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ATOMIC AND DIFFUSE FUNCTIONALS ON A C^* -ALGEBRA

GERT KJÆRGAARD PEDERSEN

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It is shown that the notion and basic properties of atomic and diffuse measures have exact analogues in the theory of functionals on operator algebras.

We regard a C^* -algebra A as the non-commutative analogue of an algebra $C_0(T)$ of continuous functions vanishing at infinity on some locally compact space T . It has been shown in [3], [4], [5], [13] and [14] that, at least when A is separable, there is also a reasonable analogue of the Borel functions on T , namely the σ -closure \mathcal{B}_A of A . In this paper we prove that \mathcal{B}_A has an abundance of minimal projections, corresponding to points in T , and thus the notion of atomic and diffuse measures on T can be generalized to the non-commutative situation, since the diffuse measures are characterized as those measures that vanish at all points of T .

Let A be a separable C^* -algebra and denote by P the set of pure states of A . Choose in P a maximal set $\{f_t: t \in T\}$ of pairwise inequivalent pure states of A . If (π_t, H_t) denotes the irreducible representation of A corresponding to f_t , we define the *reduced atomic representation* ρ of A as operators on the Hilbert space $H_a = \Sigma^{\oplus} H_t$ by

$$\rho(x)(\Sigma^{\oplus} \xi_t) = \Sigma^{\oplus} \pi_t(x) \xi_t.$$

The reduced atomic representation is faithful and each pure state of A is a vector functional from H_a . Since any other choice of a maximal set in P will give an equivalent representation, the reduced atomic representation is essentially unique. In particular the cardinality of T is uniquely determined as the cardinality of the set \hat{A} of equivalence classes of irreducible representations of A . In what follows we shall identify A with its image $\rho(A)$.

Let \mathcal{B}_A^R denote the monotone σ -closure of the self-adjoint part of A . Then $\mathcal{B}_A (= \mathcal{B}_A^R + i\mathcal{B}_A^R)$ is a C^* -algebra in $B(H_a)$ called the *Baire operators* of A [14, Theorem 1]. Each representation (π, H) of A extends to a σ -normal representation of \mathcal{B}_A ([3, Theorem 3.2]) such that $\pi(\mathcal{B}_A)$ is the monotone σ -closure of $\pi(A)$ in $B(H)$ ([13, Proposition 4.2]). In particular, if (π, H) is irreducible we have $\pi(\mathcal{B}_A) = B(H)$.

THEOREM 1. *There is a bijective correspondence between pure states of A and minimal projections of \mathcal{B}_A .*

Proof. Since A is separable, each point f in P is a closed G_δ set. Hence there is a peaking element x in A^+ , with $\|x\| = 1$, such that $f(x) = 1$ and $g(x) < 1$ for each state $g \neq f$ ([7, Theorem 9]). We have $x^n \searrow p$, where p is a projection in \mathcal{B}_A . If ξ is a unit vector in H_a representing f , then $(p\xi|\xi) = 1$. For any unit vector η in H_a which is not a multiple of ξ we have $(y\eta|\eta) \neq (y\xi|\xi)$ for some y in A ; hence $(p\eta|\eta) < 1$. It follows that p is the one-dimensional projection on the subspace spanned by ξ , and consequently minimal.

If, conversely, p is a minimal projection in \mathcal{B}_A , then $p\mathcal{B}_Ap$ is a commutative algebra, isomorphic with the complex field. The functional f on \mathcal{B}_A , defined by $f(x) = pxp$, is the unique state extension of the identity map on $p\mathcal{B}_Ap$ ([11, Theorem 1.2]), which is pure; and therefore f is a σ -normal pure state of \mathcal{B}_A . But then $f \in P$. If ξ is a unit vector in pH_a , then $f(x) = (x\xi|\xi)$ and it follows from the first part of the proof that p is one-dimensional. Thus the correspondence is bijective, and the theorem follows.

COROLLARY 2. *There is a bijective correspondence between elements in \hat{A} and minimal projections in the center \mathcal{C} of \mathcal{B}_A .*

REMARK. Since \mathcal{B}_A has a unit, we can identify \mathcal{C} with a σ -closed algebra of bounded functions on \hat{A} . The projections in \mathcal{C} then constitute the sets in a σ -field on \hat{A} , called the *Davies-Borel structure* on \hat{A} . The above corollary tells us that points in \hat{A} are Davies-Borel sets (cf. [5, Theorem 2.9]).

Let \mathcal{F} denote the smallest monotone closed C^* -subalgebra of \mathcal{B}_A , which contains all minimal projections of \mathcal{B}_A . Then \mathcal{F} can be identified with the set of operators x in the direct sum $\Sigma_{t \in T}^\oplus B(H_t)$, such that $x_t = 0$ except for countably many t in T . In particular, \mathcal{F} is an ideal of \mathcal{B}_A .

