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In this paper, we determine the norm of the inner derivation $\mathfrak{D}_T: A \rightarrow TA - AT$ acting on the Banach algebra $\mathfrak{B}(H)$ of all bounded linear operators on Hilbert space. More precisely, we show that $\|\mathfrak{D}_T\| = \inf \{2\|T - \lambda I\|: \lambda \text{ complex}\}$. If T is normal, then $\|\mathfrak{D}_T\|$ can be specified in terms of the geometry of the spectrum of T .

A derivation on a Banach algebra \mathfrak{A} is a linear transformation $\mathfrak{D}: \mathfrak{A} \rightarrow \mathfrak{A}$ which satisfies $\mathfrak{D}(ab) = a\mathfrak{D}(b) + \mathfrak{D}(a)b$ for all $a, b \in \mathfrak{A}$. If for a fixed a , $\mathfrak{D}: b \rightarrow ab - ba$, then \mathfrak{D} is called an inner derivation. Sakai has shown that every derivation on a von Neumann algebra [8] or on a simple C^* -algebra [9] is inner. See also [3] and [4].

In [7], Rosenblum determined the spectrum of an inner derivation. Our estimates on the norm of \mathfrak{D}_T have some applications of general operator theory as a by product. Kadison, Lance, and Ringrose [5] have investigated the derivation \mathfrak{D}_T acting on a general C^* -algebra, when T is self adjoint. In §2, we study \mathfrak{D}_T acting on an irreducible C^* -algebra. There appears to be little common ground in the two approaches. In the last section we consider the operator which sends $X \rightarrow AX - XB$ for $A, B, X \in \mathfrak{B}(H)$.

1. From now on, all operators are bounded and act on a Hilbert space. We shall denote the complex numbers by C .

DEFINITION. The maximal numerical range of T is the set

$$W_0(T) = \{\lambda : (Tx_n, x_n) \rightarrow \lambda \text{ where } \|x_n\| = 1 \text{ and } \|Tx_n\| \rightarrow \|T\|\}.$$

When H is finite dimensional, $W_0(T)$ corresponds to the numerical range produced by the maximal vectors (vectors x such that $\|x\| = 1$ and $\|Tx\| = \|T\|$).

LEMMA 1. If $\|T\| = \|x\| = 1$ and $\|Tx\|^2 \geq (1 - \varepsilon)$, then $\|(T^*T - I)x\|^2 \leq 2\varepsilon$.

Proof. Note that $0 \leq \|(T^*T - I)x\|^2 = \|T^*Tx\|^2 - 2\|Tx\|^2 + \|x\|^2 \leq 2(1 - \|Tx\|^2) \leq 2\varepsilon$.

LEMMA 2. The set $W_0(T)$ is nonempty, closed, convex, and contained in the closure of the numerical range.

Proof. Everything but convexity is obvious. Therefore, let $\lambda, \mu \in W_0(T)$. Assume, without loss of generality, that $\|T\| = 1$. Assume also that $\|x_n\| = \|y_n\| = 1$, $(Tx_n, x_n) \rightarrow \lambda$ and $(Ty_n, y_n) \rightarrow \mu$. Consider $T_n = P_n TP_n$, where P_n is the projection on H of $\{x_n, y_n\}$. Let η be a point on the line segment joining λ and μ . Then for each n , it is possible, by the Toeplitz-Hausdorff Theorem, to choose α_n, β_n such that $(Tu_n, u_n) = (T_n u_n, u_n) \rightarrow \eta$ and $\|u_n\| = 1$, where $u_n = \alpha_n x_n + \beta_n y_n$. Note that $|(x_n, y_n)| \leq \theta < 1$ for n sufficiently large; that is, the angle between x_n and y_n is bounded away from 0. (It is not difficult to compute an explicit upper bound for $\limsup |(x_n, y_n)|$ in terms of λ and μ .) Thus, there exists a constant M such that $|\alpha_n| \leq M$ and $|\beta_n| \leq M$ for large n , where $\|\alpha_n x_n + \beta_n y_n\| = 1$. By Lemma 1, $\|Tu_n\| = (T^* Tu_n, u_n) = \|u_n\|^2 - 2M\varepsilon_n$ where $\varepsilon_n \rightarrow 0$, and thus it follows that $\|Tu_n\| \rightarrow 1$. Since $(Tu_n, u_n) \rightarrow \eta$ this completes the proof of convexity.

LEMMA 3. Let $\mu \in W_0(T)$. Then $\|\mathfrak{D}_T\| \geq 2(\|T\|^2 - |\mu|^2)^{1/2}$.

