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**ON ELEMENTARY IDEALS OF POLYHEDRA IN THE
3-SPHERE**

SHIN'ICHI KINOSHITA

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Let K be a polygonal simple closed curve (a knot) in a 3-sphere S^3 . For each nonnegative integer d the d th elementary ideal E_d of K in the integral group-ring over an infinite cyclic group is defined by R. H. Fox. The ideal E_d of K is a topological invariant of the position of K in S^3 . This method has been applied to various more general settings, for instance, links in S^3 , S^{n-2} in S^n ($n > 2$) and etc. In this paper the d th elementary ideals $E_d(l)$ are associated to each $(n-2)$ -dimensional integral cycle l on a polyhedron L in an n -sphere S^n ($n > 2$) that does not separate S^n . The collection of $E_d(l)$ for all possible l on L forms a topological invariant of the position of L in S^n .

In §2 we prove theorems of the d th elementary ideal $E_d(l)$ associated with an $(n-2)$ -dimensional integral l on a polyhedron L in S^n that does not separate S^n ($n > 2$). In §3 we will consider to the case of polyhedra in S^3 . After studying an example of a θ -curve in S^3 in §4, we reconsider knots and links from this point of view in §5. In §6 we will give a remark on a Torres' formula for a link in S^3 ([7]) from this point of view.

Our discussion will be based on Fox's free differential calculus ([1], [2], [3]), though other methods, especially the covering space technique, would also be helpful. We need some minor adjustment of free differential calculus that will be given in §1.

The method of the paper is essentially different from that of [4], though a close relation between them will be observed.

1. From free differential calculus. Let G be a group with a presentation $(x_1, \dots, x_m; r_1, \dots, r_n)$ ($n \leq \infty$). Let H be a multiplicative abelian group and ψ a homomorphism of G into H . Let ϕ be the canonical homomorphism of the free group $F(x_1, \dots, x_m)$ onto G . These homomorphism ϕ and ψ are naturally extended to ring homomorphisms of the integral group-ring JF onto JG and of JG into JH , respectively. Using Fox's free differential calculus ([1], [2], [3]), we have an $n \times m$ matrix $A(G, \psi) = (r_{ij})$, where

$$r_{ij} = \left(\frac{\partial r_i}{\partial x_j} \right)^{\psi\phi} \in JH.$$

Generally, let (r_{ij}) be an $n \times m$ matrix ($n \leq \infty$) over a commutative

abelianization of G . (We assume that the abelianization of G is finitely generated.)

THEOREM B (Fox). *Let H be a multiplicative free abelian group of rank μ ($\mu \geq 1$) with generators t_1, \dots, t_μ and let i be the identity of H . Then we have*

$$\begin{cases} E_0(H, i) = (0) , \\ E_d(H, i) = (t_1 - 1, \dots, t_\mu - 1)^{\mu-d}, \text{ if } 1 \leq d < \mu , \\ E_d(H, i) = (1), \text{ if } d \geq \mu . \end{cases}$$

2. On polyhedra in S^n . Let L be a polyhedron in an n -sphere S^n ($n \geq 3$) that does not separate S^n , and let G_L be the fundamental group of $S^n - L$. Let l be an $(n - 2)$ -dimensional cycle with integral coefficients on L . There is a homomorphism ψ of the group G_L into the multiplicative infinite cyclic group H generated by t such that for each $g \in G_L$,

$$g^\psi = t^{\text{link}(g, l)} ,$$

where $\text{link}(g, l)$ is the linking number between g and l in S^n . Since the d th elementary ideal $E_d(G_L, \psi)$ is an invariant of the group G_L with respect ψ , it is a topological invariant of $S^n - L$ with respect to l on L , and from this it follows that $E_d(G_L, \psi)$ is a topological invariant of the position of l on L in S^n . We will denote it by $E_d(l)$. If two $(n - 2)$ -cycles l and l' are homologous on L , then the corresponding d th elementary ideals $E_d(l)$ and $E_d(l')$ are the same. The collection of $E_d(l)$ for all possible $(n - 2)$ -cycles l on L forms a topological invariant of the position of L in S^n .

