

# Pacific Journal of Mathematics

**RINGS OF QUOTIENTS OF  $\Phi$ -ALGEBRAS**

DONALD GLEN JOHNSON

## RINGS OF QUOTIENTS OF $\mathcal{O}$ -ALGEBRAS

D. G. JOHNSON

Let  $\mathcal{X}$  be a completely regular (Hausdorff) space. Fine, Gillman, and Lambek have studied the (generalized) rings of quotients of  $C(\mathcal{X}) = C(\mathcal{X}; \mathbf{R})$ , with particular emphasis on the maximal ring of quotients,  $Q(\mathcal{X})$ . In this note, we start with a characterization of  $Q(\mathcal{X})$  that differs only slightly from one of theirs. This characterization is easily altered to fit more general circumstances, and so serves to obtain some results on non-maximal rings of quotients of  $C(\mathcal{X})$ , and to generalize these results to the class of  $\mathcal{O}$ -algebras.

We consider only commutative rings with unit. Let  $A$  be one such, and recall that the (unitary) over-ring  $B$  of  $A$  is called a *rational extension* or *ring of quotients* of  $A$  if it satisfies the following condition: given  $b \in B$ , for every  $0 \neq b' \in B$  there is  $a \in A$  with  $ba \in A$  and  $b'a \neq 0$ . A ring without proper rational extensions is said to be *rationally complete*. For the rings to be considered here (all are semi-prime), the condition above can be replaced by the simpler condition: for  $0 \neq b \in B$ , there exists  $a \in A$  such that  $0 \neq ba \in A$  ([1], p. 5). Accordingly, we make the following

**DEFINITION.** If  $B$  is an over-ring of  $A$  and  $0 \neq b \in B$ , say that  $b$  is *rational over*  $A$  if there is  $a \in A$  with  $0 \neq ba \in A$ .

Let  $m\beta\mathcal{X}$  denote the minimal projective extension of  $\beta\mathcal{X}$  and  $\tau: m\beta\mathcal{X} \rightarrow \beta\mathcal{X}$  the minimal perfect map ([2]). In [1], it is shown that  $Q(\mathcal{X})$  is a dense, point-separating subalgebra of  $D(m\beta\mathcal{X})$ , the set of all continuous maps from  $m\beta\mathcal{X}$  into the two-point compactification of the real line which are real-valued on a dense subset of  $m\beta\mathcal{X}$  (see, also, [3]). Since  $Q(\mathcal{X})$  contains every ring of quotients of  $C(\mathcal{X})$ , this leads to

**PROPOSITION 1.** *If  $B$  is any ring of quotients of  $C(\mathcal{X})$ , then there exist a compact (Hausdorff) space  $\mathcal{Y}$  and minimal perfect maps  $\alpha$  and  $\gamma$  such that  $B$  is a point-separating subalgebra of  $D(\mathcal{Y})$  and the following diagram commutes:*

$$\begin{array}{ccc}
 m\beta\mathcal{X} & \xrightarrow{\alpha} & \mathcal{Y} \\
 & \searrow \tau & \downarrow \gamma \\
 & & \beta\mathcal{X} .
 \end{array}$$

$\mathcal{Y}$  is the obvious identification space, and the proof consists of a routine argument to show that the quotient map  $\alpha$  is closed, whence  $\mathcal{Y}$  is Hausdorff. Since  $C(\mathcal{X}) \subseteq B$ , the existence of  $\gamma$  follows immediately. (Note that, although  $D(m\beta\mathcal{X})$  is an algebra,  $D(\mathcal{Y})$  for other spaces  $\mathcal{Y}$  is, in general, only a partial algebra.)

