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**THE HASSE-WITT-MATRIX OF SPECIAL PROJECTIVE  
VARIETIES**

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## THE HASSE-WITT-MATRIX OF SPECIAL PROJECTIVE VARIETIES

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**The Hasse-Witt-matrix of a projective hypersurface defined over a perfect field  $k$  of characteristic  $p$  is studied using an explicit description of the Cartier-operator. We get the following applications. If  $L$  is a linear variety of dimension  $n + 1$  and  $X$  a generic hypersurface of degree  $d$ , which divides  $p - 1$ , then the Frobenius-operator  $\mathcal{F}$  on  $H^n(X \cdot L; \mathcal{O}_{L \cdot X})$  is invertible.**

As another application we prove the invertibility of the Hasse-Witt-matrix for the generic curve of genus two. We don't study the Frobenius  $\mathcal{F}$  directly, but the Cartier-operator [1]. It is well-known, that for curves Frobenius and Cartier-operator are dual to each other under the duality of the Riemann-Roch theorem. A similar fact is true for higher dimension via Serre duality. We have therefore to extend to the whole "De Rham" ring the description of the Cartier-operator given in [4] for 1-forms. We give this extension in §1. Diagonal hypersurfaces are studied in §2 and the invertibility of the Hasse-Witt-matrix is proved, if the degree divides  $p - 1$ . The same theorem for the generic hypersurface follows then from the semicontinuity of the matrix rank. The §3 is devoted to hyperelliptic curves and is intended as a preparation for a detailed study of curves of genus two.

1. The Cartier-operator of a projective hypersurface. We extend the explicit construction of the Cartier-operator given in [4] to the whole "De Rham" ring, but restrict ourself to projective hypersurfaces.

As an application we show: Let  $V$  be a projective hypersurface of dimension  $n - 1$ , defined by a diagonal equation  $F(X) = \sum_{i=0}^n a_i X_i^r$ ,  $a_i \in k$  a perfect field of char  $k = p > 0$ ,  $a_i \neq 0$ . Let  $X$  be a linear variety of dimension  $t + 1$ . If  $r$  divides  $p - 1$ , then

$$\mathcal{F}: H^t(X \cdot V, \mathcal{O}_{X \cdot V}) \rightarrow H^t(X \cdot V, \mathcal{O}_{X \cdot V})$$

is invertible,  $\mathcal{F}$  being the induced Frobenius endomorphism. We have to rely on a technical proposition, which is a collection of some lemmas in [4]. We give first the proposition.

PROPOSITION 1. *Let*

$$\psi: k[T] \rightarrow k[T] \quad (T = (T_1, \dots, T_n))$$

be  $k$   $p^{-1}$ -linear and

$$\psi(T^\mu) = \begin{cases} T^\nu & \text{if } \mu = p \cdot \nu \\ 0 & \text{else.} \end{cases}$$

Then the following holds:

- (1)  $\psi(T_{\mu_1} \cdots T_{\mu_r} h) = T_{\mu_1} \cdots T_{\mu_r} \bar{h}$ , for some  $\bar{h} \in k[T]$   
 (2) Let  $D_\mu = T_\mu (\partial/\partial T_\mu)$  and  $D_\mu g = 0$  for a given  $1 \leq \mu \leq n$ , then  $\psi(D_\mu h \cdot g) = 0$   
 (3) Let  $D_\mu g = 0$ , then  $\psi(h^{p^{-1}} D_\mu h \cdot g) = D_\mu h \psi(g)$ .

*Proof.*

- (1) By the  $p^{-1}$ -linearity of  $\psi$  we may assume  $h$  to be a monomial. The statement follows then directly from the definition of  $\psi$ .  
 (2)  $\psi$  is  $p^{-1}$ -linear, so we may assume  $h$  to be a monomial

$$h = T_1^{r_1} \cdots T_n^{r_n}, \quad 0 \leq r_i \leq p-1$$

(say  $\mu = n$ ), then  $D_n h = r_n \cdot h$ . If  $r_n = 0$  then (2) is trivially true. So  $r_n \neq 0$ . Again because of  $p^{-1}$ -linearity we may also assume  $g$  to be monomial.

