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**HOMOTOPY AND ALGEBRAIC  $K$ -THEORY**

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## HOMOTOPY AND ALGEBRAIC $K$ -THEORY

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**A notion of homotopy is described on a category of rings. This is used to induce a notion of equivalence on the categories of projective modules and to construct a  $K$ -theory exact sequence. The topological  $K$ -theory exact sequence is then obtained from the algebraic  $K_0, K_1$  sequence.**

1. **Homotopy.** In this section we describe the homotopy notion and the notion of equivalence it induces on the categories of projective modules.

A cartesian square of rings is a commutative diagram of rings

$$(*) \quad \begin{array}{ccc} A & \xrightarrow{h_2} & A_2 \\ \downarrow h_1 & & \downarrow f_2 \\ A_1 & \xrightarrow{f_1} & A_0 \end{array}$$

where  $A = \{(a_1, a_2) \in A_1 \times A_2 \mid f_1(a_1) = f_2(a_2)\}$  and  $h_1, h_2$  are restrictions of the coordinate projections. We will further assume that  $f_1$  is surjective. If  $\mathcal{K}$  is a category of rings and  $F: \mathcal{K} \rightarrow \mathcal{K}$  is a functor we call  $F$  cartesian square preserving if the functor applied to a cartesian square gives a cartesian square.

**DEFINITION 1.1.** Let  $\mathcal{K}$  be a category of rings. A homotopy theory  $\mathcal{H}$  for  $\mathcal{K}$  is an ordered quadruple  $(I, \iota_0, \iota_1, \pi)$  where  $I$  is a cartesian square preserving functor and  $\iota_0, \iota_1: I \rightarrow 1_{\mathcal{K}}, \pi: 1_{\mathcal{K}} \rightarrow I$  are natural transformations such that  $\iota_0(A)\pi(A) = 1_A = \iota_1(A)\pi(A)$  for  $A \in \mathcal{K}$ .

For a homotopy theory  $\mathcal{H} = (I, \iota_0, \iota_1, \pi)$  on  $\mathcal{K}$  and  $f, g: B \rightarrow A$  morphisms in  $\mathcal{K}$  define  $f \sim g$  if there exists a morphism  $h: B \rightarrow IA$  in  $\mathcal{K}$  such that  $f = \iota_0 h, g = \iota_1 h$ ;  $h$  is called a homotopy of  $f$  to  $g$ . Let  $\cong$  be the smallest equivalence relation on  $\mathcal{K}(B, A)$  containing  $\sim$ ; if  $f \cong g$  we say  $f$  is homotopic to  $g$ .

Note that a homotopy theory gives rise to a homotopy category, i.e. a category whose objects are those of  $\mathcal{K}$  and whose morphisms are homotopy classes of morphisms.

Let  $\mathcal{L}$  be an arbitrary category and  $G: \mathcal{K} \rightarrow \mathcal{L}$  be a covariant functor. A homotopy theory  $\mathcal{H} = (I, \iota_0, \iota_1, \pi)$  on  $\mathcal{K}$  is called compatible with  $G$  if  $G(\pi(A))$  is an isomorphism for each  $A \in \mathcal{K}$ . Note that if  $\mathcal{H}$  is compatible with  $G$  then  $G(\iota_0) = G(\iota_1) = G(\pi)^{-1}$  consequently if  $f \cong g$ , then  $G(f) = G(g)$ .

For any ring  $A$  let  $\underline{P}(A)$  denote the category of finitely generated projective right  $A$ -modules. Given a ring homomorphism  $f: A \rightarrow B$  denote by  $\hat{f}: \underline{P}(A) \rightarrow \underline{P}(B)$  the covariant additive functor defined by  $\hat{f}(M) = M \otimes_A B$  on objects  $M$  of  $\underline{P}(A)$  and  $\hat{f}(\alpha) = \alpha \otimes 1$  on morphisms of  $\underline{P}(A)$ . It is well known that if  $M$  is  $A$ -projective then  $M \otimes_A B$  is  $B$ -projective.

