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# LOCALLY COMPACT SPACES AND TWO CLASSES OF C\*-ALGEBRAS

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Let X be a topological space which is second countable, locally compact, and  $T_0$ . Fell has defined a compact Hausdorff topology on the collection  $\mathscr{C}(X)$  of closed subsets of X. X may be identified with a subset of  $\mathscr{C}(X)$ , and in the first part of this paper, the original topology on X is related to that induced from  $\mathscr{C}(X)$ . The main result is a necessary and sufficient condition for X to be almost strongly separated. In the second part, these results are applied to the primitive ideal space Prim (A) of a separable  $C^*$ -algebra A, giving in particular a necessary and sufficient condition for Prim (A) to be almost separated. Further information concerning ideals in A which are central as  $C^*$ -algebras is obtained.

Most of the theorems in the paper were suggested by the results for simplex spaces recently obtained by Effros [10], Effros and Gleit [11], Gleit [14], and Taylor [17]. The notion of a simplex space was introduced by Effros in [9]. If  $\mathfrak A$  is a simplex space, then  $\max \mathfrak A$ ,  $P_1(\mathfrak A)$ , and  $EP_1(\mathfrak A)$  denote the closed maximal ideals in  $\mathfrak A$ , the bounded positive linear functionals on  $\mathfrak A$  of norm at most one, and its set of extreme points, resp., the first set provided with the hull-kernel topology and the latter two sets with the weak\* topology. The sets  $\max \mathfrak A$  and  $EP_1(\mathfrak A)$ - $\{0\}$  are in a natural one-to-one correspondence, but the topologies do not agree in general. Information about the simplex space  $\mathfrak A$  can be obtained by comparing these two topologies (see [11], [14], [17]).

In trying to develop an analogous theory for a  $C^*$ -algebra A, the first problem is to decide on replacements for  $\max \mathfrak{A}$ ,  $P_1(\mathfrak{A})$ , and  $EP_1(\mathfrak{A})$ . For simplicity, assume that A is separable and has a  $T_1$  structure space. An obvious substitute for  $\max \mathfrak{A}$  is the structure space of A, Prim(A) (the primitive ideals in A, or in this case the maximal proper closed two-sided ideals in A, with the hull-kernel topology). To replace  $P_1(\mathfrak{A})$  and  $EP_1(\mathfrak{A})$  by the corresponding sets of linear functionals on A does not seem to lead to a fruitful theory. Instead,  $P_1(\mathfrak{A})$  and  $EP_1(\mathfrak{A})$ - $\{0\}$  are replaced by N(A) and EN(A)- $\{0\}$ , resp., where N(A) is the compact Hausdorff space of  $C^*$ -semi-norms on A, and EN(A) is the set of "extreme" points of N(A) (see [4; § 1. 9. 13], [8], [12]). Then Prim(A) and EN(A)- $\{0\}$  are in a natural one-to-one correspondence which is in general not a homeomorphism. By identifying these sets, the primitive ideals in A are endowed with

two topologies. Regarding Prim (A) as a subset of  $\mathscr{C}(\operatorname{Prim}(A))$ , the identification of Prim (A) and EN(A)- $\{0\}$  extends naturally to a homeomorphism of  $\mathscr{C}(\operatorname{Prim}(A))$  and N(A). Thus the second topology on Prim (A) is just its relative topology in  $\mathscr{C}(\operatorname{Prim}(A))$ . It is therefore natural to attempt to formulate those theorems about a simplex space  $\mathfrak{A}$  which involve only the two topologies on max  $\mathfrak{A}$  in terms of a locally compact space X and the associated space  $\mathscr{C}(X)$ .

The paper is organized as follows. § 2 contains theorems which relate the topology of X to that of  $\mathscr{C}(X)$ . The applications to  $C^*$ -algebras are in § 3. Two classes of  $C^*$ -algebras, called GM- and GC-algebras, are investigated; they correspond to the GM- and GC-simplex spaces of [11]. A  $C^*$ -algebra is a GM-algebra if its structure space is almost strongly separated, and a GC-algebra if it has a composition series  $(I_\alpha)$  of closed two-sided ideals such that the  $I_{\alpha+1}/I_\alpha$  are all central  $C^*$ -algebras. These algebras were studied by Delaroche [2], who in particular showed that the GC-algebras are just the GM-algebras with only modular primitive ideals. A new proof of this fact (Theorem 3.7) is included. Finally, § 4 points out how the GM-and GC-algebras are related to some of the classes of  $C^*$ -algebras in the literature.

2. Locally compact spaces. Throughout this section X is assumed to be a locally compact topological space satisfying the  $T_0$  separation axiom. Recall that X is  $T_0$  means that if x,  $y \in X$  are such that  $\{x\}^- = \{y\}^-$  (bar indicates closure), then x = y, and that X is locally compact means that if  $x \in X$ , then each neighborhood of x contains a compact neighborhood of x. It is important to remember that although a closed subset of a compact set must be compact, the converse need not be true in a non-Hausdorff space. Let  $X_1$  denote the closed points in X, i.e., those x for which  $\{x\}^- = \{x\}$ . If  $X = X_1$ , then X is said to be  $T_1$ .

The following construction is due to J. M. G. Fell [13]. Let  $\mathscr{C}(X)$  denote the collection of all closed subsets of X. The function  $\lambda = \lambda_x \colon X \to \mathscr{C}(X) \colon x \to \{x\}^-$  is one-to-one. If C is a compact subset of X and if  $\mathscr{F}$  is a (possibly empty) finite collection of open subsets of X, then  $\mathscr{C}(C;\mathscr{F})$  will denote the collection of all those  $F \in \mathscr{C}(X)$  such that  $F \cap C = \emptyset$  and  $F \cap G \neq \emptyset$  for each  $G \in \mathscr{F}$ . The sets  $\mathscr{C}(C;\mathscr{F})$  form a basis for a compact Hausdorff topology on  $\mathscr{C}(X)$  [13]. It is readily verified that a net  $(F_\alpha)$  in  $\mathscr{C}(X)$  will converge to an element F in  $\mathscr{C}(X)$  if and only if (1) for each x in F and neighborhood N of x, eventually  $F_\alpha \cap N \neq \emptyset$ , and (2) if P is the complement of a compact set with  $F \subset P$ , then eventually  $F_\alpha \subset P$ . This topology is metrizable whenever X is second countable [6; Lemma 2] (see Corollary 2.7 for a partial converse). A simple argu-

ment will prove

LEMMA 2.1. (1)  $\lambda$  is open onto its image, and (2) X is Hausdorff if and only if  $\lambda: X \to \lambda(X)$  is a homeomorphism.

