

Pacific Journal of Mathematics

TOEPLITZ OPERATORS ON H_p

HAROLD WIDOM

TOEPLITZ OPERATORS ON H_p

HAROLD WIDOM

A Toeplitz operator is an operator with a matrix representation $(\alpha_{m-n})_{m,n=0}^{\infty}$ where the α_n are the Fourier coefficients of a bounded function φ . The operator may be considered as acting on any of the Hardy spaces $H_p(1 < p < \infty)$ and it is the purpose of this note to show that the spectrum of any such operator is a connected set.

The Hardy space $H_r(1 \leq r \leq \infty)$ consists of those functions in $L_r(-\pi, \pi)$ whose Fourier coefficients corresponding to negative values of the index all vanish. If $f \in L_p(1 < p < \infty)$ with

$$f \sim \sum_{n=-\infty}^{\infty} c_n e^{in\theta}$$

then by a well-known theorem of M. Riesz the series

$$\sum_{n=0}^{\infty} c_n e^{in\theta}$$

is the Fourier series of a function Pf belonging to L_p (and so to H_p), and moreover

$$\|Pf\|_p \leq A_p \|f\|_p$$

where A_p is a constant depending only on p . Thus P is a bounded projection from L_p to H_p .

(We use the following convention. When we speak of L_r or H_r then we assume only $1 \leq r \leq \infty$; but when we speak of L_p or H_p then we require $1 < p < \infty$.)

Now let $\varphi \in L_{\infty}$. We define the Toeplitz operator T_{φ} on H_p by

$$T_{\varphi}f = P(\varphi f).$$

Clearly T_{φ} is a bounded operator with norm at most $A_p \|\varphi\|_{\infty}$. In a previous paper [3] it was shown that for $p = 2$ the spectrum of T_{φ} is connected for all φ . The proof made use of a theorem of Helson and Szegö [2] which characterized those measures $d\mu$ with the property that P (restricted to the trigonometric polynomials) is bounded in the norm of $L_2(d\mu)$. It is not at present known whether the analogue of this theorem holds for $p \neq 2$, but we shall present here a new proof which avoids using the Helson-Szegö theorem and which holds for arbitrary p .

Here is an outline of the proof. It suffices to show that if C is

any simple closed curve in the complex plane which is disjoint from $\sigma(T_\varphi)$, the spectrum of T_φ , then $\sigma(T_\varphi)$ lies entirely inside or entirely outside C . For $\lambda \in C$ the equation $T_\varphi f = \lambda f + 1$ has a solution $f = f_\lambda \in H_p$ which can be shown to satisfy a differential equation whose solution is

$$(1) \quad f_\lambda = f_{\lambda_0} \exp\left(\int_{\lambda_0}^{\lambda} P \frac{1}{\varphi - \mu} d\mu\right)$$

where λ_0 is a fixed point of C . (This fact, in a somewhat different setting, was observed by Atkinson [1] and used by him to obtain very simply the solution of a large class of operator equations.) If one takes the path of integration to be the entire curve C then it can be shown very easily from (1) that $R(\varphi)$, the essential range of φ , lies either entirely inside or entirely outside C . In the latter case, say, (1) shows how to continue f_λ analytically to the inside of C . Now there is an explicit formula which gives the solution of the equation

$$(2) \quad T_\varphi h = \lambda h + k$$

in terms of f_λ for $\lambda \notin \sigma(T_\varphi)$. But then this formula shows us how to continue $h = h_\lambda$ analytically to the inside of C and this continuation will provide the unique solution of (2). Thus we shall have shown that $\sigma(T_\varphi)$ lies entirely outside C .

The f_λ we have been speaking about is an analytic function of λ whose values are measurable functions, and we must develop a little bit of theory of such things.

