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**STRONG LIE IDEALS**

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$R$  is 2-torsion free semiprime with  $2R = R$ . A Lie ideal,  $U$ , of  $R$  is  $R$ -strong if  $aua \in U$  for all  $a \in R, u \in U$ . One shows that  $U$  contains a nonzero two-sided ideal of  $R$ . If  $R$  has an involution,  $*$ , (with skew-symmetric elements  $K$ ) a Lie ideal,  $U$ , of  $K$  is  $K$ -strong if  $kuk \in U$  for all  $k \in K, u \in U$ . It is shown that if  $R$  is simple with characteristic not 2 and either the center,  $Z$ , is zero or the dimension of  $R$  over the center is greater than 4, then  $U = K$ . If  $R$  is a topological annihilator ring with continuous involution and if  $U$  is closed  $K$ -strong Lie ideal,  $U = C \cap K$  where  $C$  is a closed two-sided ideal of  $R$ . A Lie ideal,  $U$ , of  $K$  is  $HK$ -strong if  $u^3 \in U$  for all  $u \in U$ . A result similar to the above result for  $K$ -strong Lie ideals can be shown. Let  $R$  be a simple ring with involution such that  $Z = (0)$  or the dimension of  $R$  over  $Z$  is greater than 4. Let  $\phi$  be a nonzero additive map from  $R$  into a ring  $A$  such that the subring of  $A$  generated by  $\{\phi(x) : x \in R\}$  is a noncommutative, 2-torsion free prime ring. Suppose  $\phi(xy - y^*x^*) = \phi(x)\phi(y) - \phi(y^*)\phi(x^*)$  for all  $x, y \in R$ . As an application of the above theory,  $\phi$  is shown to be an associative isomorphism.

1. Introduction.  $R$  will denote a semiprime ring such that  $2R = R$  and if  $2r = 0$ , then  $r = 0$ . We call the latter property 2-torsion free.  $Z$  will denote the center of  $R$ . If  $R$  has an involution,  $*$ , defined on it,  $S$  and  $K$  will be the set of symmetric and skew-symmetric elements respectively. The Lie and Jordan products are  $[x, y] = xy - yx$  and  $x \circ y = xy + yx$  for any  $x, y \in R$ . If  $X, Y \subseteq R$ ,  $[X, Y]$  will denote the additive subgroup generated by the set  $\{[x, y] : x \in X \text{ and } y \in Y\}$ . An additive subgroup,  $U$ , of  $R$  is a Lie ideal of  $R$  if  $[U, R] \subseteq U$ . If  $R$  has an involution, we can similarly define a Lie ideal of  $K$ .

This paper is concerned with the study of different classes of Lie ideals of both  $R$  and  $K$ . A Lie ideal,  $U$ , of  $R$  is said to be  $R$ -strong if  $aua \in U$  for all  $a \in R, u \in U$ . If  $U$  is a Lie ideal of  $K$ ,  $U$  is  $K$ -( $HK$ )-strong if  $kuk \in U$  ( $u^3 \in U$ ) for all  $k \in K, u \in U$ .

In the classical theory of the Lie structure of an associative ring, the main theorem [6; Th. 1.3] states: if  $R$  is simple and  $U$  is a Lie ideal of  $R$ , either  $U \subseteq Z$  or  $[R, R] \subseteq U$ . We attempt to develop some criteria for differentiating between Lie ideals of  $R$  containing  $[R, R]$  and  $R$  itself. Similar criteria are developed for Lie ideals of  $K$ . We

will have occasion to use the following results of Herstein [6; pp 1, 5, 10, and 28]:

- (i)  $R$  has no one-sided ideals which are nil of bounded index;
- (ii) If  $a \in R$  is such that  $[a, [a, x]] = 0$  for all  $x \in R$ , then  $a \in Z$ ;
- (iii) Let  $R$  be simple with involution and characteristic not 2. If  $Z = (0)$  or the dimension of  $R$  over  $Z$  is greater than 4, then  $R = \bar{S} = \bar{K}$  where  $\bar{S}$  and  $\bar{K}$  are the subrings of  $R$  generated by  $S$  and  $K$  respectively.

If  $X \subseteq R$ ,  $\mathcal{R}(X) = \{a \in R: Xa = (0)\}$  and  $\mathcal{L}(X) = \{a \in R: aX = (0)\}$ . The next two lemmas are analogs of a results of Baxter [3; p. 2].

LEMMA 1.1. *If  $U$  is a Lie ideal of  $R$  such that  $u^2 = 0$  for all  $u \in U$ , then  $U = (0)$ .*

*Proof.* Let  $u \in U, a \in R$ . As  $[u, a] \in U, [u, a]^2 = 0$ . Therefore,  $uauau = u[u, a]^2 = 0$  and  $uR$  is nil of bounded index. By the previously mentioned results,  $uR = (0)$ . But  $R$  is semiprime, so  $\mathcal{L}(R) = (0)$ . Thus  $u = 0$ .