Proof. Note that $\|\mathfrak{D}_T\| = \sup\{\|TA - AT\| : A \in \mathfrak{B}(H) \text{ and } \|A\| = 1\}$. Since $\mu \in W_0(T)$, there exist $x_n \in H$ such that $\|x_n\| = 1$, $\|Tx_n\| \rightarrow \|T\|$, and $(Tx_n, x_n) \rightarrow \mu$. Set $Tx_n = \alpha_n x_n + \beta_n y_n$, where $(x_n, y_n) = 0$ and $\|y_n\| = 1$. Set $V_n x_n = x_n$, $V_n y_n = -y_n$ and $V_n = 0$ on $\{x_n, y_n\}$. Then $\|(TV_n - V_n T)x_n\| = 2|\beta_n| \geq 2(\|T\| - |\alpha_n|^2)^{1/2} - \varepsilon_n$, where $\varepsilon_n \rightarrow 0$. Since $\alpha_n \rightarrow \mu$, this completes the proof.

THEOREM 1. $\|\mathfrak{D}_T\| = 2\|T\|$ if and only if $0 \in W_0(T)$.

Proof. It follows from the previous lemma that $\|\mathfrak{D}_T\| \geq 2\|T\|$ if $0 \in W_0(T)$. Since $\|\mathfrak{D}_T\| \leq 2\|T\|$ for any T , sufficiency is proved. We now assume $\|\mathfrak{D}_T\| = 2\|T\|$, and hence there exist x_n and A_n such that $\|x_n\| = \|A_n\| = 1$ and $\|TA_n - A_n T\| \rightarrow 2\|T\|$. Clearly, $\|A_n x_n\| \rightarrow 1$, $\|Tx_n\| \rightarrow \|T\|$, and $\|TA_n x_n\| \rightarrow \|T\|$. Moreover, since $\|TA_n - A_n T\| \rightarrow 2\|T\|$, $TA_n x_n = -A_n Tx_n + \tilde{\varepsilon}_n$ where $\|\tilde{\varepsilon}_n\| \rightarrow 0$. Let $(Tx_n, x_n) \rightarrow \mu$ by choosing subsequence if necessary, i.e., $\mu \in W_0(T)$. Observe that

$$\begin{aligned} (TA_n x_n, A_n x_n) &= -(A_n Tx_n, A_n x_n) + \varepsilon_n \\ &= -(Tx_n, A_n^* A_n x_n) = -(Tx_n, x_n) + \varepsilon'_n \end{aligned}$$

where the last step follows from Lemma 1. Thus, $\lim_{n \rightarrow \infty} (TA_n x_n, A_n x_n) = -\mu$. Since both μ and $-\mu \in W_0(T)$, it follows that $0 \in W_0(T)$.

THEOREM 2. If $0 \in W_0(T)$, then $\|T\|^2 + |\lambda|^2 \leq \|T + \lambda\|^2$ for all $\lambda \in \mathbf{C}$. Conversely, if $\|T\| \leq \|T + \lambda\|$ for all $\lambda \in \mathbf{C}$, then $0 \in W_0(T)$.

Proof. If $0 \in W_0(T)$, then there exist $x_n \in H$, $\|x_n\| = 1$, such that $\|(T + \lambda)x_n\|^2 = \|Tx_n\|^2 + \alpha \operatorname{Re} \bar{\lambda}(Tx_n, x_n) + |\lambda|^2 \rightarrow \|T\|^2 + |\lambda|^2$. Conversely, let $\|T\| \leq \|T + \lambda\|$ for $\lambda \in C$. Assume $0 \notin W_0(T)$. By rotating T , we may assume that $\operatorname{Re} W_0(T) \geq \tau > 0$. Let $\mathfrak{S} = \{x \in H : \|x\| = 1 \text{ and } \operatorname{Re}(Tx, x) \leq \tau/2\}$. Let $\eta = \sup\{\|Tx\| : x \in \mathfrak{S}\}$. Then $\eta < \|T\|$. Let $\mu = \min\{\tau/2, (\|T\| - \eta)/2\}$. Consider $(T - \mu)$. If $x \in \mathfrak{S}$, then $\|(T - \mu)x\| \leq \|Tx\| + \mu \leq \eta + \mu < \|T\|$. Let $Tx = (a + ib)x + y$ where $x \notin \mathfrak{S}$, $\|x\| = 1$, and $(x, y) = 0$. Then $\|(T - \mu)x\|^2 = (a - \mu)^2 + b^2 + \|y\|^2 = \|Tx\|^2 + (\mu^2 - 2a\mu) < \|T\|^2$ since $a > \mu > 0$. Thus, $\|T - \mu\| < \|T\|$, contrary to hypothesis.

