

# Pacific Journal of Mathematics

**THE INTEGRATION OF A LIE ALGEBRA REPRESENTATION**

JACQUES TITS AND LUCIEN WAELEBROECK

## THE INTEGRATION OF A LIE ALGEBRA REPRESENTATION

J. TITS AND L. WAELBROECK

Let  $u: G \rightarrow A$  be a differentiable representation of a Lie group into a  $b$ -algebra. The differential  $u_0 = du_e$  of  $u$  at the neutral element  $e$  of  $G$  is a representation of the Lie algebra  $\mathfrak{g}$  of  $G$  into  $A$ . Because a Lie group is locally the union of one-parameter subgroups and since the infinitesimal generator of a differentiable (multiplicative) sub-semi-group of  $A$  determines this sub-semi-group, the representation  $u_0$  determines  $u$  if  $G$  is connected.

We shall be concerned with the converse: given a representation  $u_0$  of  $\mathfrak{g}$ , when can it be obtained by differentiating a representation  $u$  of  $G$ ? We shall assume  $G$  connected and simply connected, which means that we are only interested in the local aspect of the problem.

Call  $a \in A$  integrable if a differentiable  $r: \mathbf{R} \rightarrow A$  can be found such that  $r(s+t) = r(s)r(t)$  and  $r'(0) = a$ . We can only hope to integrate  $u_0: \mathfrak{g} \rightarrow A$  to a differentiable  $u: G \rightarrow A$  if  $u_0x$  is integrable for all  $x \in \mathfrak{g}$ . We shall prove the

**THEOREM.** *The set  $\mathfrak{h}$  of all elements  $x \in \mathfrak{g}$  such that  $u_0x$  is integrable, is a Lie subalgebra of  $\mathfrak{g}$ ; the representation  $u_0$  can be integrated to a representation  $u: G \rightarrow A$  of the simply connected group  $G$  if and only if  $\mathfrak{h} = \mathfrak{g}$ .*

This result is "best possible" in the following sense:

**PROPOSITION 1.** *Given a real Lie algebra  $\mathfrak{g}$  and a subalgebra  $\mathfrak{h}$ , there exists a representation  $u_0: \mathfrak{g} \rightarrow A$  of  $\mathfrak{g}$  in a  $b$ -algebra  $A$ , so that*

$$\mathfrak{h} = \{x \in \mathfrak{g} \mid u_0x \text{ is integrable}\}.$$

As a consequence of the theorem, we have the following result: Let  $x, y$  be two integrable elements of a  $b$ -algebra, and assume that the Lie algebra  $\mathfrak{g}$  they generate is finite-dimensional. Then all elements of  $\mathfrak{g}$  are integrable.

We cannot drop the assumption that  $\mathfrak{g}$  is finite-dimensional. There exists a  $b$ -algebra which contains integrable elements  $x, y$  such that neither  $x + y$  nor  $xy - yx$  is integrable.

Elementary properties of  $b$ -spaces and  $b$ -algebras can be found in [2] or [3]. Differentiable mappings into such spaces are investigated

in [4]. The results we need about differentiable semi-groups are established in [5], [6]. Our results are related to, but different from, those of R. T. Moore [1].

2. We first prove Proposition 1. Let  $G$  be a Lie group having  $\mathfrak{g}$  as Lie algebra and let  $H$  be the subgroup of  $G$  "generated" by  $\mathfrak{h}$ . Call  $A$  the ring of distributions on  $G$  whose support is compact and contained in  $H$ . The product in  $A$  is the convolution. A subset  $B$  of  $A$  is bounded if  $B$  is a bounded set of distributions with compact support, the union of the supports being relatively compact in  $H$ . Then, it is easily seen that the elements of  $\mathfrak{g}$  whose image by the natural inclusion  $u_0: \mathfrak{g} \rightarrow A$  are integrable, are precisely the elements of  $\mathfrak{h}$ . This completes the proof.

REMARK. If  $H$  is simply connected, the algebra  $A$  described above is the solution of a universal problem: every representation  $u: \mathfrak{g} \rightarrow A'$  of  $\mathfrak{g}$  in a  $b$ -algebra  $A'$  such that  $u\mathfrak{h}$  is integrable can be factorized in a unique way as  $u = v \circ u_0$ , where  $v: A \rightarrow A'$  is a morphism of  $b$ -algebras. An easy but somewhat technical modification of our definition of  $A$  would provide a solution of this problem in general (for an arbitrary  $H$ ); the reader will have no difficulty to figure it out.