For our purposes, it is convenient to view  $C(\mathcal{X})$  as a subalgebra of  $D(\beta\mathcal{X})$ . *This allows us to decree that all spaces are compact (Hausdorff).*

Let us say that any space  $\mathcal{Y}$  that is situated in a commutative diagram of the form

$$\begin{array}{ccc}
 m\mathcal{X} & \xrightarrow{\alpha} & \mathcal{Y} \\
 & \searrow \tau & \downarrow \gamma \\
 & & \mathcal{X}
 \end{array}$$

where all maps are minimal perfect, is *near to*  $\mathcal{X}$ . (Of course, the existence of  $\gamma$  automatically guarantees the existence of  $\alpha$ .) Note that we have already adopted the convention of identifying  $f \in D(\mathcal{X})$  with its image  $f \circ \gamma$  in  $D(\mathcal{Y})$  whenever convenient. With this convention, if  $A$  is a subalgebra of  $D(\mathcal{Y})$  and  $f \in D(\mathcal{Y})$  then we may consider  $f$  as an element of an over-ring of  $A - D(m\mathcal{X}) -$ , even if there is no subalgebra of  $D(\mathcal{Y})$  containing both  $A$  and  $f$ .

Now let  $A$  be a  $\Phi$ -algebra that is closed under bounded inversion; i.e., an archimedean lattice ordered algebra with a multiplicative identity that is a weak order unit, in which  $1/a \in A$  whenever  $1 \leq a \in A$ . Let  $\mathcal{X} = \mathcal{M}(A)$ , the space of maximal ideals of  $A$  with the hull-kernel topology. It is shown in [4] that  $A$  is (isomorphic with) a point-separating subalgebra of  $D(\mathcal{X})$ . If  $\mathcal{Y}$  is any space that is near to  $\mathcal{X}$ , let  $A_{\mathcal{Y}} = \{f \in D(\mathcal{Y}) : \text{for each nonempty open set } \mathcal{U} \text{ in } \mathcal{Y}, \text{ there are a nonempty open set } \mathcal{V} \subseteq \mathcal{U} \text{ and } g \in A \text{ such that } f|_{\mathcal{V}} = g|_{\mathcal{V}}\}$ . Note that  $A_{\mathcal{Y}}$  is always a lattice. However, it need not be an algebra:

EXAMPLE. Let  $\mathcal{X} = \mathcal{Y}$ , the one-point compactification of the countable discrete space, and let  $A = C(\mathcal{X})$ . Then  $A_{\mathcal{Y}} = D(\mathcal{Y})$ , which is not an algebra.

REMARK. One readily shows that the open sets  $\mathcal{V}$  appearing in the definition of  $A_{\mathcal{Y}}$  can always be shown to have the form  $\gamma^{-1}[\mathcal{V}_1]$ , where  $\mathcal{V}_1$  is open in  $\mathcal{X}$ . It follows that

$$A_{\mathcal{Y}} = \{f \in D(\mathcal{Y}) : f \circ \alpha \in A_{m\mathcal{X}}\} .$$

PROPOSITION 2. (i) *Every element of  $A_{\mathcal{Y}}$  is rational over  $A^*$*

(and, hence, over  $A$ ).

(ii)  $A_{\mathcal{V}}$  contains every rational extension of  $A$  and  $A^*$  in  $D(\mathcal{V})$ .

*Proof.* (i) Let  $0 \neq f \in A_{\mathcal{V}}$ , and let  $\mathcal{U}$  be a nonempty open set contained in  $\text{coz } f$ . Since  $f \in A_{\mathcal{V}}$ , there exist a nonempty open set  $\mathcal{V} = \gamma^{-1}[\mathcal{V}_1] \subseteq U$ , where  $\mathcal{V}_1$  is open in  $\mathcal{X}$ , and  $h \in A^*$  such that  $f|_{\mathcal{V}} = h|_{\mathcal{V}}$ . Choose  $0 \neq g \in A^*$  with  $\overline{\text{coz } g} \subseteq \mathcal{V}_1$ . Then  $0 \neq fg = hg \in A^*$ .

(ii) Let  $f \in D(\mathcal{V}) \setminus A_{\mathcal{V}}$ . Then, there is a nonempty open set  $\mathcal{U}$  such that  $f$  agrees with no member of  $A$  on any nonempty open subset of  $\mathcal{U}$ . Choose  $g \in A^*$  with  $\phi \neq \overline{\text{coz } g} \subseteq \mathcal{U}$ .

