

# Pacific Journal of Mathematics

**A REMARK ON INTEGRAL FUNCTIONS OF SEVERAL  
COMPLEX VARIABLES**

KYONG TAIK HAHN

## A REMARK ON INTEGRAL FUNCTIONS OF SEVERAL COMPLEX VARIABLES

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Let  $R_\nu$ ,  $\nu = \text{I, II, III, IV}$ , be the 4 types of the classical Cartan domains and let  $\mathcal{E}(R_\nu)$  denote the class of solutions  $u$  of the Laplace's equation  $\Delta u = 0$  corresponding to the Bergman metric of  $R_\nu$ , which satisfy certain regularity conditions specified below.

In this note we give a distortion theorem for functions which are holomorphic in  $\bar{R}_\nu$  and omit the value 0 there, and an application which leads to an interesting property of integral functions omitting the value 0. The tools used here are the generalized Harnack inequality for functions in the class  $\mathcal{E}(R_\nu)$  and the classical theorem of Liouville for integral functions.

Let  $D$  be a bounded domain in the space  $C^p$  of  $p$  complex variables  $z = (z^1, \dots, z^p)$ . The Laplace-Beltrami operator corresponding to the Bergman metric of  $D$  is

$$(1) \quad \Delta_D = T^{\alpha\bar{\beta}} \partial^2 / \partial z^\alpha \partial \bar{z}^\beta ;$$

here  $T^{\alpha\bar{\beta}}$  are the contravariant components of the metric tensor  $T_{\alpha\bar{\beta}} = \partial^2 \log K_D / \partial z^\alpha \partial \bar{z}^\beta$  and  $K_D = K_D(z, \bar{z})$  is the Bergman kernel function of  $D$ [1]. Let  $\mathcal{E}(D)$  be the class of real functions  $u$  satisfying: (a)  $u$  is continuous in  $\bar{D}$ . (b) In  $\bar{D} - \mathfrak{b}(D)$ ,  $u$  is of  $C^2$  and satisfies  $\Delta_D u = 0$ , where  $\mathfrak{b}(D)$  is the Bergman-Silov boundary of  $D$ . It is well-known that the class  $\mathcal{E}(D)$  solves the Dirichlet problems for certain types of bounded symmetric domains  $D$  ([3], [4]). These are the classical Cartan domains. Let  $z$  be a matrix of complex entries,  $z'$  its transpose,  $z^*$  its conjugate transpose and  $I$  the identity matrix. By  $H > 0$  we mean that a hermitian matrix  $H$  is positive definite. The first 3 types are defined by  $R_\nu = [z: I - zz^* > 0]$ ,  $\nu = \text{I, II, III}$ , where  $z$  is an  $m \times n$  matrix ( $m \leq n$ ) for  $R_{\text{I}}$ , an  $n \times n$  symmetric matrix for  $R_{\text{II}}$  and an  $n \times n$  skew symmetric matrix for  $R_{\text{III}}$ . The fourth type  $R_{\text{IV}}$  is the set of all  $1 \times n$  matrices satisfying the conditions:

$$1 + |zz'|^2 - 2zz^* > 0, |zz'| < 1,$$

or

$$1 > \bar{z}z' + [(\bar{z}z')^2 - |zz'|^2]^{1/2}.$$

By  $\|z\|_\nu$  we denote the norm of the matrix  $z \in R_\nu$ , i.e.,  $\|z\|_\nu = \sup_{|x|=1} |zx|$ , where  $x$  is an  $n$ -dimensional vector and  $|x|$  the length

of  $x$ . It can be shown that  $\|z\|_\nu$  is the largest among the positive square roots of the characteristic roots of the hermitian matrix  $zz^*$ , and  $R_\nu = \{z: \|z\|_\nu < 1\}$  ([2]). For any  $r > 0$  we write

$$R_\nu(r) = \{z: r^2 I - zz^* > 0\} = \{z: \|z\|_\nu < r\}.$$

**2. Distortion theorems.** A generalization of Harnack's inequality to functions of the class  $\mathcal{E}$  for the classical Cartan domains has been obtained in [6] and it is contained in the following lemma.

**LEMMA 1.** *If  $u \in \mathcal{E}(R_\nu(r))$  is nonnegative on  $b(R_\nu(r))$  then on  $R_\nu(r)$*

$$(2) \quad u(0)Q_\nu(r, z) \leq u(z) \leq u(0)Q_\nu(r, z)^{-1}, \quad Q_\nu(r, z) = \prod_{k=1}^{n_\nu} \left( \frac{r - \lambda_k}{r + \lambda_k} \right)^{N_\nu},$$

where

$$\begin{aligned} n_I &= m, \quad n_{II} = n, \quad n_{III} = [n/2], \quad n_{IV} = 2; \\ N_I &= n, \quad N_{II} = (n + 1)/2, \quad N_{III} = n - 1 \end{aligned}$$

if  $n$  is even and  $= n$  if  $n$  is odd,  $N_{IV} = n/2$ ;  $\lambda_1, \lambda_2, \dots, \lambda_{n_\nu}$  are the nonnegative square roots of the characteristic roots of the hermitian matrix  $zz^*$  for  $z \in R_\nu(r)$ , and  $r > \lambda_1 \geq \dots \geq \lambda_{n_\nu} \geq 0$ .

