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# MOORE SPACES AND w $\Delta$ -SPACES

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## MOORE SPACES AND wa-spaces

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This paper is dedicated to Professor J. H. Roberts on the occasion of his sixty-fifth birthday.

This paper is a study of conditions under which a  $w\Delta$ -space is a Moore space. In §2 we introduce the notion of a  $G_{\delta}^*$ -diagonal and show that every  $w\Delta$ -space with a  $G_{\delta}^*$ -diagonal is developable. In §3 we prove that every regular  $\theta$ -refinable  $w\Delta$ -space with a point-countable separating open cover is a Moore space. In §4 we introduce the class of  $\alpha$ -spaces and show that a regular  $w\Delta$ -space is a Moore space if and only if it is an  $\alpha$ -space. Finally, in §5 we study a new class of spaces which generalizes both semi-stratifiable and  $w\Delta$ -spaces.

1. Preliminaries. We begin with some definitions and known results which will be used throughout this paper. Unless otherwise stated no separation axioms are assumed; however regular spaces are always  $T_1$  and paracompact spaces are always Hausdorff. The set of natural numbers will be denoted by N.

Let X be a set,  $\mathscr{G}$  a cover of X, x an element of X. The star of x with respect to  $\mathscr{G}$ , denoted  $\operatorname{st}(x,\mathscr{G})$ , is the union of all elements of  $\mathscr{G}$  containing x. The order of x with respect to  $\mathscr{G}$ , denoted ord  $(x,\mathscr{G})$ , is the number of elements of  $\mathscr{G}$  containing x.

A space X is developable if there is a sequence  $\mathcal{G}_1, \mathcal{G}_2, \cdots$  of open covers of X such that, for each x in X,  $\{st(x, \mathcal{G}_n): n=1, 2, \cdots\}$  is a fundamental system of neighborhoods of x. Such a sequence of open covers is called a development for X. A regular developable space is called a Moore space. Bing [1] proved that every paracompact Moore space is metrizable.

According to Borges [3] a space X is a  $w_{\varDelta}$ -space if there is a sequence  $\mathscr{C}_1, \mathscr{C}_2, \cdots$  of open covers of X such that, for each x in X, if  $x_n \in \operatorname{st}(x, \mathscr{C}_n)$  for  $n = 1, 2, \cdots$  then the sequence  $\langle x_n \rangle$  has a cluster point. Such a sequence of open covers is called a  $w_{\varDelta}$ -space, for X. Clearly every countably compact space is a  $w_{\varDelta}$ -space, and in [3] Borges proved that every developable space and every M-space is a  $w_{\varDelta}$ -space. For the relationship between  $w_{\varDelta}$ -spaces, strict p-spaces, and p-spaces, see [6].

A space X is subparacompact if every open cover of X has a  $\sigma$ -discrete closed refinement. Every paracompact space is subparacompact [16], and in [8] Creede proved that every semi-stratifiable space is subparacompact. For further properties of subparacompact spaces see [5], [11], and [15].

A space X is  $\theta$ -refinable if for each open cover  $\mathscr{V}$  of X there is a sequence  $\mathscr{C}_1, \mathscr{C}_2, \cdots$  of open refinements of  $\mathscr{V}$  such that, for each x in X, there is a n in N such that  $\operatorname{ord}(x, \mathscr{C}_n)$  is finite. Such a sequence of open covers is called a  $\theta$ -refinement of  $\mathscr{V}$ . In [24] Wicke and Worrell state that every subparacompact space is  $\theta$ -refinable and that a countably compact  $T_1$  space is compact if and only if it is  $\theta$ -refinable.

2. Spaces with a  $G_{\delta}^*$ -diagonal. Recall that a space X has a  $G_{\delta}$ -diagonal if its diagonal  $\Delta = \{(x, x): x \text{ in } X\}$  is a  $G_{\delta}$ -subset of  $X \times X$ . The notion of a  $G_{\delta}$ -diagonal plays an important role in metrization theorems; see, for example, [2], [3], [7], [14], and [22].

Every semi-stratifiable Hausdorff space has a  $G_{\delta}$ -diagonal [8]. On the other hand the space  $[0, 1] \times \{0, 1\}$  with the lexicographic order is a compact perfectly normal space which fails to have a  $G_{\delta}$ -diagonal [14].

In [7] Ceder obtained this characterization of spaces with a  $G_{\delta}$ -diagonal.