COROLLARY. (*Pythagorean relation for operator*). Let T be a bounded linear operator. Then there exists a unique $z_0 \in C$, such that $\|T - z_0\|^2 + |\lambda|^2 \leq \|(T - z_0) + \lambda\|^2$ for all $\lambda \in C$. Moreover, $0 \in W_0(T - \lambda)$ if and only if $\lambda = z_0$.

Proof. Obviously, there exists a $z_0 \in C$ such that $\|T - z_0\| \leq \|(T - z_0) + \lambda\|$ for all $\lambda \in C$. The rest of the corollary follows easily from Theorem 2.

REMARK. Given an operator T , we define the center (or center of mass) of T to be the point z_0 specified in the corollary, and designate it by c_T . Given an operator, how does one determine c_T ? In general, there is no simple answer. However, if T is normal (or hyponormal) then c_T is the center of the smallest circle containing the spectrum. (See Corollary 1 of Theorem 4.) In any event, $c_T \in \text{closure } W(T)$ as can be seen by a variation of the proof of Theorem 2. However, c_T need not be contained in the convex hull of $\sigma(T)$. There are nilpotents of order 3 which bear out this remark. A further example is provided by the Volterra operator $V\left(V : f(x) \rightarrow \int_0^x f(t)dt \text{ for } f \in L^2[0, 1]\right)$.

THEOREM 3. Let $\|S - T\| \leq \delta$. Then

$$|c_S - c_T| \leq (\delta + [\delta^2 + 8\delta\|S - c_S\|^{1/2}]/2).$$

In particular, the map $T \rightarrow c_T$ is continuous in the uniform operator topology.

Proof. We first assume that $c_S = 0$. Then,

$$\begin{aligned} \|T\|^2 &\geq |c_T|^2 + \|T - c_T\|^2 \\ &\geq |c_T|^2 + \|S - c_T\|^2 - 2\delta\|S - c_T\| + \delta^2 \\ &\geq 2|c_T|^2 + \|S\|^2 - 2\delta(\|S\| + |c_T|) + \delta^2 \\ &\geq \|T\|^2 + (2|c_T|^2 - 2\delta|c_T| - 4\delta\|S\|). \end{aligned}$$

Solving for c_T in the last expression on the right, we find that $|c_T| \leq (\delta + [\delta^2 + 8\delta\|S\|^{1/2}]/2)$. To handle the case when $c_S \neq 0$, we merely translate both T and S by $c_S I$.

LEMMA 4. $W_0(T) \cap W_0(T + \alpha) = \emptyset$, for any $\alpha \in C$, $\alpha \neq 0$.

Proof. Let $\mu \in W_0(T) \cap W_0(T + \alpha)$. By an argument similar to one in Theorem 2, we see that $\|T\|^2 + |\lambda|^2 + 2 \operatorname{Re} \bar{\lambda} \mu \leq \|T + \lambda\|^2$ for $\lambda \in C$, and $\|T + \alpha\|^2 + |\lambda|^2 + 2 \operatorname{Re} \bar{\lambda} \mu \leq \|T + \alpha + \lambda\|^2$ for $\lambda \in C$. Letting $\lambda = \alpha$ in the first inequality, we obtain $\|T\|^2 + |\alpha|^2 + 2 \operatorname{Re} \bar{\alpha} \mu \leq \|T + \alpha\|^2$. Letting $\lambda = -\alpha$ in the second inequality, we obtain $\|T + \alpha\|^2 + |\alpha|^2 - 2 \operatorname{Re} \bar{\alpha} \mu \leq \|T\|^2$. Combining these yields $|\alpha|^2 \leq 0$, which completes the proof.

Unlike the usual numerical range, $W_0(T)$ is extremely unstable under translation, as can be seen from Lemma 4. Indeed, under an ε perturbation, it may jump from a disk to a point (consider the bilateral shift). It is this property which makes it useful for our purposes.

The maximal range $W_0(T)$ does not satisfy the power inequality (as does the numerical range). More explicitly, $|W_0(T^n)| \not\leq |W_0(T)|^n$ for $n = 1, 2, \dots$. It is quite easy to construct counter examples using finite dimensional weighted shifts.

THEOREM 4. Let \mathfrak{D}_T be a derivation on $\mathfrak{B}(H)$. Then, $\|\mathfrak{D}_T\| = \sup \{\|TA - AT\| : A \in \mathfrak{B}(H) \text{ and } \|A\| = 1\} = \inf_{\lambda \in C} 2\|T - \lambda\|$.

