QUASI DIMENSION TYPE. II. TYPES IN 1-DIMENSIONAL SPACES

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In this paper we consider the partial ordering induced on 1-dimensional continua by quasi dimension type. For example, we show that the pseudo-arc precedes every snake-like continuum in this partial ordering. We also obtain sufficient conditions in terms of quasi dimension type for the embedding of Peano continua in the plane. Finally, necessary and sufficient conditions in terms of quasi dimension type are given for a continuum to be tree-like and to be 1-dimensional.

All spaces considered in this paper are metric. The distance between two points \(x, y\) will be denoted by \(\rho(x, y)\) and the diameter of a set \(A\) will be denoted by \(\delta(A)\). By “covering” we mean “open covering”. We use \(dX\) and \(qX\) for the Fréchet and quasi dimension types of \(X\) respectively. Recall that \(dX^dY\) provided there is a homeomorphism of \(X\) into \(Y\); \(qX^qY\) provided that for each covering \(\alpha\) of \(X\) there is a closed \(\alpha\)-mapping of \(X\) into \(Y\). (A continuous function \(f: X \rightarrow Y\) is an \(\alpha\)-map if there is a covering \(\beta\) of \(Y\) such that \(f^{-1}[\beta]\) refines \(\alpha\) and \(f\) is closed if it takes closed subsets of \(X\) into closed subsets of \(Y\).) A compact connected space of more than one point will be called a continuum. A chain is a finite collection of open sets \(U_1, U_2, \ldots, U_n\) such that \(U_i\) intersects \(U_j\) if \(i = j - 1, j, j + 1\), and otherwise \(\rho(U_i, U_j) > 0\). If the links of a chain are of diameter less than \(\varepsilon\), the chain is called an \(\varepsilon\)-chain. A continuum \(X\) is called snake-like if for each \(\varepsilon > 0\) it can be covered by an \(\varepsilon\)-chain (whose links are subsets of \(X\)). Finally, \(S_{(x, \varepsilon)} = \{y \mid \rho(x, y) < \varepsilon\}\), \(R^n\) denotes Euclidean \(n\)-space and \(J\) denotes the positive integers.

1. Quasi dimension types of snake-like continua. Bing [1] proved that any two snake-like hereditarily indecomposable continua are homeomorphic. Such continua are called pseudo-arcs and throughout this paper we use \(\psi\) to denote a pseudo-arc.

**Lemma 1.1.** [22, Th. 6]. If, for each \(\varepsilon > 0\), a continuum can be \(\varepsilon\)-mapped onto a hereditarily indecomposable continuum, then \(X\) is hereditarily indecomposable.

**Lemma 1.2.** If, for each \(\varepsilon > 0\), a continuum \(X\) can be \(\varepsilon\)-mapped onto a snake-like continuum, then \(X\) is snake-like.
THEOREM 1.1. If $X$ is not totally disconnected and $qX \leq q\psi$, then $qX = q\psi$.

Thus we have that no nontotally disconnected space precedes $\psi$ and hence in this sense $\psi$ is minimal for those nontotally disconnected spaces with which it is comparable. We will now consider how large this class of spaces is. Certainly, for any snake-like continuum $X$ which contains an arc or a pseudo-arc, we have $q\psi \leq qX$. However, this does not exhaust the class of snake-like continua. Bing [3], Lelek [17], and others have shown that $\psi$ can be mapped onto any snake-like continuum. We sharpen this result by proving that, for any $\varepsilon > 0$, $\psi$ can be $\varepsilon$-mapped onto any snake-like continuum. Furthermore, since for any $\varepsilon > 0$, an arc can be $\varepsilon$-mapped onto any Peano space $X$ which contains an open $n$-cell, $n \geq 2$, as an open set (see [16]) we may conclude that $\psi$ can be $\varepsilon$-mapped onto $X$ (so that $q\psi \leq qX$). But since we need only $\varepsilon$-mappings of $\psi$ into a space, we have $q\psi \leq qX$ if $X$ contains a snake-like continuum. So $\psi$ occupies a position with respect to spaces which have snake-like subcontinua similar to that of the Cantor set with respect to all infinite subsets of the line.

THEOREM 1.2. If $X$ is a snake-like continuum and $\varepsilon > 0$, then there is an $\varepsilon$-mapping of $\psi$ onto $X$ (and so $q\psi \leq qX$).

Proof. To obtain the desired result we modify Bing's proof [3, p. 446] that $\psi$ can be mapped onto $X$. For any $\varepsilon > 0$, let $D_1, D_2, \ldots$ be a sequence such that $D_i$ is a $(\varepsilon/2i)$-chain covering $X$ and $D_{i+1}$ is a refinement of $D_i$. It follows from Theorem 7 of [4] that there is a sequence of coverings $E_1, E_2, \ldots$ of $\psi$ such that: (1) $E_i$ is a $(\varepsilon/2i)$-chain covering $\psi$, (2) $E_i$ has the same number of links as $D_i$ and (3) for the $j$th link of $D_{i+1}$ there is an integer $n(i, j)$ such that the $j$th link of $D_{i+1}$ lies in the $n(i, j)\text{th}$ link of $D_i$ and the $j$th link of $E_{i+1}$ lies in the $n(i, j)\text{th}$ link of $E_i$.

For each point $p$ of $\psi$ let $e(p, i)$ be the union of the links of $E_i$ containing $p$ and $d(p, i)$ be the union of the corresponding links of $D_i$. Note that $e(p, i+1) \subset e(p, i)$ and $d(p, i+1) \subset d(p, i)$. For each point $p$ of $\psi$ let $f_{\varepsilon}(p)$ be the intersection of the closures of the $d(p, i)$'s. Then $f_{\varepsilon}$ is a mapping of $\psi$ onto $X$ and we need show that it is an $\varepsilon$-mapping.