PROPOSITION 2.1. (Ceder) A space X has a  $G_{\delta}$ -diagonal if and only if there is a sequence  $\mathcal{G}_1, \mathcal{G}_2, \cdots$  of open covers of X such that, for any two distinct points x and y of X, there is a n in N such that  $y \notin \operatorname{st}(x, \mathcal{G}_n)$ .

In light of this characterization of a  $G_{\delta}$ -diagonal and Borges' study of spaces with a  $\bar{G}_{\delta}$ -diagonal (see [3]), we introduce the following definition.

DEFINITION 2.2. A space X has a  $G_{\delta}^*$ -diagonal if there is a sequence  $\mathcal{G}_1, \mathcal{G}_2, \cdots$  of open covers of X such that, for any two distinct points x and y of X, there is a n in N such that  $y \notin \operatorname{st}(x, \mathcal{G}_n)^-$ . Such a sequence of open covers is called a  $G_{\delta}^*$ -sequence for X.

In [13] Kullman proved that every regular  $\theta$ -refinable space with a  $G_{\delta}$ -diagonal has a  $\overline{G}_{\delta}$ -diagonal. Since every space with a  $\overline{G}_{\delta}$ -diagonal has a  $G_{\delta}^*$ -diagonal, we have the following proposition.

PROPOSITION 2.3. Every regular  $\theta$ -refinable space with a  $G_{\delta}$ -diagonal has a  $G_{\delta}^*$ -diagonal. In particular every regular semi-stratifiable space has a  $G_{\delta}^*$ -diagonal.

The next result relates the  $G_{\scriptscriptstyle \delta}^*$ -diagonal property to the diagonal  ${\it \Delta} \cdot$ 

PROPOSITION 2.4. Let X be a space, let  $\{V_n: n=1, 2\cdots\}$  be a

sequence of open subsets of  $X \times X$  containing  $\Delta$ , and suppose that  $\bigcap_{n=1}^{\infty} \bar{V}_n = \Delta$ . Then X has a  $G_{\delta}^*$ -diagonal. In particular, if X is Hausdorff and  $X \times X$  is perfectly normal then X has a  $G_{\delta}^*$ -diagonal.

*Proof.* For  $n=1,2,\cdots$  let  $\mathscr{C}_n=\{G\subseteq X\colon G \text{ open, } G\times G\subseteq V_n\}$ . Since  $V_n$  is open and contains A,  $\mathscr{C}_n$  covers X. To show that  $\mathscr{C}_1$ ,  $\mathscr{C}_2$ ,  $\cdots$  is a  $G^*_\delta$ -sequence for X, let x and y be distinct points of X. Choose n in N such that  $(x,y)\notin \overline{V}_n$ , and let U and W be open neighborhoods of x and y respectively such that  $(U\times W)\cap V_n=\phi$ . It follows that  $W\cap\operatorname{st}(x,\mathscr{C}_n)=\phi$  and so  $y\notin\operatorname{st}(x,\mathscr{C}_n)^-$ .

We now prove the main result in this section.

Theorem 2.5. Every  $w_{\Delta}$ -space with a  $G_{\delta}^*$ -diagonal is developable.

*Proof.* Let X be a space, let  $\mathcal{H}_1, \mathcal{H}_2, \cdots$  be a  $w_{\Delta}$ -sequence for X, and let  $\mathcal{H}_1, \mathcal{H}_2, \cdots$  be a  $G_i^*$ -sequence for X. For each positive integer n let

$${\mathscr G}_n=\left\{G\colon\ G=\left(igcap_{i=1}^n\ H_i
ight)\cap\left(igcap_{i=1}^n\ K_i
ight),\ \mathrm{H}_i\!\in\!\mathscr{H}_i,\,K_i\!\in\!\mathscr{K}_i,\,i=1,\,\cdots,\,n
ight\}.$$

It is easy to check that  $\mathcal{G}_{n+1}$  is an open refinement of  $\mathcal{G}_n$  for all n in N and that  $\mathcal{G}_1, \mathcal{G}_2, \cdots$  in a  $w_{\Delta}$ -sequence and a  $G_{\delta}^*$ -sequence for X.