But  $D_n g = 0$ , so

$$g = T_1^{v_1} \cdots T_{n-1}^{v_{n-1}} \quad 0 \leq v_i \leq p-1.$$

So the exponent of  $T_n$  in  $D_n h \cdot g$  is  $r_n$  and  $0 < r_n \leq p-1$ , therefore not divisible by  $p$ . The definition of  $\psi$  gives

$$\psi(D_n h \cdot g) = 0.$$

- (3) We may write

$$h = f_0 + f_1 \cdot T_\mu + \cdots + f_r \cdot T_\mu^r, \quad 0 \leq r \leq p-1$$

and

$$D_\mu f_i = 0.$$

We proceed by induction on  $T$ .  $r = 0$  clear. Let  $r \geq 1$ , then  $h = f + T_\mu \bar{h}$  with  $D_\mu f = 0$   $\deg_{T_\mu} \bar{h} < r$ . Now

$$T_\mu^{p-1} \bar{h}^{p-1} D_\mu (T_\mu \bar{h}) = (T_\mu \bar{h})^p \left( \frac{D_\mu T_\mu}{T_\mu} + \frac{D_\mu \bar{h}}{\bar{h}} \right).$$

By  $p^{-1}$ -linearity of  $\psi$  and induction assumption for  $\bar{h}$  we get

$$\begin{aligned} \psi(g \cdot T_\mu^{p-1} \bar{h}^{p-1} D_\mu (T_\mu \bar{h})) &= T_\mu \bar{h} \psi(g) + T_\mu \psi(g \cdot \bar{h}^{p-1} D \bar{h}) \\ &= \psi(g) (T_\mu \bar{h} + T_\mu D_\mu \bar{h}) \\ &= D_\mu (T_\mu \bar{h}) \cdot \psi(g). \end{aligned}$$

On the other hand

$$T_\mu^{p-1} \bar{h}^{p-1} = (h - f)^{p-1} = h^{p-1} + \frac{\partial P}{\partial h},$$

where  $P$  is a polynomial in  $f$  and  $h$ . We have

$$D_\mu(T_\mu \bar{h}) = D_\mu(h - f) = D_\mu h.$$

So

$$T_\mu^{p-1} \bar{h}^{p-1} D_\mu(T_\mu \bar{h}) = h^{p-1} D_\mu h + D_\mu P.$$

Multiply by  $g$  and apply  $\psi$ , then one gets

$$D_\mu h \cdot \psi(g) = D_\mu(T_\mu \bar{h}) \psi(g) = \psi(h^{p-1} D_\mu h \cdot g) + \psi(D_\mu P \cdot g).$$

But by (2)

$$\psi(D_\mu P \cdot g) = 0.$$

Let  $F(X_0 \cdots X_n)$  define a absolutely irreducible hypersurface  $V/k$  in  $\mathcal{S}_{n,k}$  char  $k = p > 0$ . We denote by  $f(X_1 \cdots X_n)$  an affinization of  $F$ . Let  $F_\mu = (\partial/\partial X_\mu)F$ , similar  $f_\mu$   $1 \leq \mu \leq n$ . We assume  $f_n$  not to be the zero function on  $V$ . Let  $K = K(V)$  be the function field of  $V$ . We assume that  $K = K^p(x_1 \cdots \check{x}_j \cdots x_n)$  for any index  $j$ . The  $x_i$  are the coordinate functions and  $\check{x}_j$  means omit  $x_j$ . As a consequence of these assumptions, we have that for a given index  $j$  any function  $z \in K$  can be represented modulo  $F$  by a rational function  $G(X_1 \cdots X_n)$ , which is  $X_j$ -constant, i.e. such that  $\partial G/\partial X_j = 0$ . Write

$$F_{i_1, \dots, i_r, n} = (X_{i_1} \cdots X_{i_r} \cdot X_n)^{-1} F.$$

DEFINITION 1. Let

$$\psi_{F_{i_1, \dots, i_r, n}} = F_{i_1, \dots, i_r, n} \circ \psi \circ F_{i_1, \dots, i_r, n}^{-1}.$$

