

# Pacific Journal of Mathematics

**MINIMAL FIRST COUNTABLE HAUSDORFF SPACES**

ROBERT MOFFATT STEPHENSON JR.

## MINIMAL FIRST COUNTABLE HAUSDORFF SPACES

R. M. STEPHENSON, JR.

If  $\mathcal{P}$  is a property of topologies, a  $\mathcal{P}$ -space  $(X, \mathcal{T})$  is called a  $\mathcal{P}$ -minimal space if there exists no  $\mathcal{P}$ -topology on  $X$  properly contained in  $\mathcal{T}$ . Throughout the following,  $\mathcal{H}$  = first countable and Hausdorff and  $\mathcal{C}$  = first countable and completely Hausdorff (a space  $X$  is called completely Hausdorff if the continuous real valued functions defined on  $X$  separate the points of  $X$ ).

In this paper we give examples of  $\mathcal{H}$ -minimal  $\mathcal{C}$ -spaces that are (i) not regular and (ii) regular but neither completely regular nor countably compact.

Two other results obtained are the following. (a) Every locally pseudocompact zero-dimensional  $\mathcal{H}$ -space can be embedded densely in a pseudocompact zero-dimensional  $\mathcal{H}$ -space. (b) Let  $\mathcal{P} = \mathcal{C}$ , completely regular  $\mathcal{H}$ , or zero-dimensional  $\mathcal{H}$ , and suppose that  $X$  is a  $\mathcal{P}$ -space such that for every  $\mathcal{P}$ -space  $Y$  and continuous mapping  $f: X \rightarrow Y$ ,  $f$  is closed. Then  $X$  is countably compact.

$N$  will denote the set of natural numbers, and  $C(X, Y)$  will denote the family of continuous mappings of  $X$  into  $Y$ . For definitions, see [4].

1. An embedding theorem and some examples. Recall that a space  $(X, \mathcal{T})$  is said to be *semiregular* if  $\{\overset{\circ}{T} \mid T \in \mathcal{T}\}$  is a base for  $\mathcal{T}$ . If  $(X, \mathcal{T})$  has a property  $\mathcal{P}$ , then  $(X, \mathcal{T})$  is said to be  $\mathcal{P}$ -closed provided that it is a closed subset of every  $\mathcal{P}$ -space in which it can be embedded.

For many properties  $\mathcal{P}$ , it is known that  $\mathcal{P}$ -minimal and  $\mathcal{P}$ -closed spaces are closely connected. For the case  $\mathcal{P} = \mathcal{H}$ , the following two results, established in [11], will be used below. An  $\mathcal{H}$ -space  $X$  is  $\mathcal{H}$ -closed if and only if every countable open filter base on  $X$  has nonempty adherence. An  $\mathcal{H}$ -space is  $\mathcal{H}$ -minimal if and only if it is semiregular and  $\mathcal{H}$ -closed.

We shall now describe constructions which can be used to densely embed certain  $\mathcal{C}$ -spaces in  $\mathcal{H}$ -minimal ( $\mathcal{H}$ -closed)  $\mathcal{C}$ -spaces. As special cases, we shall obtain examples with the properties mentioned in the introduction. First some terminology is needed.

A space  $X$  is said to be *locally pseudocompact* (W. W. Comfort) if every point of  $X$  has a pseudocompact neighborhood.

A filter base  $\mathcal{F}$  is said to be *pseudocompact* if for every  $F \in \mathcal{F}$  and  $G \in \mathcal{F}$ ,  $F - G$  is pseudocompact.  $\mathcal{F}$  is called *zero-dimensional* if the sets belonging to it are open- and-closed.

*Notation.* (B. Banaschewski). Let  $\mathcal{M}$  be a family of open filter bases on a space  $X$ . Let  $\{p(\mathcal{F}) \mid \mathcal{F} \in \mathcal{M}\}$  be a new set of distinct points, and let  $X(\mathcal{M})$  be the space whose points are the elements of  $X \cup \{p(\mathcal{F}) \mid \mathcal{F} \in \mathcal{M}\}$  and whose topology has as a base sets of the form  $V^* = V \cup \{p(\mathcal{F}) \mid V \text{ contains some member of } \mathcal{F}\}$ , where  $V$  is any open subset of  $X$ .

