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IMAGES OF ORDERED COMPACTA ARE LOCALLY PERIPHERALLY METRIC

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In this paper we study the class \Re of Hausdorff compact spaces X which are obtainable as images of ordered compacta K under (continuous) maps $f\colon K\to X$ onto X. The topology of K is the order topology induced by a total (linear) ordering < on K. We find that X is locally peripherally metric (Theorem 5), i.e., it has a basis of open sets with metrizable frontiers.

In fact, our main result is this stronger statement.

THEOREM 1. Let X be a continuous image of an ordered compactum K and let G be an open F_{σ} -set in X. If Cl G is connected, then the frontier Fr G is metrizable.

Theorems 1 and 5 answer in the affirmative two questions raised by the author in [3].

As an immediate consequence, we obtain

COROLLARY 1. Let X be a continuous image of an ordered compactum K and let G be an open F_{σ} -set in X. If every point $x \in \operatorname{Fr} G$ has a connected open neighborhood in $\operatorname{Cl} G$, then $\operatorname{Fr} G$ is metrizable.

Another easy consequence of Theorem 1 is the following theorem of L. B. Treybig [10]:

COROLLARY 2 (Treybig). Let X be a continuous image of an ordered compactum K. If X is connected and separable, then it is metrizable.

The proof of Theorem 1 given in §5 depends on an apparently new metrization theorem for Hausdorff compact spaces (Theorem 2 of §1), on earlier work of the author on separation properties of images of ordered compacta [3], on the earlier joint work with P. Papić ([5], [6],) and on the following product theorem due to A. J. Ward [13] and L. B. Treybig [9] (see also [3] and [4]).

PRODUCT THEOREM (Ward, Treybig). Let X and Y be infinite compacta such that $X \times Y$ is the image of an ordered compactum. Then both X and Y are metrizable.

The proof of Theorem 1 does not depend on Corollary 2 and,

therefore provides a new proof of this important result (For another proof of Corollary 2 see [3]).

1. A metrization theorem for Hausdorff compacta. In this paper a compactum is a Hausdorff compact space and a continuum is a connected compactum. If Y is a compactum, Z(Y) denotes the space of components of Y, i.e., Z(Y) is the quotient space Y/R, where y Ry', y, $y' \in Y$, means that both y and y' belong to the same (connected) component of Y. It is well-known that Z(Y) is again a compactum and that the natural projection $\pi: Y \to Z(Y)$ ($\pi(y)$ is the component of y in Y) is a continuous mapping onto (see e.g. [8]).

We now consider, for compacta Y, the following two properties:

PROPERTY μ . For every closed subset $A \subset Y$ the space of components Z(A) is metrizable.

PROPERTY σ . There exists a countable family \otimes of open sets S such that for any pair of disjoint closed sets $M, N \subset Y$ there exists an $S \in \otimes$ which separates Y between M and N.

We say that S separates Y between M and N provided there exist disjoint sets $A, B \subset Y$ such that $M \subset A, N \subset B, A \cup B = Y \setminus S$, and A and B are both closed in $A \cup B$.

Theorem 2. In order that a compactum Y be metrizable it is necessary and sufficient that it has both properties μ and σ .

Proof. If Y is metrizable, then so are its closed subsets $A \subset Y$. Therefore, their continuous images $Z(A) = \pi(A)$ are also metrizable, so that Y has property μ .

To prove that Y has property σ , consider a countable basis \otimes which is closed under finite unions. Given any pair of disjoined closed sets $M, N \subset Y$, one readily finds a closed set $F \subset Y \setminus (M \cup N)$ which separates Y between M and N. Now it suffices to cover F by a set $S \in \otimes$ which does not meet $M \cup N$.