LEMMA 1.2. *Let  $R$  have an involution,  $*$ . If  $U$  is a Lie ideal of  $K$  such that  $u^2 = 0$  for all  $u \in U$ , then  $U = (0)$ .*

*Proof.* Let  $u, v \in U$ , then  $0 = (u + v)^2 - u^2 - v^2 = uv + vu$ . As  $[u, v] \in U, 2uv \in U$ . Since  $2R = R, [uv, K] \subseteq U$ . Thus, for each  $k \in K, u \circ [uv, k] = 0$ , and so, even more  $v\{u \circ [uv, k]\} = 0$ . Since  $u$  and  $v$  anti-commute, expansion of this expression yields  $uvkuv = 0$ . Now  $suvs \in K$  for any  $s \in S$ . So  $uv(suvs)uv = 0$ . Therefore, given  $a \in R, a = s + k$  where  $s \in S$  and  $k \in K$ , then  $(uv)a(uv)a(uv) = 0$ . We conclude that  $uvR$  is nil of bounded index. This guarantees  $uv = 0$  for all  $u, v \in U$ . Now,  $-uku = u[u, k] = 0$ . Repeating the previous arguments for  $s \in S$  and  $k \in K$ , we conclude that  $u = 0$ .

2.  *$R$ -strong Lie ideals.* In this section  $U$  will denote an  $R$ -strong Lie ideal. If  $a, b \in R$  and  $u, v \in U$ , one can easily show that the following are in  $U$ :  $aub + bua, abu + uba$ , and  $uau$ . We associate with  $U$  the set  $B_U = \{b \in R: a \circ b \in U \text{ for all } a \in R\}$ . This set is a Lie ideal of  $R$  and  $u^2 \in B_U$  for all  $u \in U$ . The latter can be seen by observing that if we set  $b = u$  above, we obtain  $au^2 + u^2a \in U$ . Thus, via Lemma 1.1,  $U \neq (0)$  implies  $B_U \neq (0)$ .

LEMMA 2.1.

- (i)  $B_U$  is an  $R$ -strong Lie ideal

(ii)  $u^2xu^2 \in B_U \cap U$  for all  $u \in U, x \in R$ .

*Proof.*

(i) We know that  $B_U$  is a Lie ideal of  $R$ . For arbitrary  $x, y \in R$  and  $b \in B_U$ ,  $[x \circ b, y]$  and  $[x, b] \circ y$  are in  $U$ . Thus, by adding and subtracting these terms, we have that  $xb y - y b x$  and  $b x y - y x b$  are in  $U$ . Now,

$$\begin{aligned} x(yby) + (yby)x &= \{(xy)by - yb(xy)\} \\ &\quad + \{yb(yx) - (yx)by\} + \{y(bx + xb)y\}. \end{aligned}$$

Since each term on the right is in  $U$ ,  $x(yby) + (yby)x \in U$  and  $B_U$  is  $R$ -strong.

(ii) As  $u^2 \in B_U$ ,  $u^2xu^2 \in B_U$ . Moreover,  $u^2xu^2 = u(uxu)u \in U$ . Therefore,  $u^2xu^2 \in B_U \cap U$ .

**THEOREM 2.2.**  $C = B_U \cap U$  is a nonzero two-sided ideal.

*Proof.* Note that  $C$  is an  $R$ -strong Lie ideal. Also  $C \neq (0)$  since if this were so, for each  $u \in U$ ,  $u^2R$  would be a nil right ideal of bounded index. Let  $b \in C$  and  $x, y \in R$ ;  $xb + bx \in U$ . Also

$$\begin{aligned} (xb + bx)y + y(xb + bx) &= \{x(by - yb) - (by - yb)x\} \\ &\quad + \{(yx)b + b(yx)\} \\ &\quad + \{b(xy) + (yx)b\}. \end{aligned}$$

As each term on the right is in  $U$ ,  $(x \circ b) \circ y \in U$ . Thus,  $x \circ b \in C$ . Now  $2xb = x \circ b + [x, b] \in C$ . Since  $2R = R$ ,  $Rb \subseteq C$ . Similarly,  $bR \subseteq C$ . Thus  $C$  is a nonzero two-sided ideal of  $R$ .

We note that  $C$  is the same as the set  $L_U = \{u \in U: ua \in U \text{ for all } a \in R\}$  which was used by Zuev [10] in his study of the Lie structure of  $R$ .

**COROLLARY 2.3.** If  $R$  is simple and  $U \neq (0)$ ,  $U = R$ .

This corollary allows us to study the  $R$ -strong structure of the ring as it relates to minimal idempotents of  $R$ . If  $e$  is a minimal idempotent,  $eUe$  is an  $eRe$ -strong Lie ideal. Since  $eRe$  is a division ring either  $eUe = (0)$  or  $eUe = eRe$ . We use this fact to prove the next theorem.

**THEOREM 2.4.** Let  $H$  be the homogeneous component of the socle which contains  $e$ . Then either  $H \subseteq U$  or  $H \subseteq \mathcal{L}(U) \cap \mathcal{R}(U)$ .

*Proof.* Recall that  $H$  is a simple ring. The theorem then follows by considering  $H \cap U$ .

**COROLLARY 2.5.** *If  $R$  is completely reducible,  $U$  is the direct sum of the homogeneous components of the socle which it contains.*

This result is similar to that of Kaplansky [7].