**THEOREM 1.1.** *Let  $X$  be an  $\mathcal{H}$ -space containing a point  $a$  such that  $X - \{a\}$  is a zero-dimensional locally pseudocompact space. Let  $\mathcal{N} = \{\mathcal{F} \mid \mathcal{F} \text{ is a free, countable, pseudocompact, zero-dimensional filter base on } X\}$ , and denote by  $\mathcal{M}$  a maximal subset of  $\mathcal{N}$  such that whenever  $\mathcal{F}, \mathcal{G} \in \mathcal{M}$  with  $\mathcal{F} \neq \mathcal{G}$ , then there exist disjoint sets  $F \in \mathcal{F}$  and  $G \in \mathcal{G}$ .*

*Then the space  $X(\mathcal{M})$  is an  $\mathcal{H}$ -closed  $\mathcal{C}$ -space in which  $X$  is embedded as a dense subset, and  $X(\mathcal{M})$  is  $\mathcal{H}$ -minimal if and only if  $X$  is semiregular.*

*Proof.*  $X(\mathcal{M})$  is clearly an  $\mathcal{H}$ -space. Furthermore, it follows from the hypothesis that each point of  $X(\mathcal{M}) - \{a\}$  has a fundamental system of feebly compact open neighborhoods. Thus the characteristic functions of open-and-closed subsets of  $X(\mathcal{M})$  separate the points of  $X(\mathcal{M})$  and  $X(\mathcal{M})$  is a  $\mathcal{C}$ -space.

Suppose that  $\mathcal{F}$  is a countable open filter base on  $X(\mathcal{M})$  and no point of  $X$  is an adherent point of  $\mathcal{F}$ . A slight modification of the proof of Lemma 2.17 in [11] shows that there exists a free, countable, pseudocompact, zero-dimensional filter base  $\mathcal{G}$  on  $X$  which is stronger than the filter base  $\mathcal{F} \upharpoonright X$ . By the maximality of  $\mathcal{M}$ , there exists  $\mathcal{H} \in \mathcal{M}$  with  $G \cap H$  nonempty for all  $G \in \mathcal{G}$  and  $H \in \mathcal{H}$ . Thus  $p(\mathcal{H})$  is an adherent point of  $\mathcal{F}$ .

To check semiregularity, it suffices to observe that if

$$a \in V = \text{Int}_X Cl_X V, \text{ then } V^* = \text{Int}_{X(\mathcal{M})} Cl_{X(\mathcal{M})} V^*.$$

**THEOREM 1.2.** *Let  $X$  and  $a$  be as in Theorem 1.1, and suppose that  $\{V_n \mid n \in N\}$  is a fundamental system of open neighborhoods for  $a$  such that  $V_1 = X$  and each  $V_n \supset Cl_X V_{n+1}$ . Let  $\mathcal{M}$  be a maximal family of free, countable, pseudocompact, zero-dimensional filter bases on  $X$  such that (a) whenever  $\mathcal{F}, \mathcal{G} \in \mathcal{M}$  with  $\mathcal{F} \neq \mathcal{G}$ , then there*

exist disjoint sets  $F \in \mathcal{F}$  and  $G \in \mathcal{G}$ , and (b) for every  $\mathcal{F} \in \mathcal{M}$  there exists  $n \in N$  such that  $\cup \mathcal{F} \subset V_n - V_{n+1}$ .

Then  $X(\mathcal{M})$  is a regular  $\mathcal{C}$ -space that is  $\mathcal{H}$ -minimal and contains  $X$  as a dense subspace. If each  $V_n$  is closed in  $X$ , then  $X(\mathcal{M})$  is zero-dimensional.

*Proof.* Since  $\{p(\mathcal{F}) | \mathcal{F} \in \mathcal{M}\} - \{a\}$  is a closed discrete subset of  $X(\mathcal{M}) - \{a\}$ , it follows from (b) that  $Cl_{X(\mathcal{M})} V_{n+1}^* = V_{n+1}^* \cup Cl_X V_{n+1}$ . Thus  $X(\mathcal{M})$  is regular, and if each  $V_n$  is closed in  $X$ , then  $X(\mathcal{M})$  is zero-dimensional.