Suppose now that Y is a compactum with properties μ and σ . We construct a countable basis $\mathfrak B$ for the topology of Y as follows. Choose, by property σ , a countable family $\mathfrak S$ and consider for each $S\in\mathfrak S$ the closed set $Y\backslash S$. Next, choose a countable basis $\mathfrak B_s^*$ for the topology of the metric compactum $Z(Y\backslash S)$ (property μ). Let $\mathfrak B_s$ consist of all sets of the form

$$(1) U = S \cup \pi^{-1}(V) ,$$

where $V \in \mathcal{B}_{S}^{*}$ and $\pi: Y \backslash S \to Z(Y \backslash S)$ is the natural projection. Clearly

$$\mathfrak{B} = \cup \mathfrak{B}_{S}, S \in \mathfrak{S},$$

is a countable collection of open sets of Y.

To show that \mathfrak{B} is a basis for Y, consider a point $y_0 \in Y$ and a closed set $M \subset Y$, $y_0 \notin M$. We shall exhibit a set $U \in \mathfrak{B}$ such that $y_0 \in U$ and $U \cap M = 0$.

First take an open set $S_0 \in \mathfrak{S}$ which separates Y between y_0 and M. Then choose a decomposition of $Y \setminus S_0$ in two disjoint closed sets A, B such that $y_0 \in A$, $M \subset B$. No component of $Y \setminus S_0$ meets simultaneously A and B. Hence,

$$\pi(A) \cap \pi(B) = 0 ,$$

where $\pi: Y \backslash S_0 \to Z(Y \backslash S_0)$ is the natural projection. We obtain thus a decomposition

$$(4) Z(Y \backslash S_0) = \pi(A) \cup \pi(B)$$

of $Z(Y \setminus S_0)$ in two disjoint closed and open subsets $\pi(A)$, $\pi(B)$. Since,

$$\pi(y_0) \in \pi(A),$$

there exists an open set $V \in \mathfrak{B}_{S_0}^*$ such that

$$\pi(y_0) \in V \subset \pi(A)$$
.

Consequently,

$$(7) y_0 \in \pi^{-1}(V) \subset A$$

and we see that the set

$$(8) U = S_0 \cup \pi^{-1}(V) \in \mathfrak{B}_{S_0} \subset \mathfrak{B}$$

fulfills the requirements

$$(9) y_0 \in U, U \cap M = 0.$$

This completes the proof of Theorem 2.

REMARK. Property σ alone is not sufficient to imply metrizability of Y. E.g. every separable ordered compactum K has property σ (see Theorem 4 in §3), but K need not be metrizable. The corresponding question for property μ is discussed in §2.

2. Property μ and the Suslin problem. A space Y is said to have the Suslin property if every family of nonempty disjoint open sets in Y is countable.

¹ The results of this section are not used in the sections that follow.

Lemma 1. If a compactum Y has property μ , it also has the Suslin property.

Proof. Let $U = \{U_{\lambda}\}, \ \lambda \in L$, be a family of nonempty disjoint open sets in Y. Choose, for each $\lambda \in L$, a point $y_{\lambda} \in U_{\lambda}$. Let

$$A = \operatorname{Cl}\left[\bigcup_{\lambda \in L} \left\{y_{\lambda}\right\}\right].$$

Clearly, the points y_{λ} are isolated in the set A and, therefore, $\pi(y_{\lambda})$ are isolated points in Z(A). Since, Z(A) is a metrizable compactum, it can have only countably many isolated points. This proves that L is countable, i.e., that Y has the Suslin property.

Lemma 2. Let C be an ordered continuum with the Suslin property. Then C has property μ .

Proof. An ordered continuum C is an ordered compactum which is connected. If A is a closed subset of C, then the open set $C \setminus A$ decomposes in a countable family of maximal disjoint open intervals U_n . Clearly, the space of components Z(A) is a totally disconnected ordered compactum whose order is induced by the order C in C.

By a gap in an ordered compactum (K, <) we mean a pair of points $c_1, c_2 \in K$, such that the interval $(c_1, c_2)_K$ is empty. It is readily seen that a totally disconnected ordered compactum K with only countably many gaps is metrizable and is in fact a subset of the Cantor set (see e.g. Lemma 1 of [9]).