Suppose that  $\mathscr{G}_1, \mathscr{G}_2, \cdots$  is not a development for X. Then there is a point x, a neighborhood W of x, and a sequence  $\langle x_n \rangle$  such that for all n,  $x_n \in \operatorname{st}(x, \mathscr{G}_n)$  and  $x_n \notin W$ . Since  $\mathscr{G}_1, \mathscr{G}_2, \cdots$  is a  $w \not \perp$ -sequence for X, the sequence  $\langle x_n \rangle$  has a cluster point p. Clearly  $p \notin W$  so  $p \neq x$ . Since  $\mathscr{G}_1, \mathscr{G}_2, \cdots$  is a  $G_{\delta}^*$ -sequence for X, there is a positive integer k and a neighborhood V of p such that  $V \cap \operatorname{st}(x, \mathscr{G}_k) = \phi$ . Now for  $n \geq k$ ,  $x_n \in \operatorname{st}(x, \mathscr{G}_n) \subseteq \operatorname{st}(x, \mathscr{G}_k)$  and so  $x_n \notin V$ . This contradicts the fact that p is a cluster point of  $\langle x_n \rangle$ . Thus  $\mathscr{G}_1, \mathscr{G}_2, \cdots$  is a development for X.

Corollary 2.6. The following are equivalent for a regular  $w \triangle$ -space X:

- (a) X is a Moore space.
- (b) X is semi-stratifiable.
- (c) X is  $\theta$ -refinable and has a  $G_{\delta}$ -diagonal.
- (d) X has a  $G_{\delta}^*$ -diagonal.

*Proof.* The implication (a)  $\Rightarrow$  (b) is due to Creede [8]; (b)  $\Rightarrow$  (c) follows from results by Creede [8] and Wicke and Worrell [24]; (c)  $\Rightarrow$  (d) follows from Proposition 2.3; (d)  $\Rightarrow$  (a) follows from Theorem 2.5.

REMARK 2.7. The equivalence of (a) and (b) was first proved by Creede in [8], and the equivalence of (a) and (c) is due to Siwiec [23]. It is not known if every regular  $w\Delta$ -space with a  $G_{\delta}$ -diagonal is a Moore space. For a study of p-spaces with a  $G_{\delta}$ -diagonal, see [13].

COROLLARY 2.8. The following are equivalent for a regular countably compact space X:

- (a) X is metrizable.
- (b)  $X \times X \times X$  is completely normal.
- (c)  $X \times X$  is perfectly normal.
- (d) X has a  $G_{\delta}^*$ -diagonal.

*Proof.* Clearly (a)  $\Rightarrow$  (b); (b)  $\Rightarrow$  (c) follows from a theorem due to Katĕtov [12]; (c)  $\Rightarrow$  (d) follows from Proposition 2.4. To prove (d)  $\Rightarrow$  (a) observe that X is a Moore space (by Corollary 2.6) and recall that every countably compact Moore space is metrizable.

3. Separating covers. In 1938 Filippov [9] proved that every paracompact *M*-space with a point-countable base is metrizable. Filippov's theorem was generalized by Burke and Stoltenberg in [4], and recently Burke [6] obtained another generalization as follows.

Burke's Theorem. Every regular subparacompact  $w\Delta$ -space with a point-countable base is a Moore space.

In another direction Nagata [20] proved a metrization theorem which not only generalizes Filippov's theorem but a result by Okuyama as well [22]. In order to state Nagata's theorem succinctly we use the following terminology due to Michael [17]. A cover  $\mathscr V$  of a set X is said to be *separating* if given distinct points x and y of X, there is a Y in  $\mathscr V$  such that  $x \in V$ ,  $y \notin V$ .

NAGATA'S THEOREM. Every paracompact M-space with a point-countable separating open cover is metrizable.

In this section we use the techniques developed by Burke, Filippov, Nagata, and Stoltenberg, together with the results in §2, to obtain a generalization of the abovementioned theorems by Burke and Nagata.

In light of the usefulness of the concept of a  $\theta$ -base in the study of developable spaces (see [24]), we begin with the following definition.

DEFINITION 3.1. A  $\theta$ -separating cover of a space X is a sequence  $\mathcal{G}_1, \mathcal{G}_2, \cdots$  of open collections such that, for any two distinct points x and y in X, there is a n in N such that

- (a) ord $(x, \mathcal{G}_n)$  is finite;
- (b) there is a G in  $\mathcal{G}_n$  such that  $x \in G$  and  $y \notin G$ .

The relationship between a  $\theta$ -separating cover and a  $G_{\delta}$ -diagonal is given by the following two propositions.

PROPOSITION 3.2. Let X be a space with a  $\theta$ -separating cover. If every closed subset of X is a  $G_{\delta}$  then X has a  $G_{\delta}$ -diagonal.

