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**EXTREME POINTS AND DIMENSION THEORY**

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# EXTREME POINTS AND DIMENSION THEORY

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The purpose of this paper is to characterize the topological dimension of a compact metric space  $X$  in terms of the extremal structure of the unit ball of the spaces  $C(X, R_n)$ , where  $R_n$  denotes Euclidean  $n$ -space with the usual Euclidean norm and  $C(X, R_n)$  denotes the space of continuous maps of  $X$  into  $R_n$ , normed by the sup norm. The main results are that if  $n \geq 2$ , the unit ball of  $C(X, R_n)$  is always the closed convex hull of its extreme points, and that if the unit ball of  $C(X, R_n)$  is actually equal to the convex hull of its extreme points, then the dimension of  $X$  is less than  $n$ . If  $n$  is even, the converse of the second assertion above is shown to be true, and with additional assumptions on  $X$ , the converse of the second assertion holds whether  $n$  is even or odd.

In the last half of the paper, the corresponding questions for the spaces  $C(X, N)$  are studied, where  $N$  is an infinite-dimensional strictly convex normed space and  $C(X, N)$  is the space of continuous maps of  $X$  into  $N$ , again with the sup norm. Here it is established that the unit ball of  $C(X, N)$  is always the convex hull of its extreme points.

We will be studying spaces  $C(X, N)$ , where  $N$  is either finite-dimensional Euclidean space or an infinite-dimensional strictly convex normed space. If  $\| \cdot \|$  is the norm on  $N$ ,  $C(X, N)$  is normed by  $\|f\| = \sup_{x \in X} |f(x)|$ . Let  $U_N$  denote the (closed) unit ball of  $C(X, N)$  and let  $E_N$  denote the set of extreme points of  $U_N$ ; then it is clear that  $E_N$  is the set of all continuous maps of  $X$  into the surface of the unit ball of  $N$ . In case  $N$  is  $n$ -dimensional Euclidean space, we let  $U_N$  be represented by  $U_n$ ; similarly  $E_N$  will be represented by  $E_n$ . When no confusion can arise we will sometimes drop the subscript  $N$  on  $U_N$  and  $E_N$ .

It is to be emphasized that all the hypotheses on  $X$  are not always needed; we elaborate this in the remarks at the end of the paper.

A theorem in Bade [1] states that  $U_1$  is the closed convex hull of  $E_1$  if and only if  $X$  is totally disconnected. Phelps [6] proved that  $U_2$  is always the closed convex hull of  $E_2$ ; a simpler proof was given by Sine [7]. Related results were obtained by Goodner [2] for the case  $n = 1$ ; here, compactness of  $X$  was not assumed.

## 1. Mappings into Euclidean spaces. We begin with

**THEOREM 1.** *If  $n \geq 2$ ,  $U_n$  is equal to the closed convex hull of*

$E_n$ .

*Proof.* Our basic tool is the construction used by Sine in [7], with a suitable modification. By  $S_{n-1}$  we will mean the surface of the unit sphere in  $R_n$ . If  $\alpha$  and  $\beta$  are (small) positive numbers and  $x_0$  is a point of  $S_{n-1}$ , let  $B(x_0, \alpha) = \{z \in S_{n-1} : |z - x_0| < \alpha\}$  and let  $W(x_0, \alpha, \beta)$  equal the convex hull of  $(B(x_0, \alpha) \cup \{-\beta x_0\})$ . Any set of the form  $W(x_0, \alpha, \beta)$  will be called a *wedge*;  $-\beta x_0$  will be called the *vertex* of the wedge.

Now let  $f$  be in  $U_n$  and let  $\varepsilon > 0$ . Let  $k$  be a positive integer such that  $(1/k) < \varepsilon$ ; it is not hard to see that wedges  $W_1, \dots, W_k$  can be chosen so that the wedges  $W_i$  are pairwise disjoint outside the set  $\{z \in R_n : |z| \leq \varepsilon\}$ . (Choose  $\alpha_i$  relatively small in comparison with  $\beta_i$  if  $W_i = W(x_i, \alpha_i, \beta_i)$ ). Let  $\varphi_i$  be the following retraction of the unit ball in  $R_n$  onto the unit ball with the (relative) interior of the wedge  $W_i$  removed: If  $z$  is in  $W_i$ ,  $\varphi_i(z)$  is obtained by projecting  $z$  parallel to  $x_i$  until it hits the boundary of  $W_i$ . If  $z$  is not in  $W_i$ ,  $\varphi_i(z) = z$ . The number  $\beta_i$  can be chosen  $< \varepsilon$ ; then  $|\varphi_i(z)| \leq \varepsilon$  if  $|z| \leq \varepsilon$ .

