

Pacific Journal of Mathematics

POWER SERIES RINGS OVER A KRULL DOMAIN

ROBERT WILLIAM GILMER, JR.

POWER SERIES RINGS OVER A KRULL DOMAIN

ROBERT GILMER

Let D be a Krull domain and let $\{X_\lambda\}_{\lambda \in A}$ be a set of indeterminates over D . This paper shows that each of three "rings of formal power series in $\{X_\lambda\}$ over D " are also Krull domains; also, some relations between the structure of the set of minimal prime ideals of D and the set of minimal prime ideals of these rings of formal power series are established.

In considering formal power series in the X_λ 's over D , there are three rings which arise in the literature and which are of importance. We denote these here by $D[[\{x_\lambda\}]]_1$, $D[[\{X_\lambda\}]]_2$, and $D[[\{X_\lambda\}]]_3$. $D[[\{X_\lambda\}]]_1$ arises in a way analogous to that of $D[\{X_\lambda\}]$ —namely, $D[[\{X_\lambda\}]]$ is defined to be $\bigcup_{F \in \mathcal{F}} D[[F]]$, where \mathcal{F} is the family of all finite nonempty subsets of A . $D[[\{X_\lambda\}]]_2$ is defined to be

$$\left\{ \sum_{i=0}^{\infty} f_i \mid f_i \in D[\{X_\lambda\}], f_i = 0 \text{ or a form of degree } i \right\},$$

where equality, addition, and multiplication are defined on $D[[\{x_\lambda\}]]_2$ in the obvious ways. $D[[\{X_\lambda\}]]_2$ arises as the completion of $D[\{X_\lambda\}]$ under the $(\{X_\lambda\})$ -adic topology; the topology on $D[[\{X_\lambda\}]]_2$ is induced by the decreasing sequence $\{A_i\}_0^\infty$ of ideals, where A_i consists of those formal power series of order $\geq i$ —that is, those of the form $\sum_{j=i}^\infty f_j$. If A is infinite, A_1 properly contains the ideal of $D[[\{X_\lambda\}]]_2$ generated by $\{X_\lambda\}$. Finally, $D[[\{X_\lambda\}]]_3$ is the *full* ring of formal power series over D , and is defined as follows (cf. [1, p. 66]): Let N be the set of nonnegative integers, considered as an additive abelian semigroup, and let S be the weak direct sum of N with itself $|A|$ times. S is an additive abelian semigroup with the property that for any $s \in S$, there are only finitely many pairs (t, u) of elements of S whose sum is s . $D[[\{X_\lambda\}]]_3$ is defined to be the set of all functions $f: S \rightarrow D$, where $(f + g)(s) = f(s) + g(s)$ and where $(fg)(s) = \sum_{t+u=s} f(t)g(u)$ for any $s \in S$, the notation $\sum_{t+u=s}$ indicating that the sum is taken over all ordered pairs (t, u) of elements of S with sum s . To within isomorphism we have $D[[\{X_\lambda\}]]_1 \subseteq D[[\{X_\lambda\}]]_2 \subseteq D[[\{X_\lambda\}]]_3$, and each of these containments is proper if and only if A is infinite. Our method of attack in showing that $D[[\{X_\lambda\}]]_i$, $i = 1, 2, 3$, is a Krull domain if D consists in showing that $D[[\{X_\lambda\}]]_3$ is a Krull domain and that $D[[\{X_\lambda\}]]_3 \cap K_i = D[[\{X_\lambda\}]]_i$ for $i = 1, 2$, where K_i denotes the quotient field of $D[[\{X_\lambda\}]]_i$.

1. The proof that $D[[\{X_\lambda\}]]_3$ is a Krull domain. Using the

notation of the previous section, we introduce some terminology which will be helpful in showing that $D[[\{X_\lambda\}]]_3$ is a Krull domain. We think of the elements of S as $|A|$ -tuples $\{n_\lambda\}_{\lambda \in A}$ which are finitely nonzero. For $s = \{n_\lambda\} \in S$, we define $\pi(s)$ to be $\sum_{\lambda \in A} n_\lambda$ and we denote by S_i the set of elements s of S such that $\pi(s) = i$; clearly π is a homomorphism from S onto N . Given a well-ordering on the set A , we well-order the set S as follows: if $s = \{m_\lambda\}$ and $t = \{n_\lambda\}$ are distinct elements of S , then $s < t$ if $\pi(s) < \pi(t)$ or if $\pi(s) = \pi(t)$ and $m_\lambda < n_\lambda$ for the first λ in A such that m_λ and n_λ are unequal. It is clear that this ordering on S is compatible with the semigroup operation—that is, $s_1 < s_2$ implies that $s_1 + t < s_2 + t$ for any t in S . Also, S is cancellative and $s_1 + t < s_2 + t$ implies that $s_1 < s_2$.

