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**EXTENDING BOUNDED HOLOMORPHIC FUNCTIONS FROM
CERTAIN SUBVARIETIES OF A POLYDISC**

HERBERT JAMES ALEXANDER

EXTENDING BOUNDED HOLOMORPHIC FUNCTIONS FROM CERTAIN SUBVARIETIES OF A POLYDISC

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Let E be a subvariety of the unit polydisc

$$U^N = \{(z_1, \dots, z_N) \in C^N : |z_i| < 1, 1 \leq i \leq N\}$$

such that E is the zero set of a holomorphic function f on U^N , i.e., $E = Z(f)$ where $Z(f) = \{z \in U^N : f(z) = 0\}$. This amounts to saying that E is a subvariety of pure dimension $N - 1$. In [2] Walter Rudin proved that if E is bounded away from the torus $T^N = \{(z_1, \dots, z_N) \in C^N : |z_i| = 1, 1 \leq i \leq N\}$, then there is a bounded holomorphic function F on U^N such that $E = Z(F)$. Call such a subvariety E , that is, a pure $N - 1$ dimensional subvariety of U^N bounded from T^N , a *Rudin variety*. We are interested in the following question: When is it possible to extend every bounded holomorphic function on a Rudin variety E to one on U^N ? Examples show this is not always possible. We will say that a pure $N - 1$ dimensional subvariety E of U^N is a *special Rudin variety* if there exists an annular domain $Q^N = \{(z_1, \dots, z_N) \in C^N : r < |z_i| < 1, 1 \leq i \leq N\}$ for some $r(0 < r < 1)$ and a $\delta > 0$ such that

(i) $E \cap Q^N = \emptyset$ and

(ii) if $1 \leq k \leq N$ and $(z', \alpha, z'') \in (Q^{k-1} \times U \times Q^{N-k}) \cap E$ and $(z', \beta, z'') \in (Q^{k-1} \times U \times Q^{N-k}) \cap E$ and $\alpha \neq \beta$, then $|\alpha - \beta| \geq \delta$. Obviously (i) implies that a special Rudin variety is a Rudin variety. We have the

THEOREM. If E is a special Rudin variety in U^N , then there exists a bounded linear transformation $T: H^\infty(E) \rightarrow H^\infty(U^N)$ (where H^∞ is the corresponding Banach space of bounded holomorphic functions under sup norm) which extends each bounded holomorphic function on E to one on U^N .

REMARK. The proof of the theorem is a modification of the proof in [2] of Rudin's theorem: the changes reflecting the fact that we are dealing with an additive problem while Rudin's was of a multiplicative nature. I am further indebted to Professor Rudin for some comments (on a preliminary version of this paper) which led to improvement in the hypothesis of the theorem.

The following lemma is well-known and easy to prove.

LEMMA 1. If $0 < r < 1$ and $Q = \{\lambda \in C : r < |\lambda| < 1\}$ and

$$h(\lambda) = \sum_{-\infty}^{\infty} a_n \lambda^n, h_1(\lambda) = \sum_{-\infty}^{-1} a_n \lambda^n$$

for $\lambda \in Q$, then

$$\|h_1\|_Q \leq K \|h\|_Q$$

where $K (> 1)$ is a constant depending only on r .

If h is holomorphic on $Q^N = \{(z_1, \dots, z_N): r < |z_i| < 1, 1 \leq i \leq N\}$ then h has a Laurent expansion

$$(1) \quad h(z_1, z_2, \dots, z_N) = \sum a(n_1, n_2, \dots, n_N) z_1^{n_1} z_2^{n_2} \dots z_N^{n_N}.$$

Following [2], we define $\pi_j h, 1 \leq j \leq N$, to be the holomorphic function on Q^N whose Laurent series is obtained by deleting in (1) all terms in which $n_j \geq 0$. Lemma 1 implies

LEMMA 2. $\|\pi_j h\|_{Q^N} \leq K \|h\|_{Q^N}$.

