1. Hence it follows that in a certain neighbourhood of (u^*, v^*) , $h^{(n)}(u, v) \in C^1$ and

(24)
$$\frac{\partial h^{(n)}}{\partial u} = \left(\frac{\partial L^{(n)}}{\partial c}\right)^{-1}, \quad \frac{\partial h^{(n)}}{\partial v} = -\left(\frac{\partial L^{(n)}}{\partial v}\right) \times \left(\frac{\partial L^{(n)}}{\partial c}\right)^{-1}$$

Denote by A(v) and $A_n(v)$ the intersections of the line Im w = vwith the sets H(a, b) and $H^{(n)}(a, b)$ respectively. Let $w \in A(v)$ and h(w) = c, $(0 < v < 2\pi)$. If at one of the points of intersection of γ_c with the line Im w = v, $\partial h/\partial u$ vanishes then we shall say that w is a critical point of A(v). Let $w \in A_n(v)$ and $h^{(n)}(w) = c$. If the intersection of γ_c with one of the sets $A(v + 2\pi m/n)$, $(m = 0, \dots, n - 1)$, contains a critical point of that set, we shall say that w is a critical point of $A_n(v)$. By properties (iii) and (iv) the set of critical points of A(v)is finite, and consequently, the set of critical points of $A_n(v)$ is finite.

We shall prove now that

(25)
$$\int_{\mathcal{A}_{1}(v)} [1 + (\nabla h^{(1)})^{2}]^{1/2} du \leq \int_{\mathcal{A}(v)} [1 + (\nabla h)^{2}]^{1/2} du$$

for $0 < v < 2\pi$. Inequality (20) for n = 1, follows from (25).

Let v_0 be a fixed point in the interval $(0, 2\pi)$ and let $\{c_1, \dots, c_{k-1}\}$ be the set of values (possibly void) taken by h(w) at the critical points. of $A(v_0)$. We assume that these values are ordered as follows:

$$a = c_{\scriptscriptstyle 0} < c_{\scriptscriptstyle 1} < \cdots < c_{\scriptscriptstyle k-1} < c_{\scriptscriptstyle k} = b$$
 .

Denote by B_l that subset of $A(v_0)$ which consists of open segments, free from critical points, such that at the endpoints of each segment h(w) assumes the values c_l and c_{l+1} . Evidently, for any l $(l = 0, \dots, k-1)$ the set B_l is not void and $A(v_0) = \bigcup_{l=0}^{k-1} B_l$.

Now let *m* be a fixed integer, $0 \le m \le k-1$, and denote by $\alpha_1, \dots, \alpha_p$.

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the segments contained in B_m , which were described above. We shall assume that α_j is at the left of α_{j+1} , $(j = 1, \dots, p-1)$. In some neighbourhood of α_j it is possible to solve c = h(u, v) for u and thereby obtain a function $u = u_j(c, v)$. By (21) we obtain:

(26)
$$\int_{a_j} [1 + (\nabla h(u, v_0))^2]^{1/2} du = \int_{c_m}^{c_{m+1}} [1 + (\nabla u_j(c, v_0))^2]^{1/2} dc ,$$

for $j = 1, \dots, p$.

Denote: $u'_j = L(c_j, v_0)$ and $w'_j = u'_j + iv_0$, $(j = 0, \dots, k)$. Then w'_0 and w'_k are the endpoints of $A_1(v_0)$ while w'_1, \dots, w'_{k-1} are the critical points of $A_1(v_0)$. Denote by B'_m the open segment with endpoints w'_m , w'_{m+1} . By (22) and (24) (with n = 1) we get:

(27)
$$\int_{B'_{m}} [1 + (\nabla h^{(1)}(u, v_{0}))^{2}]^{1/2} du = \int_{c_{m}}^{c_{m+1}} [1 + (\nabla L(c, v_{0}))^{2}]^{1/2} dc$$
$$= \int_{c_{m}}^{c_{m+1}} \left\{ 1 + \left[\nabla \sum_{j=1}^{p} (-1)^{j+1} u_{j}(c, v_{0}) \right]^{2} \right\}^{1/2} dc .$$

By (26), (27) and the well known inequality

(28)
$$\left\{ \left(\sum_{j=1}^{p} x_{j}\right)^{2} + \left(\sum_{j=1}^{p} y_{j}\right)^{2} + \left(\sum_{j=1}^{p} t_{j}\right)^{2} \right\}^{1/2} \leq \sum_{j=1}^{p} (x_{j}^{2} + y_{j}^{2} + t_{j}^{2})^{1/2},$$

 $(x_j, y_j, t_j \text{ being real numbers})$ we finally obtain:

(29)
$$\int_{B'_{m}} [1 + (\Gamma h^{(1)}(u, v_{0}))^{2}]^{1/2} du \leq \int_{B_{m}} [1 + (\Gamma h(u, v_{0}))^{2}]^{1/2} du \\ = \sum_{j=1}^{p} \int_{a_{j}} [1 + (\Gamma h(u, v_{0}))^{2}]^{1/2} du$$

Since (29) holds for any m, $(m = 0, \dots, k-1)$ inequality (25) follows.