We now estimate  $|z - (1/k) \sum_{i=1}^k \varphi_i(z)|$  for  $z$  in the unit ball of  $R_n$ . If  $|z| \leq \varepsilon$ , then  $|\varphi_i(z)| \leq \varepsilon$  for each  $i$ , so

$$\left| z - \frac{1}{k} \sum_{i=1}^k \varphi_i(z) \right| \leq 2\varepsilon ;$$

if  $\varepsilon < |z| \leq 1$ ,  $\varphi_i(z) = z$  for all but at most one  $i$ , so

$$\left| z - \frac{1}{k} \sum_{i=1}^k \varphi_i(z) \right| \leq \frac{2}{k} < 2\varepsilon .$$

Hence  $\|f - (1/k) \sum_{i=1}^k \varphi_i \circ f\| \leq 2\varepsilon$ .

If  $A$  is a subset of  $S_{n-1}$ ,  $n \geq 2$ , by a *vector field on  $A$*  we will mean a continuous function  $\Phi: A \rightarrow S_{n-1}$  such that  $\Phi(z)$  is perpendicular to  $z$  for all  $z$  in  $A$ . If  $n$  is even, define  $p$  on  $S_{n-1}$  by

$$p(t_1, t_2, \dots, t_{n-1}, t_n) = (t_2, -t_1, \dots, t_n, -t_{n-1}) .$$

Then  $p$  is a vector field on  $S_{n-1}$ .

If  $n$  is odd,  $n \geq 3$ , and the complement of  $A$  in  $S_{n-1}$  contains at least one point,  $A$  admits a vector field. We see this as follows: clearly we may assume that the omitted point  $p_0$  is the "north pole"  $(0, 0, \dots, 1)$ . If  $z \in S_{n-1}$ ,  $z \neq p_0$ , we define  $P(z)$  to be the stereographic projection of  $z$  on the hyperplane  $H = \{t_n = 0\}$ , where  $t_n$  is the  $n^{\text{th}}$  coordinate function:  $P(z)$  is the intersection of the line through  $p_0$  and  $z$  with  $H$ .  $P$  is one-to-one and bicontinuous from  $S_{n-1} \sim \{p_0\}$  onto  $H$ . Let  $T$  be a translation of  $H$  onto itself:  $T(y) = y + y_0$ , where  $y_0$

is a nonzero element of  $H$ . Now let  $Q(z) = (P^{-1} \circ T \circ P)(z)$  for  $z \in S_{n-1} \sim \{p_0\}$ .

For each  $z$  in  $S_{n-1} \sim \{p_0\}$ ,  $Q(z)$  can be written uniquely as  $\lambda z + V(z)$ , where  $\lambda$  is a real number and  $V(z)$  is an element of  $R_n$  which is perpendicular to  $z$ . If  $V(z) = 0$ , then since  $|Q(z)| = |z| = 1$ , we have  $\lambda = \pm 1$ . We cannot have that  $\lambda = 1$ , since  $Q(z) \neq z$  ( $T$  is fixed-point free); and if the vector  $y_0$  in the definition of  $T$  is small enough,  $T(y) - y$  is uniformly small, so  $\lambda$  cannot equal  $-1$ . Hence  $V(z) \neq 0$ , so if we define  $\Phi$  by  $\Phi(z) = (V(z)/|V(z)|)$ ,  $\Phi$  is the desired vector field. It is not hard to check that  $P$  has the properties claimed for it and that  $V$  is continuous, whence  $\Phi$  is continuous.

