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## ON THE EQUIVALENCE OF NORMALITY AND COMPACTNESS IN HYPERSPACES

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Let X be a topological space and  $2^x$  the space of all closed subsets of X with the finite topology. Assuming the continuum hypothesis it is shown that  $2^x$  is normal if and only if X is compact. It is not known if the continuum hypothesis is a necessary assumption, but it is shown that for X a k-space,  $2^x$  normal implies X compact. A theorem about the compactification of the *n*-th symmetric product of a space X is first proved which then plays an important part in the proof of the above results.

Throughout this paper we will assume that X is any completely regular  $T_1$  space. By  $2^x$  we will mean the space of all closed subsets of X with the finite topology [13, Definition 1.7, p. 153] except that we include the empty set as an isolated point as in [12]. The finite topology is also known as the exponential or Vietoris topology. Let  $\mathscr{T}_n(X)$  be the subspace of  $2^x$  consisting of all nonempty subsets of X with n points or less. This space is known as the n-th symmetric product of X.

In this paper the normality of  $2^x$  is studied. If X is compact, it is known that  $2^x$  is compact Hausdorff [13, Th. 4.2, p. 161] and thus normal. The main result of this paper is that if we assume the continuum hypothesis (CH), then  $2^x$  is normal if and only if X is compact. The first result in this direction was obtained by Ivanova [9] who proved that if X is a well ordered space with the order topology, then  $2^x$  normal implies X compact. In [10] it is shown that  $2^{2^x}$  is normal if and only if X is compact. These results were obtained without the use of CH.

The paper is divided into three sections. In the first section our main result is that  $\mathscr{F}_n(\beta X) = \beta \mathscr{F}_n(X)$  if and only if  $\mathscr{F}_n(X)$  is pseudocompact. This result is related to the work of Glicksberg in [7] and the proof makes use of his work. In the second section of the paper we investigate the normality of  $2^X$  without the aid of CH using the results of the first section. One significant result in this section is that if  $2^X$  is normal with X noncompact, then X is normal and countably compact, but  $X^n$  is not pseudocompact for some n. As a corollary one obtains that if X is first countable or locally compact, then  $2^X$  normal implies that X is compact. Also  $2^X \times \beta N$  is normal only when X is compact.

In the last section of the paper it is shown that if CH is assumed,

then if  $2^x$  is normal, then X is compact. This result is related to a result of N. Noble [15] who has shown that if every power  $X^{\alpha}$  of X is normal, then X is compact. Noble's result does not require CH, however.

PRELIMINARIES. As remarked in the introduction we assume that X is completely regular and  $T_1$ . We denote the Stone-Čech compactification of X by  $\beta X$ . One can imbed the space  $\mathscr{F}_n(X)$  into the space  $\mathscr{F}_n(\beta X)$  by the map i(F) = F for all  $F \in \mathscr{F}_n(X)$ . This imbedding can be easily seen to be onto a dense subset of  $\mathscr{F}_n(\beta X)$ . Since  $\mathscr{F}_n(\beta X)$  is compact, we thus have a compactification of  $\mathscr{F}_n(X)$  by  $\mathscr{F}_n(\beta X)$ . By  $\beta \mathscr{F}_n(X) = \mathscr{F}_n(\beta X)$  we mean that this compactification is equivalent to the Stone-Čech compactification of  $\mathscr{F}_n(X)$ .

General background in hyperspaces is conveniently given in [12] and [13]. Use is also made of techniques and results in [10]. Let us recall at this point two results to be used subsequently in the paper. If K is a closed subset of X, then  $2^{\kappa}$  as a topological space has the same topology as  $2^{\kappa}$  has as a subspace of  $2^{\chi}$ . If  $X = K_1 \cup K_2$  with  $K_1$  and  $K_2$  disjoint closed sets, then  $2^{\chi}$  is equivalent to  $2^{\kappa_1} \times 2^{\kappa_2}$  by [12, Corollary 5(a), p. 166].

We consider the cardinals as a subset of the ordinals in the natural way. Infinite cardinals will be denoted by  $\omega_{\alpha}$  where  $\alpha$  is an ordinal and where  $\omega_0$  is the cardinality of the integers,  $\omega_1$  the first uncountable ordinal, etc. By CH is meant  $2^{\omega_0} = \omega_1$ . This assumption is made only in the last section of the paper.

1. On the compactification of  $\mathscr{F}_n(X)$ . In this section we establish the result  $\beta \mathscr{F}_n(X) = \mathscr{F}_n(\beta X)$  if and only if  $\mathscr{F}_n(X)$  is pseudocompact. We first show that  $\mathscr{F}_n(X)$  is pseudocompact if and only if  $X^n$  is. Our proof of this result is not the easiest possible; however, by establishing an important proposition at this time, the proof of our main result in this section is made easier.

