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THE BRANDT CONDITION AND INVERTIBILITY OF MODULES

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Let L be a finite dimensional, symmetric algebra with 1 over the quotient field K of an infinite domain R . When L is quaternion algebra and R is a Prüfer ring, it is known that an R -module contained in L is invertible if and only if it satisfies a certain relation between it, its dual and its discriminant [7]. We call a symmetric algebra L with this property a Brandt algebra.

We prove: (i) If R is a Prüfer ring, then L is a Brandt algebra if it is 3-dimensional or if it contains only invertible modules. (ii) If R is a valuation ring and L is a Brandt algebra, then any module or its dual is invertible. (iii) If $R \neq K$, then L is not a Brandt algebra if it is generated over K by a single non-cubic element or if it is a matrix algebra over a symmetric algebra L_1 with $(L_1: K) > 1$.

In the late 1920's, a composition of quaternary quadratic forms with rational coefficients, initially formulated by Brandt [2], was reformulated in terms of the multiplication of modules in quaternion algebras. This reformulation was motivated by, and analogous to, Dedekind's theory for the composition of binary quadratic forms. In the binary case, all the modules involved are automatically invertible. For quaternary algebras, this is not so. Brandt dealt with this problem by restricting consideration to forms having the same discriminant and by imposing certain primitivity conditions.

Kaplansky [7], has generalized Brandt's theory to quaternary, quadratic forms over arbitrary Bezout domains (finitely generated ideals are principal). Through the use of the bilinear form on quaternion algebras, he translated Brandt's restriction on forms into a relation between a module in the algebra, its dual and its discriminant, to which we referred above. We call this relation the *Brandt Condition*, a module which satisfies it a *Brandt module* and an algebra in which a module is invertible if and only if it is a Brandt module, a *Brandt algebra*.

The purpose of this paper is to begin a classification of all Brandt algebras. In addition to the results quoted above, we partially settle the question as to when degenerate quaternion algebras are Brandt algebras, and give an example of a cubic algebra which is not a Brandt algebra.

I. PRELIMINARIES

1. **Modules and their duals.** The following notation will be standard throughout this paper. L will be a finite dimensional, associative algebra with 1 over the quotient field K of an infinite domain R . (The assumption that R is infinite is not really restrictive since, if R is finite then $R = K$ and this case is of no interest.) When we say that L is a *symmetric* algebra over K , we mean that there is defined on L a (bilinear) *form*, denoted by f unless otherwise stated; that is, there is defined on L a bilinear map

$$f: L \times L \rightarrow K$$

which is

- (i) *symmetric*, i.e., $f(a, b) = f(b, a)$ for all $a, b \in L$,
- (ii) *nonsingular*, i.e., $f(a, b) = 0$ for all $b \in L$ implies that $a = 0$,
- (iii) *invariant*, i.e., $f(ab, c) = f(a, bc)$ for all $a, b, c \in L$.

We shall consider only R -modules which are contained in L , finitely generated over R and which span L as vector spaces over K . When we speak of a *module*, we shall automatically mean one of this type.

A module is called a *semi-order* if it contains 1 and consists entirely of elements integral over R . A module which is a ring and which contains R is called an *order*. We remark that, since orders are finitely generated as R -modules, they are semi-orders as well.

Via the form f , we can identify L with its dual space by the homomorphism which sends x to $f(x, \cdot)$ for each x in L . Since f is nonsingular, this map is one to one, and so, onto as well.

Let A be a module. We define the *dual* of A , written A^* , to be the set of elements x in L such that $f(x, A) \subseteq R$. Then A^* is an R -module. Since A is finitely generated, some R -multiple of any element in L is in A^* , so, A^* spans L over K .

If A is a free module with basis a_1, \dots, a_n and dual basis b_1, \dots, b_n , then it is clear that each b_i is in A^* . If z is any element of A^* , and if $f(z, a_i) = r_i$ for each i , then the nonsingularity of f implies that $z = \sum_{i=1}^n r_i b_i$, i.e., b_1, \dots, b_n is a basis for A^* over R . This implies that the dual of a free module is a module in the sense of this paper. Further, the same argument applied to A^* shows that a_1, \dots, a_n is a basis for A^{**} , i.e., $A = A^{**}$.

2. **The norm and discriminant of a module.** For every $x \in L$, we define the *norm* of x , written $N(x)$, to be the determinant of x in the right regular representation of L . (We could equally well use the left representation.)

Using the definition of the norm of an element, we define the norm of a module as follows. Suppose that A is a module with ge-

nerators a_1, \dots, a_m over R . Let M_i be the matrix of a_i with respect to some fixed basis of L over K . Let $x = \sum_{i=1}^m x_i a_i$ be an arbitrary element of A with each $x_i \in R$. Then

$$N(x) = \det \left(\sum_{i=1}^m x_i M_i \right) = g(x_1, \dots, x_m)$$

where $g(x_1, \dots, x_m)$ is a homogeneous polynomial of degree m in x_1, \dots, x_m . We define $N(A)$, the *norm* of A , to be the fractional R -ideal generated by the coefficients of g .

We claim that $N(A)$ is independent of the generating set chosen. For, let b_1, \dots, b_s be another generating set for A and let $b_i = \sum_{j=1}^m t_{ij} a_j$ with each $t_{ij} \in R$. If $z \in A$, then

$$z = \sum_{i=1}^s y_i b_i = \sum_{j=1}^m \left(\sum_{i=1}^s t_{ij} y_i \right) a_j,$$

with each $y_i \in R$. Now, substituting $\sum_{i=1}^s t_{ij} y_i$ for x_j in g , for each j , we get $N(z)$ on the one hand and a homogeneous polynomial $g_i(y_1, \dots, y_s)$ on the other. Since R is infinite, polynomials which are everywhere equal are identical, so g_i is the norm polynomial of A with respect to the generators b_1, \dots, b_s . But the coefficients of g_i are R -linear combinations of the coefficients of g , so the R -ideal they generate is contained in $N(A)$. Equality follows by symmetry.

Suppose that A is a free module with basis a_1, \dots, a_n over R . We define the *discriminant* of A , written $\Delta(A)$, to be the determinant of the matrix $(\underline{f}(a_i, a_j))$. It is easy to check that $\Delta(A)$ is unique up to a square of a unit of R . If b_1, \dots, b_n is a dual basis to a_1, \dots, a_n , it is not difficult to check that the matrix $(\underline{f}(b_i, b_j))$ is the inverse of the matrix $(\underline{f}(a_i, a_j))$, so that $\Delta(A^*) = (\Delta(A))^{-1}$.

