

# Pacific Journal of Mathematics

## **AN APPLICATION OF A FAMILY HOMOTOPY EXTENSION THEOREM TO ANR SPACES**

ARTHUR H. KRUSE AND PAUL WILLIAM LIEBNITZ, JR.

## AN APPLICATION OF A FAMILY HOMOTOPY EXTENSION THEOREM TO ANR SPACES

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The first of the writers, on p. 206 of *Introduction to the Theory of Block Assemblages and Related Topics in Topology*, NSF Research Report, University of Kansas, 1956, defined a clean-cut pair to be any pair  $(X, A)$  in which  $X$  is a metrizable space,  $A$  is a closed subset of  $X$ ,  $A$  is a strong deformation neighborhood retract of  $X$ , and  $X - A$  is an ANR. It is shown in the present paper that for each clean-cut pair  $(X, A)$ ,  $X$  is an ANR if and only if  $A$  is an ANR. A consequence is that for each locally step-finite clean-cut block assemblage (cf. the report cited above), the underlying space is an ANR. One of the central tools is a family homotopy extension theorem.

Consider a topological space  $X$  and a set  $A \subset X$ .

Suppose  $A \subset N \subset X$ . A *strong deformation retraction in  $X$  of  $N$  onto  $A$*  is a retraction  $r$  of  $N$  onto  $A$  such that there is a homotopy  $H: N \times I \rightarrow X$  between the identity map on  $N$  and  $r$  which leaves  $A$  pointwise fixed at each stage. Also,  $A$  is a *strong deformation retract in  $X$  of  $N$*  if and only if there is a strong deformation retraction in  $X$  of  $N$  onto  $A$ . (These definitions are handled more generally in [4, pp. 109–111].)  $A$  is a *strong deformation neighborhood retract of  $X$*  if and only if for each neighborhood  $U$  of  $A$  in  $X$  there is a neighborhood  $V$  of  $A$  in  $U$  such that  $A$  is a strong deformation neighborhood retract in  $U$  of  $V$ . (This definition is taken from [4, p. 127].) It is observed in [4, pp. 127–128] that  $A$  is a strong deformation neighborhood retract of  $X$  if and only if  $A$  is a strong deformation retract in  $X$  of some neighborhood of  $A$ .

By an ANR we shall mean an ANR relative to the class of all metrizable spaces.

In [4, p. 206] the pair  $(X, A)$  is defined to be *clean-cut* if and only if  $X$  is metrizable,  $A$  is a closed subset of  $X$ ,  $A$  is a strong deformation neighborhood retract of  $X$ , and  $X - A$  is an ANR.

In §2 it will be shown that if  $(X, A)$  is a clean-cut pair, then  $X$  is an ANR if and only if  $A$  is an ANR. The “only if” part is trivial. The proof of the “if” part will be based on the usual *LC* characterization of an ANR and the following proposition from [4, p. 181] (the hypothesis there that  $\{X_j\}_{j \in J}$  covers  $X$  is inessential since  $X$  and  $K$  may be added to the respective families).

PROPOSITION 1.1. Suppose that  $X$  is a topological space and that

$\{X_j\}_{j \in J}$  is a family of subsets of  $X$ . Suppose that  $K$  is a simplicial complex ( $|K|$  having the usual  $CW$ -topology) and that  $\{K_j\}_{j \in J}$  is a family of subcomplexes of  $K$ . Suppose that

$$f: (|K|, |K_j|)_{j \in J} \rightarrow (X, X_j)_{j \in J}$$

is a continuous map,  $L$  is a subcomplex of  $K$ , and

$$H: (|L| \times I, |L \cap K_j| \times I)_{j \in J} \rightarrow (X, X_j)_{j \in J}$$

is a homotopy from  $f|_{|L|}$  to some map

$$g: (|L|, |L \cap K_j|)_{j \in J} \rightarrow (X, X_j)_{j \in J}.$$

Then  $H$  has an extension

$$H': (|K| \times I, |K_j| \times I)_{j \in J} \rightarrow (X, X_j)_{j \in J}$$

which is a homotopy from  $f$  to some extension of  $g$ .

The reader may read § 2 on the basis of 1.1 and standard results from  $ANR$  theory. In [4, p. 181], 1.1 is done with  $CW$ -complexes in place of simplicial complexes. If the set  $J$  in 1.1 is empty, we get one of several homotopy extension theorems. We may call 1.1 a family homotopy extension theorem. For a general treatment of homotopy extension theorems and family homotopy extension theorems, see [4, pp. 210–217].

