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**ON UNCONDITIONALLY CONVERGING SERIES AND  
BIORTHOGONAL SYSTEMS IN A BANACH SPACE**

GREGORY FRANK BACHELIS AND HASKELL PAUL ROSENTHAL

## ON UNCONDITIONALLY CONVERGING SERIES AND BIORTHOGONAL SYSTEMS IN A BANACH SPACE

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**Our main result is as follows: Let  $B$  be a Banach space containing no subspace isomorphic (linearly homeomorphic) to  $l_\infty$ , and let  $\{(b_n, \beta_n)\}$  be a biorthogonal sequence in  $B$  such that  $(\beta_n)$  is total. If  $x \in B$  then  $\sum_{n=1}^\infty \beta_n(x)b_n$  converges unconditionally to  $x$  if and only if for every sequence  $(a_n)$  of 0's and 1's there exists  $y \in B$  with  $\beta_n(y) = a_n\beta_n(x)$  for all  $n$ . This theorem improves previous results of Kadec and Pelczynski.**

Similar results are obtained in the context of biorthogonal decompositions of a Banach space into separable subspaces.

1. Preliminaries. We follow the notation of [2] for the most part, and we also refer the reader to [2] for various results concerning unconditional convergence. We recall that a sequence of pairs  $\{(b_n, \beta_n)\}$  is called a *biorthogonal sequence* in the Banach space  $B$  if for all  $m$  and  $n$ ,  $b_m \in B$ ,  $\beta_n \in B^*$ , and  $\beta_m(b_n) = \delta_{mn}$ ;  $(\beta_n)$  is said to be *total* (in  $B$ ) if given  $x \in B$  with  $\beta_n(x) = 0$  for all  $n$ , then  $x = 0$ . Finally, we denote the space of all bounded scalar-valued sequences by  $l_\infty$ .

2. The Main Result. We first need the following lemma, due to Seever [8]:

LEMMA 1. *Let  $X$  be a Banach space and  $T: X \rightarrow l_\infty$  be a bounded linear map such that for every  $a \in l_\infty$  with  $a_n = 0$  or 1 for all  $n$ , there exists  $x \in X$  with  $Tx = a$ . Then  $T(X) = l_\infty$ .*

*Proof.* Our hypotheses imply that  $T$  has dense range; thus it is enough to show that  $T$  has closed range. If not, then  $T^*$  does not have closed range, so there exists a sequence  $(\gamma_n)$  in  $l_\infty^*$  with  $\|\gamma_n\| \rightarrow \infty$  and  $\|T^*\gamma_n\| = 1$  for all  $n$ . But if  $a \in l_\infty$  and  $a_n = 0$  or 1 for all  $n$ , then choosing  $x \in X$  with  $Tx = a$ , we have that

$$\sup_n |\gamma_n(a)| = \sup_n |T^*\gamma_n(x)| \leq \|x\| < \infty .$$

Thus identifying  $l_\infty$  with  $C(\beta N)$  (the space of continuous scalar-valued functions on the Stone-Cěch compactification of  $N$ ) and each  $\gamma_n$  with a complex regular Borel measure on  $\beta N$ , we have by a theorem of Dieudonne [3] (c.f. also the *Correction*, pp. 311-313 of [7]) that

$\sup_n \|\gamma_n\| < \infty$ , a contradiction.

**THEOREM 1.** *Let  $B$  be a Banach space containing no subspace isomorphic to  $l_\infty$ , and let  $\{(b_n, \beta_n)\}$  be a biorthogonal sequence in  $B$  such that  $(\beta_n)$  is total. Let  $x \in B$ . Then*

(1)  $\sum_{n=1}^{\infty} \beta_n(x)b_n$  converges unconditionally to  $x$   
if and only if

(2) Given  $a \in l_\infty$  with  $a_n = 0$  or  $1$  for all  $n$ , there exists  $y \in B$  such that  $\beta_n(y) = a_n \beta_n(x)$  for all  $n$ .