If  $A_0, A_1, \dots, A_n, B_0, \dots, B_e$  are rings, if  $f_i: A_{i-1} \rightarrow A_i$  and  $g_i: B_{i-1} \rightarrow B_i$  are ring homomorphisms, if  $A_0 = B_0 = A$ ,  $A_n = B_e = B$  and if  $f_n f_{n-1} \dots f_1 = g_e g_{e-1} \dots g_1$ , we denote by  $\langle f_1, \dots, f_n / g_1, \dots, g_e \rangle$  the canonical natural equivalence  $\hat{f}_n \dots \hat{f}_1 \rightarrow \hat{g}_e \dots \hat{g}_1$ ; it is straightforward to verify that

$$\left\langle \frac{g_1, \dots, g_e}{h_1, \dots, h_k} \left\langle \frac{f_1, \dots, f_n}{g_1, \dots, g_e} \right\rangle \right\rangle = \left\langle \frac{f_1, \dots, f_n}{h_1, \dots, h_k} \right\rangle,$$

that

$$\left\langle \frac{f_1, \dots, f_n, h}{g_1, \dots, g_e, h} \right\rangle = \hat{h} \left\langle \frac{f_1, \dots, f_n}{g_1, \dots, g_e} \right\rangle$$

whenever  $h: B \rightarrow C$  and that

$$\left\langle \frac{h, f_1, \dots, f_n}{h, g_1, \dots, g_e} \right\rangle_M = \left\langle \frac{f_1, \dots, f_n}{g_1, \dots, g_e} \right\rangle_{\hat{h}M}$$

for  $h: C \rightarrow A$  where the subscript  $M$  means that the natural equivalence is evaluated at the module  $M \in \underline{P}(C)$ .

**DEFINITION 1.2.** A homotopy theory  $\mathcal{H} = (I, \iota_0, \iota_1, \pi)$  in  $\mathcal{K}$  induces an  $\mathcal{H}$ -equivalence  $\cong_{\mathcal{H}}$  in each category  $\underline{P}(A)$ ,  $A \in \mathcal{K}$  as follows: given  $M, N \in \underline{P}(A)$  write  $M \sim_{\mathcal{H}} N$  if there is a  $Q \in \underline{P}(IA)$  such that  $M \approx \iota_0 Q$ ,  $N \approx \iota_1 Q$  and let  $\cong$  be the smallest equivalence relation on the set of isomorphism classes of objects in  $\underline{P}(A)$  containing  $\sim_{\mathcal{H}}$ . If  $M \cong_{\mathcal{H}} N$  we say that the modules are equivalent mod- $\mathcal{H}$ .

The homotopy theory  $\mathcal{H}$  in  $\mathcal{K}$  also induces an equivalence relation  $\cong_{\mathcal{H}}$  in the set  $\text{Iso}(M, N)$  of isomorphisms  $M \rightarrow N$  of  $A$ -projectives by letting  $\phi_0 \sim_{\mathcal{H}} \phi_1$  denote that there is an isomorphism  $\theta: \hat{\pi}M \rightarrow \hat{\pi}N$  such that

$$\phi_j = \left\langle \frac{\pi, \iota_j}{1} \right\rangle_N (\hat{\epsilon}_j \theta) \left\langle \frac{1}{\pi, \iota_j} \right\rangle_M$$

for  $j = 0, 1$  and letting  $\cong_{\mathcal{H}}$  be the smallest equivalence relation containing  $\sim_{\mathcal{H}}$  on the set  $\text{Iso}(M, N)$ . If  $\phi_0 \cong_{\mathcal{H}} \phi_1$  we say the isomorphisms are equivalent mod  $\mathcal{H}$ .