PROPOSITION 1.1. If  $X^n$  is not pseudocompact, then there is a collection of nonempty open sets in  $X \{U_k^i: k = 1, \dots, n; i = 1, 2, \dots\}$  such that  $\overline{U}_k^i \cap \overline{U}_k^j = \phi$  for  $(i, k) \neq (j, h)$  and such that if

 $O_i=\,U_{\scriptscriptstyle 1}^i imes\,\cdots\, imes\,U_{\scriptscriptstyle n}^i$  ,

then  $\{O_i\}_{i=1}^{\infty}$  forms a discrete collection in  $X^n$ .

One should compare Proposition 1.1 with that in Isbell [8, 38, p. 139] for motivation. We will prove the following lemma before proving 1.1.

LEMMA 1.2. Suppose that  $X^n$  has a countable closed discrete

subset  $B = \{x^i\}_{i=1}^{\infty}$  such that (1)  $x^i \in U_i$  with  $U_i$  open in  $X^n$ ; (2) for each subsequence  $B' = \{x^{ij}\}_{j=1}^{\infty}$  of B and each projection  $\pi_k$ ,  $\operatorname{Cl}_X \pi_k[B']$ is not compact; and (3) for each  $i, x^i = (x_1^i, \dots, x_n^i)$  with  $x_j^i \neq x_k^i$  for  $j \neq k$ . Then there is a subsequence  $B' = \{x^{ij}\}_{j=1}^{\infty}$  of B and a collection of open sets in X,  $\{V_k^j: k = 1, \dots, n; j = 1, 2, \dots\}$  such that (a)  $\overline{V}_k^j \cap$  $\overline{V}_k^i = \phi$  for  $(j, k) \neq (i, h)$ ; (b)  $x^{ij} \in V_1^j \times \dots \times V_n^j$ ; and (c)  $V_1^j \times \dots$  $\times V_n^j \subset U_{ij}$ .

*Proof.* Let  $B = \{x^i\}_{i=1}^{\infty}$  satisfy the hypotheses of the lemma. Let  $O_1^i$  be an open set containing  $x_1^i$  such that there is an infinite number of *i*'s such that  $\pi_k(x^i) \notin \overline{O}_1^i$  for  $k = 1, \dots, n$  and  $\overline{O}_1^i$  does not contain  $x_j^i$  for  $j = 2, \dots, n$ . Such an  $O_1^i$  exists by (2) and (3) of the hypotheses of the lemma. Let  $B_1 = \{x^{ij}\}_{j=1}^{\infty}$  be the set of all  $x^i$ 's such that  $\pi_k(x^i) \notin \overline{O}_1^i$  for  $k = 1, \dots, n$  or i = 1. Then let  $O_2^i$  be an open set containing  $x_2^i$  such that for an infinite subset of  $B_1, \pi_k(x^i) \notin \overline{O}_2^i$  for  $k = 1, \dots, n$ ;  $\overline{O}_2^i$  does not contain  $x_j^i$  for  $j \neq 2$ ; and  $O_2^i \cap O_1^i = \phi$ . Such an  $O_2^i$  exists by (2) and (3) of the lemma. Let  $B_2 = \{x^i: \pi_k(x^i) \notin \overline{O}_j^i$  for  $k = 1, \dots, n$ ; and j = 1 and 2 or i = 1. Continuing this process n times we arrive an n infinite subsequences of B,  $\{B_1, \dots, B_n\}$  and open sets in X,  $\{O_1^i, \dots, O_n^i\}$ , with (1)  $O_1^i \cap O_j^i = \phi$  for  $i \neq j$ ; (2)  $x_j^i \in O_j^i$  for  $j = 1, \dots, n$ ; and (3)  $B_j = \{x^i: \pi_k(x^i) \notin \overline{O}_q^i$  for  $k = 1, \dots, n$  and  $q = 1, \dots, j\} \cup \{x^i\}$ . Now let  $\{V_1^i, \dots, V_n^i\}$  be open subsets of X with the property that  $x_j^i \in V_j^i \subset \overline{V}_j^i \subset O_j^i$  and  $V_1^i \times \dots \times V_n^i \subset U_1$ .

Now let  $C_1 = B_n - \{x^i\}$  and  $X_1 = X - \bigcup_{i=1}^n O_i^i$ . Then  $C_1 \subset (\operatorname{int}_X X_1)^n$ and  $C_1$  together with  $X_1$  satisfies the three hypotheses of the lemma. Let  $x^{i_2}$  be the first element of  $C_1$ . Then repeating the construction described above we can get open sets in  $X_1$  which we can also suppose are open in X,  $\{O_{1}^2, \dots, O_n^2\}$  and  $\{V_1^2, \dots, V_n^2\}$ , and an infinite subsequence  $C_2$  of  $C_1$  such that (1)  $x_j^{i_2} \in V_j^2 \subset \overline{V}_j^2 \subset O_j^2$  for all j; (2)  $V_1^2 \times \cdots$  $\times V_n^2 \subset U_{i_2}$ ; and (3)  $C_2 \subset (\operatorname{int}_X X_2)^n$  where  $X_2 = X_1 - \bigcup_{i=1}^n O_i^2$ . Let  $x^{i_3}$ be the first element of  $C_2$ . Continuing this process inductively we get a subsequence  $B' = \{x^{i_j}\}_{j=1}^\infty$  and open sets  $\{V_k^j : k = 1, \dots, n; j = 1, 2, \dots\}$ satisfying the conclusion of the lemma.