If $f \in D[[\{X_\lambda\}]]_3 - \{0\}$, we say that f is a *form of degree i* , where $i \in N$, provided f vanishes on $S - S_i$; the *order of f* , denoted by $\mathcal{O}(f)$, is defined to be the smallest nonnegative integer t such that f does not vanish on S_t . If $\mathcal{O}(f) = k$, then the *initial form of f* is defined to be that element f_k of $D[[\{X_\lambda\}]]_3$ which agrees with f on S_k and which vanishes on $S - S_k$.

LEMMA 1.1. *If $f, g \in D[[\{X_\lambda\}]]_3 - \{0\}$, then*

- (1) *If $f + g \neq 0$, $\mathcal{O}(f + g) \geq \min\{\mathcal{O}(f), \mathcal{O}(g)\}$.*
- (2) *$\mathcal{O}(fg) = \mathcal{O}(f) + \mathcal{O}(g)$.*
- (3) *If f and g are forms of degree m and n , respectively, then fg is a form of degree $m + n$.*
- (4) *The initial form of fg is the product of the initial forms of f and of g .*

Proof. In a less general context, Lemma 1.1 is a well known result; we prove only (2) and (3) here.

(2): We let s be the smallest element of S on which f does not vanish and we let t be the corresponding element for g . By definition of π and \mathcal{O} , $\pi(s) = \mathcal{O}(f) = i$ and $\pi(t) = \mathcal{O}(g) = j$. To show that $\mathcal{O}(fg) = i + j$, we prove that $(fg)(s + t) \neq 0$ and that $(fg)(u) = 0$ for $u < s + t$. The second statement is clear, for if $s' + t' = u$, then either $s' < s$ or $t' < t$ so that $f(s') = 0$ or $g(t') = 0$ and $f(s')g(t') = 0$ in either case. By similar reasoning, we see that $(fg)(s + t) = f(s)g(t) \neq 0$. Hence $\mathcal{O}(fg) = i + j$.

(3): By (2), $\mathcal{O}(fg) = m + n$. To see that fg is a form, we need only observe that fg vanishes on S_k for any $k > m + n$. Thus if $w \in S_k$, then $(fg)(w) = \sum_{u+v=w} f(u)g(v)$ and for each such pair (u, v) either $\pi(u) > m$ or $\pi(v) > n$ so that $f(u) = 0$ or $g(v) = 0$ so that $(fg)(w) = \sum_{u+v=w} f(u)g(v) = 0$.

LEMMA 1.2. *Let K be a field and let $\{D_\alpha\}$ be a family of sub-*

domains of K such that each D_α is a Krull domain. Let $D = \bigcap_\alpha D_\alpha$ and suppose that each nonzero element of D is a nonunit in only finitely many D_α 's. Then D is a Krull domain.

Proof. For each α we consider a defining family $\{V_\beta^{(\alpha)}\}$ of rank one discrete valuation rings for D_α . If L is the quotient field of D and $\mathcal{S} = \{V_\beta^{(\alpha)} \cap L\}_{\alpha, \beta}$, \mathcal{S} is a family of discrete valuation rings of rank ≤ 1 , and the intersection of the members of the collections \mathcal{S} is D . If d is a nonzero element of D , then d is a nonunit in only finitely many D_α 's, say $D_{\alpha_1}, \dots, D_{\alpha_n}$. Because D_{α_i} is a Krull domain and $\{V_\beta^{(\alpha_i)}\}$ is a defining family for D_{α_i} , d is a nonunit in only finitely many of the $V_\beta^{(\alpha_i)}$'s. Therefore D is a Krull domain and the family of essential valuations for D is a subfamily of $\{V_\beta^{(\alpha)} \cap L\}_{\alpha, \beta}$ [6, p. 116].