The first object is to find sets on which  $\lambda$  restricts to a homeomorphism. A set  $\mathscr{I} \subset \mathscr{C}(X)$  will be called *dilated* if  $x \in F$  for some  $F \in \mathscr{I}$  implies that  $\lambda(x) \in \mathscr{I}$ . In particular, if  $F \in \mathscr{C}(X)$ , the set  $F^{\perp} = \{E \in \mathscr{C}(X) \colon E \subset F\}$  is compact and dilated.

LEMMA 2.2. If  $\mathcal{I}$  is a compact and dilated subset of  $\mathscr{C}(X)$ , then  $\lambda^{-1}(\mathcal{I})$  is closed.

*Proof.* Suppose that  $x_0 \in X$  and  $x_0 \notin \lambda^{-1}(\mathcal{I})$ . Say  $F \in \mathcal{I}$ . As  $\mathcal{I}$  is dilated,  $x_0 \notin F$ , and so there is a compact neighborhood C(F) of  $x_0$  which is disjoint from F. The sets  $\mathcal{U}(C(F); \emptyset)$ ,  $F \in \mathcal{I}$ , form an open covering for  $\mathcal{I}$ ; hence there are sets  $F_1, \dots, F_n \in \mathcal{I}$  such that

$$\mathscr{T}\subset \bigcup_{i=1}^n\mathscr{U}(C(F_i);\varnothing)$$
.

Suppose  $x \in C = \bigcap_{i=1}^{n} C(F_i)$  and  $\lambda(x) \in \mathcal{J}$ . Then  $\lambda(x) \cap C(F_i) = \emptyset$  for some i, hence  $x \notin C(F_i)$ , a contradiction. This shows that C is a neighborhood of  $x_0$  which is disjoint from  $\lambda^{-1}(\mathcal{J})$ .

If T is a subset of  $X_1$ , then  $\lambda(T)$  is dilated; hence

COROLLARY 2.3. If T is a subset of  $X_1$  for which  $\lambda(T)$  is compact, then  $\lambda$  restricts to a homeomorphism of T onto  $\lambda(T)$ .

The following shows that convergence in X is closely related to that in  $\mathcal{C}(X)$ . The trick employed in the proof of (ii) was used by both Gleit [14] and Taylor [17].

THEOREM 2.4. (i) Let  $(x_{\alpha})$  be a net in X such that  $\lambda(x_{\alpha}) \to F$  for some  $F \in \mathcal{C}(X)$ . Then  $x_{\alpha} \to x$  for any  $x \in F$ .

(ii) Let  $(x_n)$  be a sequence in  $X_1$  such that  $\lambda(x_n) \to F$  for some  $F \in \mathcal{C}(X)$ . Then the limit points of the set  $\{x_n : x \geq 1\}$  lie in F.

*Proof.* (i) Say  $x \in F$ , and let G be an open set containing x. Then since  $F \cap G \neq \emptyset$ , eventually  $\lambda(x_{\alpha}) \cap G \neq \emptyset$ , hence  $x_{\alpha} \in G$ .

(ii) For each m the set  $\{\lambda(x_n): n \ge m\} \cup F^{\perp}$  is both closed and dilated, hence its inverse image  $F_m = \{x_n: n \ge m\} \cup F$  is closed. If x

is a limit point of  $\{x_n: n \ge 1\}$ , it must lie in each of the sets  $F_m$ , and thus is an element of F.

COROLLARY 2.5. Suppose that X is second countable. If  $\emptyset \in \lambda(X_1)^-$ , then neither  $X_1$  nor X can be compact.

*Proof.*  $\mathscr{C}(X)$  is metrizable, hence there is a sequence  $(x_n)$  in  $X_1$  with  $\lambda(x_n) \to \varnothing$ . It follows from Theorem 2.4 (ii) that no subsequence of  $(x_n)$  can converge to a point in X.

COROLLARY 2.6. Suppose that  $\lambda(X)^-$  is first countable (this is the case if X is second countable), and that T is a compact subset of  $X_1$ . If  $F \in \mathscr{C}(X)$  and  $T \cap F = \varnothing$ , then  $\lambda(T)^- \cap F^\perp = \varnothing$ .

*Proof.* If  $E \in \lambda(T)^- \cap F^{\perp}$ , there is a sequence  $(x_n)$  in T with  $\lambda(x_n) \to E$ . Since T is compact, the set  $\{x_n \colon n \geq 1\}$  has a limit point x in T. Then  $x \in E$  from Theorem 2.4 (ii), and since  $E \in F^{\perp}$ ,  $x \in F$ . But this is a contradiction.

COROLLARY 2.7. Suppose that X is locally compact and  $T_1$ . If  $\lambda(X)^-$  is second countable, then so is X.

*Proof.* Let  $\mathcal{I}_1, \mathcal{I}_2, \cdots$  be a basis of open sets for the topology of  $\lambda(X)^-$ ; with no loss in generality, the sets  $\mathcal{I}_n$  may be assumed to be closed under finite unions. Suppose that an  $x \in X$  and an  $F \in \mathcal{C}(X)$  with  $x \notin F$  are given. It is sufficient to show that for some  $n, \lambda^{-1}(\mathcal{I}_n)$  contains x in its interior and is disjoint from F. Using the local compactness of X, choose a compact neighborhood C of x disjoint from F. Corollary 2.6 and the fact that  $F^\perp$  is closed give

$$\lambda(C)^- \subset \lambda(X)^- - F^\perp = \bigcup_k \mathscr{T}_{n_k}$$

for suitable integers  $n_k$ . As  $\lambda(C)^-$  is compact and as the  $\mathscr{F}_n$  are closed under finite unions, there is an n for which  $\mathscr{F}_n \cap F^\perp = \emptyset$  and  $\lambda(C) \subset \mathscr{F}_n$ . This completes the proof.

The following will be useful in § 3.