Note that if  $M' \xrightarrow{\omega} M \xrightarrow[\phi_1]{\phi_0} N \xrightarrow{\mu} N'$  are isomorphisms and if  $\phi_0 \cong_{\mathcal{H}} \phi_1$  mod  $\mathcal{H}$  then also  $\mu \phi_0 \omega \cong_{\mathcal{H}} \mu \phi_1 \omega$  mod  $\mathcal{H}$ . It is not difficult to show

that if  $f: A \rightarrow B$  is a morphism in  $\mathcal{H}$  then  $M \cong N \bmod \mathcal{H}$  in  $\underline{P}(A)$  implies  $\hat{f}M \cong \hat{f}N \bmod \mathcal{H}$  in  $\underline{P}(B)$  and  $\phi_0 \cong \phi_1 \bmod \mathcal{H}$  implies  $\hat{f}\phi_0 \cong \hat{f}\phi_1 \bmod \mathcal{H}$  in  $\underline{P}(B)$ . It is also easily seen that if  $f \cong g: A \rightarrow B$  and  $M \in \underline{P}(A)$  then  $\hat{f}M \cong \hat{g}M \bmod \mathcal{H}$  in  $\underline{P}(B)$ .

Given a ring with unit  $R$ , an  $R$ -algebra will mean a unitary  $R$ -algebra. If  $A$  is an  $R$ -algebra, then  $\alpha: R \rightarrow A$  will denote the unique  $R$ -algebra homomorphism such that  $\alpha(1) = 1$ . In addition to the above results we then have:

**LEMMA 1.3.** *Let  $\mathcal{H}$  be a category of  $R$ -algebras and  $R$ -algebra homomorphisms and let  $\mathcal{H} = (I, \iota_0, \iota_1\pi)$  be a homotopy theory on  $\mathcal{H}$ . Let  $f \cong g: A \rightarrow B$  in  $\mathcal{H}$ , let  $M, N \in \underline{P}(R)$  and let  $\phi \in \text{Iso}(\hat{a}M, \hat{a}N)$ . Then*

$$\left\langle \frac{a, f}{b} \right\rangle_N (\hat{f}(\phi)) \left\langle \frac{b}{a, f} \right\rangle_M \cong \left\langle \frac{a, g}{b} \right\rangle_N (\hat{g}(\phi)) \left\langle \frac{b}{a, g} \right\rangle_M \bmod \mathcal{H}$$

in  $\text{Iso}(\hat{b}M, \hat{b}N)$ .

*Proof.* We may assume  $f \sim g$ . Letting  $h: A \rightarrow IB$  be a homotopy from  $f$  to  $g$ , define  $\omega: \hat{\pi}\hat{b}M \rightarrow \hat{\pi}\hat{b}N$  by

$$\omega = \left\langle \frac{a, h}{b, \pi} \right\rangle_N (h(\phi)) \left\langle \frac{b, \pi}{a, h} \right\rangle_M.$$

It is easily verified that  $\omega$  shows that the two isomorphisms are equivalent mod  $\mathcal{H}$ .

Equivalence mod  $\mathcal{H}$  works well with cartesian squares. If (\*) is a cartesian square we can construct the fiber product category  $\underline{P}(A) \times_{\underline{P}(A_0)} \underline{P}(A_2)$ , [2, p. 358] in which objects are triples  $(M, \phi, N)$  where  $M \in \underline{P}(A_1)$ ,  $N \in \underline{P}(A_2)$  and  $\phi: \hat{f}_1M \rightarrow \hat{f}_2N$  is an isomorphism in  $\underline{P}(A_0)$ ; and the morphisms  $(M, \phi, N) \rightarrow (M', \phi', N')$  are pairs  $(\alpha, \beta)$  where  $\alpha: M \rightarrow M' \in \underline{P}(A_1)$ ,  $\beta: N \rightarrow N' \in \underline{P}(A_2)$  and  $\phi'(\hat{f}_1\alpha) = (\hat{f}_2\beta)\phi$ . By Milnor's theorem [2, p. 479] the functor  $F: \underline{P}(A) \rightarrow \underline{P}(A_1) \times_{\underline{P}(A_0)} \underline{P}(A_2)$  given by  $F(M) = (\hat{h}_1M, \langle h_1f_1/h_2f_2 \rangle_M, \hat{h}_2M)$  and  $F(\alpha) = (\hat{h}_1\alpha, \hat{h}_2\alpha)$  is an equivalence of categories. Making this identification, the following is a projective module analogue of a theorem on vector bundles. [1, Lemma 1.4.6].