The proof that  $X(\mathcal{M})$  is feebly compact is similar to the corresponding proof given for Theorem 1.1—one just notes that for some  $n$ ,  $\mathcal{F} | (Cl_X V_n - Cl_X V_{n+1})$  is a filter base, and so  $\mathcal{G}$  can be chosen with the property that  $\cup \mathcal{G} \subset V_n - V_{n+1}$ .

REMARK 1.3. In case the set  $I$  of isolated points of  $X$  is a dense subset of  $X$ ,  $\mathcal{M}$  can be defined as follows. Let  $\mathcal{E}$  be a maximal family of countably infinite subsets of  $I$  such that (a) the intersection of any two members of  $\mathcal{E}$  is finite, and (b) each member of  $\mathcal{E}$  is a closed subset of  $X$  (for Theorem 1.2, a closed subset of some  $Cl_X(V_n - V_{n+1})$ ). For each  $E \in \mathcal{E}$  let  $\mathcal{F}(E)$  be the complements in  $E$  of finite subsets of  $E$ . Take  $\mathcal{M} = \{\mathcal{F}(E) | E \in \mathcal{E}\}$ .

REMARK 1.4. For the case  $X = N$  and  $\mathcal{M}$  infinite, the space  $X(\mathcal{M})$  is due to J. Isbell (see [5, 51]).

REMARK 1.5. In general, the space  $X(\mathcal{M})$  is not countably compact and hence not weakly normal, for each  $\{p(\mathcal{F}) | \mathcal{F} \in \mathcal{M}\} - V_n^*$  is a closed discrete subset of  $X(\mathcal{M})$ .

COROLLARY 1.6. Every locally pseudocompact zero-dimensional  $\mathcal{H}$ -space can be embedded densely in a pseudocompact zero-dimensional  $\mathcal{H}$ -space.

EXAMPLE 1.7. For the following  $X$ , the space  $X(\mathcal{M})$  is an  $\mathcal{H}$ -minimal  $\mathcal{C}$ -space that is not regular.

Let  $T = \{0\} \cup \{1/n \in N\}$ , with the usual topology, choose a point  $a$  not in the product space  $N \times T$ , and let  $X = \{a\} \cup (N \times T)$ , topologized as follows: every open subset of  $N \times T$  is open in  $X$ ; a neighborhood of  $a$  is any set of the form  $V_n = \{a\} \cup \{(x, y) \in X | x \geq n \text{ and } 1/y \text{ is an}$

even integer},  $n \in N$ . ( $X$  is homeomorphic to  $E - \{b\}$ , where  $E$  is as in [13, p. 268].)

One can take  $\mathcal{M}$  to be a maximal family of infinite subsets of  $X - ClV_1$  such that the following hold:

- (i) For all  $M, M' \in \mathcal{M}$ ,  $M \neq M'$  implies  $M \cap M'$  is finite;
- (ii) For all  $M \in \mathcal{M}$  and  $n \in N$ ,  $M \cap (\{n\} \times T)$  is finite.

EXAMPLE 1.8. For the following  $X$ , the space  $X(\mathcal{M})$  (of Theorem 1.2) is an  $\mathcal{H}$ -minimal  $\mathcal{C}$ -space that is regular but not completely regular.

Let  $Y$  be the set of ordinal numbers less than the first uncountable ordinal, with the order topology, let  $M$  be the set of limit ordinals in  $Y$ , and denote  $Y - M$  by  $I$ . Let  $Z = I \times \{0\} \cup Y \times N$ , topologized as follows:  $Y \times N$  has the product topology, and  $Y \times N$  is open in  $Z$ ; a neighborhood of a point  $(i, 0) \in Z$  is any subset of  $Z$  that contains  $(i, 0)$  and all but finitely many elements of  $\{i\} \times N$ . Let  $L$  and  $R$  denote the product spaces  $Z \times \{1\}$  and  $Z \times \{2\}$ , and set  $U = L \cup R$ , with the weak topology generated by  $\{L, R\}$ . Let  $S$  be the relation on  $U$  defined by the rule:  $(x, i, j)S(y, k, n)$  if (a)  $x = y$ ,  $i = k$ , and  $j = n$ , or (b)  $x = y \in M$  and  $i = k$ . Denote the quotient space  $U/S$  by  $T$ . We shall continue to use the symbols  $(x, i, j)$  for the points of  $T$ .

