

# Pacific Journal of Mathematics

**A WILD CANTOR SET IN THE HILBERT CUBE**

RAYMOND Y. T. WONG

## A WILD CANTOR SET IN THE HILBERT CUBE

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Let  $E^n$  be the Euclidean  $n$ -space. A Cantor set  $C$  is a set homeomorphic with the Cantor middle-third set. Antoine and Blankinship have shown that there exists a "wild" Cantor set in any  $E^n$  for  $n \geq 3$ , where "wild" means that  $E^n - C$  is not simply connected. However it is also known that no "wild" Cantor set (in fact, compact set) can exist in many infinite dimensional spaces, such as  $s$  (the countably infinite product of lines) or the Hilbert space  $l_2$ . A result of this paper provides a positive answer for a generalization of Blankinship's result in the Hilbert cube.

If  $X$  is a space, we denote by  $X^n$  the space  $\prod_{i=1}^n X_i$  and  $X^\infty$  the space  $\prod_{i=1}^\infty X_i$  with  $X_i = X$ . Let  $\tau_n$  denote the projecting function of  $X^\infty$  onto  $X^n$  and  $\pi_n$  the projecting function of  $X^\infty$  onto  $X_n$ . Let  $J, \dot{J}$  denote intervals  $[-1, 1], (-1, 1)$  respectively. The Hilbert cube is the space  $J^\infty$  under the metric  $\rho(x, y) = \sum_{i \geq 1} (|x_i - y_i|)/2^i$ . Hilbert space,  $l_2$ , is the space of all square summable sequences of real numbers with metric  $d((x_i), (y_i)) = \sqrt{[\sum_{i=1}^\infty (x_i - y_i)^2]}$ . The space  $\dot{J}^\infty$  is also denoted by  $s$ . Let  $E^n = \prod_{i=1}^n E_i$  be the Euclidean  $n$ -space.

A Cantor set is a set homeomorphic with the Cantor middle-third set. The existence of a Cantor set  $C$  in  $E^n$  ( $n \geq 3$ ) such that  $E^n - C$  is not simply connected was first demonstrated by Antoine [4] in 1921 and constructed by W. A. Blankinship [5] in 1951. It is known that every Cantor set in  $s$  (or in  $l_2$ ) must be tame, in the sense that its complement in  $s$  (or in  $l_2$ ) is topologically as nice as the space itself. In fact it has been proved (by V. Klee in the case of  $l_2$  [9] and by R. D. Anderson [1] in the case of  $s$ , using Klee's method) that if  $K$  is a compact set in  $X$  (for  $X = s$  or  $l_2$ ), then  $X - K \approx X$ . The question as to whether a finite dimensional closed set can leave the Hilbert cube multiply connected (in particular, whether a Cantor set can have this property) was then raised in [5] by Blankinship and was also later mentioned in [7] by Klee. In this paper we shall give such a question a positive answer by constructing a Cantor set  $C$  in the Hilbert cube  $J^\infty$  such that  $J^\infty - C$  is not homotopically trivial. In fact, we shall apply the result of Blankinship [5] to show that  $J^\infty - C$  has nontrivial 1st-Homotopy group. We remark that such a set  $C$  cannot be constructed as a subset of  $\dot{J}^\infty$ . Note that Anderson [1] (by using Klee's method) proved that any Cantor set  $C$  (in fact, any compact set) in  $\dot{J}^\infty$  can be carried into an end-face, say  $K_1 = \{x \in J^\infty \mid \pi_1(x) = 1\}$ , by a homeomorphism on  $J^\infty$ . It is quite clear that the complement of any Cantor subset (in fact, any compact subset)

of  $K_1$  in  $J^\infty$  is homotopically trivial, therefore, if the complement of  $C$  in  $J^\infty$  is to be homotopically nontrivial,  $C$  must, in a sense, join various end-faces of  $J^\infty$ .