3. The Brandt Condition. We are now in a position to define the Brandt Condition for a free module. We say that the free module A satisfies the *Brandt Condition* if and only if

$$N(A^*)\Delta(A) \subseteq N(A).$$

At this point, a little care must be taken. There are, in general, many forms on L . If the Brandt Condition were dependent upon the form, a complex situation would arise concerning the definition of Brandt modules and the statement of theorems. The following two lemmas show that the Brandt Condition is independent of the form chosen. The first lemma was proved for algebras with identity by Nakayama [8], and was extended to the infinite dimensional case by Jans [6], again for algebras with 1.

LEMMA 1. *Let F be any field and B a finite dimensional, as-*

sociative algebra over F . Let \underline{f} be a symmetric non-singular, invariant, bilinear form on B . Let \underline{g} be any symmetric, invariant bilinear form on B . Then, there exists θ in the centroid of B such that $\underline{f}(\theta a, b) = \underline{g}(a, b)$ for all $a, b \in B$. If B contains an identity, θ is in the center of B .

Proof. We identify B with its dual space via \underline{f} as has been already outlined.

Choose any $a \in B$. Then $\underline{g}(a, \cdot)$ is a linear functional on B and so, there is $z_a \in B$ such that $\underline{g}(a, \cdot) = \underline{f}(z_a, \cdot)$. Define the map $\theta: B \rightarrow B$ by $\theta: a \rightarrow z_a$. We claim that θ is in the centroid of B . It is clearly linear, so we need only show that it commutes with the right and left multiplications of B . We give the details for the left multiplications. Let $a, x \in B$. To show that $\theta(ax) = a\theta(x)$, it is enough to show that $\underline{f}(\theta(ax) - a\theta(x), y) = 0$ for every $y \in B$. Now

$$\underline{f}(\theta(ax), y) = \underline{g}(ax, y) = \underline{g}(a, xy) .$$

Using the invariance and symmetry of \underline{f} and \underline{g} , we find that

$$\underline{f}(a\theta(x), y) = \underline{f}(ya, \theta(x)) = \underline{g}(a, xy) .$$

Hence θ is in the centroid.

If $1 \in B$, then $\underline{g}(1, \cdot) = \underline{f}(z, \cdot)$ for $z = \theta(1)$. Then, for any $a, b \in B$, we have that

$$\underline{g}(a, b) = \underline{g}(1, ab) = \underline{f}(z, ab) = \underline{f}(za, b) .$$

Since θ is in the centroid, z is in the center and the proof is complete.

Since, in the above lemma, B is finite dimensional, θ will be a unit of the centroid if and only if \underline{g} , as well as \underline{f} , is nonsingular. For θ is a nonunit if and only if it annihilates a nonzero x in B . But this means that $\underline{g}(x, \cdot)$ is identically zero, i.e., \underline{g} is singular. That θ^{-1} is in the centroid follows without difficulty. Therefore, if $\underline{g}(\cdot, \cdot) = \underline{f}(\theta(\cdot), \cdot)$, we have that $\underline{f}(\cdot, \cdot) = \underline{g}(\theta^{-1}(\cdot), \cdot)$. This makes the following definition symmetrical.

If B is a finite dimensional algebra over a field F , and \underline{g} and \underline{h} are nonsingular bilinear forms on B , we say that \underline{g} and \underline{h} are *equivalent* if there is θ in the centroid of B such that $\underline{g}(\cdot, \cdot) = \underline{h}(\theta(\cdot), \cdot)$.

LEMMA 2. *Let L be symmetric under equivalent forms \underline{f} and \underline{g} . Let A be a module. Then A satisfies the Brandt Condition with respect to \underline{f} if and only if it satisfies the Brandt Condition with re-*

spect to \underline{g} .

Proof. Write $\Delta_f(A)$ and A_f^* for the discriminant and dual with respect to \underline{f} and do similarly with respect to \underline{g} . Suppose that $\underline{f}(za, b) = \underline{g}(a, b)$ for all $a, b \in L$. Then, $\Delta_g(A) = N(z)\Delta_f(A)$.

Choose $t \in L$. Then $\underline{g}(t, A) \subset R$ if and only if $\underline{f}(zt, A) \subset R$. Since z is a unit of L , this implies that $A_g^* = z^{-1}A_f^*$. So,

$$N(A_g^*) = N(z)^{-1}N(A_f^*) .$$

This shows that

$$N(A_g^*)\Delta_g(A) = N(A_f^*)\Delta_f(A) .$$

Since $N(A)$ is independent of \underline{f} and \underline{g} , we have the result.

4. Invertibility of modules. We refer the reader to [4] for full details of the following discussion.

Let A be a module. Then the elements $x \in L$ such that $xA \subseteq A$, and the elements $y \in L$ such that $Ay \subseteq A$ each form a ring containing R . Further, since A is finitely generated and spans L over K , these rings span L over K as well. We call these rings the *left* and *right orders* of A , respectively, and write $A = {}_PA_Q$ to indicate that P is the left order of A and that Q is the right order of A . When R is a Prüfer ring (nonzero, finitely generated ideals invertible), P and Q are finitely generated and so are orders in the sense of this paper.

We call the set of x in L such that $AxA \subseteq A$ the *inverse* of A , written A^{-1} . Again, A^{-1} spans L over K and will be finitely generated when R is a Prüfer ring, so that A^{-1} is a module in this case.

We say that A is *left (right) invertible* if and only if $A^{-1}A = Q(AA^{-1} = P)$ and *invertible* if it is both left and right invertible.

5. Brandt modules and localization. When R is a Prüfer ring, modules are projective since they are both finitely generated and torsion free, and so they are locally free. With this in mind, we define a module to be a *Brandt module*, for a general R , if and only if A_M satisfies the Brandt Condition as an R_M -module for each maximal ideal M of R . (The definition only makes sense for modules which are locally free.) We shall call L a *Brandt algebra* if a module contained in it is invertible if and only if it is a Brandt module.

If A is a free module, we can apply the Brandt Condition to it directly, and it is worth checking that, in this case, A satisfies the Brandt Condition if and only if it does so locally.

