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A THEOREM ON ONE-TO-ONE MAPPINGS

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Let X be a locally connected generalized continuum with the property that the complement of each compact set has only one nonconditionally compact component. The author proves the following theorem. If f is a one-to-one mapping of X onto Euclidean 2-space, then f is a homeomorphism.

An example of K. Whyburn implies that if f is a one-to-one mapping of X onto Euclidean n -space ($n \geq 3$), then X can have many nice properties any yet f need not be a homeomorphism. However the complement of a compact set in the domain space of his example may have more than one nonconditionally compact component.

It is interesting to note that a characterization of closed 2-cells in the plane is obtained in the course of proving the theorem.

Positive results in connection with the following problem would be useful in classifying mappings from a Euclidean space into itself. "What properties must a topological space X have before one can conclude that every one-to-one mapping f of X into a Euclidean space E^n of dimension n is a homeomorphism?" A very general theorem of this type was supposedly obtained in [2]. However, several counterexamples have been obtained which show the main theorems of [2] to be false. One of these is an example of K. Whyburn [6], which implies that if $n \geq 3$, X may have many nice properties, yet f need not be a homeomorphism. We prove that if the Euclidean space has dimension two, the mapping f is onto, and X has appropriate properties, then f is indeed a homeomorphism. It is interesting to note that we assign a property to the space X which is not a property of the domain space of the example in [6].

2. Notation. A mapping is a continuous function. A generalized continuum is a connected, locally compact, separable metric space. The cyclic element theory used is that of reference [4]. A set A in a topological space is conditionally compact if its closure is a compact set. A dendrite is a compact locally connected generalized continuum containing no simple closed curve. A topological line is a homeomorphic image of the real line. A topological ray is a homeomorphic image of a ray in the real line.

3. Theorem and proof.

THEOREM. *Let X be a locally connected generalized continuum*

with the property that the complement of each compact set has only one nonconditionally compact component. If $f(X) = E^2$ is a one-to-one mapping, then f is a homeomorphism.

Proof. The proof consists of proving a series of five statements concerning the structure of X if f is not a homeomorphism. Then in (vi) with the aid of a theorem of G. T. Whyburn [5], a contradiction is obtained.

(i) X contains simple closed curves.

Proof of (i). The space X has the representation, $X = \bigcup_{i=1}^{\infty} A_i$, where each A_i is a locally connected continuum. If X has no simple closed curve, then no A_i contains a simple closed curve. Thus each A_i is a dendrite and therefore has dimension one. Using the sum theorem for dimension, we obtain $\dim \bigcup_{i=1}^{\infty} f(A_i) \leq 1$, but $\bigcup_{i=1}^{\infty} f(A_i) = E^2$ and $\dim E^2 = 2$. Clearly then each such X must contain simple closed curves.

(ii) Every simple closed curve J in X separates X and is the boundary of an open two cell which is an open subset of X .

Proof of (ii). For a simple closed curve J in X , $f(J)$ is a simple closed curve. Since $f(J)$ separates E^2 , its inverse image J separates X . The complement of J , $X - J$, can have at most countably many components, C_i , $i = 0, 1, 2, \dots$, and only one of these, say C_0 , is not conditionally compact. Each $f(C_i)$, $i \neq 0$, is closed in $E^2 - f(J)$ and each $f(C_i)$, $i = 0, 1, 2, \dots$ is either in the bounded component W or the unbounded component M of $E^2 - f(J)$. The set $f(C_0)$ is not contained in W for this would imply that M is the countable union of pairwise disjoint bounded closed (in M) sets $f(C_{n_k})$, $k = 1, 2, \dots$. No arcwise connected space has such a representation hence $f(C_0) \subset M$. Applying the same theorem to W shows there is one and only one C_i , $i \neq 0$, for which $f(C_i) \subset W$ and hence $f(C_i) = W$. It easily follows that $f(F_r C_i) = f(J)$ and therefore $F_r C_i = J$.

(iii) Each compact nondegenerate cyclic element of X is topologically a closed 2-cell.

Proof of (iii). Let C be a compact nondegenerate cyclic element of X and note by (ii) that every simple closed curve in C is the boundary of an open 2-cell of C . Since f/C is a homeomorphism we can assume that C is a subset of E^2 .