3. Let  $u$  be a differentiable mapping of a manifold  $D$  into another manifold  $D'$  or into a  $b$ -space  $E$ . We denote by  $du(x; \cdot)$  the derivative of  $u$  at  $x$ , so that  $du(x, \xi)$  is a tangent vector to  $D'$  at  $ux$  or an element of  $E$  when  $\xi$  is a tangent vector at  $x \in D$ . The chain rule says that if  $D, D', D''$  are manifolds, if  $E$  is a  $b$ -space and if  $u: D \rightarrow D', v: D' \rightarrow D''$  or  $D' \rightarrow E$  are differentiable mappings, then

$$(1) \quad d(v \circ u)(x; \xi) = dv(ux; du(x; \xi)) .$$

Let  $G$  be a Lie group whose neutral element will be denoted by  $e$  and let  $\mathfrak{g}$  be its Lie algebra. If  $x, y \in G$  and if  $\xi$  is a tangent vector at  $x$ , then  $y\xi$  and  $\xi y$  will be the tangent vectors at  $yx, xy$  respectively obtained by translating  $\xi$  to the left or to the right. We shall denote by  $\pi: G \times G \rightarrow G$  the product mapping ( $\pi(x, y) = xy$ ), by  $i: G \rightarrow G$  the inverse mapping ( $i(x) = x^{-1}$ ), by  $Ad: G \rightarrow \text{Aut } \mathfrak{g}$  the adjoint representation ( $Ad x \cdot \xi = x\xi x^{-1}$ ) and by  $ad$  the derivative of  $Ad$  at  $e$  ( $ad\xi \cdot \eta = [\xi, \eta]$ ). We have

$$(2) \quad d\pi(x, y; \xi, \eta) = x\eta + \xi y ;$$

$$(3) \quad di(x; \xi) = -x^{-1} \cdot \xi \cdot x^{-1} .$$

Let  $H$  be a Lie group, let  $A$  be a  $b$ -algebra and let  $u$  denote

either a Lie group homomorphism  $G \rightarrow H$  or a differentiable mapping  $G \rightarrow A$  which is a homomorphism of  $G$  in the multiplicative group of  $A$ . Finally, set  $u_0 = du(e; \cdot): \mathfrak{g} \rightarrow \mathfrak{h} = \text{Lie } H$  or  $\mathfrak{g} \rightarrow A$  accordingly. Then

$$(4) \quad du(x; \xi) = u(x)u_0(x^{-1}\xi) = u_0(\xi x^{-1})u(x).$$

In particular

$$(5) \quad dAd(x; \xi) = Ad x \cdot ad(x^{-1}\xi) = ad(\xi x^{-1}) \cdot Ad x.$$

4. Let  $A$  be a  $b$ -algebra and  $A^*$  be the set of its invertible elements. A mapping  $u: D \rightarrow A^*$  will be called *differentiable* if both  $x \rightarrow u(x)$  and  $x \rightarrow u(x)^{-1}$  are differentiable mappings.

It is not difficult to construct differentiable  $A$ -valued mappings which are  $A^*$ -valued but are not differentiable  $A^*$ -valued mappings.

Consideration of the resolvent identity

$$a^{-1} - b^{-1} = -a^{-1}(a - b)b^{-1}$$

and standard proofs show that a differentiable mapping  $u: D \rightarrow A^*$  with values in  $A^*$  is a differentiable  $A^*$ -valued mapping in the above sense if and only if  $u^{-1}: D \rightarrow A$  is locally bounded. It turns out that

$$(6) \quad du^{-1}(x; \xi) = -u^{-1}(x) \cdot du(x; \xi) \cdot u^{-1}(x).$$

5. From now on,  $G$  will be a connected, simply connected Lie group,  $\mathfrak{g}$  will be its Lie algebra,  $A$  a  $b$ -algebra and  $u_0: \mathfrak{g} \rightarrow A$  a representation. A differentiable submanifold  $D$  of  $G$  is called *right* (resp. *left*) integrable for  $u_0$  if a differentiable  $u: D \rightarrow A^*$  exists such that the equation (7) (resp. (8)) holds:

$$(7) \quad du(x; \xi) = u_0(\xi \cdot x^{-1})u(x);$$

$$(8) \quad du(x; \xi) = u(x)u_0(x^{-1} \cdot \xi).$$

It will follow from Proposition 2 that the representation  $u_0$  is integrable in the sense of §1 if and only if the manifold  $G$  itself is right or left integrable; therefore the terminology. We note that, if  $u$  satisfies (7), then

$$(9) \quad du^{-1}(x; \xi) = -u^{-1}(x)u_0(\xi \cdot x^{-1}).$$

A right translate of a right integrable manifold is right integrable. If  $u$  satisfies (7), so does  $au$  for every  $a \in A^*$ .

LEMMA 1. *Let  $D$  be connected, right integrable, containing  $e$ , and let  $u$  be a solution of (7) such that  $u(e) = 1$ . Then*

$$(10) \quad u_0(x\xi x^{-1}) = u(x)u_0(\xi)u(x)^{-1}$$

for all  $x \in D$  and  $\xi \in \mathfrak{g}$ .

It suffices to show that if  $\varphi: D \rightarrow A$  is defined by

$$\varphi(x) = u(x)^{-1}u_0(x\xi x^{-1})u(x) ,$$

then  $d\varphi = 0$ , and this follows from a straightforward computation using (7), (9), (5) and the fact that  $u_0: \mathfrak{g} \rightarrow A$  is a homomorphism of Lie algebras.