Let a_1, \dots, a_n be a basis for A over R and let M be a maximal ideal of R . Then a_1, \dots, a_n is a basis for A_M as an R_M -module, so

$$\Delta(A) = \Delta(A_M).$$

Further, $(A^*)_M = (A_M)^*$. For, from $f(A^*, A) \subseteq R$, we get immediately that $(A^*)_M \subseteq (A_M)^*$. Conversely, let $x \in (A_M)^*$ and suppose that $f(x, a_i) = r_i/s_i$ for each i with r_i and s_i in R . Setting $s = \sum_{i=1}^n s_i$, we see that $sx \in A^*$; so $x \in (A^*)_M$, and we have equality.

Finally, for any module B , the norm polynomial for B_M is the same as that for B (use the same basis for B_M as for B) except that the domain has been enlarged to R_M . So, $N(B_M) = N(B)_M$.

Then, the above facts, together with the fact that ideals are equal if and only if they are equal locally, give the result.

Standard arguments show that the concept of invertibility localizes, i.e., A is invertible as an R -module if and only if A_M is invertible as an R_M -module for each maximal ideal M of R .

The above discussion implies that we need only deal with the local situation in determining the connection between invertibility and the Brandt Condition. In this direction, we note that R is a Prüfer ring if and only if R_M is a valuation ring for each maximal ideal M of R .

6. Extending the base ring. Suppose that R is a valuation ring with maximal ideal M . Then the order of the residue class field R/M plays a significant role in the discussion which follows. We wish to show that we can always assume that R/M is infinite. We follow the technique in [4].

Let R be a valuation ring with maximal ideal M . Define $R_0 = R[x]_{MR[x]}$ where x is an indeterminate which commutes with the elements of L . Then R_0 is the ring of rational functions $h(x)/g(x)$ where $h(x)$ and $g(x)$ are polynomials with coefficients in R and $g(x)$ has at least one coefficient a unit of R . Then R_0 is also a valuation ring (any rational function or its reciprocal is in R_0) and has quotient field $K(x)$. We set $L_0 = LK(x)$ and, for any module A , we let $A_0 = AR_0$. Then $(L_0 : K(x)) = (L : K)$ and A_0 is a finitely generated R_0 -module which spans L_0 over $K(x)$. Further R_0/MR_0 is infinite. We extend the form f to L_0 in the obvious way, allowing it to commute with the action of x . We have the following

LEMMA 3. *Let R be a valuation ring. Let A be an R -module and let $A_0 = AR_0$. Then A is a Brandt module if and only if A_0 is a Brandt module.*

Proof. Let a_1, \dots, a_n be a basis of A over R . Then it is also a basis for A_0 over R_0 . Taking discriminants with respect to this basis, we see that $\Delta(A) = \Delta(A_0)$.

We claim that $(A_0)^* = (A^*)_0$. For, let $b = \sum_{i=0}^m b_i x^i$ be an arbitrary

element of $(A^*)_0$. (So, each b_i is in A^* .) Then $f(b, A) \subseteq R_0$, and so, from the bilinearity of f , $f(b, A_0) \subseteq R_0$, i.e., $(A^*)_0 \subseteq (A_0)^*$. If

$$c = \sum_{i=1}^m c_i x^i \in (A_0)^*$$

then $f(c, A) \subseteq R_0$, which implies by the transcendency of x , that the coefficients of c are in A^* . Hence, $c \in (A^*)_0$, and we have equality.

With respect to the basis a_1, \dots, a_n , the norm polynomial for A_0 is the same as that for A except that the domain has been enlarged to R_0 . So, $N(A_0) = N(A)R_0$. Similarly, $N(A_0^*) = N(A^*)R_0$.

If A is a Brandt module, we have that

$$(1) \quad N(A^*)R_0 \Delta(A) \subseteq N(A)R_0,$$

and the above discussion shows that A_0 is also a Brandt module. Conversely, if A_0 is a Brandt module, it satisfies (1) and since x is transcendental, we get that A is a Brandt module by comparing constant terms in (1). The proof is complete.

We remark that the relevant remarks in the above proof show also that if B and C are R -modules, then $N(B) = N(C)$ if and only if $N(B_0) = N(C_0)$.

In [4], we proved that a module A is invertible if and only if A_0 is invertible as an R_0 -module. This fact and Lemma 3 allow us to assume, when discussing invertibility, that when R is a valuation ring with maximal ideal M , then R/M is infinite. We do so. This implies, again by [4], that when R is a valuation ring, any module A contains an element of minimal norm (i.e., there is an $x \in A$ such that for all $y \in A$, $N(x)$ divides $N(y)$) and that $B = x^{-1}A$ is a semi-order. (That an element of minimal norm is invertible is also shown in [4].)

We shall need the following lemma.

LEMMA 4. *Let R be a Prüfer ring and let A be a semiorder. Then $N(A) = R$.*

Proof. The hypotheses localize, so we may assume that R is a valuation ring. Let R_0 be the extension of R previously described and let $A_0 = AR_0$. Let $N_1(A)$ be the R -ideal generated by the norms of the elements of A and let $N_1(A_0)$ be the R_0 -ideal generated by the norms of elements of A_0 . Since A is a semi-order $N_1(A) = R$.

Let $z = h(x)/g(x)$ be any element of A_0 with $h(x) = \sum_{i=0}^m a_i x^i$ with $a_i \in A$ for each i . Then, a moments reflection shows that the constant term of $N(z)$ is $N(a_0)/g^n$ (where $n = (L:K)$), i.e., the constant terms of norms of elements of A_0 are just norms of elements of A times a unit

of R_0 . Further, $N_1(A) \subseteq N_1(A_0)$ since $A \subseteq A_0$. This means that $N_1(A_0) \cap K = N_1(A) = R$. In general, we have that $N(A) = N(A_0) \cap K$. But in [4], we showed that the infinity of the residue class field of R_0 implies that $N(A_0) = N_1(A_0)$. So, $N(A) = R$ and we are done.

We remark in passing, that, for any R -module A , $N_1(A) \subseteq N(A)$. This follows once we observe that the norms of elements of A are linear combinations of the coefficients of the norm polynomial.

We use the extension of the base ring to extract one more fact. Let A be a module and let $u \in L$. We claim that $N(uA) = N(u)N(A)$. Extend the base ring. Then we have, in general, that

$$(2) \quad N_1(uA_0) = N(u)N_1(A_0).$$

But now N and N_1 are the same on modules. So, by replacing N_1 with N in (2) and intersecting with K , we get the result.