**2. Some notation and lemma.** All homeomorphisms concerned are assumed to be geometric homeomorphisms, and when a homeomorphism has domain in  $E^n$ , it is assumed to be linear. Two subsets of  $E^n$  are similar if they are homeomorphic under some homeomorphism. Let  $\Delta$  denote the boundary of the unit square in  $E^2$ . A  $*$ -circle is a set homeomorphic to  $\Delta$ . An  $n$ -tube,  $n \geq 3$ , is a set homeomorphic to the product of a circular 2-cell with  $(n - 2)$   $*$ -circles.

We shall choose a fixed set of positive real numbers  $r_1, r_2, \dots$  with the properties that (1)  $r_i > 1$  and (2)  $r_{n+1} > 2(\sum_{i=1}^n r_i)$ . Let  $L_i = [r_i, r_i + 1] \subset E_i$  and  $L^n = \prod_{i=1}^n L_i \times (r_{n+1}, r_{n+2}, \dots)$ . We shall regard  $E^n$  as a subset of  $E^{n+1}$  by considering  $E^n$  as  $E^n \times 0$ .

**LEMMA 1.** *If  $X$  is a Hausdorff space and  $A_1, A_2, \dots$  is a decreasing sequence of compact subsets of  $X$  such that each  $A_i$  is dense in itself, then  $\bigcup_{i=1}^\infty A_i$  is dense in itself.*

*Proof.* If  $x$  is an isolated point of  $\bigcap_{i=1}^\infty A_i$ , then for some  $i$ ,  $x$  is an isolated point of  $A_i$ , contrary to the hypothesis.

**3. Brief outline of the construction.** The construction is an inductive modification of the construction by Antoine [4] and by Blankinship [5]. The Cantor set  $C$  will be the intersection of a decreasing sequence of compact subsets  $K_1, K_2, \dots$  of the Hilbert cube  $L^\infty = \prod_{i=1}^\infty L_i$ . For each  $n \geq 3$ ,  $K_n$  will be the product of a compact subset  $K'_n$  of  $L^n$  with  $\prod_{i=n+1}^\infty L_i$ .  $K'_3$  is the intersection of a simple chain of linking 3-tubes of  $E^3$  with  $L^3$ .  $K'_4$  will be contained in  $K'_3 \times L_4$  and is the intersection of a simple chain of linking 4-tubes of  $E^4$  with  $L^4$  and so on.

**4. Construction of  $K_3$ .**

**DEFINITION.** Let  $r, s$  be positive integers and  $d_r$  an arbitrary real number. Let  $S$  be a compact subset of  $E^\infty (= \prod_{i=1}^\infty E_i)$  such that  $\pi_r(S) = d_r$ . We say  $\tilde{S}$  is the set generated by rotating  $S$  about the hyperplane  $x_r = d_r$  and  $x_s = 0$  if

$$\tilde{S} = \left\{ \begin{array}{l} x \in E^\infty : \exists y \in S \ni (x_r, x_s) \in \text{Bd}([d_r - y_s, d_r + y_s] \times [-y_s, y_s]) \\ \text{and } x_i = y_i \text{ for } i \neq r, s \end{array} \right\}$$

where  $[d_r - y_s, d_r + y_s] \subset E_r, [-y_s, y_s] \subset E_s$ .

The following Lemma is evident:

LEMMA 2. *Suppose  $S$  is the set defined above and  $\pi_3(S) > 0$ , then  $\tilde{S}$  is homeomorphic to the product of  $S$  with a \*-circle.*

DEFINITION. Let

$$T^2 = \{x \in E^\infty : (x_1 - r_1)^2 + (x_2 - r_2)^2 \leq \left(\frac{1}{4}\right)^2 \text{ and } x_i = r_i \text{ for } i \geq 3\}$$

$$\Delta_0 = \{x \in E^\infty : (x_1 - r_1)^2 + (x_2 - r_2)^2 = \left(\frac{1}{2}\right)^2 \text{ and } x_i = r_i \text{ for } i \geq 3\}.$$

For  $n \geq 3$ , define  $T^n$  inductively to be the set generated by rotating  $T^{n-1}$  about the hyperplane  $x_{n-1} = 0, x_n = r_n$ .

LEMMA 3. *For  $n \geq 2, \min \pi_n(T^n) \geq 1$ .*

*Proof.* It is clear for  $n = 2$ . For  $n \geq 3$ , it follows from the fact  $\min \pi_n(T^n) = r_n - (1/4 + r_2 + \dots + r_{n-1})$  and from the hypothesis of  $r_i$ .