Let  $\omega = \sum_{i_1 \cdots i_r} h_{i_1, \dots, i_r} \cdot dx_{i_1} \wedge \cdots \wedge dx_{i_r}$  be  $r$ -form on  $V$ . Put

$$\omega_{i_1, \dots, i_r} = \frac{dx_{i_1} \wedge \cdots \wedge dx_{i_r}}{f_n}.$$

Define

$$C(\omega) = \sum_{i_1, \dots, i_r} \psi_{F_{i_1, \dots, i_r, n}}(h_{i_1, \dots, i_r} - f_n) \omega_{i_1, \dots, i_r}.$$

The definition is justified by the following theorem.

- THEOREM 1.** (1)  $C$  is  $p^{-1}$ -linear  
 (2) If  $\omega = d\varphi$ , then  $C(\omega) = 0$

(3) If  $\omega = z_{i_1}^{p-1} \cdots z_{i_r}^{p-1} dz_{i_1} \wedge \cdots \wedge dz_{i_r}$  then  $C(\omega) = dz_{i_1} \wedge \cdots \wedge dz_{i_r}$ . In other words, if one restricts  $C$  to  $Z_{V/k}^r$ , the closed forms, then

$$C: Z_{V/k}^r \rightarrow \Omega_{V/k}^r$$

is the Cartier-operator of  $V$  [1].

*Proof of the theorem.*

- (1) The  $p^{-1}$ -linearity follows from the  $p^{-1}$ -linearity of  $\psi$ .
- (2) Let  $\varphi = \sum_{i_1, \dots, i_{r-1}} \varphi_{i_1, \dots, i_{r-1}} dx_{i_1} \wedge \cdots \wedge dx_{i_{r-1}}$  be a  $(r-1)$ -form, then

$$d\varphi = \sum_j \sum_{i_1, \dots, i_{r-1}} \frac{\partial}{\partial x_j} (\varphi_{i_1, \dots, i_{r-1}}) dx_j \wedge dx_{i_1} \wedge \cdots \wedge dx_{i_{r-1}}.$$

To simplify the notation we put for the moment

$$\varphi_{i_1, \dots, i_{r-1}} = \tilde{\varphi}$$

and

$$F_{j_1 i_1, \dots, i_{r-1}, n} = \tilde{F}.$$

To compute  $C(d\varphi)$  we have to compute

$$\varphi_{\tilde{F}} \left( \frac{\partial}{\partial x_j} \tilde{\varphi} \cdot f_n \right)$$

for every system  $(j, i, \dots, i_{r-1})$ .

Now remembering the definition of  $\psi^{\tilde{F}}$  we have to show

$$\psi(F^{p-1} D_n F X_{i_1} \cdots X_{i_{r-1}} D_j \varphi) = 0$$

in order to get  $C(d\varphi) = 0$ .

We have to use the above proposition. We apply first (3) and then (2) and get:

$$\psi(F^{p-1} D_n F X_{i_1} \cdots X_{i_{r-1}} D_j \varphi) = D_n F \psi(X_{i_1} \cdots X_{i_{r-1}} D_j \varphi) = 0.$$

Remark, that we assume  $j \neq (i_1, \dots, i_{r-1})$  otherwise

$$dx_j \wedge dx_{i_1} \wedge \cdots \wedge dx_{i_{r-1}} = 0.$$

That shows  $C(d\varphi) = 0$

- (3) Let  $\omega = z_{i_1}^{p-1} \cdots z_{i_r}^{p-1} dz_{i_1} \wedge \cdots \wedge dz_{i_r}$ .