Let Ω be an open set in the complex plane and assume that for each $\lambda \in \Omega$ there is associated a measurable function f_λ on a finite measure space E . (All functions considered will tacitly be assumed to be finite a.e.) We shall say that f is analytic in Ω if for each $\lambda_0 \in \Omega$ there is a disc

$$D(\lambda_0, \delta) = \{\lambda: |\lambda - \lambda_0| < \delta\}$$

and a sequence a_0, a_1, \dots of measurable functions such that for all $\lambda \in D(\lambda_0, \delta)$ the series

$$(3) \quad \sum_{n=0}^{\infty} a_n (\lambda - \lambda_0)^n$$

converges a.e. to f_λ . we shall say that f is L_r -analytic if each a_n belongs to L_r and for each $\lambda \in D(\lambda_0, \delta)$ the series (3) converges to f_λ in the norm of L_r .

LEMMA 1. *If f is L_r analytic then it is analytic.*

Proof. Since L_r -analyticity implies L_1 -analyticity we may assume

$r = 1$. It suffices to show that if (3) converges L_1 for all $\lambda \in D(\lambda_0, \delta)$ then it converges a.e. for all $\lambda \in D(\lambda_0, \delta)$. Suppose $\delta_1 < \delta$. Then there is a constant A such that $\|a_n\|_1 \leq A\delta_1^{-n}$ for all n . Let $\delta_2 < \delta_1$. Then if we set

$$E_n = \{\theta: |a_n(\theta)| \geq \delta_2^{-n}\}$$

we have

$$A\delta_1^{-n} \geq \int_{E_n} |a_n(\theta)| d\theta \geq \delta_2^{-n} |E_n|,$$

where $|E_n|$ denotes the measure of E_n . Thus

$$|E_n| \leq A \left(\frac{\delta_1}{\delta_2}\right)^{-n}$$

and so $\sum |E_n| < \infty$. This shows that almost all θ belong to only finitely many E_n ; that is, for almost all θ we have $|a_n(\theta)| < \delta_2^{-n}$ for sufficiently large n . Therefore for almost all θ the series (3) converges for each $\lambda \in D(\lambda_0, \delta_2)$. But δ_2 was an arbitrary number smaller than δ . If we take for δ_2 successively $(1 - k^{-1})\delta$ ($k = 1, 2, \dots$) we deduce that for almost all θ the series (3) converges for all $\lambda \in D(\lambda_0, \delta)$.

The next lemma is a partial converse of Lemma 1.

LEMMA 2. *Suppose f is analytic in Ω . Then for any $\varepsilon > 0$ there is a set E_ε whose complement in E has measure at most ε such that f , when restricted to E_ε , is L_∞ -analytic in Ω .*

Proof. First consider a disc $D(\lambda_0, \delta)$ throughout which (3) converges a.e. to f_λ . Then the series

$$(4) \quad \sum_{n=0}^{\infty} a_n \left(\frac{\delta}{2}\right)^n$$

converges a.e. and so by Egoroff's theorem there is a set F_ε whose complement has measure at most ε on which (4) converges uniformly. There is a constant M such that for all $\theta \in F_\varepsilon$ and all n we have

$$(5) \quad |a_n(\theta)| \leq \left(\frac{\delta}{2}\right)^{-n} M.$$

Now let λ_1 be any point in the disc $D(\lambda_0, \delta/2)$. Then (5) shows that for

$$\lambda \in D\left(\lambda_1, \frac{\delta}{2} - |\lambda_1 - \lambda_0|\right)$$

the series (3), which converges a.e. to f_λ , may be rearranged into a

power series in $\lambda - \lambda_1$ which converges uniformly for $\theta \in F_\varepsilon$. This shows that f restricted to F_ε is L_∞ -analytic in $D(\lambda_0, \delta/2)$.

