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**ON  $(J, M, m)$ -EXTENSIONS OF ORDER SUMS OF  
DISTRIBUTIVE LATTICES**

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In the first section of this paper a characterization of the order sum of a family  $\{L_\alpha\}_{\alpha \in S}$  of distributive lattices is given which is analogous to the characterization of a free distributive lattice as one generated by an independent set. We then consider the collection  $Q$  of order sums obtained by taking different partial orderings on  $S$ . A natural partial ordering is defined on  $Q$  and its maximal and minimal elements are characterized.

Let  $J$  and  $M$  be collections of nonempty subsets of a distributive lattice  $L$ , and  $m$  a cardinal. We define a  $(J, M, m)$ -extension  $(\psi, E)$  of  $L$ , where  $E$  is a  $m$ -complete distributive lattice and  $\psi: L \rightarrow E$  is a  $(J, M)$ -monomorphism. In the last section we define a  $m$ -order sum of a family of distributive lattices  $\{L_\alpha\}_{\alpha \in S}$ . The main result here is that the  $m$ -order sum exists if the order sum  $L$  of  $\{L_\alpha\}_{\alpha \in S}$  has a  $(J, M, m)$ -extension, where  $J$  and  $M$  are certain collections of subsets of  $L$ . These results are analogous to R. Sikorski's work in Boolean algebras (e.g., [6]).

1. Order sums. Let  $S$  be a fixed set and  $\{L_\alpha\}_{\alpha \in S}$  a fixed collection of distributive lattices. From [2] it follows that for each poset  $P = (S, \leq)$ , there exists a pair  $(\{\varphi_\alpha\}_{\alpha \in S}, L(P))$ , where  $L(P)$  is a distributive lattice, and for each  $\alpha \in S$ ,  $\varphi_\alpha: L_\alpha \rightarrow L(P)$  is a monomorphism such that:

(1.1)  $L$  is generated by  $\bigcup_{\alpha \in S} \varphi_\alpha(L_\alpha)$ .

(1.2) If  $\alpha < \beta$  then  $\varphi_\alpha(x) < \varphi_\beta(y)$ , for all  $x \in L_\alpha$  and  $y \in L_\beta$ .

(1.3) If  $M$  is a distributive lattice and  $\{f_\alpha: L_\alpha \rightarrow M\}_{\alpha \in S}$  is a family of homomorphisms such that  $f_\alpha(x) \leq f_\beta(y)$  whenever  $\alpha < \beta$ ,  $x \in L_\alpha$  and  $y \in L_\beta$ , then there exists a homomorphism  $f: L(P) \rightarrow M$  such that  $f\varphi_\alpha = f_\alpha$  for each  $\alpha \in S$ .

The pair  $(\{\varphi_\alpha\}_{\alpha \in S}, L(P))$  will be called an *order sum of  $\{L_\alpha\}_{\alpha \in S}$  over  $P$* .

Let  $P$  be the family of all posets of the form  $(S, \leq)$  and let  $Q = \{(\{\varphi_\alpha\}_{\alpha \in S}, L(P)) \mid P \in P\}$ . For  $(\{\varphi_\alpha\}_{\alpha \in S}, L(P))$  and  $(\{\theta_\alpha\}_{\alpha \in S}, L(P'))$  in  $Q$  we write

(1.4)  $(\{\varphi_\alpha\}_{\alpha \in S}, L(P)) \leq (\{\theta_\alpha\}_{\alpha \in S}, L(P'))$  provided:

(1.5) there is a homomorphism  $f: L(P') \rightarrow L(P)$  such that  $f\theta_\alpha = \varphi_\alpha$  for each  $\alpha \in S$ .

Note that (1.5) implies  $f$  is an epimorphism. If  $f$  is an isomor-

phism, we say that  $(\{\varphi_\alpha\}_{\alpha \in S}, L(P))$  is *isomorphic* with  $(\{\varphi_\alpha\}_{\alpha \in S}, L(P'))$ . Isomorphism in this sense is an equivalence relation  $\simeq$ , and [2, Th. 1.2] implies that any two order sums over  $P$  are isomorphic. By identifying isomorphs, (1.4) determines a partial ordering on the equivalence classes of  $Q/\simeq$ .

**DEFINITION 1.1.** Suppose  $P \in \mathbf{P}$  and  $\{N_\alpha\}_{\alpha \in S}$  is a family of sublattices of a distributive lattice  $N$ . The family  $\{N_\alpha\}_{\alpha \in S}$  is called *P-independent* if whenever  $\alpha_1, \dots, \alpha_m$  are distinct elements of  $S$ ,  $\alpha_{m+1}, \dots, \alpha_n$  are distinct elements of  $S$  and  $x_i \in N_{\alpha_i}$  for  $i = 1, \dots, n$  then

$$(1.6) \quad x_1 \cdot \dots \cdot x_m \leq x_{m+1} + \dots + x_n \text{ if and only if}$$

(1.7) for some  $i$  and  $j$ , either  $\alpha_i < \alpha_j$  or  $\alpha_i = \alpha_j$  and  $x_i \leq x_j$ , where  $1 \leq i \leq m$  and  $m+1 \leq j \leq n$ .