II. THE BRANDT CONDITION AND INVERTIBILITY

7. Invertible modules which are Brandt. We say that a module $A = {}_P A_Q$ is *left (right) principal* if there is $x \in A$ such that $A = Px (= xQ)$. We remark that since A spans L over K , x is a unit of L . Hence $A = x(x^{-1}Px)$. It is easy to check that $x^{-1}Px = Q$, so that left principal implies right principal. It is clear that principal modules are invertible.

We are going to prove that when R is a Prüfer ring, modules which are locally principal are Brandt. (Such modules are, of course, invertible.) This result is not as special as it may seem, for, there are many algebras which have the property that modules are invertible if and only if they are locally principal. When R is a Prüfer ring, algebras with an involution (see [7]) and algebras which are commutative module their radical (see [4]) have this property. Further, when R is a Dedekind ring and L is either central simple or separable, ideals of maximal orders are locally principal. (see [1] and [3] respectively.) With these facts in mind, we begin the development.

We form *pairs* $[A, a]$, where A is a free module and $a \in K - \{0\}$. We define the *discriminant of the pair* $[A, a]$ to be $\Delta(A)/a^2$ and its *norm* to be $N(A)/a$. We say that the pair is *primitive* if $N(A) = aR$. The theorem will be an easy consequence of the following lemma modified from Theorem 9 of [5].

LEMMA 5. *Let R be a valuation ring. Let A , B and C be modules with A principal, and suppose that $BC \subseteq A$. Let the pairs $[B, b]$, $[C, c]$ and $[A, bc]$, with b and c nonzero, have the same discriminant and suppose that $[A, bc]$ is primitive. Then A is a Brandt module.*

Proof. From $f(BC, A^*) \subseteq R$, it follows by invariance that $f(B, CA^*) \subseteq R$. Hence, $CA^* \subseteq B^*$ and so, for any $t \in A^*$, $Ct \subseteq B^*$. This gives that $\Delta(Ct)R \subseteq \Delta(B^*)R$ which implies that

$$(3) \quad N(t)^2 \Delta(C)R \subseteq \Delta(B^*)R.$$

Since $N(A^*)$ is principal (R is a valuation ring), (3) implies that

$$(4) \quad N(A^*)^2 \Delta(C) \subseteq \Delta(B^*)R = \Delta(B)^{-1}R.$$

Since $\Delta(B)/b^2 = \Delta(C)/c^2 = \Delta(A)/b^2c^2$, we get that $\Delta(B) = \Delta(A)/c^2$ and $\Delta(C) = \Delta(A)/b^2$. Substituting these equalities in (4) and taking square roots gives that

$$N(A^*)\Delta(A) \subseteq bcR = N(A),$$

i.e., A is a Brandt module and we are done.

We can now deduce

THEOREM 1. *Let R be a Prüfer ring with quotient field K . Let L be a finite dimensional, symmetric algebra with 1 over K with the property that invertible modules are locally principal. Then every invertible module is a Brandt module.*

Proof. Let $A = {}_R A_Q$ be an invertible module. We assume that R is a valuation ring; so, A is principal. Then the theorem follows from the lemma by setting $B = P$, $C = A$, $b = 1$ and $c = N(A)$.

In [4], we classified those algebras L which contain only invertible modules when R is a Prüfer ring. We list them.

- LIST A. (1) $K \oplus$ trivial algebra (module sum).
 (2) 2-dimensional field extensions of K .
 (3) $K \oplus K$ (ring sum).
 (4) Algebras of generalized 2×2 triangular matrices, i.e., subalgebras of $m \times m$ matrices the general element of which has the form

$$\left(\begin{array}{c|c} aI_1 & * \\ \hline 0 & bI_2 \end{array} \right)$$

where $a, b \in K$ and I_1 and I_2 are identity matrices.

In each case, these algebras have an involution (and are commutative module their radical); so they will satisfy the hypotheses of Theorem 1 if they are symmetric algebras. We deal with each one separately.

(i) $K \oplus$ trivial algebra has a nonsingular form if and only if the trivial algebra is zero.

(ii) If L is either a 2-dimensional field over K or $K \oplus K$, choose a basis $1, u$ for L over K with $u^2 = ru + s$, $r, s \in K$. Define a bilinear form \underline{f} on this basis by

$$\underline{f}(1, 1) = 0 \quad \text{and} \quad \underline{f}(1, u) = 1.$$

Insist that it be symmetric and extend it to higher powers of u by invariance and reduction. For example, we define

$$\underline{f}(u, u) = \underline{f}(1, u^2) = \underline{f}(1, ru + s) = r.$$

For convenience, we shall refer to this method of extension as *extension by invariance*. Since L is commutative, it involves no contradictions, and gives a nonsingular form. (The discriminant of the form is 1.)

(iii) For generalized 2×2 triangular matrices, use the reduced trace \underline{T} to define the form, i.e., if M is the matrix appearing in (4), above, then $\underline{T}(M) = a + b$. Then, define the form by $\underline{f}(X, Y) = \underline{T}(XY)$ for any matrices X and Y in the algebra. This gives a nonsingular form as required.

Now, suppose that L is a symmetric algebra from List A. Then, since all modules contained in L are invertible (R Prüfer), certainly the Brandt modules are. Conversely, L satisfies the hypotheses of Theorem 1, so invertible modules are Brandt. Hence, every symmetric algebra in List A is a Brandt algebra.

8. Three dimensional algebras are Brandt. We are going to show that when R is a Prüfer ring and L is a 3-dimensional algebra with 1 over K , then L is a Brandt algebra.

First, we remark that we may assume that L is spanned over K by the powers of a nonquadratic element. For, if this is not the case, then L is quadratic and it follows as a corollary to the work in [5] that L is one of the algebras in List A. We assume for the remainder of this section that L is spanned over K by the powers of a nonquadratic element.

We may now state the theorem.

THEOREM 2. *Let R be a Prüfer ring with quotient field K . Let L be a 3-dimensional algebra with 1 over K . Then L is a Brandt algebra.*

Proof. We proceed by a number of steps.

(a) We assume that $L = K[u]$, where $u^3 = au^2 + bu + c$, with

$a, b, c \in R$. Further, we assume that R is a valuation ring with infinite residue class field.