Assume that  $R$  has the additional properties that  $3R = R$  and  $R$  is 3-torsion free. Let  $W$  be any Lie ideal of  $R$  such that  $u^3 \in W$  for all  $u \in W$ . Let  $u, v \in W$ . We have  $\alpha = 2(v^2u + vuv + uv^2) = (u+v)^3 + (u-v)^3 - 2u^3 \in W$ ,  $\beta = [v, [v, u]] \in W$  and  $\gamma = [v^2, u] \in W$ . From these we have:  $3(v^2u + uv^2) = \alpha + \beta \in W$ ,  $6vuv = \alpha - 2\beta \in W$ ,  $6v^2u = \alpha + 3\gamma \in W$ , and  $6uv^2 = \alpha - 3\gamma \in W$ . We now have enough to show a result similar to Theorem 2.2.

**THEOREM 2.6.** *Let  $W$  be a Lie ideal of  $R$  such that  $u^3 \in W$  for all  $u \in W$ . Then either  $W$  contains a nonzero two-sided ideal or  $u^2 \in Z$  for all  $u \in W$ .*

*Proof.* Let  $a, b \in R$  and  $u \in W$ . Since  $2a[a, u] = [a, [a, u]] + [a^2, u] \in W$  and  $2R = R$ ,  $a[a, u] \in W$ . Linearization of this expression yields  $a[b, u] + b[a, u] \in W$ . Upon multiplication by 6 and replacement of  $b$  by  $v^2$ , we obtain  $6\{a[v^2, u] + v^2[a, u]\} \in W$ . As  $6v^2[a, u] \in W$ ,  $6a[v^2, u] \in W$  and this implies  $a[v^2, u] \in W$ . It immediately follows that  $R[v^2, u]R \subseteq W$  of  $R[v^2, u]R \neq (0)$ , we are finished.

Assume  $R[v^2, u]R = (0)$  for all  $u, v \in W$ , then  $[v^2, u]R$  is a nilpotent ideal, hence  $[v^2, u] = 0$  for all  $u, v \in W$ . As  $[v^2, a] = [v, va + av] \in W$ ,  $[v^2, [v^2, a]] = 0$ . Thus, by remarks in §1,  $v^2 \in Z$ .

The obvious corollary holds in the case where  $R$  is simple.

**3.  $K$ -strong Lie ideals.** Let  $R$  have an involution,  $*$ , and let  $U$  be a  $K$ -strong Lie ideal. For  $u, v \in U$  and  $k, l \in K$ , the following are in  $U$ :  $kul + luk$ ,  $klu + ulk$ , and  $uku$ . We associate with  $U$  the set  $B(U) = \{b \in R: ba - a^*b^* \in U \text{ for all } a \in R\}$ . This is the analog for Lie ideals of the set which Baxter [3] uses in his study of the Jordan structure of  $S$ . When there is no confusion, we write  $B(U) = B$ .

**LEMMA 3.1.**

- (i)  $B$  is a right ideal
- (ii)  $KB \subseteq B$
- (iii)  $u^2 \in B$  for all  $u \in U$

*Proof.* The proofs of (i) and (ii) are straightforward. We prove (iii). As  $u \in U$ ,  $u^2a - a^*(u^2)^* = u^2a - a^*u^2$ . Then

$$u^2a - a^*u^2 = \{[u, ua + a^*u]\} + \{u(a - a^*)u\}.$$

The first  $\{ \}$  is in  $U$  since  $ua + a^*u \in K$ . The second  $\{ \}$  is in  $U$  since  $(a - a^*) \in K$  and  $U$  is  $K$ -strong.

Now from Lemma 1.2, we know that if  $U \neq (0)$ ,  $B \neq (0)$ .

For  $u \in U$ ,  $k \in K$ ,  $a \in R$  and  $b, c \in B$ , direct computation leads to the following facts:  $ac^*b \in B$ ,  $c^*b \in B$ ,  $bkb^* \in B \cap U$ , and  $uku \in B \cap U$ .

**THEOREM 3.2.** *Let  $R$  be a simple ring with characteristic not 2. If  $Z = (0)$  or the dimension of  $R$  over  $Z$  is greater than 4, then  $U = K$ .*

The proof of this is essentially the same as the proof of Theorem 7 [3; p. 7]. As a corollary, we include a slight extension of a theorem of Baxter [1; p. 74].

**COROLLARY 3.3.** *Let  $R$  be as in the theorem.  $S \circ K$ , the additive subgroup of  $R$  generated by the set  $\{s \circ k: s \in S \text{ and } k \in K\}$  is a  $K$ -strong Lie ideal and hence  $S \circ K = K$ .*

The following results on  $\mathcal{L}(B)$  and  $\mathcal{L}(U)$  will be particularly useful in the next section.

**THEOREM 3.4.**  *$\mathcal{L}(B)$  is a self-adjoint two-sided ideal.*

*Proof.* The proof is similar to the proof of Theorem 2 [4; p. 563].

Knowing that  $\mathcal{L}(B)$  is a two-sided ideal, we can easily show that  $\mathcal{L}(B) \cap B = (0)$  and  $\mathcal{L}(B) \cap U = (0)$ .