COROLLARY 2.8. Suppose that X is second countable and that  $f: \mathscr{C}(X) \to [0, \infty)$  is continuous and monotone in the sense that E,  $F \in \mathscr{C}(X)$  and  $E \subset F$  imply  $f(E) \leq f(F)$ . Suppose further that  $f(\lambda(x)) > 0$  for all x in some compact subset T of  $X_1$ . Then there is an  $\alpha > 0$  such that  $f(\lambda(x)) \geq \alpha$  for all  $x \in T$ .

*Proof.* If there is no such  $\alpha$ , choose a sequence  $(x_n)$  in T such that  $f(\lambda(x_n)) \to 0$ . Using first the compactness of  $\mathscr{C}(X)$  and then that of T, it may be assumed that  $\lambda(x_n) \to F$  for some  $F \in \mathscr{C}(X)$  and that  $x_n \to x$  for some  $x \in T$ . From Lemma 2.4 (ii), it follows that  $x \in F$ . Consequently,  $0 < f(\lambda(x)) \le f(F)$  and f(F) = 0, a contradiction.

For simplex spaces, the following result is due to P. D. Taylor.

COROLLARY 2.9. Suppose that X is second countable and that f is a continuous complex-valued function on  $\lambda(X_1)^-$ . For each  $x \in X_1$ , let c(x) denote the set of all those  $F \in \lambda(X_1)^-$  which contain x. Then  $f \circ \lambda$  is continuous on  $X_1$  if and only if f is constant on the sets c(x),  $x \in X_1$ .

*Proof.* Notice that  $\lambda(x) \in c(x)$  for each  $x \in X_1$ . Suppose that  $f \circ \lambda$  is continuous on  $X_1$ . Say  $x \in X_1$  and  $F \in c(x)$ . Then there is a sequence  $(x_n)$  in  $X_1$  such that  $\lambda(x_n) \to F$ . From Theorem 2.4 (i),  $x_n \to x$ , and

$$f(F) = \lim_{n \to \infty} f(\lambda(x_n)) = f(\lambda(x)) .$$

Conversely, suppose that f is constant on the c(x),  $x \in X_1$ . Let  $(x_n)$  be a sequence in  $X_1$  converging to an  $x \in X_1$ . To show that

$$f(\lambda(x_n)) \longrightarrow f(\lambda(x))$$
,

it is sufficient (since  $f(\lambda(X_1))$  lies in the compact set  $f(\lambda(X_1)^-)$ ) to show that every convergent subsequence of  $f(\lambda(x_n))$  converges to  $f(\lambda(x))$ . Passing to a subsequence, suppose that  $f(\lambda(x_n)) \to \alpha$  for some complex number  $\alpha$ . Using the fact that  $\mathscr{C}(X)$  is a compact metric space and passing to a further subsequence, it may even be assumed that  $\lambda(x_n) \to F$  for some  $F \in \lambda(X_1)^-$ . Then from Theorem 2.4, (ii),  $x \in F$ , i.e.,  $F \in c(x)$ , and therefore

$$f(\lambda(x)) = f(F) = \lim_{n \to \infty} f(\lambda(x_n)) = \alpha$$
.

If G is a nonempty open subset of X, then G is locally compact and  $T_0$  in its relative topology. Let  $\rho_G$  be the map  $F \to F \cap G$  of  $\mathscr{C}(X)$  onto  $\mathscr{C}(G)$ , and let  $\sigma_G$  be its restriction to  $\lambda_X(G)$ . Then  $\sigma_{G} \circ \lambda_X = \lambda_G$  and  $\sigma_G$  is a bijection of  $\lambda_X(G)$  onto  $\lambda_G(G)$ . Using the fact that G is open in X, it is easily checked that  $\rho_G$  is continuous; however,  $\sigma_G$  is in general not a homeomorphism.

LEMMA 2.10. Let G be a nonempty open subset of X, and suppose that  $\lambda(X)^- \subset \lambda(X) \cup (X - G)^\perp$ . If  $\mathcal{F}$  is a subset of  $\lambda_x(G)$  and if  $\sigma_G(\mathcal{F})$  is compact, then so is  $\mathcal{F}$ .

*Proof.* As  $\rho_g$  is continuous,

$$\rho_G(\mathcal{F}^-) \subset [\rho_G(\mathcal{F})]^- = [\sigma_G(\mathcal{F})]^- = \sigma_G(\mathcal{F}) \subset \lambda_G(G)$$

and since  $\emptyset \notin \lambda_G(G)$ ,  $\mathscr{T}^- \cap (X - G)^{\perp} = \emptyset$ . But

$$\mathscr{T}^- \subset \lambda(X)^- \subset \lambda(X) \cup (X-G)^\perp \subset \lambda_X(G) \cup (X-G)^\perp$$
,

so that  $\mathcal{F}^-$  is contained in  $\lambda_X(G)$ , the domain of  $\sigma_G$ . Since

$$\sigma_{G}(\mathcal{F}^{-}) = \rho_{G}(\mathcal{F}^{-}) \subset \sigma_{G}(\mathcal{F})$$

and  $\sigma_G$  is one-to-one,  $\mathscr T$  must be closed in  $\mathscr C(X)$ .

A point x in X will be said to be strongly separated in X if for each  $y \neq x$ , there are disjoint neighborhoods of x and y (i.e., x is closed, and separated in the sense of [3; §1]). A nonempty subset Y of X will be called strongly separated in X provided each of its points is strongly separated in X. Finally, X will be called almost strongly separated if each nonempty closed subset F of X contains a nonempty relatively open subset G which is strongly separated in F (equivalently, every open subset F of F distinct from F is properly contained in an open subset F such that F us strongly separated in F of F leads to subset F leads to sub

PROPOSITION 2.11. A nonempty open subset G of X is strongly separated in X if and only if  $\lambda(X)^- \subset \lambda(X_1) \cup (X-G)^\perp$ .