2. Results for pairs  $(X, A)$ . Each simplicial complex will have the  $CW$ -topology. Consider any class  $\mathcal{K}$  of simplicial complexes. As in [4, pp. 231–232],  $\mathcal{K}$  is *admissible* if and only if  $\mathcal{K}$  is closed under subcomplexes and isomorphic images. Suppose that  $X$  is a topological space,  $\mathcal{K}$  is an admissible class of simplicial complexes, and  $m$  is a nonnegative integer. Then, as in [4, p. 232],  $X$  is *LC from  $m$  upward relative to  $\mathcal{K}$*  if and only if for each covering  $\mathcal{U}$  of  $X$  by open subsets of  $X$  there is a covering  $\mathcal{V}$  of  $X$  by open subsets of  $X$  such that (\*) below holds.

(\*) If  $K \in \mathcal{K}$ , if  $L$  is a subcomplex of  $K$ , if  $K^m \subset L$  ( $K^m$  is the  $m$ -skeleton of  $K$ ), if  $g: |L| \rightarrow X$  is a  $\mathcal{V}$ -subordinate partial realization of  $K$  in  $X$  (thus, for each  $\sigma \in K$ ,  $g(\bar{\sigma} \cap |L|) \subset$  some member of  $\mathcal{V}$ ), then  $g$  extends to a  $\mathcal{U}$ -subordinate full realization  $f: |K| \rightarrow X$  of  $K$  in  $X$ .

Also,  $X$  is *LC relative to  $\mathcal{K}$*  if and only if  $X$  is *LC from 0 upward relative to  $\mathcal{K}$* . Also,  $X$  is *LC* if and only if  $X$  is *LC relative to the class of all simplicial complexes*.

The following lemma is probably well-known and follows immediately from standard theorems (e.g., cf. [3, (A), p. 86]). In fact,

one could replace  $I$  by any compact space.

**LEMMA 2.1.** *Suppose that  $N$  and  $X$  are spaces and  $A$  is a closed subset of  $N$ . Suppose that  $H: N \times I \rightarrow X$  is continuous. Suppose that  $\mathcal{U}$  is a covering of  $H(A \times I)$  by open subsets of  $X$  and that for each  $a \in A$ ,  $H(\{a\} \times I) \subset U$  for some  $U \in \mathcal{U}$ . Then there exists a covering  $\mathcal{V}$  of  $A$  by open subsets of  $N$  such that for each  $V \in \mathcal{V}$ ,  $H(V \times I) \subset$  some member of  $\mathcal{U}$ .*

Suppose that  $X$  is a space and  $\mathcal{V}$  is a set of subsets of  $X$ . If  $A \subset X$ , the *star of  $A$  with respect to  $\mathcal{V}$*  is the union of those elements of  $\mathcal{V}$  which meet  $A$  and will be denoted  $St(A; \mathcal{V})$ . If  $\mathcal{U}$  and  $\mathcal{V}$  are sets of subsets of  $X$ ,  $\mathcal{V}$  will be said to *star-refine* (or *\*-refine*)  $\mathcal{U}$  if and only if for each  $V \in \mathcal{V}$ ,  $St(V; \mathcal{V})$  is a subset of a member of  $\mathcal{U}$ . In this case,  $\mathcal{V}$  will be called a *star-refinement* (or *\*-refinement*) of  $\mathcal{U}$ .

**THEOREM 2.2.** *Suppose that  $X$  is a normal and paracompact space and  $A$  is a nonvoid closed subset of  $X$  which is a strong deformation neighborhood retract of  $X$ . Let  $\mathcal{K}$  be an admissible class of simplicial complexes, and let  $m$  be a nonnegative integer. Suppose that  $A$  and  $X - A$  are LC from  $m$  upward relative to  $\mathcal{K}$ . Then  $X$  is LC from  $m$  upward relative to  $\mathcal{K}$ .*