Let H be the set of points of C that are interior to an open 2-cell

of C . By cyclic connectedness of C , H is dense in C . To show H is connected let a and b be distinct points of H and let J_1 and J_2 be disjoint simple closed curves in C that bound nonintersecting open 2-cells C_1 and C_2 containing a and b respectively. By cyclic connectedness of C there exist mutually exclusive arcs 1_1 and 1_2 in C with $1_1 \cap (C_1 \cup J_1) = 1_1 \cap J_1 = x_{11}$, $1_1 \cap (C_2 \cup J_2) = 1_1 \cap J_{12} = x_{12}$, $1_2 \cap (C_1 \cup J_1) = 1_2 \cap J_2 = x_{21}$, and $1_2 \cap (C_2 \cup J_2) = 1_2 \cap J_2 = x_{22}$. The set $1_1 \cup (x_{11}x_{21}) \cup 1_2 \cup (x_{12}x_{22})$, where $(x_{11}x_{21})$, $(x_{12}x_{22})$ are arcs on J_1 and J_2 are respectively, is a simple closed curve in C . The proper choice of arcs $(x_{11}x_{21})$ and $(x_{12}x_{22})$ on J_1 and J_2 , respectively, gives a simple closed curve J_0 in C that bounds an open 2-cell C_0 which contains both a and b .

We use the Zoretti Theorem, p. 109, [4], to prove $C-H$ is connected. Suppose $C-H$ is not connected and K is one of its compact components. By Zoretti's Theorem there is a simple closed curve J_3 in E^2 enclosing K and not enclosing $C-H$ and is such that $J_3 \cap (C-H) = \emptyset$. The set $J_3 \cap C = J_3 \cap H$ is not empty and is both open and closed in J_3 . Hence $J_3 \subset H$ and this implies $K \subset H$ which is false.

Let x and y be distinct points of $C-H$. By the cyclic connectedness of C and the connectedness of H there is a simple arc (xy) in C with $(xy) \cap (C-H) = x \cup y$. Suppose this arc does not separate C and let $z \in (xy)$, $z \neq x$, $z \neq y$. Since $z \in H$ there is a closed 2-cell C_4 in H with boundary J_4 such that z is interior to C_4 and (xy) separates C_4 into two connected sets A and B . Let $a \in A$ and $b \in B$ and suppose (ab) is a simple arc in $C-(xy)$.

In C_4 determine an arc azb such that (ab) union azb is a simple closed curve J_5 . The curve J_5 is the boundary of a closed 2-cell C_5 in C . The 2-cell C_5 contains points of A and B and hence points of one of the subarcs (xz) or (zy) of (xy) other than z . Since J_5 meets (xy) only in the point z , at least one of x or y is interior to C_5 . This contrary to the choice of x and y . Therefore, each such arc spanning $C-H$ in C separates C . Furthermore, $H-(xy)$ has only two components and hence $C-(xy)$ has only two components since H is dense in C . Also, each component of $C-(xy)$ contains points of $C-H$, otherwise there would exist a bounded open subset of the plane with a simple arc as its frontier. Thus each pair of points x, y of $C-H$ separates $C-H$ and therefore $C-H$ is a simple closed curve J . Clearly H is the open two cell of C bounded by J .

In order to make repeated use of a theorem in [5] we set up the following notation. Let $f(X) = Y$ be a one-to-one continuous mapping of one locally compact separable metric space onto another. Let S be the set of points in X at which f is a local homeomorphism and let T be its complement. From a result in [3] the set S is open, T is closed, $f(S)$ is an open dense set in Y . The sets S and T will be used in the remaining parts of the proof. The following is a theorem

of G. T. Whyburn [5].

THEOREM A. *Let X be a locally compact arcwise connected separable metric space, let Y be a locally connected generalized continuum. If $f(X) = Y$ is a one-to-one continuous function which is not a homeomorphism, then there exists a topological ray R in X with $f(R)$ a simple closed curve in Y . Moreover, if r is the initial point of R , there is a subray R' of R such that $f(R' \cup r)$ is a simple arc and $R' \subset S$.*

(iv) There is only one noncompact cyclic element in X .

