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COHOMOLOGY OF FINITELY PRESENTED GROUPS

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COHOMOLOGY OF FINITELY PRESENTED GROUPS

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Let G be a finitely presented group, G' a finite quotient of G and K a field. Let G act on the group algebra V = K[G']in the natural way. For a suitable choice of G' we obtain estimates on the dimension of $H^1(G, V)$ in terms of the presentation and then use these estimates to derive information about G.

If G is generated by n elements, of which m have finite orders k_1, \dots, k_m , resp., and G has the presentation

$$\langle a_1, \cdots, a_n; a_1^{k_1}, \cdots, a_m^{k_m}, r_{m+1}, \cdots, r_{m+q} \rangle$$

then, in particular, we show that (a) the minimum number of generators of G is $\ge n - q - \sum 1/k_i$; (b) if this lower bound is actually attained, then G is free, of this rank, and (c) G is infinite if $\sum 1/k_i \le n - q - 1$. The latter, together with a result of R. Fox, yields an algebraic proof that the group

$$\langle a_1, \cdots, a_m; a_1^{k_1}, \cdots, a_m^{k_m}, a_1 \cdots a_m \rangle$$

is infinite if $\sum 1/k_i \leq m-2$.

1. An exact sequence. Let G be a group with the presentation $\langle a_1, \dots, a_n; r_1, r_2, \dots \rangle$, i.e., G = F/N, where F is the free group on $\{a_1, \dots, a_n\}$ and N is the normal subgroup generated by $\mathscr{R} = \{r_1, r_2, \dots\}$. We denote by \mathscr{P} the homomorphism of group rings $Z[F] \to Z[G]$ which extends the natutral map $F \to F/N$, and by A_i the element φa_i of G.

Let ρ be a representation of G in Aut (V), where V is a finitedimensional vector space over a field K. We shall be concerned with the first cohomology group $H^1(G, V)$, which is also a vector space over K in an obvious way. One knows that an arbitrary map $f: \{A_1, \dots, A_n\} \to V$ extends to a 1-cocycle of G in V if and only if the 1-cocycle of F determined by $a_i \mapsto f(A_i)$ vanishes on the relators. More precisely, the following sequence is exact:

$$(*) \qquad 0 \longrightarrow Z^{1}(G, V) \xrightarrow{E} V^{n} \xrightarrow{D} V_{1} \oplus V_{2} \oplus \cdots$$

Here, $Z^{1}(G, V)$ is the space of 1-cocycles, V^{n} is the direct sum of n copies of $V, V_{i} = V$ for each i, E is the map $f \mapsto (f(A_{i}), \dots, f(A_{n})), D$ is the map

$$(u_1, \cdots, u_n) \longmapsto \left(\sum_j (\partial r_1 / \partial a_j) u_j, \sum_j (\partial r_2 / \partial a_j) u_j, \cdots\right),$$

and in the last term of the sequence there is one copy of V for each

member of \mathscr{R} . $\partial r/\partial a_j$ is the Fox derivative of r with respect to a_j [2, Chap. VII, §2].

Now suppose $r_i = a_i^{k_i}$ for $i = 1, \dots, m$, and that the characteristic of K does not divide any of the k_i . Then for $i = 1, \dots, m$,

$$\sum\limits_{j} (\partial r_i/\partial a_j) u_j = (1 + T_i + \cdots + T_i^{k_i-1}) u_i$$
 ,

where $T_i = \rho \varphi a_i$, so, using the fact that

$$\operatorname{Ker} (1 + T_i + \cdots + T_i^{k_i - 1}) = \operatorname{Im} (1 - T_i),$$

we may replace (*) by

$$(**) \qquad \begin{array}{c} 0 \longrightarrow Z^{1}(G, V) \stackrel{E}{\longrightarrow} \operatorname{Im} (1 - T_{1}) \oplus \cdots \oplus \operatorname{Im} (1 - T_{m}) \\ \oplus V^{n-m} \stackrel{D'}{\longrightarrow} V_{m+1} \oplus V_{m+2} \oplus \cdots \end{array}$$

where D' is given by

$$(u_1, \cdots, u_n) \longmapsto \left(\sum_j (\partial r_{m+1}/\partial a_j) u_j, \sum_j (\partial r_{m+2}/\partial a_j) u_j, \cdots\right).$$

2. Conditions for G to be a free product. The following lemma will be needed for the applications in the next section. In what follows, $[x, y, \cdots]$ denotes the subgroup of G generated by $\{x, y, \cdots\}$, |x| is the order of x, $A = \varphi a$ and $G_1 * G_2$ is the free product of G_1 and G_2 . Otherwise the notation is that of §1.