*Proof.* Assume first that G is strongly separated in X. Suppose that there is a net  $(x_{\alpha})$  in X and an  $F \notin \lambda(X_1) \cup (X-G)^{\perp}$  such that  $\lambda(x_{\alpha})$  converges to F. Then F must contain two distinct points, at least one of which is in G, which is impossible by Theorem 2.4 (i). Conversely, suppose that  $\lambda(X)^- \subset \lambda(X_1) \cup (X-G)^{\perp}$ . From this inclusion it is immediate that  $G \subset X_1$ . As  $\rho_G(\lambda(X)^-)$  is compact and contains  $\lambda_G(G)$ ,

$$\lambda_G(G)^- \subset 
ho_G(\lambda(X)^-) \subset \lambda_G(G) \cup \{\varnothing\}$$
 ,

and therefore  $\lambda_G(G) \cup \{\emptyset\}$  is compact. For any relatively closed subset  $\mathscr{T}$  of  $\lambda_G(G)$ ,  $\mathscr{T} \cup \{\emptyset\}$  is compact and dilated, hence  $\lambda_G^{-1}(\mathscr{T})$  is a closed subset of G in the relative topology (Lemma 2.2). This shows that  $\lambda_G$  is continuous; since it is always open onto its image,  $\lambda_G$  is a homeomorphism and G is Hausdorff. To show that G is strongly separated, suppose  $x \in G$  and  $y \notin G$  are given. Let  $U \subset G$  be a compact neighborhood of x; it will suffice to show that G is closed in G. As  $A_G(G)$  is compact and as G0 is dilated since G1, and so G2.

 $\lambda_X^{-1}$  ( $\lambda_X(U)$ ) is closed, by Lemma 2.2.

A topological space which is a countable union of compact sets will be called a  $K_{\sigma}$ .

LEMMA 2.12. If X is second countable and if G is an open nonempty strongly separated subset of X, then  $\lambda_X(G)$  is  $K_\sigma$ .

*Proof.* Since G is Hausdorff,  $\lambda_G(G)^- \subset \lambda_G(G) \cup \{\emptyset\}$  by Proposition 2.11, and  $\lambda_G(G)$  is locally compact. Now  $\mathscr{C}(G)$  is second countable, for as G is second countable,  $\mathscr{C}(G)$  is a compact metric space [6; Lemma 2]. Therefore  $\lambda_G(G)$  is  $K_\sigma$ . The equality  $\lambda_G(G) = \sigma_G(\lambda_X(G))$ , Lemma 2.10 and Proposition 2.11 now imply that  $\lambda_X(G)$  is  $K_\sigma$ .

LEMMA 2.13. Let E be a nonempty closed subset of X. Then the map  $\theta \colon E^\perp \to \mathscr{C}(E)$  defined by  $\theta(F) = F$  for all  $F \in E^\perp$  is a homeomorphism onto, where  $E^\perp$  has the relative topology from  $\mathscr{C}(X)$ .

*Proof.* That  $\theta$  is a bijection is clear. Since  $E^{\perp}$  is compact Hausdorff, it is enough to show that  $\theta$  is continuous. But this follows from the definition of the topologies and the fact that E is closed.

Lemma 2.14. If X is almost strongly separated, so is any nonempty subset of X which is either open or closed.

Proof. See [11; § 3].

THEOREM 2.15. Suppose that X is second countable, locally compact, and  $T_0$ . Then X is almost strongly separated if and only if

- (1) X is  $T_1$ ,
- (2)  $\lambda(X)$  is  $K_{\sigma}$ , and
- (3) every nonempty closed subset of X is second category in itself.

Proof. Say that (1)-(3) hold. Let F be a nonempty closed subset of X. Then F is  $T_1$  and second category, and  $\lambda_F(F)$  is  $K_\sigma$  by Lemma 2.13. Replacing F by X, it is therefore sufficient to show that if X satisfies (1) and (2) and is second category, then X contains a nonempty open strongly separated set. Write  $\lambda(X) = \bigcup_{n=1}^{\infty} \mathcal{I}_n$ , where each  $\mathcal{I}_n$  is compact. Since the  $\mathcal{I}_n$  are dilated, the  $\lambda^{-1}(\mathcal{I}_n)$  are closed by Lemma 2.2. X is second category, hence for some n,  $\lambda^{-1}(\mathcal{I}_n)$  contains a nonempty set G which is open in X. As  $\lambda^{-1}(\mathcal{I}_n)$  is closed in X and is Hausdorff in the relative topology (Corollary

2.3), G is strongly separated in X.

Conversely, suppose that X is almost strongly separated. By a transfinite induction (see [11; Proposition 3.1]), there is an ordinal  $\alpha_0$  and a family  $(G_{\alpha})$  of open subsets of X, indexed by those ordinals  $\alpha$  with  $0 \le \alpha \le \alpha_0$ , such that: (i)  $G_0 = \emptyset$ ,  $G_{\alpha_0} = X$ ; (ii) if  $\alpha \le \alpha_0$  is a limit ordinal, then  $G_{\alpha} = \bigcup_{\beta < \alpha} G_{\beta}$ ; and (iii) if  $\alpha < \alpha_0$ , then  $G_{\alpha} \subset G_{\alpha+1}$  and  $G_{\alpha+1} - G_{\alpha}$  is a nonempty strongly separated subset of  $X - G_{\alpha}$ . To see that (1) holds, say  $x \in X$ . Let  $\beta$  be the least ordinal such that  $x \in G_{\beta}$ . By (ii),  $\beta$  cannot be a limit ordinal; let  $\alpha + 1 = \beta$ . Then  $x \in G_{\alpha+1} - G_{\alpha}$ , so that  $\{x\}$  is closed in  $X - G_{\alpha}$ , and therefore in X.

The natural map  $\theta_{\alpha}$  of  $(X-G_{\alpha})^{\perp}$  onto  $\mathscr{C}(X-G_{\alpha})$  is a homeomorphism, where  $(X-G_{\alpha})^{\perp}$  has the relative topology from  $\mathscr{C}(X)$  (Lemma 2.13). Since  $\theta_{\alpha}$  carries  $\lambda_{X}(G_{\alpha+1}-G_{\alpha})$  onto  $\lambda_{X-G_{\alpha}}(G_{\alpha+1}-G_{\alpha})$  and since the latter is  $K_{\sigma}$  by (iii) and Lemma 2.12,  $\lambda_{X}(G_{\alpha+1}-G_{\alpha})$  must be  $K_{\sigma}$ . Now

$$X = \bigcup_{\alpha < \alpha_0} (G_{\alpha+1} - G_{\alpha})$$

by the above and  $\alpha_0$  is countable (see [16; § 19, II]), so (2) holds. If  $F_1, F_2, \cdots$  are closed and nowhere dense subsets of X, then  $F_1 \cap G_1, F_2 \cap G_1, \cdots$  are closed and nowhere dense in the relative topology of  $G_1$ . Being locally compact and Hausdorff,  $G_1$  is Baire, so the  $F_n \cap G_1$  do not cover  $G_1$ . Thus X is second category. By Lemma 2.14, this is enough to show that (3) holds.