**THEOREM 3.5.**  *$\mathcal{L}(U \cap B) = \mathcal{L}(U)$ .*

*Proof.* It suffices to show  $\mathcal{L}(U \cap B) \subseteq \mathcal{L}(U)$ . Let  $b \in U \cap B$ ,  $k \in K$ , and  $x \in \mathcal{L}(U \cap B)$ . As  $bk - kb \in U \cap B$ ,  $xkb = -x(bk - kb) = 0$ . Thus,  $\mathcal{L}(U \cap B)K \subseteq \mathcal{L}(U \cap B)$ .

Let  $u \in U$ , then  $u^3 \in U \cap B$  so  $xu^3 = 0$ . Since  $u^2k + ku^2 \in U \cap B$ ,  $xu^2ku = x(u^2k + ku^2)u = 0$ . Let  $a \in R$ ;  $ua^* + au \in K$ , therefore  $0 = xu^2(ua^* + au)u = xu^2au^2$ . If we replace  $a$  by  $ax$ , we have  $(xu^2a)^2 = 0$ . That is,  $xu^2R$  is a nil ideal of bounded index and so  $xu^2 = 0$  for any

$u \in U$ . Upon linearization we obtain

$$(3.5.1) \quad xuv = -xvu \quad \text{for } u, v \in U.$$

Since  $xuvu = -xvu^2 = 0$  and  $vk v \in U$ , we have

$$(3.5.2) \quad xu(vkv)u = 0.$$

Let  $w \in U$  and  $s \in S$ ;  $xuv(ws + sw)vu = 0$ . Replacement of  $x$  by  $xw$ , expansion of the expression, and repeated use of (3.5.1) yields,  $0 = -xwvuswvu$ . By repeated use of (3.5.1) and finally (3.5.2), we have  $xwvukwvu = 0$ . Given  $a \in R$ , since  $a = s + k$  for some  $s \in S$  and  $k \in K$ , we can write  $xwvuawvu = 0$ . Replace  $a$  by  $ax$  to obtain

$$xwvu(ax)wvu = 0.$$

Then  $xwvuR$  is a nilpotent ideal so  $xwvu = 0$ . As  $uk - ku \in U$ .

$$(3.5.3) \quad 0 = xwv(uk - ku) = -xwvku.$$

Let  $s \in S$ ;  $xwv(ws + sw)v = 0$ . Moreover, since  $xwvwsv = 0$ , we have  $xwvsuv = 0$ . From (3.5.3),  $xwvkwv = 0$ . As before, this implies

$$(3.5.4) \quad xwv = 0.$$

Immediately,  $0 = xw(vk - kv) = -xwkv$ . In particular  $xwkw = 0$ . Since  $sws \in K$ ,  $xw(sws)w = 0$ . Also,  $0 = xw(swk - kws)w = xwswk w$ . Again, letting  $a = s + k$  for  $a \in R$ , we have  $xwawaw = 0$ . Via the same techniques,  $xw = 0$  or  $x \in \mathcal{L}(U)$ . Hence,  $\mathcal{L}(U \cap B) \subseteq \mathcal{L}(U)$ .

**4. Topological annihilator rings.** In this section  $R$  will denote a semiprime topological annihilator ring with continuous involution such that  $2R = R$  and if  $\{2x_\alpha\}$  is a net convergent to  $0 \in R$ , then  $\{x_\alpha\}$  is also a net convergent to 0.  $U$  will be a closed  $K$ -strong Lie ideal.

The definition of an annihilator ring says that  $\mathcal{L}(R) = \mathcal{R}(R) = (0)$  and if  $A(L)$  is a closed right (left) ideal not equal to  $R$ , then  $\mathcal{L}(A) \neq (0)$   $\mathcal{R}(L) \neq (0)$ . So if  $B = B(U)$ ,  $H = \mathcal{L}(B) \oplus B$  is dense in  $R$ . It is easy to show that if  $U$  is closed,  $B$  is closed. If  $X \subseteq R$ ,  $Cl(X)$  will denote the topological closure of  $X$ .

The following results have proofs which are similar to those given by Baxter in [3; p. 4].

**THEOREM 4.1.**

- (i)  $B$  is a two-sided ideal
- (ii)  $\{\mathcal{L}(B)\}^* = \mathcal{L}(B^*)$

- (iii)  $B = B^*$
- (iv)  $U \subseteq B$ .

For any  $x, y \in R$ , we adopt the following notation:  $(x, y)_L = xy - y^*x^*$  and  $(x, y)_J = xy + y^*x^*$ . Using the results of the last theorem, we prove

**THEOREM 4.2.**  $U = C \cap K$  where  $C$  is a closed two-sided ideal.

*Proof.* Let  $V$  be the additive subgroup of  $S$  generated by the set  $\{(u, a)_J : u \in U \text{ and } a \in R\}$ . If we show  $(U + V)$  to be a right ideal, since it is self-adjoint, it must be a two-sided ideal.