DEFINITION. A positive functional f on A is called *atomic* if there is a projection p in \mathcal{F} such that $f(1 - p) = 0$; f is called *diffuse* if it vanishes at all minimal projections of \mathcal{B}_A .

PROPOSITION 3. *Each positive functional f on A has a unique decomposition $f = f_a + f_d$ such that f_a is atomic and f_d is diffuse. Moreover, f_a and f_d are centrally orthogonal.*

Proof. Let α be the norm of the functional $f|_{\mathcal{F}}$ on \mathcal{F} . There is then a sequence $\{p_n\}$ in the unit ball of \mathcal{F}^+ such that $f(p_n) \nearrow \alpha$. Replacing p_n with its range projection, we may assume that all p_n are projections. Let p be the central support of $\bigvee p_n$. Then

$p \in \mathcal{C} \cap \mathcal{F}$ and $f(p) = \alpha$. Put $f_a(x) = f(px)$ and $f_d(x) = f((1 - p)x)$. Then $f_a(1 - p) = 0$, hence f_a is atomic; and for each x in \mathcal{F}^+ , with $\|x\| \leq 1$, we have $f(x(1 - p) + p) \leq \alpha$, hence $f_d(x) = 0$, and thus f_d is diffuse. By construction f_a and f_d are centrally orthogonal.

REMARK. We see from the proof that a bounded functional will be atomic (respectively diffuse) if and only if the restriction to \mathcal{C} induces an atomic (respectively diffuse) measure on the Davies-Borel structure of \hat{A} .

PROPOSITION 4. A positive functional f on A is atomic exactly if it has the form $f = \sum \alpha_n f_n$, with f_n in P . Moreover, the summands can be chosen such that $f_n \perp f_m$ for $n \neq m$.

Proof. If f is atomic and $f(1 - p) = 0$ for a projection p in \mathcal{F} , then, assuming that $p \in \mathcal{C}$, we have $p = \sum p_k$, where each p_k is a minimal projection in \mathcal{C} . Thus $f = \sum f_k$, where $f_k(x) = f(p_k x)$, and each f_k is a σ -normal functional on $B(H_k) (= p_k \mathcal{B}_A)$. There is then for each k an orthonormal basis $\{\xi_{nk}\}$ for H_k and a sequence $\{\alpha_{nk}\}$ of positive constants such that $f_k(x) = \sum \alpha_{nk} \langle x \xi_{nk} | \xi_{nk} \rangle$, for all x in \mathcal{B}_A . If f_{nk} denotes the pure state of A determined by ξ_{nk} , then $f = \sum \alpha_{nk} f_{nk}$, and since the f_{nk} 's are supported by pairwise orthogonal (minimal) projections in \mathcal{B}_A , they are themselves orthogonal.

Conversely, if $f = \sum \alpha_n f_n$, with all f_n in P , then for each n let p_n be the minimal projection in \mathcal{B}_A such that $f_n(p_n) = 1$. Then $p = \vee p_n \in \mathcal{F}$ and $f(1 - p) = 0$. Hence f is atomic, completing the proof.

DEFINITION. An atom for a positive functional f on A is a projection p in \mathcal{B}_A , such that $f(p) > 0$, but $f(q)f(p - q) = 0$, for any projection q in \mathcal{B}_A smaller than p .

PROPOSITION 5. A positive functional is diffuse exactly if it has no atoms.

Proof. Assume that p is an atom for f . Then the state g of A given by $g(x) = f(p)^{-1}f(pxp)$ is multiplicative, hence pure, on $p \mathcal{B}_A p$. Since g is the unique state extension from $p \mathcal{B}_A p$ to \mathcal{B}_A ([11, Theorem 1.2]), we conclude that $g \in P$. There is then a minimal projection q in \mathcal{B}_A such that $g(q) = 1$. Since $pqq \in \mathcal{F}$, f is not diffuse.

Conversely, if $f = f_a + f_d$, with $f_a \neq 0$, then from Proposition 4 there is a minimal projection p in \mathcal{B}_A such that $f_a(p) > 0$. Clearly p is an atom for f , completing the proof.

The following proposition generalizes a well-known theorem from measure theory.

PROPOSITION 6. *If f is a diffuse functional on A then, corresponding to each projection p in \mathcal{B}_A and each positive $\alpha < f(p)$, there is a projection q in \mathcal{B}_A , with $q \leq p$ and $f(q) = \alpha$.*

Proof. Since p is not an atom for f , there is a projection $p_0 < p$ such that $0 < f(p_0) < f(p)$. Then either $f(p_0) \leq \frac{1}{2}f(p)$ or $f(p - p_0) \leq \frac{1}{2}f(p)$. Repeating this procedure we see that for any $\varepsilon > 0$ there is a projection $q_0 \leq p$ such that $0 < f(q_0) < \varepsilon$.