Proof of Proposition 1.1. By induction on n. If n = 1, the proposition is clearly true. Suppose n > 1 and consider the following cases.

Case (i).  $X^{n-1}$  is not pseudocompact.

In this case we apply our induction hypothesis to get sets  $\{U_i^i \times \cdots \times U_{n-1}^i\}_{i=1}^{\infty}$  satisfying the conclusion of Proposition 1.1 for  $X^{n-1}$ . Then define  $\{V_j^i: j = 1, \dots, n; i = 1, 2, \dots\}$  such that  $V_j^i = U_j^{2i}$  for  $j = 1, \dots, n-1$  and  $V_n^i = U_1^{2i+1}$ . Then  $\{V_j^i\}$  can be easily seen to satisfy the conclusion of Porposition 1.1. Case (ii).  $X^{n-1}$  is pseudocompact.

In this case let  $B = \{x^i\}_{i=1}^{\infty}$  be a countably infinite *C*-imbedded subset of  $X^n$  with  $x^i \in U_i$  an open set in  $X^n$  with  $\{U_i\}_{i=1}^{\infty}$  a discrete collection in  $X^n$ . We claim that *B* satisfies the conditions of Lemma 1.2. Suppose that for some *i* and some subsequence *B'* of *B*,  $\operatorname{Cl}_x \pi_i[B']$ is compact. Then  $\operatorname{Cl}_x \pi_i[B'] \times X^{n-1}$  is pseudocompact [2, E 3.9. E, p. 151]. But  $B' \subset \operatorname{Cl}_x \pi_i[B'] \times X^{n-1}$  is *C*-imbedded in  $X^n$ , hence in  $\operatorname{Cl}_x \pi_i[B'] \times X^{n-1}$ , a contradiction. Thus conditions (1) and (2) of 1.2 are satisfied. If we let  $X_{ij} = \{(x_1, \dots, x_n) \in X^n : x_i = x_j\}$  and  $A = \bigcup_{i \neq j} X_{ij}$ , then noticing that there are only a finite number of the  $X_{ij}$ 's and that each  $X_{ij}$  is homeomorphic to  $X^{n-1}$  we get that  $U_i \cap A = \phi$  except for a finite number of *i*'s we may assume  $B \subset X^n - A$ and thus that *B* satisfies condition (3) of 1.2. Now let  $\{V_k^i : k = 1, \dots, n; j = 1, 2, \dots\}$  and  $B' = \{x^{ij}\}_{j=1}^{\infty}$  satisfy the conclusion of Lemma 1.2. Then  $O_i = V_1^i \times \cdots \times V_n^i$  satisfies the conclusion of Proposition 1.1.

THEOREM 1.3. For all n,  $\mathcal{F}_n(X)$  is pseudocompact if and only if  $X^n$  is pseudocompact.

*Proof.* Let  $p: X^n \to \mathscr{F}_n(X)$  be defined by

$$p((x_1, \cdots, x_n)) = \{x_1, \cdots, x_n\}.$$

Then p is continuous and closed. Also  $p|(X^n - A)$  is a local homeomorphism, hence open onto  $\mathscr{F}_n(X) - \mathscr{F}_{n-1}(X)$ , where A is defined as in the previous proof (see [4]). If  $X^n$  is pseudocompact, then  $\mathscr{F}_n(X)$  is since pseudocompactness is preserved under continuous transformation. Now suppose that  $X^n$  is not pseudocompact. Let  $\{U_k^i: k =$  $1, \dots, n; i = 1, 2, \dots\}$  satisfy the conclusions of Proposition 1.1. Let  $O_i = U_1^i \times \cdots \times U_n^i$ . Then  $\{p(O_i)\}_{i=1}^{\infty}$  can be seen to be a discrete collection of nonempty open sets in  $\mathscr{F}_n(X)$ . Thus  $\mathscr{F}_n(X)$  is not pseudocompact.

THEOREM 1.4. Let  $n \ge 2$ . Then  $\beta \mathscr{F}_n(X) = \mathscr{F}_n(\beta X)$  if and only if  $\mathscr{F}_n(X)$  is pseudocompact.