*Proof.* Consider any covering  $\mathcal{U}$  of  $X$  by open subsets of  $X$ . Let  $\mathcal{U}'$  be a \*-refinement of  $\mathcal{U}$  by open subsets of  $X$  which covers  $X$ . Let  $N$  be an open neighborhood of  $A$  in  $X$  such that  $A$  is a strong deformation retract in  $X$  of  $N$ . Thus there is a homotopy  $H: N \times I \rightarrow X$  such that  $H(u, t) = u$  for each  $u \in A$  and each  $t \in I$  and such that  $H(u, 0) = u$  and  $H(u, 1) = r(u)$  for each  $u \in N$ , where  $r: N \rightarrow A$  is some retraction onto  $A$ . Let  $\mathcal{V}_1$  be a covering of  $A$  by open subsets of  $N$  which refines  $\mathcal{U}'$  such that if  $K \in \mathcal{K}$ , if  $L$  is a subcomplex of  $K$ , if  $K^m \subset L$ , if  $g: |L| \rightarrow A$  is a partial realization of  $K$  in  $A$  subordinate to  $\mathcal{V}_1$ , then  $g$  can be extended to a full realization of  $K$  in  $A$  subordinate to  $\mathcal{U}'$ . Using 2.1, let  $\mathcal{V}_2$  be a covering of  $A$  by open subsets of  $N$  such that for each  $V \in \mathcal{V}_2$ ,  $H(V \times I) \subset$  some member of  $\mathcal{V}_1$ . Observe that  $\mathcal{V}_2$  refines  $\mathcal{V}_1$ . Let  $\mathcal{V}_3$  be a \*-refinement of  $\mathcal{V}_2$  by open subsets of  $N$  which covers  $A$ . Let  $\mathcal{V}_4$  be a refinement of  $\mathcal{V}_3$  by open subsets of  $N$  which covers  $A$ . Let  $N_3 = \cup \mathcal{V}_3$  and  $N_4 = \cup \mathcal{V}_4$ . We may and do require that  $\bar{N}_4 \subset N_3$ . Let  $\mathcal{W}_1$  be a covering of  $X - \bar{N}_4$  by open subsets of  $X - \bar{N}_4$  which refines  $\mathcal{U}'$ . Let  $\mathcal{W} = \mathcal{V}_3 \cup \mathcal{W}_1$ . Let  $\mathcal{V}_{X-A}$  be an open covering of  $X - A$  such that if  $K \in \mathcal{K}$ , if  $L$  is a subcomplex of  $K$ , if  $K^m \subset L$ , if  $g: |L| \rightarrow X - A$  is a partial realization of  $K$  in  $X - A$  subordinate to  $\mathcal{V}_{X-A}$ , then  $g$  can be extended to a full realization of  $K$  in  $X - A$

subordinate to  $\mathscr{W}$ .  $\mathscr{V} = \mathscr{V}_A \cup \mathscr{V}_{X-A}$ ;  $\mathscr{V}$  is a covering of  $X$  by open subsets of  $X$ .

Now consider  $K \in \mathscr{K}$ . Consider any partial realization  $\alpha: |L| \rightarrow X$  of  $K$  in  $X$  subordinate to  $\mathscr{V}$  such that  $K^m \subset L$ . Define

$$K_A = \{\sigma \in K: \alpha(\bar{\sigma} \cap |L|) \subset \text{some member of } \mathscr{V}_A\},$$

$$K_{X-A} = \{\sigma \in K: \alpha(\bar{\sigma} \cap |L|) \subset \text{some member of } \mathscr{V}_{X-A}\}.$$

$K_A$  and  $K_{X-A}$  are subcomplexes of  $K$ , and  $K_A \cup K_{X-A} = K$ .

Now  $\alpha|_{|K_{X-A} \cap L|}: |K_{X-A} \cap L| \rightarrow X - A$  is a partial realization of  $K_{X-A}$  in  $X - A$  subordinate to  $\mathscr{V}_{X-A}$ , and  $(K_{X-A})^m \subset K_{X-A} \cap L$ . Hence  $\alpha|_{|K_{X-A} \cap L|}$  extends to a full realization  $\beta: |K_{X-A}| \rightarrow X - A$  of  $K_{X-A}$  in  $X - A$  subordinate to  $\mathscr{W}$ .

Define  $\hat{\beta}: |K_{X-A} \cup L| \rightarrow X$  by

$$\hat{\beta}|_{\bar{\sigma}} = \begin{cases} \alpha|\bar{\sigma} & \text{if } \sigma \in L, \\ \beta|\bar{\sigma} & \text{if } \sigma \in K_{X-A}. \end{cases}$$

$\hat{\beta}$  is obviously continuous.