Now let (π_f, H_f) be the representation of A corresponding to f , and let ξ_f be a vector in H_f such that $f(x) = (\pi_f(x)\xi_f | \xi_f)$, for all x in \mathcal{B}_A . Let $\{p_i\}$ be a maximal family of nonzero, orthogonal projections in $\pi_f(\mathcal{B}_A)$ such that $\sum p_i \leq \pi_f(p)$, and $\sum(p_i \xi_f | \xi_f) \leq \alpha$ for all finite sums. Since $\pi_f(\mathcal{B}_A)$ is a von Neumann algebra ([8, Theorem 2]), $p_0 = \sum p_i \in \pi_f(\mathcal{B}_A)$. It follows from spectral theory that there is a projection q in \mathcal{B}_A , with $q \leq p$ and $\pi_f(q) = p_0$.

If we had $f(q) < \alpha$, then from the above we could find a projection $q_0 \leq p - q$, such that $0 < f(q_0) < \alpha - f(q)$. But then $\pi_f(q_0)$ could be adjoined to the family $\{p_i\}$; a contradiction. Therefore $f(q) = \alpha$, completing the proof.

For the sake of convenience we have stated all theorems in terms of bounded functionals. However, it is quite easy to extend the results to a large and important class of unbounded functionals.

Let f be an extended valued, positive, σ -normal functional on \mathcal{B}_A^+ which is majorized by an invariant convex functional ρ on \mathcal{B}_A^+ (see [13, § 2] for definition). Assume furthermore that there is a sequence $\{e_n\}$ in \mathcal{B}_A^+ such that $\sum e_n = 1$, and $\rho(e_n) < \infty$ for each n . These conditions are satisfied if f is a σ -finite σ -trace on \mathcal{B}_A ([4], [5]) – take $\rho = f$ – or f is a C^* -integral of A ([1, Proposition 4.4] and [13, Theorem 2.5]).

For each x in \mathcal{B}_A and each n , the element $e_n x$ belongs to the set of definition for f , and

$$|f(e_n x)|^2 \leq f(e_n) f(x^* e_n x) \leq \rho(e_n) \rho(x^* e_n x) \leq \|x\|^2 \rho(e_n)^2.$$

If $f_n(x) = f(e_n x)$, then $\{f_n\}$ is a sequence of ρ -normal bounded functionals on \mathcal{B}_A such that $f(x) = \sum f_n(x)$ for all x in \mathcal{B}_A^+ . For each n there is a central projection p_n in \mathcal{F} such that $f_n(p_n \cdot)$ is atomic and $f_n((1 - p_n) \cdot)$ is diffuse. Using $p = \vee p_n$ ($\in \mathcal{C} \cap \mathcal{F}$) we see that Proposition 3 is valid for f .

To show that most of Proposition 4 holds also for an unbounded atomic functional f of the above type, we notice that, as in the

proof of Proposition 4, we can write $f = \sum f_k$, where each f_k is a σ -normal (unbounded) functional on an algebra $B(H_k)$. If p is a one-dimensional projection in H_k , then $e_n p \neq 0$ for some n and therefore $p = \|pe_n p\|^{-1} p e_n p$. It follows that

$$\rho(p) = \|pe_n p\|^{-1} \rho\left(e_n^{\frac{1}{2}} p e_n^{\frac{1}{2}}\right) \leq \|pe_n p\|^{-1} \rho(e_n) < \infty .$$

This proves that f_k on $B(H_k)$ is majorized by an invariant convex functional, which is finite at each operator in $B(H_k)$ of finite rank. Thus f_k is a C^* -integral on the C^* -algebra of compact operators on H_k , and from [10, Theorem 3.8] there is a b_k in $B(H_k)^+$ such that $f_k(x) = \text{tr}(b_k x)$, for all x in $B(H_k)^+$. Hence f_k (and f) can be expressed as a sum $\sum \alpha_{nk} f_{nk}$ with f_{nk} in P for all n (and k). If each b_k can be diagonalized in $B(H_k)$ then f can be written as a weighted sum of mutually orthogonal pure states. This is trivially the case if f is a trace, since then each f_k is a multiple of tr . In general b_k can not be diagonalized, hence a decomposition in mutually orthogonal bounded functionals is not possible.

Proposition 5 and 6 can be generalized with the same ease. We leave the details to the reader.

Finally we notice that the condition of separability for the C^* -algebra A is used primarily to ensure that \mathcal{B}_A is "large enough" under irreducible representations of A . When A is nonseparable there may be irreducible representations of A on nonseparable Hilbertspaces. The pure states of A will then correspond to minimal projections in the Jordan algebra of *Borel operators* of A defined in [2, § 2.4]. This provides a method for studying atomic functionals on nonseparable C^* -algebras. Another way is to define an atomic functional on A as one which is supported on a sequence of minimal projections from the enveloping von Neumann algebra of A . But in this case the relation to measure theory becomes less clear.

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