We have

$$dz_{i_1} \wedge \cdots \wedge dz_{i_r} = \sum_{j_1 \cdots j_r} D_{j_1 z_{i_1}} \cdots D_{j_r z_{i_r}} \frac{dx_{j_1} \wedge \cdots \wedge dx_{j_r}}{x_{j_1} \cdots x_{j_r}},$$

$$D_j = x_j \frac{\partial}{\partial x_j}.$$

To Compute  $C(\omega)$ , we have to work out

$$U = \psi(F^{p-1} D_n F \cdot Z_{i_1}^{p-1} \cdot D_{j_1} Z_{i_1} \cdots Z_{i_r}^{p-1} D_{j_r} Z_{i_r}) \text{ modulo } F.$$

$$Z_j \text{ mod } F = z_j.$$

We apply several times (3) of the proposition and get

$$U \equiv D_n F D_{j_1} Z_{i_r} \cdots D_{j_r} Z_{i_r} \text{ mod } (F).$$

Therefore

$$C(\omega) = \sum_{j_r j_r} D_n f D_{j_1 z_{i_1}} \cdots D_{j_r z_{i_r}} \frac{dx_{j_1} \wedge \cdots \wedge dx_{j_r}}{x_n f_n x_{j_1} \cdots x_{j_r}},$$

$$= dz_{i_1} \wedge \cdots \wedge dz_{i_r}.$$

All forms of highest degree  $n - 1$  are closed. We use the fact, that  $H^0(V, \Omega^{n-1})$  has a basis of the following form

$$\omega_u = x_1^{u_1} \cdots x_n^{u_n} \omega_0.$$

where

$$\omega_0 = \frac{dx_1 \wedge \cdots \wedge dx_{n-1}}{x_1 \cdots x_n f_n}$$

$$\sum_{i=1}^n u_i \leq r; r = \text{deg } V \quad \text{and} \quad 1 \leq u_i.$$

Recall  $x_i = X_i/X_0$  are coordinate functions on  $V$  and of the affinization of  $F, f_n = \partial f / \partial x_n$ .

We get the important corollary to the theorem.

**COROLLARY 1.** *Let  $A_{u,v}$  be the matrix of the Cartier-operator on  $H(V, \Omega^{n-1})$  with respect to the above basis  $\omega_u$ . Then*

$$A_{u,v} = \text{coefficient of } X^v \text{ in } \psi(F^{p-1} \cdot X^u)$$

$$X^u = X_0^{u_0} \cdots X_n^{u_n}, \quad \sum_{i=0}^n u_i = \sum_{i=0}^n v_i = r$$

$$1 \leq u_i \quad \text{for } i = 1 \cdots n.$$

$$1 \leq v_i$$

*Proof.* By definition

$$C(\omega_u) = \psi_{F^1 \dots F^n}(x_1^{u_1-1} \dots x_n^{u_n-1}) \frac{dx_1 \wedge \dots \wedge dx_{n-1}}{f_n} \\ = \psi(f^{p-1} \cdot x^u) \omega_0 .$$

Now recall

$$\psi(f^{p-1} \cdot x^u) = \psi\left(\frac{F^{p-1} X_0^{u_0} \dots X_r^{u_r}}{X_0^{pr}}\right) \text{ mod } F \\ \sum_{i=0}^n u_i = r, \quad 1 \leq u_i, \quad i = 1 \dots n .$$

If  $A_{u,v}$  is the coefficient of  $X^v$  in  $\psi(F^{p-1} \cdot X^u)$ .

Then

$$C(\omega_u) = \sum_{\substack{1 \leq v_i \leq r \\ i=1 \dots n}} A_{u,v} x_1^{v_1} \dots x_n^{v_n} \omega_0 = \sum_v A_{u,v} \omega_v .$$

Notice

$$\sum_{i=0}^n u_i = \sum_{i=0}^n v_i = r, \quad 1 \leq u_i, 1 \leq v_i, \quad i = 1 \dots n .$$

REMARK. We have now an explicit description for the Cartier-operator on  $H^0(V, \Omega_{V/k}^{n-1})$ . We can use Serre duality  $H^0(V, \Omega_{V/k}^{n-1})^\vee \cong H^{n-1}(V, \mathcal{O}_V)$ . Under this duality  $\check{C}$  is the Frobenius  $\mathcal{F}$  on  $H^{n-1}(V, \mathcal{O}_V)$ . We have therefore also an explicit description for  $\mathcal{F}$ .