THEOREM 2. *Let L be a polyhedron in an n -sphere S^n ($n \geq 3$) that does not separate S^n . Let $p_{n-2}(L)$ be the $(n - 2)$ -dimensional Betti number of L and $\tau_1, \tau_2, \dots, \tau_r$ are $(n - 3)$ -dimensional torsion numbers with $\tau_i | \tau_{i+1}$ ($i = 1, 2, \dots, r - 1$). Then for each $(n - 2)$ -dimensional cycle l on L the d th elementary ideal $E_d(l)$ of l on L in S^n satisfies the following conditions:*

$$\begin{cases} (E_d(l))^\circ = (0), \text{ if } d < p_{n-2}(L) , \\ (E_d(l))^\circ = \tau_1 \tau_2 \cdots \tau_m, \text{ where } m = r - (d - p_{n-2}(L)) , \\ \quad \text{if } p_{n-2}(L) \leq d < p_{n-2}(L) + r , \\ (E_d(l))^\circ = (1), \text{ if } d \geq p_{n-2}(L) + r . \end{cases}$$

Proof. By the Alexander duality theorem we have

$$p_1(S^n - L) = p_{n-2}(L)$$

and

$$T_1(S^n - L) \approx T_{n-3}(L) ,$$

where $T_i(K)$ is the i -dimensional torsion group of a complex K . Since the abelianizations of $\pi(S^n - L)$ is the 1-dimensional homology group of $S^n - L$, the theorem is clear by Theorem A.

Especially if l_0 is the $(n - 2)$ -cycle on L such that the coefficients of l_0 on every $(n - 2)$ -simplexes of L are 0, then for every $g \in G_L$ we have $\text{link}(g, l_0) = 0$. Hence the homomorphism ψ of G_L into H with respect to l_0 is a trivializer. Therefore, we have the following theorem.

THEOREM 3. *Let L be a polyhedron in $S^n (n \geq 3)$ that does not separate S^n and l_0 the $(n - 2)$ -cycle on L with coefficients 0 on every $(n - 2)$ -simplexes on L . Then we have*

$$E_d(l_0) = (E_d(l))^\circ ,$$

where l is an $(n - 2)$ -cycle on L .

Now let μ be the number of components of L (i.e. $\mu = p_0(L)$) and $L_i (i = 1, 2, \dots, \mu)$ the i th component of L . Then an $(n - 2)$ -cycle l on L can be expressed as $\sum_{i=1}^{\mu} l_i$, where $l_i (i = 1, 2, \dots, \mu)$ is an $(n - 2)$ -cycle on L defined by

$$\begin{cases} l_i | L_i = l | L_i , \\ l_i | L_j = 0 \quad (i \neq j) . \end{cases}$$

Let H_0 be a multiplicative free abelian group of rank μ , i.e. $H_0 = \prod_{i=1}^{\mu} H_i$, where $H_i = (t_i:) (i = 1, 2, \dots, \mu)$. Define a homomorphism ψ_0 of G_L into H_0 by

$$g^{\psi_0} = \prod_{i=1}^{\mu} t_i^{\text{link}(g, l_i)} .$$

Then, as before, we have the d th elementary ideal $E_d(G_L, \psi_0)$ in JH_0 , that is a topological invariant of the position of l on L in S^n . $E_d(G_L, \psi_0)$ will be denoted by $E_d[l]$.

Let σ be a homomorphism of H_0 onto $H = (t:)$ defined by $t_i^\sigma = t$. Since $g^\psi = t^{\text{link}(g, l)}$, we have $\sigma \psi_0 = \psi$. Since σ is an homomorphism of H_0 onto H , we have the following theorem.