On the product space  $T \times N$  define  $(t, n)W(t', n')$  if (a)  $t = t'$  and  $n = n'$ , or (b)  $t = (x, 0, j)$ ,  $t' = (x, 0, j')$ , and  $n' - n = j - j' = 1$  or  $n - n' = j' - j = 1$ . Let  $V$  be the quotient space  $(T \times N)/W$ . Choose a new point  $a$  and let  $X = V \cup \{a\}$ , topologized as follows: every open subset of  $V$  is open in  $X$ ; a neighborhood of  $a$  is any set of the form  $V_n = \{a\} \cup \{(t, m) \in V | m \geq n\}$ ,  $n \in N$ .

It is not difficult to see that  $X$  is a first countable regular space whose isolated points are dense, and  $X - \{a\}$  is zero-dimensional and locally compact.  $X$  is not completely regular, because for every  $f \in C(X)$  there exists  $m \in Y$  such that  $f$  is constant on

$$\{(x, 0, j, n) | x \geq m, j = 1 \text{ or } j = 2, \text{ and } n \in N\}.$$

Thus  $V_2$ , for example, contains no zero set neighborhood of  $a$ .

REMARK 1.9. The construction above is a modification of Tychonoff's regular but not completely regular space [12].

In [7] F. B. Jones has constructed a  $\mathcal{C}$ -space that is not com-

pletely regular but that is a Moore space. His space cannot be used here, however, because it is neither locally pseudocompact nor zero-dimensional.

In the literature there are many less messy examples of  $\mathcal{C}$ -closed or  $\mathcal{H}$ -minimal spaces that are not regular; however, the author does not know of any  $\mathcal{C}$ -minimal space appearing elsewhere that is not regular (or completely regular).

REMARK 1.10. If one glues together (as in [2]) two copies of the space in Example 1.8, then one gets an example of a regular  $\mathcal{H}$ -minimal space that is not completely Hausdorff.

2.  $\mathcal{C}$ -minimal spaces and closed mappings. If  $\mathcal{P}$  denotes any one of the usual separation properties, it is known that every  $\mathcal{P}$ -minimal completely Hausdorff space is compact (e.g., see [6]). Moreover C. T. Scarborough [9] has observed that a completely Hausdorff-minimal space is compact.

One might then expect  $\mathcal{C}$ -minimal spaces to be well behaved, to be, say, at least countably compact. Of course, Isbell's example or Mrówka's [8] (or ours) shows that this is not the case. The following characterization theorems may, therefore, be of interest.

DEFINITION. (H. E. Hayes) An open filter base  $\mathcal{F}$  on a space  $X$  is said to be *completely Hausdorff* provided that for every  $x \in X$ , if  $x$  is not an adherent point of  $\mathcal{F}$ , then there exist  $f \in C(X)$  and  $F \in \mathcal{F}$  such that  $f(F) = 0$  and  $f(x) = 1$ .

Using usual techniques, one can prove the following.

THEOREM 2.1. *Let  $X$  be a  $\mathcal{C}$ -space. The following are equivalent.*

- (i)  *$X$  is  $\mathcal{C}$ -closed.*
- (ii) *Every countable completely Hausdorff filter base on  $X$  has an adherent point.*
- (iii) *For every  $\mathcal{C}$ -space  $Y$  and  $f \in C(X, Y)$ ,  $f(X)$  is  $\mathcal{C}$ -closed.*

In order to obtain a  $\mathcal{C}$ -analogue of Theorem 2.4 of [11], we need a second definition.

DEFINITION. An open filter base  $\mathcal{F}$  on a space  $X$  is said to be *almost completely Hausdorff* if there exists  $p \in X$  so that for every  $x \in X - \{p\}$ , if  $x$  is not an adherent point of  $\mathcal{F}$ , then there exist  $f \in C(X)$  and  $F \in \mathcal{F}$  such that  $f(F) = 0$  and  $f(x) = 1$ .