We now give an outline of our proof that $D[[\{X_\lambda\}]]_3$ is a Krull domain when D is a Krull domain. Let K be the quotient field of D and let $\{V_\alpha\}$ be the family of essential valuation rings for D [7, p. 82]. By a result due to Cashwell and Everett [3] (see also [4]), $J[[\{X_\lambda\}]]_3$ is a unique factorization domain (UFD), where J is an integral domain with identity, if and only if $J[[Y_1, \dots, Y_n]]$ is a UFD for any positive integer n . If J is a principal ideal domain, then $J[[Y_1, \dots, Y_n]]$ is a UFD for any n [2, pp. 42, 100]; in particular, $K[[\{X_\lambda\}]]_3$ and $V_\alpha[[\{x_\lambda\}]]_3$ are then UFD's for each α . Consequently, $(V_\alpha[[\{X_\lambda\}]]_3)_{N_\alpha}$ is a UFD for any multiplicative system N_α in $V_\alpha[[\{X_\lambda\}]]_3$. To show that $D[[\{X_\lambda\}]]_3$ is a Krull domain, it will be sufficient, in view of Lemma 1.2, to show that by appropriate choices of the multiplicative systems N_α , we can express $D[[\{X_\lambda\}]]_3$ as

$$K[[\{X_\lambda\}]]_3 \cap \left(\bigcap_\alpha (V_\alpha[[\{X_\lambda\}]]_3)_{N_\alpha} \right),$$

where each nonzero element of $D[[\{X_\lambda\}]]_3$ is a nonunit in only finitely many $(V_\alpha[[\{X_\lambda\}]]_3)_{N_\alpha}$'s. We define N_α as follows:

$N_\alpha = \{f \in V_\alpha[[\{X_\lambda\}]]_3 - \{0\} \mid \mathcal{O}(f) = i \text{ and there exists } s \in S_i \text{ such that } f(s) \text{ is a unit of } V_\alpha\}$, and we prove

PROPOSITION 1.3. N_α is a multiplicative system in $V_\alpha[[\{X_\lambda\}]]_3$.

$$(V_\alpha[[\{X_\lambda\}]]_3)_{N_\alpha} \cap K[[\{X_\lambda\}]]_3 = V_\alpha[[\{X_\lambda\}]]_3,$$

so that

$$D[[\{X_\lambda\}]]_3 = K[[\{X_\lambda\}]]_3 \cap \left(\bigcap_\alpha (V_\alpha[[\{X_\lambda\}]]_3)_{N_\alpha} \right).$$

Each nonzero element of $D[[\{X_\lambda\}]]_3$ is in all but a finite number of the N_α 's.

Before giving the proof of Proposition 1.2, we recall a result concerning the content of the product of two polynomials. Let J be an integral domain with identity having quotient field F and for $f \in F[[X_\lambda]]$, let A_f denote the fractional ideal of J generated by the set of coefficients of f . In order that $A_{fg} = A_f A_g$ for each pair f, g of elements of $F[[X_\lambda]]$, it is necessary and sufficient that J be a Prüfer domain¹ [5, Th. 1]. In particular $A_{fg} = A_f A_g$ for each $f, g \in F[[X_\lambda]]$ if J is a valuation ring.

Proof of Proposition 1.3. To show that N_α is a multiplicative system, let $f, g \in N_\alpha$. Then the initial forms f_i, g_j of f and g are in N_α . $f_i g_j$ is the initial form of fg and $\mathcal{O}(fg) = i + j = \mathcal{O}(f) + \mathcal{O}(g)$. Therefore we need only show that $(fg)(s)$ is a unit of V_α for some $s \in S_{i+j}$. The smallest element u of S for which $f(u)$ is a unit in V_α is an element of S_i and the smallest element v of S for which $g(v)$ is a unit of V_α is an element of S_j . $u + v \in S_{i+j}$ and $(fg)(u + v) = \sum_{u'+v'=u+v} f(u')g(v')$ is a unit of V_α . For if $u' + v' = u + v$ and if $\{u', v'\} \neq \{u, v\}$, then either $u' < u$ or $v' < v$ so that $f(u')$ or $g(v')$, and hence $f(u')g(v')$, is a nonunit of V_α . It follows that $(fg)(u + v)$ is the unit $f(u)g(v)$ plus a nonunit of V_α . Therefore $(fg)(u + v)$ is a unit of V_α , $fg \in N_\alpha$, and N_α is a multiplicative system.