**LEMMA 1.2.** Suppose  $N$  and  $M$  are distributive lattices and  $\{N_\alpha\}_{\alpha \in S}$  is a collection of sublattices of  $N$  such that  $\bigcup_{\alpha \in S} N_\alpha$  generates  $N$ . A necessary and sufficient condition for a family  $\{f_\alpha: N_\alpha \rightarrow M\}_{\alpha \in S}$  of homomorphisms to have a common extension on  $N$  is that if  $\alpha_1, \dots, \alpha_m$  are distinct members of  $S$ ,  $\alpha_{m+1}, \dots, \alpha_n$  are distinct members of  $S$ ,  $x_i \in N_{\alpha_i}$  for  $i = 1, \dots, n$  and

$$(1.8) \quad x_1 \cdot \dots \cdot x_m \leq x_{m+1} + \dots + x_n \text{ then}$$

$$(1.9) \quad f_{\alpha_1}(x_1) \cdot \dots \cdot f_{\alpha_m}(x_m) \leq f_{\alpha_{m+1}}(x_{m+1}) + \dots + f_{\alpha_n}(x_n).$$

*Proof.* The necessity is clear. Now if  $x \in N_\alpha \cap N_\beta$  then by (1.9),  $x \leq x$  implies that  $f_\alpha(x) = f_\beta(x)$ . So the function  $f: \bigcup_{\alpha \in S} N_\alpha \rightarrow M$  defined by  $f(x) = f_\alpha(x)$  if  $x \in L_\alpha$  makes sense and has the property that if  $A$  and  $B$  are finite nonempty subsets of  $\bigcup_{\alpha \in S} N_\alpha$ , then  $\Pi_N(A) \leq \Sigma_N(B)$  implies  $\Pi_M f(A) \leq \Sigma_M f(B)$ . By [1, Lemma 1.7],  $f$  can be extended to a homomorphism  $f': N \rightarrow M$ . This is the required extension.

**THEOREM 1.3.** The pair  $(\{\theta_\alpha\}_{\alpha \in S}, L)$  is the order sum of  $\{L_\alpha\}_{\alpha \in S}$  over  $P \in \mathbf{P}$  if and only if  $\{\theta_\alpha: L_\alpha \rightarrow L\}_{\alpha \in S}$  is a family of monomorphisms such that:

$$(1.10) \quad \bigcup_{\alpha \in S} \theta_\alpha(L_\alpha) \text{ generates } L, \text{ and}$$

$$(1.11) \quad \{\theta_\alpha(L_\alpha)\}_{\alpha \in S} \text{ is } P\text{-independent.}$$

*Proof.* For the sufficiency suppose first that  $\alpha < \beta$ . By (1.11)  $\theta_\alpha(x) \leq \theta_\beta(y)$  for all  $x \in L_\alpha$ ,  $y \in L_\beta$ . But if  $\theta_\beta(y) \leq \theta_\alpha(x)$  then  $\beta \leq \alpha$ . Hence (1.2) is satisfied. Now assume the hypothesis of (1.3). It is sufficient to show that the family  $\{f_\alpha \theta_\alpha^{-1}: \theta_\alpha(L_\alpha) \rightarrow M\}_{\alpha \in S}$  has a common extension on  $L$ . So if

$$\theta_{\alpha_1}(x_1) \cdot \dots \cdot \theta_{\alpha_m}(x_m) \leq \theta_{\alpha_{m+1}}(x_{m+1}) + \dots + \theta_{\alpha_n}(x_n)$$

where  $\alpha_1, \dots, \alpha_m$  are distinct and  $\alpha_{m+1}, \dots, \alpha_n$  are distinct then by (1.11) there exists  $p, q$  such that  $\alpha_p < \alpha_q$  or  $\alpha_p = \alpha_q$  and  $\theta_{\alpha_p}(x_p) \leq \theta_{\alpha_q}(x_q)$ , where  $1 \leq p \leq m$  and  $m+1 \leq q \leq n$ . In any case  $f_{\alpha_p}(x_p) \leq f_{\alpha_q}(x_q)$  and so

$$\prod_{i=1}^m f_{\alpha_i} \theta_{\alpha_i}^{-1} \theta_{\alpha_i}(x_i) \leq \sum_{j=m+1}^n f_{\alpha_j} \theta_{\alpha_j}^{-1} \theta_{\alpha_j}(x_j) .$$

The result now follows from Lemma 1.2. The converse is essentially [2, Th. 1.9].

The set  $P$  can be partially ordered as follows. If  $P, P' \in P$  then  $P \leq P'$  provided  $P' \subseteq P$ , as sets of ordered pairs. It is immediate that  $P$  has a greatest element—the trivial partial ordering on  $S$ . Also, it can be shown that  $P$  is minimal in  $P$  if and only if  $P$  is a chain.

THEOREM 1.4.  $P \cong Q/\simeq$ .

*Proof.* It is sufficient to show that for  $(\{\varphi_\alpha\}_{\alpha \in S}, L(P))$ ,  $(\{\theta_\alpha\}_{\alpha \in S}, L(P')) \in Q$ :

$$(1.12) \quad P \leq P'$$

if and only if

$$(1.13) \quad (\{\varphi_\alpha\}_{\alpha \in S}, L(P)) \leq (\{\theta_\alpha\}_{\alpha \in S}, L(P')).$$

If  $P \leq P'$ , then  $\{\varphi_\alpha : L_\alpha \rightarrow L(P)\}_{\alpha \in S}$  is a family of homomorphisms with the property that if  $\alpha < \beta$  (in  $P'$ ) then  $\varphi_\alpha(x) < \varphi_\beta(y)$  for all  $x \in L_\alpha$ ,  $y \in L_\beta$ . So by (1.3), we have (1.13). Conversely, suppose (1.5) holds and  $\alpha < \beta$  (in  $P'$ ). Letting  $x \in L_\alpha$  and  $y \in L_\beta$ , we have  $\theta_\alpha(x) < \theta_\beta(y)$  so  $\varphi_\alpha(x) = f\theta_\alpha(x) \leq f\theta_\beta(y) = \varphi_\beta(y)$ . Since  $\{\varphi_\alpha(L_\alpha)\}_{\alpha \in S}$  is  $P$ -independent,  $\alpha \leq \beta$  (in  $P$ ). It follows that  $P' \subseteq P$ .