LEMMA 4. *For  $n \geq 3, T^n$  is an  $n$ -tube in  $E^n$ .*

*Proof.*  $\pi_3(T^2) > 0$  by Lemma 3. Then by Lemma 2,  $T^3$  is a 3-tube. Inductively,  $T^n$  is an  $n$ -tube.

LEMMA 5. *For  $n \geq 3, T^n \cap L^n = \tau_2(T^2) \times \prod_{i=3}^n L_i \times (r_{n+1}, r_{n+2}, \dots)$ .*

*Proof.* This is a consequence of Lemma 3.

Let  $\{t_i^3\}_{i=1}^l$  be a chain of cyclically linked disjoint 3-tubes contained in the interior of  $T^3$  and looping once around the axis of  $T^3$ . We assume (1) they are all similar to  $T^3$ , (2)  $l \equiv 0 \pmod{4}$  and  $l$  is large enough so that each  $t_i^3$  can be regarded as the set generated by rotating a small circular 2-cell  $t_i^2$  along a small \*-circle  $\Delta_i$ , (3)  $\text{diam}(t_i^3) < 1/3(\text{diam } T^3)$  for all  $i$ , and (4) Only two members of  $\{t_i^3\}_{i=1}^l$  intersect  $\text{Bd}(L^3)$  (one in each side) and the intersection of each such  $t_i^3$  with  $\text{Bd}(K^3)$  is exactly two disjoint 2-cells. Let  $A_3 = \bigcup_{i=1}^l t_i^3, K_3' = A_3 \cap L^3$  and  $K_3 = K_3' \times \prod_{i=4}^\infty L_i$ .

5. **Construction of  $K_4, K_5, \dots$ .** For the purpose of simplicity, we shall give only the construction of  $K_4$  and assert that for  $n \geq 5, K_n$  can be inductively constructed.

*Step 1.* For each  $i$ , let  $h_i$  be a (linear) homeomorphism of  $T^3$

onto  $t_i^3$ . Hence  $\{t_{ij}^3 = h_i(t_j^3)\}_{j=1}^l$  is a similar chain of cyclically linked disjoint 3-tubes in  $t_i^3$ . We require that each  $h_i$  is so chosen that (1) if  $t_i^3$  is a member that intersects  $\text{Bd}(L^3)$ , then only two members of  $\{t_{ij}^3\}_{j=1}^l$  intersect  $\text{Bd}(L^3)$  and the intersection of each such member with  $\text{Bd}(L^3)$  is exactly two disjoint 2-cells and (2)  $\text{diam}(t_{ij}^3) < (1/3^2)\text{diam}(T^3)$  for all  $ij$ .

*Step 2.* For each  $i, j$ , let  $t_{ij}^4$  be the 4-tube in  $T^4$  generated by rotating  $t_{ij}^3$  about planes  $x_3 = 0, x_4 = r_4$ . We now regard each  $t_{ij}^3$  as the set generated by rotating a small 2-cell  $t_{ij}^2$  along a small  $*$ -circle. We assume further that  $t_{ij}^2$  is contained in  $L^3$  whenever  $t_{ij}^3$  intersects  $L^3$ . Let  $\tilde{t}_{ij}^2$  be the set generated by rotating  $t_{ij}^2$  about planes  $x_2 = 0, x_4 = r_4$ . Then  $t_{ij}^4$  can be regarded as the geometric product of  $\tilde{t}_{ij}^2$  with  $\Delta_{ij}$ .  $\tilde{t}_{ij}^2$  is a 3-tube. Let  $h_{ij}$  be a linear homeomorphism of  $T^3$  onto  $\tilde{t}_{ij}^2$ . Let  $t_{ijk}^3 = h_{ij}(t_k)$ ,  $k = 1, 2, \dots, l$ . We require each  $h_{ij}$  is so chosen that (1) if  $t_{ij}^3 \subset L^3$ , then only two members of  $\{t_{ijk}^3\}_{k=1}^l$  intersect  $L^3 \times \text{Bd}(L_4)$  (one in each side) and the intersection of each such member with  $L^3 \times \text{Bd}(L_4)$  is exactly two disjoint 2-cells and (2)  $\text{diam}(t_{ijk}^3) < (1/3)(\text{diam } T^3)$ . Let  $t_{ijk}^4$  denote the geometric product of  $t_{ijk}^3$  with  $\Delta_{ij}$ . Let  $A_4 = \bigcup_{i,j,k=1}^l t_{ijk}^4$ ,  $K'_4 = A_4 \cap L^4$  and  $K_4 = K'_4 \times \prod_{i=5}^\infty L_i$ .