Now we can find a countable set of discs $D(\lambda_j, \delta_j)$ ($j = 1, 2, \dots$) of the type just considered and such that

$$\Omega = \bigcup_{j=1}^{\infty} D(\lambda_j, \left(\frac{\delta_j}{2}\right)).$$

For each j there is a set $F_{\varepsilon,j}$ whose complement has measure at most $2^{-j}\varepsilon$ and such that f restricted to $F_{\varepsilon,j}$ is L_∞ -analytic in

$$D\left(\lambda_j, \frac{\delta_j}{2}\right).$$

But then

$$E_\varepsilon = \bigcap_{j=1}^{\infty} F_{\varepsilon,j}$$

has complement of measure at most ε and f restricted to E_ε is L_∞ -analytic throughout Ω .

LEMMA 3. *Let C be a simple closed curve contained in a simply connected open set Ω . Suppose f is analytic in Ω and*

$$\sup_{\mu \in C} \|f_\mu\|_r = M < \infty.$$

Then f is L_r -analytic inside C and for all λ inside C we have

$$\|f_\lambda\|_r \leq M.$$

Proof. Let λ_0 be inside C and let δ be so small that $D(\lambda_0, \delta)$ is entirely inside C and

$$f_\lambda = \sum_{n=0}^{\infty} a_n (\lambda - \lambda_0)^n$$

a.e. for each $\lambda \in D(\lambda_0, \delta)$. The beginning of the proof of Lemma 2 showed that if we restrict ourselves to an appropriate set E_ε , with complement of measure at most ε , the series in (6) converges uniformly as long as $\lambda \in D(\lambda_0, \delta/2)$. Take any $g \in L_\infty$. Then we can conclude

$$\int_{E_\varepsilon} f_\lambda g d\theta = \sum_{n=0}^{\infty} \left(\int_{E_\varepsilon} a_n g d\theta \right) (\lambda - \lambda_0)^n \quad \lambda \in D\left(\lambda_0, \frac{\delta}{2}\right).$$

It follows from the Cauchy inequalities that

$$\left| \int_{E_\varepsilon} a_n g d\theta \right| \leq \left(\frac{\delta}{2}\right)^{-n} \max_{|\lambda - \lambda_0| = \delta/2} \left| \int_{E_\varepsilon} f_\lambda g d\theta \right|.$$

But since f restricted to E_ε is L_∞ -analytic in Ω ,

$$\int_{E_\varepsilon} f_\lambda g d\theta$$

is a complex-valued analytic function in Ω , and so for any λ inside C we have

$$(7) \quad \left| \int_{E_\varepsilon} f_\lambda g d\theta \right| \leq \max_{\mu \in C} \left| \int_{E_\varepsilon} f_\mu g d\theta \right| \leq M \|g\|_s,$$

where $s = r/(r - 1)$. Consequently

$$\left| \int_{E_\varepsilon} a_n g d\theta \right| \leq \left(\frac{\delta}{2} \right)^{-n} M \|g\|_s$$

for all $g \in L_\infty$, and so

$$\left\{ \int_{E_\varepsilon} |a_n|^r d\theta \right\}^{1/r} \leq \left(\frac{\delta}{2} \right)^{-n} M.$$

Since $\varepsilon > 0$ was arbitrary it follows that

$$\|a_n\|_r \leq \left(\frac{\delta}{2} \right)^{-n} M,$$

and so the series in (6) converges in L_r for each $\lambda \in D(\lambda_0, \delta/2)$. Thus f is L_r -analytic inside Ω . Finally (7), with E_ε replaced by E , gives $\|f_\lambda\|_r \leq M$.

We shall have to deal later with the derivative of analytic function. If f is analytic in Ω we define f' as follows: if f_λ is given a.e. as the sum of the series (3) for $\lambda \in D(\lambda_0, \delta)$ then we set

$$f'_\lambda = \sum_{n=0}^{\infty} n a_n (\lambda - \lambda_0)^{n-1} \quad \lambda \in D(\lambda_0, \delta).$$

We leave as exercises for the reader the verification that for each $\lambda \in D(\lambda_0, \delta)$ the above series converges a.e. and that if

$$\lambda \in D(\lambda_0, \delta_0) \cap D(\lambda_1, \delta_1)$$

then the two possible interpretations of f'_λ agree a.e., so that f'_λ is well defined and, of course, analytic. We also leave it to the reader to show that if f is L_r -analytic then the same is true of f' .