COROLLARY 1.5.  $(\{\varphi_\alpha\}_{\alpha \in S}, L(P))/\simeq$  is the greatest element in  $Q/\simeq$  if and only if  $L(P)$  is the free product of  $\{L_\alpha\}_{\alpha \in S}$ . Furthermore,  $(\{\varphi_\alpha\}_{\alpha \in S}, L(P))/\simeq$  is minimal in  $Q/\simeq$  if and only if  $L(P)$  is an ordinal sum of  $\{L_\alpha\}_{\alpha \in S}$ .

*Proof.* The definitions of free product and ordinal sum can be found in [7, § 9] and [2, Definition 1.3]. The result then follows from Theorem 1.4 and the remark following Theorem 1.3.

For the remainder of this section, let  $(\{\varphi_\alpha\}_{\alpha \in S}, L(P))$  be a fixed member of  $Q$ .

A lattice  $L$  is said to be *conditionally implicative* if for each pair  $x, y \in L$  such that  $x \not\leq y$  there is an element  $x \rightarrow y$  with the property that  $x \cdot z \leq y$  if and only if  $z \leq x \rightarrow y$ . Note that conditionally

implicative lattices are distributive. The following theorem, which we stated without proof in [2], is the converse of [2, Th. 2.5].

**THEOREM 1.6.** *If  $L(P)$  is conditionally implicative then  $L_\alpha$  is conditionally implicative for each  $\alpha \in S$ .*

*Proof.* Let  $x, y \in L_\alpha$  and  $x \not\leq y$ . Then  $\varphi_\alpha(x) \rightarrow \varphi_\alpha(y)$  exists in  $L(P)$  and equals a sum of  $m$  products, each of the form

$$\varphi_{\gamma_1}(x_1) \cdot \dots \cdot \varphi_{\gamma_n}(x_n).$$

We can assume  $\gamma_i \not\leq \gamma_j$  for  $i \neq j$ . Now

$$\varphi_\alpha(x)(\varphi_{\gamma_1}(x_1) \cdot \dots \cdot \varphi_{\gamma_n}(x_n)) \leq \varphi_\alpha(x)(\varphi_\alpha(x) \rightarrow \varphi_\alpha(y)) \leq \varphi_\alpha(y).$$

By (1.11) there exists  $p$  such that  $\gamma_p < \alpha$  or  $\gamma_p = \alpha$  and  $xx_p \leq y$ . But in any case  $\varphi_\alpha(x)\varphi_{\gamma_p}(x_p) \leq \varphi_\alpha(y)$ . Hence

$$(1.14) \quad \varphi_{\gamma_p}(x_p) \leq \varphi_\alpha(x) \rightarrow \varphi_\alpha(y).$$

Choosing an element  $\varphi_{\beta_j}(y_j)$ , that satisfies (1.14), from each of the  $m$  summands of  $\varphi_\alpha(x) \rightarrow \varphi_\alpha(y)$ , we have:

$$\sum_{j=1}^m \varphi_{\beta_j}(y_j) \leq \varphi_\alpha(x) \rightarrow \varphi_\alpha(y) \leq \sum_{j=1}^m \varphi_{\beta_j}(y_j),$$

and so  $\varphi_\alpha(x) \rightarrow \varphi_\alpha(y) = \sum_{j=1}^p \varphi_{\beta_j}(y_j)$ , where  $\beta_i \not\leq \beta_j$  for  $i \neq j$ . For each  $j$ ,  $\varphi_\alpha(x)\varphi_{\beta_j}(y_j) \leq \varphi_\alpha(x)(\varphi_\alpha(x) \rightarrow \varphi_\alpha(y)) \leq \varphi_\alpha(y)$ , and since  $x \not\leq y$ , we have:  $\beta_j \leq \alpha$  for  $j = 1, \dots, p$ . But  $\varphi_\alpha(y) \leq \varphi_\alpha(x) \rightarrow \varphi_\alpha(y) = \varphi_{\beta_1}(y_1) + \dots + \varphi_{\beta_p}(y_p)$ . Hence there exists  $j_0$  such that  $\alpha \leq \beta_{j_0}$ . Since  $\alpha = \beta_{j_0}$  and  $\alpha > \beta_j$  for  $j \neq j_0$ , we have  $\varphi_\alpha(x) \rightarrow \varphi_\alpha(y) = \varphi_\alpha(x_{j_0})$ . From the fact that  $\varphi_\alpha$  is a monomorphism, it is now easy to show that  $x \rightarrow y = x_{j_0}$ .

The following property of  $\varphi_\alpha$  will be needed in § 3. Note that the power of a set  $H$  is denoted by  $|H|$ .