Suppose $f_{\varepsilon}(p) = f_{\varepsilon}(q)$. Then $d(p, 2) \cap d(q, 2) \neq \emptyset$ and this implies that two adjacent links of $D_2$, say $d_j^k$ and $d_k^j$ (where $k = j - 1, j$ or $j + 1$, are such that

(4) $f_{\varepsilon}(p) \in d_j^k \cap d_k^j$ (which implies $f_{\varepsilon}(p) \in d_{n(1, j)}^k \cap d_{n(1, k)}^j$) and
(5) $d_j^k \subset d(p, 2)$ and $d_k^j \subset d(q, 2)$.
Therefore, from (4) we get
(6) \[ e_{n(i,j)}^1 \cap e_{n(i,k)}^1 \supset e_j^1 \cap e_k^1 \neq \emptyset \]
and from (5) we get
(7) \[ p \in e_j^1 \text{ and } q \in e_k^1. \]
So we have \( e(p, 1) \cap e(q, 1) \neq \emptyset \) and it follows that
\[ \rho(p, q) \leq \delta(e(p, 1)) + \delta(e(q, 1)) < \varepsilon/2 + \varepsilon/2 = \varepsilon. \]
This yields the desired result that \( f_\iota \) is an \( \varepsilon \)-mapping.

**Theorem 1.2'.** If \( X \) is a space which contains a snake-like continuum, then \( q \psi \leq qX \).

We now show that there is a planar continuum \( M \) (originally described by Whyburn [24] as an example of a continuum each subcontinuum of which separates the plane) such that \( q \psi \leq qM \) is false. By Theorem 1.1 this means that \( \psi \) and \( M \) are not comparable. What we actually prove is that no subcontinuum of \( M \) is the continuous image of \( \psi \).

The continuum \( M \) has a rather complicated description but we can obtain our desired result by using only some of its properties. First note that \( M \) arises in a condensation process from a ray spiralling on a circle. Letting \( A \) denote the latter continuum it is not difficult to see that \( A \) is the continuous image of \( M \). Therefore \( \psi \) cannot be mapped onto \( M \); otherwise, we could map \( \psi \) onto \( A \) and this is impossible (see [17, Example 2]). Furthermore, Whyburn shows that (1) every subcontinuum of \( M \) contains a continuum which is homeomorphic to \( M \) and (2) if any subcontinuum \( N \) of \( M \) contains a point of a subcontinuum \( W \) of \( M \) which is homeomorphic to \( M \), then \( N \) contains \( W \) or \( W \) contains \( N \). We use \( C(X) \) to denote the space of all nonvacuous subcontinua of a continuum \( X \) metrized by the Hausdorff metric (see [14]). If \( Y \) is a closed subset of \( X \), then \( C(X, Y) \) denotes the subset of \( C(X) \) consisting of continua which contain \( Y \).

**Theorem 1.3.** The continuum \( M \) has no subcontinuum which is the continuous image of pseudo-arc \( \psi \).

**Proof.** Suppose \( M \) properly contains a continuum \( X \) which is the continuous image of \( \psi \). Say \( f \) is a mapping of \( \psi \) onto \( X \). Then \( X \) contains a continuum \( W \) which is homeomorphic to \( M \). Suppose \( x \in W \). Then there is a \( y \in \psi \) such that \( f(y) = x \). Let \( B = \{ B_t \mid 0 \leq t \leq 1 \} \) be the unique arc in \( C(\psi) \) from \( \{ y \} \) to \( \psi \) with \( B_0 = \{ y \} \) and \( B_1 = \psi \) (see [14, Th. 8.4]). Let \( f': C(\psi) \to C(X) \) be defined by \( f'(Y) = f[Y] \) for each \( Y \in C(\psi) \). Then \( f' \) is a mapping. Denote \( f'(B_t) \) by \( B'_t \) and \( f'[B_t] \)
by $B'$. Then $B'$ is a subcontinuum of $C(X)$ which contains $X$ and \{x\}.

Now since $B'_t \cap W \neq \emptyset$ by property (2) of $M$ we have $B'_t$ contains $W$ or $W$ contains $B'_t$ for $0 \leq t \leq 1$. However, since $M$ is not the continuous image of $\psi$ neither is $W$ so that $B'_t$ properly contains $W$ or $W$ properly contains $B'_t$. Therefore

$$B'_t \in C(X, W) \cup C(W), \quad \text{for } 0 \leq t \leq 1$$

and so

$$B' \subset C(X, W) \cup C(W).$$

It is possible to define a real-valued continuous function, $\mu$, of $C(X)$ onto the unit interval $I$ (see [23]) with the properties:

1. If $Y_1 \subset Y_2$, $Y_1 \neq Y_2$ then $\mu(Y_1) < \mu(Y_2)$.
2. $\mu(X) = 1$, and for any $x \in X$, $\mu(\{x\}) = 0$.

Therefore since $B'$ contains $\{x\}$ and $X$ we have that the continuum $\mu[B']$ contains $0$ and $1$ and so $\mu[B'] = I$. Thus there is $t_0$, $0 < t_0 < 1$, such that $\mu(B'_{t_0}) = \mu(W)$. Now in $C(X, W) \cup C(W)$ the only element with $\mu$-value equal to $\mu(W)$ is $W$ itself since all other elements either are properly contained or properly contain $W$ and (1) applies. So finally we have $B'_{t_0} = W$ which is a contradiction.

**Corollary 1.3.** The quasi dimension types of the pseudo-arc $\psi$ and Whyburn's continuum $M$ are not comparable.

**Question.** Are there snake-like continua whose quasi dimension types are not comparable?

**Question.** What is the cardinality of the set of quasi dimension types of snake-like continua?

**Lemma 1.3.** Suppose $X$ is a compactum, $K$ is a component of $X$ and $V$ is a set open in $K$. Then there is a set $V'$ open in $X$ such that $V' \cap K = V$ and $\text{Cl}(V') \cap K = \text{Cl}(V)$.

**Lemma 1.4.** Suppose $X$ is a compactum with a snake-like continuum $K$ as a component and $C = (c_1, \ldots, c_n)$ is a chain covering $K$ where each $c_i$ is an open set in $K$. Then there is a chain $D = (d_1, \ldots, d_n)$ covering $K$ where each $d_i$ is an open set in $X$ and $d_i \cap K = c_i, i = 1, \ldots, n$.

**Proof.** By the previous lemma we have that there is a set $d'_i$ open in $X$ such that $d'_i \cap K = c_i$ and $\text{Cl}(d'_i) \cap K = \text{Cl}(c_i)$ for $i = 1, \ldots, n$. Let
where \( \varphi(i) = \{ j \mid j \text{ is an integer, } 1 \leq j \leq n, |j - i| > 1 \} \). Then \( D = (d_1, \ldots, d_n) \) is a chain covering \( K \) and \( d_i \cap K = c_i, i = 1, \ldots, n \).