To prove that $K[[\{x_\lambda\}]]_3 \cap (V_\alpha[[\{x_\lambda\}]]_3)_{N_\alpha} \subseteq V_\alpha[[\{X_\lambda\}]]_3$, (the opposite containment is clear), we must show that if $f \in K[[\{X_\lambda\}]]_3 - \{0\}$ and if there is an element g of N_α such that $fg \in V_\alpha[[\{X_\lambda\}]]_3$, then $f \in V_\alpha[[\{X_\lambda\}]]_3$. By induction, it suffices to show that the initial form f_i of f is in $V_\alpha[[\{X_\lambda\}]]_3$. If g_j is the initial form of g , then $g_j \in N_\lambda$ and $f_i g_j$, the initial form of fg , is in $V_\alpha[[\{X_\lambda\}]]_3$. We can therefore assume without loss of generality that f and g are forms of degree i and j , respectively. Let $s \in S_i$. We must show that $f(s) \in V_\alpha$. Let t be an element of S_j such that $g(t)$ is a unit of V_α . If $s = \{m_\lambda\}$ and if $t = \{n_\lambda\}$ there are only finitely many elements τ of Λ such that $m_\tau \neq 0$ or $n_\tau \neq 0$; let $\lambda_1, \lambda_2, \dots, \lambda_u$ be this finite set of elements of Λ . There are only finitely many elements $\{k_\lambda\}$ of S_i such that $k_z = 0$ for each $z \in \{\lambda_1, \dots, \lambda_u\}$; let these elements be s_1, s_2, \dots, s_p . Also, there are only finitely many elements $\{k_\lambda\}$ of S_j such that $k_z = 0$ for each $z \in \{\lambda_1, \dots, \lambda_u\}$, and we let these elements be t_1, t_2, \dots, t_r . If f^* is the polynomial $\sum_{q=1}^p f(s_q) X_{\lambda_1}^{n_{\lambda_1}^{(q)}} \dots X_{\lambda_u}^{n_{\lambda_u}^{(q)}}$, where $s_q = \{n_\lambda^{(q)}\}$ and if $g^* = \sum_{q=1}^r g(t_q) X_{\lambda_1}^{m_{\lambda_1}^{(q)}} \dots X_{\lambda_u}^{m_{\lambda_u}^{(q)}}$, where $t_q = \{m_\lambda^{(q)}\}$, then by definition of addition in S , it is true that $(fg)(\{k_\lambda\})$ is equal to the coefficient of $X_{\lambda_1}^{k_{\lambda_1}} \dots X_{\lambda_u}^{k_{\lambda_u}}$ in $f^* g^*$ for any $\{k_\lambda\}$ in S_{i+j} such that $k_\lambda = 0$ for $\lambda \notin \{\lambda_1, \dots, \lambda_u\}$.

¹ A Prüfer domain is an integral domain with identity in which each nonzero finitely generated ideal is invertible.

Therefore, $f^*g^* \in V_\alpha[X_{\lambda_1}, \dots, X_{\lambda_u}]$ since $fg \in V_\alpha[[X_\lambda]]_3$. Further, $A_{g^*} = V_\alpha$ since $t \in \{t_1, \dots, t_r\}$ and since $g(t)$ is a unit of V_α . Therefore $A_{f^*}A_{g^*} = A_{f^*} = A_{f^*g^*} \subseteq V_\alpha$. But $f(s) \in A_{f^*}$ since $s \in \{s_1, s_2, \dots, s_p\}$. Hence $f(s) \in V_\alpha$ and our proof is complete.

Finally, if h is a nonzero element of $D[[X_\lambda]]_3$ of order i , then we choose $s \in S_i$ such that $h(s) \neq 0$. Since $\{V_\alpha\}$ is the family of essential valuation rings for the Krull domain D , $h(s)$ is a unit in all but a finite set $\{V_{\alpha_1}, \dots, V_{\alpha_w}\}$ of the V'_α 's. Hence h is in each N_α save $N_{\alpha_1}, \dots, N_{\alpha_w}$.