THEOREM 4. *We have*

$$\begin{cases} (E_d[l])^\sigma = E_d(l) \text{ and} \\ (E_d[l])^\circ = (E_d(l))^\circ . \end{cases}$$

Now assume that $p_{n-2}(L) \geq 1$. We have a sequence of homomorphism

$$G_L \xrightarrow{\alpha} H_L \xrightarrow{\alpha'} H' \xrightarrow{\sigma_0} H_0, \\ \xrightarrow{\psi_0}$$

where α is the abelianizer of G_L , $H_L \cong H'$ (a free abelian group of rank $p_{n-2}(L) \times$ (the torsion subgroup of H_L) and α' is the projection of H_L onto H' , $\psi_0 = \sigma_0 \alpha$, and $\sigma_0 = \sigma' \alpha'$. Then, by Theorems 1 and B, we have

$$E_d(G_L, \alpha' \alpha) \subset E_d(H', i) \\ = \begin{cases} (0), & \text{if } d = 0, \text{ and} \\ (1 - s_1, \dots, 1 - s_{p_{n-2}(L)})^{p_{n-2}(L)-d}, & \text{if } 1 \leq d < p_{n-2}(L), \end{cases}$$

in JH' , where we assume that H' is generated by $s_1, \dots, s_{p_{n-2}(L)}$. In [2] it is proved that

$$(1 - s_1^\sigma, \dots, 1 - s_{p_{n-2}(L)}^\sigma) \subset (1 - t_1, \dots, 1 - t_\mu).$$

Hence we have the following theorem.

THEOREM 5. *Assume that $p_{n-2}(L) \geq 1$. Then we have*

$$\begin{cases} E_0[l] = (0), \text{ and} \\ E_d[l] \subset (1 - t_1, \dots, 1 - t_\mu)^{p_{n-2}(L)-d}, \text{ if } 1 \leq d < p_{n-2}(L). \end{cases}$$

THEOREM 6. *Assume that $p_{n-2}(L) \geq 1$. Then we have*

$$\begin{cases} E_0(l) = (0), \text{ and} \\ E_d(l) \subset (1 - t)^{p_{n-2}(L)-d}, \text{ if } 1 \leq d < p_{n-2}(L). \end{cases}$$

REMARK. The first formula in Theorem 2 follows from Theorem 6.

3. On polyhedra in S^3 .

THEOREM 7¹. *Let M^3 be a 3-dimensional manifold and L a polyhedron in M^3 that does not separate M^3 . Then $\pi(M^3 - L)$ has a presentation with deficiency $p_1(M^3 - L) - p_2(M^3 - L)$.*

Proof. Let K be a connected 2-dimensional polyhedron. Then $\pi(K)$ has a presentation with $\alpha_1 - (\alpha_0 - 1)$ generators and α_2 relators, where $\alpha_i (i = 0, 1, 2)$ is the number of i -dimensional simplexes. Since $M^3 - L$ has a connected 2-dimensional polyhedron as its deformation retract, say K , there is a presentation of $\pi(M^3 - L)$ with deficiency

¹ This theorem is due to the referee.

$$\begin{aligned}\alpha_1 - (\alpha_0 - 1) - \alpha_2 &= 1 - p_0(K) + p_1(K) - p_2(K) \\ &= p_1(M^3 - L) - p_2(M^3 - L) .\end{aligned}$$

COROLLARY. *Let L be a polyhedron in S^3 that does not separate S^3 . Then $\pi(S^3 - L)$ has a presentation with deficiency $1 - p_0(L) + p_1(L)$.*

Proof. By the Alexander duality theorem we have

$$\begin{aligned}p_1(S^3 - L) - p_2(S^3 - L) &= p_1(L) - (p_0(L) - 1) \\ &= 1 - p_0(L) + p_1(L) .\end{aligned}$$

THEOREM 8. *Let L be a polyhedron in S^3 that does not separate S^3 . Then for each 1-cycle l on L we have*

$$E_d(l) = (0), \text{ if } d < 1 - p_0(L) + p_1(L) .$$

REMARK. A greater number than $1 - p_0(L) + p_1(L)$ can be obtained, if one (or more) component of L is contractible in itself.