Proof. Since $\|TA - AT\| = \|(T - \lambda)A - A(T - \lambda)\| \leq 2\|T - \lambda\| \|A\|$, it follows that $\|\mathfrak{D}_T\| \leq \inf_{\lambda \in C} 2\|T - \lambda\|$. On the other hand, $\|T - \lambda\|$ is large for λ large, so $\inf_{\lambda \in C} \|T - \lambda\|$ must be taken on at some point, say z_0 . But $\|T - z_0\| \leq \|(T - z_0) + \lambda\|$ for all $\lambda \in C$ implies that $0 \in W_0(T - z_0)$. Hence, $\|\mathfrak{D}_T\| = \|\mathfrak{D}_{(T - z_0)}\| = 2\|T - z_0\|$; which completes the proof.

REMARK. Rosenblum [7] proved that $\sigma(\mathfrak{D}_T) = \sigma(T) - \sigma(T) = \{(\lambda - \mu) : \lambda, \mu \in \sigma(T)\}$. There seems to be no simple relation between the norm and the spectral radius of \mathfrak{D}_T . For example, if $T = \begin{bmatrix} 0 & 1 \\ 0 & 0 \end{bmatrix}$, then $\sigma(\mathfrak{D}_T) = \{0\}$ but $\|\mathfrak{D}_T\| = 1$. (In fact, \mathfrak{D}_T is nilpotent of order 3, while T is obviously nilpotent of order 2.)

DEFINITION. Let K be a compact set in the complex plane. Then the radius of K is the radius of the smallest disk containing K . Caution: There is no obvious relation between the radius of a set and

its diameter.

COROLLARY 1. *Let T be a normal (hyponormal) operator. Then $\|\mathfrak{D}_T\| = \sup \{\|TA - AT\| : A \in \mathfrak{B}(H) \text{ and } \|A\| = 1\} = 2R_T$, where R_T is the radius of the spectrum of T .*

Proof. Since $\|T - \lambda\| = \text{spectral radius}(T - \lambda)$; clearly $\inf_{\lambda \in C} \|T - \lambda\| = R_T$.

COROLLARY 2. *Let $0 \leq A \leq 1$, $0 \leq B \leq 1$. Then $\|AB - BA\| = 2\|\text{Im } AB\| \leq 1/2$.*

Let A and B be self adjoint. The last corollary bounds the norm of the imaginary part of AB . In general, AB will not be self adjoint. However, the spectrum of AB is real and positive (see [10]). The obvious estimate $\|\text{Re } AB\| \leq \|A\| \|B\|$ can not be improved without additional restrictions. However, one can ask for a lower bound for $\text{Re } AB$.

PROPOSITION 1. *Let $0 \leq A \leq I$ and $0 \leq B \leq I$. Then $\text{Re } AB \geq -1/8$. More generally, $\text{Re } AB \geq k_1 k_2 - (K_1 - k_1)(K_2 - k_2)/8$ for $0 \leq k_1 \leq A \leq K_1$ and $0 \leq k_2 \leq B \leq K_2$.*

Proof. Let $Ax = \alpha x + \lambda y$, where $(x, y) = 0$ and $\|x\| = \|y\| = 1$. Let $(Ay, y) = \gamma$. Then, $|\lambda|^2 \leq \alpha\gamma$ since $A \geq 0$; and $|\lambda|^2 \leq (1 - \alpha)(1 - \gamma)$ since $I - A \geq 0$. Combining these yields $|\lambda|^2 \leq \alpha(1 - \alpha)$. Let $Bx = \beta x + \eta v$ where $(x, v) = 0$. By a similar argument, $|\eta|^2 \leq \beta(1 - \beta)$. Since $(ABx, x) = \alpha\beta + \eta\bar{\lambda}(v, y)$, it follows that

$$\text{Re}(ABx, x) \geq \alpha\beta - [\alpha\beta(1 - \alpha)(1 - \beta)]^{1/2}$$

and a standard argument shows that the last term has a minimum of $-1/8$ for $0 \leq \alpha \leq 1$, $0 \leq \beta \leq 1$; which proves the first part of the proposition. The rest is obvious.

It is not hard to see that these estimates are sharp. For example, if

$$A = \begin{vmatrix} 1 & 0 \\ 0 & 0 \end{vmatrix} \text{ and } B = \begin{vmatrix} 1/4 & \sqrt{3}/4 \\ \sqrt{3}/4 & 3/4 \end{vmatrix}$$

then $\text{Re}(ABx, x) = -1/8$ for suitable chosen x .