Let us return to our Toeplitz operators T_φ acting on L_p . We denote by $\rho(T_\varphi)$ the resolvent set of T_φ , that is, the complement of $\sigma(T_\varphi)$. Recall that the essential range of φ is denoted by $R(\varphi)$.

LEMMA 4. $\sigma(T_\varphi)$ contains $R(\varphi)$.

Proof. Suppose $\lambda \in \rho(T_\varphi)$. Then for some constant A we have

$$\|P(\varphi - \lambda)f\|_p \geq A \|f\|_p$$

for all $f \in H_p$, so with another constant A' we have

$$\|(\varphi - \lambda)f\|_p \geq A' \|f\|_p.$$

If g is an arbitrary trigonometric polynomial we shall have $f = e^{im\theta}g \in H_p$ for some m . Then

$$\|(\varphi - \lambda)e^{im\theta}g\|_p \geq A' \|e^{im\theta}g\|_p$$

and of course this is exactly

$$\|(\varphi - \lambda)g\|_p \geq A' \|g\|_p.$$

It follows that $|\varphi - \lambda| \geq A'$ almost everywhere.

LEMMA 5. *If $\lambda \in \rho(T_\varphi)$ then $T_{(\varphi-\lambda)^{-1}}$, as an operator on H_q ($q = p/p - 1$), is invertible.*

Proof. The adjoint of $T_\varphi - \lambda I$ is the operator $T_{\overline{\varphi-\lambda}}$ acting on H_q . (Here we use the identification of H_q with H_p^* obtained by identifying the function $g \in H_q$ with the linear functional $f \rightarrow \int f \bar{g} d\theta$ on H_p .) Therefore $T_{\overline{\varphi-\lambda}}$ is invertible on H_q . Let

$$u = \exp(-2P \log |\varphi - \lambda|)$$

Then $c|\varphi - \lambda|^{-2} = u\bar{u}$ for some constant c , and since by Lemma 4 $|\varphi - \lambda|^{-1} \in L_\infty$ both u and u^{-1} belong to H_∞ . For $g \in H_q$ we have

$$\begin{aligned} c(\varphi - \lambda)^{-1}g &= \overline{\varphi - \lambda} u \bar{u} g \\ &= \overline{u P \varphi - \lambda} u g + \bar{u} \bar{v} \quad v \in H_q^\circ \end{aligned}$$

(H_r° denotes the H_r functions with mean zero) and so

$$cP(\varphi - \lambda)^{-1}g = P(\overline{u P \varphi - \lambda} u g).$$

This shows that

$$(8) \quad cT_{(\varphi-\lambda)^{-1}} = T_{\bar{u}} T_{\overline{\varphi-\lambda}} T_u.$$

We have seen that $T_{\overline{\varphi-\lambda}}$ is invertible on H_q . Since $u^{-1} \in H_\infty$ the same is true of $T_{\bar{u}}$. Since similarly T_u is invertible on H_p , its adjoint $T_{\bar{u}}$ is invertible on H_q . Thus the three operators on the right of (8) are all invertible and the lemma is established.

For any $\lambda \in \rho(T_\varphi)$ we shall denote by f_λ, g_λ the unique solutions of

$$(9) \quad T_{(\varphi-\lambda)}f_\lambda = 1, \quad T_{(\varphi-\lambda)^{-1}}g_\lambda = 1$$

in H_p, H_q respectively. The existence and uniqueness of g_λ are guaranteed by Lemma 5.

In the following lemma we shall be integrating $P(\varphi - \mu)^{-1}$ over a path lying in $\rho(T_\varphi)$. It follows from Lemma 4 that $(\varphi - \mu)^{-1}$ is L_p -continuous on this path and consequently the same is true of $P(\varphi - \mu)^{-1}$. Therefore there is no difficulty making sense of the integral. We shall interpret it as a weak integral.