*Proof.* Let  $x \in B$ . If  $\sum \beta_n(x)b_n$  converges unconditionally, then it is subseries convergent; thus “(1)  $\Rightarrow$  (2)” is immediate. Now suppose that (2) holds. We shall prove that  $\sum \beta_n(x)b_n$  converges unconditionally. Since  $(\beta_n)$  is total in  $B$  it then follows that the limit is  $x$ .

Let  $M$  be the set of all  $a \in l_\infty$  such that there exists  $y \in B$  with  $\beta_n(y) = a_n \beta_n(x)$  for all  $n$ . Given such an  $a$ , there is a unique  $y$  satisfying the above. We then define  $\|a\| = \|a\|_\infty + \|y\|$ . It is easily verified that  $M$  is a Banach space under this norm. Thus the inclusion map  $T: M \rightarrow l_\infty$  is continuous and satisfies the hypotheses of Lemma 1. Hence  $M = l_\infty$ , so  $T^{-1}$  is continuous. Thus the mapping  $U$  given by  $\beta_n(U(a)) = a_n \beta_n(x)$  for all  $n$ , is a continuous linear mapping of  $l_\infty$  into the Banach space  $B$ , which by hypothesis contains no subspace isomorphic to  $l_\infty$ . Hence by [7, Cor. 1.4],  $U$  is weakly compact.

Given a subseries  $\sum_k \beta_{n_k}(x)b_{n_k}$ , let  $a$  be the characteristic function of  $(n_k)$ . If a subsequence of the partial sums of this subseries,  $(S_k)$ , converges weakly to  $z \in B$ , then  $\beta_n(z) = \lim_{k \rightarrow \infty} \beta_n(S_k) = a_n \beta_n(x)$  for all  $n$ ; thus  $U(a) = z$ . Since the partial sums of this subseries are contained in a weakly sequentially compact set (the image under  $U$  of the unit ball of  $l_\infty$ ), it follows that the subseries itself converges weakly to  $U(a)$ . Hence  $\sum \beta_n(x)b_n$  is weakly subseries convergent, so by the Orlicz-Pettis Theorem it is unconditionally convergent.

**REMARKS.** (I) If  $B$  is separable, then  $B$  contains no subspace isomorphic to the (nonseparable) space  $l_\infty$ , so Theorem 1 holds. In this case one can apply a theorem of Grothendieck [5, p. 168] in the proof, rather than the generalization given by [7, Cor. 1.4].

(II) Suppose that  $B$  is separable. Kadec and Pelczynski proved the equivalence of (1) and (2) under the above hypotheses together with the added assumption that the norm  $\|x\| = \sup \{|x^*(x)|\}$  (the supremum taken over  $x^*$  in the linear span of  $(\beta_n)$  with  $\|x^*\| \leq 1$ ), is equivalent to the original norm of  $B$ . They also proved that  $\sum \beta_n(x)b_n$  converges unconditionally to  $x$  if for all  $a \in l_\infty$  there exists  $y \in B$  such that  $\beta_n(y) = a_n \beta_n(x)$  for all  $n$ , [6, Thms. 4 and 5, resp.]

(III) An earlier version for Theorem 1 contained the unnecessary

hypothesis that  $(b_n)$  be fundamental in  $B$ . The authors are indebted to Professor Ivan Singer for pointing this out.

(IV) It is crucial that  $B$  contain no subspace isomorphic to  $l_\infty$ , since if  $B$  equals  $l_\infty$  itself, then the obvious biorthogonal system satisfies (2) for all  $x \in B$ . The assumption that the biorthogonal set of pairs be denumerable, however, is irrelevant; see Remark (I) at the end of the paper. It is also crucial that  $(\beta_n)$  be total, for consider the following biorthogonal sequence  $\{(b_n, \beta_n)\}$  in a separable Hilbert space  $H$ :

Let  $(e_n)$  be a complete orthonormal sequence in  $H$ ; let  $(y_n)$  be a sequence such that for each  $n$  there are infinitely many indices  $m$  such that  $y_m = y_n$ , such that  $y_2 = y_{2^j}$  for all  $j$ , and such that  $\{y_n: n = 1, 2, \dots\} = \{e_{2^{n-1}}: n = 1, 2, \dots\}$ ; put  $b_n = e_{2^n} + y_n$  and  $\beta_n = e_{2^n}^*$  for all  $n$  (where  $e_{2^n}^*(x) = \langle x, e_{2^n} \rangle$ ,  $x \in H$ ). Now let  $x = \sum_{n=1}^{\infty} (1/n) e_{4^n}$ . Then the span of  $(b_n)$  is dense in  $H$ , yet