There is no  $h \in A$  with  $hg \neq 0$  while  $fh \in A$ . For, such  $h$  would agree with a unit  $h_1$  of  $A$  on some nonempty open subset  $\mathcal{V}$  of  $\mathcal{U}$  (since  $A$  is closed under bounded inversion), whence

$$f|_{\mathcal{V}} = (h/h_1)f|_{\mathcal{V}},$$

while  $(1/h_1)hf \in A$ , a contradiction. Thus,  $f$  is contained in no rational extension of  $A$ .

Although  $A_{\mathcal{V}}$  may contain many different rational extensions of  $A$ , it is not true that it is the union of such extensions, as is seen in the example preceding Proposition 2. However, in those spaces  $\mathcal{V}$  for which  $A_{\mathcal{V}}$  is an algebra,  $A_{\mathcal{V}}$  is a  $\Phi$ -algebra and is the largest ring of quotients of  $A$  that "lives on"  $\mathcal{V}$ . In particular, this happens when  $D(\mathcal{V})$  is an algebra (e.g., when  $\mathcal{V}$  is basically disconnected or an  $F$ -space). Hence,  $A_{m\mathcal{X}}$  is a  $\Phi$ -algebra, since  $m\mathcal{X}$  is extremally disconnected, and we obtain the following generalizations of results in [1].

**THEOREM 1.**  $A_{m\mathcal{X}}$  is rationally complete; thus,  $A_{m\mathcal{X}} = \mathcal{Q}(A)$ , the maximal ring of quotients of  $A$ .

**THEOREM 2.**  $A_{m\mathcal{X}}$  is uniformly dense in  $D(m\mathcal{X})$ .

**THEOREM 3 ([1]).**  $D(m\mathcal{X})$  is rationally complete.

The proofs of Theorems 1 and 3 are virtually identical, and are related to one found on p. 30 of [1]; we prove 1. To do so, we will employ the following characterization of rational completeness (see [1], p. 7).

*The commutative ring  $B$  is rationally complete if and only if it satisfies: for any dense ideal  $I$  of  $B$ , every element of  $\text{Hom}_B(I, B)$  is a multiplication by an element of  $B$ . (In the present setting, an ideal  $I$  of  $A_{m\mathcal{X}}$  is dense if and only if  $\cup\{\text{coz } f : f \in I\}$  is dense in  $m\mathcal{X}$ .)*

*Proof of Theorem 1.* Let  $I$  be a dense ideal in  $A$ , and let

$\phi \in \text{Hom}_{A_{m\mathcal{L}}}(I, A_{m\mathcal{L}})$ . By Zorn's lemma, choose a family  $\{\mathcal{U}_\kappa: \kappa \in K\}$  of open sets in  $m\mathcal{L}$  satisfying:

- (i)  $\mathcal{U} = \bigcup \mathcal{U}_\kappa$  is dense in  $m\mathcal{L}$ ;
- (ii) the  $\mathcal{U}_\kappa$  are pairwise disjoint;
- (iii) for each  $\kappa$ , there is  $f_\kappa \in I$  such that  $f_\kappa$  is bounded away from zero on  $\mathcal{U}_\kappa$  and both  $f_\kappa$  and  $\phi(f_\kappa)$  agree with members of  $A$  on  $\mathcal{U}_\kappa$ .

Let  $f \in D(m\mathcal{L})$  satisfy

$$f \Big|_{\mathcal{U}_\kappa} = \frac{\phi(f_\kappa)}{f_\kappa} \Big|_{\mathcal{U}_\kappa}$$

for each  $\kappa \in K$ . This is possible, since  $m\mathcal{L}$  is extremally disconnected, so  $m\mathcal{L} = \beta\mathcal{U}$ .