*Proof.* Note that for n = 1,  $\beta \mathscr{F}_1(X) = \mathscr{F}_1(\beta X)$  with no assumptions. Suppose that  $\mathscr{F}_n(X)$  is pseudocompact. Then by Theorem 1.3,  $X^n$  is also pseudocompact. Thus by [7, Th. 1, p. 371],  $\beta(X^n) = (\beta X)^n$ . Now let  $f: \mathscr{F}_n(X) \to [0, 1]$  be continuous and let  $F: X^n \to [0, 1]$  be defined by  $F = f \circ p$  where  $p: X^n \to \mathscr{F}_n(X)$  is as defined in the proof of Theorem 1.3. Now F has an extension  $F^*: (\beta X)^n \to [0, 1]$  since  $\beta(X^n) = (\beta X)^n$ . Consider the map  $p^*: (\beta X)^n \to \mathscr{F}_n(\beta X)$  defined by

$$p^*((x_1, \dots, x_n)) = \{x_1, \dots, x_n\}$$
.

Clearly  $p^*$  is an extension of p and a quotient map [4]. If we can show that  $F^*$  is constant on the point inverses of  $p^*$ , then by defining  $f^*$  by  $F^* \circ p^{*-1}$ ,  $f^*$  will be well defined. Also  $f^*$  will be continuous by [1, Th. 3.2, p. 123] and an extension of f to  $\mathscr{F}_n(\beta X)$ . Thus

$$\mathscr{F}_n(\beta X) = \beta \mathscr{F}_n(X)$$

by [6, Th. 6.5, p. 86]. Thus it will be sufficient to show that  $F^*$  is constant on the point inverses of  $p^*$ . To that end let  $\{x_1, \dots, x_k\} \in \mathscr{F}_n(\beta X)$  with  $x_i \neq x_j$  for  $i \neq j$ . Let

$$p^*((z_1, \dots, z_n)) = p^*((y_1, \dots, y_n)) = \{x_1, \dots, x_k\}$$
.

One can construct a net  $\{x_1^{\alpha}, \dots, x_k^{\alpha}\}$  of elements  $x_i^{\alpha}$  in X converging to  $\{x_1, \dots, x_k\}$  in  $\mathscr{F}_n(\beta X)$  such that  $x_i^{\alpha} \neq \frac{\alpha}{j}$  for  $i \neq j$  for each  $\alpha$  and  $x_i^{\alpha} \to x_i$  for all i. Now if  $z_i = x_j$  let  $z_i^{\alpha} = x_j^{\alpha}$ , and if  $y_i = x_j$  let  $y_i^{\alpha} = x_j^{\alpha}$ , for all  $\alpha$ . Then  $(y_1^{\alpha}, \dots, y_n^{\alpha}) \to (y_1, \dots, y_n)$  in  $X^n$  and  $(z_1^{\alpha}, \dots, z_n^{\alpha}) \to (z_1, \dots, z_n)$  in  $X^n$ . Thus  $F^*((y_1^{\alpha}, \dots, y_n^{\alpha})) \to F^*((y_1, \dots, y_n))$  and

$$F^*((z_1^{\alpha}, \cdots, z_n^{\alpha})) \longrightarrow F^*((z_1, \cdots, z_n))$$

Since  $F^*((z_1^{\alpha}, \cdots, z_n^{\alpha})) = F^*((y_1^{\alpha}, \cdots, y_n^{\alpha}))$  for each  $\alpha$ , this implies

$$F^*((y_1, \, \cdots, \, y_n)) = F^*((z_1, \, \cdots z_n))$$
 .

Thus  $F^*$  is constant on the point inverses of  $p^*$  and the first half of the theorem is proved.

For the converse we will draw upon Proposition 1.1. Suppose that  $\mathscr{T}_n(X)$  is not pseudocompact. Then  $X^n$  is not pseudocompact. Let  $\{U_k^i: k = 1, \dots, n; i = 1, 2, \dots\}$  be as in Proposition 1.1. Let

$${\mathscr U}_i=ig\langle U^i_{\scriptscriptstyle 1},\,\cdots,\,U^i_{\scriptscriptstyle n}ig
angle\cap {\mathscr F}_{\scriptscriptstyle n}(X)=p[\,U^i_{\scriptscriptstyle 1} imes\,\cdots imes U^i_{\scriptscriptstyle n}]$$