Thus, in order to show that Z(A) is metrizable it suffices to show that Z(A) has only countably many gaps. In fact, we can associate with every gap C_1 , C_2 of Z(A) the unique interval $U_n \subset C$ whose two end-points belong to the components C_1 and C_2 of A respectively. In this way we obtain a one-to-one mapping of the set of gaps of Z(A) into the set of intervals U_n . This proves that Z(A) has only countably many gaps and is, therefore, metrizable. Since Z(A) is metrizable, for every closed set $A \subset C$, the continuum C has property μ .

The author does not know of any example of a compactum Y which has property μ but fails to be metrizable. However, if property μ alone would imply metrizability of compacta Y, then Lemma 2 would imply that every ordered continuum C with the Suslin property is metrizable and, therefore, homeomorphic to the real line segment I. In other words, we would have a positive answer to the Suslin problem (M. Ya. Suslin in Fund. Math. 1 (1920), p. 223).

Theorem 3. The following two statements are equivalent:

(i) In the class \Re of images of ordered compacta every compactum

 $X \in \Re$ with property μ is metrizable,

(ii) Every ordered continuum C with the Suslin property is homeomorphic to the real line segment I.

Proof. (i) \Rightarrow (ii) is an immediate consequence of Lemma 2.

In order to prove that (ii) \Rightarrow (i), consider a compactum $X \in \Re$ which has property μ . It follows from Lemma 1 that X has the Suslin property. Using (ii), P. Papić and the author have proved that a compactum $X \in \Re$ with the Suslin property is separable (Corollary 6 of [6]), and in §3 of this paper we prove that every separable compactum $X \in \Re$ has property σ (Theorem 4). Hence, X has both properties μ and σ and is therefore metrizable, by Theorem 2.

3. Images of ordered compacta and property σ . In this section we prove

Theorem 4. Let X be a continuous image of an ordered compactum. If X is separable, it has property σ .

We first recall that if $X \in \Re$ has the Suslin property, then every open subset of X is an F_{σ} -set (see Theorem 2 of [5] or Corollary 3, p. 13 of [6]). This holds a fortiori if X is separable so that we have

Lemma 3 (Mardešić-Papić). If $X \in \Re$ is separable, then every closed subset of X is a G_{δ} -set and every open subset of X is an F_{σ} -set.

Proof of Theorem 4. The author has shown (Theorem 4 in [3]) that a separable $X \in \Re$ admits a countable family \Re of closed sets F which separate X between any pair of disjoint closed sets $M, N \subset X$. We now choose such a family \Re .

Each $F \in \mathfrak{F}$ is a G_{δ} -set (Lemma 3) so that we can choose a countable collection \mathfrak{S}_F of open sets $S \subset X$ such that $F \subset S$ and

$$(1) F = \bigcap (\operatorname{Cl} S), S \in \mathfrak{S}_{\scriptscriptstyle F}.$$

The family

$$(2) \hspace{1cm} \mathfrak{S} = \hspace{0.1cm} \cup \hspace{0.1cm} \mathfrak{F}_{\scriptscriptstyle F}, \hspace{0.5cm} F \hspace{0.1cm} \in \hspace{0.1cm} \mathfrak{F} \hspace{0.1cm} ,$$

is a countable collection of open sets in X which has the required separation property σ .

Indeed, if M and N are disjoint closed subsets of X, then there exists a set $F \in \mathcal{F}$ such that F separates X between M and N. Since

$$(3) F \subset X \setminus (M \cup N),$$

and (1) holds, we can find a set $S \in \mathfrak{S}_F \subset \mathfrak{S}$ such that

$$(4) F \subset S \subset \operatorname{Cl} S \subset X \setminus (M \cup N) .$$

Clearly, such a set $S \in \mathfrak{S}$ separates X between M and N, which concludes the proof.