We remark that  $n_\nu$  is the rank of the domain  $R_\nu$ , and  $p_\nu = n_\nu N_\nu$  gives the (complex) dimension of  $R_\nu$ .

A simple application of the above lemma leads to the following distortion theorem for holomorphic functions.

**THEOREM 1.** *Let  $f(z)$  be a holomorphic function in  $\overline{R_\nu(r)}$  which omits there the value 0. Then on  $R_\nu(r)$*

$$(3) \quad |f(0)|^{Q_\nu(r, z)} m_\nu(r, f)^{1-Q_\nu(r, z)} \leq |f(z)| \leq |f(0)|^{Q_\nu(r, z)} M_\nu(r, f)^{1-Q_\nu(r, z)}$$

where  $m_\nu(r, f) = \min_{\|z\|_\nu=r} |f(z)|$ ,  $M_\nu(r, f) = \max_{\|z\|_\nu=r} |f(z)|$  and  $Q_\nu(r, z)$  is given in Lemma 1.

*Proof.* Since  $f(z)$  is holomorphic and omits the value 0 in  $\overline{R_\nu(r)}$  the maximum principle of a holomorphic function yields:

$$m_\nu(r, f) \leq |f(z)| \leq M_\nu(r, f), \quad z \in \overline{R_\nu(r)}.$$

Let  $g_1(z) = f(z)/m_\nu(r, f)$  and  $g_2(z) = M_\nu(r, f)/f(z)$ . Since  $m_\nu(r, f) \neq 0$   $g_k(z)$  is holomorphic in  $\overline{R_\nu(r)}$  and  $|g_k(z)| \geq 1$  in  $\overline{R_\nu(r)}$ . Therefore,  $u_k(z) = \log |g_k(z)|$  belongs to  $\mathcal{E}(R_\nu(r))$  and satisfies all the hypotheses of Lemma 1. Applying the first inequality of (2) to  $u_1(z)$  and the second inequality to  $u_2(z)$  we have inequalities (3).

Specializing Theorem 1 to the hypersphere  $H(r) = [z: |z| < r]$ ,  $|z|^2 = |z^1|^2 + \dots + |z^n|^2$ , which can be obtained from  $R_i(r)$  by taking  $m = 1$ , we obtain

**COROLLARY 1.** *Let  $f(z)$  be a function which is holomorphic in  $H(r)$  and continuous in  $\overline{H(r)}$ . If  $f(z)$  omits the value 0 on  $H(r)$  then on  $H(r)$ .*

$$(4) \quad |f(0)|^{Q(r,z)} m(r, f)^{1-Q(r,z)} \leq |f(z)| \leq |f(0)|^{Q(r,z)} M(r, f)^{1-Q(r,z)},$$

where  $m(r, f) = \min_{|z|=r} |f(z)|$ ,  $M(r, f) = \max_{|z|=r} |f(z)|$  and  $Q(r, z) = (r - |z|)^n / (r + |z|)^n$ .

A slight modification of the above theorem is the following.

**THEOREM 2.** *Let  $f(z)$  be a holomorphic function in  $R_\nu(r)$  which omits there the value 0. Then for any  $\delta > 0$*

$$(5) \quad [|f(0)| m_\nu(r, f)^\delta]^{1/(1+\delta)} \leq |f(z)| \leq [|f(0)| M_\nu(r, f)^\delta]^{1/(1+\delta)}$$

holds for all  $z \in R_\nu(r_\nu)$ , where

$$r_\nu = \frac{t_\nu - 1}{t_\nu + 1} r, \quad t_\nu = (1 + \delta)^{\nu^{-1}}.$$

*Proof.* For any  $\delta > 0$   $f(z)$  is holomorphic in  $\overline{R_\nu(r_\nu)}$  and omits the value 0. By Theorem 1,

$$(6) \quad |f(0)|^{Q_\nu(r_\nu, z)} m_\nu(r_\nu, f)^{1-Q_\nu(r_\nu, z)} \leq |f(z)| \leq |f(0)|^{Q_\nu(r_\nu, z)} M_\nu(r_\nu, f)^{1-Q_\nu(r_\nu, z)}$$

for  $z \in R_\nu(r_\nu)$ . Let  $\delta_0 > 0$  be fixed arbitrarily. Since  $r_\nu(\delta) \rightarrow r$  as  $\delta \rightarrow \infty$ , we have

$$(7) \quad |f(0)|^{Q_\nu(r, z)} m_\nu(r, f)^{1-Q_\nu(r, z)} \leq |f(z)| \leq |f(0)|^{Q_\nu(r, z)} M_\nu(r, f)^{1-Q_\nu(r, z)}$$

for  $z \in R_\nu(r_\nu^0)$ ,  $r_\nu^0 = (t_\nu^0 - 1)r / (t_\nu^0 + 1)$ ,  $t_\nu^0 = (1 + \delta_0)^{\nu^{-1}}$ . On the other hand, if  $z \in R_\nu(r_\nu^0)$  then  $\|z\|_\nu < r_\nu^0$  or  $\{(r - \|z\|_\nu) / (r + \|z\|_\nu)\}^{\nu} > 1 / (1 + \delta_0)$ . Since  $\|z\|_\nu \geq \lambda_k$ ,  $k = 1, \dots, n_\nu$ ,  $Q_\nu(r, z) > 1 / (1 + \delta_0)$ . Combining this with (7) and the inequalities:  $m_\nu(r, f) \leq |f(z)| \leq M_\nu(r, f)$ , we obtain the theorem.