**Notation.** If \( C = \{ C_\alpha \mid \alpha \in A \} \) and \( D = \{ D_\beta \mid \beta \in B \} \) are two collections of sets, \( C \cap D = \{ C_\alpha \cap D_\beta \mid \alpha \in A, \beta \in B, C_\alpha \cap D_\beta \neq \emptyset \} \). If, in addition, \( E \) is a set \( E \cap C = \{ E \cap C_\alpha \mid \alpha \in A, E \cap C_\alpha \neq \emptyset \} \). Finally, \( C^* = \bigcup \{ C_\alpha \mid \alpha \in A \} \).

**Theorem 1.4.** Suppose \( X \) is a compactum each nondegenerate component of which is a snake-like continuum. Then \( X \) is embeddable in \( R^i \).

**Proof.** First we show that \( X \) has arbitrarily small coverings whose nerves are embeddable in \( R^i \). Let \( U = (u_1, \ldots, u_m) \) be an arbitrary finite irreducible covering of \( X \) and let \( \{ K_\alpha \mid \alpha \in A \} \) be the collection of components of \( X \). Denote \( \{ u_k \mid u_k \in U, u_k \cap K_\alpha \neq \emptyset \} \) by \( U_\alpha \). There exists a chain \( C_\alpha = (c_1^\alpha, \ldots, c_n^\alpha) \) covering \( K_\alpha \) each of whose links is open in \( K_\alpha \) and such that \( C_\alpha \supseteq U_\alpha \) (i.e., \( C_\alpha \) refines \( U_\alpha \)). By the previous lemma there is a chain \( D_\alpha = (d_1^\alpha, \ldots, d_n^\alpha) \) covering \( K_\alpha \) each of whose links is open in \( X \) and such that \( D_\alpha \cap K_\alpha = C_\alpha \).

Now letting \( v_j^\alpha = \bigcap \{ u_k \mid u_k \in U, v_j^\alpha \subset u_k \} \) we define \( E_\alpha \) to be \( \{ d_j^\alpha \cap v_j^\alpha \mid j = 1, \ldots, n(\alpha) \} \). Then \( E_\alpha \) is a chain covering \( K_\alpha \) whose links are open in \( X \) and such that \( E_\alpha \supseteq U_\alpha \). Finally we define

\[
E'_\alpha = \{ d_j^\alpha \cap v_j^\alpha \cup \{ K_\beta \mid \beta \in A, K_\beta \not\subset E^*_\alpha \} \mid d_j^\alpha \cap v_j^\alpha \in E_\alpha \}.
\]

Then \( E'_\alpha \) is a chain covering \( K_\alpha \) whose links are open in \( X \) and such that \( E'_\alpha \supseteq U_\alpha \). We can partition \( X \) as follows. There is a collection \( P = (P_1, \ldots, P_n) \) of disjoint open and closed sets whose union is \( X \). Then \( \{ P \cap E'_\alpha \mid \alpha \in A \} \) is a covering of \( X \) and since the latter is compact we need only elements from a finite number of the chains \( \{ P \cap E'_\alpha \mid \alpha \in A \} \) to cover \( X \), say \( Q = (Q_1, \ldots, Q_s) \) where \( Q_j = P_j \cap E'_\alpha \) for some \( j, 1 \leq j \leq n \) and \( \alpha \in A \). Then \( Q \) is a covering of \( X \), \( Q \supseteq U \) and each non-degenerate component of the nerve of \( Q \) is an arc. Hence the nerve of \( Q \) is embeddable in \( R^i \) and since there is a \( Q \)-mapping of \( X \) into the nerve of \( Q \) we have that \( X \) is the inverse limit of an inverse sequence each coordinate space of which is contained in \( R^i \) (see [9, Lemma 1.6]). Thus by Theorem 1 of [13] \( X \) is embeddable in \( R^i \).

**Theorem 1.5.** ([8]) If \( X \) is a planar compactum each nondegenerate component of which is a snake-like continuum (pseudo-arc), then \( X \) is embeddable in a snake-like continuum (pseudo-arc).
THEOREM 1.6. If $X$ is a compactum, then the following are equivalent:

(a) every nondegenerate component of $X$ is a snake-like continuum.
(b) $dX \leq dY$ for some snake-like continuum $Y$ (i.e., $X$ is embeddable in $Y$).
(c) $qX \leq qI$.

Proof. First, (a) implies (b) by Theorems 1.4 and 1.5. Second, (b) implies (c) since $qX \leq qY \leq qI$. Third, (c) implies (a) since, for any nondegenerate component $K$ of $X$, $qK \leq qI$ so that $K$ is a snake-like continuum by Lemma 1.2.

THEOREM 1.7. If $X$ is a compactum, then the following are equivalent:

(a) every nondegenerate component of $X$ is a pseudo-arc.
(b) $dX \leq d\psi$.
(c) $qX \leq q\psi$.

Proof. First, (a) implies (b) by Theorems 1.4 and 1.5. Second, it is obvious that (b) implies (c). Third, (c) implies (a) since, for any nondegenerate component $K$ of $X$, $qK \leq q\psi$ so that $K$ is a pseudo-arc by Lemma 1.1 and 1.2.

THEOREM 1.8. In the class of metric spaces the Cantor discontinuum $C$ immediately precedes the pseudo-arc $\psi$ (i.e., $qC \leq q\psi$).

Proof. Suppose not, i.e., $qC < qX < q\psi$ for some metric space $X$. Then $X$ is compact and not totally disconnected (otherwise $qX \leq qC$). Therefore $X$ contains a nondegenerate continuum and so $qX = q\psi$ by Theorem 1.1. But this is a contradiction.

2. Quasi dimension type of linear graphs.

DEFINITION 2.1. By a linear graph we mean a finite connected 1-dimensional geometric complex. A tree is an acyclic linear graph. An end point of a linear graph is a vertex which is contained in only one edge (1-simplex). A branch point is a vertex which is contained in more than two edges. A tree which is homeomorphic to the cone over $n(\geq 2)$ points is called an $n$-od. A dendrite is a locally connected continuum which contains no simple closed curve. In a tree the unique arc with end points $p, q$ is denoted by $[pq]; [pq] = [pq] - p$, etc.