Set  $M = K_A \cap (K_{X-A} \cup L)$  and  $\tilde{\beta} = \hat{\beta}|_M$ . Consider  $\sigma \in K_A$ . Now  $\tilde{\beta}(\bar{\sigma} \cap |L|) \subset V$  for some  $V \in \mathscr{V}_A$ . Consider a face  $\tau \in K_{X-A}$  of  $\sigma$ . Now  $\hat{\beta}(\bar{\tau}) \subset W$  for some  $W \in \mathscr{W}$ . Since also  $\hat{\beta}(\bar{\tau} \cap |L|) = \alpha(\bar{\tau} \cap |L|) \subset \alpha(\bar{\sigma} \cap |L|) \subset \text{some member of } \mathscr{V}_A$ ,  $W \cap N_A$  is nonvoid. Hence  $W \in \mathscr{V}_3$ . It follows (since also  $\mathscr{V}_A$  refines  $\mathscr{V}_3$  and  $\mathscr{V}_3$  \*-refines  $\mathscr{V}_2$ ) that

$$\tilde{\beta}(\bar{\sigma} \cap |K_{X-A} \cup L|) \subset St(V; \mathscr{V}_3) \subset \text{some member of } \mathscr{V}_2.$$

Thus  $\tilde{\beta}: |M| \rightarrow N$  is a partial realization of  $K_A$  in  $N$  subordinate to  $\mathscr{V}_2$ .

For each  $\sigma \in K_A$ ,  $H(\tilde{\beta}(\bar{\sigma} \cap |M|) \times I) \subset H(V_2 \times I) \subset V_1$  for some  $V_2 \in \mathscr{V}_2, V_1 \in \mathscr{V}_1$ . For each  $u \in |M|$  and each  $t \in I$  put

$$G_i(u) = G(u, t) = H(\tilde{\beta}(u), t),$$

and observe that  $G_i(u) = r(\tilde{\beta}(u)) \in A$  for each  $u \in |M|$ . Thus  $G_i: |M| \rightarrow A$  is a partial realization of  $K_A$  in  $A$  subordinate to  $\mathscr{V}_1$ . Hence  $G_i: |M| \rightarrow A$  extends to a full realization  $J_i: |K_A| \rightarrow A$  subordinate to  $\mathscr{U}'$ . Consider  $\sigma \in K_A$ . We have  $J_i(\bar{\sigma}) \subset U'$  for some  $U' \in \mathscr{U}'$ . Also  $G((|M| \cap \bar{\sigma}) \times I) \subset V$  for some  $V \in \mathscr{V}_1$ . Hence  $G_i(|M| \cap \bar{\sigma}) \subset V$ . Hence  $J_i(\bar{\sigma}) \subset St(U'; \mathscr{U}') \subset U_\sigma$  for some  $U_\sigma \in \mathscr{U}$  and likewise  $G((|M| \cap \bar{\sigma}) \times I) \subset U_\sigma$ . Thus

$$G: (|M| \times I, (|M| \cap \bar{\sigma}) \times I)_{\sigma \in K_A} \rightarrow (X, U_\sigma)_{\sigma \in K_A}$$

is a homotopy from

$$G_0: (|M|, |M| \cap \bar{\sigma})_{\sigma \in K_A} \rightarrow (X, U_\sigma)_{\sigma \in K_A}$$

to

$$J_1 | |M| : (|M| \cap \bar{\sigma})_{\sigma \in K_A} \rightarrow (X, U_\sigma)_{\sigma \in K_A} .$$

By 1.1,  $G$  extends to

$$G' : (|K_A| \times I, \bar{\sigma} \times I)_{\sigma \in K_A} \rightarrow (X, U_\sigma)_{\sigma \in K_A}$$

which is a homotopy from an extension  $G'_0$  of  $G_0$  to  $J_1$ .

Define  $\phi : |K| \rightarrow X$  by

$$\phi | \bar{\sigma} = \begin{cases} \beta | \bar{\sigma} & \text{if } \sigma \in K_{X-A} , \\ G'_0 | \bar{\sigma} & \text{if } \sigma \in K_A . \end{cases}$$

It is easily seen that  $\phi$  is a full realization of  $K$  in  $X$  subordinate to  $\mathcal{U}$  which extends  $\alpha : |L| \rightarrow X$ .

The proof is complete.

For another interesting application of 1.1, cf. the proof of [4, 4.3, p. 249], the application occurring in [4, p. 251]. The theorem proved there is used to characterize a metric space being  $\mathcal{U}$ -dominated by simplicial complexes (for open coverings  $\mathcal{U}$ ) in terms of  $LC$  properties. See also [4, 3.6, p. 277].