**PROPOSITION 1.4.** *Let  $\mathcal{H} = (I, \iota_0, \iota_1\pi)$  be a homotopy theory on  $\mathcal{H}$  and (\*) a cartesian square in  $\mathcal{H}$ . Let  $M \in \underline{P}(A)$ ,  $N \in \underline{P}(A)$  and  $\phi_0 \cong \phi_1: \hat{f}_1M \rightarrow \hat{f}_2N \bmod \mathcal{H}$ . Then  $(M, \phi_0, N) \cong (M, \phi_1, N) \bmod \mathcal{H}$  in  $\underline{P}(A)$ .*

*Proof.* Assume  $\phi_0 \sim_{\mathcal{H}} \phi_1$  and let  $\omega: \hat{\pi}\hat{f}_1M \rightarrow \hat{\pi}\hat{f}_2N$  show  $\phi_0 \sim_{\mathcal{H}} \phi_1$ .

Define  $\omega': \widehat{I}f_1\widehat{\pi}M \rightarrow \widehat{I}f_2\widehat{\pi}N$  by

$$\omega' = \left\langle \frac{f_2, \pi}{\pi, If_2} \right\rangle_N (\omega) \left\langle \frac{\pi, If_1}{f_1, \pi} \right\rangle_M.$$

Since

$$\begin{array}{ccc} IA & \xrightarrow{Ih_2} & IA_2 \\ Ih_1 \downarrow & & \downarrow If_2 \\ IA_1 & \xrightarrow{If_1} & IA_0 \end{array}$$

is by hypothesis also a cartesian square we have  $(\widehat{\pi}M, \omega', \widehat{\pi}N) \in \underline{P}(IA)$  and direct calculation shows that  $\hat{\iota}_j(\widehat{\pi}M, \omega', \widehat{\pi}N) \approx (M, \phi_j, N)$  for  $j = 0, 1$ .

**2. A connecting homomorphism.** In this section we obtain an explicit formula for a connecting homomorphism useful in constructing algebraic  $K$ -theory exact sequences.

Let  $K_0, K_1$  be the algebraic  $K_i$  functors [2, p. 445]. If  $\mathcal{K}$  is a category of  $R$ -algebras and  $R$ -algebra homomorphisms define  $\tilde{K}_i(A) = K_i(A)/\text{Im } K_i(a)$ . If  $f: A \rightarrow B$  is a morphism in  $\mathcal{K}$  then  $f \circ a = b$  and we let  $\tilde{K}_i(f): \tilde{K}_i(A) \rightarrow \tilde{K}_i(B)$  be the induced map. It is simple to verify that  $\tilde{K}_0, \tilde{K}_1$  are functors on  $\mathcal{K}$  and moreover that  $\tilde{K}_i(A)$  is isomorphic to the usual reduced group whenever  $A$  is an augmented  $R$ -algebra.

**THEOREM 2.1.** *Let  $\mathcal{H}$  be a homotopy theory on a category  $\mathcal{K}$  of  $R$ -algebras compatible with  $\tilde{K}_0$ . Let*

$$\begin{array}{ccc} B & \longrightarrow & R \\ \downarrow & & \downarrow a_0 \\ B_1 & \xrightarrow{g} & A_0 \end{array} \qquad \begin{array}{ccc} A & \longrightarrow & R \\ \downarrow f_1 & & \downarrow a_0 \\ A_1 & \xrightarrow{f} & A_0 \end{array}$$

be cartesian squares in  $\mathcal{K}$ ,  $h: B_1 \rightarrow A_1$  such that  $fh \cong g$  and  $\hat{K}_0(B_1) = 0$ . Then there is a unique group homomorphism  $\delta: \hat{K}_0(B) \rightarrow \hat{K}_0(A)$  such that

$$\delta[(\hat{b}_1M, \phi, N)] = \left[ \left( \hat{a}_1M, \phi \left\langle \frac{a_1, f}{b_1, g} \right\rangle_M, N \right) \right]$$

for  $M, N \in \underline{P}(R)$ .