DEFINITION 2.2. For a positive integer $n$, we say the curve $X$
is of order \( \leq n \) at the point \( p \) (in symbols: \( \text{ord}_p X \leq n \)) if, for every \( \varepsilon > 0 \), there exists an open set \( U \) of \( X \) such that \( p \in U, \delta(U) < \varepsilon \) and cardinality of the boundary of \( U \leq n \). Furthermore, if \( \text{ord}_p X \leq n \) and it is false that \( \text{ord}_p X \leq m \) for any \( m < n \), then we say \( \text{ord}_p X = n \).

We also define for a linear graph \( X \) the following

\[
\sigma(X) = 2 + \sum (\text{ord}_p X - 2)
\]

and

\[
\beta(X) = \sum \text{ord}_p X
\]

the summations being extended over all branch points of \( X \).

**Lemma 2.1.** If \( X \) is a linear graph, then \( \beta(X) - \sigma(X) = 2(m - 1) \) where \( m \) is the number of branch points of \( X \).

**Lemma 2.2.** ([14, Th. 5.5]) If \( X \) is a linear graph, then \( \dim C(X) = \sigma(X) \). Moreover, \( C(X) \) is a polyhedron.

**Theorem 2.1.** If \( X \) and \( Y \) are linear graphs and, for every \( \varepsilon > 0 \), there is an \( \varepsilon \)-map \( f_\varepsilon : X \to Y \), then for \( \varepsilon \) sufficiently small

\[
\sigma(X) \leq \sigma(f_\varepsilon[X])
\]

**Proof.** For any \( \varepsilon > 0 \) we have \( f_\varepsilon[X] \) is subcontinuum of \( Y \) and hence is also a linear graph. The map \( f'_\varepsilon \) induced by \( f_\varepsilon \) (see Theorem 1.3) is an \( \varepsilon \)-mapping of \( C(X) \) into \( C(f_\varepsilon[X]) \). Therefore, for \( \varepsilon \) sufficiently small we have by Alexandroff's Theorem on the approximation to compact spaces by polyhedra (see [12, p. 72]) that

\[
\sigma(X) = \dim C(X) \leq \dim C(f_\varepsilon[X]) = \sigma(f_\varepsilon[X])
\]

**Corollary 2.1.** If \( X \) and \( Y \) are linear graphs and \( qX \leq qY \), then \( \sigma X \leq \sigma Y \).

**Corollary 2.2.** If \( X \) and \( Y \) are linear graphs and \( qX \leq qY \), then \( \beta(X) \leq \beta(Y) \).

**Notation.** For any metric space \( X \) and \( \varepsilon > 0 \) we define

\[
V(x, \varepsilon) = \{y \in X | \rho(x, y) \leq \varepsilon\}
\]

**Theorem 2.2.** If \( X \) and \( Y \) are linear graphs, then the following statements are equivalent.

(a) \( qX = qY \).
(b) \( dX = dY \).
(c) \( X \) and \( Y \) are homeomorphic.

Proof. The implications (c) \( \implies \) (b) \( \implies \) (a) are trivial. Now assume \( qX = qY \). Denote the branch points of \( X \) by \( p_1, \ldots, p_m \) and the branch points of \( Y \) by \( q_1, \ldots, q_n \). We consider \( X \) and \( Y \) to have the decompositions determined by taking the branch points and end points as the vertices. Let \( \nu = 1/2 \min \{ \rho(v_i, v_j) \mid v_i, v_j \) are distinct vertices of \( Y \} \). First we show that \( m = n \). There is a \( \Delta_i, 0 < \Delta_i < \nu/2 \), such that, for any positive number \( \Delta \leq \Delta_i \),

\[
\text{ord}_{q_i} V(q_i, \Delta) = \text{ord}_{q_i} Y,
\]

for \( i = 1, \ldots, n \). This in turn implies that \( \sigma(V(q_i, \Delta)) = \sigma(q_i Y) \). Let \( V_i = V(q_i, \Delta_i) \) and \( \sigma_i = \sigma(V_i) \). By hypothesis for every \( \varepsilon > 0 \) there is an \( \varepsilon \)-map \( g_\varepsilon : Y \rightarrow X \). So

\[
2 < \text{ord}_{q_i} V_i = \sigma_i \leq \sigma(g_{\varepsilon_i}[V_i])
\]

where \( \eta_i = \min \{ \varepsilon_1, \ldots, \varepsilon_n \} \) and \( \varepsilon_i \) is sufficiently small so that \( \sigma(V_i) \leq \sigma(g_{\varepsilon_i}[V_i]) \) for \( i = 1, \ldots, n \). Now since \( V_i \) is a \( \sigma_i \)-od we have

\[
V_i = \bigcup_{r=1}^{\sigma_i} \{ q_i y_i^r \}
\]

where the \( \{ q_i y_i^r \} \) are disjoint arcs.

We now define \( Y' \) to be the subdivision of \( Y \) induced by taking as the vertices of \( Y' \) those of \( Y \) and all the points \( y_i^r, i = 1, \ldots, n; r = 1, \ldots, \sigma_i \). (Note that \( Y \) and \( Y' \) are homeomorphic and have the same branch points and end points.) Let the end points \( Y' \) be denoted by \( e_k^r \) where the subscript and superscript correspond to those of the \( y_k^r \) which is adjacent to \( e_k^r \) (i.e., \( [e_k^r y_k^r] \) is an edge of \( Y' \)). Then note that \( Y' = \bigcup_{r=1}^{\sigma_k} V_i \) is the union of disjoint arcs of two kinds:

1. \( [e_k^r y_k^r] \) for every end point \( e_k^r \) of \( Y' \), and
2. \( [y_k^r y_m^r] \) where \( [y_k^r y_m^r] \) is an edge of \( Y' \) and \( y_k^r \) and \( y_m^r \) are end points of \( V_k \) and \( V_m \), respectively.