The following Theorem 9 and Theorem 10 are corollaries of Theorem 2 and Theorems 5 and 6, respectively.

THEOREM 9. *Let L be a polyhedron in 3-sphere S^3 that does not separate S^3 . Then for each 1-cycle l on L we have*

$$\begin{cases} (E_d(l))^\circ = (0), \text{ if } d < p_1(L), \text{ and} \\ (E_d(l))^\circ = (1), \text{ if } d \geq p_1(L) . \end{cases}$$

THEOREM 10. *Let L be a polyhedron in 3-sphere S^3 that does not separate S^3 . Assume that $p_1(L) > 0$ and let $\mu = p_0(L)$. Then we have*

$$\begin{cases} E_0[l] = 0, \text{ and} \\ E_d[l] \subset (1 - t_1, \dots, t - t_\mu)^{p_1(L)-d}, \text{ if } 1 \leq d < p_1(L) . \end{cases}$$

Especially we have

$$\begin{cases} E_0(l) = 0, \text{ and} \\ E_d(l) \subset (1 - t)^{p_1(L)-d}, \text{ if } 1 \leq d < p_1(L) . \end{cases}$$

4. EXAMPLES. Let L_1 be a θ -curve, which is trivially imbedded in S^3 . Then the fundamental group G_{L_1} of $S^3 - L_1$ is a free group of rank 2. From this it follows that for each 1-cycle l on L_1 we have

$$\begin{cases} E_d(l) = (0), \text{ if } d < 2 , \\ E_d(l) = (1), \text{ if } d \geq 2 . \end{cases}$$

Now let L_2 be a θ -curve in S^3 , which is shown in Fig. 1.

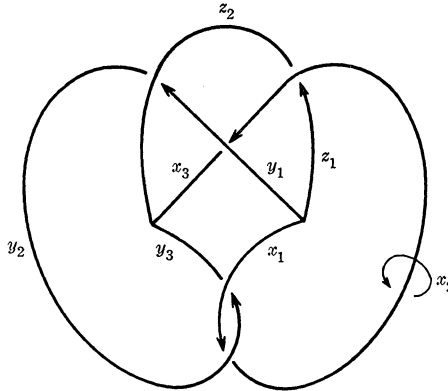


FIGURE 1

Then a presentation of the fundamental group G_{L_2} of $S^3 - L_2$ is as follows:

$$(x_1, y_1, y_2: y_2 x_1 y_1 y_2^{-1} x_1 y_2 y_1^{-1} y_2^{-1} x_1^{-1} y_2 y_1^{-1} x_1^{-1} y_2^{-1} x_1 y_2 x_1^{-1} = 1) .$$

Now let l be a 1-cycle on L_2 and suppose that $\text{link}(x_1, l) = c_1$ and $\text{link}(y_1, l) = \text{link}(y_2, l) = c_2$. Then we have

$$A(G_{L_2}, \psi) \approx (t^{c_1+c_2} + t^{c_2} + 1, 2, 0) .$$

Hence, we have

$$\begin{cases} E_d(l) = (0), & \text{if } d < 2, \\ E_2(l) = (t^{c_1+c_2} + t^{c_2} + 1, 2), \\ E_d(l) = (1), & \text{if } d > 2. \end{cases}$$

This means that the position of L_1 and that of L_2 in S^3 are topologically inequivalent. Note that any one of three simple closed curves on L_2 is a trivial knot in S^3 . (The example L_2 was also discussed in [4], but there was a mistake in calculation, that was pointed out by R. H. Fox to the author of the paper. Of course the underlying theory in that paper is also different to that of this paper as noted in the introduction.) We may also note that generally $E_2(l)$ of l on L_2 in S^3 is not a principal ideal, that generally $E_2(l)$ does not satisfy the symmetricity property, that appears for knots and links in S^3 . There are several of this kind of example in [6], too.