4. The frontier of open F_{σ} -sets and its space of components. In this section we prove the crucial

LEMMA 4. Let $X \in \Re$ and let G be an open F_{σ} -set dense in X. If X is connected, the space of components $Z(\operatorname{Fr} G)$ is metrizable.

Proof. Choose a sequence of open sets $H_n \subset G, \ n=1,2,\cdots$, such that

(1)
$$\operatorname{Cl} H_n \subset H_{n+1}$$
,

(2)
$$\bigcup_{n=1}^{\infty}\operatorname{Cl} H_n=G$$
.

For each n, consider the compactum

$$(\ 3\)$$
 $Xackslash H_n\supset Xackslash G$.

Let

$$(4)$$
 $Z_n = Z(X \backslash H_n) , \qquad Z = Z(X \backslash G) .$

By (3), every component of $X\backslash G$ is contained in a unique component of $X\backslash H_n$. This inclusion defines a map

$$(5) p_n: Z \to Z_n .$$

We shall now show that the maps p_n , $n = 1, 2, \dots$, distinguish points of Z, i.e. that for any two distinct components C_1 , C_2 of $X \setminus G$ there exists an n such that

$$(6)$$
 $p_n(C_1) \neq p_n(C_2)$.

The maps p_n , $n = 1, 2, \dots$, will thus define an imbedding of Z in the direct product

$$\prod_{n=1}^{\infty} p_n(Z) .$$

We first choose two disjoint closed sets F_1 , F_2 in Fr G covering Fr G and such that $C_1 \subset F_1$ and $C_2 \subset F_2$. Since the sets F_i are at the same time closed in X, we can surround them by disjoint open sets U_1 , U_2 of X. Thus

$$(8) \hspace{3.1em} C_i \subset U_i \;, \quad i=1,2 \;,$$

$$(9) U_1 \cup U_2 \supset \operatorname{Fr} G.$$

We now choose an n such that

$$(10) X \setminus (U_1 \cup U_2) \subset H_n.$$

The set $X\backslash H_n\subset U_1\cup U_2$ splits in two disjoint open sets $U_i\cap (X\backslash H_n)$, i=1,2, which contain C_1 and C_2 respectively. This proves that C_1 and C_2 are included in different components of $X\backslash H_n$ so that (6) takes place.

In order to complete the proof of Lemma 4 it now suffices to show that the space $p_n(Z)$ is metrizable, for every n. In that case the direct product (7) will be metrizable and so will be Z itself, because Z is embeddable in this product.

To show that $p_n(Z)$ is metrizable, first notice that every component C of $X\backslash H_n$ meets $\operatorname{Cl} H_n$, because X is connected and compact. Moreover, if $C\in p_n(Z)$. Then C also meets $\operatorname{Fr} G$.

Next, consider the natural projection

(11)
$$\pi: X \backslash H_n \to Z(X \backslash H_n) = Z_n$$

and a map

(12)
$$\varphi: X \backslash H_n \longrightarrow I = [0, 1],$$

such that

$$\varphi((X\backslash H_n)\cap\operatorname{Cl} H_n)=0,$$

$$\varphi(\operatorname{Fr} G) = 1;$$

 φ exists by Urysohn's lemma.

Using π and φ we define the map

(15)
$$\psi = \pi \times \varphi \colon X \backslash H_n \to Z_n \times I.$$

We now show that

(16)
$$p_n(Z) \times I \subset \psi(X \backslash H_n)$$
.

Indeed, if $C \in p_n(Z)$, then C meets Fr G and Cl H_n and so $\psi(C)$ meets both $C \times 1$ and $C \times 0$. Since, $\psi(C) \subset C \times I$ and $\psi(C)$ is connected, it follows that

$$(17) C \times I = \psi(C) \subset \psi(X \backslash H_n)$$

and (16) is established.