*Proof.* Let  $\mathscr{C}_1, \mathscr{C}_2, \cdots$  be a  $\theta$ -separating cover of X. For each pair of positive integers n and k let  $\mathscr{H}_{nk} = \{H: H \neq \phi, H = \bigcap_{i=1}^k G_i, G_i, \cdots, G_k \text{ distinct elements of } \mathscr{C}_n \}$  and let  $F_{nk} = X - \bigcup \{H: H \in \mathscr{H}_{nk} \}$ . Now  $F_{nk}$  is a closed set and so  $F_{nk} = \bigcap_{j=1}^{\infty} W_{nkj}$ , where each  $W_{nkj}$  is open. For  $j = 1, 2, \cdots$  let  $\mathscr{H}_{nkj} = \mathscr{H}_{nk} \cup \{W_{nkj}\}$ . Then each  $\mathscr{H}_{nkj}$  is an open cover of X and the sequence  $\{\mathscr{H}_{nkj}: n, k, j \text{ in } N\}$  exhibits the  $G_j$ -diagonal property for X.

Proposition 3.3. Every  $\theta$ -refinable space with a  $G_{\delta}$ -diagonal has a  $\theta$ -separating cover.

*Proof.* Let X be a  $\theta$ -refinable space and let  $\mathcal{G}_1, \mathcal{G}_2, \cdots$  be open covers of X exhibiting the  $G_{\delta}$ -diagonal property for X. For each n in N let  $\mathcal{H}_{n_1}, \mathcal{H}_{n_2}, \cdots$  be a  $\theta$ -refinement of  $\mathcal{G}_n$ . Then

$$\{\mathscr{H}_{nk}: n = 1, 2, \dots, k = 1, 2, \dots\}$$

is a  $\theta$ -separating cover of X.

The following lemmas, due to Burke and Miscenko [19], play a key role in the proof of our theorem. For the sake of completeness we sketch the proof of Burke's result. (See Remark 1.9 in [6]).

LEMMA 3.4. (Burke) Let X be a regular,  $\theta$ -refinable  $w\Delta$ -space. Then there is a sequence  $\mathcal{G}_1, \mathcal{G}_2, \cdots$  of open covers of X such that for each x in X,

- (a)  $C_x = \bigcap_{n=1}^{\infty} st(x, \mathcal{G}_n)$  is compact;
- (b)  $\{\operatorname{st}(x, \mathcal{G}_n): n=1, 2, \cdots\}$  is a base for  $C_x$ .

*Proof.* Let  $\mathcal{V}_1$ ,  $\mathcal{V}_2$ ,  $\cdots$  be a  $w\Delta$ -sequence for X. By induction on n construct for each positive integer n a sequence  $\mathcal{W}_{n_1}$ ,  $\mathcal{W}_{n_2}$ ,  $\cdots$  of open covers of X such that

(1) for  $k=1,2,\cdots,\{\bar{W}\colon W \text{ in } \mathscr{W}_{nk}\}$  refines  $\mathscr{V}_n$  and  $\mathscr{W}_{ij},$   $1\leq i\leq n-1,\ 1\leq j\leq n-1;$ 

(2) for each x in X there is a k in N such that  $\operatorname{ord}(x, \mathcal{W}_{n_k})$  is finite.

For  $n = 1, 2, \dots$  let  $\mathcal{G}_n = \mathcal{W}_{n_1}$ . Then the sequence  $\mathcal{G}_1, \mathcal{G}_2, \dots$  satisfies properties (a) and (b).

LEMMA 3.5. (Miščenko) Let  $\mathcal{V}$  be a point-countable collection of subsets of a set X and let M be a subset of X. Then there are at most countably many finite minimal covers of M by elements of  $\mathcal{V}$ .

We now state and prove the main result in this section.

THEOREM 3.6. Let X be a regular,  $\theta$ -refinable  $w\Delta$ -space with a point-countable separating open cover. Then X is a Moore space.

*Proof.* We are going to show that X has a  $\theta$ -separating cover and that every closed subset of X is a  $G_{\delta}$ . It follows by Proposition 3.2 that X has a  $G_{\delta}$ -diagonal and hence by Corollary 2.6 X is a Moore space.