In another paper the author of the paper will prove that for any integral polynomial $f(t)$ with $f(t) = \pm 1$ there exists a θ -curve L in S^3 and a 1-cycle l on L such that $E_2(l) = (f(t))$.

5. On knots and links. Let K be an oriented polyhedral ($n -$

2)-sphere in S^n ($n \geq 3$) and k an $(n-2)$ -cycle on K such that $k = ck'$, where k' is the fundamental cycle of K . Consider the fundamental group G_K of $S^n - K$ and its abelianization

$$\alpha: G_K \rightarrow H_K = (t': \quad).$$

We choose the generator t' in such a way that for each $g \in G_K$

$$g^\alpha = (t')^{\text{link}(g, k')}.$$

On the other hand, we have

$$g^\psi = t^{\text{link}(g, k)} = t^{c(\text{link}(g, k'))}.$$

Now define a homomorphism σ of H_K into H by $(t')^\sigma = t^c$. Then we have $\psi = \sigma\alpha$. From this it follows that

$$E_d(k)(t) = E_d(k')(t^c)$$

for each $d \geq 0$. Further, since H_K is infinite cyclic, we have $E_0(k) = (0)$ and $(E_d(k))^\circ = (1)$ for $d \geq 1$. If $n = 3$, we have

$$E_1(k)(t) = (\Delta_K(t^c)),$$

where $\Delta_K(t)$ is the Alexander polynomial of the oriented simple closed curve K in S^3 .

Let L be an oriented polyhedral $(n-2)$ -link with μ components in S^n ($n \geq 3$), i.e. an ordered collection of μ number of mutually disjoint oriented polyhedral $(n-2)$ -spheres in S^n . We assume that $\mu \geq 2$. Let L_i be the i th component of L for each i ($i = 1, \dots, \mu$). Let l be an $(n-2)$ -cycle on L and let l'_i be as follows:

$$\begin{cases} l'_i | L_i = \text{the fundamental cycle on } L_i, \text{ and} \\ l'_i | L_j = 0, \text{ if } i \neq j. \end{cases}$$

Hence, we have $l = \sum_{i=1}^{\mu} c_i l'_i$. Let $l_i = c_i l'_i$ and $l' = \sum_{i=1}^{\mu} l'_i$. Consider the fundamental group G_L of $S^n - L$ and its abelianization

$$\alpha: G_L \rightarrow H_L,$$

which is a free abelian group of rank μ . We choose the generator t'_i ($i = 1, \dots, \mu$) in such a way that for each $g \in G_L$

$$g^\alpha = \prod_{i=1}^{\mu} (t'_i)^{\text{link}(g, l'_i)}.$$

On the other hand, we have

$$g^{\psi_0} = \prod_{i=1}^{\mu} t_i^{\text{link}(g, l_i)} = \prod_{i=1}^{\mu} (t_i)^{c_i \text{link}(g, l'_i)}.$$

Define a homomorphism σ of H_L into H_0 by $(t'_i)^\sigma = t_i^{c_i}$ for each i ($i = 1, \dots, \mu$). Then we have $\psi_0 = \sigma\alpha$. From this it follows that

$$E_d[l](t_1, \dots, t_\mu) = E_d[l](t_1^{c_1}, \dots, t_\mu^{c_\mu}) .$$

Further we have $E_0(l) = 0$, $(E_d(l))^\circ = (0)$ for $d < \mu$, and $(E_d(l))^\circ = (1)$ for $d \geq \mu$. If $n = 3$, we have

$$E_1[l](t_1, \dots, t_\mu) = (1 - t_1^{c_1}, \dots, 1 - t_\mu^{c_\mu}) \Delta_L(t_1^{c_1}, \dots, t_\mu^{c_\mu}) ,$$

where $\Delta_L(t_1, \dots, t_\mu)$ is the Alexander polynomial of the link L in S^3 and, hence

$$E_1(l)(t) = (1 - t^c) \Delta_L(t^{c_1}, \dots, t^{c_\mu}) ,$$

where $c = \text{g.c.d.}(c_1, \dots, c_\mu)$.