Since X belongs to \Re , we conclude that also $X\backslash H_n, \psi$ $(X\backslash H_n)$ and $p_n(Z)\times I$ belong to \Re . Therefore, by the product theorem (see the

introduction) $p_n(Z)$ is metrizable. This completes the proof of Lemma 4.

5. Proof of Theorem 1. We first prove

LEMMA 5. Let $X \in \Re$ and let G be an open F_{σ} -set in X. If Cl G is connected, then Fr G has property μ .

Proof. Let A be a closed subset of Fr G and let

(1)
$$\Gamma = (\operatorname{Cl} G) \backslash A.$$

Clearly, Γ is an open set, dense in Cl G, and

(2)
$$\operatorname{Fr} \Gamma = A.$$

We now show that Γ is an F_{σ} -set in Cl $G = \operatorname{Cl} \Gamma$. In the first place, Fr G is a separable compactum from \Re , for the author has proved that the frontier of an open F_{σ} -set in a compactum $X \in \Re$ is always separable (Theorem 2 of [3]). It follows, by Lemma 3, that $(\operatorname{Fr} G)\backslash A$ is an F_{σ} -set.

On the other hand, G is by assumption an F_{σ} -set. Consequently,

$$\Gamma = (\operatorname{Fr} G \backslash A) \cup G$$

is also an F_{σ} -set in X.

Applying Lemma 4 to Cl G and Γ , and taking into account (2), we see that $Z(A) = Z(\operatorname{Fr} \Gamma)$ is metrizable. This concludes the proof of Lemma 5.

Proof of Theorem 1. To complete the proof, notice that Fr G is a separable compactum from \Re and, therefore, has property σ (Theorem 4). On the other hand, by Lemma 5, Fr G has also property μ . Thus, by Theorem 2, Fr G is a metrizable compactum.

Proof of Corollary 1. Let $X \in \mathbb{R}$ and let G be an open F_{σ} -set in X with the property that there is a finite collection of connected open sets U_1, \dots, U_n in Cl G such that

$$\operatorname{Fr} G \subset U_{\scriptscriptstyle 1} \cup \cdots \cup U_{\scriptscriptstyle n} .$$

Clearly, Cl U_i belongs to \Re and is connected. On the other hand, (Cl U_i) $\cap G$ is an open F_{σ} -set dense in Cl U_i , because $U_i \subset \operatorname{Cl} G$ implies

$$(5) U_i \subset \operatorname{Cl} [U_i \cap G] \subset \operatorname{Cl} [\operatorname{Cl} (U_i) \cap G] \subset \operatorname{Cl} U_i,$$

so that

(6)
$$\operatorname{Cl}\left[\operatorname{Cl}\left(U_{i}\right)\cap G\right]=\operatorname{Cl}\left(U_{i}\right).$$

It follows from (6) and Theorem 1 that

(7)
$$\operatorname{Fr}\left[\operatorname{Cl}\left(U_{i}\right)\cap G\right]=\left(\operatorname{Cl}\left(U_{i}\right)\backslash G\right)$$

is metrizable. Since, by (4), the sets (Cl U_i)\G, $i = 1, \dots, n$, cover Fr G, we conclude that Fr G itself is metrizable.

Proof of Corollary 2. Corollary 2 is an immediate consequence of Theorem 1 and this

LEMMA 6. If $X \in \Re$ is separable, there exists a compactum $X' \in \Re$ and an open F_{σ} -set $G \subset X'$ dense in X' and such that $X = \operatorname{Fr} G$. Moreover, if X is connected, so is X'.

Proof. Let $f: K \to X$ be a map of an ordered compactum K onto X and let $D = \{t_1, \dots, t_n, \dots\}$ be a countable subset of K such that f(D) is dense in X. Let K' be a new ordered compactum obtained from K by replacing each point $t_n \in D$ by a copy I_n of the real line segment I. We denote the two end-points of I_n by t'_n and t''_n and its interior by I_n^0 . $K \setminus D$ can be considered as a subset of K'.