**3. Main theorem.** The following lemma is a simple application of Theorem 2.

**LEMMA 2.** *Let  $\{f_k\}$  be a sequence of holomorphic functions in  $R_\nu(r)$  such that  $f_k$  omits the value 0 there. Suppose that for some  $\delta > 0$  there exists an  $A > 0$  such that*

$$(8) \quad |f_k(0)| M_\nu(r, f_k)^\delta \leq A, \quad k = 1, 2, \dots .$$

Then for  $\|z\|_\nu \leq (t_\nu - 1)r/(t_\nu + 1)$ ,  $t_\nu = (1 + \delta)^{p_\nu - 1}$ ,

$$(9) \quad |f_k(z)| \leq A^{(1+\delta)^{-1}}, \quad k = 1, 2, \dots .$$

We observe that the hypothesis that each  $f_k$  omits the value 0 is essential for the validity of Lemma 2, as is shown by the following example in  $C^2$ . Let

$$(10) \quad f_k(z^1, z^2) = k(z^1 + z^2 + 1/k^2), \quad k = 1, 2, \dots$$

be a sequence of holomorphic functions in the unit hypersphere  $H$ . A formal computation shows that

$$M(1, f_k) = [(3k + 1/k)(k + 1/k)]^{1/2}, \quad f_k(0) = 1/k .$$

For  $\delta = 1$  we find  $A = 8^{1/2}$ . But no  $(z^1, z^2)$  with  $|z^1|^2 + |z^2|^2 < 1$  satisfies (9).

Using Lemma 2 and the classical theorem of Liouville on integral functions we prove:

**THEOREM 3.** *Let  $f$  be an integral function in the space  $C^{p_\nu}$  omitting the value 0, where  $p_\nu = mn, n(n + 1)/2, n(n - 1)/2, n$  if  $\nu = I, II, III, IV$ , respectively. If there exists a  $\delta > 0$  and a monotonically increasing  $\{s_k\}$  of positive numbers without bound such that for*

$$(11) \quad \begin{aligned} &\tau > 2(1 + \delta)^{p_\nu - 1} / ((1 + \delta)^{p_\nu - 1} - 1) \\ &\lim_{k \rightarrow \infty} m_\nu(s_k, f) M_\nu(\tau s_k, f)^\delta < \infty , \end{aligned}$$

then  $f$  is constant.

*Proof.* Let  $z_k$  be a point on  $\|z\|_\nu = s_k$  such that

$$(12) \quad \zeta = \zeta_k(z) = (z - z_k) / (\tau - 1)s_k, \quad k = 1, 2, \dots .$$

Then (12) defines a biholomorphic mapping of  $C^{p_\nu}$  for each  $\delta > 0$ . Hence,  $g_k(\zeta) = f[\zeta_k^{-1}(\zeta)]$  is again an integral function in  $C^{p_\nu}$  which omits the value 0. Further, the set  $\{z: \|z - z_k\|_\nu < s_k(\tau - 1)\}$  is contained in  $R_\nu(\tau s_k)$ , and hence,

$$M_\nu(1, g_k) \leq M_\nu(\tau s_k, f), \quad k = 1, 2, \dots ,$$

Since  $|g_k(0)| = |f(z_k)| = m_\nu(s_k, f)$ , from (11) we have

$$\lim_{k \rightarrow \infty} |g_k(0)| M_\nu(1, g_k)^\delta < \infty .$$

Hence there exists a number  $A > 0$  such that

$$|g_k(0)| M_\nu(1, g_k)^\delta \leq A, \quad k = 1, 2, \dots .$$

By Lemma 2,

$$|g_k(\zeta)| \leq A^{(1+\delta)^{-1}}, \quad k = 1, 2, \dots$$

for all

$$\zeta \in R_\nu\left(\frac{t_\nu - 1}{t_\nu + 1}\right), \quad t_\nu = (1 + \delta)^{\nu^{-1}} .$$

This together with (12) implies that  $f(z)$  is bounded by  $A^{(1+\delta)^{-1}}$  in  $R_\nu(s_k \sigma_\nu)$  for each  $k$ , where  $\sigma_\nu(\delta) = (t_\nu - 1)(\tau - 1)/(t_\nu + 1) - 1$ . Since  $\sigma_\nu(\delta) > 0$  for  $\tau > 2t_\nu/(t_\nu - 1)$ ,  $\{R_\nu(s_k \sigma_\nu)\}$  covers the entire space  $C^{\nu}$ . The theorem now follows from the theorem of Liouville.

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