For each  $i$ , let  $W_i$  be the wedge associated with  $\varphi_i$ ;  $W_i$  is the convex hull of  $v_i$  and  $B(x_i, \alpha_i)$ , where  $v_i$  is the vertex of  $W_i$ . The preceding remarks imply that there is a vector field  $\Phi_i$  on  $S_{n-1} \sim B(x_i, \alpha_i)$ . Observe that for each  $i$ ,  $\varphi_i \circ f$  omits the origin and that  $\varphi_i(f(x))/|\varphi_i(f(x))|$  is never in  $B(x_i, \alpha_i)$ ; hence we can define  $g_i$  and  $h_i$  on  $X$  by

$$g_i(x) = \varphi_i(f(x)) + (1 - |\varphi_i(f(x))|^2)^{1/2} \Phi_i \left( \frac{\varphi_i(f(x))}{|\varphi_i(f(x))|} \right),$$

$$h_i(x) = \varphi_i(f(x)) - (1 - |\varphi_i(f(x))|^2)^{1/2} \Phi_i \left( \frac{\varphi_i(f(x))}{|\varphi_i(f(x))|} \right).$$

Then  $g_i$  and  $h_i$  are in  $E_n$  and  $\varphi_i \circ f = (g_i + h_i)/2$ ; hence  $f$  is approximated within  $2\varepsilon$  by a convex combination of elements of  $E_n$ . This completes the proof.

Let  $\dim X$  denote the dimension of  $X$  as defined in Hurewicz and Wallman [3]. We continue with

**THEOREM 2.** *For  $n \geq 1$ , suppose that  $U_n$  is equal to the convex hull of  $E_n$ . Then  $\dim X < n$ .*

*Proof.* By Theorem VI. 4. of Hurewicz and Wallman, it suffices to prove the following: Let  $A$  be a closed subset of  $X$ . Then if  $f$  is a continuous map of  $A$  into  $S_{n-1}$ , there is an extension of  $f$  to a continuous map of  $X$  into  $S_{n-1}$ .

Hence, let  $A$  and  $f$  be as above. Using Tietze's theorem, we can extend  $f$  to a continuous  $\tilde{f}$  from  $X$  into the unit ball of  $R_n$ . If  $\tilde{f}$  is in the convex hull of  $E_n$ , there is a probability measure  $\mu$  defined on the Borel subsets of  $U_n$  with  $\mu(E_n) = 1$  ( $\mu$  has finite support, but we do not need this fact) such that  $\Psi(\tilde{f}) = \int_{E_n} \Psi(g) d\mu(g)$  for each continuous linear functional  $\Psi$  on  $C(X, R_n)$ . Let  $\{x_j\}$  be a sequence dense in  $A$  and let  $p_j = f(x_j)$ . Define continuous linear functionals  $\Psi_j$  by

$$\Psi_j(g) = \langle g(x_j), p_j \rangle \quad \text{for } g \text{ in } C(X, R_n) .$$

(Here,  $\langle, \rangle$  denotes the usual inner product.) Then for each  $j$  we have

$$1 = \Psi_j(\tilde{f}) = \int_{E_n} \Psi_j(g) d\mu(g) .$$

If  $g$  is in  $E_n$  and  $g(x_j) \neq p_j$ , then  $\Psi_j(g) < 1$ ; since  $\mu$  is a probability measure it must be the case that

$$\mu\{g \in E_n: g(x_j) \neq p_j\} = 0 .$$

Hence,  $\mu(\bigcup_{j=1}^{\infty} \{g \in E_n: g(x_j) \neq p_j\}) = 0$ ; it follows that there is a  $g^*$  in  $E_n$  such that  $g^*(x_j) = p_j = f(x_j)$  for all  $j$ . Since  $\{x_j\}$  is dense in  $A$ ,  $g^*(x) = f(x)$  for all  $x$  in  $A$ . This  $g^*$  is the desired extension of  $f$  and the proof is complete.

We now show that in case  $n$  is even the converse of Theorem 2 holds, and that if  $n = 1$ , something slightly weaker than the converse of Theorem 2 holds; we also give some related results. Before proceeding, we again note that if  $n$  is even, the function  $p$  on  $S_{n-1}$  defined by

$$p(t_1, t_2, \dots, t_{n-1}, t_n) = (t_2, -t_1, \dots, t_n, -t_{n-1})$$

is a continuous map of  $S_{n-1}$  into  $S_{n-1}$  such that  $p(z)$  is perpendicular to  $z$  for all  $z$  in  $S_{n-1}$ .

**THEOREM 3.** *If  $n$  is even and  $\dim X < n$ ,  $U_n$  is equal to the convex hull of  $E_n$ .*

*Proof.* The containment one way is trivial. To show that  $U_n$  is contained in the convex hull of  $E_n$ , it suffices to show that  $U_n$  is in the convex hull of those elements of  $U_n$  which omit the origin; for if  $g$  is an element of  $U_n$  which omits the origin we can define  $f_1$  and  $f_2$  in  $E_n$  by

$$f_1(x) = g(x) + (1 - |g(x)|^2)^{1/2} p\left(\frac{g(x)}{|g(x)|}\right),$$

$$f_2(x) = g(x) - (1 - |g(x)|^2)^{1/2} p\left(\frac{g(x)}{|g(x)|}\right).$$

Plainly  $g = f_1 + f_2/2$ .