**DEFINITION 1.7.** Let  $L$  and  $M$  be distributive lattices and  $m$  a cardinal. A homomorphism  $h: L \rightarrow M$  is called a  $m$ -homomorphism provided:

If  $H \subseteq L$ ,  $0 < |H| \leq m$ , and  $\Sigma_L(H)$  exists then  $\Sigma_M h(H)$  exists and equals  $h(\Sigma_L(H))$ ; and similarly for products. The homomorphism is *complete* if it is a  $m$ -homomorphism for each cardinal  $m$ .

**LEMMA 1.8.** *Each monomorphism  $\varphi_\alpha: L_\alpha \rightarrow L(P)$  of  $(\{\varphi_\alpha\}_{\alpha \in S}, L(P))$  is complete.*

*Proof.* Let  $H \subseteq L_\alpha$  and suppose  $x = \Sigma_{L_\alpha}(H)$  exists. Clearly  $\varphi_\alpha(y) \leq \varphi_\alpha(x)$  for all  $y \in H$ . Now suppose that  $\Sigma_{L(P)}(H_1) \cdot \dots \cdot \Sigma_{L(P)}(H_n)$  is an upper bound for  $\varphi_\alpha(H)$ , where  $H_i \subseteq \bigcup_{\alpha \in S} \varphi_\alpha(L_\alpha)$  for  $i = 1, \dots, n$ .

We can assume  $H_1 = \{\varphi_{\alpha_1}(x_1) \cdot \dots \cdot \varphi_{\alpha_m}(x_m)\}$  where  $x_i \in L_{\alpha_i}$  and  $\alpha_k \neq \alpha_j$  for  $k \neq j$ . Suppose:

(1.15) there exists  $j \in \{1, \dots, m\}$  such that  $\alpha < \alpha_j$ . Then  $\varphi_\alpha(x) < \varphi_{\alpha_j}(x_j)$  so

$$(1.16) \quad \varphi_\alpha(x) \leq \Sigma_{L(P)}(H_1).$$

Now suppose that (1.15) does not hold. Since  $\varphi_\alpha(y) \leq \varphi_{\alpha_1}(x_1) + \dots + \varphi_{\alpha_m}(x_m)$  for each  $y \in H$ , and  $\alpha_j \neq \alpha_k$  for  $j \neq k$ , there exists  $\alpha_j$  such that  $\alpha = \alpha_j$  and  $\varphi_\alpha(y) \leq \varphi_{\alpha_j}(x_j)$  for all  $y \in H$ . Hence  $x_j \in L_\alpha$  and  $y \leq x_j$  for all  $y \in S$ . So  $x \leq x_j$  and therefore (1.16) is valid regardless of the validity of (1.15). Applying this argument to each  $H_i$ , we have  $\varphi_\alpha(x) \leq \Sigma_{L(P)}(H_1) \cdot \dots \cdot \Sigma_{L(P)}(H_n)$ , and so  $\varphi_\alpha(\Sigma_{L_\alpha}(H)) = \Sigma_{L(P)}\varphi_\alpha(H)$ . Similarly for products.

2.  $(J, M, m)$ -extensions. Throughout this section, let  $L$  be a distributive lattice, and  $m$  a fixed infinite cardinal. Also let  $J$  and  $M$  be collections of nonempty subsets of  $L$  such that

$$(2.1) \quad |H| \leq m \text{ for each } H \in J \text{ and each } H \in M.$$

$$(2.2) \quad \Sigma_L(H) \text{ exists for each } H \in J \text{ and } \Pi_L(H) \text{ exists for each } H \in M.$$

DEFINITION 2.1. If  $L'$  is a distributive lattice then a homomorphism  $f: L \rightarrow L'$  is a  $(J, M)$ -homomorphism provided:

$$(2.3) \quad \text{If } H \in J \text{ then } \Sigma_{L'}f(H) \text{ exists and equals } f(\Sigma_L(H)).$$

$$(2.4) \quad \text{If } H \in M \text{ then } \Pi_{L'}f(H) \text{ exists and equals } f(\Pi_L(H)).$$

DEFINITION 2.2. The pair  $(\psi, E)$  is called a  $(J, M, m)$ -extension of  $L$  provided:

$$(2.5) \quad E \text{ is a } m\text{-complete distributive lattice.}$$

$$(2.6) \quad \psi: L \rightarrow E \text{ is a } (J, M)\text{-monomorphism.}$$

$$(2.7) \quad \psi(L) \text{ } m\text{-generates } E \text{ (i.e., } E \text{ is the smallest } m\text{-complete sublattice of } E \text{ that contains } \psi(L)).$$

Every distributive lattice has a  $(\phi, \phi, m)$ -extension: the smallest  $m$ -ring of subsets of the Stone space  $X$  of  $L$  that contains all of the compact-open sets of  $X$ , together with the correspondence that associates elements of  $L$  with compact-open sets of  $X$ . If  $J(M)$  is the collection of all subsets of  $L$  of power  $\leq m$  which have a sum (product) in  $L$  then a  $(J, M, m)$ -extension of  $L$  is called a  $m$ -regular extension. Note that in this case,  $\psi$  is a  $m$ -homomorphism. In [5], Crawley has constructed an example of a distributive lattice which can not be regularly imbedded in any complete distributive lattice. In this example if we take  $I$  to be countable then  $L$  will have no  $\aleph_0$ -regular extension.