It remains to prove inequality (20) for $n = 2, 3, \cdots$. Since this inequality is proved for n = 1, it is enough to show that

$$(30) \quad n \times \int_{A_n(v_0)} [1 + (\nabla h^{(n)}(u, v_0))^2]^{1/2} du \leq \sum_{j=0}^{n-1} \int_{A_1(v_j)} [1 + (\nabla h^{(1)}(u, v_j))^2]^{1/2} du ,$$

where $0 < v_{\scriptscriptstyle 0} < (2\pi/n)$ and $v_{\scriptscriptstyle j} = v_{\scriptscriptstyle 0} + (2\pi j/n)$.

Let $\{c_1^*, \dots, c_{r-1}^*\}$ be the set of values (possibly void) assumed by $h^{(n)}(w)$ at the critical points of $A_n(v_0)$, these values being ordered as follows:

$$a = c_{\scriptscriptstyle 0}^* < c_{\scriptscriptstyle 1}^* < \dots < c_{r-1}^* < c_r^* = b$$
 .

Put $u_m^* = L^{(n)}(c_m^*, v_0)$ and $u_{m,j}^* = L(c_m^*, v_j)$. By (24) we get:

(31)
$$\begin{split} \int_{u_m^*}^{u_{m+1}^*} [1 + (\nabla h^{(n)}(u, v_0))^2]^{1/2} du &= \int_{c_m^*}^{c_{m+1}^*} [1 + (\nabla L^{(n)}(c, v_0))^2]^{1/2} dc \\ &= \frac{1}{n} \int_{c_m^*}^{c_{m+1}^*} \left[n^2 + \left(\sum_{j=0}^{n-1} \nabla L(c, v_j) \right)^2 \right]^{1/2} dc ; \\ &\int_{u_{m,J}^*}^{u_{m+1,j}^*} [1 + (\nabla h^{(1)}(u, v_j))^2]^{1/2} du = \int_{c_m^*}^{c_{m+1}^*} [1 + (\nabla L(c, v_j))^2]^{1/2} dc , \end{split}$$

for $m = 0, \dots, r-1$ and $j = 0, \dots, n-1$. From (31) and (28), inequality (30) follows. This completes the proof of the theorem.

5. The transformation S_n diminishes the capacity of a condenser. Let $C = (D, E_0, E_1)$ be a condenser in the complex plane z, satisfying the conditions of Definition 3. It will be assumed that the Dirichlet problem for $\nabla u = 0$, with continuous boundary values, always has a solution in D. (Sufficient conditions for the validity of this assumption are given, for example, in Hayman [2], Th. 4.2, pp. 63-64. Following Hayman's terminology we shall say that a domain is admissible if it satisfies these conditions.) The capacity of the condenser C is defined as the Dirichlet integral over D, of the potential function $\omega(z)$ of C, (see § 3).

Let $C^{(n)} = S_n C = (D^{(n)}, E_0^{(n)}, E_1^{(n)})$, (where $S_n = S_n(z_0)$). The domain $D^{(n)}$ is admissible so that the capacity of $C^{(n)}$ is defined. We now prove the following:

THEOREM 2. Let C and $C^{(n)}$ be the condensers mentioned above and denote their capacities by I and I_n respectively. Then we have $I_n \leq I$.

Proof. Let $\omega^{(n)}(z) = S_n \omega(z)$, $(S_n = S_n(z_0))$. Since $\omega(z) \in (C, z_0)$, by Theorem 1 we have

(32)
$$\int_{D^{(n)}} (\nabla \omega^{(n)})^2 dx dy \leq \int_D (\nabla \omega)^2 dx dy = I.$$

The function $\omega^{(n)}(z)$ is continuous over the extended plane z and Lip in every compact subset of $D^{(n)}$; it vanishes on E_0 and assumes the value 1 on E_1 . Hence, by the Dirichlet minimum principle (see, Hayman [2], Th. 4.3, pp. 65-67) we have

(33)
$$I_n \leq \int_{\mathcal{D}^{(n)}} (\nabla \omega^{(n)})^2 dx dy .$$

The required result follows from (32) and (33).