If  $g \in I$  and  $x \in \mathcal{U}_\kappa$ , then

$$f(x)g(x) = \frac{\phi(f_\kappa)(x)}{f_\kappa(x)}g(x) = \frac{g\phi(f_\kappa)}{f_\kappa} \Big|_{\mathcal{U}_\kappa}(x) = \frac{f_\kappa\phi(g)}{f_\kappa} \Big|_{\mathcal{U}_\kappa}(x) = \phi(g)(x).$$

It follows that  $\phi$  is multiplication by  $f$ . Clearly,  $f \in A_{m\mathcal{L}}$ , and the proof is complete.

*Proof of Theorem 2.* Let  $f \in D(m\mathcal{L})$ ,  $\varepsilon > 0$ . By Zorn's lemma, choose a family  $\{\mathcal{U}_\kappa: \kappa \in K\}$  of open sets in  $m\mathcal{L}$  which satisfies:

- (i)  $\mathcal{U} = \bigcup \mathcal{U}_\kappa$  is dense in  $m\mathcal{L}$ ;
- (ii) the  $\mathcal{U}_\kappa$  are pairwise disjoint;
- (iii) for  $x, y \in \mathcal{U}_\kappa$ ,  $|f(x) - f(y)| < \varepsilon$  (in particular,  $f$  is real-valued on  $\mathcal{U}_\kappa$ ).

For each  $\kappa \in K$ , choose  $x_\kappa \in \mathcal{U}_\kappa$ , and define  $g: \mathcal{U} \rightarrow \mathbf{R}$  by

$$g(y) = f(x_\kappa) \quad \text{if } y \in \mathcal{U}_\kappa.$$

Since  $m\mathcal{L} = \beta\mathcal{U}$ ,  $g$  can be extended to  $\hat{g} \in D(m\mathcal{L})$ . Clearly,  $\hat{g} \in A_{m\mathcal{L}}$ , and

$$|f - \hat{g}| \leq \varepsilon.$$

Now the analogue of Proposition 1 for  $\Phi$ -algebras is routinely obtained.

In case  $\mathcal{Y} = m\mathcal{L}$  and  $A = C(\mathcal{L})$  one readily translates the definition of  $A_{\mathcal{Y}}$  (using the fact that  $m\mathcal{L}$  is extremally disconnected, and hence that every dense subspace is  $C^*$ -embedded) as follows:

$$A_{m\mathcal{L}} = \varinjlim \{C(\mathcal{S}): \mathcal{S} \text{ is a dense open subset of } \mathcal{L}\}.$$

Thus, the Fine-Gillman-Lambek result that this direct limit is  $Q(\mathcal{L})$  follows from Theorem 1.

It is easily seen that any  $\Phi$ -algebra  $A$  is a rational extension of its bounded subring  $A^*$ , and hence that  $(A^*)_{\mathcal{V}} = A_{\mathcal{V}}$  for any space  $\mathcal{V}$  near to  $\mathcal{M}(A)$ . Thus, if  $A$  is closed under uniform convergence, then  $\mathcal{Q}(A) = \mathcal{Q}(A^*) = Q(\mathcal{M}(A))$ , since  $A^* = C(\mathcal{M}(A))$ . In the general case, this may fail to hold. (So, more generally,  $A_{\mathcal{V}} \neq C(\mathcal{M}(A))_{\mathcal{V}}$  even when  $A \subseteq C(\mathcal{M}(A))$ .)

EXAMPLE. Let  $A = Q(\mathbf{R})$ . Then (see [1], p. 34),

$$A = \mathcal{Q}(A^*) \neq D(m\mathbf{R}) = D(M(A^*)) = Q(M(A^*)).$$

For any  $\Phi$ -algebra  $A$  and any space  $\mathcal{V}$  near to  $\mathcal{X} = \mathcal{M}(A)$ , every subalgebra of  $A_{\mathcal{V}}$  that contains  $A$  is a ring of quotients of  $A$ . Of interest are those that separate points of  $\mathcal{V}$ ; prime candidates are the maximal subalgebras of  $A_{\mathcal{V}}$  containing  $A$ , which are easily seen to exist.

The results that follow are obtained using ideas and methods employed by Nanzetta in [6] (see his 2.1, 2.3, 4.1). Conversion of his arguments to the present setting is largely an exercise in careful bookkeeping, and the details are omitted.