Hence suppose  $\dim X < n$  and that  $f$  is in  $U_n$ . By Theorem VI. 1. of Hurewicz and Wallman, the origin is an unstable value of  $f$ ; by Proposition B of the same section in Hurewicz and Wallman, there is a function  $h_1$  in  $U_n$  which omits the origin, such that

- (1) If  $|f(x)| \geq (1/3)$ , then  $h_1(x) = f(x)$ ,  
 (2) If  $|f(x)| < (1/3)$ , then  $|h_1(x)| < (1/3)$ .

Put  $h_2 = 2f - h_1$ ; then  $h_2$  is in  $U_n$ .

Suppose  $|h_1(x)| > 3\varepsilon > 0$  for all  $x$  in  $X$ . Using the same results in Hurewicz and Wallman, we can choose  $g_2$  in  $U_n$  such that  $g_2$  omits the origin and such that

- (3) If  $|h_2(x)| \geq \varepsilon$ , then  $g_2(x) = h_2(x)$ ,  
 (4) If  $|h_2(x)| < \varepsilon$ , then  $|g_2(x)| < \varepsilon$ .

Put  $g_1 = 2f - g_2$ . Now it is easy to check that  $\|g_1\| \leq 1$  and  $\|g_2\| \leq 1$ ; moreover  $g_1$  omits the origin because  $\|g_1 - h_1\| = \|g_2 - h_2\| \leq 2\varepsilon$ . This completes the proof of Theorem 3.

For the case  $n = 1$ ,  $\dim X = 0$ , we have a slightly weaker version of Theorem 3:

**THEOREM 4.** *If  $\dim X = 0$ , then for every  $f$  in  $U_1$  there is a sequence  $\{h_i\}$  of elements of  $E_1$  such that  $f = \sum_{i=1}^{\infty} (1/2^{i+1})(h_{2i-1} + h_{2i})$ , the convergence being norm convergence.*

We first prove an auxiliary result:

**LEMMA 1.** *Assume that  $\dim X = 0$  and that  $f$  is in  $U_1$ . Then there are two elements  $h_1, h_2$  of  $E_1$  such that  $\|f - (1/4)(h_1 + h_2)\| \leq 1/2$ .*

*Proof.* If  $h_i$  assumes only the two values  $\pm 1$ ,  $h_i = \chi_{A_i} - \chi_{\sim A_i}$ , where  $A_i$  is an open-and-closed subset of  $X$  and  $\chi_T$  denotes the characteristic function of the set  $T$ . If  $\|f - (1/4)(h_1 + h_2)\| \leq 1/2$  we must have that  $|f - (1/2)| \leq 1/2$  on  $A_1 \cap A_2$ ,  $|f| \leq 1/2$  on

$$(A_1 \sim A_2) \cup (A_2 \sim A_1),$$

and  $|f + (1/2)| \leq 1/2$  on  $(\sim A_1) \cap (\sim A_2)$ . Using the zero-dimensionality of  $X$ , we can find an open-and-closed set  $A_1$  containing  $f^{-1}[1/2, 1]$  and contained in  $f^{-1}(0, 1]$ ; we can then find an open-and-closed subset  $A_2$  containing  $f^{-1}[0, 1]$  and contained in  $f^{-1}(-(1/2), 1]$ . With this choice of  $A_1$  and  $A_2$ ,  $\|f - (1/4)(h_1 + h_2)\| \leq 1/2$ , and this completes the proof of the lemma.

Turning now to the proof of the theorem, we suppose that  $f$  is in  $U_1$ . By the lemma, there are elements  $h_1, h_2$  of  $E_1$  such that

$$\left\| f - \frac{1}{4}(h_1 + h_2) \right\| \leq \frac{1}{2}.$$

Assume that elements  $h_1, h_2, \dots, h_{2j-1}, h_{2j}$  of  $E_1$  have been found so that

$$\left\| f - \sum_{i=1}^j \frac{1}{2^{i+1}} (h_{2^{i-1}} + h_{2^i}) \right\| \leq \frac{1}{2^j}.$$