in  $\mathscr{F}_n(X)$  (see [13] for notation). Then one can show that  $\{\mathscr{U}_i\}_{i=1}^{\infty}$  is a discrete collection of open sets in  $\mathscr{F}_n(X)$ . Let  $B_i \in \mathscr{U}_i$  and  $f: \mathscr{F}_n(X) \to [0, 1]$  be defined so that  $f(B_i) = 1$  and f(B) = 0 for all  $B \notin \bigcup_{i=1}^{\infty} \mathscr{U}_i$ . Now if  $\mathscr{F}_n(\beta X)$  were equivalent to  $\beta \mathscr{F}_n(X)$ , there would be a continuous extension of f to some  $f^*: \mathscr{F}_n(\beta X) \to [0, 1]$ . We will show that no extension of f to  $\mathscr{F}_n(\beta X)$  is continuous. Let  $B_0$  be a limit point of  $\{B_i\}_{i=1}^{\infty}$  in  $\mathscr{F}_n(\beta X)$ . Let  $\mathscr{U} = \langle U_1, \dots, U_n \rangle \cap \mathscr{F}_n(\beta X)$  be a neighborhood of  $B_0$  in  $\mathscr{F}_n(\beta X)$ . Let  $B_{i_1}$  and  $B_{i_2}$  be distinct with  $B_{i_1}$ and  $B_{i_2}$  in  $\mathscr{U}$ . Let B be defined by  $B = \{p_1, \dots, p_n\}$  where  $p_j \in B_{i_1} \cap$  $U_j$  for j odd and  $p_j \in B_{i_2} \cap U_j$  for j even. Then  $B \in \mathscr{U}$ . But also  $B \notin \bigcup_{i=1}^{\infty} \mathscr{U}_i$ . Thus  $f^*(B) = f(B) = 0$ . Thus in every neighborhood  $\mathscr{U}$  of  $B_0$  in  $\mathscr{F}_n(\beta X), f^*$  takes on the value 0 and the value 1, a contradiction. Thus  $\mathscr{F}_n(\beta X) \neq \beta \mathscr{F}_n(X)$ .

2. Results without the aid of CH. In [9] it is shown that if

X is a well ordered space with the order topology, then  $2^x$  normal implies X compact. In [10] it is shown that  $2^{2^x}$  is normal if and only if X is compact. In this section we use Theorem 1.4 to show that for certain classes of spaces X,  $2^x$  is not normal. The most positive result in this paper assumes CH and will be proved in the next section making use of the results of this section and [10].

LEMMA 2.1. If  $\{F_i\}_{i=1}^{\infty}$  is a countable collection of closed sets in a normal countably compact space X, then

$$\operatorname{Cl}_{\beta_X}[\bigcap_{i=1}^{\infty} F_i] = \bigcap_{i=1}^{\infty} \operatorname{Cl}_{\beta_X} F_i$$
.

*Proof.* Clearly  $\operatorname{Cl}_{\beta_X}[\bigcap_{i=1}^{\infty} F_i] \subset \bigcap_{i=1}^{\infty} \operatorname{Cl}_{\beta_X} F_i$ . Now suppose the contrary and let  $x \in \operatorname{Cl}_{\beta_X} F_i$  for all i with  $x \notin \operatorname{Cl}_{\beta_X}[\bigcap_{i=1}^{\infty} F_i]$ . Then let V be an open set in  $\beta_X$  containing x such that  $\operatorname{Cl}_{\beta_X} V \cap \operatorname{Cl}_{\beta_X}[\bigcap_{i=1}^{\infty} F_i] = \phi$ . Let  $U = V \cap X$  and note that  $\operatorname{Cl}_{\beta_X} V = \operatorname{Cl}_{\beta_X} U$ . Clearly  $(\operatorname{Cl}_X U) \cap [\bigcap_{i=1}^{\infty} F_i] = \phi$ . By the countable compactness of X there is an n such that  $(\operatorname{Cl}_X U) \cap (\bigcap_{i=1}^{n} F_i) = \phi$ . By the second lemma in [10], this implies that  $(\operatorname{Cl}_{\beta_X} U) \cap [\bigcap_{i=1}^{n} \operatorname{Cl}_{\beta_X} F_i] = \phi$ . However,  $x \in \operatorname{Cl}_{\beta_X} U$  and  $x \in \operatorname{Cl}_{\beta_X} F_i$  for  $i = 1, \dots, n$ , a contradiction. Thus

$$\operatorname{Cl}_{\beta X}[\bigcap_{i=1}^{\infty} F_i] = \bigcap_{i=1}^{\infty} \operatorname{Cl}_{\beta X} F_i$$

as asserted.

**PROPOSITION.** If  $2^x$  is normal, then X is normal and countably compact. If, in addition, X is not compact, then there is an n such that  $X^n$  is not pseudocompact.

Proof. Suppose that  $2^x$  is normal. Then X is normal and countably compact [10, corollary to Th. 1]. Suppose that X is not compact and that  $X^n$  is pseudocompact for all n. Let  $x \in \beta X - X$  and let  $\mathscr{F}_x = \{F: F \text{ is closed in } X \text{ and } \operatorname{Cl}_{\beta X} F \text{ contains } x\}$ . Let  $\hat{X}$  be the set of singletons  $\{\{x\}: x \in X\}$ . Then  $\mathscr{F}_x$  and  $\hat{X}$  are closed subsets of  $2^x$  and disjoint. We will show that  $\mathscr{F}_x$  and  $\hat{X}$  cannot be separated by a continuous real valued function. Suppose that  $f: 2^x \to [0, 1]$  is continuous with  $f \mid X \equiv 0$ . Let  $f_n$  be the restriction of f to  $\mathscr{F}_n(X)$  for each n. By Theorem 1.3  $\mathscr{F}_n(X)$  is pseudocompact. Thus by Theorem 1.4,  $f_n$  has an extension  $f_n^*$  to  $\mathscr{F}_n(\beta X)$  for each n. Clearly  $f_n^*(x) = 0$  for all n. For each n, let  $U_n$  be a neighborhood of x in  $\beta X$  such that for  $A \in 2^{U_n} \cap \mathscr{F}_n(\beta X)$  we have  $f_n^*(A) \leq 2^{-n}$ . Let

$$F_n = \operatorname{Cl}_X(U_n \cap X)$$
.