Since  $U \subseteq B$ ,  $(u, a)_L = ua + a^*u \in U$  for all  $a \in R$ . Let  $c \in R$ , then

$$auc + c^*ua^* = ((a, u)_L, c)_L + (u, (-a^*c))_L \in V$$

and

$$auc - c^*ua^* = ((a, u)_L, c)_J + (u, (-a^*c))_J \in V.$$

Since  $2R = R$ , for any  $2d \in R$ ,  $u(2d) = (u, d)_L + (u, d)_J \in U + V$ . Thus,  $UR \subseteq U + V$ . Also,

$$\begin{aligned} (u, a)_J(2d) &= (u, ad)_L + \{a^*u(-d) + (-d)^*ua\} + (u, ad)_J \\ &\quad + \{d^*ua - a^*ud\} \in U + V \end{aligned}$$

and  $VR \subseteq U + V$ . Thus  $(U + V)R \subseteq U + V$ , or the desired conclusion that  $(U + V)$  is a two-sided ideal.

Let  $C = Cl(U + V)$ .  $U \subseteq C \cap K$ . Let  $x \in C \cap K$ . There exists a net  $\{u_\alpha + v_\alpha\}$  such that  $u_\alpha + v_\alpha \rightarrow x$  where  $u_\alpha \in U$  and  $v_\alpha \in V$ . As  $x \in K$ ,  $(u_\alpha + v_\alpha)^* = -u_\alpha + v_\alpha \rightarrow x^* = -x$ . Thus  $u_\alpha - v_\alpha \rightarrow x$ . By subtracting these expressions we obtain  $2u_\alpha \rightarrow 2x$ . Therefore  $u_\alpha \rightarrow x$ . Since  $u_\alpha \in U$  and  $U$  is closed,  $x \in U$ . Hence,  $C \cap K = U$ .

**5. HK-strong Lie ideals.** In this section  $U$  is an HK-strong Lie ideal.  $R$  will have those properties as described in §1. We further assume that  $3R = R$  and  $R$  is 3-torsion free. HK-strong Lie ideals were defined by Herstein [5]. Baxter [2; p. 393] showed that if  $R$  is simple with either  $Z = (0)$  or the dimension of  $R$  over  $Z$  greater than 16 with  $U \not\subseteq Z$ , then  $U = K$ . This can be refined by using entirely different techniques.

As before, we associate with  $U$  the set  $B(U)$ .  $B$  is a right ideal and  $KB \subseteq B$ . However, we are no longer guaranteed that  $u^2 \in B$  for all  $u \in U$ . Hence the possibility that  $B = (0)$  does arise.

**LEMMA 5.1.** Let  $u, v, w \in U$  and  $k \in K$ .



- (i)  $6vuv \in U$
- (ii)  $6(uvw + wvu) \in U$
- (iii)  $uv(wk - kw) + (wk - kw)vu \in U$
- (iv)  $u^2v - vu^2 \in B$ .

*Proof.* (i) and (ii) follow in a manner similar to the remarks preceding Theorem 2.6. (iii) holds because  $2R = R$  and  $3R = R$ . Finally (iv) can be verified in the same manner as [6; p. 33].

If  $B = (0)$ ,  $u^2v - vu^2 = 0$  for all  $u, v \in U$ . Let  $s \in S$ . Since  $[u^2, s] = [u, us + su] \in U$ ,  $[u^2, [u^2, s]] = 0$ . Also, if  $k \in K$ ,  $[u^2, [u, k]] = 0$ , therefore  $[u^2, [u^2, k]] = [u^2, u \circ [u, k]] = 0$ . We know that this implies

$$[u^2, [u^2, a]] = 0$$

for all  $a \in R$ . Thus, from the first section,  $u^2 \in Z$ .

We now refine Baxter's theorem.

**THEOREM 5.2.** *Let  $R$  be simple and of characteristic not 2 or 3. If  $Z = (0)$  or the dimension of  $R$  over  $Z$  is greater than 4, then either  $U = K$  or  $U^2 \in Z$  for all  $u \in U$ .*

*Proof.* If  $B \neq (0)$ , by the remarks preceding Lemmas 1.1 and 5.1 we have the alternative result.

We relate the notations of  $K$ - and  $HK$ -strong Lie ideals by calling attention to the fact that if  $U$  is  $HK$ -strong,  $B \cap U$  is  $K$ -strong. Clearly  $B \cap U$  is a Lie ideal. If  $k \in K$  and  $u \in B \cap U$ , then  $[k, [k, u]] = k^2u + uk^2 - 2kuk$ . Now,  $k^2u + uk^2 \in B \cap U$  by the definition of  $B$ . Therefore,  $kuk \in B \cap U$  since  $2R = R$ .

Herstein [6; p. 28] has shown that  $K^2$  is a Lie ideal of  $R$ . It is not difficult to show that if  $U$  is an  $HK$ -strong Lie ideal such that  $B \cap U = (0)$ , then any  $x \in B \cap S$  commutes with every element in  $K^2$ . We need this fact to prove

**THEOREM 5.3.** *Let  $R$  be a topological annihilator ring with properties as described in the previous section. Assume also that  $3R = R$  and if  $\{3x_\alpha\}$  is a net convergent to  $0 \in R$ ,  $\{x_\alpha\}$  is a net converging to  $0$ . If  $U$  is a closed  $HK$ -strong Lie ideal, then either  $u^2 \in Z$  for all  $u \in U$ ,  $U$  contains the intersection of  $K$  with a closed two-sided ideal, or  $u^2v - vu^2 \in \mathcal{L}(K)$  for all  $u, v \in U$ .*

*Proof.* If  $B = (0)$ ,  $u^2 \in Z$ . Assume  $B \neq (0)$  and  $B \cap U \neq (0)$ .