We shall apply Theorem 2 in order to obtain a result about the inner radius. Let D be a domain in the complex plane z, z_0 a point

of D, and $r(D, z_0)$ the inner radius of D at z_0 . (We refer here to the definition given, for example, in Hayman [2] pp. 78-80, where the inner radius is defined without any assumptions on D.) The domain D can be approximated from within by a series of bounded analytic domains $\{D_n\}$, which contain the point z_0 , such that $\lim_{n\to\infty} r(D_n, z_0) = r(D, z_0)$. (An analytic domain is a domain bounded by a finite number of disjoint, simple closed, analytic curves.) By a well known method of Pólya and Szegö (see Pólya-Szegö [3] pp. 44-45; also Hayman [2] pp. 81-84) the following theorem is obtained as a consequence of Theorem 2.

THEOREM 3. Let D be a domain in the complex plane z and let $z_0 \in D$. If $S_n = S_n(z_0)$, then

(34)
$$r(D, z_0) \leq r(S_n D, z_0) .$$

6. Applications in the theory of functions. In this section we denote by w = f(z) a function which is regular in |z| < 1 and by D the domain of all values w assumed by this function at least once in |z| < 1. It is known that

(35)
$$|f'(0)| \leq r(D, f(0))$$
,

equality holding if and only if f(z) is a (1,1) mapping, (see Hayman [2], Th. 4.5, p. 80).

As a consequence of Theorem 3 we obtain the following:

THEOREM 4. Let $S_n = S_n(f(0))$ and suppose that S_nD does not contain the entire open plane. Let w = F(z) be a (1,1) conformal mapping of |z| < 1 onto S_nD , such that F(0) = f(0). Then we have $|f'(0)| \leq |F'(0)|$.

Proof. By (35) we get: $|f'(0)| \leq r(D, f(0))$ and $|F'(0)| = r(S_nD, F(0))$. From these relations together with (34), the required inequality follows.

The following results are based on Theorem 4.

THEOREM 5. Let $f(z) = a_1 z + a_2 z^2 + \cdots$. Define $R^{(n)}(\varphi)$ as in Definition 1, for the domain D and the point w = 0. Then,

$$|a_1| \leq \sqrt[n]{4} R^{(n)}(\varphi) , \qquad (0 \leq \varphi < 2\pi)$$

and equality holds for the function

$$w = \psi_n(z) = t e^{i(\varphi+\theta)} z/(1 + e^{in\theta} z^n)^{2/n}$$
, (t and θ real numbers).

Proof. Let φ_0 be a fixed real number and suppose that $R^{(n)}(\varphi_0) = d < \infty$. Denote by D_0 the domain containing the entire w plane, with the exception of n rays: arg $w = \varphi_0 + (2\pi k/n), d \leq |w|, (k = 0, \dots, n-1)$. The domain $S_n D(S_n = S_n(0))$ is contained in D_0 . The function $w = \frac{n}{\sqrt{4}} de^{i\varphi_0} f_n(z)$ where

(37)
$$f_n(z) = z/(1+z^n)^{2/n}$$
,

maps |z| < 1 conformally, (1,1) onto D_0 . Therefore, by the principle of subordination and Theorem 4 it follows that $|a_1| \leq \sqrt[w]{4}d$, and inequality (36) is proved. The assertion concerning the function $w = \psi_n(z)$ is evident.

The following theorem may be proved by the same method.

THEOREM 6. Let $f(z) = a_1 z + a_2 z^2 + \cdots$. Suppose that $R^{(n)}(\varphi) \leq M < \infty$ for $0 \leq \varphi < 2\pi$ and that $R^{(n)}(\varphi_0) = \beta M (0 < \beta \leq 1)$. Then

$$|a_1| \leq \beta M \cdot \sqrt[n]{4}/(1+\beta^n)^{2/n},$$

and equality holds for the function

$$w=\phi_n(z)=Me^{iarphi_0}f_n^{-1}[qf_n(e^{i heta}z)]$$
 ,

where $f_n(z)$ is defined by (37), $0 \leq \theta < 2\pi$ and $q = \sqrt[n]{4}\beta/(1+\beta^n)^{2/n}$.

We now prove

THEOREM 7. Let $f(z) = a_1 z + a_2 z^2 + \cdots$ and define:

(39)
$$R_{0} = \exp\left[\frac{1}{2\pi}\int_{0}^{2\pi}\log R(\varphi)d\varphi\right] = \exp\left[\frac{1}{2\pi}\int_{0}^{2\pi}\log R^{(n)}(\varphi)d\varphi\right].$$

Then $|a_1| \leq R_0$, and equality holds for $w = a_1 z^2$.