(b) We define a form \underline{f} on the basis of L by

$$\underline{f}(1, u^2) = 1 \quad \text{and} \quad \underline{f}(1, u) = \underline{f}(1, 1) = 0,$$

and extend \underline{f} to all of L by invariance. The discriminant of \underline{f} with respect to this basis is 1, so \underline{f} is nonsingular.

(c) Since L is commutative, invertible modules are principal, and so, by Theorem 1, invertible modules are Brandt. The rest of the proof will be devoted to proving the converse.

(d) Let A be a Brandt module. We show that we may assume that A is a semi-order. Let $x \in A$ be an element of minimal norm and let B be the semi-order $x^{-1}A$. It is clear that B is invertible if and only if A is, so we can assume that A is a semi-order to start with if we can show that B is a Brandt module.

Now $\Delta(B) = \Delta(x^{-1}A) = N(x)^{-2}\Delta(A)$. We know also that $B^* = xA^*$. For, if $z \in L$, then $\underline{f}(z, A) \subseteq R$ if and only if $\underline{f}(z, xB) \subseteq R$. Using invariance and the fact that x is a unit, we get the result. So, $N(B^*) = N(x)N(A^*)$. Finally, $N(A) = N(xB) = N(x)R$. Then, to show that B is a Brandt module, we need only substitute for A in terms of B in the Brandt relation for A .

Therefore, we may assume that A is a semi-order, so that the Brandt Condition on A becomes

$$N(A^*)\Delta(A) \subseteq R.$$

To show that A is invertible, it is sufficient (and necessary, see [4]) to show that it is an order. This we do.

(e) If A is a semi-order, it contains R as a pure submodule and therefore, as a direct summand (see [4]), so we can include 1 in a free basis of A over R . Let $1, v_1, v_2$ be such a basis. Since A spans L over K and R is infinite, A contains an infinite number of nonquadratic elements. Then, it is not hard to show that we can assume that v_1 and v_2 are, themselves, nonquadratic. Hence v_2 is a linear combination of $1, v_1$ and v_1^2 . Since $L = K[v_1]$, we may as well choose $u = v_1$. Hence, we may assume that

$$A = (1, u, e + fu + gu^2)/R,$$

with e, f and g in K .

(f) Using the form defined on L , we compute that $\Delta(A) = g^2$.

(g) We compute A^* . If

$$(5) \quad v = x' + y'u + z'u^2$$

is an arbitrary element of A^\sharp , then $\underline{f}(1, v) \in R$ implies that

$$z' = z \in R ;$$

$\underline{f}(u, v) \in R$ implies that

$$y' + az' = y \in R ;$$

and $\underline{f}(e + fu + gu^2, v) \in R$ implies that

$$x'g + y'(f + ag) + z'(e + af + (a^2 + b)g) = x \in R .$$

Solving these equations for x', y' and z' in terms of x, y and z , and substituting in (5), we find that the general element of A^\sharp is of the form

$$v = g^{-1}(x - (f + ag)y - (e + bg)z) + (y - az)u + zu^2 ,$$

for arbitrary x, y and z in R .

To compute $N(A^\sharp)$, we apply v to the basis $1, u$ and u^2 , and find the determinant polynomial of the matrix thus developed. Below, we list the coefficients which are relevant. g^2 times the coefficient of

$$(6) \quad x^3 \text{ is } g^{-1} .$$

$$(7) \quad y^3 \text{ is } -f^3g^{-1} - 2af^2 - a^2fg + bfg + cg^2 + abg^2 .$$

$$(8) \quad z^3 \text{ is } -e^3g^{-1} - be^2 - aceg + c^2g^2 .$$

We are assuming that these are in R by the Brandt Condition.

Further, since A is a semi-order, $e + fu + gu^2$ is integral over R . We find that $e + fu + gu^2$ satisfies the polynomial

$$w^3 - s_1w^2 + s_2w - s_3 = 0$$

where

$$\begin{aligned} s_1 &= af + a^2g + 2bg + 3e , \\ s_2 &= b^2g^2 - 2acg^2 - bf^2 - abfg - 3cfg + 2aef \\ &\quad + 2a^2eg + 4beg + 3e^2 , \\ s_3 &= b^2eg^2 - 2aceg^2 - bef^2 - abefg - 3cefg + ae^2f \\ &\quad + a^2e^2g + 2be^2g + e^3 - 3a^2cfg^2 + acf^2 - bcfg^2 \\ &\quad + f^3c + c^2g^3 + 3a^2cf^2g . \end{aligned}$$

Since $e + fu + gu^2$ is nonquadratic, s_1, s_2 and s_3 are in R .

(h) For A to be an order it is necessary and sufficient that $A^2 \subseteq A$. Now, A^2 is generated over R by the basis of A together with

$$(9) \quad u^2, u(e + fu + gu^2) \text{ and } (e + fu + gu^2)^2 .$$

The general element of A has the form

$$(10) \quad r + et + (s + ft)u + gtu^2$$

for arbitrary r, s and t in R . Then A is an order if elements r, s and t in R can be found so that the elements in (9) have the form of (10).

Reduce the elements in (9) by the minimal polynomial for u and equate them to (10) each in turn. Use the linear independence of $1, u$ and u^2 to get a system of 3 equations in each case and solve these equations for r, s and t . Then we find that

(i) $u^2 \in A$ if and only if

$$t = g^{-1}, s = -fg^{-1} \quad \text{and} \quad r = -eg^{-1}$$

are in R ;

(ii) $u(e + fu + gu^2)$ is in A if and only if

$$t = fg^{-1}, s = e + bg - af - f^2g^{-1} \quad \text{and} \quad r = cg - ae - efg^{-1}$$

are in R ; and

(iii) $(e + fu + gu^2)$ is in A if and only if

$$\begin{aligned} t &= f^2g^{-1} + g(a^2 + b) + 2e + 2af \\ s &= cg^2 + abg^2 + bfg - f^3g^{-1} - a^2fg - 2af^2 \\ r &= acg^2 + 2cfg - ef^2g^{-1} - beg - 2aef - e^2 - a^2eg \end{aligned}$$

are in R .

(i) Now g^{-1} is in R by the Brandt Condition. Multiply (7) and (8) each by g^{-2} . Then the resulting expressions are in R and are seen to be monic polynomials with coefficients in R for fg^{-1} and eg^{-1} respectively. Since R is integrally closed in K , we have that fg^{-1} and eg^{-1} are in R .