Since  $B \cap U$  is  $K$ -strong, Theorem 4.2 guarantees the existence of  $C$ , a closed two-sided ideal, such that  $C \cap K = B \cap U \subseteq U$ .

Let  $B \cap U = (0)$ . As  $K^2$  is a Lie ideal of  $R$ ,  $t = u^2v - vu^2 \in K^2 \cap (B \cap S)$ . Also, by the remarks preceding the theorem,  $[t, [t, a]] = 0$  for all  $a \in R$ . Therefore,  $t \in Z$ . Let  $k \in K$ ;  $tk + kt = tk - k^*t^* \in B \cap U = (0)$ . Therefore,  $tk = 0$  or  $t = u^2v - vu^2 \in \mathcal{L}(K)$ .

**7. Application.** We now parallel some of the results obtained by Small [9] and Riedlinger [8] concerning an additive mapping whose multiplicative property is defined relative to an involution. Let  $R$  be a simple ring with involution,  $*$ , and characteristic not 2 such that  $Z = (0)$  or the dimension of  $R$  over  $Z$  is greater than 4. Notice that under these conditions  $R$  cannot be commutative. Let  $\phi$  be a nonzero additive mapping from  $R$  into an associative ring  $A$ . Assume  $R' = \overline{\phi(R)}$ , the subring of  $A$  generated by  $\{\phi(r) : r \in R\}$ , is a noncommutative prime ring such that  $2R' = R'$  and  $R'$  is 2-torsion free. Let  $\phi$  enjoy the further property that  $\phi(xy - y^*x^*) = \phi(x)\phi(y) - \phi(y^*)\phi(x^*)$  for all  $x, y \in R$ . We would like to show that  $\phi$  is an associative isomorphism. We will have occasion to use the following theorem by Baxter [1; p. 73] which was slightly modified by Herstein [6; p. 29]: If  $R$  is such that  $2R = R$  and  $\bar{K} = R$ , then  $S = K \circ K$ , the additive subgroup of  $R$  generated by the set  $\{k \circ l : k, l \in K\}$ .

The next lemma is the key to much of what follows.

**LEMMA 6.1.**  $\text{Ker } \phi \cap K = (0)$ .

*Proof.* We show  $\text{Ker } \phi \cap K$  to be a  $K$ -strong Lie ideal. Let  $l \in \text{Ker } \phi \cap K$  and  $k \in K$ . Since  $\phi([k, l]) = [\phi(k), \phi(l)] = 0$ ,  $\text{Ker } \phi \cap K$  is a Lie ideal of  $K$ . Thus  $[k, [k, l]] \in \text{Ker } \phi \cap K$  or  $\phi([k, [k, l]]) = (0)$ . We may expand this and obtain

$$\phi([k, [k, l]]) = \phi(k^2l - 2klk + lk^2) = \phi(k^2l + lk^2) - 2\phi(klk) = 0.$$

Now,  $\phi(k^2l + lk^2) = \phi(k^2)\phi(l) + \phi(l)\phi(k^2) = 0$ . Therefore  $\phi(klk) = 0$  or  $\text{Ker } \phi \cap K$  is a  $K$ -strong Lie ideal.

By Theorem 3.2 either  $\text{Ker } \phi \cap K = (0)$  or  $\text{Ker } \phi \cap K = K$ . Assume the latter. For  $s, t \in S$  and  $k, l \in K$ ,  $[\phi(k), \phi(l)] = 0$  and  $[\phi(k), \phi(s)] = 0$ . As  $[s, t] \in K$ ,  $0 = \phi([s, t]) = [\phi(s), \phi(t)]$ . Because any  $x \in R$  can be written as  $x = s + k$ , we have  $[\phi(x), \phi(y)] = 0$  for all  $x, y \in R$ . Therefore,  $R'$  is commutative, a contradiction. Thus  $\text{Ker } \phi \cap K = (0)$ .

Let  $x, y \in R$ , then

$$\begin{aligned} \phi((xy - y^*x^*)x^* - x(xy - y^*x^*)) &= \{\phi(x)\phi(y) - \phi(y^*)\phi(x^*)\}\phi(x^*) \\ &\quad - \phi(x)\{\phi(y^*)\phi(x^*) - \phi(x)\phi(y)\}. \end{aligned}$$

If  $y = s$ , we can write,

$$\phi((xy - y^*x^*)x^* - x(y^*x^* - xy)) = \phi(x^2s - sx^{*2}) = \phi(x^2)\phi(s) - \phi(s)\phi(x^{*2})$$

and

$$\begin{aligned} &\{\phi(x)\phi(y) - \phi(y^*)\phi(x^*)\}\phi(x^*) - \phi(x)\{\phi(y^*)\phi(x^*) - \phi(x)\phi(y)\} \\ &= (\phi(x))^2\phi(s) - \phi(s)(\phi(x^*))^2. \end{aligned}$$

This can be rewritten as

$$(6.1.1) \quad \{\phi(x^2) - (\phi(x))^2\}\phi(s) = \phi(s)\{\phi(x^{*2}) - (\phi(x^*))^2\}$$

for all  $x \in R$  and  $s \in S$ .