Let  $\mathscr{V}$  be a point-countable separating open cover of X. We assume that  $X \in \mathscr{V}$ , and hence for every subset M of X there is a finite subcollection of  $\mathscr{V}$  which covers M, namely  $\{X\}$ . Let  $\mathscr{G}_1, \mathscr{G}_2, \cdots$  be open covers of X such that for each x in X,

- (a)  $C_x = \bigcap_{n=1}^{\infty} \operatorname{st}(x, \mathcal{G}_n)$  is compact;
- (b)  $\{\operatorname{st}(x, \mathcal{G}_n): n=1, 2, \cdots\}$  is a base for  $C_x$ . For each n in N let  $\mathcal{H}_{n_1}, \mathcal{H}_{n_2}, \cdots$  be a  $\theta$ -refinement of  $\mathcal{G}_n$ . Recall that
  - (c)  $\mathscr{H}_{nk}$  refines  $\mathscr{G}_n$ ,  $k=1,2,\cdots$ ;
- (d) for each x in X there is a k in N such that  $\operatorname{ord}(x, \mathcal{H}_{nk})$  is finite.

X has a  $\theta$ -separating cover. For each pair of positive integers n and k and for each H in  $\mathcal{H}_{nk}$  let  $H(n, k, 1), H(n, k, 2), \cdots$  be all finite minimal covers of H by elements of  $\mathcal{Y}$ , and let

$$\mathscr{K}_{nkj} = \{H \cap V \colon H \in \mathscr{H}_{nk}, \ V \in H(n, k, j)\}$$
.

To show that  $\{\mathscr{K}_{nkj}: n, k, j \text{ in } N\}$  is a  $\theta$ -separating cover of X, let x and y be two distinct points of X. Choose  $V_1$  in  $\mathscr{V}$  such that  $x \in V_1$  and  $y \notin V_1$ , and let  $\{V_1, \dots, V_t\}$  be a finite cover of  $C_x$  by elements of  $\mathscr{V}$  such that  $x \notin V_i$  for  $i = 2, \dots, t$ . Now  $C_x \subseteq \bigcup_{i=1}^t V_i$  and so by (b) there is a n in N such that  $\operatorname{st}(x, \mathscr{C}_n) \subseteq \bigcup_{i=1}^t V_i$ . Choose k in N such that  $\operatorname{ord}(x, \mathscr{K}_{nk})$  is finite, and let H be some element of  $\mathscr{K}_{nk}$  such that  $x \in H$ . Since  $\mathscr{K}_{nk}$  refines  $\mathscr{C}_n$ ,  $H \subseteq \operatorname{st}(x, \mathscr{C}_n)$ 

and so  $H \subseteq \bigcup_{i=1}^t V_i$ . Choose a minimal subcollection of  $\{V_1, \dots, V_t\}$  which covers H and label it H(n, k, j). Note that  $V_1 \in H(n, k, j)$ . Thus  $(H \cap V_1) \in \mathscr{K}_{nkj}$ ,  $x \in (H \cap V_1)$ , and  $y \notin (H \cap V_1)$ . Finally, suppose  $H_1, \dots, H_r$  are all elements of  $\mathscr{K}_{nk}$  containing x. Since  $H_i(n, k, j)$  is finite for  $i = 1, \dots, r$  it follows that  $\operatorname{ord}(x, \mathscr{K}_{nkj})$  is finite. This completes the proof that X has a  $\theta$ -separating cover.

Every closed subset of X is a  $G_i$ . Let M be a closed subset of X. For each pair of positive integers n and k, and for each H in  $\mathscr{H}_{nk}$  such that  $H\cap M\neq \emptyset$ , let  $H(n,k,j), j=1,2,\cdots$  be all finite minimal covers of  $H\cap M$  by elements of  $\mathscr{H}$ . By repeatedly counting a cover if necessary, we may assume that H(n,k,j) exists for all j in N. For  $j=1,2,\cdots$  let  $H^*(n,k,j)$  denote the union of all elements of H(n,k,j), and let  $W_{nkj}=\bigcup\{H\cap (\bigcap_{i=1}^j H^*(n,k,i))\colon H\in \mathscr{H}_{nk}, H\cap M\neq\emptyset\}$ . Clearly each  $W_{nkj}$  is open and contains M. To complete the proof that M is a  $G_i$  it suffices to show that if  $x\notin M$  then there exist n,k, and j such that  $x\notin W_{nkj}$ .

First suppose that  $C_x \cap M = \emptyset$ . Choose n in N such that  $\operatorname{st}(x, \mathscr{G}_n) \cap M = \emptyset$ , and let k and j be any positive integers. Suppose  $x \in W_{nkj}$ . Then there is a H in  $\mathscr{H}_{nk}$  such that  $x \in H$  and  $H \cap M \neq \emptyset$ . Now  $\mathscr{H}_{nk}$  refines  $\mathscr{G}_n$  and so  $H \subseteq \operatorname{st}(x, \mathscr{G}_n)$ . Hence  $\operatorname{st}(x, \mathscr{G}_n) \cap M \neq \emptyset$  and this contradicts the choice of n.