A sufficient condition for  $L$  to have a  $(J, M, m)$ -extension is that  $L$  be conditionally implicative. Indeed, it is easily verified that the MacNeille completion [3, p. 58] of such a lattice is also conditionally implicative and hence distributive. Note that the category of condi-

tionally implicative lattices includes the categories of Boolean algebras, chains, free and finite distributive lattices, and pseudo Boolean algebras. Another sufficient condition for  $L$  to have a  $(J, M, m)$ -extension is that

$$(2.8) \quad y \sum_{i \in I} x_i = \sum_{i \in I} yx_i \text{ and } y + \prod_{i \in I} x_i = \prod_{i \in I} (y + x_i)$$

whenever the left sides exist and  $|I| \leq m$ . This follows from [4, Lemma 2].

If  $(\psi, E)$  and  $(\psi', E')$  are  $(J, M, m)$ -extensions of  $L$ , then we write

$$(2.9) \quad (\psi, E) \leq (\psi', E')$$

provided there is a  $m$ -homomorphism  $h: E' \rightarrow E$  such that  $h\psi' = \psi$ . Clearly  $h$  is onto. If  $h$  is an isomorphism we say  $(\psi, E)$  is *isomorphic* with  $(\psi', E')$ . Isomorphism in this sense is an equivalence relation  $\simeq$ , and by identifying isomorphs, (2.9) determines a partial ordering on the equivalence classes of  $K/\simeq$  where  $K$  is the set of  $(J, M, m)$ -extensions of  $L$ .

By generalizing the method in [6, p.166], we now investigate the class  $K$ .

**DEFINITION 2.3.** A congruence relation  $R$  on a  $m$ -complete lattice  $M$  is called a  *$m$ -congruence relation* on  $M$  if whenever  $I$  is an index set of power  $\leq m$  and  $(x_i, y_i) \in R$  for each  $i \in I$  then

$$(\Sigma\{x_i \mid i \in I\}, \Sigma\{y_i \mid i \in I\}) \in R \text{ and } (\Pi\{x_i \mid i \in I\}, \Pi\{y_i \mid i \in I\}) \in R.$$

For a  $m$ -congruence relation  $R$  on a  $m$ -complete lattice  $M$ , let  $[x]_R$  be the equivalence class containing  $x \in M$ , and let

$$M/R = \{[x] \mid x \in M\}.$$

The following theorem is easily verified.

**THEOREM 2.4.** *If  $R$  is a  $m$ -congruence relation on a  $m$ -complete lattice  $M$  then  $M/R$  is partially ordered as follows:  $[x]_R \leq [y]_R$  provided there exists  $x', y' \in M$  such that  $(x, x') \in R$ ,  $x' \leq y'$  and  $(y', y) \in R$ . Furthermore,  $M/R$  is a  $m$ -complete lattice such that if  $H \subseteq M$  and  $0 < |H| \leq m$  then  $\Sigma_{M/R}\{[x]_R \mid x \in H\} = [\Sigma_M(H)]_R$  and  $\Pi_{M/R}\{[x]_R \mid x \in H\} = [\Pi_M(H)]_R$ . If  $M$  is distributive so is  $M/R$ .*

Let  $n$  be the power of the distributive lattice  $L$  and let  $F$  be the free  $m$ -complete distributive lattice with  $n$  generators. That is,  $F$  satisfies:

(2.10)  $F$  is a  $m$ -complete distributive lattice and is  $m$ -generated by a subset  $G$  of power  $n$ .

(2.11) If  $h: G \rightarrow M$  is a function, where  $M$  is a  $m$ -complete

distributive lattice, then  $h$  can be extended to a  $\mathfrak{m}$ -homomorphism on  $F$ .

By (2.11),  $G$  has the property that if  $G_1, G_2$  are finite nonempty subsets of  $G$  and  $\Pi_F(G_1) \leq \Sigma_F(G_2)$ , then  $G_1 \cap G_2 \neq \emptyset$ . So the sublattice  $F'$  generated by  $G$  is freely generated by  $G$ , and there is an epimorphism  $g: F' \rightarrow L$ . Let  $\mathbf{R}$  be the set of  $\mathfrak{m}$ -congruence relations  $R$  on  $F'$  such that:

(2.12) If  $x, y \in F'$  then  $(x, y) \in R \Leftrightarrow g(x) = g(y)$ .

(2.13) If  $H \subseteq F'$ ,  $|H| \leq \mathfrak{m}$ ,  $g(H) \in \mathbf{J}$ ,  $x \in F'$ , and  $g(x) = \Sigma_L g(H)$  then  $(x, \Sigma_F(H)) \in R$ .

(2.14) If  $H \subseteq F'$ ,  $|H| \leq \mathfrak{m}$ ,  $g(H) \in \mathbf{M}$ ,  $x \in F'$  and  $g(x) = \Pi_L g(H)$  then  $(x, \Pi_F(H)) \in R$ .

For each  $R \in \mathbf{R}$ , let  $F'_R$  be the sublattice  $\{[x]_R \mid x \in F'\}$  of  $F/R$ . By (2.12), the mapping  $g_R: F'_R \rightarrow L$  defined by:

(2.15)  $g_R([x]_R) = g(x)$  for each  $x \in F'$  is an isomorphism. Define  $\psi_R: L \rightarrow F/R$  by  $\psi_R = i_R g_R^{-1}$  where  $i_R: F'_R \rightarrow F/R$  is the inclusion map. We have

(2.16)  $\psi_R g(x) = [x]_R$  for each  $x \in F'$ .

