

# Pacific Journal of Mathematics

**SETS OF CONSTANT WIDTH**

GULBANK D. CHAKERIAN

## SETS OF CONSTANT WIDTH

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A lower bound, better than those previously known, is given for the volume of a 3-dimensional body of constant width 1. Bounds are also given in the case of  $n$ -dimensional bodies of constant width 1,  $n \geq 4$ . Short proofs of the known sharp bounds for such bodies in the Euclidean and Minkowskian planes are given using properties of mixed areas. An application is made to a measure of outer symmetry of sets of constant width in 2 and 3 dimensions.

Let  $K$  be a convex body in  $n$ -dimensional Euclidean space  $E_n$ . For each point  $u$  on the unit sphere  $S$  centered at the origin, let  $b(u)$  be the distance between the two parallel supporting hyperplanes of  $K$  orthogonal to the direction. The function  $b(u)$  is the "width function" of  $K$ . If  $b(u)$  is constant on  $S$ , then we say  $K$  is a body of constant width.

If  $K_1$  and  $K_2$  are convex bodies, then  $K_1 + K_2$  is the "Minkowski sum" or "vector sum" of  $K_1$  and  $K_2$  [5, p. 79]. The following useful theorem is well-known.

**THEOREM 1.** *A convex body  $K$  has constant width  $b$  if and only if  $K + (-K)$  is a spherical ball of radius  $b$ .*

In the case of  $E_2$ , a number of special properties of sets of constant width are known—for example, the following theorem of Pàl (see [5, p. 127]).

**THEOREM 2.** *Any plane convex body  $B$  of constant width admits a circumscribed regular hexagon  $H$ .*

We shall be concerned with the following type of result, due to Blaschke and Lebesgue (see [1], [3], [4], [5, p. 128], [9]).

**THEOREM 3.** *Any plane convex body  $B$  of constant width 1 has area not less than  $(\pi - \sqrt{3})/2$ , the area of a Reuleaux triangle of width 1.*

The following short proof of Theorem 3 will set the stage for some later arguments.

*Proof of Theorem 3.* Let  $A(K)$  denote the area of  $K$ . The "mixed area" of the plane convex bodies  $K_1$  and  $K_2$ ,  $A(K_1, K_2)$ , can be

defined by the fundamental relation [5, p. 48],

$$(1) \quad A(K_1 + K_2) = A(K_1) + 2A(K_1, K_2) + A(K_2) .$$

The mixed area is monotonic in each argument [5, p. 86]. That is, if  $K_1 \subset K_2$ , then

$$(2) \quad A(K_1, K) \leq A(K_2, K) .$$

It follows from (1), setting  $K_1 = K_2 = K$ , that

$$(3) \quad A(K, K) = A(K) .$$

Now let  $H$  be a regular hexagon circumscribed about  $B$  (Theorem 2). Assume the center of  $H$  is the origin, so  $H = -H$ . Then, using (2) and (3), we obtain

$$(4) \quad A(B, -B) \leq A(H, -H) = A(H, H) = A(H) .$$

Thus, by (4), (1), and Theorem 1, we have

$$(5) \quad \begin{aligned} \pi &= A(B + (-B)) = 2A(B) + 2A(B, -B) \\ &\leq 2A(B) + 2A(H) = 2A(B) + \sqrt{3} , \end{aligned}$$

from which the theorem follows.

It has long been conjectured that in  $E_3$  any convex body of constant width 1 has volume at least that of a certain "tetrahedron of constant width"  $T$  (see [12, p. 81] for the construction of  $T$ ). A computation of the volume of  $T$  leads to the conjecture,

*Conjecture 1.* Any 3-dimensional convex body of constant width 1 has volume not less than

$$\frac{2\pi}{3} - \frac{\pi\sqrt{3}}{4} \cos^{-1}(1/3) \approx .42 .$$

In §2 we shall prove that if  $B_3$  is a 3-dimensional body of constant width 1, with volume  $V(B_3)$ , then

$$(6) \quad V(B_3) \geq \beta = \frac{\pi}{3}(3\sqrt{6} - 7) \approx .365 .$$

Our proof of (6) will depend upon the following theorem of Blaschke [2].