2. The Cartier-operator of a diagonal hypersurface. Let  $F(X) = \sum_{i=0}^n a_i X_i^r$  define a “generic” hypersurface. To compute the Cartier-operator, by the preceding discussion we have to analyse

$$\psi(F^{p-1} X^u) \quad \left( \sum_{i=0}^n u_i = r, \quad u_i > 0 \right) .$$

Let us adapt the following notation:

$$\rho^i = \rho_0^i \dots \rho_n^i, \quad a^\rho = \prod_{i=0}^n a_i^{\rho_i}, \quad X^{u+1} = \prod_{i=0}^n X_i^{u_i+1}, \\ |u| = \sum_{i=0}^n u_i, \quad u > 0 \Leftrightarrow u_i > 0 \quad (i = 0 \dots n) .$$

THEOREM 2. *Let*

$$\text{char } k = p > 0, \quad F(X) = \sum_{i=0}^n a_i X_i^r, \quad \prod_{i=0}^n a_i \neq 0 \in k$$

*$V/k$  is defined by  $F$ . Suppose  $r$  divides  $p - 1$ . Then the Cartier-operator*

$$C: H^\circ(V, \Omega_{V/k}^{n-1}) \rightarrow H^\circ(V, \Omega_{V/k}^{n-1})$$

is invertible.

*Proof.*

$$F^{p-1} = \sum_{|m|=p-1} \frac{(p-1)!}{m!} a^m X^{rm}.$$

Using  $p^{-1}$ -linearity of  $\psi$  we get

$$\psi(F^{p-1} X^u) = \sum_{|m|=p-1} \frac{-1}{m!} \bar{a}^m \psi(X^{rm+u}) = \sum_{|m|=p-1} \frac{-1}{m!} \bar{a}^m X^v.$$

We put  $\bar{a} = a^{1/p}$ , and  $rm + u = pv$ . Notice if  $u > 0$  and  $|u| = r$ , then also  $v > 0$  and  $|v| = r$ . If we write

$$\psi(F^{p-1} X^u) = \sum_{\substack{|v|=r \\ v>0}} A_{u,v} X^v,$$

then we have

$$A_{u,v}^p = \begin{cases} -\frac{1}{m!} a^m & \text{if } rm = (p-1)v + v - u \\ & |u| = |v| = r \quad u > 0 \quad v > 0 \\ 0 & \text{else.} \end{cases}$$

Let us now assume:

$$p - 1 = r \cdot s.$$

If  $r$  divides  $v - u$  put  $v - u = r \cdot E(u, v)$  then

$$A_{u,v}^p = \begin{cases} -\frac{1}{m!} a^m & \text{if } r|v - u \quad \text{and} \quad m = sv + E(u, v) \\ 0 & \text{else.} \end{cases}$$

We fix now a total ordering of  $u, v$ . Let us order the  $n$ -tuples  $(u_1 \cdots u_n)$  resp  $(v_1 \cdots v_n)$  lexicographically and put

$$u_0 = r - \sum_{i=1}^n u_i \quad \text{resp.} \quad v_0 = r - \sum_{i=1}^n v_i$$

$v < u$  means now, that either  $v_1 < u_1$  or  $v_i = u_i$  for  $i = 1 \cdots j - 1$  but  $v_j < u_j$ . If any case, if  $v < u$ , then  $v_j < u_j$  for some  $j$ . We claim if  $v < u$ , the  $A_{u,v} = 0$ .

*Case 1.*  $r$  does not divide  $u - v$ , then  $A_{u,v} = 0$ .

*Case 2.*  $r$  divides  $u - v$ . Now if  $v < u$  then for some  $j$   $u_j - v_j > 0$



and  $r$  divides  $u_i - v_j$ . But  $r \geq u_j$  and  $v_j \geq 1$ , so  $r - 1 \geq u_j - v_j$ , therefore  $r$  cannot divide  $u_j - v_j$ . This contradiction shows, if  $v < u$ , then  $A_{u,v} = 0$ .  $A_{u,v}$  is therefore a triangle matrix.