**THEOREM 2.5.** *For each  $R \in \mathbf{R}$ , the pair  $(\psi_R, F/R)$  is a  $(\mathbf{J}, \mathbf{M}, \mathfrak{m})$ -extension of  $L$ .*

*Proof.* First  $F/R$  is  $\mathfrak{m}$ -complete by Theorem 2.4. Let  $G \in \mathbf{J}$ , then  $|G| \leq \mathfrak{m}$  and  $\Sigma_L(G)$  exists. Since  $g$  is onto  $L$  there exists  $\{x\} \cup H \subseteq F'$  such that  $|H| \leq \mathfrak{m}$ ,  $g(H) = G$  and  $g(x) = \Sigma_L g(H)$ . By (2.13),  $(x, \Sigma_F(H)) \in R$  so

$$\begin{aligned} \psi_R(\Sigma_L(G)) &= [x]_R = [\Sigma_F(H)]_R = \Sigma_{F/R}\{[y]_R \mid y \in H\} \\ &= \Sigma_{F/R} \psi_R g(H) = \Sigma_{F/R} \psi_R(G). \end{aligned}$$

A similar argument for  $G \in \mathbf{M}$  implies that  $\psi_R$  is a  $(\mathbf{J}, \mathbf{M})$ -monomorphism. Finally since

$$\psi_R(L) = \psi_R g(F') = F'_R$$

and  $F'$   $\mathfrak{m}$ -generates  $F$ , we have  $\psi_R(L)$   $\mathfrak{m}$ -generates  $F/R$ .

**THEOREM 2.6.** *For each  $(\mathbf{J}, \mathbf{M}, \mathfrak{m})$ -extension  $(\psi, E)$  of  $L$ , there exists  $R \in \mathbf{R}$  such that  $(\psi, E) \simeq (\psi_R, F/R)$ .*

*Proof.* By (2.11), the mapping  $\psi g: F' \rightarrow E$  can be extended to a  $\mathfrak{m}$ -homomorphism  $k$  of  $F$  onto  $E$ . Define a relation  $R$  on  $F$  by  $(x, y) \in R$  if  $k(x) = k(y)$ . It is easily verified that  $R \in \mathbf{R}$  so that by Theorem 2.5,  $(\psi_R, F/R)$  is a  $(\mathbf{J}, \mathbf{M}, \mathfrak{m})$ -extension of  $L$ . Next, define  $h: F/R \rightarrow E$  by  $h([x]_R) = k(x)$  for each  $x \in F$ . Then  $h$  is an isomorphism. Let  $y \in L$ , then there is an  $x \in F'$  such that  $g(x) = y$ , so



$$h\psi_R(y) = h\psi_R g(x) = h([x]_R) = k(x) = \psi g(x) = \psi(y).$$

It follows that  $(\psi, E) \simeq (\psi_R, F/R)$ .

**THEOREM 2.7.** *If  $(\psi_R, F/R)$  and  $(\psi_{R'}, F/R')$  are  $(J, M, m)$ -extensions of  $L$  then*

$$(\psi_R, F/R) \leq (\psi_{R'}, F/R')$$

*if and only if*

$$R' \subseteq R.$$

*Consequently,  $K/\simeq$  is isomorphic with  $R$  (partially ordered by the converse of inclusion).*

*Proof.* Suppose there is a  $m$ -epimorphism  $h: F/R' \rightarrow F/R$  such that  $h\psi_{R'} = \psi_R$ . For each  $x \in F'$ ,  $h([x]_{R'}) = h\psi_{R'} g(x) = \psi_R g(x) = [x]_R$ . But, in fact,  $\{x \in F' \mid h([x]_{R'}) = [x]_R\}$  is a  $m$ -sublattice of  $F$  containing  $F'$ . So  $h([x]_{R'}) = [x]_R$  for each  $x \in F$ . Thus if  $(x, y) \in R'$  then  $[x]_R = h([x]_{R'}) = h([y]_{R'}) = [y]_R$ , i.e.,  $R' \subseteq R$ . For the converse, define  $h: F/R' \rightarrow F/R$  by  $h([x]_{R'}) = [x]_R$  for each  $x \in F$ . The hypothesis implies  $h$  is a  $m$ -homomorphism. Since  $h\psi_{R'} = \psi_R$ , the result follows.

**COROLLARY 2.8.** *The intersection  $\rho = \bigcap_{R \in R} R$  is an element of  $R$  and hence the equivalence class containing  $(\psi_\rho, F/\rho)$  is the greatest element in  $K/\simeq$ . Here it is assumed  $R \neq \emptyset$ .*

*Proof.* Conditions (2.12), (2.13), and (2.14) are satisfied by  $\rho$ .

**DEFINITION 2.9.** A  $(J, M, m)$ -extension  $(\psi, E)$  of  $L$  is said to be *free* provided that for each  $m$ -complete distributive lattice  $L'$  and each  $(J, M)$ -homomorphism  $f: L \rightarrow L'$ , there exists a  $m$ -homomorphism  $h: E \rightarrow L'$  such that  $f = h\psi$ .