**LEMMA 6.2.** *For any  $s \in S$  and*

$$k \in K, \{\phi(s^2) - (\phi(s))^2\} \quad \text{and} \quad \{\phi(k^2) - (\phi(k))^2\}$$

*are in  $Z'$ , the center of  $R'$ .*

*Proof.* Set  $u$  equal to either  $\{\phi(s^2) - (\phi(s))^2\}$  or  $\{\phi(k^2) - (\phi(k))^2\}$ . From (6.1.1),  $\phi(s)u = u\phi(s)$ . Consider  $2\phi(t_1t_2 \dots t_n)$  where  $t_1 \in S$ . We write

$$\begin{aligned} 2\phi(t_1t_2 \dots t_n) &= \phi(t_1t_2 \dots t_n + t_n \dots t_2t_1) \\ &\quad + \phi(t_1t_2 \dots t_n - t_n \dots t_2t_1) \\ &= \phi(t_1t_2 \dots t_n + t_n \dots t_2t_1) \\ &\quad + \{\phi(t_1)\phi(t_2 \dots t_n) - \phi(t_n \dots t_2)\phi(t_1)\}. \end{aligned}$$

By induction,  $u$  commutes with  $\phi(t_2 \dots t_n)$  and  $\phi(t_n \dots t_2)$ . Since  $t_1t_2 \dots t_n + t_n \dots t_2t_1 \in S$ ,  $u$  commutes with  $\phi(t_1t_2 \dots t_n + t_n \dots t_2t_1)$ . Thus,  $[u, \phi(t_1t_2 \dots t_n)] = 0$ . That is,  $u$  commutes with  $\phi(\bar{S})$ . But under our hypothesis,  $\bar{S} = R$ . Hence,  $u$  commutes with  $\phi(R)$  and, indeed, with  $\phi(R) = R'$ . Thus  $u \in Z'$ .

**COROLLARY 6.3.**

$$(6.3.1) \quad \{\phi(x^2) - (\phi(x))^2\} \in Z' \quad \text{for all } x \in R.$$

*Proof.* If  $x = s + k$ , since  $\phi(sk + ks) - \{\phi(s)\phi(k) + \phi(k)\phi(s)\} = 0$ ,  $\{\phi(x^2) - (\phi(x))^2\} = \{\phi(s^2) - (\phi(s))^2\} + \{\phi(k^2) - (\phi(k))^2\} \in Z'$ .

Let  $x, y \in R$ . If we linearize (6.3.1), we obtain

$$\phi(xy + yx) - \{\phi(x)\phi(y) + \phi(y)\phi(x)\} \in Z'.$$

In particular, for  $s, t \in S$ ,  $\phi(st + ts) - \{\phi(s)\phi(t) + \phi(t)\phi(s)\} \in Z'$ . Also,  $\phi(st - ts) - \{\phi(s)\phi(t) - \phi(t)\phi(s)\} = 0$ . Addition of these terms leads us to  $\phi(st) - \phi(s)\phi(t) \in Z'$ . Similarly, we can show that  $\phi(kl) - \phi(k)\phi(l) \in Z'$  for  $k, l \in K$ .

For notational convenience, let  $\phi(xy) - \phi(x)\phi(y) = x^y$  for any  $x, y \in R$ . Thus the above says that  $s^t, k^l \in Z'$ . The definition of  $\phi$  tells us that  $s^k = -k^s$ . Also, we have  $k^l = l^k$ . Since these terms are in  $Z'$ ,  $\phi(s)k^l - l^k\phi(s) = 0$ . Upon expansion and rearrangement of terms, we obtain

$$(6.4.1) \quad \{\phi(skl - lks)\} - \{\phi(s)\phi(k)\phi(l) - \phi(l)\phi(k)\phi(s)\} = 0.$$

We can write  $\phi(sk - ks) = \phi(sk)\phi(l) - \phi(l)\phi(ks)$ . Replacement of this in (6.4.1) and rearrangement of terms yields

$$s^k\phi(l) - \phi(l)k^s = 0$$

or

$$(6.4.2) \quad s^k\phi(l) = \phi(l)k^s = -\phi(l)s^k.$$

Let  $m \in K$ , by the above, there exists  $z' \in Z'$  such that  $\phi(ml + lm) = \phi(m)\phi(l) + \phi(l)\phi(m) + z'$ . As a result of (6.4.2) and this relation we have that  $s^k\phi(ml + lm) = \phi(ml + lm)s^k$  or  $s^k$  commutes with  $\phi(K \circ K)$ . The preliminary remarks guarantee for us that  $K \circ K = S$ . So, using an argument exactly like that in Lemma 6.2, we can show

$$(6.4.3) \quad s^k \in Z'.$$

LEMMA 6.4.  $x^y \in Z'$  for all  $x, y \in R$ .

The proof follows directly from (6.4.3) and the remarks immediately after Corollary 6.3.

COROLLARY 6.5. If  $Z' = (0)$ ,  $\phi$  is an associative isomorphism.