Let

\[
\eta = \min \{ \eta_i, \lambda_1, \ldots, \lambda_n \}
\]

where

\[
\lambda_i = \min \{ \min \{ \rho(V_t, [e_k^r y_k^r]) \mid [e_k^r y_k^r] \cap V_t = \emptyset \text{ for any } t \neq k \}, \min \{ \rho(V_t, [y_k^r y_m^r]) \mid [y_k^r y_m^r] \text{ is an edge of } Y' \text{ and } [y_k^r y_m^r] \cap V_t = \emptyset \text{ for any } t \neq k \text{ or } m \} \}.
\]

Now for any \( \eta \)-map, \( g_\eta \), we have that the sets \( g_\eta[V_i], \ldots, g_\eta[V_n] \) are
disjoint (since \( \rho(V_i, V_\alpha) \geq \nu > \eta \)). Since \( \sigma(g_\eta[V_i]) > 2 \) we have that
\( g_\eta[V_i] \) must contain at least one branch point of \( X \) for \( i = 1, \ldots, n \).
Therefore \( n \leq m \) and by a symmetric argument \( m \leq n \) and so \( m = n \).
Moreover, \( g_\eta[V_i] \) contains exactly one branch point of \( X \), say \( \eta_j \).
Likewise \( f_\eta[W_i] \) contains exactly one branch point of \( X \) for \( i = 1, \ldots, m \).
Therefore \( n \leq m \) and by a symmetric argument \( m \leq n \) and so \( m = n \).
Moreover, \( g_\eta[V_i] \) contains exactly one branch point of \( X \), say \( \eta_j(i) \).
Likewise \( f_\eta[W_i] \) contains exactly one branch point of \( Y \) for \( i = 1, \ldots, m \).
We can now write \( g_\eta[V_i] \) as follows:
\( g_\eta[V_i] = (d_\eta(j_i) x_\eta(j_i)) \) for every end point \( d_\eta(j_i) \) of \( X \) which is in 
\( X' = \bigcup_{i=1}^n g_\eta[V_i] \) (the subscript and superscript of \( d \) being determined by the fact that \( [d_\eta(j_i) x_\eta(j_i)] \) does not contain and end point of any \( g_\eta[V_i] \), for \( i = 1, \ldots, n \), and
\( x_\eta(j_i) \) for end points \( x_\eta(j_i) \) and \( x_\eta(m) \) of \( g_\eta[V_k] \) and \( g_\eta[V_m] \), respectively, and where \( g_\eta(y_i) \in (p_\eta(j_i) x_\eta(j_i)) \), \( i = 1, \ldots, n \).
We now define a homeomorphism \( h \) of \( Y' \) onto \( X' \) as follows:
\( (h | V_i) : V_i \rightarrow g_\eta[V_i] \) is a homeomorphism such that \( h(q_i) = p_\eta(j_i) \) and
\( h(y_i) =
\begin{cases}
\{x_\eta(j_i) \text{ (= midpoint of } [p_\eta(j_i) x_\eta(j_i)] \}; \text{ if } d_\eta(j_i) \in g_\eta[V_i]
\{x_\eta(j_i), \text{ otherwise } \}
\end{cases}
\)
where \( g_\eta(y_i) \in (p_\eta(j_i) x_\eta(j_i)) \), \( i = 1, \ldots, n \), and \( r = 1, \ldots, \sigma_\eta \).
\( (h | [y_k y_m]) : [y_k y_m] \rightarrow [x_\eta(j_k) x_\eta(j_m)] \) is a homeomorphism such that
\( h(y_k) = x_\eta(j_k) \) and \( h(y_m) = x_\eta(j_m) \), for any edge \( [y_k y_m] \) in \( Y' \) whose end points are also end points of \( V_k \) and \( V_m \), respectively, and \( k \neq m \).
\( (h | [e_i y_i]) : [e_i y_i] \rightarrow [d_\eta(j_i) h(y_i)] \) is a homeomorphism such that
\( h(e_i) = d_\eta(j_i); h(y_i) \) is as in (5).
This determines a homeomorphism \( h \) of \( Y' \) onto \( X' \) and so \( Y \) and \( X \) are homeomorphic. Therefore we have (a) \( \rightarrow \) (c).

The first of following examples shows that \( qX \leq qY \) does not imply \( dX \leq dY \) even in the case that \( X \) and \( Y \) are trees. The second shows that Theorem 2.2 is not true for dendrites.
Example 2.1. Let $X$ be a 4-od and $Y$ the space obtained by taking the union of two vertical segments with end points $(1/4, 0)$ and $(3/4, 0)$ and the closed unit interval $[0, 1]$. Then $qX \leq qY$ since an arc of arbitrarily small diameter with the branch point of $X$ as one end point can be projected onto an arc of the same kind on another branch of $X$ thereby obtaining a space homeomorphic to $Y$. But obviously neither $X$ nor $Y$ is embeddable in the other.

Example 2.2. Let $X$ be the union of the sets (1), (2) and (3) and let $Y$ be the union of the sets (1) and (2) where

1. the closed unit interval $[0, 1]$,
2. the vertical segments with end points $(1/n, 0)$ and $(1/n, 1/n)$, $n = 2, 3, \ldots$,
3. the segment given by $y = (1/2) - x$, $3/8 \leq x \leq 1/2$.

Then $qX \leq qY$ (see the previous example) and $qY \leq qX$ since $Y$ is a closed subset of $X$. So $qX = qY$ but $dX \not\leq dY$.

3. Quasi dimension type of Peano curves.

**Definition 3.1.** A curve is a 1-dimensional continuum and a Peano curve (continuum) is a locally connected curve (continuum). A curve $X$ is essentially nonplanar, if there is an $\varepsilon > 0$ such that $X$ cannot be $\varepsilon$-mapped onto any planar curve. If a space is the unit sphere of $R^{n+1}$, then it is called a $n$-sphere and is denoted by $S^n$. A space homeomorphic to $S^1(S^2)$ is called a simple closed curve (spherical surface).

**Definition 3.2.** Kuratowski [15] described four Peano curves $K_1$ (= 1-skeleton of a tetrahedron with midpoints of a pair of non-adjacent edges joined by a segment), $K_2$ (= complete graph on 5 vertices), $K_3$ and $K_4$ which are indicated in Figures 1, 2, 3, and 4, respectively. A topological image of anyone of the four curves $K_1$, $K_2$, $K_3$, $K_4$ is called a skew curve. A topological image of $K_1$ or $K_2$ ($K_3$ or $K_4$) is called a primitive (secondary) skew curve.

Kuratowski showed that every nonplanar linear graph contains a primitive skew curve. Claytor [7] managed to use the secondary skew curves to obtain the following elegant result: A Peano continuum which is not homeomorphic with a subset of $S^2$ necessarily contains a topological image of a skew curve. As a special case he also proved: Every cyclic Peano continuum which is not homeomorphic with a subset of $S^2$ contains a topological image of a primitive skew curve.