*Proof.* For  $Q = (\hat{b}_1M, \phi, N) \in \underline{P}(B)$  define

$$DQ = \left( \hat{a}_1M, \phi \left\langle \frac{a_1, f}{b_1, g} \right\rangle_M, N \right) \in \underline{P}(A).$$

Once one has established

- (i) If  $Q_1 \approx Q_2$  then  $DQ_1 \cong DQ_2 \pmod{\mathcal{H}}$ .
- (ii)  $D(Q_1 \oplus Q_2) \approx DQ_1 \oplus DQ_2$
- (iii)  $D(\hat{b}M) = \hat{a}M$
- (iv) every element of  $\hat{K}_0(B)$  is of the form  $[Q]$

it follows easily that  $\delta$  is well defined, unique and a group homomorphism. Because proofs of assertions (ii)—(iv) are themselves straightforward and do not depend on homotopy, we will prove only (i). Suppose then that  $(\alpha, \beta): (\hat{b}_1M, \phi, N) \rightarrow (\hat{b}M', \phi', N')$  is an isomorphism. Then we have  $\phi' = \hat{a}_0(\beta)(\phi)g(\alpha^{-1})$ . By Lemma 1.3

$$\left\langle \frac{b_1, g}{a_0} \right\rangle_M \hat{g}(\alpha^{-1}) \left\langle \frac{a_0}{b_1, g} \right\rangle_{M'} \cong \left\langle \frac{b_1, fh}{b_1, g} \right\rangle_M \hat{f} \hat{h}(\alpha^{-1}) \left\langle \frac{a_0}{b_1, h} \right\rangle_{M'} \pmod{\mathcal{H}}.$$

A direct computation gives

$$\hat{g}(\alpha^{-1}) \left\langle \frac{a_1, f}{b_1, g} \right\rangle_M \cong \left\langle \frac{a_1, f}{b_1, g} \right\rangle_{M'} \hat{f} \left( \left\langle \frac{b_1, h}{a_1} \right\rangle_M \hat{h}(\alpha^{-1}) \left\langle \frac{a_1}{b_1, h} \right\rangle_{M'} \right) \pmod{\mathcal{H}},$$

so

$$\phi' \left\langle \frac{a_1, f}{b_1, g} \right\rangle_{M'} \cong \hat{a}_0(\beta)(\phi) \left\langle \frac{a_1, f}{b_1, g} \right\rangle_M \hat{f}(\gamma)$$

where

$$\gamma = \left\langle \frac{b_1, h}{a_1} \right\rangle_M (\hat{h}(\alpha^{-1})) \left\langle \frac{a_1}{b_1, h} \right\rangle_{M'}.$$

Therefore (using Proposition 1.4)

$$\left( \hat{a}_1M', \phi' \left\langle \frac{a_1, f}{b_1, g} \right\rangle_{M'}, N' \right) \cong \left( \hat{a}_1M', \hat{a}_0(\beta)(\phi) \left\langle \frac{a_1, f}{b_1, g} \right\rangle_M \hat{f}(\gamma), N' \right) \pmod{\mathcal{H}}.$$

Since  $(\gamma, \beta^{-1})$  is an isomorphism from this latter module to

$$\left( \hat{a}_1M, \phi \left\langle \frac{a_1, f}{b_1, g} \right\rangle_M, N \right)$$

the assertion (i) is proved.

**3. An exact sequence.** In this section we use the homomorphism of 2.1 and the standard  $K_0, K_1$  exact sequence to construct a 5-term exact sequence.