THEOREM 1.4. *If D is a Krull domain, then $D[[X_\lambda]]_3$ is also a Krull domain.*

2. The proofs that $D[[X_\lambda]]_1$ and $D[[X_\lambda]]_2$ are Krull domains. In view of Theorem 1.4, in order to show that D Krull implies that $D[[X_\lambda]]_i$, $i = 1, 2$, is Krull, it is sufficient to show that for any integral domain J with identity, $J[[X_\lambda]]_3 \cap K_i = J[[X_\lambda]]_i$, where K_i denotes the quotient field of $J[[X_\lambda]]_i$. Thus we need to show that if $f \in J[[X_\lambda]]_3 - \{0\}$ and if g is a nonzero element of $J[[X_\lambda]]_i - \{0\}$ such that $fg \in J[[X_\lambda]]_i$, then $f \in J[[X_\lambda]]_i$. We consider first the case when $i = 2$. By induction, it suffices to show that the initial form of f is in $J[[X_\lambda]]_2$, and since the product of the initial form of f and the initial form of g is the initial form of fg and is in $J[[X_\lambda]]_2$, we need consider only the case when f and g are forms of degrees i and j , respectively. Since fg and g are in $J[[x_\lambda]]_2$, there is a finite subset $\{\lambda_1, \dots, \lambda_n\}$ of Λ such that g vanishes on each element $\{n_\lambda\}$ of S_j for which $n_\lambda \neq 0$ for some λ in $\Lambda - \{\lambda_k\}_1^n$ and such that fg vanishes on each element $\{m_\lambda\}$ of S_{i+j} for which $m_\lambda \neq 0$ for some λ in $\Lambda - \{\lambda_k\}_1^n$. We observe that this implies that f vanishes on each element $\{p_\lambda\}$ of S_i such that $p_\lambda \neq 0$ for some $\lambda \notin \{\lambda_1, \dots, \lambda_n\}$, for if this were not the case, then there would be a smallest element $p = \{p_\lambda\}$ of S_i with $p_\mu \neq 0$ for some $\mu \notin \{\lambda_1, \dots, \lambda_n\}$ for which $f(p) \neq 0$. Then if $s = \{s_\lambda\}$ is the smallest element of S_j for which $g(s) \neq 0$, we observe that $(fg)(p+s) = f(p)g(s) \neq 0$ and that $p+s = \{p_\lambda + s_\lambda\}$, where $p_\mu + s_\mu \geq p_\mu > 0$, contrary to the hypothesis on fg . We see that $(fg)(p+s) = f(p)g(s)$ as follows: If $p' + s' = p + s$ where $p' \in S_i$ and $s' \in S_j$, then $s' < s$ implies that $g(s') = 0$ so that $f(p')g(s') = 0$. On the other hand, if $s' > s$, then $p' < p$ so that $f(p') = 0$ if $p' = \{p'_\lambda\}$ and $p'_\lambda \neq 0$, while $g(s') = 0$ if $p'_\mu = 0$ since the μ -th coordinate of s' is then nonzero. Consequently, $(fg)(p+s) = f(p)f(s)$, and the contradiction which this equality implies shows that it is indeed the case that $f(\{p_\lambda\}) = 0$ for each $\{p_\lambda\}$ in S_i such that $p_\lambda \neq 0$ for some $\lambda \notin \{\lambda_1, \dots, \lambda_n\}$. Hence $f \in J[[X_\lambda]]_2$ as we wished to show.

Our proof for $J[[X_\lambda]]_2$ shows that if the set $\{\lambda_1, \dots, \lambda_n\}$ does

not depend on i , as is the case if g and fg are in $J[[\{X_\lambda\}]]_1$, then each form f_i associated with f (that is, $f \cdot \chi_i$, where χ_i is the characteristic function of S_i) will also have the property that it vanishes on each element $\{s_\lambda\}$ of S_i such that $s_\lambda \neq 0$ for some $\lambda \in \{\lambda_1, \dots, \lambda_n\}$. Consequently, $f \in J[[\{X_\lambda\}]]_1$. We have proved

THEOREM 2.1. *If D is a Krull domain, then $D[[\{X_\lambda\}]]_2$ and $D[[\{X_\lambda\}]]_1$ are also Krull domains.*