The second element in (iii) is just (7) and so, is in R .

A direct calculation shows that $p = f^2g^{-1} + af - bg - e$ satisfies the equation

$$(11) \quad w^3 + s_1w^2 + s_2w + s_3 \in R.$$

The calculation is straightforward, though tedious, with only one point worthy of special mention. The term f^6g^{-3} occurs in p^3 . Write

$$(12) \quad f^6g^{-3} = (f^3g^{-1})^2g^{-1}.$$

Set (7) equal to some element q in R and square both sides. We note that $(f^3g^{-1})^2$ occurs as one of the terms after squaring. We must substitute for $(f^3g^{-1})^2$ from this squared expression into (12) when we expand (11). By the integral closure of R in K , p is in R .

Since

$$f^2g^{-1} + g(a^2 + b) + 2e + 2af = p + s_1,$$

this term is also in R .

We have now shown that when the elements of (9) are expressed as K -linear combinations of 1 , u and $e + fu + gu^2$, the coefficients of u and $e + fu + gu^2$ are, in each case, in R . This means that the coefficients of 1 in these expressions together with the basis for A generate A^2 . But A^2 is again a semi-order since L is commutative, so that these coefficients of 1 , being integral over R and in K , are in R .

Hence $A^2 \subseteq A$ and the proof of the theorem is complete.

The following theorem applies to Brandt algebras and generalizes a theorem of Faddeev [3] on 3-dimensional algebras.

THEOREM 3. *Let R be a valuation ring. Let L be a symmetric, finite dimensional algebra with 1 over K , the quotient field of R , with property that Brandt modules are invertible. Then any module or its dual is invertible.*

Proof. Let A be a module. The divisibility property of valuation rings implies that either A or A^* is a Brandt module, and hence, invertible by hypothesis.

9. Degenerate quaternion algebras. We call the algebra L with basis $1, u, v, uv$ over K a *degenerate quaternion algebra* if $uv = -vu$, $u^2 = 0$ and $v^2 = t \in K$. The theory with regard to these algebras is incomplete; however, the facts which are known are given below. Since we dealt with 3-dimensional algebras in detail, we allow ourselves a more condensed exposition here.

(1) $\text{ch } K \neq 2$. Then, there are no forms. (Singularity is the problem.)

(2) $\text{ch } K = 2$. Let A be a semi-order and R a valuation ring. Then, A can be given a basis so that $z = p' + qu + rv + suv$ is the only basis element with nonzero constant term. Since $1 \in A$, $p' = p^{-1}$, with $p \in R$. Since z is integral over R ,

$$(*) \quad z^2 = p^{-2} + r^2t \in R.$$

In the case where (*) implies that $p^{-1} \in R$, in particular, when $t = 0$, A can always be given a basis of the form

$$(**) \quad A = (1, au + bv + cuv, dv + euv, fuv)/R.$$

We shall give the salient facts which prove that a Brandt semi-order A with basis as in (**) is an order. This proves, in particular, that if $t = 0$, then L is a Brandt algebra.

The Brandt Condition on A implies that the following expressions are in R :

- (i) $a^{-1}b^2d^{-1}fk$; (ii) $a^{-1}dfk$;
 (iii) $adf^{-1} + a^{-1}c^2df^{-1}k + a^{-1}b^2d^{-1}e^2f^{-1}k$.

The fact the basis is integral over R shows that

- (iv) $b^2k \in R$; (v) $d^2k \in R$.

The condition that $A^2 \subseteq A$ requires us to prove that the following expressions are in R :

- (a) bdk ; (b) $a^{-1}k(cd + be)$; (c) $a^{-1}bd^{-1}k(cd + be)$;
 (d) $adf^{-1} + a^{-1}c^2df^{-1}k + a^{-1}b^2d^{-1}e^2f^{-1}k$;
 (e) $a^{-1}b^2fk$; (f) $a^{-1}b^2d^{-1}fk$.

We argue as follows. We note that (d) and (f) are just (iii) and (i), respectively. The multiplication of (i) and (ii), and of (iv) and (v), followed by taking square roots in each case, gives that (e) and (a) are in R . Finally, we get (b) by multiplying (i) and (ii) and noting that $bk \in R$ (from (iv)); similarly, (c) is obtained by multiplying (i) and (iii) and noting that $bk \in R$. Hence, we are done.

The general case for $\text{ch } K = 2$ has not yielded to solution. However, we conjecture that, when R is a valuation ring, it also is a Brandt algebra and, further, that any quadratic, symmetric algebra is a Brandt algebra.

Theorem 2 might lead to the conjecture that commutative, cubic algebras are always Brandt. This is not the case. For, let

$$L = (1, u, v, uv)/K$$

be commutative with $u^2 = v^2 = 0$ and $\text{ch } K \neq 2$. Then, L is cubic. Let A be the semi-order (**). In this case, the Brandt Condition implies only that $adf^{-1} \in R$. But the demand that $A^2 \subseteq A$ requires the additional condition that $2abf^{-1} \in R$. This need not follow from the Brandt Condition; so, L is not a Brandt algebra.

10. Another norm. There is another norm which can be defined on modules. It has already appeared in § 6 where it was denoted by N_1 . For any module A , we defined $N_1(A)$ to be the (fractional) R -ideal generated by the norms of the elements of A . We have already used one of its important properties, namely that if B is a semi-order, then $N_1(B) = R$ for any domain R . We showed in [4] that when R is a valuation ring with infinite residue class field, then N_1 and N are the same. It is not difficult to show, in fact, that N_1 and N will be the same if the residue class field of R is at least as large as $(L:K)$.

If, however, we wish to define the Brandt Condition using N_1 instead of N , and to examine the connection between this new Brandt Condition and invertibility, we encounter some added difficulties. For, if R/M is too small, N_1 does not behave well under extension of the base ring, so that we cannot automatically assume that R/M is infinite. Also, in this case, $N_1(A)$ need not be finitely generated. (For details, see [4].) The upshot of this is that we cannot assume the existence of an element of minimal norm in $N_1(A^*)$ as we did in Theorem 1, and we cannot reduce to the case of a semi-order as we did in Theorem 2. However, Theorems 1 and 2 remain valid for N_1 as we show below. The validity depends heavily on the assumption that invertible modules are locally principal.