An  $R$ -algebra  $A$  is called proper if the morphism  $K_0(a): K_0(R) \rightarrow K_0(A)$  is injective. We note that either of the following two conditions is sufficient to insure that an  $R$ -algebra  $A$  is proper:

- (i)  $A$  has as an augmentation, i.e. there is a  $e: A \rightarrow R$  such that  $ea = 1_R$

(ii)  $R$  is a principal ideal domain and  $A$  is a commutative  $R$  algebra.

LEMMA 3.1. *Let (\*) be a cartesian square of proper  $R$ -algebras. Then there is an exact sequence*

$$\begin{aligned} \tilde{K}_1(A) &\longrightarrow \tilde{K}_1(A_1) \oplus \tilde{K}_1(A_2) \longrightarrow \tilde{K}_1(A_0) \xrightarrow{\tilde{\delta}} \tilde{K}_0(A) \\ &\longrightarrow \tilde{K}_0(A_1) \oplus \tilde{K}_0(A_2) \longrightarrow \tilde{K}_0(A_0) \end{aligned}$$

which is functorial with respect to transformations of cartesian squares.

*Proof.* Since

$$\begin{array}{ccc} R & \longrightarrow & R \\ \downarrow & & \downarrow \\ R & \longrightarrow & R \end{array}$$

is a cartesian square, by [2, p. 481] we have the commutative diagram

$$\begin{array}{ccccccccccc} & & & & 0 & & 0 & & 0 & & \\ & & & & \downarrow & & \downarrow & & \downarrow & & \\ K_1(R) & \longrightarrow & K_1(R) \oplus K_1(R) & \longrightarrow & K_1(R) & \longrightarrow & K_0(R) & \longrightarrow & K_0(R) \oplus K_0(R) & \longrightarrow & R_0(R) \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ K_1(A) & \longrightarrow & K_1(A_1) \oplus K_1(A_2) & \longrightarrow & K_1(A_0) & \xrightarrow{\partial} & K_0(A) & \longrightarrow & K_0(A_1) \oplus K_0(A_2) & \longrightarrow & K_0(A_0) \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ \tilde{K}_1(A) & \longrightarrow & \tilde{K}_1(A_1) \oplus \tilde{K}_1(A_2) & \longrightarrow & \tilde{K}_1(A_0) & \xrightarrow{\tilde{\delta}} & \tilde{K}_0(A) & \longrightarrow & \tilde{K}_0(A_1) \oplus \tilde{K}_0(A_2) & \longrightarrow & \tilde{K}_0(A_0) \\ \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\ 0 & & 0 & & 0 & & 0 & & 0 & & 0 \end{array}$$

where the columns and the first two rows are exact. An easy chase shows that the third row is exact.

We wish to give an explicit formula for the morphism  $\tilde{\delta}$ . For this we have:

LEMMA 3.2. *Let  $A, A_0$  and  $A_1$  be proper  $R$ -algebras and*

$$\begin{array}{ccc} A & \xrightarrow{e} & R \\ \downarrow f' & & \downarrow a_0 \\ A_1 & \xrightarrow{f} & A_0 \end{array}$$

be a cartesian square. Then the connecting homomorphism of 3.1 is

given by

$$\tilde{\delta}[\hat{a}_0M, \alpha] = \left[ \left( \hat{a}M, \alpha \left\langle \frac{a_1, f}{a_0} \right\rangle_M, M \right) \right] \text{ for } M \in \underline{P}(R) .$$

*Proof.* Since the full subcategory of  $P(A_0)$  with objects  $\hat{a}_0M, M \in \underline{P}(R)$  is cofinal,  $K_1(A_0)$  and hence  $\tilde{K}_1(A_0)$  is generated by elements of the form  $[\hat{a}_0M, \alpha]$  [2, p. 355]. But

$$\begin{aligned} \partial[\hat{a}_0M, \alpha] &= \partial \left[ \hat{f}\hat{f}'\hat{a}M, \left\langle \frac{a_0}{a, f, f'} \right\rangle_M \alpha \left\langle \frac{a, f', f}{a_0} \right\rangle_M \right] \\ &= \left[ \left( \hat{f}'\hat{a}M, \left\langle \frac{a_0}{a, \varepsilon, a_0} \right\rangle_M \alpha \left\langle \frac{a, f', f}{a_0} \right\rangle_M, \hat{\varepsilon}\hat{a}M \right) \right] - [\hat{a}M] \\ &= \left[ \left( \hat{a}, M, \alpha \left\langle \frac{a_1, f}{a_1} \right\rangle_M, M \right) \right] + 0 \end{aligned}$$

from [2, 4.3 p. 365] since  $[\hat{a}M] \in \text{Im } K_0(a)$ .