The main result of this section is then:

**THEOREM 2.10.** *If  $L$  has a  $(J, M, m)$ -extension then  $L$  has a free  $(J, M, m)$ -extension:  $(\psi_\rho, F/\rho)$ .*

*Proof.* As in the proof of Theorem 2.6, the mapping  $fg: F' \rightarrow L'$  can be extended to a  $m$ -homomorphism  $h': F \rightarrow L'$ . Define a relation  $R'$  on  $F$  by  $(x, y) \in R'$  if  $h'(x) = h'(y)$ . We first show that  $R' \cap \rho \in R$ . Clearly  $R' \cap \rho$  is a  $m$ -congruence relation. For (2.12), (2.13), and (2.14), first let  $x, y \in F'$ . Since  $\rho \in R$ ,  $(x, y) \in R' \cap \rho$  implies  $g(x) = g(y)$ . Conversely if  $g(x) = g(y)$  then  $fg(x) = fg(y)$  so  $(x, y) \in \rho \cap R'$ . If

$H \subseteq F'$ ,  $|H| \leq m$ ,  $g(H) \in J$ ,  $x \in F'$  and  $g(x) = \Sigma_L g(H)$  then since  $\rho \in R$ ,  $(x, \Sigma_F(H)) \in \rho$ . But  $f$  is a  $(J, M)$ -homomorphism so  $fg(x) = f(\Sigma_L g(H)) = \Sigma_{L'} fg(H)$ . Hence  $h'(x) = \Sigma_{L'} h'(H) = h'(\Sigma_F(H))$ , i.e.,  $(x, \Sigma_F(H)) \in \rho \cap R'$ . Similarly for (2.14). Now  $\rho \cap R' \in R$  so  $\rho \subseteq R'$ . Hence we can define  $h: F/\rho \rightarrow L'$  by  $h([x]_\rho) = h'(x)$  for each  $x \in F$ . It follows that  $h$  is a  $m$ -homomorphism and  $f = h\psi_\rho$ .

**3.  $m$ -order sums.** In this section  $\{L_\alpha\}_{\alpha \in S}$  is a fixed set of distributive lattices,  $m$  is a fixed infinite cardinal and  $P$  is a partial ordering on  $S$ .

**DEFINITION 3.1.** The pair  $(\{\psi_\alpha\}_{\alpha \in S}, E)$  is said to be a  $m$ -order sum of  $\{L_\alpha\}_{\alpha \in S}$  over  $P$  provided  $E$  is a  $m$ -complete distributive lattice, and for each  $\alpha \in S$ ,  $\psi_\alpha: L_\alpha \rightarrow E$  is a  $m$ -monomorphism such that:

(3.1)  $E$  is  $m$ -generated by  $\bigcup_{\alpha \in S} \psi(L_\alpha)$ .

(3.2) If  $\alpha < \beta$  then  $\psi_\alpha(x) < \psi_\beta(y)$  for each  $x \in L_\alpha$  and  $y \in L_\beta$ .

(3.3) If  $L'$  is a  $m$ -complete distributive lattice and  $\{f_\alpha: L_\alpha \rightarrow L'\}_{\alpha \in S}$  is a collection of  $m$ -homomorphisms such that whenever  $\alpha < \beta$  then  $f_\alpha(x) \leq f_\beta(y)$  for all  $x \in L_\alpha$ ,  $y \in L_\beta$ , then there exists a  $m$ -homomorphism  $f: E \rightarrow L'$  such that  $f\psi_\alpha = f_\alpha$  for each  $\alpha \in S$ .

It follows that the  $m$ -order sum is essentially unique—if it exists. Note also that if  $P$  is the trivial ordering on  $S$  and  $|L_\alpha| = 1$  for each  $\alpha \in S$  then  $E$  is the free  $m$ -complete distributive lattice with  $|S|$  generators. We now investigate the existence question.

Let  $(\{\varphi_\alpha\}_{\alpha \in S}, L(P))$  be the order sum of  $\{L_\alpha\}_{\alpha \in S}$  over  $P$ . Let  $J$  be the class of all sets of the form  $\varphi_\alpha(H)$  where

(3.4)  $\alpha \in S$ ,  $H \subseteq L_\alpha$ ,  $|H| \leq m$ ,  $H \neq \phi$

and such that  $\Sigma_{L_\alpha}(H)$  exists. Let  $M$  be the class of all sets of the form  $\varphi_\alpha(H)$  satisfying (3.4) and such that  $\Pi_{L_\alpha}(H)$  exists. Note that since  $\varphi_\alpha$  is a complete monomorphism (Lemma 1.8), conditions (2.1) and (2.2) of § 2 are satisfied.

**THEOREM 3.2.** If  $L(P)$  has a  $(J, M, m)$ -extension then  $\{L_\alpha\}_{\alpha \in S}$  has a  $m$ -order sum over  $P$ .

*Proof.* By Theorem 2.10,  $L(P)$  has a free  $(J, M, m)$ -extension  $(\psi, E)$ . We will show that  $(\{\psi\varphi_\alpha\}_{\alpha \in S}, E)$  is the required  $m$ -order sum. Let  $H \subseteq L_\alpha$ ,  $0 < |H| \leq m$  and suppose that  $\Sigma_{L_\alpha}(H)$  exists. Then  $\varphi_\alpha(H) \in J$ . Since  $\psi$  is a  $(J, M)$ -monomorphism and  $\varphi_\alpha$  is complete,

$$\psi\varphi_\alpha(\Sigma_{L_\alpha}(H)) = \Sigma_E \psi\varphi_\alpha(H).$$

Similarly for products. So  $\psi\varphi_\alpha$  is a  $m$ -monomorphism. Since  $\bigcup_{\alpha \in S} \varphi_\alpha(L_\alpha)$  generates  $L(P)$  and  $\psi(L(P))$   $m$ -generates  $E$ , it follows that

$\bigcup_{\alpha \in S} \psi \varphi_\alpha(L_\alpha)$  m-generates  $E$ . Finally, let  $L'$  be a m-complete distributive lattice and  $\{f_\alpha: L_\alpha \rightarrow L'\}_{\alpha \in S}$  a family of m-homomorphisms with the property that  $\alpha < \beta$  implies  $f_\alpha(x) \leq f_\beta(y)$  for all  $x \in L_\alpha$ ,  $y \in L_\beta$ . By (1.3) there exists a homomorphism  $f': L(P) \rightarrow L'$  such that  $f' \varphi_\alpha = f_\alpha$  for each  $\alpha \in S$ . Since  $\varphi_\alpha$  is complete,  $f'$  is a  $(J, M)$ -homomorphism. But  $(\psi, E)$  is a free- $(J, M)$ -extension, so there exists a m-homomorphism  $f: E \rightarrow L'$  such that  $f' = f\psi$ . Thus  $f\psi \varphi_\alpha = f_\alpha$  for each  $\alpha \in S$ .