**Definition 3.3.** The Sierpinski (or universal plane) curve, $\omega$, and the Menger (or universal) curve, $\mathcal{W}$, are different 1-dimensional locally
connected analogues of the Cantor discontinuum. The Sierpinski curve can be obtained by considering a square and by successively deleting first the open middle-ninth of that square, second the middle-ninths of each of the eight squares remaining, third the middle-ninths of each of the 64 squares remaining, etc. The Menger curve may be defined as the set of all points of the unit cube which project in each of the three directions (of the edges) into Sierpinski curves constructed as above on the various faces of the cube. The Menger (Sierpinski) curve contains homeomorphic images of all 1-dimensional (planar) continua. Actually a more general statement is true, i.e., any 1-dimensional separable metric space can be embedded in $\mathbb{R}$ and any 1-dimensional planar set can be embedded in $\mathcal{S}$ (see [20, p. 106]). So for any subset $X$ of $\mathbb{R}^n$ ($\mathbb{R}^2$) we have that $qX \leq q\mathcal{M}(q\mathcal{S})$ implies $dX \leq d\mathcal{M}(d\mathcal{S})$.

Mazurkiewicz [18] has shown the following.

**Theorem 3.1.** An essentially nonplanar Peano curve contains a
primitive skew curve.

**Corollary.** If $X$ is a Peano curve which does not contain a primitive skew curve, then $qX \leq q\mathbb{R}^2$.

**Theorem 3.2.** [11] If $X$ is a compact polyhedron of dimension $n \neq 2$ such that $qX \leq q\mathbb{R}^{2n}$, then $dX \leq d\mathbb{R}^{2n}$.

Combining these two results we have the next theorem.

**Theorem 3.3.** If $X$ is a Peano curve, then $qX \leq q\mathbb{R}^2$ if and only if $X$ does not contain a primitive skew curve.

**Proof.** Suppose $X$ contains a primitive skew curve, $K$, and suppose $qX \leq q\mathbb{R}^2$, then $qK \leq qX \leq q\mathbb{R}^2$. Therefore, by the previous theorem $dK \leq d\mathbb{R}^2$, but this is impossible. The other half of the theorem is just a restatement of Theorem 3.1.

Claytor’s fundamental result [7] in this area is stated next.

**Theorem 3.4.** A Peano continuum $X$ is embeddable in $S^2$ if and only if $X$ does not contain a skew curve.

**Corollary.** A Peano continuum $X$ which is not a spherical surface is planar if and only if $X$ does not contain a skew curve.

The next two theorems show how quasi dimension type is related to the above results in a particular setting.

**Theorem 3.5.** If $X$ is a Peano curve which does not contain a secondary skew curve, then the following are equivalent.

- (a) $dX \leq d\mathbb{R}^2$.
- (b) $qX \leq q\mathbb{R}^2$.
- (c) $qX \leq q\mathbb{R}^2$.
- (d) $X$ does not contain a primitive skew curve.

**Proof.** First, (a) implies (b) since if $X$ is planar it is embeddable in $\mathbb{R}^2$. Second, (b) implies (c) since $q\mathbb{R}^2 \leq q\mathbb{R}^2$. Third, (c) implies (d) is just half of Theorem 3.3. Fourth, (d) implies (a) follows from Theorem 3.4.

**Theorem 3.6.** If $X$ is a Peano continuum which does not contain a secondary skew curve, then the following are equivalent.

- (a) $dX \leq d\mathbb{R}^2$.
- (b) $qX \leq q\mathbb{R}^2$.
X is not a spherical surface and does not contain a primitive skew curve.

Proof. First, (a) implies (b) is trivial. Second, (b) implies (c) follows from Theorem 3.3 and the Borsuk-Ulam Theorem, i.e., for any mapping of $f : S^n \rightarrow \mathbb{R}^n$, there is a pair of antipodal points of $S^n$ with the same image under $f$ (so that $qS^2 \not\subseteq q\mathbb{R}^2$). Finally (c) implies (a) as a result of Theorem 3.4.

Remark 3.1. Note that $K_3$ and $K_4$ are such that $qK_3 \leq q\mathbb{R}^2$ and $qK_4 \leq q\mathbb{R}^2$. To see that the former is true choose any $\varepsilon > 0$. Then take a circle $S$ in the defining sequence of $K_3$ of diameter $<\varepsilon/3$. Then shrinking $S$ and its interior (in $\mathbb{R}^2$) to a point clearly yields a space embeddable in $\mathbb{R}^2$. The same technique works for showing that $qK_4 \leq q\mathbb{R}^2$. This shows that necessity of requiring that $X$ contain no secondary skew curve in Theorem 3.5 and 3.6. Since the dyadic solenoid $D$ is such that $qD \leq qS^1 < q\mathbb{R}^2$ but $dD \not\leq d\mathbb{R}^2$, the need for requiring $X$ to be locally connected in the previous two theorems is evinced.

Mazurkiewicz [18] also pointed out that the following two theorems are consequences of Theorem 3.1.

Theorem 3.7. If $X$ is a curve and $qK_1 \leq qK$, then $dK_1 \leq dX$.

Theorem 3.8. If $X$ is a curve and $qK_2 \leq qX$, then $dK_1 \leq dX$ or $dK_2 \leq dX$.

As a result of Theorems 3.7 and 2.1 we have the following.

Theorem 3.9. The primitive skew curves, $K_1$ and $K_2$, are not comparable with respect to quasi dimension type.

Theorem 3.10. The secondary skew curves, $K_3$ and $K_4$ are not comparable with respect to quasi dimension type.

Proof. Suppose $qK_3 \leq qK_4$. Let $A_i$ be a subset of $K_3$ which is a circumscribed figure $T$ (i.e., $A_i = S \cup B$ where $S$ is a simple closed curve, $B$ is a 3-od with center $x$ and end points $a_1, a_2$, and $a_3$; and $S \cap B = a_1 \cup a_2 \cup a_3$). Let $A = A_1 \cup [yb] \cup [zc]$ where $[yb]$ and $[zc]$ are arcs, $[yb] \cap A_i = y \in (xa_i)$ (an open arc contained in $B$), and $[zc] \cap A_i = z$ (an element of the open arc of $S$ from $a_1$ to $a_2$ which does not contain $a_3$). Then, for $\varepsilon$ sufficiently small, the $\varepsilon$-image $A$ contains a curve homeomorphic to $A$. However, $K_4$ contains no such curve so we have a contradiction.
Suppose $qK_4 \leq qK_3$. Let $A$ denote a circumscribed figure $X$ (i.e., $A = S \cup B$ where $S$ is a simple closed curve, $B$ is a 4-od with center $x$ and end points $a_1, a_2, a_3$ and $a_4$; and $S \cap B = a_1 \cup a_2 \cup a_3 \cup a_4$). Then, for $\varepsilon$ sufficiently small, the $\varepsilon$-image of $A$ contains either a curve which is homeomorphic to $A$, or a circumscribed figure $H$. However, $K_3$ contains no such curves so we have a contradiction.