**THEOREM 2.3.** *Suppose that  $(X, A)$  is a clean-cut pair. Then  $X$  is an ANR if and only if  $A$  is an ANR.*

*Proof.* If  $X$  is an ANR, then so is the closed neighborhood retract  $A$  of  $X$  by standard ANR theory (also, cf. [4, 1.3, p. 206]). Suppose now that  $A$  is an ANR. By [4, 3.2, p. 275] or [1, p. 364], a metrizable space is an ANR if and only if it is  $LC$ . Hence  $X - A$  and  $A$  are  $LC$ . By 2.2,  $X$  is  $LC$ . Thus  $X$  is an ANR.

**3. Results for clean-cut block assemblages.** The definitions pertinent to this section are too long to be given here and may be found in [4, p. 70] (for *block assemblage*), [4, p. 94] (for *locally step-finite*), and [4, p. 207] (for *clean-cut* applied to block assemblages). We remark here only that *clean-cut block assemblage* is essentially a generalization of *CW-complex*, suitably embedded Euclidean cells being replaced by suitably embedded ANRs.

**THEOREM 3.1.** *Suppose that  $(X, \mathcal{B})$  is a locally step-finite clean-cut block assemblage. Then  $X$  is an ANR.*

*Proof.* The notation of [4, p. 70] will be used. By [4, 8.6, p. 98],  $X$  is metrizable. It suffices to show that  $S_\mu$  is an ANR for each  $\mu \leq \nu$ . Assume the contrary. Thus we have some  $\mu \leq \nu$  with  $S_\mu$  not an ANR and with  $S_\lambda$  an ANR for each  $\lambda < \mu$ . If  $\mu = \gamma + 1$ , then

$S_\gamma$  is an ANR and  $S_\mu = (B_\mu - S_\gamma) \cup S_\gamma$  is an ANR by [4, p. 207] and 2.3, contradiction. Hence  $\mu$  has no immediate predecessor. Each point of  $S_\mu$  has  $S_\lambda$  for a neighborhood in  $S_\mu$  for some  $\lambda < \mu$  (cf. [4, p. 94]). Hence  $S_\mu$  is locally ANR. Hence  $S_\mu$  is an ANR by [2, 19.2 or 19.3, p. 341].

**COROLLARY 3.2.** *Suppose that  $(X, \mathcal{B})$  is a clean-cut block assemblage with only finitely many blocks. Then  $X$  is an ANR.*

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Loren N. Argabright, <i>Invariant means on topological semigroups</i> . . . . .	193
William Arveson, <i>A theorem on the action of abelian unitary groups</i> . . . . .	205
John Spurgeon Bradley, <i>Adjoint quasi-differential operators of Euler type</i> . . . . .	213
Don Deekard and Lincoln Kearney Durst, <i>Unique factorization in power series rings and semigroups</i> . . . . .	239
Allen Devinatz, <i>The deficiency index of ordinary self-adjoint differential operators</i> . . . . .	243
Robert E. Edwards, <i>Operators commuting with translations</i> . . . . .	259
Avner Friedman, <i>Differentiability of solutions of ordinary differential equations in Hilbert space</i> . . . . .	267
Boris Garfinkel and Gregory Thomas McAllister, Jr., <i>Singularities in a variational problem with an inequality</i> . . . . .	273
Seymour Ginsburg and Edwin Spanier, <i>Semigroups, Presburger formulas, and languages</i> . . . . .	285
Burrell Washington Helton, <i>Integral equations and product integrals</i> . . . . .	297
Edgar J. Howard, <i>First and second category Abelian groups with the <math>n</math>-adic topology</i> . . . . .	323
Arthur H. Kruse and Paul William Liebnitz, Jr., <i>An application of a family homotopy extension theorem to ANR spaces</i> . . . . .	331
Albert Marden, I. Richards and Burton Rodin, <i>On the regions bounded by homotopic curves</i> . . . . .	337
Willard Miller, Jr., <i>A branching law for the symplectic groups</i> . . . . .	341
Marc Aristide Rieffel, <i>A characterization of the group algebras of the finite groups</i> . . . . .	347
P. P. Saworotnow, <i>On two-sided <math>H^*</math>-algebras</i> . . . . .	365
John Griggs Thompson, <i>Factorizations of <math>p</math>-solvable groups</i> . . . . .	371
Shih-hsiung Tung, <i>Harnack's inequalities on the classical Cartan domains</i> . . . . .	373
Adil Mohamed Yaqub, <i>Primal clusters</i> . . . . .	379