*Proof.* As  $Z' = (0)$ ,  $\phi(xy) - \phi(x)\phi(y) = 0$ . Thus  $\phi$  is an associative homomorphism and  $\overline{\phi(R)} = \phi(R)$ . Moreover, since  $R$  is simple,  $\phi$  is an associative isomorphism.

Let  $z' (\neq 0) \in Z'$ . Since  $\mathcal{A}(z') = \{r' \in R': r'z' = 0\}$  is a two-sided ideal in a prime ring,  $\mathcal{A}(z') = (0)$ .

LEMMA 6.6.  $k^s = s^k = 0$  for all  $s \in S, k \in K$ .

*Proof.* From (6.4.2)  $s^k\phi(l) = -\phi(l)s^k$  for  $l \in K$ . By Lemma 6.4,  $s^k \in$

$Z'$ , therefore  $s^k\phi(l) = 0$ . Suppose  $s^k \neq 0$ . By the remarks preceding the lemma, we have  $\phi(l) = 0$ , that is,  $K \subseteq \text{Ker } \phi$ . Therefore,  $\text{Ker } \phi \cap K = K$ , a contradiction. We conclude that  $0 = s^k = -k^s$ .

**COROLLARY 6.7.**  $\phi(xy - yx) = \phi(x)\phi(y) - \phi(y)\phi(x)$  for  $x, y \in R$ .

We have shown that when  $Z' = (0)$ , then  $\phi$  is an associative isomorphism. Therefore, the following theorem is proved except when  $Z' \neq (0)$ .

**THEOREM 6.8.**  $\phi$  is an associative isomorphism.

*Proof.* From Lemma 6.6,  $(s^2)^k - \phi(s)s^k = 0$ . Expansion and rearrangement of terms leads to  $(s^2)^k - \phi(s)s^k = (s)^{sk} - s^s\phi(k) = 0$ . From Lemma 6.4,  $(s)^{sk} \in Z'$  so  $s^s\phi(k) \in Z'$ . Let  $l \in K$ . There exist  $z'_1$  and  $z'_2$  in  $Z'$  such that  $s^s\phi(k) = z'_1$  and  $s^s\phi(l) = z'_2$ . As  $s^s \in Z'$ , we can write  $0 = [z'_1, z'_2] = (s^s)^2[\phi(k), \phi(l)]$  for all  $s \in S$  and  $k, l \in K$ .

If  $(s^s)^2 \neq 0$  for some  $s \in S$ , then by the remarks preceding Lemma 6.6,  $[\phi(k), \phi(l)] = 0$  for all  $k, l \in K$ . As  $\phi([k, l]) = [\phi(k), \phi(l)] = 0$ , we conclude that  $[K, K] \subseteq \text{Ker } \phi \cap K = (0)$ . This implies  $\bar{K} = R$  is commutative, a contradiction. So  $(s^s)^2 = 0$  for all  $s \in S$ . Since the center of a prime ring is an integral domain,  $s^s = 0$ . Upon linearization of this expression, we obtain  $\phi(st + ts) - \{\phi(s)\phi(t) + \phi(t)\phi(s)\} = 0$  for all  $t, s \in S$ .

For  $k, l \in K, k^l \in Z'$ . Thus there exists  $z'_3 \in Z'$  such that  $k^l - z'_3 = 0$ . Since  $k^2 \in S, (k^2)^l = 0$  and so  $(k^2)^l - \phi(k)\{k^l - z'_3\} = 0$ . Expansion and rearrangement of terms leads to  $k^{kl} - k^k\phi(l) + z'_3\phi(k) = 0$ . In view of Lemma 6.4, there is an element  $z'_4 \in Z'$  such that  $k^{kl} = z'_4$ . Therefore we can always find  $z'_3, z'_4 \in Z'$  such that  $k^k\phi(l) = z'_3\phi(k) + z'_4$  where  $k$  is an arbitrary fixed element in  $K$  and  $l$  is allowed to vary in  $K$ . Note that  $k^k \in Z'$ . For  $m \in K$ , there are  $z'_5$  and  $z'_6$  in  $Z'$  such that  $k^k\phi(m) = z'_5\phi(k) + z'_6$ . Thus  $0 = (k^k)^2[\phi(l), \phi(m)] = [k^k\phi(l), k^k\phi(m)]$ . Via the same argument as above, we can show  $k^k = 0$ . Linearization of this expression leads to  $\phi(kl + lk) - \{\phi(k)\phi(l) + \phi(l)\phi(k)\} = 0$ . Now, using this fact and the fact that both  $\phi(sk) - \phi(s)\phi(k) = 0$  and  $\phi(st + ts) - \{\phi(s)\phi(t) + \phi(t)\phi(s)\} = 0$ , we have that

$$\phi(xy + yx) = \phi(x)\phi(y) + \phi(y)\phi(x)$$

for all  $x, y \in R$ . From Corollary 6.7, we know

$$\phi(xy - yx) = \phi(x)\phi(y) - \phi(y)\phi(x).$$

Addition of these two expressions yields  $\phi(xy) = \phi(x)\phi(y)$  or that  $\phi$  is an associative homomorphism. Therefore,  $\overline{\phi(R)} = \phi(R)$  and  $\text{Ker } \phi = (0)$

since  $R$  is simple. Hence  $\phi$  is an associative isomorphism.

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