2. Theorem 4 also holds for derivations on certain C^* -algebras. A C^* -algebra is a concretely given algebra of operators (on a Hilbert space H) which is uniformly closed and contains adjoints, as well as

an identity. A C^* -algebra \mathfrak{A} is *irreducible* if the commutant of \mathfrak{A} contains only the scalars.

THEOREM 5. *Let \mathfrak{A} be an irreducible C^* -algebra on H . Let $T \in \mathfrak{B}(H)$. Then*

$$\|\mathfrak{D}_T|_{\mathfrak{A}}\| = \sup \{\|TA - AT\| : A \in \mathfrak{A} \text{ and } \|A\| = 1\} = \inf_{\lambda \in \mathcal{C}} 2\|T - \lambda\|.$$

Proof. In the proof of Theorem 3, we used the fact that $\mathfrak{B}(H)$ contains an operator V such that $Vx = x$, $Vy = -y$ and $\|V\| = 1$ for any $x, y \in H$ where $(x, y) = 0$. This was really the only special feature of the algebra $\mathfrak{B}(H)$ which we needed. However, if \mathfrak{A} is an irreducible C^* -algebra, then by the Kadison density theorem [2], there exists a unitary operator $U \in \mathfrak{A}$ such that $Ux = x$ and $Uy = -y$ whenever $(x, y) = 0$. The rest of the proof carries over with only trivial modifications which we shall omit.

COROLLARY. *Let \mathfrak{A}_α be an irreducible C^* -algebra on the Hilbert space H_α for α in the index set K . Let $\mathfrak{A} = \Sigma_\alpha \oplus \mathfrak{A}_\alpha$ on $H = \Sigma_\alpha \oplus H_\alpha$ where $\|A\| = \sup_\alpha \|A_\alpha\|$ for $A \in \mathfrak{A}$. Let $T \in \mathfrak{B}(H)$, and assume $\mathfrak{D}_T : \mathfrak{A} \rightarrow \mathfrak{A}$. Then, $\|\mathfrak{D}_T\| = \sup \{\|TA - AT\| : A \in \mathfrak{A} \text{ and } \|A\| = 1\} = \inf \{2\|T - Z\| : Z \in \mathfrak{Z}(\mathfrak{A})\}$, where $\mathfrak{Z}(\mathfrak{A})$ is the center of \mathfrak{A} .*

Proof. Since $\mathfrak{D}_T : \mathfrak{A} \rightarrow \mathfrak{A}$ it follows that $T = \Sigma \oplus T_\alpha$ where $T_\alpha \in \mathfrak{B}(H_\alpha)$. For each α choose λ_α such that $\|\mathfrak{D}_{T_\alpha}\| = 2\|T - \lambda_\alpha\|$. Then, $\|\mathfrak{D}_T\| = \sup_{A \in \mathfrak{A}} \|TA - AT\| = \sup_{A \in \mathfrak{A}} \sup_\alpha \|T_\alpha A_\alpha - A_\alpha T_\alpha\| = \sup_\alpha \|\mathfrak{D}_{T_\alpha}\| = \sup_\alpha 2\|T - \lambda_\alpha\| = 2\|T - Z_0\|$ where $Z_0 = \Sigma_\alpha \oplus \lambda_\alpha I_\alpha$. Since it is obvious that $\|\mathfrak{D}_T\| \leq 2\|T - Z\|$, for $Z \in \mathfrak{Z}(\mathfrak{A})$ the proof is complete.

Note that the corollary is not true if we relax our conditions on \mathfrak{A} . For example, let \mathfrak{A} consist of operator valued 2×2 matrices on $H \oplus H$ of the form $\begin{bmatrix} A & 0 \\ 0 & A \end{bmatrix}$ where $A \in \mathfrak{B}(H)$. Let $T = \begin{bmatrix} 0 & I \\ I & 0 \end{bmatrix}$. Then, $\mathfrak{D}_T : \mathfrak{A} \rightarrow \mathfrak{A}$. Indeed, $\mathfrak{D}_T = \mathfrak{D}_0$, so clearly, $\|\mathfrak{D}_T\| = 0$. But, $\inf \{\|T - Z\| : Z \in \mathfrak{Z}(\mathfrak{A})\} = 1$. Of course, the conclusion of the corollary would remain valid if we took the infimum over the commutant of \mathfrak{A} in this example.