**COROLLARY 3.3.** *If  $\{L_\alpha\}_{\alpha \in S}$  is a collection of conditionally implicative lattices (or lattices satisfying (2.8)), then  $\{L_\alpha\}_{\alpha \in S}$  has a m-order sum over  $P$  for each partial ordering  $P$  on  $S$ .*

*Proof.* This is immediate from Theorem 3.2 and the remarks following Definition 2.2.

A necessary condition for the m-order sum  $(\{\psi_\alpha\}_{\alpha \in S}, E)$  over  $P$  of  $\{L_\alpha\}_{\alpha \in S}$  to exist is that each  $L_\alpha$  have a free m-regular extension (consider the smallest m-complete sublattice of  $E$  that contains  $\psi_\alpha(L_\alpha)$ ). A case in which an m-order sum has a rather simple structure is obtained in the next theorem. For the definition of ordinal sum, see [2, Definition 1.3].

**THEOREM 3.4.** *Suppose  $S$  is finite and  $P$  is a chain in  $P$ . If  $(\psi_\alpha, E_\alpha)$  is a free m-regular extension of  $L_\alpha$  for each  $\alpha \in S$ , then  $(\{i_\alpha \psi_\alpha\}_{\alpha \in S}, E)$  is the m-order sum of  $\{L_\alpha\}_{\alpha \in S}$  over  $P$ , where  $E$  is the ordinal sum of  $\{E_\alpha\}_{\alpha \in S}$  and  $i_\alpha: E_\alpha \rightarrow E$  is the inclusion map for each  $\alpha \in S$ .*

*Proof.* We can assume that  $S = \{1, 2, \dots, n\}$  with the usual ordering and  $\{E_\alpha\}_{\alpha \in S}$  is a pair-wise disjoint family. Clearly, for  $H \subseteq E$ ,  $0 < |H| < m$ , we have  $\Sigma_E(H) = \Sigma_{E_\beta}(H \cap E_\beta)$  where  $\beta = \max \{\alpha \in S \mid H \cap E_\alpha \neq \emptyset\}$ . It is evident that  $E$  is a m-complete distributive lattice, m-generated by  $\bigcup_{\alpha \in S} i_\alpha \psi_\alpha(L_\alpha)$ . Now assume the hypothesis of (3.3). Since  $(\psi_\alpha, E_\alpha)$  is a m-regular extension of  $L_\alpha$ , there exists a m-homomorphism  $g_\alpha: E_\alpha \rightarrow L'$  such that  $g_\alpha \psi_\alpha = f_\alpha$  for each  $\alpha \in S$ . The function  $g: E \rightarrow L'$  defined by  $g(x) = g_\alpha(x)$  for  $x \in E_\alpha$  has the property  $g\psi_\alpha = f_\alpha$  for each  $\alpha \in S$ . To show  $g$  preserves order, suppose  $\alpha < \beta$ ,  $x$  is a fixed element in  $L_\alpha$  and let  $F = \{y \in E_\beta \mid g_\alpha \psi_\alpha(x) \leq g_\beta(y)\}$ . Then

(i)  $\psi_\beta(L_\beta) \subseteq F$  and

(ii)  $F$  is a m-complete sublattice of  $E_\beta$ .

It follows that  $F = E_\beta$  and

$$g_\alpha \psi_\alpha(x) \leq g_\beta(y) \quad \text{for } x \in L_\alpha, y \in E_\beta.$$

Now let  $y$  be a fixed element of  $E_\beta$  and let  $G = \{z \in E_\alpha \mid g_\alpha(z) \leq g_\beta(y)\}$ . Then

(iii)  $\psi_\alpha(L_\alpha) \subseteq G$  and

(iv)  $G$  is a  $\mathfrak{m}$ -complete sublattice of  $E_\alpha$ .

It follows that  $G = E_\alpha$  and that for  $x \in L_\alpha$ ,  $y \in L_\beta$ ,  $g(x) \leq g(y)$ . Finally, to show  $g$  is a  $\mathfrak{m}$ -homomorphism, let  $H \subseteq E$ ,  $0 < |H| < \mathfrak{m}$ , and set  $\beta = \max \{\alpha \in S \mid H \cap E_\alpha \neq \emptyset\}$ . Then

$$\begin{aligned} \Sigma_{L'} g(H) &\leq g(\Sigma_E(H)) = g(\Sigma_{E_\beta}(H \cap E_\beta)) = g_\beta(\Sigma_{E_\beta}(H \cap E_\beta)) \\ &= \Sigma_{L'} g_\beta(H \cap E_\beta) \leq \Sigma_{L'} g(H) . \end{aligned}$$

So  $\Sigma_{L'} g(H) = g(\Sigma_E(H))$ .

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