We shall continue to call a module Brandt if it satisfies the Brandt Condition locally with respect to N and shall call it N_1 -Brandt if it satisfies the Brandt Condition locally using N_1 . The validity of Theorems 1 and 2 for N_1 -Brandt modules follows as an easy consequence of Lemmas 6 and 7 respectively.

LEMMA 6. *Let R be a valuation ring and let $A = {}_P A_Q$ be a principal module. Then, if A is Brandt, it is N_1 -Brandt.*

Proof. Let $A = Px$. Since R is a valuation ring, we have that

$$N(A) = N(x)R = N_1(A).$$

If A is Brandt, we have that

$$N_1(A^*)A(A) \subseteq N(A^*)A(A) \subseteq N(A) = N_1(A),$$

and we are done.

LEMMA 7. *Let R be a valuation ring and let $A = {}_P A_Q$ be an N_1 -Brandt module. Let L have the property that any module or its dual is principal. Then A is Brandt.*

Proof. We have that

$$(13) \quad N_1(A^*)A(A) \subseteq N_1(A).$$

If A is not Brandt, it is not principal by Theorem 1, so A^* is principal and therefore, a Brandt module. Then

$$(14) \quad N(A)A(A^*) \subsetneq N(A^*),$$

the strict inclusion following from the fact that A is not Brandt. Rearranging (14), we have that

$$N_1(A) \subseteq N(A) \subsetneq A(A)N(A^*) = A(A)N_1(A^*),$$

which contradicts (13) and we are done.

11. Some algebras which are not Brandt. In this section, we prove that some rather elementary algebras are not Brandt algebras.

THEOREM 4. *Let R be a domain with quotient field K such that $R \neq K$. Let L be a finite dimensional algebra with 1 over K generated by a non cubic element. Then L is not a Brandt algebra.*

Proof. We shall show that L contains a noninvertible Brandt module.

Let $L = K[z]$, with z integral over R and suppose that the minimal polynomial for z is of degree n . We define a form \underline{f} on L by

$$\underline{f}(1, z^{n-1}) = 1 \quad \text{and} \quad \underline{f}(1, z^i) = 0, \quad 0 \leq i < n-1,$$

and extend \underline{f} to all of L by linearity and invariance. So, \underline{f} is symmetric, invariant and nonsingular. (The discriminant with respect to the basis $1, z, \dots, z^{n-1}$ is 1.) We remark that if L were already a symmetric algebra under some form \underline{g} , then we can replace \underline{g} by \underline{f} in what follows since \underline{f} and \underline{g} would be equivalent.

Let A be the module

$$A = (1, kz, k^3z^2, k^3z^3, \dots, k^3z^{n-1})/R,$$

where k is a nonunit of R . We claim that A is Brandt but not invertible.

It is straightforward to check that

$$\Delta(A) \in k^{6n-10}R.$$

Let p is an arbitrary element of A^* , written as a K -linear combination of the powers of z . Forcing $f(p,)$ to send each of the above basis elements of A to R , and solving the resulting equations, we find that the general element of A^* is of the form

$$x_0/k^3 + (x_1/k^3)z + \dots + (x_{n-3}/k^3)z^{n-3} + (x_{n-2}/k)z^{n-2} + x_{n-1}z^{n-1}.$$

Then the determinant of the norm of this element has entries in $k^{-3}R$. This shows that $N(A^*) \subseteq k^{-3n}R$, and, since $n > 3$, we have that

$$N(A^*)\Delta(A) \subseteq k^{3n-10}R \subsetneq R \subseteq N(A),$$

so A is a Brandt module.

But A is not invertible. For, suppose that $q = \sum_{i=0}^{n-1} y_i z^i$ is an arbitrary element of A^{-1} , with each $y_i \in K$. Since $1 \in A$, we see that $A^{-1} \subseteq A$, so that each y_i , except perhaps y_0 , is in kR . From $kzqkz \in A$,

we get that $y_0 k^2$ is an R -multiple of k^3 , so that $y_0 \in kR$ as well. It follows that if, for $a \in A$ and $b \in A^{-1}$, we express ab as a linear combination of $1, z, \dots, z^{n-1}$, all the coefficients are in kR . So, if $1 \in AA^{-1}$, then k would be a unit of R , a contradiction. The proof is complete.

As a corollary, we deduce the next lemma which applies, in particular, to commutative separable algebras.

LEMMA 8. *Suppose that $R \neq K$, and that L is the product of algebras L_1, \dots, L_m , such that $(L:K) > 3$ and with each L_i generated over K by a single element. Then L is not a Brandt algebra.*

Proof. Let $L_i = K[u_i]$ for each i . Let $p_i(x)$ be the minimal, monic polynomial for u_i , and let $p(x) = \prod_{i=1}^m p_i(x)$.

If k is a nonunit of R , all the powers of k are distinct and so, altering the u_i by a power of k if necessary, we may assume that if $i \neq j$, then $p_i(x)$ and $p_j(x)$ have no irreducible factors in common. In particular, $p_i(u_j) = 0$ if and only if $i = j$.

Let $u = (u_1, u_2, \dots, u_m) \in L$. Let $q(x)$ be the minimal, monic polynomial for u . Since $p(u) = 0$, $q(x)$ divides $p(x)$. On the other hand, $q(u) = 0$ implies that $q(u_i) = 0$ for each i . So, $p_i(x)$ divides $q(x)$ for each i . Since distinct $p_i(x)$ have distinct irreducible factors, this implies that $q(x) = p(x)$. Since $\deg p(x) = (L:K)$, L is generated over K by u . We are done by Theorem 4.

The fact that the module constructed in Theorem 4 satisfies the Brandt Condition with strict inclusion allows us to deduce the following converse to Theorem 3.

LEMMA 9. *Let R be a valuation ring with $R \neq K$. Let L be generated over K by a noncubic element. Then L contains a module such that neither it nor its dual is invertible.*

Proof. In Theorem 4, the module A is not invertible and satisfies

$$(15) \quad N(A^*)A(A) \subsetneq N(A) .$$

Since R is a valuation ring, (15) shows that A^* cannot be Brandt. Since L is commutative, invertible modules are Brandt, so A^* is not invertible.

For matrix algebras over symmetric algebras, we have the following

THEOREM 5. *Let R be a domain with quotient field K , such that*

$R \neq K$. Let L_1 be a finite dimensional, symmetric algebra with 1 over K with $(L_1: K) = m$. Then $L = M_n(L_1)$, the $n \times n$ matrices over L_1 , with $n \geq 2$, is not a Brandt algebra if $n > 2$ or $m > 1$.