3. Minimal primes of $D[[\{X_\lambda\}]]_3$. Our proofs of Lemma 1.2 and Proposition 1.3 show the following, in case D is a Krull domain with quotient field K . If L is the quotient field of $D[[\{X_\lambda\}]]_3$, then the set of essential valuation rings for $D[[\{X_\lambda\}]]_3$ is a subset of $\{W_\sigma \cap L\} \cup \{W_\beta^{(\alpha)} \cap L\}$, where $\{W_\sigma\}$ is the family of essential valuation rings for $K[[\{X_\lambda\}]]_3$ and where $\{W_\beta^{(\alpha)}\}$ is the family of essential valuation rings for $(V_\alpha[[\{X_\lambda\}]]_3)_{N_\alpha}$; $\{V_\alpha\}$ the family of essential valuation rings for D . Let M_σ be the center of $W_\sigma \cap L$ on $D[[\{X_\lambda\}]]_3$ and let $M_\beta^{(\alpha)}$ be the center of $W_\beta^{(\alpha)} \cap L$ on $D[[\{X_\lambda\}]]_3$. Since $K \subset W_\sigma$, $M_\sigma \cap K = (0)$; in particular, $M_\sigma \cap D = (0)$. Further, V_α is clearly contained in $W_\beta^{(\alpha)} \cap L$ so that $W_\beta^{(\alpha)} \cap L = V_\alpha$ or $W_\alpha^{(\alpha)} \cap L = K$. In the first case $M_\beta^{(\alpha)} \cap D = P_\alpha$ where $V_\alpha = D_{P_\alpha}$, and in the second $M_\beta^{(\alpha)} \cap D = (0)$. Since $D[[\{X_\lambda\}]]_3$ is a Krull domain, the set of minimal primes of $D[[\{X_\lambda\}]]_3$ is a subset of $\{M_\sigma\} \cup \{M_\beta^{(\alpha)}\}$. Hence we have proved

LEMMA 3.1. *Each minimal prime of $D[[\{X_\lambda\}]]_3$ meets D either in zero or in minimal prime of D .*

Our main purpose in this section is to prove:

THEOREM 3.2. *If P_α is a minimal prime of D , there is a unique minimal prime of $D[[\{X_\lambda\}]]_3$ which meets D in P_α .*

Our proof of Theorem 3.2 proceeds as follows. Let v_α be a valuation associated with the valuation ring D_{P_α} . We observe that the function v_α^* defined on $D[[\{X_\lambda\}]]_3$ by $v_\alpha^*(f) = \min \{v_\alpha(f(s)) \mid s \in S\}$ induces a valuation on L , the quotient field of $D[[\{X_\lambda\}]]_3$. To prove this, let $f, g \in D[[\{X_\lambda\}]]_3$ and suppose that $v_\alpha((f+g)(t)) = v_\alpha^*(f+g)$. Since $v_\alpha(f(t) + g(t)) \geq \min \{v_\alpha(f(t)), v_\alpha(g(t))\} \geq \min \{v_\alpha^*(f), v_\alpha^*(g)\}$, it follows that $v_\alpha^*(f+g) \geq \min \{v_\alpha^*(f), v_\alpha^*(g)\}$. Also, if s is the smallest element of S such that $v_\alpha(f(s)) = v_\alpha^*(f)$ and if u is the smallest element of S such that $v_\alpha(g(u)) = v_\alpha^*(g)$, then it is straightforward to show that

$$\begin{aligned} v_\alpha((fg)(s+u)) &= v_\alpha(f(s)) + v_\alpha(g(u)) = v_\alpha^*(f) + v_\alpha^*(g) \\ &= \min \{v_\alpha((fg)(t)) \mid t \in S\} = v_\alpha^*(fg). \end{aligned}$$