COROLLARY 2.16. If X is second countable and almost strongly separated, then all nonempty closed and all nonempty open subsets of X are Baire.

*Proof.* This follows from Lemma 2.14 and Theorem 2.15.

Suppose that X is second countable. If all nonempty closed subsets of X are Baire, then  $\lambda(X)$  is  $G_{\delta}$  [6; Th. 7]; in view of [16; § 30, VI], this fact may be useful in deciding whether X satisfies (2) of Theorem 2.15. As examples in § 4 will show, (1) and (2) are independent of one another even if all nonempty closed subsets of X are Baire. The set of integers with the Zariski (or cofinite) topology is second countable, locally compact,  $T_0$ , and satisfies conditions (1) and (2), but not (3), of Theorem 2.15.

3. C\*-Algebras. Let A be a  $C^*$ -algebra. Throughout this section and the next, an ideal in A will always mean a closed two-sided ideal. Let Z(A) be the center of A, and let Id(A) [resp.,

Prim(A), Max(A), and Mod(A) donote the set of all ideals [primitive ideals, maximal ideals, and modular ideals] in A. For  $a \in A$  and  $I \in Id(A)$ , define a(I) as the canonical image of a in A/I and  $I^{\perp}$  as the set of all those ideals J in A which contain I. Prim (A) with the hull-kernel topology (sometimes called the structure, or Jacobson, topology) is the structure space of A. The following facts about the structure space (see [4]) will be used frequently without explicit mention: its closed points are the elements of Max(A); it is locally compact and  $T_0$ ; it is second countable whenever A is separable; and  $I \rightarrow \operatorname{Prim}(A) \cap I^{\perp}$  is a one-to-one correspondence between Id (A) and the closed subsets of Prim(A). The weakest topology on Id(A)making each of the maps  $I \to ||a(I)||$ ,  $a \in A$ , continuous will be called the weak\* topology on Id(A). It is not hard to show that  $I \to \operatorname{Prim}(A) \cap I^{\perp}$  is a homeomorphism of  $\operatorname{Id}(A)$  onto  $\mathscr{C}(\operatorname{Prim}(A))$ which restricts to  $\lambda$  on Prim (A) and carries  $I^{\perp}$  onto (Prim (A)  $\cap$   $I^{\perp}$ ) (where the second  $\perp$  is taken in the sense of § 2) [12, Th. 2.2]. In what follows,  $\operatorname{Id}(A)$  and  $\mathscr{C}(\operatorname{Prim}(A))$  will be identified. Recall that if A is separable, Id(A) and Prim(A) with the weak\* topology may be identified with the spaces N(A) and EN(A)- $\{0\}$  of § 1.

In view of the above, the results of § 2 may be applied to  $C^*$ -algebras. Save for one, these will not be explicitly mentioned. For any  $a \in A$ ,  $I \mapsto ||a(I)||$  is a function of the type described in Corollary 2.8. This has the following amusing consequence: If A is separable and if T is a structurally compact subset of  $\max(A)$ , then  $\bigcup\{P: P \in T\}$  is a norm-closed subset of A.

A nonzero ideal I in A will be called an M-ideal in A if  $Prim(A) - I^{\perp}$  is a strongly separated subset of the structure space of A, and A will be called an M-algebra [resp., a GM-algebra] if the structure space of A is Hausdorff [almost strongly separated]. Clearly A is an M-algebra if and only if A is an M-ideal in itself. Using [4; § 3.2], it is easily verified that A is a GM-algebra if and only if every nonzero quotient of A contains a nonzero M-ideal.

PROPOSITION 3.1. The following are equivalent for a nonzero ideal I in a  $C^*$ -algebra A:

- (1) I is an M-ideal
- (2) Prim  $(A)^- \subset \operatorname{Max}(A) \cup I^{\perp}$ , where Prim  $(A)^-$  is the weak\* closure of Prim (A) in Id (A)
- (3) for each  $a \in I$ ,  $P \rightarrow ||a(P)||$  is continuous on Prim (A) in the structure topology.

*Proof.* (1)  $\Leftrightarrow$  (2): This is Proposition 2.11.

(1), (2)  $\Rightarrow$  (3): Suppose that an  $\alpha \in I$  and an  $\alpha > 0$  are given. The map  $p \to ||\alpha(P)||$  is lower semi-continuous on Prim (A) with the

structure topology, so it is enough to show that  $T = \{P \in \operatorname{Prim}(A) : \|a(P)\| \ge \alpha\}$  is structurally closed. Now T is a structurally compact subset of  $\operatorname{Prim}(A) - I^{\perp}$ , and as I is an M-ideal in A,  $\operatorname{Prim}(A) - I^{\perp}$  is Hausdorff in the relative structure topology. The map  $\sigma$  which sends P into  $P \cap I$  is a homeomorphism of  $\operatorname{Prim}(A) - I^{\perp}$  onto  $\operatorname{Prim}(I)$  for the structure topologies, hence the structure space of I is Hausdorff. From Lemma 2.1, this means that the structure and weak\* topologies coincide on  $\operatorname{Prim}(I)$ . Then  $\sigma(T)$  is a weak\* compact subset of  $\operatorname{Prim}(I)$ , and T is a weak\* compact subset of  $\operatorname{Prim}(A)$  (Lemma 2.10). Since T is contained in  $\operatorname{Max}(A)$ , it is dilated and therefore structurally closed by Lemma 2.2.