LEMMA 6. *Let Γ be a rectifiable curve lying in $\rho(T_\varphi)$ and having initial and terminal points λ_0, λ respectively. Then*

$$(10) \quad f_\lambda = f_{\lambda_0} \exp \left\{ \int_\Gamma P(\varphi - \mu)^{-1} d\mu \right\},$$

$$(11) \quad g_\lambda = g_{\lambda_0} \exp \left\{ - \int_\Gamma P(\varphi - \mu)^{-1} d\mu \right\}.$$

Proof. It follows from (9) that

$$(12) \quad (\varphi - \lambda)f_\lambda = 1 + \bar{u}_\lambda \quad u_\lambda \in H_p^\circ$$

$$(13) \quad (\varphi - \lambda)^{-1}g_\lambda = 1 + \bar{v}_\lambda \quad v_\lambda \in H_q^\circ.$$

Therefore $f_\lambda g_\lambda = 1 + \bar{w}$ where $w \in H_1^\circ$. But since $f_\lambda g_\lambda \in H_1$ we conclude

$$(14) \quad f_\lambda g_\lambda = 1.$$

Now f_λ is L_p -analytic since, as is well-known, $(T_\varphi - \lambda I)^{-1}$ is analytic in $\rho(T_\varphi)$. Therefore \bar{u}_λ is also L_p -analytic and differentiation of both sides of (12) gives

$$(\varphi - \lambda)f'_\lambda - f_\lambda = \bar{u}'_\lambda.$$

If we multiply both sides of this identity by $(\varphi - \lambda)^{-1}g_\lambda$ and use (13) and (14) we obtain

$$(15) \quad (\varphi - \lambda)^{-1} = g_\lambda f'_\lambda - (1 + \bar{v}_\lambda)\bar{u}'_\lambda.$$

It is easy to see that if h_λ is L_r -analytic and h_λ belongs to a certain closed subspace of L_r for all λ then h'_λ belongs to the same subspace. Therefore f'_λ belongs to H_p and so $g_\lambda f'_\lambda \in H_1$. Similarly $\bar{u}'_\lambda \in \overline{H_p^0}$ and so $(1 + \bar{v}_\lambda)\bar{u}'_\lambda \in \overline{H_1^\circ}$. Consequently (15) gives

$$P(\varphi - \lambda)^{-1} = g_\lambda f'_\lambda$$

and so by (14)

$$(16) \quad f'_\lambda = f_\lambda P(\varphi - \lambda)^{-1}.$$

Now consider a disc $D(\lambda_0, \delta)$ inside of which we have series representations

$$f_\lambda = \sum_{n=0}^{\infty} a_n (\lambda - \lambda_0)^n$$

$$P(\varphi - \lambda)^{-1} = \sum_{n=0}^{\infty} b_n (\lambda - \lambda_0)^n .$$

For each $\lambda \in D(\lambda_0, \delta)$ the two series converge a.e. and this implies that for all θ not belonging to some null set N the series converge for all $\lambda \in D(\lambda_0, \delta)$. Let us write $U(\theta, \lambda)$, $V(\theta, \lambda)$ for the sums of the two series; U and V are defined for $\theta \notin N$, $\lambda \in D(\lambda_0, \delta)$. The equation (16) is equivalent to the statement that for each $n \geq 0$ the identity

$$(n + 1)a_{n+1} = \sum_{m=0}^n a_m b_{n-m}$$

holds almost everywhere. It follows that for all θ not belonging to some null set N_1 the above identities hold for all n . Thus if $\theta \notin N \cup N_1$ we have

$$\frac{\partial}{\partial \lambda} U(\theta, \lambda) = U(\theta, \lambda) V(\theta, \lambda)$$

for all $\lambda \in D(\lambda_0, \delta)$. This implies that for any rectifiable curve Γ which lies in $D(\lambda_0, \delta)$ and has initial point λ_0 and terminal point λ

$$U(\theta, \lambda) = U(\theta, \lambda_0) \exp \left\{ \int_{\Gamma} V(\theta, \mu) d\mu \right\} .$$