We now define a map

(8)
$$f': K' \to X \times I$$

as follows. For $t \in K \setminus D$, let

$$(9) f'(t) = f(t) \times 0,$$

let

(10)
$$f'(t'_n) = f'(t''_n) = f(t_n),$$

and let $f' | I_n$ be any map of I_n onto

(11)
$$f(t_n) \times \left[0, \frac{1}{n}\right],$$

such that the end-points t'_n , t''_n are the only points of I_n which are mapped into $f(t_n) \times 0$. It is easy to verify that $f': K' \to X \times I$ is continuous.

We now define X' by

$$(12) X' = f'(K') \subset X \times I.$$

 $X' \in \Re$ and

(13)
$$X imes 0 = f' \Big(K' ackslash ackslash I_n^0 \Big) \subset X'$$
 .

Clearly, the set

(14)
$$G = X' \setminus (X \times 0) = \bigcup_{n=1}^{\infty} f'(I_n^0)$$

is an open F_{σ} -set in X' and

(15)
$$\operatorname{Fr} G = X \times 0,$$

because Cl $G \supset f(D) \times 0$ and, therefore,

(16)
$$\operatorname{Cl} G \supset \operatorname{Cl} [f(D) \times 0] = X'.$$

If X is connected, so is X', because it consists of $X\times 0$ and arcs (11) which meet $X\times 0$.

6. Local peripheral metrizablity.

LEMMA 7. Let X be a continuous image of an ordered compactum. If X is locally connected, then it is locally peripherally metrizable.

Proof. If $F \subset X$ is a closed connected set and $U \subset X$ is open and $F \subset U$, then one can easily find (using regularity and local connectedness of X) an open connected set V in X such that

$$(1) F \subset V \subset \operatorname{Cl} V \subset U.$$

Using this argument repeatedly, one can find, for each point $x_0 \in X$ and each open neighborhood U of x_0 , a sequence of connected open sets V_n , $n = 1, 2, \dots$, such that

$$(2) x_0 \in V_1 \subset \cdots \subset V_n \subset \operatorname{Cl} V_n \subset V_{n+1} \subset \cdots \subset U.$$

Clearly,

$$V = \bigcup_{n=1}^{\infty} V_n = \bigcup_{n=1}^{\infty} \operatorname{Cl} V_n$$

is a connnected open F_{σ} -set in X such that

$$(4)$$
 $x_0 \in V \subset U$.

By Theorem 1, Fr V is metrizable, which proves that X is locally peripherally metrizable.

THEOREM 5. Every continuous image X of an ordered compactum K is locally peripherally metrizable.

The result follows immediately from Lemma 7 and this

Lemma 8. Every continuous image X of an ordered compactum K can be embedded in a continuous image Y of an ordered continuum C.

Proof. Insert between any two consecutive points of K a copy of the open real line interval filling thus all the gaps in K. Denote the obtained ordered continuum by C. Consider X as embedded in a cube I^{κ} . The map $f: K \to I^{\kappa}$ can be extended to a continuous map $g: C \to I^{\kappa}$, $g \mid K = f$. Clearly, $X \subset Y = g(C)$. Notice that Y is locally connected and thus Lemma 7 applies.

Remark. Local peripheral metrizability together with local connectedness does not suffice for the conclusion that a compactum X belongs to \Re as the following example shows.

EXAMPLE. Let $\Omega = \{\alpha \mid \alpha < \omega_1\}$ be the set of all countable ordinals. Let L be the ordered continuum obtained by ordering lexicographically the product $\Omega \times [0,1)$ and adjoining a last point ω_1 . Let X be the quotient space

(5)
$$X = (L \times I)/\omega_1 \times I.$$

X is a nonmetrizable locally connected continuum and is locally peripherally metric. However, X does not belong to \Re , because no two points separate X and every nonmetrizable continuum $X \in \Re$ has such a pair of points (see Theorem 2 of [10]).

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