**THEOREM 4.** *If a 3-dimensional convex body of constant width  $b$  has volume  $V$  and surface area  $S$ , then*

$$(7) \quad 2V = bS - \frac{2\pi}{3} b^3 .$$

It follows from (7) that Conjecture 1 is equivalent to:

*Conjecture 1'.* Any 3-dimensional convex body of constant width 1 has surface area not less than

$$2\pi - \frac{\pi\sqrt{3}}{2} \cos^{-1}(1/3).$$

Conjecture 1 can be transformed into still another form using the concept of "mixed surface area." Let  $S(K)$  denote the surface area of  $K$ . If  $K_1$  and  $K_2$  are 3-dimensional convex bodies, then the surface area of  $K_1 + K_2$  can be written in the form

$$S(K_1 + K_2) = S(K_1) + 2S(K_1, K_2) + S(K_2),$$

where  $S(K_1, K_2)$  is the mixed surface area. Thus, if  $K$  has constant width 1,  $4\pi = S(K + (-K)) = 2S(K) + 2S(K, -K)$ . Hence Conjectures 1 and 1' are equivalent to:

*Conjecture 1''.* Any 3-dimensional convex body of constant width 1 has mixed surface area not greater than

$$\frac{\pi\sqrt{3}}{2} \cos^{-1}(1/3).$$

Firey [6] has proved that the volume  $V$  of an  $n$ -dimensional convex body of constant width 1 satisfies

$$(8) \quad V \geq \frac{\pi - \sqrt{3}}{n!}, \quad n \geq 2.$$

In §2 we give the generally better lower bound,

$$(9) \quad V \geq \lambda \omega_n \prod_{k=3}^n \left(1 - \sqrt{\frac{k}{2k+2}}\right), \quad n \geq 3,$$

where  $\omega_n$  is the volume of the unit ball in  $E_n$ , and

$$\lambda = \frac{\pi - \sqrt{3}}{2\pi}.$$

Let  $C$  be a centrally symmetric convex body centered at the origin in  $E_n$ . Then  $C$  is the unit sphere for a Minkowskian geometry. We say that a body  $K$  has "constant width relative to  $C$ " if  $K + (-K)$  is homothetic to  $C$ . In particular, one says that  $K$  and  $C$  are "equivalent in width" in case  $K + (-K) = 2C$ , since the condition implies that  $K$  and  $C$  have the same width function. When  $C$  is the ordinary unit sphere we obtain the ordinary sets of constant width. Results about

plane sets of relative constant width analogous to Theorem 2 and 3 are known (see [8], [10], and [11]). In §3 we give a proof of the analogue of Theorem 3 in the Minkowski plane, using the same method as in our proof of Theorem 3.

Section 4 is devoted to some results on measures of outer symmetry for sets of constant width.

2. *Proof of (6).* Let  $B_3$  be a 3-dimensional convex body of constant width 1. Then the inscribed sphere of  $B_3$  has radius  $\geq 1 - \sqrt{3/8}$  (see [5, p. 125]). Assume that the center of the inscribed sphere is the origin. If  $p(u)$  is the supporting function of  $B_3$ , then we have  $p(u) \geq 1 - \sqrt{3/8}$ . Hence,

$$(10) \quad 3V(B_3) = \int_{B_3} p(u) dS(u) \geq (1 - \sqrt{3/8})S(B_3),$$

where  $S(B_3)$  is the surface area of  $B_3$ . Using Theorem 4 in (10), we obtain

$$(11) \quad 3V(B_3) \geq (1 - \sqrt{3/8}) \left( 2V(B_3) + \frac{2\pi}{3} \right),$$

and (6) follows upon solving (11) for  $V(B_3)$ . This completes the proof.

*Proof of (9).* Define

$$(12) \quad \lambda_n = \inf V(K),$$

as  $K$  ranges over all bodies of constant width 1 in  $E_n$ , and  $V(K)$  is the volume of  $K$ . The Blaschke selection principle implies that there exist bodies of constant width 1 having volume  $\lambda_n$ . Let  $B$  be such a body, and let  $p(u)$  be the support function of  $B$  with the center of its inscribed sphere as origin. Then, by [5, p. 125],

$$p(u) \geq 1 - \sqrt{\frac{n}{2n+2}}.$$

Denoting the area element of  $B$  by  $dS(u)$ , we have,

$$(13) \quad n\lambda_n = nV(B) = \int_B p(u) dS(u) \geq \left( 1 - \sqrt{\frac{n}{2n+2}} \right) S(B),$$

where  $S(B)$  is the surface area of  $B$ . If we denote by  $B_u$  the projection of  $B$  onto a hyperplane orthogonal to  $u$ , then (see [5, p. 89])

$$(14) \quad S(B) = \frac{1}{\omega_{n-1}} \int V(B_u) du,$$

where  $V(B_u)$  is the  $(n - 1)$ -dimensional volume of  $B_u$  and the integration is over the surface of the unit sphere in  $E_n$ . Since  $B_u$  is an  $(n - 1)$ -dimensional body of constant width 1, we have by (12) that  $V(B_u) \geq \lambda_{n-1}$ . Hence

$$(15) \quad S(B) \geq \frac{n\omega_n \lambda_{n-1}}{\omega_{n-1}} .$$

Combined with (13), this yields

$$(16) \quad \lambda_n \geq \left(1 - \sqrt{\frac{n}{2n + 2}}\right) \frac{\omega_n}{\omega_{n-1}} \lambda_{n-1} ,$$

from which (9) follows. This completes the proof.