Let

$$H_j = f - \sum_{i=1}^j \frac{1}{2^{i+1}} (h_{2^{i-1}} + h_{2^i}).$$

Then  $\|2^j H_j\| \leq 1$ ; appealing to the lemma again, we find elements  $h_{2^{j+1}}, h_{2^{j+2}}$  of  $E_1$  such that

$$\left\| 2^j H_j - \frac{1}{4} (h_{2^{j+1}} + h_{2^{j+2}}) \right\| \leq \frac{1}{2},$$

whence

$$\left\| f - \sum_{i=1}^{j+1} \frac{1}{2^{i+1}} (h_{2^{i-1}} + h_{2^i}) \right\| \leq \frac{1}{2^{j+1}}.$$

This completes the induction step and the proof of the theorem.

We now turn to the case that  $n$  is an odd integer,  $n \geq 3$ ; we would like to prove something like Theorem 3 for such  $n$ . The two key elements in the proof of Theorem 3 were the approximation of an  $f$  in  $U_n$  by a nowhere-vanishing  $g$ , and the fact that a nowhere-vanishing  $g$  can be written as the midpoint of two elements of  $E_n$ . The approximation is always possible, whether  $n$  is odd or even, provided  $\dim X < n$ ; but the representation of a nonvanishing  $g$  in  $U_n$  as the midpoint of two elements of  $E_n$  is not always possible, even with  $\dim X < n$ . For example, if  $n$  is odd, let  $X = (1/2)S_{n-1}$ , the set of points in  $R_n$  at distance  $1/2$  from the origin. Let  $f$  be the identity map of  $X$  into the unit ball of  $R_n$ . Then if  $f = g_1 + g_2/2$ , with  $g_1, g_2$  in  $E_n$ , it is easy to see that if

$$h(z) = \frac{g_1\left(\frac{z}{2}\right) - \frac{z}{2}}{\left|g_1\left(\frac{z}{2}\right) - \frac{z}{2}\right|}$$

for  $z$  in  $S_{n-1}$ ,  $h$  is a vector field on  $S_{n-1}$ , which is an impossibility.

We do have the following partial result:

**PROPOSITION 1.** Suppose that  $X$  is a compact metric space such that any two continuous maps of  $X$  into  $S_{n-1}$  are homotopic in  $S_{n-1}$  ( $n \geq 2$ ). Then if  $g$  is an element of  $U_n$  which omits the origin,  $g = h_1 + h_2/2$ , with  $h_1, h_2$  in  $E_n$ .

Before we prove the proposition, we make the following observation (which must be in the literature):

LEMMA 2. *Let  $X$  be a compact space and let  $f, g$  be two continuous maps of  $X$  into  $S_{n-1}$ ,  $n \geq 2$ , such that  $\|f - g\| < \sqrt{2}$ . Then if there is a continuous  $g'$  from  $X$  into  $S_{n-1}$  such that  $g'(x)$  is perpendicular to  $g(x)$  for all  $x$  in  $X$ , there is a continuous  $f'$  from  $X$  into  $S_{n-1}$  such that  $f'(x)$  is perpendicular to  $f(x)$  for all  $x$  in  $X$ .*

*Proof of the lemma.* For each  $x$  in  $X$  we can write  $g'(x)$  uniquely in the form  $g''(x) + \lambda(x)f(x)$ , where  $g''(x)$  is perpendicular to  $f(x)$  and  $\lambda(x)$  is a scalar between  $-1$  and  $1$ . It is easy to see that  $g''$  is continuous as a function of  $x$ . If  $g''(y) = 0$  for some  $y$ , then  $g'(y) = \pm f(y)$ ; since  $g(y)$  is perpendicular to  $g'(y)$  we have  $|f(y) - g(y)| = \sqrt{2}$ , a contradiction. The proof of the lemma is complete if we define  $f'(x) = (g''(x)/|g''(x)|)$  for  $x$  in  $X$ .

*Proof of the proposition.* Define  $h$  on  $X$  by  $h(x) = (g(x)/|g(x)|)$ ; then  $h$  is a continuous map of  $X$  into  $S_{n-1}$ . By assumption, there are a constant map  $k$  of  $X$  into  $S_{n-1}$  and a continuous map  $q$  of  $X \times [0, 1]$  into  $S_{n-1}$  such that  $q(x, 0) = k(x)$ ,  $q(x, 1) = h(x)$  for all  $x$  in  $X$ . Clearly there is a continuous map  $k'$  of  $X$  into  $S_{n-1}$  such that  $k'(x)$  is perpendicular to  $k(x)$  for all  $x$  in  $X$ . (Simply let  $k'$  be another constant map, appropriately chosen.)