Next suppose that  $C_x \cap M \neq \emptyset$ . Let  $\{V_1, \dots, V_t\}$  be a finite cover of  $C_x \cap M$  by elements of  $\mathscr T$  such that  $x \notin V_r$ ,  $r=1, \dots, t$ . Choose n in N such that  $\operatorname{st}(x, \mathscr G_n) \subseteq (\bigcup_{r=1}^t V_r) \cup (X-M)$ . Let k in N be such that  $\operatorname{ord}(x, \mathscr H_{nk})$  is finite and let  $H_1, \dots, H_s$  be all elements of  $\mathscr H_{nk}$  which contain x and intersect M. For  $i=1, \dots, s$ ,  $H_i \subseteq \operatorname{st}(x, \mathscr G_n)$  and so  $H_i \cap M \subseteq \bigcup_{r=1}^t V_r$ . Select from  $\{V_1, \dots, V_t\}$  a minimal subcollection which covers  $H_i \cap M$  and label it  $H_i(n, k, j_i)$ . Now  $x \notin H_i^*(n, k, j_i)$  and so if we take  $j = \max\{j_1, \dots, j_s\}$  then  $x \notin W_{nkj}$ .

4.  $\alpha$ -spaces. A space with a  $\sigma$ -closure preserving separating closed cover is called a  $\sigma^*$ -space. This definition was introduced by Nagata and Siwiec in [21].

PROPOSITION 4.1. Every subparacompact space with a  $G_{\delta}$ -diagonal is a  $\sigma^{\sharp}$ -space.

*Proof.* Let X be a subparacompact space and let  $\mathcal{G}_1, \mathcal{G}_2, \cdots$  be open covers of X exhibiting the  $G_{\delta}$ -diagonal property for X. For each n in N let  $\mathcal{F}_{n_1}, \mathcal{F}_{n_2}, \cdots$  be a  $\sigma$ -discrete closed refinement of  $\mathcal{G}_n$ . Then  $\{\mathcal{F}_{n_k}: n=1, 2, \cdots, k=1, 2, \cdots\}$  is a  $\sigma$ -closure preserving

separating closed cover of X.

In [6] Burke showed that a regular  $w\Delta$ -space is a Moore space if and only if it is a  $\sigma^*$ -space. His method of proof suggests introducing a new class of spaces which we call  $\alpha$ -spaces. We shall show that  $\sigma^*$ -spaces are  $\alpha$ -spaces and that a regular  $w\Delta$ -space is a Moore space if and only if it is an  $\alpha$ -space.

DEFINITION 4.2. A space X is an  $\alpha$ -space if there is a function g from  $N \times X$  into the topology of X such that for each x in X,

- (a)  $\bigcap_{n=1}^{\infty} g(n, x) = \{x\};$
- (b) if  $y \in g(n, x)$  then  $g(n, y) \subseteq g(n, x)$ .

Such a function is called an  $\alpha$ -function for X.

Proposition 4.3. Every  $\sigma^{\sharp}$ -space is an  $\alpha$ -space.

*Proof.* Let  $\mathcal{F}_1, \mathcal{F}_2, \cdots$  be a  $\sigma$ -closure preserving separating closed cover of a  $\sigma$ <sup>\*</sup>-space X. For n in N and x in X let

$$g(n, x) = X - \bigcup \{F \in \mathscr{F}_n : x \notin F\}$$
.

It is easy to check that the function g is an  $\alpha$ -function for X.

PROPOSITION 4.4. Every space with a  $\sigma$ -point finite separating open cover is an  $\alpha$ -space. In particular, every  $T_1$  space with a  $\sigma$ -point finite base is an  $\alpha$ -space.

*Proof.* Let  $\mathcal{G}_1, \mathcal{G}_2, \cdots$  be a  $\sigma$ -point finite separating open cover of a space X. We may assume that  $X \in \mathcal{G}_n$  for all n in N. For  $n = 1, 2, \cdots$  and x in X let  $g(n, x) = \bigcap \{G \text{ in } \mathcal{G}_n : x \text{ in } G\}$ . Then the function g is an  $\alpha$ -function for X.