Then  $x \in \operatorname{Cl}_{\beta X} F_n$  for each *n*. Thus  $x \in \operatorname{Cl}_{\beta X} [\bigcap_{i=1}^{\infty} F_i]$  by Lemma 2.1. Thus  $\bigcap_{i=1}^{\infty} F_i = F_0$  is an element of  $\mathscr{F}_x$ . Let *B* be any finite subset of  $F_0$ . Then if card B = k, then  $B \in 2^{U_n} \cap \mathscr{F}_n(\beta X)$  for all  $n \ge k$ . Thus  $f_n(B) = f(B) \le 2^{-n}$  for all  $n \ge k$ . Thus f(B) = 0. This implies that  $f(F_0) = 0$ . Therefore  $\hat{X}$  and  $\mathscr{F}_x$  cannot be separated, a contradiction. Thus  $X^n$  must be nonpseudocompact for some n.

REMARK 2.3. It is not known if X normal and countably compact implies  $X^n$  pseudocompact for all n. All of the examples known to the author, for example Frolík's [3], of a completely regular space X which is countably compact and such that  $X^n$  is not pseudocompact are obtained by choosing an appropriate dense subset A of

$$N^* = \beta N - N$$

and letting  $X = N \cup A$ . Assuming CH, all such examples are nonnormal by the result of Gillman and Fine [5] that proper dense subsets of  $N^*$  are not  $C^*$ -imbedded in  $N^*$ . If the normality and countable compactness of X implies  $X^*$  pseudocompact for all n, then  $2^X$  normal implies X compact without assuming CH. However, this would be an interesting result even if CH were required in proving it.

PROPOSITION 2.4. If X is a countably compact k-space and Y is countably compact, then  $X \times Y$  is countably compact. Thus  $X^n$  is countably compact for all n.

*Proof.* Proof of the first part of the proposition can be found in [14, Th. 1.1]. The second part follows by induction.

DEFINITION 2.5. A space is strongly countably compact if the closure of every countable set is compact [10]. A space is sequentially compact if each sequence has a convergent subsequence.

COROLLARY 2.6. If X has any of the following properties, then  $2^x$  normal implies X compact.

- (a) X first countable,
- (b) X locally compact,
- (c) X a k-space,
- (d) X strongly countably compact, and
- (e) X sequentially compact.

*Proof.* For the definition of a k-space see [1, Definition 9.2, p. 248]. By [1, 9.3, p. 248] (c) implies (a) and (b). But (c) follows from Proposition 2.2 and Proposition 2.4.

For (d) and (e), one can show that these properties are finitely productive. Thus in these cases  $X^n$  is pseudocompact for all n and Proposition 2.2 can be applied.

We conclude this section with a minor result.

LEMMA 2.7. If X is separable and countably compact, then  $X \times \beta N$  normal implies X compact.

**Proof.** Let  $f: \beta N \to \beta X$  be continuous and surjective. If  $X \times \beta N$  is normal, then so is  $X \times \beta X$  since the map  $g = i \times f: X \times \beta N \to X \times \beta X$  is closed. But  $X \times \beta X$  is normal if and only if X is paracompact [16, Th. 2, p. 1046]. But paracompactness and countable compactness imply compactness [1, Corollary 3.4, p. 230]. Thus X is compact.

THEOREM 2.8. If  $2^x \times \beta N$  is normal, then X is compact.

**Proof.** Let  $\hat{X} = \{\{x\}: x \in X\}$ . Then  $\hat{X}$  is a homeomorphic copy of X [12, Corollary 3a, p. 166] and closed in  $2^x$  [13, Proposition 2.4.2, p. 156]. Let K be the closure of any countable subset of X. Then K is countably compact. Now  $\hat{K} \times \beta N$  is a closed subset of  $2^x \times \beta N$ , hence normal. Thus K is compact by Lemma 2.6. Thus X is strongly countably compact. But  $2^x$  is normal since  $2^x \times \beta N$  is, and thus X is compact by Corollary 2.6(d).