In order to apply 2.1 we need

LEMMA 3.3. *Under the hypotheses of Theorem 2.1 the diagram*

$$\begin{array}{ccccc} \tilde{K}_1(A_0) & \xrightarrow{\tilde{\delta}'} & \tilde{K}_0(B) & \longrightarrow & \tilde{K}_0(B_1) = 0 \\ \downarrow 1 & & \downarrow \delta & & \downarrow \\ \tilde{K}_1(A_0) & \xrightarrow{\tilde{\delta}} & \tilde{K}_0(A) & \xrightarrow{\tilde{K}_0(f')} & \tilde{K}_0(A_1) \end{array}$$

commutes.

*Proof.*

$$\begin{aligned} \delta\tilde{\delta}'[\hat{a}'M, \alpha] &= \delta \left[ \left( \hat{b}, M, \alpha \left\langle \frac{b_1, g}{a'} \right\rangle_M, M \right) \right] = \left[ \left( \hat{a}_1M, \alpha \left\langle \frac{b_1, g}{a_0} \right\rangle_M \left\langle \frac{a_1, f}{b_1, g} \right\rangle_M, M \right) \right] \\ &= \left[ \left( \hat{a}_1M, \alpha \left\langle \frac{a_1, f}{a'} \right\rangle_M, M \right) \right] = \tilde{\delta}[\hat{a}_0M, \alpha] . \end{aligned}$$

Also since  $\tilde{K}_0(B_1) = 0$  it can be seen that if

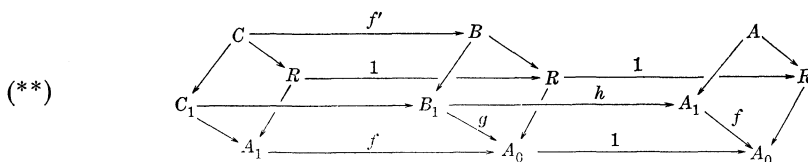
$$[N] \in \tilde{K}_0(B), [N] = [(\hat{b}_1M, \phi, N)], M, N \in P(R) .$$

Thus

$$\tilde{K}_0(f')\delta[(\hat{b}_1M, \phi, N)] = \tilde{K}_0(f') \left[ \left( \hat{a}_1M, \phi \left\langle \frac{a_1, f}{h_1, g} \right\rangle_M, N \right) \right] = [\hat{a}_1M] = 0 .$$

THEOREM 3.4. *Let  $\mathcal{H}$  be a category of proper  $R$ -algebras and  $\mathcal{H}$  be a homotopy theory on  $\mathcal{H}$  compatible with  $\tilde{K}_0$ . Let*



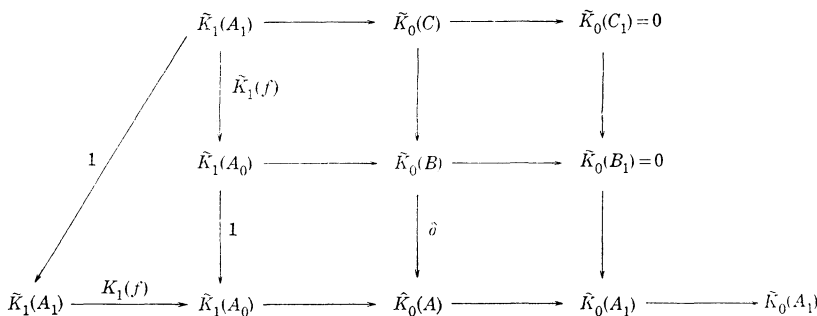


be a diagram in  $\mathcal{H}$  where  $fh \cong g$ , all other squares commute and the vertical squares are cartesian. If  $\tilde{K}_0(C_1) = \tilde{K}_0(B_1) = 0$  then