Let  $T$  be the set of all  $t$  in  $[0, 1]$  such that there is a continuous map  $g'_t$  from  $X$  into  $S_{n-1}$  with  $g'_t(x)$  perpendicular to  $q(x, t)$  for all  $x$  in  $X$ . The set  $T$  is nonempty, and by the lemma above,  $T$  is open and closed in  $[0, 1]$ . We conclude that there is a continuous  $h'$  of  $X$  into  $S_{n-1}$  such that  $h'(x)$  is perpendicular to  $h(x)$  for all  $x$  in  $X$ .

Now define  $h_1$  and  $h_2$  on  $X$  by

$$\begin{aligned} h_1(x) &= g(x) + (1 - |g(x)|^2)^{1/2} h'(x), \\ h_2(x) &= g(x) - (1 - |g(x)|^2)^{1/2} h'(x). \end{aligned}$$

It follows that  $h_1$  and  $h_2$  are in  $E_n$  and that  $g = h_1 + h_2/2$ .

Combining Proposition 1 and the techniques used in the proof of Theorem 3, we obtain the following.

COROLLARY. *If  $n$  is an integer  $\geq 3$  and if  $X$  is a compact metric space of dimension  $< n$  such that any two continuous maps of  $X$  into  $S_{n-1}$  are homotopic in  $S_{n-1}$ , then  $U_n$  is the convex hull of  $E_n$ .*

In particular, if  $\dim X < n$  and  $X$  is contractible, then  $U_n$  is the convex hull of  $E_n$ . Hence if  $n \geq 3$  and  $\dim X < n - 1$ ,  $U_n$  is the convex hull of  $E_n$ . (Use the cone over  $X$ ; this has dimension  $< n$  and is contractible.)



2. **Mappings into infinite-dimensional spaces.** We now wish to prove Theorem 3 in the case that the range space  $N$  is infinite-dimensional. We assume from here on that  $X$  is a compact Hausdorff space (metrizable is no longer assumed) and that  $N$  is an infinite-dimensional strictly convex normed space.

**THEOREM 5.** *Let  $X$  and  $N$  be as above. Then  $U_N$  is the convex hull of  $E_N$ .*

We shall prove this in the same way that we proved Theorem 3: every element of  $U_N$  can be approximated by an element of  $U_N$  which omits the zero vector in  $N$ ; every element of  $U_N$  which omits the origin is the midpoint of two elements of  $E_N$ . The first assertion is proved in Proposition 2 below; the second assertion is proved in Proposition 3.

**PROPOSITION 2.** *Let  $X$  and  $N$  be as above. Then if  $f$  is in  $U_N$  and  $\varepsilon$  is a positive number, there is  $g$  in  $U_N$  such that  $g$  omits the origin and  $\|f - g\| < \varepsilon$ .*

*Proof.* The set  $K = f(X)$  is compact, so by a result of Nagumo [4, Th. 2] there are points  $x_1, \dots, x_r$  in the unit ball of  $N$  and a continuous map  $q$  of  $K$  into the convex hull of  $\{x_1, \dots, x_r\}$  such that  $|q(z) - z| < \varepsilon/3$  for  $z$  in  $K$ . If  $s$  is the number  $1 - (\varepsilon/3)$ ,  $|s \cdot q(z) - z| < 2\varepsilon/3$  for  $z$  in  $K$ . Now let  $v$  be any element of the unit ball of  $N$  which is not in the linear span of  $\{x_1, \dots, x_r\}$ . Finally if we define  $g$  on  $X$  by  $g(x) = (\varepsilon/3)v + s \cdot q(f(x))$ ,  $g$  is a continuous map of  $X$  into the unit ball of  $N$ ,  $g$  omits the origin, and  $\|f - g\| < \varepsilon$ .

**COROLLARY.** *Let  $X$  and  $N$  satisfy the hypotheses of Proposition 2. Let  $f$  be an element of  $U_N$ . Then for every  $\varepsilon > 0$  there is a  $g$  in  $U_N$  such that  $g$  omits the origin,  $|g(x)| < \varepsilon$  if  $|f(x)| < \varepsilon$ ,  $g(x) = f(x)$  if  $|f(x)| \geq \varepsilon$ .*

*Proof.* The proof of Proposition B §1 in chapter VI of Hurewicz and Wallman can be used without change, in conjunction with Proposition 2.