3. Results assuming CH. In [10, proof of Th. 4] it is shown that if X is not compact, then there is an initial ordinal  $\omega_{\alpha}$  such that  $[0, \omega_{\alpha})$  can be imbedded as a closed subset of  $2^{X}$ . If we let the imbedding be  $f(\beta) = F_{\beta}$ , then the set  $\{F_{\beta}: \beta < \omega_{\alpha}\}$  has the property that (1) for  $\gamma > \beta$ ,  $F_{\gamma} \subseteq F_{\beta}$ ; (2) if  $\gamma$  is a limit ordinal  $F_{\gamma} = \bigcap \{F_{\beta}: \beta < \gamma\}$ ; and (3)  $\bigcap \{F_{\beta}: \beta < \omega_{\alpha}\} = \phi$ . This result will form an important part of what follows.

Recall that a regular open set V is one which has the property that  $V = \operatorname{int} \overline{V}$ . If B is a dense subset of X and V is a regular open set in X, then  $U = V \cap B$  is a regular open set in B.

LEMMA 3.1. If A is a discrete subset of X with X separable, then card  $A \leq 2^{\omega_0}$ .

*Proof.* For each  $x \in A$  let  $V_x$  be a regular open set in X such that  $V_x \cap A = \{x\}$ . Let  $U_x = V_x \cap B$  where B is a countable dense set in X. Then for  $x \neq y$ ,  $U_x \neq U_y$ . Thus the map  $g(x) = U_x$  is one to one into the power set of B. Thus card  $A \leq 2^{\omega_0}$ .

PROPOSITION 3.2. Assume CH. Suppose that X is separable and

countably compact but not compact. Then  $[0, \omega_1)$  can be imbedded in  $2^x$  as a closed subset.

*Proof.* We make use of the results in [10] described above to say that  $[0, \omega_{\alpha})$  can be imbedded in  $2^x$  for some initial ordinal  $\omega_{\alpha}$ . Since X is separable, so is  $2^x$ . Let A be the nonlimit points of  $[0, \omega_{\alpha})$ . Then card  $A = \omega_{\alpha}$  and A is discrete. Thus  $\omega_{\alpha} \leq 2^{\omega_0}$  by Lemma 3.1. Assuming CH  $\omega_{\alpha} = \omega_0$  or  $\omega_{\alpha} = \omega_1$ . If  $\omega_{\alpha} = \omega_0$ , then by (3) above, X would not be countably compact. Thus  $\omega_{\alpha} = \omega_1$  and  $[0, \omega_1)$  is a closed subset of  $2^x$ .

PROPOSITION 3.3. Assume CH. Suppose that X is separable, countably compact, and not first countable. Then  $[0, \omega_1]$  can be imbedded in  $2^x$ .

*Proof.* Let  $\{V_{\alpha}\}$  be a neighborhood basis for x in X where X is not first countable at x. Since X is separable we may assume that  $\{V_{\alpha}\}$  has cardinality  $\omega_{\alpha} \leq 2^{\omega_0}$ . Since X is not first countable at x,  $\omega_{\alpha} > \omega_0$ . Thus card  $\{V_{\alpha}\} = \omega_1$  and we may assume that the  $V_{\alpha}$ 's are indexed by the countable ordinals. We now define closed sets  $\{F_{\beta}: \beta < \omega_1\}$  having the following properties: (1)  $F_{\beta+1} \subset \overline{V}_{\beta}$  for all  $\beta$ ; (2)  $\gamma > \beta$  implies that  $F_{\gamma} \subseteq F_{\beta}$ ; (3) if  $\gamma$  is a limit ordinal, then

$$F_{\gamma} = \cap \{F_{\scriptscriptstyleeta} \colon eta < \gamma\}$$
 ;

and (4)  $\cap \{F_{\beta}: \beta < \omega_{1}\} = \{x\}$ . The construction is as follows: let  $\alpha_{0} = 1$ . Having defined a subsequence of the countable ordinals  $\{\alpha_{\beta}: \beta < \gamma\}$  let  $\alpha_{\gamma} = \sup \{\alpha_{\beta}: \beta < \gamma\}$  if  $\gamma$  is a limit ordinal. Otherwise let  $\alpha_{\gamma}$  be the first  $\alpha$  such that if  $F = \bigcap \{\overline{V}_{2}: \lambda < \alpha_{\beta} \text{ some } \beta < \gamma\}$ , then  $F - \overline{V}_{\alpha} \neq \phi$ . Note that by the countable compactness of X and the fact that X is not first countable at  $x, F \neq \{x\}$  and thus such an  $\alpha_{\gamma}$  exists. Continue the process inductively and let  $\{\alpha_{\beta}: \beta < \omega_{1}\}$  be the sequence so defined. Then let  $F_{\beta} = \bigcap \{\overline{V}_{\alpha}: \alpha < \alpha_{\beta}\}$ . Then  $\{F_{\beta}: \beta < \omega_{1}\}$  satisfies (1), (2), (3), and (4) above. Let us define  $F_{\omega_{1}} = \{x\}$ . Then we claim that  $\{F_{\beta}: \beta \leq \omega_{1}\}$  is our desired set.