REMARK. Kadison, Lance and Ringrose have proved a variant of Theorem 4. Given a derivation \mathfrak{D}_A on a general C^* -algebra, where A is self adjoint, and $A \in \mathfrak{A}$ they show there exists a $A' \in \mathfrak{A}^-$, the weak closure of \mathfrak{A} , such that $\mathfrak{D}_A = \mathfrak{D}_{A'}$, and $\|\mathfrak{D}_{A'}\| = 2\|A'\|$. (Actually, they prove more; namely that $\|\mathfrak{D}_{A'}|_{Q\mathfrak{A}}\| = 2\|QA'\|$ where Q is any central projection of \mathfrak{A}^- .) It is not difficult to modify their result to make it

look more like Theorem 4. Clearly, $A - A' \in \mathfrak{U}'$ the commutant of \mathfrak{U} . Thus, $\|\mathfrak{D}_A\| = \inf \{2\|A - B\| : B \in \mathfrak{U}'\}$. This implies our result for irreducible C^* -algebras (but only for A self adjoint, of course).

3. In this section, we will study an operator from $\mathfrak{B}(H)$ to $\mathfrak{B}(H)$ which is not a derivation, but is related to \mathfrak{D}_T of §1. Let $A, B \in \mathfrak{B}(H)$. Set $\mathfrak{T}_{AB}(X) = AX - XB$ for $X \in \mathfrak{B}(H)$. Clearly, \mathfrak{T}_{AB} is a bounded linear operator on $\mathfrak{B}(H)$. Before estimating its norm we will need some additional information about $W_0(\cdot)$.

LEMMA 5. *Let $\operatorname{Re} W_0(A) \leq a$. Then, given $\varepsilon > 0$, there exists a $\delta > 0$ such that $\operatorname{Re} W_0(A + \lambda) < a + \varepsilon$ for $|\lambda| < \delta$.*

Proof. Assume, without loss of generality, that $\|A\| = 1$. Let $\tau = \sup \{\|Ax\| : \|x\| = 1 \text{ and } \operatorname{Re}(Ax, x) \geq a + \varepsilon\}$. It is clear that $\|A + \lambda\| \geq 1 - |\lambda|$. However, for $y \in H$ when $\|y\| = 1$ and $\operatorname{Re}(Ay, y) \geq a + \varepsilon$, we see that $\|(A + \lambda)y\|^2 \leq \tau^2 + 2|\lambda| + |\lambda|^2$. Thus, for $|\lambda| < (1 - \tau^2)/4$, it follows that $\operatorname{Re} W_0(A + \lambda) < a + \varepsilon$.

DEFINITION. The set valued mapping $\lambda \rightarrow M(\lambda)$ from the complex plane to subsets of the complex plane is upper semi continuous at λ_0 if $\lim_{\lambda \rightarrow \lambda_0} \operatorname{dist}[M(\lambda), M(\lambda_0)] = 0$, or equivalently, the set $\{M(\lambda_0) + \varepsilon\} \supset M(\lambda)$ for λ sufficiently small. When the mapping is locally bounded; upper semi continuity is equivalent to the map having a closed graph.

THEOREM 6. *The mapping $\lambda \rightarrow W_0(A + \lambda)$ is upper semi continuous.*

Proof. Since $W_0(A + \lambda_0)$ is convex for fixed λ_0 , we may box it with a finite number of support lines. By the previous lemma, $W_0(A + \lambda)$ will be contained in the box for λ close to λ_0 .

DEFINITION. We define the normalized maximal numerical range $W_N(A)$ of the operator A to be the set $W_0(A/\|A\|)$ for $A \neq 0$. Although this definition may seem artificial, it is the relevant concept for studying the norm of \mathfrak{T}_{AB} .

COROLLARY. *If $\|A + \lambda\| \neq 0$ for any λ , then the map $\lambda \rightarrow W_N(A + \lambda)$ is upper semi continuous.*

THEOREM 7. *Let $A, B \neq 0$. Then $\|\mathfrak{T}_{AB}\| = \sup \{\|AX - XB\| : X \in \mathfrak{B}(H) \text{ and } \|X\| = 1\} = \|A\| + \|B\|$ if and only if $W_N(A) \cap W_N(-B) \neq \emptyset$.*

Proof. The proof is very similar to that of Theorem 1, and so we will only sketch a portion. Let $\lambda \in W_N(A) \cap W_N(-B)$. There exist $f, g \in H$ such that $\|f\| = \|g\| = 1$ and $(Af, f) = \lambda\|A\| + \varepsilon$ and $(Bg, g) = -\lambda\|B\| + \varepsilon$. Since $(Af, f)/\|A\| = -(Bg, g)/\|B\| + \varepsilon'$ it is possible to define an operator U of norm $1 + \varepsilon''$ which sends g to f and $-Bg/\|B\|$ to $Af/\|A\|$. The rest of the proof is virtually unchanged.