$$\tilde{K}_0(C) \xrightarrow{\tilde{K}_0(f')} \tilde{K}_0(B) \xrightarrow{\delta} \tilde{K}_0(A) \longrightarrow \tilde{K}_0(A_1) \longrightarrow \tilde{K}_0(A_0)$$

is exact

*Proof.* From 3.1 and 3.3 we get a commutative diagram



where the rows are exact. A diagram chase gives the result.

4. The topological  $K$ -theory exact sequence. In this section we use 3.4 to construct the topological  $K$ -Theory exact sequence.

Let  $R$  denote the real or complex numbers. For a compact Hausdorff space  $X$  let  $CX$  be the ring of continuous  $R$ -valued functions and for a continuous function  $f: X \rightarrow Y$  let  $f^*: CY \rightarrow CX$  be the induced ring homomorphism. Denote the one point space by  $*$  and take  $\mathcal{H}$  to be the category of rings  $CX$  and ring homomorphisms. We will consider  $\mathcal{H}$  to be a category of  $C^* = R$  algebras. Define  $J: \mathcal{H} \rightarrow \mathcal{H}$  by  $JCX = C(X \times I)$  where  $I$  denotes the unit interval and  $J(f) = (f \times 1)^*$ . Define  $\iota_0, \iota_1, \pi$  by  $i_0^*, i_1^*, \pi^*$  where  $i_j: X \rightarrow I$  is given by  $i_j(x) = (x, j)$  and  $\pi(x, t) = x, \pi: X \times I \rightarrow X$ . It follows easily that  $\mathcal{H} = (J, \iota_0, \iota_1, \pi)$  is a homotopy theory on  $\mathcal{H}$ . We recall that  $K_0^r(X) = K_0(CX)$  where  $K_0^r$  is topological  $K_0$  functor. If  $X$  is a pointed space the reduced group as defined above coincides with the usual reduced group. It follows from standard results on vector bundles [1, Lemma 1.4.3] and on the correspondence between vector bundles over  $X$  and projective modules over  $CX$  that  $\mathcal{H}$  is compatible with  $K_0^r$ . Alternatively it can be easily proved directly that if  $M, N \in \underline{P}(X)$  then  $M \cong$

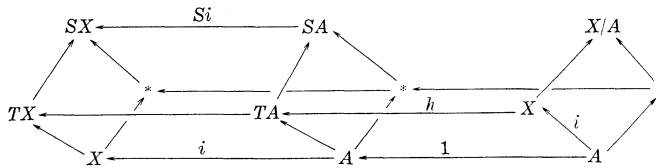
$N \bmod \mathcal{H}$  if and only if  $M \approx M$ .

We then have

**THEOREM 4.1.** *Let  $X$  be a compact Hausdorff space,  $A \subset X$  a closed subspace. Let  $SA, SX$  denote the suspensions of  $A, X$  respectively. Then there is an exact sequence*

$$\tilde{K}_0^T(SX) \longrightarrow \tilde{K}_0^T(SA) \longrightarrow \tilde{K}_0^T(X/A) \longrightarrow \tilde{K}_0^T(X) \longrightarrow \tilde{K}_0^T(A)$$

*Proof.* Consider the diagram



where  $TX$  denotes the cone on  $X$  and  $h$  is any continuous function. Applying the functor  $C$  we get a diagram of the form (\*) and it is not hard to show that the vertical squares are cartesian. Since  $TA$  is contractible  $hi \cong j$  so  $i^*h^* \cong j^*$ . Thus theorem (3.4) applies to give the desired exact sequence.

The long exact  $K$ -theory sequence follows in the usual manner by splicing sequences of this form together.

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