Since this holds for all $\theta \notin N \cup N_1$ and since for each λ, μ

$$f_\lambda = U(\theta, \lambda), P(\varphi - \mu)^{-1} = V(\theta, \mu) \quad \text{a.e.}$$

we conclude that (10) holds, at least for curves Γ of this special type. But any rectifiable curve lying in $\rho(T_\varphi)$ may be obtained by joining finitely many curves of the special type, so (10) holds in general. Formula (11) is an immediate consequence of (10) and (14).

THEOREM. $\sigma(T_\varphi)$ is connected.

Proof. It suffices to show that if C is a simple closed curve in $\rho(T_\varphi)$ the $\sigma(T_\varphi)$ is either entirely inside or entirely outside C . Let us apply Lemma 6 with $\Gamma = C$ and observe that by (14) f_λ is almost nowhere zero. Then we obtain

$$\exp \left\{ \int_{\sigma} P(\varphi - \mu)^{-1} d\mu \right\} = 1 .$$

Thus if

$$\Phi(\theta) = \begin{cases} 1 & \varphi(\theta) \text{ inside } C \\ 0 & \varphi(\theta) \text{ outside } C \end{cases}$$

we have $e^{-2\pi i P\Phi} = 1$. Therefore $P\Phi$ is a real (in fact integer) valued H_2 function and so is constant. But since Φ is real valued this implies that Φ is itself constant, and so $R(\phi)$ lies entirely inside or entirely outside C . Assume the latter. The other case is quite similar, except that the point at infinity is involved; but this is handled in the usual way.

Let Ω be a simply connected open set which contains C and such that any point of Ω not inside C belongs to $\rho(T_\varphi)$. Choose $\lambda_0 \in C$, keep it fixed, and use (10) and (11) to define f_λ and g_λ for all $\lambda \in \Omega$. Here Γ is always taken to lie in Ω . Notice that

$$\int_\Gamma P(\varphi - \mu)^{-1} d\mu$$

is independent of Γ (since Ω is simply connected and $P(\varphi - \mu)^{-1}$ is L_p -analytic for μ in Ω) and represents an L_p -analytic function of λ . Therefore f_λ and g_λ are analytic throughout Ω and by Lemma 3 even L_p -analytic and L_q -analytic respectively inside C . If $h \in H_q^\circ$ then

$$\int f_\lambda h d\theta = 0$$

whenever $\lambda \in \rho(T_\varphi)$, since $f_\lambda \in H_p$. But since f_λ is L_p -analytic throughout Ω this identity holds throughout Ω , and so $f_\lambda \in H_p$ for all $\lambda \in \Omega$. Similarly we have $g_\lambda \in H_q$ for all $\lambda \in \Omega$. Moreover the identities (9) and (14) which hold in $\rho(T_\varphi)$ persist in Ω .

We show now that $T_\varphi - \lambda I$ is invertible for each λ inside C . Suppose $h \in H_p$ and $(T_\varphi - \lambda I)h = 0$. Then

$$\overline{\varphi - \lambda h} \in H_p^\circ.$$

Since, by (9),

$$\overline{(\varphi - \lambda)^{-1} g_\lambda} \in H_q$$

we deduce $\overline{hg_\lambda} \in H_1^\circ$. But since $hg_\lambda \in H_1$ we must have $hg_\lambda = 0$ and so $h = 0$. We have shown that $T_\varphi - \lambda I$ is one-one.