(i) for every  $a \in l_\infty$  there exists  $y \in H$  with  $\beta_n(y) = a_n \beta_n(x)$  for all  $n$ , and

(ii)  $\lim_{n \rightarrow \infty} \|\sum_{j=1}^n \beta_j(x) b_j\| = \infty$ .

(V) If  $B$  satisfies the hypotheses of Theorem 1 and (2) holds for all  $x \in B$ , then by Theorem 1  $(b_n)$  is an unconditional basis for  $B$ , and in particular  $B$  is separable. This result, for  $B$  separable, has been announced by William J. Davis, David W. Dean, and Ivan Singer [A.M.S. Notices 17 (1970), 437].

(VI) The argument of the second paragraph of Theorem 1, in the context of Harmonic Analysis, is due to Figá-Talamanca (see [4], p. 347).

**3. Biorthogonal Decompositions.** We wish now to state a similar result concerning biorthogonal decompositions; first some preliminaries:

Given a Banach space  $B$  and a collection  $\{M_\alpha, P_\alpha\}_{\alpha \in A}$  we say that  $\{M_\alpha, P_\alpha\}$  is a *biorthogonal decomposition* in  $B$  if for each  $\alpha \in A$ ,  $M_\alpha$  is a closed linear subspace of  $B$  and  $P_\alpha$  is a bounded linear projection of  $B$  onto  $M_\alpha$  with  $P_\alpha(x) = 0$  whenever  $x \in M_\beta$  and  $\beta \neq \alpha$ . We say that  $\{M_\alpha, P_\alpha\}$  is *complete* if the linear span of  $\{M_\alpha\}$  is dense in  $B$  and if  $P_\alpha(x) = 0$  for all  $\alpha$  implies  $x = 0$ .

Let now the Banach space  $B$  and  $\{M_\alpha\}_{\alpha \in A}$ , a collection of closed linear subspaces of  $B$ , be given. For  $A_1 \subseteq A$ , let  $S(A_1)$  denote the closed linear span of  $\{M_\alpha\}_{\alpha \in A_1}$ . We have:

**PROPOSITION.** *Assume  $S(A) = B$ . There is a complete biorthogonal decomposition  $\{M_\alpha, P_\alpha\}_{\alpha \in A}$  of  $B$ , corresponding to  $\{M_\alpha\}_{\alpha \in A}$  if and only if both of the following conditions hold:*

- (1)  $S(A_1) \cap S(A \sim A_1) = (0)$  for all  $A_1 \subseteq A$ .  
(2)  $S(\{\alpha\}) + S(A \sim \{\alpha\}) = B$  for all  $\alpha \in A$ .

*Proof.* The “only if” part is trivial. Suppose now that (1) and (2) hold. Then fixing  $\alpha \in A$ , (1) and (2) imply that

$$B = S(\{\alpha\}) \oplus S(A \sim \{\alpha\}) .$$

Thus letting  $P_\alpha$  be the projection onto  $S(\{\alpha\})$  with kernel  $S(A \sim \{\alpha\})$ ,  $P_\alpha$  is bounded by the Closed Graph Theorem, whence  $\{M_\alpha, P_\alpha\}_{\alpha \in A}$  is a biorthogonal decomposition of  $B$ .