What is the diagonal?

$$A_{u,u}^p = -\frac{1}{m!} a^m$$

with  $m = s \cdot u$ . Therefore

$$(\det A_{u,v})^p = \prod_u \left( -\frac{1}{(su)!} \right) a^{s \sum u} \neq 0$$

**COROLLARY 2.** *The assumptions are the same as in the theorem. Then*

$$\mathcal{F}: H^{n-1}(V, \mathcal{O}_V) \rightarrow H^{n-1}(V, \mathcal{O}_V) \quad (\mathcal{F} \text{ is the Frobenius morphism})$$

*is invertible.*

*Proof.* Clear by Serre duality and the fact that  $\tilde{C} = \mathcal{F}$ .

*The Cartier-operator of  $W \cdot H$ .* The differential operator  $C$  as given in Definition 1 on  $\Omega^1$  is by  $p^{-1}$ -linearity completely determined on  $\Omega^1$  by its value on  $\omega = h \cdot dx$ , where  $x$  runs through a set of coordinate functions.

We have  $C(\omega) = x^{-1} \psi(xh) dx$ , that notation is only intrinsic, if  $d\omega = 0$ , because  $\psi$  depends on the coordinate system. If we choose a different coordinate system, then we get in general a different operator; but for  $\omega$  with  $d\omega = 0$ , we get the same, namely the Cartier-operator.

That fact can be exploited in the following way. Suppose

$$W = \{x_1 = x_2 \cdots = x_t = 0\} \cap H.$$

We write now  $C_H$  resp.  $C_W$  for the operators. The above definition shows  $\bigoplus_{i=1}^t K dx_i$  is stable under  $C_H$ . But by the property of  $\psi$ ,  $\psi(X_i H) = X_i \bar{H}$  for some  $\bar{H}$ , we have for

$$\begin{aligned} \omega &= x_i h dx_j \quad i \neq j \quad i, j \text{ arbitrary} \\ C_H(\omega) &= x_i \bar{h} dx_j. \end{aligned}$$

Let  $\mathfrak{X} = \{x_1 \cdots x_t\}$ , then  $\mathfrak{X} \Omega_{H/k}^1 \oplus \bigoplus_{i=1}^t \mathcal{O}_H dx_i$  is stable under  $C_H$ . By the exact sequence

$$0 \rightarrow \mathfrak{X} \Omega_{H/k}^1 + \bigoplus_{i=1}^t \mathcal{O}_H dx_i \rightarrow \Omega_{H/k}^1 \rightarrow \Omega_{W/k}^1 \rightarrow 0$$

$C_H$  induces an operator  $C_W$  on  $\Omega_{W/k}^1$ .  $C_W$  has again the properties

- (1)  $C_W$  is  $p^{-1}$ -linear
- (2)  $C_W(dh) = 0$
- (3)  $C_W(h^{p-1}dh) = dh$ .

If we restrict  $C_W$  to the closed forms on  $W$ , then  $C_W$  is the Cartier-operator.

Let now  $L$  be an arbitrary linear variety. After a suitable coordinate change we may assume  $L$  is the intersection of some coordinate hyperplanes.  $W = L \cdot H$  has then the above shape.

Let us assume that the hypersurface  $H$  has a diagonal defining equation of degree  $d$  dividing  $p - 1$ ,  $p = \text{char } k$ . Then the above Theorem 1 shows that  $C_W$  is semisimple on  $Z_{W/k}^1$ . In the same way as before we can extend  $C_W$  to any  $\Omega_{W/k}^r$ , in particular to  $\Omega_{W/k}^m$ , where  $m = \dim W$ . As result of this discussion we get:

**THEOREM 3.** *If  $L$  is a linear variety of dimension  $m + 1$ , then there exists a hypersurface  $H$  of degree  $d$ , which divides  $p - 1$ , such that*

$$\mathcal{F}: H^m(L \cdot H, \mathcal{O}_{L \cdot H}) \rightarrow H^m(L \cdot H, \mathcal{O}_{L \cdot H})$$

*is invertible.*

**3. The Cartier-operator of plane curves.** For curves the explicit description of the Cartier-operator is of special interest if one wants to study, how the Cartier-operator varies with the moduli of the curve. Unfortunately one is restricted to plane curves, because the above explicit form of the Cartier-operator is available only for hypersurfaces.