 $(3)\Rightarrow (1)$ : Say  $P\in \operatorname{Prim}(A)-I^{\perp}$  and  $Q\in \operatorname{Prim}(A)$  are distinct. If  $Q\in I^{\perp}$ , choose an  $a\in I$  with ||a(P)||=2. Then  $\{R\in \operatorname{Prim}(A)\colon ||a(R)||>1\}$  and  $\{R\in \operatorname{Prim}(A)\colon ||a(R)||<1\}$  are disjoint structurally open sets containing P and Q, resp. Now suppose that  $Q\notin I^{\perp}$ . For  $R\in \operatorname{Prim}(A)-I^{\perp}$  and  $a\in I$ ,  $R\cap I\in \operatorname{Prim}(I)$  and

$$||a(R \cap I)|| = \max\{||a(R)||, ||a(I)||\} = ||a(R)||.$$

This equality together with the homeomorphism  $\sigma$  of the previous paragraph implies that the structure and weak\* topologies on Prim (I) coincide, and therefore that Prim  $(A) - I^{\perp}$  is Hausdorff in the relative structure topology. As Prim  $(A) - I^{\perp}$  is a structurally open subset of Prim (A), there are disjoint structure neighborhoods of P and Q.

THEOREM 3.2. If A is a separable C\*-algebra, then Prim(A) is a  $G_{\delta}$  in the weak\* topology, and A is a GM-algebra if and only if

- (1)  $\operatorname{Max}(A) = \operatorname{Prim}(A)$ , i.e., the structure space of A is  $T_1$ , and
- (2) Prim (A) is  $K_{\sigma}$  in the weak\* topology.

*Proof.* This is an immediate consequence of Theorem 2.15, [6; Th. 7], and the fact that all nonempty closed subsets of the structure space are Baire [4; Corollaire 3.4.13].

Section 4 contains examples which show that neither (1) nor (2) is a consequence of the other, even for separable  $C^*$ -algebras. This completes the analogy between GM-simplex spaces and GM- $C^*$ -algebras. In studying the second class of  $C^*$ -algebras, the following two lemmas will be useful.

LEMMA 3.3. For any ideal I in a C\*-algebra A,  $Z(I) = I \cap Z(A)$ .

Proof. See [1; Lemma 6].

LEMMA 3.4. The following are equivalent for a  $C^*$ -algebra A:

- (i)  $Z(A) \not\subset P$  for each  $P \in Prim(A)$  and the structure space of A is Hausdorff, and
- (ii)  $P \rightarrow P \cap Z(A)$  is a one-to-one map from Prim(A) into Prim(Z(A)).

If these conditions are satisfied, then the map in (ii) is a homeomorphism of Prim(A) onto Prim(Z(A)) for the structure topologies.

*Proof.* For the equivalence of (i) and (ii), see [1; Proposition 3] or [18; Corollary 3.1.2]. The last statement is contained in [15; Th. 9.1].

A  $C^*$ -algebra satisfying one of the equivalent conditions of the last lemma is called central; for other equivalent definitions, see [1; Proposition 3].

Several results from [7; § 4] will now be recalled. Consider an  $a \in Z(A)$  and a primitive ideal P in A. Choose an irreducible representation  $\pi$  of A with kernel P. As  $\pi(a)$  is in the center of  $\pi(A)$ , it must be a multiple  $\alpha$  of the identity operator on the space of  $\pi$ . Then  $\pi(a)\pi(b) = \alpha\pi(b)$ , i.e.,  $ab - \alpha b \in P$ , for all  $b \in A$ . This last condition determines  $\alpha$  uniquely, and shows that it depends only on P (and not on  $\pi$ ). Set  $f_a(P) = \alpha$ . The function  $f_a$  is clearly bounded on Prim A. It is easy to show that  $\varphi(a) = f_a(P)$  for any  $\varphi \in \theta^{-1}(P)$ , where  $\theta$  is the natural mapping of P(A), the pure states on A, onto Prim A. Because  $\theta$  is an open map,

$$\begin{split} f_a^{-1}(U) &= \{P \in \operatorname{Prim}(A) \colon f_a(P) \in U\} \\ &= \theta(\{\varphi \in P(A) \colon f_a(\theta(\varphi)) \in U\}) \\ &= \theta(\{\varphi \in P(A) \colon \varphi(a) \in U\}) \end{split}$$

is structurally open for any open set U of complex numbers. This shows that  $f_a$  is structurally continuous. If A is central, then  $P \in \text{Prim }(A)$  implies  $P \cap Z(A) \in \text{Max }(Z(A)) = \text{Prim }(Z(A))$ , and regarding  $a \in Z(A)$  as a function on Max (Z(A)),  $f_a(P) = a(P \cap Z(A))$ . Since  $Z(A) \cong C_0(\text{Max }Z(A))$ , we may identify the functions  $f_a$  with  $C_0(\text{Prim }(A))$ .

A  $C^*$ -algebra A will be said to have *local identities* if given  $P_0 \in \operatorname{Prim}(A)$ , there is an  $a \in A$  such that a(P) is an identity in A/P for all P in some structure neighbourhood of  $P_0$ . A nonzero ideal I in A will be called a C-ideal in A if I is a central  $C^*$ -algebra. A will be called a C-algebra if it is a C-ideal in itself (i.e., is central), and a GC-algebra if every nonzero quotient of A contains a nonzero C-ideal.

Proposition 3.5. A nonzero ideal I in A is a C-ideal if and

only if it is an M-ideal with local identities.

*Proof.* Suppose that I is a C-ideal. Let P and Q be distinct primitive ideals in A with  $P \notin I^{\perp}$ . If  $Q \notin I^{\perp}$ , then since I is central,  $P \cap Z(I)$  and  $Q \cap Z(I)$  are distinct maximal ideals in Z(I) hence there is an  $a \in Z(I) \subset Z(A)$  with  $f_a(P) \neq 0$  and  $f_a(Q) = 0$ . If  $Q \in I^{\perp}$ , let a be any element of Z(I) with  $a(P) \neq 0$ . Then  $f_a$  will provide disjoint neighborhoods for P and Q, and A is an M-ideal.

Thus it suffices to show that a  $C^*$ -algebra A is a C-algebra if and only if it is an M-algebra with local identities. If A is a C-algebra, Z(A) may be identified with  $C_0(\operatorname{Prim}(A))$ , hence it is trivial that A has local identities. Conversely, suppose that A is an M-algebra with local identities. Say  $P_0 \in \operatorname{Prim}(A)$ , and choose an  $a \in A$  such that a(P) is an identity in A/P for all P in some neighborhood T of  $P_0$ . Consider a continuous bounded complex-valued function f on  $\operatorname{Prim}(A)$  with  $f(P_0) = 1$  and whose support is contained in T. From the Dauns-Hofmann theorem (see  $[7; \S 7]$ ), there is a  $b \in A$  such that b(P) = f(P)a(P) for all  $P \in \operatorname{Prim}(A)$ . Then (bc - cb)(P) = 0 if  $c \in A$  and  $P \in \operatorname{Prim}(A)$ , so that  $b \in Z(A)$ . Since  $b \notin P_0$ , A must be a C-algebra.