The following characterization of semi-stratifiable spaces will be useful in proving the main theorem in this section.

LEMMA 4.5. The following are equivalent for a space X:

- (a) X is semi-stratifiable.
- (b) There is a function g from  $N \times X$  into the topology of X such that (1) for each x in X,  $\bigcap_{n=1}^{\infty} g(n, x) = \{x\}^{-}$ ; (2) if  $x \in g(n, x_n)$  for  $n = 1, 2, \cdots$  then the sequence  $\langle x_n \rangle$  converges to x.
- (c) There is a function g from  $N \times X$  into the topology of X such that (1) for each x in X and n in N,  $x \in g(n, x)$ ; (2) if  $x \in g(n, x_n)$  for  $n = 1, 2, \cdots$  then x is a cluster point of the sequence  $\langle x_n \rangle$ .

Proof. The equivalence of (a) and (b) is due to Creede [8], and

(b)  $\Rightarrow$  (c) is obvious. To complete the proof we show that (c)  $\Rightarrow$  (b). Thus, let g be a function satisfying (c), and assume that  $g(n+1,x) \subseteq g(n,x)$  for all n in N and x in X.

To prove (1) of (b), first let  $y \in \bigcap_{n=1}^{\infty} g(n, x)$ . Then by (2) of (c), y is a cluster point of the sequence  $\{x, x, \cdots\}$  and so  $y \in \{x\}^-$ . Next let  $y \in \{x\}^-$ . Then  $x \in g(n, y)$  for  $n = 1, 2, \cdots$  so by (2) of (c) it follows that x is a cluster point of the sequence  $\{y, y, \cdots\}$ . Thus  $y \in g(n, x)$  for  $n = 1, 2, \cdots$  and so  $y \in \bigcap_{n=1}^{\infty} g(n, x)$ .

To prove (2) of (b), let  $x \in g(n, x_n)$ ,  $n = 1, 2, \cdots$  and suppose that the sequence  $\langle x_n \rangle$  does not converge to x. Then there is a neighborhood W of x and a subsequence  $\langle x_{n_k} \rangle$  of  $\langle x_n \rangle$  such that  $x_{n_k} \notin W$  for all k in N. Now  $x \in g(n_k, x_{n_k}) \subseteq g(k, x_{n_k})$  for  $k = 1, 2, \cdots$  so by (2) of (c), x is a cluster point of the sequence  $\langle x_{n_k} \rangle$ . But this is impossible, and so we conclude that  $\langle x_n \rangle$  converges to x.

THEOREM 4.6. A regular w1-space is a Moore space if and only if it is an  $\alpha$ -space.

*Proof.* By Propositions 4.1 and 4.3 every Moore space is an  $\alpha$ -space. To complete the proof let X be a regular  $w\Delta$ -space which is also an  $\alpha$ -space and let us show that X is a Moore space. By Corollary 2.6 it suffices to show that X is semi-stratifiable.

Let  $\mathcal{G}_1, \mathcal{G}_2, \cdots$  be a  $w\Delta$ -sequence for X, let g be an  $\alpha$ -function for X. We may assume that for x in X and n in N,  $g(n+1,x) \subseteq g(n,x)$ . For x in X and  $n=1,2,\cdots$  let  $h(n,x)=g(n,x)\cap\operatorname{st}(x,\mathcal{G}_n)$ . We shall show that the function h satisfies (c) of Lemma 4.5.

Clearly (1) of (c) is satisfied. To check (2) let  $x \in h(n, x_n)$  for  $n = 1, 2, \cdots$ . Then for  $n = 1, 2, \cdots$ ,  $x \in \operatorname{st}(x_n, \mathcal{G}_n)$  and so  $x_n \in \operatorname{st}(x, \mathcal{G}_n)$ . Thus the sequence  $\langle x_n \rangle$  has a cluster point y. Suppose  $y \neq x$ . Now  $\{y\} = \bigcap_{n=1}^{\infty} g(n, y)$  and so there is a k in N such that  $x \notin g(k, y)$ . Since y is a cluster point of  $\langle x_n \rangle$  there is a  $m \geq k$  such that  $x_m \in g(k, y)$ . Since g is an  $\alpha$ -function for X,  $x_m \in g(k, y)$  implies  $g(k, x_m) \subseteq g(k, y)$ . But  $x \in h(m, x_m) \subseteq g(m, x_m) \subseteq g(k, x_m)$  and so  $x \in g(k, y)$ , a contradiction. Thus x = y and x is a cluster point of  $\langle x_n \rangle$ .