If one specializes the above results to plane curves, one has to assume, that the curve is singularity free.

The space  $W = \{\text{homogenous forms of degree } d - 3\}$  is for non-singular curves  $V$  of degree  $d$  isomorphic to  $H^0(V, \Omega_{V/k}^1)$  under

$$\begin{aligned} W &\simeq H^0(V, \Omega_{V/k}^1) \\ P(X) &\rightarrow P(x)\omega_0 \end{aligned}$$

where the coordinate functions are given by

$$x = X_1/X_0, \quad y = X_2/X_0 \quad \text{mod } F,$$

$F$  being the defining equation for  $V$  and  $f(x, y)$  the affinization,  $f_y$  denotes  $\partial f / \partial y$ . With that notation  $\omega_0 = dx/f_y$ .

But it is important to know, that one can give a similar description also for singular curves. Then  $W$  is the space of  $P(X)$ , which define the ‘‘adjoint’’ curves to  $V$ . These are those curves, which cut out at least the ‘‘double point divisor’’.

To give an explicit basis depends on nature of the singularities.

*Hyperelliptic curves:* Let  $p = \text{char } k > 2$ .

For a detailed study of the Hasse-Witt-matrix of hyperelliptic curves one needs the explicit Cartier-operator with respect to various "normal forms".

Let the hyperelliptic  $V$  be given by  $y^2 = f(x)$ ,  $\deg f(x) = 2g + 1$  and such that  $f(x)$  has no multiple roots.  $V$  has a singularity at "infinity". One could apply the above method and work out the adjoint curves in order to get a basis for  $H^0(V, \mathcal{O}_{V/k}^1)$ . But we have already a basis, namely if  $\omega = dx/y$  then  $\{x^i \omega \mid i = 0 \cdots g - 1\}$  form a basis.

We specialize the results of §2 and get from Corollary 1 as matrix for the Cartier-operator with respect to the above basis (let us put  $p - 1/2 = m$ ):

$$A_{u,v} = \text{coefficient of } x^{v+1} \text{ in } \psi(f(x)^m x^{u+1}) \quad 0 \leq \frac{u}{v} \leq g - 1 .$$

*Legendre form:* We assume now the defining equation in Legendre form.

$$f(x) = x(x - 1) \prod_{i=1}^r (x - \lambda_i) \quad \begin{array}{l} r = 2g - 1 \\ \lambda_i \neq \lambda_j \neq 0, 1 . \end{array}$$

*Notation:* Let

$$\begin{array}{l} |\rho| = \rho_1 + \cdots + \rho_r \\ \lambda^\rho = \lambda_1^{\rho_1} \cdots \lambda_r^{\rho_r} . \end{array}$$

The permutation group of  $r$  elements  $S_r$  operates on the monomials

$$\lambda^\rho \rightarrow \lambda^{\tau(\rho)}, \tau \in S_r .$$

Let  $G_\rho$  be the fix group of  $\lambda^{m-\rho}$  and  $G^{(\rho)} = S_r/G_\rho$ . Let

$$H^{(\rho)}(\lambda) = \sum_{\tau \in G^{(\rho)}} \lambda^{m-\tau(\rho)} .$$

Apparently

$$H^{(\rho)} = H^{(\bar{\rho})} , \text{ iff } \bar{\rho} = \bar{\pi}(\rho) .$$

We may therefore assume

$$0 \leq \rho_1 \leq \rho_2 \leq \rho_r \leq m .$$

For given

$$0 \leq \frac{u}{v} \leq g - 1 \quad \text{let} \quad \rho_0 = |\rho| - vp + u .$$

Put

$$a_{u,v}^{(\rho)} = (-1)^{u+v+m} \binom{m}{\rho_0} \cdots \binom{m}{\rho_r}$$

and

$$A_{u,v}^p = \sum_{\rho} a_{u,v}^{(\rho)} H^{(\rho)}(\lambda) \quad 0 \leq \frac{u}{v} \leq g-1, r = 2g-1$$

the summation condition being:

$$0 \leq \rho_1 \leq \cdots \leq \rho_r \leq m, \quad \rho_0 = |\rho| - vp + u, \quad 0 \leq \rho_0 \leq m$$

$$vp - u + m \geq |\rho| \geq vp - u.$$

We state as a proposition

PROPOSITION 2. *Let be  $A_{u,v}$ ,  $0 \leq \frac{u}{v} \leq g-1$ , as defined above, and  $\omega = dx/y$ , then*

$$C(x^u \omega) = \sum_{0 \leq v \leq g-1} A_{u,v} x^v \omega$$

is the Cartier-operator.