Proof. Let $w_1 = 1, w_2, \dots, w_m$ be an integral basis for L_1 over K , and denote the form on L_1 by \underline{g} . We may assume, further, that the products $w_i w_j$ are R -linear combinations of the basis and that $\underline{g}(w_i, w_j) \in R$ for every i and j . Let e_{ij} be the matrix with 1 in the i -th row and the j -th column and zeros elsewhere. We fix the basis

$$w_p e_{qr}$$

of L over K , with $p = 1, \dots, m$ and $q, r = 1, \dots, n$.

We define the form \underline{f} on L by

$$\underline{f}(1, w_p e_{qr}) = \delta_{qr} \underline{g}(1, w_p)$$

on this fixed basis, where δ_{qr} is the Kronecker δ . We extend \underline{f} to all of L by invariance (i.e., we define $\underline{f}(x, y) = \underline{f}(1, xy)$ for all x, y in L) and linearity. Then \underline{f} is a symmetric, invariant bilinear form. It is also nonsingular. For, suppose that $\underline{f}(x, \cdot) = 0$ for some $x \in L$. Write $x = \sum_{r,s,t} a_{rst} w_r e_{st}$. Then

$$\begin{aligned} \underline{f}(x, w_p e_{uv}) &= \sum_{r,s} a_{rsu} \underline{f}(1, w_r w_p e_{sv}) \\ &= \sum_r a_{rvu} \underline{g}(1, w_r w_p) = \underline{g}\left(w_p, \sum_r a_{rvu} w_r\right) = 0, \end{aligned}$$

for every p, u and v . Since \underline{g} is nonsingular, we get that every a_{rvu} , and so x also, is zero.

Let A be the module with basis

$$1, ke_{12}, ke_{21}, k^3 w_p e_{qr},$$

where the triple (p, q, r) runs over all possible values except $(1, 1, 2)$, $(1, 2, 1)$ and $(1, 1, 1)$, and k is a nonunit of R . We shall show that A is a noninvertible Brandt module if $n > 2$ or $m > 1$.

First, the Brandt Condition. Order the basis of A so that the first four basis elements are $1, ke_{12}, ke_{21}$ and $k^3 e_{22}$. Suppose that (a_{uv}) is the symmetric matrix associated with $\Delta(A)$ in this ordering. Then, it is straightforward to see that the a_{uv} have the following properties.

- (i) $a_{11} = n, a_{23} = a_{32} = k^2$ and the other $a_{uv} = 0$ if $u, v \leq 3$;
- (ii) $a_{1j} \in k^3 R$ for all $j > 3$;
- (iii) a_{2j} and a_{3j} are in $k^4 R$ for all $j > 3$;
- (iv) $a_{ij} \in k^6 R$ for $i, j > 3$.

Then we need only examine the determinant $\Delta(A)$ to see that

$$\Delta(A) \in k^{6mn^2-14}R .$$

If

$$z = \sum_{r,s,t} x_{rst} w_r e_{st} , \quad \text{each } x_{rst} \in R ,$$

is an arbitrary element of $A^\#$, we have that $\underline{f}(1, z) \in R$ implies

$$\sum_{r,s} x_{rss} \underline{g}(1, w_r) \in R ;$$

$\underline{f}(ke_{12}, z) \in R$ implies

$$\sum_r x_{r21} \underline{g}(1, w_r) \in k^{-1}R ;$$

$\underline{f}(ke_{21}, z) \in R$ implies

$$\sum_r x_{r12} \underline{g}(1, w_r) \in k^{-1}R ;$$

$\underline{f}(k^3 w_p e_{ij}, z) \in R$ implies

$$\sum_r x_{rji} \underline{g}(w_p, w_r) \in k^{-3}R .$$

Let D be the determinant of the matrix of this system of equations. Then, we get by Cramer's rule that

$$x_{rst} = y_{rst} / D k^3 ,$$

for all r, s and t , with $y_{rst} \in R$. If D is a nonunit of R , choose $k = D$. In any case, the result of the above calculations is that

$$N(A^\#) \subseteq k^{-4mn^2}R .$$

So, from

$$N(A^\#)\Delta(A) \subseteq k^{2mn^2-14}R \subseteq N(A) ,$$

we see that if $n > 2$ or $m > 1$, A is a Brandt module.

But A is not invertible. To see this, let $q \in A^{-1}$. Since $1 \in A$, we get $A^{-1} \subseteq A$; so, q can be written as

$$q = x + \sum_{r,s,t} x_{rst} w_r e_{st} ,$$

for $x, x_{rst} \in K$ and $(r, s, t) \neq (1, 1, 1)$, with each x_{rst} in kR . Since

$$ke_{21}qke_{12} \in A ,$$

we get that xk^3 is an R -multiple of k^3 , so $x \in kR$ as well. Then, if we choose $a \in A$ and $b \in A^{-1}$ and express ab (or ba) as a linear combination of the basis of A , we find that the coefficient of 1 is in kR . But then, if $1 \in AA^{-1}$ (or $A^{-1}A$) k must be a unit of R , a contradiction,

and the proof is complete.

COROLLARY. *Let L_1 be a non-Brandt algebra of the form described in Theorem 4 or 5. Let L be a product of symmetric algebras one of the factors of which is L_1 . Then L is not a Brandt algebra. (Again, $R \neq K$.)*

Proof. Let A_1 be the module constructed in Theorem 4 or 5. Choose a module in each other factor of L such that the norm of each module contains R . Let A be the product of A_1 with these other modules.

The Brandt Condition on A reduces to the product of the Brandt Conditions on each of the factors of A . Since all of the fractional ideals involved in this product are finitely generated, it follows from the fact that $N(A_1^*)\Delta(A_1) \subseteq kR$, for some nonunit k of R , that k can be chosen large enough so that

$$N(A^*)\Delta(A) \subseteq R \subseteq N(A).$$

But A is invertible if and only if each of its factors is. Since A_1 is not invertible in L_1 , we are done.

We remark finally, that, if L is a 3-dimensional field over K , it follows from the fact that $M_2(L) \cong M_2(K) \otimes_K L$ is not a Brandt algebra that the tensor product of Brandt algebras need not be a Brandt algebra.

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