Proof of the theorem. Since E is a subvariety of U^N of pure dimension $N - 1$, there exists by [1, p. 251] a function f holomorphic on U^N such that at each point of U^N the germ of f generates the ideal of germs of holomorphic functions which vanish on the germ of E at the given point. In particular, $E = Z(f)$. We will show that $\partial f / \partial z_k \neq 0$ on $(Q^{k-1} \times U \times Q^{N-k}) \cap E$ for $1 \leq k \leq N$. We give the proof for $k = 1$, the other cases are identical. Let $(\alpha, \alpha') \in (U \times Q^{N-1}) \cap E$. Now f is regular in the first coordinate [1, p. 13] at (α, α') since otherwise $f(\zeta, \alpha')$ vanishes in a neighborhood of α and hence for $|\zeta| < 1$ and so $E = Z(f) \cong \{(\zeta, \alpha'): |\zeta| < 1\}$, contradicting (i) in the definition of a special Rudin variety. Thus we can apply the Weierstrass preparation theorem and write in some neighborhood of $(\alpha, \alpha'), f = \Omega p$ where Ω is invertible and p is a Weierstrass polynomial. Factor p into primes: $p = p_1^{t_1} \dots p_r^{t_r}$ where p and the p_i 's are of the form

$$(\zeta - \alpha)^n + a_{n-1}(\zeta')(\zeta - \alpha)^{n-1} + \dots + a_0(\zeta')$$

for (ζ, ζ') near (α, α') with $a_j(\alpha') = 0$. Now the degree of each p_i must be equal to 1 since otherwise there would exist $\zeta'_n \rightarrow \alpha'$ with ζ'_n off the discriminant locus of some p_i and so there would exist $\alpha_n \neq \beta_n$ near α with $p_i(\alpha_n, \zeta'_n) = 0 = p_i(\beta_n, \zeta'_n)$ and thus (α_n, ζ'_n) and (β_n, ζ'_n) are in $(U \times Q^{N-1}) \cap E$, but $\zeta'_n \rightarrow \alpha'$ implies $\alpha_n \rightarrow \alpha$ and $\beta_n \rightarrow \alpha$ and so $|\alpha_n - \beta_n| \rightarrow 0$, contradicting (ii). A similar argument also using (ii) shows that there cannot be more than one p_i and so $f = \Omega p_1^{t_1}$ near (α, α') . Finally, since the germ of f generates the ideal of E at $(\alpha, \alpha'), e_1$ must be equal to 1. Thus $f(\zeta, \zeta') = \Omega(\zeta, \zeta')(\zeta - \alpha + a_0(\zeta'))$ and $\partial f / \partial \zeta(\alpha, \alpha') = \Omega(\alpha, \alpha') \neq 0$ as required.

Now by Theorem 1 of [2] applied to $E = Z(f)$ there is a bounded holomorphic function F on U^N such that $E = Z(F)$. Examination of the

construction in [2] shows that $1/F$ is bounded on Q^N since $F = f_1 e^{g - g_1}$ on Q^N and $1/f_1$ and $|\operatorname{Re}(g - g_1)|$ are bounded on Q^N . We will show that there is an $\varepsilon > 0$ such that $|\partial F/\partial z_k| > \varepsilon$ on $(Q^{k-1} \times U \times Q^{N-k}) \cap E$ for $1 \leq k \leq N$. We do this for $k = 1$, the finitely many other cases are identical. From [2], $F = f e^g$ for some g and so $\partial f/\partial z_1 \neq 0$ on $(U \times Q^{N-1}) \cap E$ implies $\partial F/\partial z_1 \neq 0$ there. Now for $z' \in Q^{N-1}$

$$z' \rightarrow \frac{1}{2\pi i} \int_{|\zeta|=r} \frac{\partial F/\partial z_1(\zeta, z')}{F(\zeta, z')} d\zeta$$