*Applications:* We want to investigate, when the Cartier-operator is invertible. It seems that an answer to that question, without any restrictions is not available. It is therefore worthwhile to have various methods even in special cases.<sup>1</sup>

We restrict ourself to genus 2, although the method could be applied to higher genus, but the calculations would be very easy. Let  $p > 2$  and  $g = 2$

$$\text{i.e. } y^2 = x(x-1)(x-\lambda_1)(x-\lambda_2)(x-\lambda_3), \quad \lambda_i \neq \lambda_j \neq 0, 1 \quad i \neq j.$$

The notation is the same as above.

$H^{(\rho)}(\lambda)$  is homogeneous in the  $\lambda$ 's of degree  $3m - |\rho|$ ,  $m = (p-1)/2$ . We have

$$A_{u,v}^p = \sum_{0 \leq \rho_0 \leq \rho_1 \leq \rho_2 \leq \rho_3 \leq m} a_{u,v}^{(\rho)} H^{(\rho)}(\lambda) \quad 0 \leq \frac{u}{v} \leq 1$$

$$\rho_0 = |\rho| - vp + u \quad vp - u \leq |\rho| \leq vp - u + m.$$

We want to know of  $A_{u,v}^p$ , what the forms of lowest homogeneous degree in the  $\lambda$ 's are. We have to give  $|\rho|$  the maximal possible value.

We use the shorthands

---

<sup>1</sup> *Added in proof:* We settled this question in the meantime, see [6].

$$\binom{m}{\rho} = \prod_{i=1}^3 \binom{m}{\rho_i}$$

and  $D(u, v)$  = degree of the lowest homogeneous term in  $A_{u,v}^p$ . In the list below is  $\rho_0 = \max |\rho| - vp + u$ .

$(u, v)$	$\max  \rho $	$\rho_0$	$D(u, v)$
$(0, 0)$	$m$	$m$	$p - 1$
$(0, 1)$	$3m$	$m - 1$	$0$
$(1, 0)$	$m - 1$	$m$	$p$
$(1, 1)$	$3m$	$m$	$0$

We get therefore:

$$A_{0,c}^p A_{1,1}^p = \text{terms of degree } p - 1 + \text{higher terms}$$

$$A_{0,1}^p A_{1,0}^p = \text{terms of degree } p + \text{higher terms} .$$

The lowest degree term  $L$  in  $\det (A_{u,v})^p$  is given by

$$L = m \sum \binom{m}{\rho} H^{(\rho)}(\lambda)$$

$$\rho_1 + \rho_2 + \rho_3 = m$$

$$0 \leq \rho_1 \leq \rho_2 \leq \rho_3 .$$

Notice, if  $\rho \neq \bar{\rho}$ , then  $H^{(\rho)}$  and  $H^{(\bar{\rho})}$  have no monomial in common. Therefore  $L$  is not the zero polynomial. We are able to specialize the variables  $(\lambda_1, \lambda_2, \lambda_3)$  in the algebraic closure of  $k$ , such that  $\det (A_{u,v}) \neq 0$ . In other words, there exist curves of genus two with invertible Cartier-operator.

We do not know, what the smallest finite field is, over which such a curve exists.

REMARK. For large  $p$  we could push through a similar discussion for higher genus. We omit that, because there is a more elegant method for large  $p$  by Lubin (unpublished). Let  $y^2 = x^{2g+1} + ax^{g+1} + x$ . The claim is, that for large  $p$  (depending on  $g$ ) and variable  $a$  the Hasse-Witt-matrix of that curve is a permutation matrix.

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