Next let $k \in H_\infty$ be arbitrary and for $\lambda \in \rho(T_\varphi)$ let $h_\lambda \in H_p$ denote the solution of

$$(17) \quad (T_\varphi - \lambda I)h_\lambda = k.$$

Then

$$(\varphi - \lambda)h_\lambda = k + \bar{l}_\lambda \quad l_\lambda \in H_p^\circ.$$

Multiplying both sides by $(\varphi - \lambda)^{-1}g_\lambda$ and using (13) we obtain

$$g_\lambda h_\lambda = (\varphi - \lambda)^{-1}g_\lambda k + (1 + \bar{v}_\lambda)\bar{l}_\lambda.$$

Since $g_\lambda h_\lambda \in H_1$ and $(1 + v_\lambda)l \in H_1^\circ$ we conclude that

$$g_\lambda h_\lambda = P(\varphi - \lambda)^{-1}g_\lambda k.$$

Therefore

$$h_\lambda = f_\lambda P(\varphi - \lambda)^{-1}g_\lambda k.$$

Let this identity, which holds for $\lambda \in \rho(T_\varphi)$, be used to define h_λ for $\lambda \in \Omega$. Note that since k is bounded $P(\varphi - \lambda)^{-1}g_\lambda k$ is L_q -analytic and so h_λ is analytic. But since

$$\sup_{\mu \in \sigma} \|h_\mu\|_p \leq \sup_{\mu \in \sigma} \|(T_\varphi - \lambda I)^{-1}\| \|k\|_p$$

Lemma 3 tells us that h_λ is L_p -analytic inside C and satisfies the inequality

$$(18) \quad \|h_\lambda\|_p \leq \sup_{\mu \in \sigma} \|(T_\varphi - \lambda I)^{-1}\| \|k\|_p$$

there. By an argument already given $h_\lambda \in H_p$ and satisfies (17) there.

Finally let k be an arbitrary function belonging to H_p . Then we can find a sequence of functions k_n belonging to H_∞ and satisfying $\|k_n - k\|_p \rightarrow 0$. Let $h_{n,\lambda}$ denote the solution of

$$(T_\varphi - \lambda I)h_{n,\lambda} = k_n.$$

As $n, m \rightarrow \infty$ we have $\|k_n - k_m\|_p \rightarrow 0$, so by (18)

$$\|h_{n,\lambda} - h_{m,\lambda}\|_p \rightarrow 0.$$

Then $\{h_{m,\lambda}\}$ converges in L_p to a function $h_\lambda \in H_p$ and

$$(T_\varphi - \lambda I)h_\lambda = k.$$

This completes the proof of the theorem.

REFERENCES

1. F. V. Atkinson, *Some aspects of Baxter's functional equation*, J. Math. Anal. Appl. **7** (1963), 1-30.
2. H. Helson and G. Szegő, *A problem in prediction theory*, Annali di Mat. **41** (1960) 107-138.
3. H. Widom, *On the spectrum of a Toeplitz operator*, Pacific J. Math. **14** (1964), 365-375.

Received May 21, 1965. Supported in part by Air Force grant AFOSR 743-65.

PACIFIC JOURNAL OF MATHEMATICS

EDITORS

H. SAMELSON

Stanford University
Stanford, California

J. P. JANS

University of Washington
Seattle, Washington 98105

J. DUGUNDJI

University of Southern California
Los Angeles, California 90007

RICHARD ARENS

University of California
Los Angeles, California 90024

ASSOCIATE EDITORS

E. F. BECKENBACH

B. H. NEUMANN

F. WOLF

K. YOSIDA

SUPPORTING INSTITUTIONS

UNIVERSITY OF BRITISH COLUMBIA
CALIFORNIA INSTITUTE OF TECHNOLOGY
UNIVERSITY OF CALIFORNIA
MONTANA STATE UNIVERSITY
UNIVERSITY OF NEVADA
NEW MEXICO STATE UNIVERSITY
OREGON STATE UNIVERSITY
UNIVERSITY OF OREGON
OSAKA UNIVERSITY
UNIVERSITY OF SOUTHERN CALIFORNIA