Proof. First suppose that w = f(z) is regular in $|z| \leq 1$ and that $f'(z) \neq 0$ on |z| = 1. Then $R(\varphi)$ is a continuous function of φ , and we have

$$(40) \qquad \lim_{n\to\infty}R^{(n)}(\varphi)=\lim_{n\to\infty}\exp\left[\frac{1}{n}\sum_{k=0}^{n-1}\log\,R\Big(\varphi+\frac{2\pi k}{n}\Big)\right]=R_{\scriptscriptstyle 0}\;,$$

for any real φ . Therefore, if a positive ε is given and n is sufficiently large, the domain S_nD (where $S_n = S_n(0)$) is contained in the circle $|z| < R_0 + \varepsilon$. Hence, by Theorem 4 and the principle of subordi-

² The author obtained this result in a weaker form, with $\overline{r}_n = \frac{1}{2\pi} \int_0^{2\pi} R^{(n)}(\varphi) d\varphi$ instead of R_0 . (By the geometric-arithmetic mean theorem $R_0 \leq \overline{r}_n$ for every *n*). The stronger form written above was suggested by the referee, to whom our thanks are due.

nation, we get $|a_1| \leq R_0 + \varepsilon$. In order to prove the theorem in the general case, we approximate the function w = f(z) by functions $w = f(\rho z)$, with $0 < \rho < 1$.

Let Ω be an open set in the plane z and let $z_0 \in \Omega$. Denote by $m(\varphi)$ the linear (Lebesgue) measure of the set $E(\varphi) = \{z | \arg(z - z_0) = \varphi, z \in \Omega\}$, and define

(41)
$$m^{(n)}(\varphi) = \frac{1}{n} \sum_{k=0}^{n-1} m \left(\varphi + \frac{2\pi k}{n} \right).$$

We shall show that Theorems 5, 6, 7, remain true if $R(\varphi)$ is replaced by $m(\varphi)$, and $R^{(n)}(\varphi)$ by $m^{(n)}(\varphi)$. This is a consequence of the following inequalities:

(42)
$$R(\varphi) \leq m(\varphi)$$
,

$$(42') extsf{R}^{(n)}(arphi) \leq m^{(n)}(arphi) \,, extsf{for} \ \ 0 \leq arphi < 2\pi \;.$$

If $R(\varphi)$ is finite, equality holds in (42) if and only if the set $E(\varphi)$ is contained in a segment E^* such that $E^* - E(\varphi)$ is a set of measure zero. (We shall refer to this condition as the *MR* condition.) Inequality (42') follows from (42) by the geometric-arithmetic mean theorem. Hence, if $R^{(n)}(\varphi)$ is finite, equality holds in (42') if and only if

$$R(arphi)=R\Big(arphi+rac{2\pi k}{n}\Big)=m(arphi)=m\Big(arphi+rac{2\pi k}{n}\Big)$$
 , $(k=1,\,\cdots,\,n-1)$.

From this it follows that when we replace $R(\varphi)$ by $m(\varphi)$ and $R^{(n)}(\varphi)$ by $m^{(n)}(\varphi)$, the functions mentioned at the end of Theorems 5, 6, 7, are in each case, the *only* functions for which equality holds.

In order to prove (42) we may suppose that $m(\varphi)$ is finite. In this case, for any $\varepsilon > 0$ we can find a subset F of $E(\varphi)$, consisting of a finite number of segments, such that the linear measure of $E(\varphi) - F$ is smaller than ε . Therefore it is enough to prove (42) in the case that $E(\varphi)$ consists of a finite number of segments. Suppose that these segments are not adjacent. Then, by shifting them toward z_0 (so that they do not overlap), we increase $R(\varphi)$, while $m(\varphi)$ is invariant. But if the segments are adjacent we have $R(\varphi) = m(\varphi)$. Therefore (42) is proved.

Evidently, the MR condition for $E(\varphi)$ is sufficient in order that $R(\varphi) = m(\varphi)$. Suppose now that $R(\varphi)$ is finite and that $E(\varphi)$ does not satisfy the MR condition. Then it is possible to find a subset F_1 of $E(\varphi)$ and a subset F_2 of the complement of $E(\varphi)$ on the ray $\arg(z-z_0) = \varphi$, such that the two subsets have equal, positive measures and F_2 separates F_1 from z_0 . Replacing F_1 by F_2 we increase $R(\varphi)$, but not $m(\varphi)$. Therefore we must have $R(\varphi) < m(\varphi)$.

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