3. In this section,  $C$  is a centrally symmetric plane convex body centered at the origin 0.  $C$  admits an inscribed affine regular hexagon  $H$  (i.e., the affine image of a regular hexagon) having a side parallel to any specified direction [10]. Let the vertices of  $H$  be labelled  $P_1, P_2, \dots, P_6$  on the boundary of  $C$  traversed in the positive direction. A "relative Reuleaux triangle" is obtained by attaching arcs  $P_1P_2, P_3P_4$ , and  $P_5P_6$  of the boundary of  $C$  to the respective sides  $P_1P_2, P_2P_1$  of the triangle  $0P_1P_2$ . With  $H$  as above, a centrally symmetric hexagon circumscribed about  $C$  and touching  $C$  at  $P_i, 1 \leq i \leq 6$ , is called a " $C$ -hexagon." In fact, any hexagon homothetic to such a hexagon will be called a  $C$ -hexagon. Note that if  $C$  is a circle, any  $C$ -hexagon is just a regular hexagon. One then sees that the following theorem from [10] is a Minkowskian geometry analogue of Theorem 2.

**THEOREM 2'.** *Let  $K$  be equivalent in width to  $C$ . Then  $K$  admits a circumscribed  $C$ -hexagon.*

Let  $H$  be a  $C$ -hexagon circumscribed about  $C$ . Let  $H'$  be the corresponding affine regular hexagon inscribed in  $C$  with its vertices on  $H$ . Then we shall show that

$$(17) \quad A(H) \leq 4/3 A(H') .$$

This follows from the following general lemma.

**LEMMA 1.** *Let  $H'$  be an affine regular hexagon inscribed in a centrally symmetric plane convex body  $K$ . Then*

$$(18) \quad A(K) \leq 4/3 A(H') .$$

*Proof.* By considering the support lines of  $K$  through the vertices of  $H'$ , one sees that it suffices to prove (18) for  $K$  a centrally

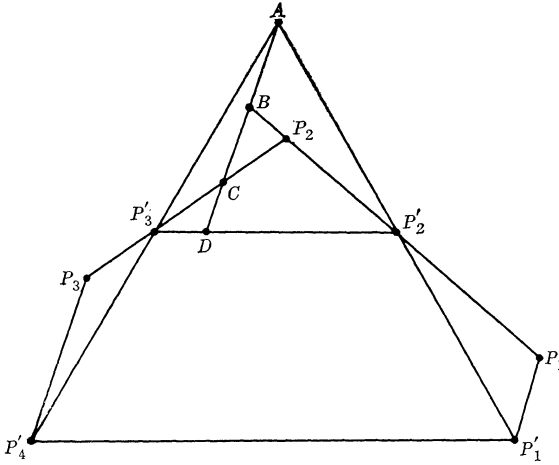


Figure 1.

symmetric hexagon  $H$ . Since the problem is affine invariant, one may even assume  $H'$  is a regular hexagon, although this does not really simplify matters. In Figure 1,  $P'_1, P'_2, P'_3, P'_4$  are consecutive vertices of  $H'$ , and  $P_1, P_2, P_3$  are vertices of  $H$ .  $AD$  is drawn parallel to  $P'_4P_3$ , which is parallel to  $P'_1P_1$  (the degenerate cases, where  $P_3 = P'_4$  or  $P_1 = P'_1$  are easily disposed of and will not be dwelt upon here).  $B$  is the intersection of  $P_1P_2$  with  $AD$ , and  $C$  is the intersection of  $P_3P_2$  with  $AD$ . Triangle  $P'_4P_3P'_3$  is congruent to  $P'_3CA$ , and  $P'_1P_1P'_2$  is congruent to  $P'_2BA$ . Hence the area of the pentagon  $P'_1P_1P_2P_3P'_4$  is not greater than the area of triangle  $P'_1AP'_4$ , so the area of  $H$  is not greater than twice the area of  $P'_1AP'_4$ , which is precisely  $4/3 A(H')$ . This completes the proof.