6. THEOREM 1. Let  $C = \bigcap_{i=3}^\infty K_i$ . Then  $C$  is a Cantor set in  $L^\infty$ .

*Proof.* It follows from the construction that  $K_3, K_4, \dots$  is a decreasing sequence of compact subset of  $L^\infty$  and each  $K_i$  is dense in itself. Hence  $C$  is dense in itself by Lemma 1. Furthermore, each  $K_i$  is a finite union of disjoint compact subsets whose diameters are uniformly small and tend to zero as  $i \rightarrow \infty$ . We conclude then that  $C$  is a compact zero-dimensional space which is dense in itself, hence is a Cantor set.

THEOREM 2. If  $F$  is a mapping of  $\Delta_0 \times I$  into  $L^n$  ( $n \geq 3$ ) such that  $F|_{\Delta_0 \times 0} = \text{identity on } \Delta_0$  and  $F(\Delta_0 \times 1)$  is a point, then  $F(\Delta_0 \times I) \cap K'_n \neq \phi$ .

*Proof.* The proof is due to [5]. Basically Blankinship had constructed a Cantor set  $C'$  in  $A_n$  such that  $C'$  links  $\Delta_0$  in  $E^n$ , hence  $A_n$  also links  $\Delta_0$  in  $E^n$ . As a consequence,  $K'_n = A_n \cap L^n$  links  $\Delta_0$  in  $L^n$ .

THEOREM 3.  $L^\infty - C$  has nontrivial 1st-Homotopy group.

*Proof.* Let  $F$  be a mapping of  $\Delta_0 \times I$  into  $L^\infty$  such that  $F|_{\Delta_0 \times 0} =$

identity on  $\Delta_0$  and  $F(\Delta_0 \times 1)$  is a point. For each  $n \geq 3$ ,  $\tau_n(F)$  is a mapping of  $\Delta_0 \times I$  into  $L^n$  satisfying  $(\tau_n F)_{\Delta_0 \times 0} = \text{identity on } \Delta_0$  and  $(\tau_n F)(\Delta_0 \times 1)$  is a point. Hence by Theorem 2,  $(\tau_n F)(\Delta_0 \times I) \cap K'_n \neq \phi$ . This implies  $F(\Delta_0 \times I) \cap K_n \neq \phi$ , hence  $F(\Delta_0 \times I) \cap C \neq \phi$ .

**THEOREM 4.** *There exist two Cantor sets in the Hilbert cube such that no homeomorphism of one onto the other can be extended to a homeomorphism on the whole Hilbert cube.*

Let  $\dot{L}_i = \text{Int}(L_i)$  and let  $(\dot{L})^\infty = \prod_{i=1}^\infty \dot{L}_i$ . Let  $V'_n = K'_n \cap \text{Int}(L^n)$  and  $V_n = V'_n \times \prod_{i=n+1}^\infty \dot{L}_i$ . Then each  $V_n$  is a closed subset of  $(\dot{L})^\infty$  and hence  $C_0 = \bigcap_{n=3}^\infty V_n$  is both zero-dimensional and closed in  $(\dot{L})^\infty$ . By similar reasoning  $C_0$  links  $\Delta_0$  in  $(\dot{L})^\infty$ . Finally, using the fact  $s \simeq (\dot{L})^\infty$  and  $l_2 \cong s$  [2], we conclude:

**THEOREM 5.**  *$s$  and  $l_2$  contain zero-dimensional closed sets whose complements are not simply-connected.*

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