Corollary 4.7. Every regular w1-space with a  $\sigma$ -point finite separating open cover is a Moore space.

COROLLARY 4.8. Every regular countably compact space with a  $\sigma$ -point finite separating open cover is metrizable.

5. A generalization of semi-stratifiable and  $w\Delta$ -spaces. Let X be a space and let g be a function from  $N\times X$  into the topology of

X such that for all x in X and n in N,  $x \in g(n, x)$ . Consider the following properties of the function g.

- (A) If  $x \in g(n, x_n)$  and  $y_n \in g(n, x_n)$  for  $n = 1, 2, \cdots$  then x is a cluster point of the sequence  $\langle y_n \rangle$ .
- (B) If  $x \in g(n, x_n)$  and  $y_n \in g(n, x_n)$  for  $n = 1, 2, \cdots$  then the sequence  $\langle y_n \rangle$  has a cluster point.
- (C) If  $x_n \in g(n, x)$  for  $n = 1, 2, \cdots$  then x is a cluster point of the sequence  $\langle x_n \rangle$ .
- (D) If  $x_n \in g(n, x)$  for  $n = 1, 2, \cdots$  then the sequence  $\langle x_n \rangle$  has a cluster point.
- (E) If  $x \in g(n, x_n)$  for  $n = 1, 2, \cdots$  then x is a cluster point of the sequence  $\langle x_n \rangle$ .
- (F) If  $x \in g(n, x_n)$  for  $n = 1, 2, \cdots$  then the sequence  $\langle x_n \rangle$  has a cluster point.

In [10] Heath proved that developable spaces can be characterized in terms of a function g satisfying (A), and similarly  $w\Delta$ -spaces can be characterized in terms of a function g satisfying (B). Clearly  $1^{st}$  countable spaces are characterized by (C), and (D) is precisely the definition of a q-space [18]. Finally, as proved in §4, semi-stratifiable spaces are characterized by a function g satisfying (E). These observations suggest introducing a new class of spaces, based on (F), which generalizes semi-stratifiable and  $w\Delta$ -spaces.

DEFINITION 5.1. A space X is a  $\beta$ -space if there is a function g from  $N \times X$  into the topology of X such that

- (a) for all x in X and n in N,  $x \in g(n, x)$ ;
- (b) if  $x \in g(n, x_n)$  for  $n = 1, 2, \cdots$  then the sequence  $\langle x_n \rangle$  has a cluster point.

Such a function is called a  $\beta$ -function for X.

Theorem 5.2. The following are equivalent for a regular space X:

- (a) X is semi-stratifiable.
- (b) X is a  $\beta$ -space with a  $G_{\delta}^*$ -diagonal.
- (c) X is an  $\alpha$ -space and a  $\beta$ -space.

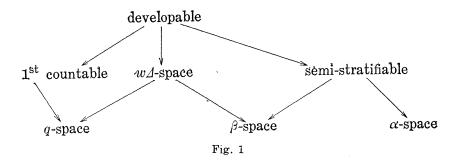
*Proof.* Clearly (a)  $\Rightarrow$  (b) and (a)  $\Rightarrow$  (c). To prove (b)  $\Rightarrow$  (a) let g be a  $\beta$ -function for X and let  $\mathscr{C}_1, \mathscr{C}_2, \cdots$  be a  $G^*_{\delta}$ -sequence for X, where it is assumed that  $\mathscr{C}_{n+1}$  refines  $\mathscr{C}_n$  for all n. For x in X and n in N let  $h(n, x) = g(n, x) \cap \operatorname{st}(x, \mathscr{C}_n)$ . Then h satisfies (c) of Lemma 4.5 and so X is semi-stratifiable.

To prove (c)  $\Rightarrow$  (a) let g be a  $\beta$ -function for X and let h be an  $\alpha$ -function for X, where  $h(n+1,x) \subseteq h(n,x)$  for all n in N and x

in X. For x in X and  $n = 1, 2, \cdots$  let  $k(n, x) = g(n, x) \cap h(n, x)$ . Then k satisfies (c) of Lemma 4.5 and so X is semi-stratifiable.

REMARK 5.3. The implication  $(d) \Rightarrow (a)$  of Corollary 2.6 and Theorem 4.6 can be proved using the above theorem together with Creede's result that every regular semi-stratifiable  $w\Delta$ -space is a Moore space.

6. Summary. The relationship between some of the classes of spaces considered in this paper can be summarized in a diagram as follows.



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