LEMMA. Let $G = \langle a = a_1, a_2, \dots, a_n; \mathscr{R} \rangle$. Then

(a) The following statements are equivalent.

(1) $\varphi(\partial r/\partial a(1-a)) = 0$ for all $r \in \mathscr{R}$ (and therefore for all $r \in N$).

(2) $G = [A] * [A_2, \dots, A_n].$

(b) If (1) is replaced by the stronger condition $\mathcal{P}(\partial r/\partial a) = 0$ for all $r \in \mathscr{R}$, then the condition $|A| = \infty$ may be added to (2).

Proof. (a) If A = 1, then (1) and (2) are trivially true, so we may assume that $A \neq 1$ from now on.

 $(2) \Rightarrow (1)$: Given $G = [A] * [A_2, \dots, A_n]$, let $\langle a_2, \dots, a_n; \mathscr{S} \rangle$ be a presentation for $[A_2, \dots, A_n]$. Then $\mathcal{P}(\partial s/\partial a(1-a)) = 0$ for all $s \in \mathscr{S}$, and if |A| = k, $\mathcal{P}(\partial a^k/\partial a(1-a)) = \mathcal{P}(1-a^k) = 0$. Thus $\mathcal{P}(\partial r/\partial a(1-a)) = 0$ for all r in a system of defining relations for G. It follows easily that the same is true for all $r \in N$, hence, in particular, for all members of \mathscr{R} .

 $(1) \Longrightarrow (2)$: Suppose $\mathcal{P}(\partial r/\partial a(1-a)) = 0$ for all $r \in \mathscr{R}$. We may assume that no proper part of any member of \mathscr{R} is in N, and if $|A| = k < \infty$, that $a^k \in \mathscr{R}$. Let $\mathscr{R}_1 = \mathscr{R} - \{a^k\}$ if $a^k \in \mathscr{R}$; otherwise, let $\mathscr{R}_1 = \mathscr{R}$. We claim that all members of \mathscr{R}_1 are free of a and a^{-1} . This will complete the proof.

Suppose some $r \in \mathscr{R}_1$ involves a or a^{-1} . We may assume that r has the form $r = aw_1a^{\pm 1}w_2\cdots a^{\pm 1}w_r$, where w_1 is not the empty word. Applying condition (1) to r and multiplying the resulting equation on the left by $\varphi(a^{-1})$, we obtain

$$arphi(a^{-1}) \pm arphi(w_1(a^{-1})) \pm \cdots \pm arphi(w_1 \cdots w_{r-1}(a^{-1})) \ = 1 \pm arphi(w_1(a)) \pm \cdots \pm arphi(w_1 \cdots w_{r-1}(a)) \;,$$

where the parenthetical a^{-1} in the left hand member occurs precisely when the term has a minus sign and the parenthetical a on the right goes with the plus sign. But all terms except the first term on each side are images of proper parts of r, hence $\neq 1$, and $\varphi(a^{-1}) \neq 1$ by hypothesis, so the last equation is impossible in Z[G]. This contradiction completes the proof of (a).

As for (b), if $G = [A] * [A_2, \dots, A_n]$ and $|A| = \infty$, then G has a presentation in which no relator involves a, so $\partial r/\partial a = 0$ for all r in N. Conversely, if $|A| = k < \infty$, then $\mathcal{P}(\partial a^k/\partial a) = 1 + A + \cdots A^{k-1} \neq 0$.