Now let  $N$  be an infinite-dimensional strictly convex normed space. Let  $B$  denote the closed unit ball of  $N$  and let  $S$  denote the boundary of  $B$ . Let  $X$  be a compact Hausdorff space and let  $g$  be a continuous map of  $X$  into  $B \sim \{0\}$ . We shall show that  $g$  is the midpoint of two continuous maps of  $X$  into  $S$ . To prove this, it is certainly enough to prove the following.

PROPOSITION 3. Let  $N$  be an infinite-dimensional strictly convex normed space and let  $K$  be a compact subset of the unit ball of  $N$  such that  $K$  does not contain the origin. Then there are two continuous maps  $\varphi_1$  and  $\varphi_2$ , defined and continuous on  $K$  and assuming values in  $S$ , such that for each  $x$  in  $K$ ,  $x = \varphi_1(x) + \varphi_2(x)/2$ .

*Proof.* Let  $K$  satisfy the hypotheses of the proposition. Then if  $\eta$  is defined on  $K$  by  $\eta(x) = (x/|x|)$ ,  $\eta$  is a continuous map of  $K$  into  $S$ . Since  $N$  is infinite-dimensional,  $S$  cannot be compact; hence there is a point  $z$  in  $S \sim (\eta(K) \cup -\eta(K))$ . We now define  $\gamma$  on  $K \times [0, 2]$  in the following way:

$$\begin{aligned}\gamma(x, t) &= \frac{(1-t)\eta(x) + tz}{|(1-t)\eta(x) + tz|} && \text{for } 0 \leq t \leq 1; \\ \gamma(x, t) &= \frac{(2-t)z + (t-1)(-\eta(x))}{|(2-t)z + (t-1)(-\eta(x))|} && \text{for } 1 \leq t \leq 2.\end{aligned}$$

(Note that the norms in the denominators are never zero because of the way  $z$  was chosen.) It is clear that  $\gamma$  is continuous on  $K \times [0, 2]$  and that  $\gamma$  is a map of  $K \times [0, 2]$  into  $S$ .

Fix  $x$  in  $K$ ; then it is easily verified that  $|2x - \gamma(x, 0)| \leq 1$  and  $|2x - \gamma(x, 2)| > 1$ . It follows that there is at least one  $t$  in  $[0, 2]$  such that  $|2x - \gamma(x, t)| = 1$ .

We assert that there is at most one such  $t$ . Since this is an assertion about a two-dimensional subspace of  $N$ , our claim is equivalent to the following lemma, in which  $(1, 0)$  plays the role of the point  $\eta(x)$  and  $(0, 1)/|(0, 1)|$  plays the role of the point  $z$ :

LEMMA 3. Let  $|| \cdot ||$  be any strictly convex norm on the  $XY$ -plane. Suppose that  $|(1, 0)| = 1$  and that  $0 < r \leq 1$ . Then there is at most one point  $(x_1, y_1)$  with  $y_1 \geq 0$  such that

$$|(x_1, y_1)| = |2(r, 0) - (x_1, y_1)| = 1.$$

*Proof.* For a contradiction, we may assume there are two such points  $q_1 = (x_1, y_1)$  and  $q_2 = (x_2, y_2)$ , with  $y_1 > y_2 > 0$ . (It is immediate from strict convexity that  $y_1 \neq y_2$ .) Let  $(u, 0)$  denote the point of intersection of the  $x$ -axis and the line through  $q_1$  and  $q_2$ . Explicitly,  $u = (y_1 - y_2)^{-1}(y_1x_2 - y_2x_1)$  and

$$q_2 = \lambda q_1 + (1 - \lambda)(u, 0), \quad \text{where } \lambda = y_2/y_1 \in (0, 1).$$

We also have

$$q_2 - 2(r, 0) = \lambda[q_1 - 2(r, 0)] + (1 - \lambda)(u - 2r, 0).$$

We can obviously assume that neither the above-mentioned line nor its translate by  $-2(r, 0)$  passes through the origin, so the strict convexity of the norm yields  $|(u, 0)| > 1$  and  $|(u - 2r, 0)| > 1$ . These last two points are at most two units apart (since  $0 < r < 1$ ), so we either have  $u - 2r < u < -1$  or  $1 < u - 2r < u$ . Neither of these is possible (a sketch clarifies this); in the first case, for instance, we would have  $q_2$  in the interior of the triangle defined by  $q_2 - 2(r, 0)$ ,  $q_1$  and the origin, which would imply  $|q_2| < 1$ . (In the second case, we would get  $|q_2 - 2(r, 0)| < 1$ .)