Now suppose that  $x \in B$  and  $P_\alpha(x) = 0$  for all  $\alpha$ . There exist finite subsets  $A_n \subseteq A$  and elements  $x_n \in S(A_n)$  such that  $x_n \rightarrow x$ . Since  $\lim_{n \rightarrow \infty} P_\alpha(x_n) = P_\alpha(x) = 0$  for all  $\alpha \in A$ , we claim that one can choose a subsequence  $(n_k)$ , subsets  $B_k \subseteq A_{n_k}$  and elements  $y_k \in S(B_k)$  such that  $B_k \cap B_j = \emptyset$  for  $k$  even and  $j$  odd, and such that  $y_k \rightarrow x$ . To see this, assume (as we may) that  $A_n \subseteq A_{n+1}$  for all  $n$ . Put  $n_0 = 1$ ; having chosen  $n_k$ , let  $m = \# A_{n_k}$  and choose  $n_{k+1} > n_k$  such that  $n \geq n_{k+1}$  and  $\alpha \in A_{n_k}$  implies  $\|P_\alpha(x_n)\| < (m(k+1))^{-1}$ . This defines  $(n_k)$ ; now put  $B_k = A_{n_k} \sim A_{n_{k-1}}$  and  $y_k = x_{n_k} - \sum_{\alpha \in A_{n_{k-1}}} P_\alpha(x_{n_k})$  for  $k = 1, 2, \dots$ .

Let  $A_1 = \bigcup_{k=1}^{\infty} B_{2k}$ . Then  $y_{2k} \rightarrow x$ ,  $y_{2k+1} \rightarrow x$ , so

$$x \in S(A_1) \cap S(A \sim A_1) = (0) .$$

**REMARK:** If each  $M_\alpha$  is finite-dimensional and  $S(A) = B$  then (2) is automatically satisfied. Thus a sequence  $\{b_n\}_{n \in N}$  in  $B$  corresponds to a complete biorthogonal sequence  $\{(b_n, \beta_n)\}$  in  $B$  if and only if  $S(N) = B$  and  $S(N_1) \cap S(N \sim N_1) = (0)$  for all  $N_1 \subseteq N$ .

**THEOREM 2.** *Let  $B$  be a Banach space and let  $\{M_\alpha\}_{\alpha \in A}$  be a collection of closed separable subspaces with dense span such that*

- (1)  $S(A_1) \cap S(A \sim A_1) = (0)$  for all  $A_1 \subseteq A$ .  
(2)  $S(\{\alpha\}) + S(A \sim \{\alpha\}) = B$  for all  $\alpha \in A$ .

*Then  $\{x \mid \exists x_\alpha \in M_\alpha \text{ such that } \sum x_\alpha \text{ converges unconditionally to } x\} = \bigcap \{S(A_1) + S(A \sim A_1) \mid A_1 \subseteq A\}$ .*

*Proof.* By the preceding proposition,  $\{M_\alpha, P_\alpha\}_{\alpha \in A}$  is a complete biorthogonal decomposition of  $B$ , where  $P_\alpha$  is the projection onto  $S(\{\alpha\})$  with kernel  $S(A \sim \{\alpha\})$ . Since  $S(A) = B$ , if  $x \in B$  we have that  $P_\alpha(x) = 0$  for all but a countable number of  $\alpha$ 's, say  $\{\alpha_n\}$ . If  $x \in \bigcap \{S(A_1) + S(A \sim A_1) \mid A_1 \subseteq A\}$ , then given  $a \in l_\infty$ ,  $\alpha_n = 0$  or  $1$ , by letting  $A_1 = \{\alpha_n \mid \alpha_n = 1\}$  we have that there exists  $y \in B$  such that  $P_{\alpha_n}(y) = \alpha_n P_{\alpha_n}(x)$  for all  $n$  and  $P_\alpha(y) = 0$ ,  $\alpha \notin \{\alpha_n\}$ . All such  $y$ 's are contained in the separable Banach space  $S(\{\alpha_n\})$ . With this observation, the proof is similar to the proof of Theorem 1, with  $(\beta_n)$  replaced

by  $\{P_\alpha\}$ .