COROLLARY. Let $G = \langle a_1, \dots, a_n; \mathscr{R} \rangle$. Suppose that

(1) for $j = 1, \dots, m, \varphi(\partial r/\partial a_j(1 - a_j)) = 0$, all $r \in \mathscr{R}$, but there exists $r_j \in N$ such that $\varphi(\partial r_j/\partial a_j) \neq 0$, and

(a) $G = [A_1] * \cdots [A_{m+p}] * [A_{m+p+1}, \cdots A_n]$ and

(b) $|A_j| < \infty, j = 1, \dots, m \text{ and } |A_j| = \infty, j = m + 1, \dots, m + p.$

3. The main theorem. We recall that a group G is residually finite if given $1 \neq g \in G$, there exists a finite quotient of G in which the image of g is $\neq 1$. By a theorem of Mal'cev [5], all finitely generated linear groups over a field are residually finite.

We note for future reference some easily deduced properties of residually finite groups. (R is any ring with unity.)

RF1. If G is residually finite and $\alpha_1, \dots, \alpha_r$ are nonzero elements of the group ring R[G], there exists a finite quotient G' of G such that the images of $\alpha_1, \dots, \alpha_r$ in R[G'] are all nonzero.

RF2. Let g_i have finite order k_i , $i = 1, \dots, m$, in a residually finite group G. Then there exists a finite quotient of G in which the image of g_i has order k_i for each i.

Now suppose G is a group, G' a finite quotient of G and K a field. Let an action of G on the group algebra V = K[G'] be defined

as follows: If $g \in G$ and $v \in V$, gv is defined to be the product g'v in K[G'] where g' is the image of g in G'. Then it is easy to show that $V^{\mathcal{G}} = \{v \in V: gv = v, \text{ all } g \in G\}$ is the one-dimensional subspace generated by $s = \sum_{g' \in G'} g'$. We shall also need to know the "fixed point" space of an element $g \in G$, i.e. $\{v \in V: gv = v\}$. Let $G' = \{g'_1, \dots, g'_d\}$. If π is the permutation of $\{1, \dots, d\}$ such that $g'g'_i = g'_{\pi i}$ and g' has order k, then π is the product of d/k disjoint cycles: $\pi = (i_1, \dots, i_k)(i_{k+1}, \dots, i_{2k}) \dots$. It follows easily that the fixed point space of g is the d/k-dimensional subspace of V generated by the elements

$$\sum_{j=1}^{k} g'_{i_j}, \sum_{j=k+1}^{2k} g'_{i_j}, \cdots$$

The main results are consequences of the following theorem. The notation is that of §2.

THEOREM. Let G be a residually finite group with the presentation

$$\langle a_1, \cdots, a_n; a_1^{k_1}, \cdots, a_m^{k_m}, r_{m+1}, r_{m+2}, \cdots \rangle$$

and let K be a field of characteristic 0. (We assume the $k_i > 1$.) Then there exists a finite quotient G' of G such that if G acts on V = K[G'] as above, then, letting $d = |G'|, \sigma = \sum_{i=1}^{m} 1/|A_i|$ and $\tau = \sum_{i=1}^{m} 1/k_i$, we have

- (a) dim $H^{1}(G, V) \leq (n \sigma 1)d + 1 \leq (n \tau 1)d + 1$
- (b) if equality holds throughout (a), then $G = [A_1] * \cdots * [A_n]$, $|A_j| = k_j, j = 1, \cdots, m$ and $|A_j| = \infty, j = m + 1, \cdots, n$.

(c) if the set of defining relations is finite, say

 $\mathscr{R} = \{a_1^{k_1}, \cdots, a_m^{k_m}, r_{m+1}, \cdots, r_{m+q}\}$,

then dim $H^{1}(G, V) \geq (n - \sigma - q - 1)d + 1$.

REMARK. It will be clear from the proof that if a finite number of presentations of G are given, G' can be chosen so that (a) through (c) are simultaneously true for all the given presentations.