is a continuous integer-valued function and so is a constant m_1 giving the number of zeros for $F(\cdot, z')$ in U . Since these zeros are the points of $(U \times Q^{N-1}) \cap E$ and $\partial F/\partial z_1 \neq 0$ there, it follows that the m_1 zeros $\alpha_1(z'), \dots, \alpha_{m_1}(z')$ are distinct simple zeros. By (ii) then, $|\alpha_i(z') - \alpha_j(z')| \geq \delta$ for $i \neq j$. Write $F(\cdot, z') = BH$, where B is the Blaschke product with zeros at $\alpha_1(z'), \dots, \alpha_{m_1}(z')$. Now since $1/F$ is bounded on Q^N $1/H$ is bounded on U . But on E , $\partial F/\partial z_1 = \partial B/\partial z_1 \cdot H$ and since

$$|\alpha_i(z') - \alpha_j(z')| \geq \delta, \partial B/\partial z_1$$

is bounded from zero on E by some constant depending on δ , and as H is also bounded from zero independently of z' , it follows that $\partial F/\partial z_1$ is bounded from zero on $(U \times Q^{N-1}) \cap E$.

Let $d = \operatorname{dist}(E, Q^N)$ which we may assume is positive by increasing r if need be. Let g be a bounded holomorphic function on E . We shall extend g to a bounded function on U^N . By the general Oka-Cartan theory [1], there is a holomorphic extension G of g to U^N ; G need not be bounded. Since $F \neq 0$ on Q^N , we may define a function h_1 on $U \times Q^{N-1}$ as follows: Let $(z_1, z') \in U \times Q^{N-1}$. Choose a circle Γ about 0 lying in Q and enclosing z_1 with positive orientation and set

$$h_1(z_1, z') = \frac{1}{2\pi i} \int_{\Gamma} \frac{G(\zeta, z')/F(\zeta, z')}{\zeta - z_1} d\zeta.$$

h_1 is clearly independent of the choice of Γ and holomorphic on $U \times Q^{N-1}$. We claim that $G/F - h_1$ is bounded on Q^N . Let $(z_1, z') \in Q^N$ where $z_1 \in Q$, $z' \in Q^{N-1}$. Let $\Gamma_1, \Gamma_2, \dots, \Gamma_{m_1}$ be small circles about $\alpha_1(z'), \dots, \alpha_{m_1}(z')$, the zeros of $F(\cdot, z')$. Then the Cauchy integral formula reads

$$(G/F)(z_1, z') = \frac{1}{2\pi i} \int_{\Gamma - \Gamma_1 - \dots - \Gamma_{m_1}} \frac{G(\zeta, z')/F(\zeta, z')}{\zeta - z_1} d\zeta.$$

Therefore

$$(G/F - h_1)(z_1, z') = - \sum_1^{m_1} \frac{1}{2\pi i} \int_{\Gamma_k} \frac{G(\zeta, z')/F(\zeta, z')}{\zeta - z_1} d\zeta.$$

Clearly for $r_k =$ radius of Γ_k ,

$$\begin{aligned} & \frac{1}{2\pi i} \int_{\Gamma_k} \frac{G(\zeta, z')/F(\zeta, z')}{\zeta - z_1} d\zeta \\ = & \frac{1}{2\pi i} \int_{|\zeta - \alpha_k(z')|=r_k} \frac{G(\zeta, z')}{\zeta - z_1} \frac{\zeta - \alpha_k(z')}{F(\zeta, z') - F(\alpha_k(z'), z')} \frac{d\zeta}{\zeta - \alpha_k(z')} \\ \rightarrow & \frac{g(\alpha_k(z'), z')}{(\alpha_k(z') - z_1) \frac{\partial F}{\partial \zeta_1}(\alpha_k(z'), z')} \quad \text{as } r_k \rightarrow 0. \end{aligned}$$