**THEOREM 3'.** *Any plane convex body  $K$  which is equivalent in width to  $C$  has area not less than that of some relative Reuleaux triangle equivalent in width to  $C$ .*

*Proof.* It is easy to check that the area of any relative Reuleaux triangle  $T$  equivalent in width to  $C$  is given by

$$(19) \quad A(T) = 2A(C) - 4/3 A(H) ,$$

where  $H$  is the affine regular hexagon inscribed in  $C$  on which the construction of  $T$  is based. Let  $H'$  be a  $C$ -hexagon circumscribed about  $K$  (Theorem 2'), let  $H''$  be the translate of  $H'$  circumscribed about  $C$ , and let  $H$  be the corresponding affine regular hexagon inscribed in  $C$  with its vertices on  $H''$ . Let the center of  $H'$  be at the origin (which can be assumed by translating  $K$ ) so  $H' = -H'$ . Then,

proceeding as in the proof of Theorem 3, and using (17), we have

$$\begin{aligned}
 (20) \quad 4A(C) &= A(K + (-K)) = 2A(K) + 2A(K, -K) \\
 &\leq 2A(K) + 2A(H', -H') = 2A(K) + 2A(H') \\
 &= 2A(K) + 2A(H'') \leq 2A(K) + 8/3 A(H) .
 \end{aligned}$$

Hence,

$$(21) \quad A(K) \geq 2A(C) - 4/3 A(H) = A(T) .$$

This completes the proof.

To prove that a relative Reuleaux triangle is the only body equivalent in width to  $C$  with minimum area requires a little more argument. A sketch of the proof is as follows. If  $K$  is such a body of minimum area, then equality must hold throughout (20). This means that  $A(K, -K) = A(H')$  for a  $C$ -hexagon  $H'$  circumscribed about  $K$ . It follows that  $A(-K, K) = A(H', K)$ . If we let  $p_1(\theta), p_2(\theta)$  be the support functions of  $K$  and  $H'$  respectively, with origin at the center of  $H'$ , and let  $s_1$  denote arclength along  $K$ , the last equation implies that

$$(22) \quad \int p_1(\theta + \pi) ds_1 = \int p_1(\theta) ds_1 .$$

Equation (22) implies that  $K$  must pass through 3 alternate vertices of  $H'$ , from which readily follows the fact that  $K$  is a relative Reuleaux triangle.

4. For any  $n$ -dimensional convex body  $K$  we define a "coefficient of outer symmetry,"  $\mu(K)$ , as follows. Let  $S$  be a centrally symmetric convex body of minimum volume containing  $K$ . Then

$$(23) \quad \mu(K) = \frac{V(K)}{V(S)} ,$$

Thus  $\mu(K) \leq 1$ , and  $\mu(K) = 1$  if and only if  $K$  is centrally symmetric. Sharp lower bounds for  $\mu(K)$  are not known for  $n \geq 3$ ; however, it is known that  $\mu(K) \geq 1/2$  if  $K$  is 2-dimensional, with equality holding if and only if  $K$  is a triangle. A standing conjecture is that in  $E_n, n \geq 3, \mu(K) \geq \mu(T)$ , where  $T$  is a simplex.

**THEOREM 5.** *Let  $B$  be a plane convex body of constant width 1. Then  $\mu(B) \geq \mu(R)$ , where  $R$  is a Reuleaux triangle, and equality holds only if  $B$  is a Reuleaux triangle.*

*Proof.* Let  $H$  be a regular hexagon circumscribed about  $B$ .



Then, using Theorem 3, we have

$$(24) \quad \mu(B) \geq \frac{A(B)}{A(H)} \geq \frac{A(R)}{A(H)} = \frac{\pi - \sqrt{3}}{\sqrt{3}} = .81 \dots$$

where  $R$  is a Reuleaux triangle of width 1. On the other hand, any centrally symmetric convex set  $S$  containing  $R$  must also contain an equilateral triangle  $T$  of side 1 and thus has area  $\geq 2A(T) = A(H)$ . Hence

$$(25) \quad \frac{A(R)}{A(H)} = \mu(R).$$

Equality can hold in (24) only if  $A(B) = A(R)$ , which happens only if  $B$  is a Reuleaux triangle (see end of §3). This completes the proof.

It is known that any set  $K$  of constant width in  $E_3$  admits a regular circumscribed octahedron  $J$  (see [7]). Suppose  $K$  has constant width 1, and let  $S$  be a centrally symmetric set of minimum volume containing  $K$ . Then, using (6),

$$(26) \quad \mu(K) = \frac{V(K)}{V(S)} \geq \frac{\beta}{V(J)} = \frac{2\beta}{\sqrt{3}} \approx .42.$$

Clearly one can obtain crude lower bounds, in this same fashion, in terms of  $\lambda_n$  and the volume of some centrally symmetric "covering body"  $J_n$  (one could, for example, use for  $J_n$  a sphere of radius  $\sqrt{n/(2n+2)}$ ).

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