**Corollary.** No two of the skew curves are comparable with respect to quasi dimension type.

**4. Quasi dimension type of curves.** Recall the following notation: $I =$ closed unit interval, $D =$ dyadic solenoid, $\psi =$ pseudo-arc, $\mathcal{S} =$ Sierpinski curve and $\mathcal{M} =$ Menger curve. Then from the results of §1 and §2 we have the following.

**Theorem 4.1.** If $X$ is a snake-like continuum, then

1. $q\psi \leq qX \leq qI$;
2. $X$ contains an arc if and only if $qI \leq qX$;
3. $X$ is a pseudo-arc if and only if $qX \leq q\psi$.

**Definition 4.1.** A *circular chain* is a chain subjected to the added condition that its first and last links intersect. A continuum $X$ is called *circle-like* if for each $\varepsilon > 0$, $X$ can be covered with a circular chain of mesh $< \varepsilon$.

**Theorem 4.2.** If $X$ is a circle-like continuum, then

1. $q\psi \leq qX \leq qS^1$;
2. $X$ is a simple closed curve if and only if $qS^1 \leq qX$;
3. $X$ is a pseudo-arc if and only if $qX \leq q\psi$;
4. $qX \leq qD$ implies that $qX \leq qI$ or $X$ is not planar.

**Proof.** The first inequality of (1) follows from the fact that any circle-like continuum contains a snake continuum and Theorem 1.2'. The second inequality of (1) follows from the fact that a continuum has $\varepsilon$-mappings into the nerves of its coverings.

Only one implication of (2) is nontrivial. Assume $qS^1 \leq qX$. This implies that $X$ contains a simple closed curve $S$ and since $qX \leq qS^1$ there are $\varepsilon$-mappings, $f_\varepsilon$, of $X$ into $S^1$. Then, for $\varepsilon$ sufficiently small, $f_\varepsilon$ must take $S$ onto all of $S^1$. Therefore $S = X$. Condition (4) follows from the fact that $D$ is not the continuous image of any planar continuum (see [10]).

**Definition 4.2.** A *tree chain* is a finite collection of open sets whose nerve is a tree. A continuum $X$ is called *tree-like* if for each
\( \varepsilon > 0, X \) can be covered with a tree chain of mesh \( > \varepsilon \).

**Definition 4.3.** For any positive integer \( n \), a curve \( X \) is said to be of order less than or equal to \( n \) (in symbols: \( \text{ord}_X \leq n \)), if \( \text{ord}_p X \leq n \) for each \( p \in X \) (see Definition 2.2). Furthermore, if \( \text{ord}_X \leq n \) and it is false that \( \text{ord}_X \leq m \) for any \( m < n \) (i.e., \( \text{ord}_p X = n \) for some \( p \in X \)), then \( \text{ord}_X = n \).

**Definition 4.4.** For any positive integer \( n \), a continuum \( X \) is said to be of \( \tau \)-order less than or equal to \( n \) (in symbols: \( \tau\text{-ord} X \leq n \)), if \( X \) has coverings of arbitrarily small whose nerves are trees of order \( < \tau \). (The usual convention prevails in defining \( \tau\text{-ord} X = n \).) If we replace \( \tau \) by \( \gamma \) and "trees" by "linear graphs", then we obtain the definition of \( \gamma\text{-ord} X \leq n \).

Menger [19, p. 318] has shown that there is a dendrite \( U \) (in the plane) which is universal for dendrites (i.e., any dendrite can be embedded in \( U \)). Moreover, he showed that for any positive integer \( n \geq 2 \) there is a dendrite \( U_n \) of order \( n \) which is universal for all dendrites of order \( n \).

**Lemma 4.1.** If \( X \) is a tree, then \( \tau\text{-ord} X \leq 3 \).

*Proof.* Let \( b_1, \ldots, b_n \) denote the branch points of \( X \), \( k_i = \text{ord}_{b_i} X \) and \( \nu = 1/2 \min \{ \rho(v_i, v_j) \mid v_i, v_j \) are distinct vertices of \( X \} \). There is a \( \Delta_i, 0 < \Delta_i < \nu/2, \) such that, for any positive number \( \Delta \leq \Delta_i, \) \( \text{ord}_{b_i} V(b_i, \Delta) = k_i, \) for \( i = 1, \ldots, n \). Let \( r = (1/2)\min \{ \Delta_i \mid i = 1, \ldots, n \} \). We will replace each \( k_i\text{-od} \) in \( X \) by a \( (k_i-2)\text{-comb} \) (a \( m\text{-comb} \) is a tree homeomorphic to the union of the closed interval \( [0, m+1] \) and \( m \) vertical segments from \( (i,0) \) to \( (i,1) \) for \( i = 1, \ldots, m \). This will yield a new tree \( Y \) of order \( \leq 3 \) which is the \( \varepsilon \)-image of \( X \) for each \( \varepsilon > 0 \).

For a fixed branch point \( b_i \) consider the \( r\)-neighborhood \( S(b_i, r) \) and its boundary points \( y_1, \ldots, y_{k_i} \). Let \( A_1 \) be an arc which intersects \( X \) only in its end points \( y_1 \) and \( y_2 \). Let \( z_3, \ldots, z_{k_i} \) be \( (k_i - 2) \) distinct points of \( A_1 - (y_1 \cup y_2) \). Now, for \( 3 \leq j \leq k_i \), let \( A_{j-1} \) be an arc which intersects \( X \cup A_1 \cup \cdots \cup A_{j-2} \) only in its end points \( z_j \) and \( y_j \). Finally let \( B_i = \bigcup \{ A_j \mid j = 1, \ldots, k_i - 1 \} \) (so that \( B_i \) is a \( (k_i - 2)\text{-comb} \)) and let \( Y = [X - \bigcup_{i=1}^{n} S(b_i, r)] \cup [\bigcup_{i=1}^{n} B_i] \). Using the techniques of Theorem 2.2 and Example 2.1 it is not difficult to see that \( X \) can be \( \varepsilon \)-mapped onto \( Y \) for all \( \varepsilon > 0 \).