Proof. By RF2, choose G' so that the image of A_i in G' has order $|A_i|$, $i = 1, \dots, m$. In the notation of (**), §1,

$$\dim \operatorname{Im} (1 - T_i) = d - \dim \operatorname{Ker} (1 - T_i) = d(1 - 1/|A_i|)$$

by the remarks preceding the theorem. Hence, by (**)

(1)
$$\dim Z^{1}(G, V) = (n - \sigma)d - \operatorname{rank}(D').$$

Now the map of V onto the space $B^{1}(G, V)$ of coboundaries given by $v \mapsto f_{v}$, where $f_{v}(g) = gv - v$ for all $g \in G$, has kernel V^{a} , so dim $B^{i} = d - 1$. Combining this with (1) yields the first inequality in (a). The second inequality is clear.

To prove (b), note first that if $|A_i| < k_i$ for some *i*, then the second inequality in (a) is strict. Therefore it with suffice to show that if $G \neq [A_1]^* \cdots * [A_n]$ or if some A_j with j > m has finite order, then G' can be chosen so that (in addition to the preservation of orders $|A_i|$, $i = 1, \dots, m$) we have $D' \neq 0$. For then, (1) implies that the first inequality in (a) is strict.

Consider the following elements of K[G]:

$$arphi(\partial r_i/\partial a_j), \hspace{1em} i>m, \hspace{1em} j>m \ arphi(\partial r_i/\partial a_j(1-a_j)), \hspace{1em} i>m, \hspace{1em} j\leqq m \;.$$

One of these must be nonzero since otherwise, by the Corollary of §2, $G = [A_1] * \cdots * [A_n]$ and $|A_i| = \infty$, i > m, contrary to hypothesis. Therefore by RF1 there exists a finite quotient G' such that the image in K[G'] of this nonzero element is also nonzero. One easily sees then that $D' \neq 0$. This proves (b).

Given the hypothesis of (c), we have rank $D' \leq qd$. The conclusion then follows from (1) above.

COROLLARY 1. Let G be a residually finite group with two presentations

Then

$$n \, - \, \sum\limits_{i=1}^{m} 1 / |\, A_i \,| \, - \, q \, \leq \, N \, - \, \sum\limits_{j=1}^{M} 1 / h_j$$
 ,

and if equality holds, then $G = [B_1] * \cdots * [B_N]$, $|B_j| = h_j$ for j = 1, \cdots , M and $|B_j| = \infty$ for j > M. $(B_k$ is the image in G of the free generator b_k .)

Proof. Apply part (c) to the first presentation and parts (a) and (b) to the second. (See the remark preceding the proof of the theorem.)

Note that Corollary 1 implies for residually finite groups the wellknown result [4, Cor. 5.14.2] that if a group G with n generators and q defining relations can be generated by n-q elements, then G is free of rank n-q.

COROLLARY 2. Let $G = \langle a_1, \dots, a_n; a_1^{k_1}, \dots, a_m^{k_m}, r_{m+1}, \dots, r_{m+q} \rangle$. Then G is infinite if

$$\sum\limits_{i=1}^m 1/|A_i| \leq n-q-1$$
 .

Proof. If $|G| = d < \infty$, we may take G' = G in the proof of the Theorem. But then $dH^1(G, V) = 0$ [1, Chap. XII, Prop. 2.5] so $H^1(G, V) = 0$ since K has characteristic zero. The conclusion now follows from part (c) of the Theorem.

Finally, we apply Corollary 2 to a classical case. Let

 $G = \langle a_1, \cdots, a_m; a_1^{k_1}, \cdots, a_m^{k_m}, a_1 \cdots a_m \rangle$.

From geometric considerations (e.g. [7, p. 28, Satz 8]) one knows that the group is infinite if $\sum 1/k_i \leq m-2$. In 1902, Miller [6] gave an algebraic proof of this fact for the case m=3, but the argument involves consideration of many cases.

In [3] Fox shows that if k_1, k_2, k_3 are integers >1, then there exist permutations A and B of orders k_1 and k_2 , resp., such that AB has order k_3 . It follows easily from this that $k_i = |A_i|$ in the above group (assuming m > 2). Hence Corollary 2, together with this result, yields an algebraic proof that G is infinite when $\sum 1/k_i \leq m-2$.

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