We denote the extension of v_α^* to L by v_α^* also; it is clear that v_α and v_α^* have the same value group so that v_α^* is rank one discrete and is an extension of v_α to L . The center of v_α^* on $D[[\{X_\lambda\}]]_3$ is the prime ideal $Q_\alpha = \{f \mid f(s) \in P_\alpha \text{ for each } s \in S\}$; we next prove that $(D[[\{X_\lambda\}]]_3)_{Q_\alpha}$ is the valuation ring of v_α^* . One containment is clear. To prove the reverse containment, we show that if $f, g \in D[[\{X_\lambda\}]]_3$ and if $v_\alpha^*(f) \geq v_\alpha^*(g)$, then for some ξ in K , $f/g = \xi f/\xi g$ where $\xi f \in D[[\{X_\lambda\}]]_3$ and $\xi g \in D[[\{X_\lambda\}]]_3 - Q_\alpha$. This is immediate from the approximation theorem for Krull domains [2, P. 12], which shows that there is an element ξ of K such that $v_\alpha(\xi) = -v_\alpha^*(g)$ and such that $v_\beta(\xi) \geq 0$ for each essential valuation v_β of D distinct from v_α . Hence $(D[[\{X_\lambda\}]]_3)_{Q_\alpha}$ is the valuation ring of v_α^* . Before proving Theorem 3.2, we need to make one final observation: If P_α is finitely generated—say $P_\alpha = (p_1, \dots, p_n)$ —then Q_α is the extension of P_α to $D[[\{X_\lambda\}]]_3$. For is $f \in Q_\alpha$, then $f(s)$ can be written in the form $\sum_{i=1}^n a_i^{(s)} p_i$ for some $a_1^{(s)}, \dots, a_n^{(s)} \in D$. Hence if f_i is the element of $D[[\{X_\lambda\}]]_3$ such that $f_i(s) = a_i^{(s)}$ for each s in S , then $f = \sum_{i=1}^n f_i p_i$ and f is in the extension of P to $D[[\{X_\lambda\}]]_3$.

Proof of Theorem 3.2. That Q_α is a minimal prime of $D[[\{X_\lambda\}]]_3$ lying over P_α in D is clear. If M is any minimal prime of $D[[\{X_\lambda\}]]_3$ lying over P_α , then our previous observations show that M must be of the form $M_\beta^{(\alpha)}$, since only the $V_\beta^{(\alpha)}$'s meet K in V_α . Hence $V_\beta^{(\alpha)} \cong (D_{P_\alpha}[[\{X_\lambda\}]]_3)_{N_\alpha}$ and $MV_\beta^{(\alpha)}$, the maximal ideal of $V_\beta^{(\alpha)}$, contains $P_\alpha(D_{P_\alpha}[[\{X_\lambda\}]]_3)_{N_\alpha}$. Now $P_\alpha D_{P_\alpha}$ is principal so that $Q_\alpha(D_{P_\alpha}[[\{X_\lambda\}]]_3)_{N_\alpha} = P_\alpha(D_{P_\alpha}[[\{X_\lambda\}]]_3)_{N_\alpha}$. Consequently

$$Q_\alpha \subseteq Q_\alpha(D_{P_\alpha}[[\{X_\lambda\}]]_3)_{N_\alpha} \cap D[[\{X_\lambda\}]]_3 \subseteq MV_\beta^{(\alpha)} \cap D[[\{X_\lambda\}]]_3 = M.$$

But since M is a minimal prime of $D[[\{X_\lambda\}]]_3$, this implies that $M = Q_\alpha$ and our proof is complete.

REFERENCES

1. N. Bourbaki, *Algebre*, Chap. IV, Paris, 1959.
2. ———, *Algebre commutative*, Chap. VII, Paris, 1965.
3. E. D. Cashwell and C. J. Everett, *Formal power series*, Pacific J. Math. **13** (1963), 45–64.
4. Don Deakard and L. K. Durst, *Unique factorization in power series rings and semigroups*, Pacific J. Math. **16** (1966), 239–242.
5. Robert Gilmer, *Some applications of the Hilfssatz von Dedekind-Mertens*, Math. Scand. **20** (1967), 240–244.
6. M. Nagata, *Local rings*, (New York, 1962.)
7. O. Zariski and P. Samuel, *Commutative algebra*, Vol. II, (Princeton, New Jersey, 1960.)

Received March 27, 1968.

FLORIDA STATE UNIVERSITY

PACIFIC JOURNAL OF MATHEMATICS

EDITORS

H. ROYDEN
Stanford University
Stanford, California

J. DUGUNDJI
Department of Mathematics
University of Southern California
Los Angeles, California 90007

R. R. PHELPS
University of Washington
Seattle, Washington 98105

RICHARD ARENS
University of California
Los Angeles, California 90024

ASSOCIATE EDITORS

E. F. BECKENBACH

B. H. NEUMANN

F. WOLF

K. YOSHIDA

SUPPORTING INSTITUTIONS

UNIVERSITY OF BRITISH COLUMBIA
CALIFORNIA INSTITUTE OF TECHNOLOGY
UNIVERSITY OF CALIFORNIA
MONTANA STATE UNIVERSITY
UNIVERSITY OF NEVADA
NEW MEXICO STATE UNIVERSITY
OREGON STATE UNIVERSITY
UNIVERSITY OF OREGON
OSAKA UNIVERSITY
UNIVERSITY OF SOUTHERN CALIFORNIA