LEMMA 3.6. For a nonzero C-ideal I in A,

- (1)  $P \rightarrow || a(P) ||$  is structurally continuous on  $Prim(A) I^{\perp}$  for each  $a \in A$ , and
  - (2)  $\operatorname{Prim}(A)^{-} \subset [\operatorname{Max}(A) \cap \operatorname{Mod}(A)] \cup I^{\perp}$ .

*Proof.* To prove (1), fix  $a \in A$ , and suppose  $P_0 \in \text{Prim } (A) - I^{\perp}$  is given. It is sufficient to show that  $P \rightarrow ||a(P)||$  is structurally continuous on some structure neighborhood of  $P_0$ . From the structure homeomorphism of  $\operatorname{Prim}(A) - I^{\perp}$  onto  $\operatorname{Prim}(I)$  and the fact that Ihas local identities, there is a structure neighborhood T of  $P_0$  contained in Prim  $(A) - I^{\perp}$  and a  $b \in I$  such that  $b(P \cap I)$  is an identity in  $I/(P \cap I)$  for each  $P \in T$ . As I is an M-ideal in A, each  $P \in T$  is a structurally closed point in Prim(A), and so is a maximal ideal. Therefore P + I = A and there is a \*-isomorphism of A/P onto  $I/(I \cap P)$  which carries c(P) into  $c(I \cap P)$ ,  $c \in I$  [4; Corollaire 1.8.4]. Hence b(P) is an identity in A/P for each  $P \in T$ , and since  $ab \in I$ , Proposition 3.1 implies that  $P \rightarrow ||(ab)(P)|| = ||a(P)||$  is structurally continuous on T. Turning to (2), suppose  $P \in \text{Prim } (A)^-$ ,  $P \notin I^\perp$ . Since I is an M-ideal in A, Proposition 3.1 gives  $P \in \text{Max}(A)$ . As I is central, there is an  $a \in Z(I) \subset Z(A)$  with  $a \notin P$ . Since a(P) is a nonzero central element of A/P, P must be modular.

In the case of simplex spaces, the analogues of (1) and (2) of the previous lemma are each equivalent to I being a C-ideal. This is not

the case for  $C^*$ -algebras. In fact, there is an example of a noncentral  $C^*$ -algebra A which satisfies (1) and (2) with I replaced by A, viz, the algebra of all functions a from  $\{1, 2, \cdots\}$  into the two-by-two matrices with complex entries such that  $\lim_{n\to\infty} a_{ij}(n)$  exists and is equal to zero unless i=j=1 (this example was also used by Delaroche in  $[2; \S 6]$ ).

The following result is due to Delaroche [2, Proposition, 14].

Theorem 3.7. A separable  $C^*$ -algebra A is a GC-algebra if and only if

- (1) A is a GM-algebra, and
- (2) every primitive ideal in A is modular.

*Proof.* Suppose that A is a GC-algebra. Then by Proposition 3.5, A is a GM-algebra. If  $P \in Prim(A)$ , then since P is a maximal ideal in A (Theorem 3.2), A/P must be central. But then A/P is primitive and has a nontrivial center, implying that P is modular.

Conversely, suppose that (1) and (2) hold, and let  $I \neq A$  be an ideal in A. From Lemma 2.14, A/I is a GM-algebra. primitive ideal in A/I is of the form P/I for some  $P \in Prim(A) \cap I^{\perp}$ [4; Proposition 2.11.5 (i)], and since  $(A/I)/(P/I) \cong A/P$  for such P, every primitive ideal in A/I is modular. So to show that A is a GC-algebra, it is only necessary to show that A possesses a nonzero C-ideal. Let I be a nonzero M-ideal in A. The structure space of I, being homeomorphic to Prim  $(A) - I^{\perp}$  with the relative structure topology [4; Proposition 3.2.1], is Hausdorff. Since any  $P \in Prim(A) - I^{\perp}$ is a maximal ideal in A, P+I=A and  $I/(P\cap I)\cong (P+I)/P=A/P$ [4; Corollaire 1.8.4]. So any primitive ideal in I, being of the form  $P \cap I$  for some  $P \in Prim(A) - I^{\perp}$ , must be modular. This and [4; Proposition 1.8.5] show that it is sufficient to establish the following: If A is a separable  $C^*$ -algebra all of whose primitive ideals are modular and whose structure space is Hausdorff, then A has a nonzero C-ideal.

For such a  $C^*$ -algebra A, the structure and weak\* topologies coincide on Prim (A) (Lemma 2.1). Let  $1_P$  be the identity in A/P,  $P \in \text{Prim } (A)$ . Let  $(u_n)$  be an approximate identity in A indexed on the positive integers, and set

$$T_n = \{P \in \text{Prim}(A): ||u_n(P) - 1_P|| \le 1/2\},$$

 $n=1, 2, \cdots$ . Since  $u_n(P) \to 1_P$  as  $n \to \infty$  for each P,  $\operatorname{Prim}(A) = \bigcup_{n=1}^{\infty} T_n$ . Let A' be the  $C^*$ -algebra obtained by adjoining an identity 1 to A. Then  $\operatorname{Prim}(A') \cong \operatorname{Prim}(A) \cup \{A\}$  and  $A^{\perp} = \{A\}$ . Fix a  $P' \in \operatorname{Prim}(A') - A^{\perp}$ , and set  $P = P' \cap A$ . Then  $a(P) \to a(P')$ ,  $a \in A$ , is an isomorphism of A/P onto (A + P')/P'. Choose a  $b \in A$  such that

 $b(P) = 1_P$ . Then b(P') must be an identity in (A + P')/P'. The latter is an ideal in A'/P', and from Lemma 3.3, b(P') is a central idempotent in A'/P'. Since A'/P' is primitive, b(P') = 1(P'). Consequently,

$$||(u_n - 1)(P')|| = ||(u_n - b)(P')|| = ||(u_n - b)(P)||$$
  
=  $||u_n(P) - 1_P||$ .