Continuing with the proof of the theorem, we let  $t(x)$  be the unique point in  $[0, 2]$  such that  $|2x - \gamma(x, t(x))| = 1$ . We now claim that  $t$  is continuous on  $K$ . If not, there are a point  $x_0$  in  $K$  and a sequence  $\{x_j\}$  converging to  $x_0$  such that  $|t(x_j) - t(x_0)| > \varepsilon > 0$  for all  $j$ . Taking a subsequence, if necessary, we may assume that  $\{t(x_j)\}$  converges to  $t_0 \neq t(x_0)$ . Using the continuity of  $\gamma$  we find that

$$|2x_0 - \gamma(x_0, t_0)| = \lim_j |2x_j - \gamma(x_j, t(x_j))| = 1;$$

this contradicts the uniqueness of  $t(x_0)$  and the continuity of  $t$  is established. It is now clear how  $\varphi_1$  and  $\varphi_2$  are to be defined on  $K$ :

$$\begin{aligned}\varphi_1(x) &= \gamma(x, t(x)), \\ \varphi_2(x) &= 2x - \gamma(x, t(x)).\end{aligned}$$

This completes the proof of the proposition.

Observe that a much simpler proof is available if  $N$  is complex linear. Indeed, if  $N$  is complex linear and if  $x$  is in the unit ball  $B$  of  $N$ ,  $x \neq 0$ , define  $\varphi_1$  and  $\varphi_2$  by

$$\begin{aligned}\varphi_1(x) &= (1 + (|x|^{-2} - 1)^{1/2}i) \cdot x, \\ \varphi_2(x) &= (1 - (|x|^{-2} - 1)^{1/2}i) \cdot x.\end{aligned}$$

The modulus of each of the coefficients of  $x$  in the above expressions is  $|x|^{-1}$ , so it follows that for  $x$  in  $B \sim \{0\}$ ,  $|\varphi_1(x)| = |\varphi_2(x)| = 1$ . Plainly,  $x = \varphi_1(x) + \varphi_2(x)/2$ , and it is equally clear that  $\varphi_1$  and  $\varphi_2$  are continuous on  $B \sim \{0\}$ .

Combining the above proposition, the Corollary to Proposition 2, and the techniques of Theorem 3, we obtain Theorem 5.

We conclude with a question: what are necessary and sufficient conditions on the compact metric space  $X$  so that  $U_n$  is equal to the convex hull of  $E_n$ , if  $n$  is an odd integer  $\geq 3$ ?

*Author's note.* Since this paper was written, the results have been improved on in several ways. Professor Joram Lindenstrauss has communicated a proof that the conclusion of Theorem 1 holds for

the case of  $C(X, N)$ , where  $N$  is any finite-dimensional real vector space, normed in such a way that the extreme points of the unit ball of  $N$  form an arcwise connected set. In the proof of Theorem 3 compactness of  $X$  appears essential ( $|h_1(x)| > 3\varepsilon > 0$  for all  $x$  in  $X$ ), but Professor James L. Cornette has shown that compactness is unnecessary by modifying  $h_1$  slightly. A similar device is used by Professor John Cantwell in a paper to appear in the AMS *Proceedings*; in this paper Cantwell establishes the converse of our Theorem 2 for odd  $n, n \geq 3$ , without any additional hypotheses on  $X$ . (He shows that for odd  $n, n \geq 3$ , each element of  $U_n$  is in the convex hull of eight elements of  $E_n$  if  $\dim X < n$ .) For  $n = 1$  our Theorem 4 appears best possible, since convex combinations of elements of  $E_1$  assume only finitely many values and there are certainly zero-dimensional compact metric spaces admitting a continuous real-valued function which assumes infinitely many values.

Note that the proof of Theorem 1 shows that the theorem is really a statement about the normed space of all bounded continuous functions from a Hausdorff space  $X$  into  $R_n, n \geq 2$ . Finally, we remark that the proof of Theorem 2 would have been simpler if  $\tilde{f}$  had been written explicitly as a convex combination of elements of  $E_n$ ; the point here is that the weak form of "representability" of  $\tilde{f}$  used in the proof is enough to give the conclusion.

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