Proof of (iv). If there were two or more noncompact cyclic elements then one could find a compact set (namely a point) whose complement would necessarily have two or more nonconditionally compact components. This is contrary to part of the hypotheses on X .

If all the cyclic elements were compact then by (iii), all the true cyclic elements would be closed 2-cells. Thus S would be the union of open 2-cells. By Theorem A there is a ray R' and a point r not a R' such that $f(R' \cup r)$ is a simple arc and $R' \subset S$. Thus R' must be a closed subset of X which is entirely in an open 2-cell and this is not possible.

(v) Let M be the noncompact cyclic element of X and let $B = M \cap T$. The set B is a topological line.

Proof of (v). As in the proof of (iii), the set $M - B$ is connected. For two distinct points a and b of B there is a simple arc $[ab]$ in M with $[ab] \cap B = a \cup b$. Using the techniques of the proof of (iii), it follows that the arc $[ab]$ separates M into two connected sets. The closure of the conditionally compact component D is cyclically connected and every simple closed curve in \bar{D} bounds an open 2-cell of \bar{D} . Thus by (iii), \bar{D} is a closed 2-cell and this implies that there is a simple arc (ab) which is entirely in B . Moreover, the set $(ab) - \{a \cup b\}$ is an open subset of B . If c is any other point of B not on (ab) , then there is a simple arc joining c to a and the first point (ordered from c to a) in which it meets (ab) can only be a or b . Thus, either a or b is in an open one cell which is an open subset of B . It follows that every point of B with the possible exception of at most two points is in an open one cell which is an open subset of B . That is, B is a simple arc, a topological ray or a topological line. The set B cannot have a point d which is not interior to a one cell of B for this would imply that M is not locally compact at d .

(vi) Completion of proof.

The structure of the space X is now clear in the sense that certain properties can be assigned to the true cyclic elements. Also, each component of the complement of the noncompact cyclic element M is conditionally compact and has only a single point of B as its frontier.

There is a ray R_0 in X with initial point r_0 such that $f(R_0)$ is a simple closed curve bounding a closed 2-cell C_0 . From the proof of Theorem A and the structure of X we can assume R_0 meets B in only one point x_0 . The set $f^{-1}(C_0) = N_0$ is closed, connected, locally connected, and contains one of the two components of $M - R_0$. Let $y \in B \cap N_0$ and let (x_0, y) be the simple arc in B . Let K be (x_0, y) union the conditionally compact components of $X - (x_0, y)$. The set K is compact and connected. The set $f(K \cap T)$ is compact in C_0 so that $(f(T) \cap C_0) - f(K \cap T)$ is an open subset of $f(T) \cap C_0$. Thus, in applying the proof of Theorem A to the map $f/N_0: N_0 \rightarrow C_0$ we can use the points of $(f(T) \cap C_0) - f(K \cap T)$ to get a ray R_1 with the property that $R_1 \subset N_0 - K$. Assume the initial point of R_1 is r_1 , $R_1 \cap B = x_1$, C_1 is the closed 2-cell bounded by $f(R_1)$, and $N_1 = f^{-1}(C_1)$. The set N_1 is connected, locally connected, and $N_1 \cap K = \emptyset$. In fact, the arc (x_0, x_1) in B maps onto an arc in the closed annular region determined by $f(R_0)$ and $f(R_1)$. Also implied is that a sequence of rays R_0, R_1, R_2, \dots can be obtained such that $\limsup R_n \cap T = \emptyset$. We can also suppose the rays were chosen so that a monotone sequence of locally connected generalized continua, $N_0 \supset N_1 \supset N_2 \supset \dots$ with corresponding closed 2-cell images $C_0 \supset C_1 \supset C_2 \supset \dots$ is obtained. For each $i, i = 0, 1, 2, \dots$, the set $C_i \cap f(T)$ is nonempty and compact. Thus, $L = \bigcap_{i=0}^{\infty} [C_i \cap f(T)]$ is not empty and for $y \in L$ there exists an $x \in (T \cap N_i), i = 0, 1, 2, \dots$ such that $f(x) = y$. However, by the construction of the $N_i, \bigcap_{i=0}^{\infty} (N_i \cap T) = \emptyset$.

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