STANFORD UNIVERSITY
UNIVERSITY OF TOKYO
UNIVERSITY OF UTAH
WASHINGTON STATE UNIVERSITY
UNIVERSITY OF WASHINGTON
* * *
AMERICAN MATHEMATICAL SOCIETY
CHEVRON RESEARCH CORPORATION
TRW SYSTEMS
NAVAL WEAPONS CENTER

The Supporting Institutions listed above contribute to the cost of publication of this Journal, but they are not owners or publishers and have no responsibility for its content or policies.

Mathematical papers intended for publication in the *Pacific Journal of Mathematics* should be in typed form or offset-reproduced, double spaced with large margins. Underline Greek letters in red, German in green, and script in blue. The first paragraph or two must be capable of being used separately as a synopsis of the entire paper. It should not contain references to the bibliography. Manuscripts, in duplicate if possible, may be sent to any one of the four editors. Please classify according to the scheme of Math. Rev. **36**, 1539-1546. All other communications to the editors should be addressed to the managing editor, Richard Arens, University of California, Los Angeles, California, 90024.

50 reprints are provided free for each article; additional copies may be obtained at cost in multiples of 50.

The *Pacific Journal of Mathematics* is published monthly. Effective with Volume 16 the price per volume (3 numbers) is \$8.00; single issues, \$3.00. Special price for current issues to individual faculty members of supporting institutions and to individual members of the American Mathematical Society: \$4.00 per volume; single issues \$1.50. Back numbers are available.

Subscriptions, orders for back numbers, and changes of address should be sent to Pacific Journal of Mathematics, 103 Highland Boulevard, Berkeley, California, 94708.

PUBLISHED BY PACIFIC JOURNAL OF MATHEMATICS, A NON-PROFIT CORPORATION

Printed at Kokusai Bunken Insatsusha (International Academic Printing Co., Ltd.), 7-17, Fujimi 2-chome, Chiyoda-ku, Tokyo, Japan.

Pacific Journal of Mathematics

Vol. 29, No. 3

July, 1969

Herbert James Alexander, <i>Extending bounded holomorphic functions from certain subvarieties of a polydisc</i>	485
Edward T. Cline, <i>On an embedding property of generalized Carter subgroups</i>	491
Roger Cuppens, <i>On the decomposition of infinitely divisible characteristic functions with continuous Poisson spectrum. II</i>	521
William Richard Emerson, <i>Translation kernels on discrete Abelian groups</i>	527
Robert William Gilmer, Jr., <i>Power series rings over a Krull domain</i>	543
Julien O. Hennefeld, <i>The Arens products and an imbedding theorem</i>	551
James Secord Howland, <i>Embedded eigenvalues and virtual poles</i>	565
Bruce Ansgar Jensen, <i>Infinite semigroups whose non-trivial homomorphs are all isomorphic</i>	583
Michael Joseph Kascic, Jr., <i>Polynomials in linear relations. II</i>	593
J. Gopala Krishna, <i>Maximum term of a power series in one and several complex variables</i>	609
Renu Chakravarti Laskar, <i>Eigenvalues of the adjacency matrix of cubic lattice graphs</i>	623
Thomas Anthony Mc Cullough, <i>Rational approximation on certain plane sets</i>	631
T. S. Motzkin and Ernst Gabor Straus, <i>Divisors of polynomials and power series with positive coefficients</i>	641
Graciano de Oliveira, <i>Matrices with prescribed characteristic polynomial and a prescribed submatrix.</i>	653
Graciano de Oliveira, <i>Matrices with prescribed characteristic polynomial and a prescribed submatrix. II</i>	663
Donald Steven Passman, <i>Exceptional 3/2-transitive permutation groups</i>	669
Grigorios Tsagas, <i>A special deformation of the metric with no negative sectional curvature of a Riemannian space</i>	715
Joseph Zaks, <i>Trivially extending decompositions of E^n</i>	727