We conclude with several remarks:

(1) Assume that  $B$  contains no subspace isomorphic to  $l_\infty$ . Then Theorem 2 admits the following generalization: Let  $\{M_\alpha, P_\alpha\}_{\alpha \in A}$  be a biorthogonal decomposition of  $B$  such that  $x \in B$  and  $P_\alpha(x) = 0$  for all  $\alpha$  implies  $x = 0$ , and let  $x \in B$  be such that for every function  $a: A \rightarrow \{0, 1\}$  with  $a^{-1}\{1\}$  countable, there exists  $y \in B$  with  $P_\alpha(y) = a(\alpha)P_\alpha(x)$  for all  $\alpha \in A$ . Then  $P_\alpha(x) = 0$  for all but countably many  $\alpha$ 's, and  $\sum P_\alpha(x)$  converges unconditionally to  $x$ . The proof proceeds as in the proof of Theorem 1; one deduces that for each countable subset  $A_0$  of  $A$ ,  $\sum_{\alpha \in A_0} P_\alpha(x)$  converges unconditionally in norm, from which the conclusion easily follows.

(2) For the special case in which  $S(A_1) + S(A \sim A_1) = B$  for all  $A_1 \subseteq A$ , Theorem 2 was proven in [1].

(3) Theorem 2 applies to the Banach space  $L_p(G)$ ,  $1 \leq p < \infty$ , where  $G$  is a compact topological group and each  $M_\alpha$  is the finite-dimensional subspace generated by the character of an irreducible unitary representation of  $G$ . If  $G$  is abelian, a direct proof is available, using the existence of approximate identities for  $L_p$  which are bounded in the  $L_1$ -norm.

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Gregory Frank Bachelis and Haskell Paul Rosenthal, <i>On unconditionally converging series and biorthogonal systems in a Banach space</i> . . . . .	1
Richard William Beals, <i>On spectral theory and scattering for elliptic operators with singular potentials</i> . . . . .	7
J. Lennart (John) Berggren, <i>Solvable and supersolvable groups in which every element is conjugate to its inverse</i> . . . . .	21
Lindsay Nathan Childs, <i>On covering spaces and Galois extensions</i> . . . . .	29
William Jay Davis, David William Dean and Ivan Singer, <i>Multipliers and unconditional convergence of biorthogonal expansions</i> . . . . .	35
Leroy John Derr, <i>Triangular matrices with the isoclinal property</i> . . . . .	41
Paul Erdős, Robert James McEliece and Herbert Taylor, <i>Ramsey bounds for graph products</i> . . . . .	45
Edward Graham Evans, Jr., <i>On epimorphisms to finitely generated modules</i> . . . . .	47
Hector O. Fattorini, <i>The abstract Goursat problem</i> . . . . .	51
Robert Dutton Fray and David Paul Roselle, <i>Weighted lattice paths</i> . . . . .	85
Thomas L. Goulding and Augusto H. Ortiz, <i>Structure of semiprime <math>(p, q)</math> radicals</i> . . . . .	97
E. W. Johnson and J. P. Lediaev, <i>Structure of Noether lattices with join-principal maximal elements</i> . . . . .	101
David Samuel Kinderlehrer, <i>The regularity of minimal surfaces defined over slit domains</i> . . . . .	109
Alistair H. Lachlan, <i>The transcendental rank of a theory</i> . . . . .	119
Frank David Lesley, <i>Differentiability of minimal surfaces at the boundary</i> . . . . .	123
Wolfgang Liebert, <i>Characterization of the endomorphism rings of divisible torsion modules and reduced complete torsion-free modules over complete discrete valuation rings</i> . . . . .	141
Lawrence Carlton Moore, <i>Strictly increasing Riesz norms</i> . . . . .	171
Raymond Moos Redheffer, <i>An inequality for the Hilbert transform</i> . . . . .	181
James Ted Rogers Jr., <i>Mapping solenoids onto strongly self-entwined, circle-like continua</i> . . . . .	213
Sherman K. Stein, <i>B-sets and planar maps</i> . . . . .	217
Darrell R. Turnidge, <i>Torsion theories and rings of quotients of Morita equivalent rings</i> . . . . .	225
Fred Ustina, <i>The Hausdorff means of double Fourier series and the principle of localization</i> . . . . .	235
Stanley Joseph Wertheimer, <i>Quasi-compactness and decompositions for arbitrary relations</i> . . . . .	253
Howard Henry Wicke and John Mays Worrell Jr., <i>On the open continuous images of paracompact Čech complete spaces</i> . . . . .	265