LEMMA 2. *If  $D$  is connected, right integrable and contains  $e$ , it is also left integrable. Furthermore, the solution  $u$  of (7) such that  $u(e) = 1$  is also a solution of (8).*

This is clear since, by (10),

$$u(x)u_0(x^{-1}\xi) = u_0(x \cdot x^{-1}\xi \cdot x^{-1})u(x) = u_0(\xi x^{-1})u(x) .$$

In view of Lemma 2, it is now meaningful to say that a manifold containing  $e$  is integrable.

6. Let  $D, D'$  be two differentiable manifolds. The rank  $r_x$  of a differentiable mapping  $u: D \rightarrow D'$  at a point  $x \in D$  is the dimension of the image of the derivative  $du(x; \cdot)$ . We recall that  $r_x$  is upper semi-continuous as a function of  $x$ . The mapping  $u$  is said to be regular at  $x$  if  $r_x$  is constant in a neighborhood of  $x$ ; in that case, there exists a neighborhood  $U$  of  $x$ , a submanifold  $D''$  of  $D'$ , a manifold  $E$  and a diffeomorphism  $u': U \rightarrow D'' \times E$ , so that  $u|_U = p_{D''} \circ u'$  where  $p_{D''}$  denotes the projection of  $D'' \times E$  of its first factor.

LEMMA 3. *For  $i = 1, 2$ , let  $D_i$  be an integrable submanifold of  $G$  containing  $e$ , and let  $u_i: D_i \rightarrow A$  be a solution of (7) mapping  $e$  on 1. Assume that the product mapping  $D_1 \times D_2 \rightarrow G$  is regular at  $(e, e)$ . Then, one can find neighborhoods  $D'_1, D'_2$  of  $e$  in  $D_1, D_2$  respectively, so that  $D = D'_1 \cdot D'_2$  is an integrable manifold and the relation*

$$(11) \quad u(x_1 \cdot x_2) = u_1(x_1) \cdot u_2(x_2) \quad (x_i \in D'_i)$$

defines a mapping  $u: D \rightarrow A$  which is a solution of (7).

Put  $v(x_1, x_2) = u_1(x_1)u_2(x_2)$ , differentiate and apply (7), (10) and (2). This yields

$$(12) \quad dv(x_1, x_2; \xi_1, \xi_2) = u_0(d\pi(x_1, x_2; \xi_1, \xi_2)x_2^{-1}x_1^{-1})v(x_1, x_2) .$$

In particular,  $dv = 0$  whenever  $d\pi = 0$ . This, the regularity assump-

tion and the implicit function theorem imply the existence of a function  $u$  satisfying (11) locally. In view of (12), this function is locally a solution of (7).

7. Our main theorem is an immediate consequence of the

**PROPOSITION 2.** *Let  $D$  be an integrable submanifold of  $G$  of maximum dimension containing  $e$  and let  $u: D \rightarrow A$  be the solution of (7) with  $u(e) = 1$ . Then  $D$  is a local subgroup,  $u$  is a local homomorphism of  $D$  into  $A^*$  and  $D$  contains locally every integrable submanifold of  $G$  containing  $e$ .*

We first show that

(\*) if  $D'$  is any integrable submanifold of  $G$  containing  $e$ , the tangent space to  $D'$  at  $e$  is contained in that of  $D$ .

Assume the contrary. Then there exists a neighborhood  $U$  of  $(e, e)$  in  $D \times D'$  such that, for every  $(x, x') \in U$ , the tangent space to  $x^{-1}D$  at  $e$  does not contain that to  $D'x'^{-1}$ . Let  $(f, f') \in U$  be a point where the product mapping  $D \times D' \rightarrow D \cdot D'$  is regular (one knows that the set of those points is dense). Then, by Lemma 3, there exist neighborhoods  $E$  of  $f$  in  $D$  and  $E'$  of  $f'$  in  $D'$  such that  $f^{-1}EE'f'^{-1}$  is an integrable manifold, which is obviously of dimension greater than that of  $D$ , in contradiction to the maximality assumption.

It follows from (\*) that the tangent space to  $D$  at any one of its points, say  $x$ , is a translate of its tangent space at  $e$  (take  $D' = x^{-1}D$ ). This ensures that  $D$  is a local group.

Since  $D$  is a local group, the product mapping  $D \times D \rightarrow D$  is regular in  $(e, e)$ . It then follows from Lemma 3 that there exist a neighborhood  $U$  of  $(e, e)$  in  $D \times D$  and a function  $v$  defined in a neighborhood of  $e$  in  $D$  so that

$$v(x_1x_2) = u(x_1)u(x_2)$$

for  $(x_1, x_2) \in U$ . But then, for points  $x_1, x_2$  close enough to  $e$ , we have

$$u(x_1)u(x_2) = v(x_1x_2 \cdot e) = u(x_1x_2) \cdot u(e) = u(x_1x_2),$$

and  $u$  is a local representation.

Finally, if  $D'$  is integrable (right or left), it follows from (8) that the tangent space to  $D'$  at any one of its points is contained in a translate of the tangent space to  $D$  at  $e$ . If  $e \in D'$ , this implies that  $D'$  is locally (at  $e$ ) contained in  $D$ .

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