**THEOREM 2.2.** *Let  $X$  be a  $\mathcal{C}$ -space. The following are equivalent.*

- (i)  $X$  is  $\mathcal{C}$ -minimal.
- (ii) Every countable completely Hausdorff filter base on  $X$  that has a unique adherent point is convergent.
- (iii)  $X$  is semiregular, and every countable almost completely Hausdorff filter base on  $X$  has an adherent point.

The proof is somewhat similar to the proofs needed for Theorems 2.4 and 2.9 in [11].

The next result, to be contrasted with (iii) of Theorem 2.1, is a partial converse to the following well-known theorem: If  $X$  is a countably compact space,  $Y$  is an  $\mathcal{H}$ -space (or a space of the type  $E_1$  studied in [1]), and  $f \in C(X, Y)$ , then  $f$  is closed.

We shall call an open filter base  $\mathcal{F}$  on  $X$  *completely regular* if for each  $F \in \mathcal{F}$  there exist  $G \in \mathcal{F}$  and  $f \in C(X, [0, 1])$  such that  $f$  vanishes on  $G$  and equals 1 on  $X - F$ .

**THEOREM 2.3.** *Let  $\mathcal{P}$  denote either completely Hausdorff, completely regular, or zero-dimensional, and suppose that  $X$  is a  $\mathcal{P}$ -space which is also an  $\mathcal{H}$ -space. The following are equivalent.*

- (i)  $X$  is countably compact.
- (ii) For every  $\mathcal{H}$ -space  $Y$  and  $f \in C(X, Y)$ ,  $f$  is closed.
- (iii) For every  $\mathcal{P}$ -space  $Y$  that is an  $\mathcal{H}$ -space and  $f \in C(X, Y)$ ,  $f$  is closed.
- (iv) For every closed subset  $C$  of  $X$  and every countable  $\mathcal{P}$ -filter base  $\mathcal{F}$  on  $X$ , if  $\mathcal{F}|C$  is a filter base and if  $\bigcap \mathcal{F} = \bigcap \{\bar{F} | F \in \mathcal{F}\}$ , then there is a point  $c \in C$  which is in  $\bigcap \mathcal{F}$ .

*Proof.* (i)  $\Rightarrow$  (ii) is known. (ii)  $\Rightarrow$  (iii) is obvious. A proof not too different from one in [3] shows that (iii)  $\Leftrightarrow$  (iv). We shall prove that (iv)  $\Rightarrow$  (i) for the case  $\mathcal{P} =$  completely Hausdorff.

Let us suppose then that  $X$  is a  $\mathcal{C}$ -space which contains a countably infinite closed discrete subset  $C$ .

Consider a point  $c \in C$ . Since  $X$  is completely Hausdorff and  $C - \{c\}$  is countable, there exists  $f \in C(X)$  for which  $f(c) \notin f(C - \{c\})$ . Since  $C - \{c\}$  is a closed subset of  $X$  and  $f$  is closed, we can choose  $g \in C((-\infty, \infty))$  with  $g(f(c)) = 1$  and  $g(f(C - \{c\})) = 0$ . Set  $h_c = g \circ f$ .

Let  $\mathcal{F}$  be the family of all finite intersections of

$$\{h_c^{-1}(-1/n, 1/n) | n \in N \text{ and } c \in C\}.$$

Then it is easy to see that  $\mathcal{F}$  is a countable completely regular (and hence completely Hausdorff) filter base on  $X$ , that  $\bigcap \mathcal{F} = \bigcap \{\bar{F} \mid F \in \mathcal{F}\}$ , and that  $\mathcal{F}|C$  is a filter base. On the other hand, one also has  $C \cap \bigcap \mathcal{F} = \phi$ . This contradicts (iv).

REMARK 2.4. There exists an  $\mathcal{H}$ -space  $X$  that is not countably compact but which has the property: for every Hausdorff space  $Y$  and  $f \in C(X, Y)$ ,  $f$  is closed. See [3] and [14].

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