THEOREM 4. *If  $B$  is a maximal subalgebra of  $A_{\mathcal{V}}$ , then  $B$  is a lattice (hence, a  $\Phi$ -algebra).*

We will use the term “maximal subalgebra of  $A_{\mathcal{V}}$ ” to denote only those that contain  $A$ .

DEFINITION. Let  $B$  be a subalgebra of  $D(\mathcal{V})$ . A function  $f \in D(\mathcal{V})$  is said to be *locally in  $B$*  if each point of  $\mathcal{V}$  has a neighborhood on which  $f$  coincides with some member of  $B$ . The subalgebra  $B$  is said to be *local* (in  $D(\mathcal{V})$ ) if each member of  $D(\mathcal{V})$  that is locally in  $B$  is a member of  $B$ .

THEOREM 5. *Every maximal subalgebra of  $A_{\mathcal{V}}$  is local.*

As in [6], this fact yields the following result.

THEOREM 6. *Let  $B$  be a maximal subalgebra of  $A_{\mathcal{V}}$ , and let  $\mathcal{S}$  be a stationary set of  $B$ . If  $|\mathcal{S}| > 1$ , then*

- (i)  $\mathcal{S}$  is closed;
- (ii)  $\mathcal{S}$  is nowhere dense;
- (iii)  $\mathcal{S}$  is connected.

COROLLARY. *If  $\mathcal{V}$  is totally disconnected, then every maximal subalgebra of  $A_{\mathcal{V}}$  separates points of  $\mathcal{V}$ . (Note that this may occur*

even when  $A_{\mathcal{Y}}$  is not an algebra: see the example preceding Proposition 2.)

It is not known whether every space  $\mathcal{Y}$  near to  $\mathcal{X}$  supports (i.e., is the structure space of) a ring of quotients of  $C(\mathcal{X})$ . Apparently, an answer to this question awaits a more systematic description of the collection of spaces near to  $\mathcal{X}$ .

Note that  $(A_{\mathcal{Y}})^*$ , the set of bounded elements of  $A_{\mathcal{Y}}$ , is always a  $\Phi$ -algebra. Hence, it is always a ring of quotients of  $A^*$ —the largest bounded ring of quotients of  $A^*$  in  $D(\mathcal{Y})$ . As mentioned above, it is not known whether  $(A_{\mathcal{Y}})^*$  always separates points of  $\mathcal{Y}$ ; it clearly does so if and only if  $A_{\mathcal{Y}}$  does. However, the example that follows shows that  $A_{\mathcal{Y}}$  may separate points in  $\mathcal{Y}$  even though  $\mathcal{Y}$  supports no ring of quotients of  $A$ .

EXAMPLE. Let  $\mathcal{S} = \{(x, \sin(1/x)); x \in (0, 1]\}$ , let  $\mathcal{X}$  denote the one-point compactification of  $\mathcal{S}$ , and let  $\mathcal{Y} = \mathcal{S} \cup (\{0\} \times [-1, 1])$ . Let  $A$  denote the  $\Phi$ -algebra of all functions  $f \in D(\mathcal{X})$  that satisfy the following condition:

There is a real number  $x_0$ ,  $0 < x_0 < 1$ , and a real polynomial  $p$  such that

$$f\left(x, \sin \frac{1}{x}\right) = p\left(\frac{1}{x}\right) \quad \text{for } 0 < x < x_0$$

(cf. [4], 3.6). Then  $(A_{\mathcal{Y}})^* = C(\mathcal{Y})$ , whereas no subalgebra of  $D(\mathcal{Y})$  containing  $A$  separates points in  $\mathcal{Y}$  ([6], Theorem 4.6).

In passing, it should be noted that the development here has proceeded independently of [1]. The only results from that work that have been employed in an essential way came from Chapter 1 of [1], which consists of standard facts about rings of quotients of commutative rings (see, e.g., [5]). Thus, one can rapidly and efficiently reach the high points of the theory developed in [1] along the lines suggested by this note.

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