Given two operators A and B , there exists a λ_0 such that

$$\inf_{\lambda \in \mathcal{C}} \{\|A - \lambda\| + \|B - \lambda\|\} = \|A - \lambda_0\| + \|B - \lambda_0\|.$$

Unfortunately, λ_0 is no longer unique as simple examples demonstrate. However, the following lemma gives a criteria for deciding which λ_0 's are minimal.

LEMMA 6. *Assume that neither A nor B is a scalar multiple of the identity. Then $\inf_{\lambda \in \mathcal{C}} \{\|A - \lambda\| + \|B - \lambda\|\} = \|A - \lambda_0\| + \|B - \lambda_0\|$ if and only if $W_N(A - \lambda_0) \cap W_N(-(B - \lambda_0)) \neq \emptyset$.*

Proof. Assume $W_N(A - \lambda_0) \cap W_N(-(B - \lambda_0)) \neq \emptyset$. Then $\|\mathfrak{T}_{AB}\| = \|\mathfrak{T}_{(A-\lambda_0), (B-\lambda_0)}\| = \|A - \lambda_0\| + \|B - \lambda_0\|$. But, since $\|AX - XB\| = \|(A - \lambda)X - X(B - \lambda)\| \leq \|A - \lambda\| + \|B - \lambda\|$, it is clear that $\|\mathfrak{T}_{AB}\| \leq \inf_{\lambda \in \mathcal{C}} \{\|A - \lambda\| + \|B - \lambda\|\}$ which proves the necessity.

For the sufficiency, we may assume without loss of generality that $\lambda_0 = 0$. Thus, for any pre-assigned $\lambda, \varepsilon > 0$, there exist $x, y \in H$ of unit norm, such that $\|(A + \lambda)x\| + \|(B + \lambda)y\| \geq \|A\| + \|B\| - \varepsilon$. After some algebra, we find that $\operatorname{Re} \bar{\lambda} [(Ax, x)/\|A\| + (By, y)/\|B\|] \leq K(|\lambda|^2 + \varepsilon)$ where K is a constant, independent of λ and ε .

Assume that $W_N(A) \cap W_N(-B) \neq \emptyset$. Then, $\operatorname{dist}[W_N(A), W_N(-B)] = \delta > 0$ and by continuity, $\operatorname{dist}[W_N(A + \lambda), W_N(-(B + \lambda))] > \delta/2$, for small λ . Thus, by convexity and continuity, any choice of x, y which satisfies the above conditions, must satisfy the inequality $|(Ax, x)/\|A\| + (By, y)/\|B\| \geq \delta/4$ for λ small. But then we are lead to the inequality $|\lambda|\delta/4 \leq K(|\lambda|^2 + \varepsilon)$ for a suitable choice of $\arg \lambda$ and small $|\lambda|$, which is impossible. Thus, λ_0 was not minimal, which completes the proof.

THEOREM 8. *Let $A, B \in \mathfrak{B}(H)$. Then, $\|\mathfrak{T}_{AB}\| = \sup \{\|AB - XB\| : X \in \mathfrak{B}(H) \text{ and } \|X\| = 1\} = \inf_{\lambda \in \mathcal{C}} \{\|A - \lambda\| + \|B - \lambda\|\}$.*

Proof. Clearly, $\|\mathfrak{T}_{AB}\| \leq \inf \{\|A - \lambda\| + \|B - \lambda\|\}$. If A or B is equal to αI , the rest of the proof is trivial. (Just take $\lambda = \alpha$ and check.) Let $\inf_{\lambda \in \mathcal{C}} \{\|A - \lambda\| + \|B - \lambda\|\} = \|A - \lambda_0\| + \|B - \lambda_0\|$. Then it follows from Lemma 6 and Theorem 7 that $\|\mathfrak{T}_{AB}\| = \|\mathfrak{T}_{A-\lambda_0, B-\lambda_0}\| = \|A - \lambda_0\| + \|B - \lambda_0\|$, which completes the proof.

COROLLARY 1. *Let $A \in \mathfrak{B}(H)$, where $\|A\| = 1$ and $W_0(A) = \{|z| \leq 1\}$. Then, for any $B \in \mathfrak{B}(H)$, $\|\mathfrak{T}_{AB}\| = 1 + \|B\|$.*

COROLLARY 2. *Let \mathfrak{A} be an irreducible C^* -algebra. Set $\mathfrak{T}_{AB}(X) = AX - XB$ for $A, B, X \in \mathfrak{A}$. Then, $\|\mathfrak{T}_{AB}\| = \sup \{\|AX - XB\| : X \in \mathfrak{A} \text{ and } \|X\| = 1\} = \inf_{\lambda \in \mathbb{C}} \{\|A - \lambda\| + \|B - \lambda\|\}$.*

Proof. Simply use the Kadison density theorem, as in the proof of Theorem 5.