REMARK. Further, it is proved by Shinohara and Sumners [5] that if $n \geq 4$, $E_d(l) = (0)$ for $d < \mu$.

6. On a Torres' formula for a link. The following consideration may be interesting: Suppose that L is an oriented link with multiplicity $\mu (> 1)$ in S^3 and L_i the i th component of L . ($i = 1, 2, \dots, \mu$). Let l be a 1-cycle on L and express l as $\sum_{i=1}^{\mu} l_i$ as before. Denote $\sum_{i=1}^{\mu-1} l_i$ by l^* . Now let $L^\# = L - L_\mu$ and let $l^\#$ be the 1-cycle on $L^\#$ such that $l^\# = l | L^\#$. Hence we have $l^\# = l^* | L^\#$. Let H_0 be a multiplicative free abelian group of rank μ , i.e. $H_0 = \prod_{i=1}^{\mu} H_i$, where $H_i = (t_i;) (i = 1, 2, \dots, \mu)$. Let $H_0^\# = \prod_{i=1}^{\mu-1} H_i$.

Now let ψ_0 be the homomorphism of G_L into H_0 such that for each $g \in G_L$

$$g^{\psi_0} = \prod_{i=1}^{\mu} t_i^{\text{link}(g, l_i)} ,$$

ψ_0^* the homomorphism of G_L into H_0 such that for each $g \in G_L$

$$g^{\psi_0^*} = \prod_{i=1}^{\mu-1} t_i^{\text{link}(g, l_i)}$$

and $\psi_0^\#$ the homomorphism of $G_L^\#$ into $H_0^\#$ such that for each $g \in G_L^\#$

$$g^{\psi_0^\#} = \prod_{i=1}^{\mu-1} t_i^{\text{link}(g, l_i)} .$$

Let c_i be the coefficient of l on $L_i (i = 1, \dots, \mu)$. Then we have the following theorem.

THEOREM 11. *We have*

$$E_1[l^*, \psi_0^*] = (t_1^{c_1 l_1 \mu} \dots t_{\mu-1}^{c_{\mu-1} l_{\mu-1} \mu} - 1) E_1[l, \psi_0^\#] ,$$

where $l_{ij} = \text{link}(L_i, L_j) (i, j = 1, 2, \dots, \mu)$.

Proof. Let

$$E_1[l, \psi_0] = (t_1^{c_1} - 1, \dots, t_{\mu}^{c_{\mu}} - 1) \Delta_L(t_1^{c_1}, \dots, t_{\mu}^{c_{\mu}}) .$$

Then we have

$$E_1[l^*, \psi_0^*] = (t_1^{c_1} - 1, \dots, t_{\mu-1}^{c_{\mu-1}} - 1) \Delta_L(t_1^{c_1}, \dots, t_{\mu-1}^{c_{\mu-1}}, 1) .$$

On the other hand, we have

$$E_1[l^{\#}, \psi^{\#}] = (t_1^{c_1} - 1, \dots, t_{\mu-1}^{c_{\mu-1}} - 1) \Delta_{L^{\#}}(t_1^{c_1}, \dots, t_{\mu-1}^{c_{\mu-1}}) .$$

A Torres' formula of the Alexander polynomial of links ([7]) is as follows:

If $\mu = 2$, then

$$\Delta_L(t_1, 1) = \Delta_{L^{\#}}(t_1)(t_1^{l_1} - 1)/(t_1 - 1) .$$

If $\mu > 2$, then

$$\Delta_L(t_1, \dots, t_{\mu-1}, 1) = (t_1^{l_1} \cdots t_{\mu-1}^{l_{\mu-1}} - 1) \Delta_{L^{\#}}(t_1, \dots, t_{\mu-1}) .$$

Hence if $\mu > 2$, the statement of the theorem follows immediately. If $\mu = 2$ and $c_1 \neq 0$, we have

$$\begin{aligned} E_1[l^*, \psi_0^*] &= (t_1^{c_1} - 1) \Delta_L(t_1^{c_1}, 1) \\ &= (t_1^{c_1 l_1} - 1) \Delta_{L^{\#}}(t_1^{c_1}) = (t_1^{c_1 l_1} - 1) E_1[l^{\#}, \psi_0^{\#}] . \end{aligned}$$

The statement of the theorem is trivial, if $c_1 = 0$ and $\mu = 2$. Thus the proof of the theorem is complete.

REMARK. Theorem 11 can be proved directly and the Torres' theorem can be obtained as a corollary to this theorem.

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Tage Bai Andersen, <i>On Banach space valued extensions from split faces</i>	1
David Marion Arnold, <i>A duality for quotient divisible abelian groups of finite rank</i>	11
Donald Pollard Ballou, <i>Shock sets for first order nonlinear hyperbolic equations</i>	17
Leon Brown and Lowell J. Hansen, <i>On the range sets of H^p functions</i>	27
Alexander Munro Davie and Arne Stray, <i>Interpolation sets for analytic functions</i>	33
M. G. Deshpande, <i>Structure of right subdirectly irreducible rings. II</i>	39
Barry J. Gardner, <i>Some closure properties for torsion classes of abelian groups</i>	45
Paul Daniel Hill, <i>Primary groups whose subgroups of smaller cardinality are direct sums of cyclic groups</i>	63
Richard Allan Holzsager, <i>When certain natural maps are equivalences</i>	69
Donald William Kahn, <i>A note on H-equivalences</i>	77
Joong Ho Kim, <i>R-automorphisms of $R[t][[X]]$</i>	81
Shin'ichi Kinoshita, <i>On elementary ideals of polyhedra in the 3-sphere</i>	89
Andrew T. Kitchen, <i>Watts cohomology and separability</i>	99
Vadim Komkov, <i>A technique for the detection of oscillation of second order ordinary differential equations</i>	105
Charles Philip Lanski and Susan Montgomery, <i>Lie structure of prime rings of characteristic 2</i>	117
Andrew Lenard, <i>Some remarks on large Toeplitz determinants</i>	137
Kathleen B. Levitz, <i>A characterization of general Z.P.I.-rings. II</i>	147
Donald A. Lutz, <i>On the reduction of rank of linear differential systems</i>	153
David G. Mead, <i>Determinantal ideals, identities, and the Wronskian</i>	165
Arunava Mukherjea, <i>A remark on Tonelli's theorem on integration in product spaces</i>	177
Hyo Chul Myung, <i>A generalization of the prime radical in nonassociative rings</i>	187
John Piepenbrink, <i>Rellich densities and an application to unconditionally nonoscillatory elliptic equations</i>	195
Michael J. Powers, <i>Lefschetz fixed point theorems for a new class of multi-valued maps</i>	211
Aribindi Satyanarayan Rao, <i>On the absolute matrix summability of a Fourier series</i>	221
T. S. Ravisankar, <i>On Malcev algebras</i>	227
William Henry Ruckle, <i>Topologies on sequences spaces</i>	235
Robert C. Shock, <i>Polynomial rings over finite dimensional rings</i>	251
Richard Tangeman, <i>Strong heredity in radical classes</i>	259
B. R. Wenner, <i>Finite-dimensional properties of infinite-dimensional spaces</i>	267