So letting the radii of the Γ_k go to zero we get

$$(G/F - h_1)(z_1, z') = - \sum_{k=1}^{m_1} \frac{g(\alpha_k(z'), z')}{(\alpha_k(z') - z_1) \frac{\partial F}{\partial \zeta_1}(\alpha_k(z'), z')}.$$

Since $(\alpha_k(z'), z') \in (U \times Q^{N-1}) \cap E$, recalling the significance of d and ε we get

$$\|G/F - h_1\|_{Q^N} \leq \frac{m_1 \|g\|_E}{d\varepsilon}.$$

In the same way for each $i, 1 < i \leq N$ we have an integer m_i and a function h_i holomorphic on $Q^{i-1} \times U \times Q^{N-i}$ such that

$$\|G/F - h_i\|_{Q^N} \leq \frac{m_i \|g\|_E}{d\varepsilon}.$$

Now let $m = \max \{m_i : 1 \leq i \leq N\}$ and let $A = m/d\varepsilon$. Subtracting in the above, we get $\|h_1 - h_i\|_{Q^N} \leq 2A \|g\|_E$. Now following [2] closely, set $h = (1 - \pi_1)(1 - \pi_2) \cdots (1 - \pi_N)h_1$. Since $\pi_i h = 0$, h extends (uniquely) to a holomorphic function on U^N . Since h_j is holomorphic on

$$Q^{j-1} \times U \times Q^{N-j}, \pi_j h_j = 0$$

and so $\pi_j h_1 = \pi_j(h_1 - h_j)$ and therefore by Lemma 2,

$$\|\pi_j h_1\|_{Q^N} = \|\pi_j(h_1 - h_j)\|_{Q^N} \leq K \|h_1 - h_j\|_{Q^N} \leq 2KA \|g\|_E.$$

Now, since $h - h_1 = - \sum \pi_i h_1 + \sum \pi_i \pi_j h_1 - + \cdots$ and since we get by induction and by use of Lemma 2 that $\|\pi_{i_1} \pi_{i_2} \cdots \pi_{i_s} h_1\|_{Q^N} \leq 2K^s A \|g\|_E$, it follows that $\|h - h_1\|_{Q^N} \leq BA \|g\|_E$ where B depends only on K . Now consider $\bar{G} = G - Fh$. \bar{G} is holomorphic on U^N and extends g since G does. On $Q^N, \bar{G} = F(G/F - h_1) + F(h_1 - h)$. Therefore $\|\bar{G}\|_{Q^N} \leq \|F\|_{U^N A} \|g\|_E + \|F\|_{U^N B A} \|g\|_E$. Thus \bar{G} is bounded on U^N and $\|\bar{G}\|_{U^N} \leq \gamma \|g\|_E$ where $\gamma = A(1 + B) \|F\|_{U^N}$ is independent of g .

Next we show that \bar{G} does not depend on the choice of G made at the beginning of the construction. Suppose \tilde{G} were another (not necessarily bounded) extension of g to U^N . As above we get

$$\tilde{h}_1 = \frac{1}{2\pi i} \int_r \frac{\tilde{G}/F}{\zeta - z_1} d\zeta .$$

But then on $U \times Q^{N-1}$

$$(2) \quad h_1 - \tilde{h}_1 = \frac{1}{2\pi i} \int \frac{(G - \tilde{G})/F}{\zeta - z_1} d\zeta .$$

Since for $z' \in Q^{N-1}$, $(G - \tilde{G})(\cdot, z')$ vanishes at $\alpha_1(z'), \dots, \alpha_{m_1}(z')$ and since $F(\cdot, z')$ has simple zeros and only at these points, $(G - \tilde{G})/F(\cdot, z')$ is holomorphic on U and the right hand side of (2) equals $(G - \tilde{G})/F$ and so on $U \times Q^{N-1}$

$$(3) \quad h_1 - \tilde{h}_1 = (G - \tilde{G})/F .$$

Since the left hand side of (3) is holomorphic on $U \times Q^{N-1}$, so is the right and consequently $(G - \tilde{G})/F = (1 - \pi_1)((G - \tilde{G})/F)$ on Q^N . In the same way we see that for each j , $(G - \tilde{G})/F = (1 - \pi_j)((G - \tilde{G})/F)$ on Q^N . Therefore on Q^N we have

$$(G - \tilde{G})/F = \prod_{j=1}^N (1 - \pi_j)(G - \tilde{G})/F = \prod_{j=1}^N (1 - \pi_j)(h_1 - \tilde{h}_1) = h - \tilde{h} .$$