We will now present another proof of Theorem 8 which bypasses Lemma 6 and is interesting in its own right. The author would like to thank W. Gustin, who contributed a substantial portion of the proof including the following version of the next theorem:

THEOREM (Kakutani [6]). *Let $\lambda \rightarrow M(\lambda)$ be a upper semi continuous set valued mapping of the n -cube into the n -cube, where $M(\lambda)$ is a closed convex set for each λ . If the map leaves each point in the boundary fixed, then its image covers the n -cube.*

Although this theorem is not stated explicitly in [6], it is easily obtainable from the results found there.

Another proof of Theorem 8. One half the proof of Theorem 8 is trivial. For the other half, it is sufficient to show that $W_N(A + \lambda) \cap W_N(-(B + \lambda)) \neq \emptyset$, for some $\lambda \in \mathbb{C}$. We again assume that neither A nor B is equal to αI . We begin by defining a map ϕ of the open unit disc $\{|z| < 1\}$ onto the complex plane. Any reasonable, argument preserving, continuous map, such as $\phi(re^{i\theta}) = r(1-r)^{-1}e^{i\theta}$, will do. Let $M(\lambda) = [W_N(A + \lambda) - W_N(-(B + \lambda))]/2$. We now define $\Phi(\lambda) = \lambda$ for $|\lambda| = 1$ and $\Phi(\lambda) = M(\phi(\lambda))$ for $|\lambda| < 1$. The $W_N(\cdot)$'s are closed and convex, and thus, $\Phi(\lambda)$ is a closed, convex set for each λ . The map Φ is upper semi continuous for points inside the disc by the corollary to Theorem 6.

It is easy to see that for θ fixed, $W_N(A + re^{i\theta}) \rightarrow e^{i\theta}$ as $r \rightarrow \infty$. Observe that $W_0(A + re^{i\theta}) \subset \text{closure } W(A + re^{i\theta})$. This fact makes our map Φ upper semi continuous on the boundary. By the Kakutani fixed point theorem $0 \in M(\lambda_0) = [W_N(A + \lambda_0) - W_N(-(B + \lambda_0))]/2$ for some λ_0 . But then $W_N(A + \lambda_0) \cap W_N(-(B + \lambda_0)) \neq \emptyset$, which is all we needed to prove, in light of Theorem 7.

QUESTIONS. Is Theorem 4 true for an operator T on a Banach space? Is Theorem 5 true for an arbitrary C^* -algebra (with the infimum taken over the commutant)? We may generalize the definition

of $W_0(T)$ in the following way. For T an operator on a Hilbert space, set $W_\delta(T) = \text{closure} \{(Tx, x) : \|x\| = 1 \text{ and } \|Tx\| \geq \delta\}$. Clearly, $W_\delta(T)$ is a closed subset of the closure of the usual numerical range, and $W_0(T) = \bigcap_{\delta < \|T\|} W_\delta(T)$. By a slight modification of a theorem of Dekker [1], it is not hard to see that $W_\delta(T)$ is connected. It would be interesting to know if $W_\delta(T)$ is convex. It is, if T is normal, or if the underlying Hilbert space is two-dimensional.

Added in proof: It is easy to see from the Kaplansky Density Theorem that, given an inner derivation \mathfrak{D}_T on the C^* -algebra \mathfrak{A} , one might as well consider \mathfrak{D}_T acting on \mathfrak{A}^- , the weak closure of \mathfrak{A} , if one wishes to evaluate $\|\mathfrak{D}_T\|$. Thus our second question has recently been answered by P. Gajendragadkar in her thesis (Indiana University, 1970). More precisely, she shows that if \mathfrak{A} is a W^* algebra on a separable Hilbert space, and \mathfrak{D}_T is an inner derivation on \mathfrak{A} where $T \in \mathfrak{A}$, then

$$\|\mathfrak{D}_T\| = 2 \inf \{\|T - Z\| : Z \text{ in the center of } \mathfrak{A}\}.$$

If $T \notin \mathfrak{A}$ then there is an example due to C. A. McCarthy, which shows that $\|\mathfrak{D}_T\|$ maybe be smaller than

$$2 \inf \{\|T - B\| : B \in \mathfrak{U}', \text{ the commutant of } \mathfrak{A}\},$$

where \mathfrak{A} is a C^* or W^* algebra according to choice, and T can even be taken to be self adjoint. Finally, Proposition 1 appears in a paper by G. Strang in the Monthly, Jan. 1962.

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