Now let \( V \) be a covering of \( X \) with Lebesque number \( \eta \). There exists a subdivision of \( Y \) fine enough so that, for the associated
covering $U$ by stars of vertices, there exists an $\varepsilon$-mapping $f$ of $X$ onto $Y$ with the property that the mesh $f^{-1}(U) < \eta$. Then $f^{-1}(U)$ refines $V$ and its nerve is homeomorphic to $Y$. Hence $X$ has coverings of arbitrarily small mesh whose nerves are trees of order $\leq 3$, i.e., $\tau$-ord $X < 3$.

**THEOREM 4.3.** A continuum $X$ is tree-like if and only if $\tau$-ord $X \leq 3$.

**Proof.** Suppose $X$ is tree-like (the other implication follows immediately from the definition of $\tau$-order). Then $X$ has coverings $\{V_i\}$ whose nerves $N(V_i) = N_i$ are trees and such that mesh $V_i \leq 1/i$. Moreover, for any $\varepsilon > 0$, there is an $\varepsilon$-mapping $f_\varepsilon$ of $X$ into some $N_i$. Now by the previous lemma each $N_i$ has coverings $\{W'_i\}$ whose nerves are trees of order $\leq 3$ and such that mesh $W'_i \leq 1/j$. In view of the compactness of $X$, for any $\varepsilon > 0$, there is a positive integer $i$ and a $\gamma > 0$ such that: if $A \subset N_i$ and $\delta(A) < \gamma$, then $\delta(f_\varepsilon^{-1}(A)) < \varepsilon$. So for $j$ large enough so that mesh $W'_i < \gamma$ we have that mesh $f_\varepsilon^{-1}(W'_i) < \varepsilon$. Moreover, $N(f_\varepsilon^{-1}(W'_i))$ is a tree of order $\leq 3$ and therefore $\tau$-ord $X \leq 3$.

**LEMMA 4.2.** If $X$ and $Y$ are continua, $Y$ is tree-like and $qX \leq qY$, then $X$ is tree-like.

**THEOREM 4.4.** A continuum $X$ is tree-like if and only if $qX \leq qU$.

**Proof.** Suppose $X$ is tree-like. Then by the previous theorem $Y$ has coverings of arbitrarily small mesh whose nerves are trees of $\tau$-order $\leq 3$. So these nerves are embeddable in $U_i$. Therefore, for any $\varepsilon > 0$, $X$ can be $\varepsilon$-mapped into $U_i$, i.e., $qX \leq qU_i$. Conversely, suppose $qX \leq qU_3$. Now $U_3$ is a dendrite and dendrites (being planar and not containing a subcontinuum which separates the plane) are tree-like (see [5, p. 656]). Thus we have by Lemma 4.2 that $X$ is tree-like.

**COROLLARY.** For every positive integer $i$, $qU_3 = qU_{i+1} = qU_i$.

**Proof.** Since $U_i \subset U_{i+1} \subset U$, we have that $qU_i \leq qU_{i+1} \leq qU$ for each positive integer $i$. Since $U_i$ and $U$ are dendrites they are also tree-like. Thus by the previous theorem $qU_i$ and $qU \leq qU_i$ and so the desired result follows.

Since there are tree-like continua which fail to contain a snake-like subcontinuum we have as an open question the following.
Question. Is $q_f \leq q_X$ for any tree-like continuum $X$?

The appropriate modifications of Lemma 4.1, and Theorems 4.3 and 4.4 yield the following three results.

**Lemma 4.3.** If $X$ is a linear graph, then $\gamma$-ord $X \leq 3$.

**Theorem 4.5.** A continuum $X$ is 1-dimensional if and only if $\gamma$-ord $X \leq 3$.

**Theorem 4.6.** A continuum $X$ is 1-dimensional if and only if $q_X \leq q_{\mathcal{M}}$.

From the results of § 3 we obtain the next two theorems.

**Theorem 4.7.** If $X$ is a Peano curve which does not contain a primitive skew curve, then
1. $qI \leq q_X \leq q_{\mathcal{G}}$;
2. $X$ is an arc if and only if $q_X \leq qI$.

**Corollary.** If $X$ is a continuum with coverings of arbitrarily small mesh whose nerves are planar linear graphs (i.e., do not contain a primitive skew curve), then $q_X \leq q_{\mathcal{G}} (< q_{R^2})$. (For example, tree-like continua have such coverings.)

**Theorem 4.8.** If $X$ is a Peano curve which contains a primitive skew curve, then
1. $q_X \leq q_{R^2}$;
2. $\left\{\frac{qK_i}{qK_i}\right\} \leq q_X \leq q_{\mathcal{M}}$;
3. $X$ is homeomorphic to $K_i$ if and only if $q_X = qK_i$;
4. $X$ is homeomorphic to $K_2$ if and only if $q_X = qK_2$.

**Proof.** We consider only one implication from each of (3) and (4) (in that order). Assuming that $q_X = qK_i$ by Theorem 3.7 we have that $X$ contains $K_i$, a topological image of $K_i$. So the $\varepsilon$-mappings of $X$ into $K_i$ must take $K$ onto all of $K_i$ for $\varepsilon$ sufficiently small. So we must have that $X = K$.

Assuming that $q_X = qK_2$, we have by Theorem 3.8 that $X$ contains $K$, a topological image of $K_i$ or $K_2$. The former case is impossible since $K_i$ and $K_2$ are not comparable with respect to quasi dimension type. So $\varepsilon$-mappings of $X$ into $K_2$ must take $K$ onto all of $K_2$ for $\varepsilon$ sufficiently small. So we must have that $X = K$.

**Added in proof.** I intended to construct a snake-like continuum with $2^e$ different quasi dimension types among its subsets. However, this and the two questions in § 1 remain unsettled. The situation as regards circle-like continua is clearer. H. Cook [Fund. Math. 60 (1967)
has constructed a 1-dimensional continuum $M_1$ containing $c$ continua which are circle-like but not snake-like and no one which is the continuous image of the other. It follows that no two of these circle-like continua are comparable with respect to quasi dimension type. Furthermore, since there are at most $c$ topologically different circle-like continua, we may conclude that the cardinality of the set of quasi dimension types of circle-like continua is $c$.

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