STANFORD UNIVERSITY
UNIVERSITY OF TOKYO
UNIVERSITY OF UTAH
WASHINGTON STATE UNIVERSITY
UNIVERSITY OF WASHINGTON
* * *

AMERICAN MATHEMATICAL SOCIETY
CHEVRON RESEARCH CORPORATION
TRW SYSTEMS
NAVAL ORDNANCE TEST STATION

Mathematical papers intended for publication in the *Pacific Journal of Mathematics* should be typewritten (double spaced). The first paragraph or two must be capable of being used separately as a synopsis of the entire paper. It should not contain references to the bibliography. Manuscripts may be sent to any one of the four editors. All other communications to the editors should be addressed to the managing editor, Richard Arens at the University of California, Los Angeles, California 90024.

50 reprints per author of each article are furnished free of charge; additional copies may be obtained at cost in multiples of 50.

The *Pacific Journal of Mathematics* is published monthly. Effective with Volume 16 the price per volume (3 numbers) is \$ 8.00; single issues, \$ 3.00. Special price for current issues to individual faculty members of supporting institutions and to individual members of the American Mathematical Society: \$ 4.00 per volume; single issues \$ 1.50. Back numbers are available.

Subscriptions, orders for back numbers, and changes of address should be sent to Pacific Journal of Mathematics, 103 Highland Boulevard, Berkeley 8, California.

Printed at Kokusai Bunken Insatsusha (International Academic Printing Co., Ltd.), No. 6, 2-chome, Fujimi-cho, Chiyoda-ku, Tokyo, Japan.

PUBLISHED BY PACIFIC JOURNAL OF MATHEMATICS, A NON-PROFIT CORPORATION

The Supporting Institutions listed above contribute to the cost of publication of this Journal, but they are not owners or publishers and have no responsibility for its content or policies.

S. J. Bernau, <i>The spectral theorem for unbounded normal operators</i>	391
Lu-san Chen, <i>Asymptotic behavior of solutions of parabolic equations of higher order</i>	407
Lawrence William Conlon, <i>An application of the Bott suspension map to the topology of EIV</i>	411
Neal Eugene Foland and John M. Marr, <i>Sets with zero-dimensional kernels</i>	429
Stanley Phillip Franklin and R. H. Sorgenfrey, <i>Closed and image-closed relations</i>	433
William Jesse Gray, <i>A note on topological transformation groups with a fixed end point</i>	441
Myron Goldstein, <i>K- and L-kernels on an arbitrary Riemann surface</i>	449
George Joseph Kertz and Francis Regan, <i>The exponential analogue of a generalized Weierstrass series</i>	461
Walter Leighton, <i>On Liapunov functions with a single critical point</i>	467
Bernard Werner Levinger and Richard Steven Varga, <i>On a problem of O. Taussky</i>	473
Lowell Duane Loveland, <i>Tame subsets of spheres in E^3</i>	489
Erik Andrew Schreiner, <i>Modular pairs in orthomodular lattices</i>	519
K. N. Srivastava, <i>On dual series relations involving Laguerre polynomials</i>	529
Arthur Steger, <i>Diagonability of idempotent matrices</i>	535
Walter Strauss, <i>On continuity of functions with values in various Banach spaces</i>	543
Robert Vermes, <i>On the zeros of a linear combination of polynomials</i>	553
Elliot Carl Weinberg, <i>On the scarcity of lattice-ordered matrix rings</i>	561
Harold Widom, <i>Toeplitz operators on H_p</i>	573
Neal Zierler, <i>On the lattice of closed subspaces of Hilbert space</i>	583
Irving Leonard Glicksberg, <i>Correction to: "Maximal algebras and a theorem of Radó"</i>	587
John Spurgeon Bradley, <i>Correction to: "Adjoint quasi-differential operators of Euler type"</i>	587
William Branham Jones, <i>Erratum: "Duality and types of completeness in locally convex spaces"</i>	588
Stanley P. Gudder, <i>Erratum: "Uniqueness and existence properties of bounded observables"</i>	588