Thus $G - Fh = \tilde{G} - F\tilde{h}$ on Q^N and so on U^N . Since the extensions thus coincide, we have a well-defined map $T: H^\infty(E) \rightarrow H^\infty(U^N)$ such that $\|T(g)\|_{U^N} \leq \gamma \|g\|_E$.

To see that T is linear, let g and \tilde{g} be bounded holomorphic functions on E and let λ be a complex number. Let G and \tilde{G} respectively be arbitrary holomorphic extensions to U^N . Let $\tilde{h}_1, h_1, \tilde{h}$ and \tilde{h}, h, \tilde{h} be the h_1 and the h for $G + \lambda\tilde{G}, G$ and \tilde{G} respectively. Then

$$\begin{aligned} \tilde{\tilde{h}}_1 &= \frac{1}{2\pi i} \int \frac{(G + \lambda\tilde{G})/F}{\zeta - z_1} d\zeta \\ &= \frac{1}{2\pi i} \int \frac{G/F}{\zeta - z_1} d\zeta + \lambda \cdot \frac{1}{2\pi i} \int \frac{\tilde{G}}{\zeta - z_1} d\zeta = h_1 + \lambda\tilde{h}_1 \end{aligned}$$

and $\tilde{\tilde{h}} = \Pi(1 - \pi_j)\tilde{\tilde{h}}_1 = [\Pi(1 - \pi_j)](h_1 + \lambda\tilde{h}_1) = h + \lambda\tilde{h}$. Therefore

$$\begin{aligned} T(g + \lambda\tilde{g}) &= (G + \lambda\tilde{G}) - F(h + \lambda\tilde{h}) \\ &= (G - Fh) + \lambda(\tilde{G} - F\tilde{h}) = T(g) + \lambda T(\tilde{g}) . \end{aligned}$$

EXAMPLE. Let E be the Rudin variety in U^2 given by $E = Z((z_2 - \frac{1}{2})(z_1z_2 - \frac{1}{2}))$. Then E is the disjoint union of $Z(z_2 - \frac{1}{2})$ and $Z(z_1z_2 - \frac{1}{2})$. Let $g \in H^\infty(E)$ be given by

$$g|Z\left(z_2 - \frac{1}{2}\right) = 0 \quad \text{and} \quad g|Z\left(z_1z_2 - \frac{1}{2}\right) = 1 .$$

Then g admits no bounded holomorphic extension to U^2 . For if G were a bounded extension of g to U^2 we would have for $z \in U, z$ near 1,

$$\begin{aligned} 1 &= G\left(z, \frac{1}{2z}\right) - G\left(z, \frac{1}{2}\right) = \frac{1}{2\pi i} \int_{|\zeta|=1} G(z, \zeta) \left(\frac{1}{\zeta - \frac{1}{2z}} - \frac{1}{\zeta - \frac{1}{2}} \right) d\zeta \\ &= \left(\frac{1}{2z} - \frac{1}{2} \right) \frac{1}{2\pi i} \int_{|\zeta|=1} \frac{G(z, \zeta)}{\left(\zeta - \frac{1}{2z} \right) \left(\zeta - \frac{1}{2} \right)} d\zeta . \end{aligned}$$

But as $z \rightarrow 1$, the integral is bounded and $(1/2z) - (1/2) \rightarrow 0$, a contradiction.

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