CLAIM. The map  $f(\beta) = F_{\beta}$  is a homeomorphism of  $[0, \omega_1]$  into  $2^x$ .

Proof of claim: Clearly  $f: [0, \omega_1] \to \{F_\beta\}$  is one to one and onto. Suppose that  $\alpha$  is a countable limit ordinal. Then  $F_\alpha = \cap \{F_\beta: \beta < \alpha\}$  by (3) above. Let  $F_\alpha \in \langle U_1, \dots, U_n \rangle$ . We may suppose that  $\langle U_1, \dots, U_n \rangle \cap \{F_\beta\} = \{F_\beta: \beta \leq \alpha \text{ and } F_\beta \subset \bigcup_{i=1}^n U_i\}$  by supposing some  $U_i = X - F_{\alpha+1}$ . Suppose that  $\beta_i \to \alpha$  with  $F_{\beta_i} \not\subset \bigcup_{i=1}^n U_i$ . Then letting  $G_i = F_{\beta_i} - \bigcup_{j=1}^n U_j$ ,  $\{G_i\}_{i=1}^\infty$  has the finite intersection property and empty intersection, contradicting the countable compactness of X. Thus there is no such sequence  $\beta_i$  converging to  $\alpha$  and f is continuous at  $\alpha$ . Now consider  $\omega_1$ . Let U be any open set in X containing  $\{x\}$ . Let  $\alpha$  be such that  $\overline{V}_{\alpha} \subset U$ . Then for all  $\beta > \alpha$ ,  $F_{\beta} \in 2^{U}$ . Thus f is continuous at  $\omega_1$ . Thus f is homeomorphism onto  $\{F_{\beta}: \beta \leq \omega_1\}$ .

THEOREM 3.4. Assume CH. Then  $2^x$  is normal if and only if X is compact.

*Proof.* We need only show that if  $2^x$  is normal, then X is compact. Assume that  $2^x$  is normal. Let K be the closure of any countable subset of X. Then  $2^{\kappa}$  is also hormal since it is a closed subspace of  $2^x$ . If we can show that for any separable space Z,  $2^z$  normal implies that Z is compact, then K will have to be compact. Thus X will be strongly countably compact and compact by Corollary 2.6(d).

CLAIM. If Z is separable and  $2^z$  is normal, then Z is compact.

*Proof of claim.* Suppose that Z is separable and not compact with  $2^z$  normal. By Corollary 2.6(a) Z is not first countable. Suppose that Z is not first countable at the point x. Let O be an open set containing x such that Z - O is not compact. Such an O exists since X is not compact. Let P be an open set containing x such that  $\overline{P} \subset O$ . Let  $U = Z - \operatorname{Cl}(Z - \overline{P})$ . Then U has the property that Z-U is separable and not compact. Now let V be an open set containing x with  $\overline{V} \subset U$ . Let  $K_1 = \overline{V}$  and  $K_2 = X - U$ . Then let K = $K_1 \cup K_2$ . Now K is a closed subset of Z and  $2^{\kappa}$  is a closed subspace of  $2^{z}$  as remarked in the preliminaries. Also  $2^{\kappa}$  is homeomorphic to But by Proposition 3.2  $[0, \omega_i)$  can be imbedded as a closed  $2^{\scriptscriptstyle K_1} imes 2^{\scriptscriptstyle K_2 ullet}$ subset of  $2^{K_2}$ . By Proposition 3.3 we can imbed  $[0, \omega_1]$  as a closed subset of  $2^{\kappa_1}$ . Thus  $[0, \omega_1] \times [0, \omega_1)$  is a closed subset of  $2^{\kappa}$  and thus of  $2^z$ . But  $[0, \omega_1] \times [0, \omega_1)$  is not normal by [16, Th. 2, p. 1046] or [5, 8M(4), p. 129]. This implies that  $2^z$  is not normal, a contradiction. Thus Z must be compact.

This proves the claim and completes the proof of Theorem 3.4.

THEOREM 3.5. Assume CH. The following are equivalent.

- (a) X is compact,
- (b)  $2^x$  is compact,
- (c)  $2^x$  is normal,
- (d)  $2^x$  is meta-Lindelöf, and
- (e)  $2^{2^X}$  is regular.

*Proof.* The equivalence of (a), (b), and (d) is shown in [10] without CH. The equivalence of (c) and (e) is given in [13, Th. 4.9, p. 163]. By Theorem 3.4 (a) and (c) are equivalent.

REMARK 3.6. It is trivial to see that the assumption that X is completely regular can be reduced to X being Hausdorff in Theorem 3.4, since  $2^x$  normal will then imply that X is completely regular since it is a subspace of  $2^x$ . It would have been a nuisance to keep stating different hypotheses for X for each new theorem, but many can be trivially reduced as in this case.

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