Therefore

$$T_n = \{P' \cap A : P' \in \text{Prim}(A') \text{ and } ||(u_n - 1)(P')|| \le 1/2\},$$

and  $T_n$  is a closed subset of  $\operatorname{Prim}(A)$ . Since the structure space of A is Baire [4; Corollaire 3.4.13], some  $T_n$  contains a nonempty open set T. Because  $u_n \geq 0$  and  $||u_n|| \leq 1$ ,  $\operatorname{Sp} u_n(P) \subset [1/2, 1]$  for each  $P \in T$ . Choosing a continuous real-valued function f on [0, 1] with f(0) = 0 and f = 1 on [1/2, 1] and setting  $a = f(u_n)$ ,  $a(P) = 1_P$  for each  $P \in T$  [4; Proposition 1.5.3]. Let I be the ideal in A with  $\operatorname{Prim}(A) - I^\perp = T$ . Say  $P \in T$ . Since  $\operatorname{Prim}(A)$  is locally compact and Hausdorff, there is a continuous bounded function g on  $\operatorname{Prim}(A)$  such that g(P) = 1 and g vanishes off T. From the Dauns-Hofmann theorem (see  $[7; \S 7]$ ), there is a  $b \in A$  with b(Q) = g(Q)a(Q) for all  $Q \in \operatorname{Prim}(A)$ . Then b(Q) = 0 if  $I \subset Q \in \operatorname{Prim}(A)$  and (bc - cb)(Q) = 0 if  $c \in A$  and  $Q \in \operatorname{Prim}(A)$ , which imply (by [4; Th. 2.9.7 (ii)] that  $b \in Z(I)$ . Therefore I satisfies condition (i) of Lemma 3.4, and so is a C-ideal in A. This completes the proof of Theorem 3.7.

It is not known whether the conclusion of Theorem 3.7 is true for nonseparable  $C^*$ -algebras.

4. Concluding remarks. Let A be a  $C^*$ -algebra. Recall that A is a CCR-algebra ("liminaire") if the image of A by any irreducible representation is contained in the algebra of compact operators on the representing Hilbert space. A nonzero ideal I in A is a CCR-ideal in A if it is a CCR-algebra, and A is a GCR-algebra ("post-liminaire") if every nonzero quotient of A contains a nonzero CCR-ideal.

The spectrum of A is the set  $\hat{A}$  of all equivalence classes of irreducible representations of A provided with the inverse image topology by the natural map  $\pi \to \operatorname{Ker} \pi$  of  $\hat{A}$  onto the structure space of A. Dixmier [4; § 4.5] has shown that the closure J(A) of the finite linear combinations of those  $a \in A^+$  for which  $\pi \to \operatorname{Tr} \pi(a)$  is finite and continuous on  $\hat{A}$  is an ideal in A. A nonzero ideal I in A will be called a CTC-ideal in A if  $I \subset J(A)$ , and A will be called a CTC-algebra [resp., GTC-algebra] if A is a CTC-ideal in itself [every

nonzero quotient of A contains a nonzero CTC-ideal]. These algebras have been studied in the literature, where they are sometimes called " $C^*$ -algèbre à trace continue" [" $C^*$ -algèbrea à trace continue géneralisée"]. Recall that a CTC-algebra has Hausdorff structure space and that a GTC-algebra is CCR ([4; § 4]).

A CCR-algebra A with a Hausdorff structure space will be said to satisfy the  $Fell\ condition$  if the canonical field of  $C^*$ -algebras defined by A satisfies the Fell condition of Dixmier [4; § 10.5]. This amounts to saying that given  $P_0 \in \operatorname{Prim}(A)$ , there is an  $a \in A$  such that a(P) is a one-dimensional projection in A/P for all P in some structure neighborhood of  $P_0$ . The following are some of the relations between the various classes of  $C^*$ -algebras:

- (1) if A is separable, then it is both GM and GCR if and only if it is GTC ([5; Proposition 4.2]),
- (2) if A is separable, then it is both GC and GCR if and only if it is GTC and all its irreducible representations are finite-dimensional ((1) and Theorem 3.7),
- (3) A is GCR and M and satisfies the Fell condition if and only if it is CTC ([4; Propositions 4.5.3 and 10.5.8]; recall that A is CCR if it is GCR and M),
- (4) A is a central GCR-algebra and satisfies the Fell condition if and only if it is a CTC-algebra with local identities ((3) and Proposition 3.7), and
- (5) if A is separable, then it is GM if either it is a CCR-algebra with compact structure space or its irreducible representations are all finite-dimensional ([3; §1]).

Let H be a separable infinite-dimensional Hilbert space. Let B denote the  $C^*$ -algebra obtained by adjoining an identity to CC(H), the compact operators on H. The structure space of B (see [4; Exercise 4.7.14 (a)]) fails to be  $T_1$ , and therefore is not almost strongly separated. Yet Prim (B) is  $K_{\sigma}$  in the weak\* topology.

In [3; § 2], Dixmier has constructed a separable CCR-algebra D whose structure space contains no nonempty strongly separated subset. In particular, D is not GM. Nevertheless, there is an open subset of the structure space of D which is homeomorphic to [0, 1], and D contains an ideal C isomorphic to the  $C^*$ -algebra of continuous maps of [0, 1] into CC(H). So C is an M-algebra, yet no nonzero ideal in C is an M-ideal in D. Since D is a CCR-algebra, Prim(D) is  $T_1$  in the structure topology, so that Prim(D) cannot be  $K_{\sigma}$  in the weak\* topology (Theorem 3.2). These two examples are the ones promised after Theorems 2.15 and 3.2.

Finally, one further point of contact between  $C^*$ -algebras and simplex spaces will be mentioned. Fell has shown that a  $C^*$ -algebra

A can be described (to within isomorphism) as the set of all functions on  $Prim(A)^-$  satisfying certain conditions, the value of such a function at an  $I \in Prim(A)^-$  being an element of A/I [12]. Moreover, the Dauns-Hofmann theorem (see [7; § 7]) may be deduced from this representation theorem [Fell, unpublished]. There is an analogous representation theorem for simplex spaces, due to Effros [10; Corollary 2.5]. The analogue of the Dauns-Hofmann theorem for simplex spaces can be deduced from this representation theorem (however, this is not the manner in which it is proven in the literature; cf. [10; Th. 2.1]).

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