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## **FUNCTION ALGEBRAS OVER VALUED FIELDS**

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## FUNCTION ALGEBRAS OVER VALUED FIELDS

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**In this paper we consider primarily algebras  $F(T)$  of continuous functions taking a topological space  $T$  into a complete nonarchimedean nontrivially valued field  $F$ . Some general properties of function algebras and topological algebras over valued fields are developed in §§ 1 and 2. Some principal results (Theorems 6 and 7) are analogs of theorems of Nachbin and Shirota, and Warner: Essentially that  $F(T)$  with compact-open topology is  $F$ -barreled iff unbounded functions exist on closed noncompact subsets of  $T$ ; and that full Fréchet algebras are realizable as function algebras  $F(\mathcal{M})$  where  $\mathcal{M}$  denotes the space of nontrivial continuous homomorphisms of the algebra.**

Nachbin and Shirota's well-known result provides a necessary and sufficient condition for an algebra of realvalued continuous functions on a topological space to be barreled when it carries the compact-open topology. To develop an analog of Nachbin's theorem for  $F$ -valued functions, it is necessary to bypass the heavily real-number-oriented machinery on which his proof depends. We accomplish this in part by developing an ordering of the elements of a discretely valued field (Sec. 3, Def. 2) which serves to take the place of the usual ordering of the reals. We also consider a notion of "support" of a continuous  $F$ -valued linear functional on  $F(T)$  (Sec. 3, Def. 3). The support notion is developed without measure theory or representation theorems for continuous linear functionals.

The results of the paper depend heavily on theorems proved by Ellis ([3]), Kaplansky ([7], [8]), and van Tiel ([14]), as well as the proofs of the major theorems as originally presented by Nachbin ([10]) and Warner ([15]) which provided the ideas for this line of approach.

Throughout the paper "algebra" (denoted by  $X$  or  $Y$ ) includes the presence of an identity and commutativity. The underlying field  $F$  is assumed to be a complete nonarchimedean rank one nontrivially valued field. Unless otherwise stated,  $T$  denotes a 0-dimensional (a base for the topology consisting of closed and open sets exists) Hausdorff topological space and  $F(T)$  the algebra of continuous functions from  $T$  into  $F$  with pointwise operations. The terms Banach space or Banach algebra are used throughout in the sense of [12].

**1. Topological algebras over valued fields.** In this section we discuss some basic properties of topological algebras over fields with valuation. We assume throughout that the underlying field  $F$  is a

complete nonarchimedean rank one nontrivially valued field.

**DEFINITION 1.** A topological algebra  $X$  over  $F$  is *nonarchimedean locally multiplicatively  $F$ -convex* (NLMC) if there exists a base  $\mathcal{B}$  of neighborhoods  $U$  of 0 in  $X$  such that for each  $U \in \mathcal{B}$ , (1)  $U$  is  $F$ -convex (i.e. if  $\lambda$  and  $\mu$  are scalars such that  $|\lambda|, |\mu| \leq 1$ , then  $\lambda U + \mu U \subset U$ ), and (2)  $UU \subset U$ .

**DEFINITION 2.** A seminorm  $p$  on  $X$  is *nonarchimedean* and *multiplicative* respectively if for all  $x, y \in X$  (1)  $p(x + y) \leq \max[p(x), p(y)]$  and (2)  $p(xy) \leq p(x)p(y)$ .

**PROPOSITION 1.** A topological algebra  $X$  is an NLMC algebra iff the topology on  $X$  is generated by a family  $P$  of nonarchimedean multiplicative seminorms.

*Proof.* Given such a family  $P$  generating the topology on  $X$ , the sets  $\{x \mid p_i(x) \leq \varepsilon, p_1, \dots, p_n \in P, 0 < \varepsilon \leq 1\}$  form a base at 0 satisfying the condition of Definition 1.

Conversely, if  $\mathcal{B}$  is a base at 0 satisfying the conditions of Definition 1, then, letting  $p_U(x) = \inf\{|\mu| \mid x \in \mu U, \mu \in F\}$  the seminorms  $(p_U)_{U \in \mathcal{B}}$  constitute the desired family  $P$ .

**PROPOSITION 2.** If the valuation on  $F$  is discrete and  $X$  is an NLMC algebra, then there exists a family  $P'$  of nonarchimedean multiplicative seminorms generating the topology on  $X$  such that  $p'(X) \subset |F|$  for each  $p' \in P'$ .

*Proof.* Let  $P$  be a family of nonarchimedean multiplicative seminorms generating  $X$ 's topology. For each  $p \in P$  let  $p'(x) = \inf\{|\mu| \mid |\mu| \geq p(x)\}$ . Each such  $p'$  is clearly nonarchimedean and multiplicative. Moreover since  $p(x) \leq p'(x) \leq |\mu^{-1}|p(x)$  for any nonzero  $\mu \in F$  such that  $|\mu| < 1$  and  $|\mu|$  generates the value group of  $F$ ,  $P'$  will also generate the topology on  $X$ .

**DEFINITION 3.** An NLMC algebra  $X$  is *discrete* if there exists a family  $P$  of nontrivial nonarchimedean multiplicative seminorms generating the topology on  $X$  such that each  $p$  in  $P$  is discrete [the only limit point of  $p(X)$  is 0].

**PROPOSITION 3.** A Hausdorff NLMC algebra  $X$  is discrete iff  $F$  is discretely valued.

*Proof.* Use Prop. 2.

If  $X$  is a topological algebra over  $C$ , the complex numbers, then we can identify the nontrivial continuous homomorphisms of  $X$  into  $C$  with the closed maximal ideals in  $X$  ([9, p. 13]). This is no longer

true for noncomplex algebras, and we single out those algebras in which the 1 – 1 correspondence still obtains for special attention.

DEFINITION 4. A commutative Hausdorff NLMC algebra  $X$  with identity  $e$  is a *Gelfand algebra* if for every closed maximal ideal  $M \subset X$  the factor algebra  $X/M$  (with quotient topology) is topologically isomorphic to  $F$ .

Associated with the nontrivial nonarchimedean multiplicative seminorms  $p$  generating the topology on an NLMC algebra  $X$ , are nonarchimedean normed algebras  $X/N_p$  where  $N_p$  is the ideal  $p^{-1}(0)$  where  $X/N_p$  is normed by taking  $\|x + N_p\| = p(x)$ . The completions  $X_p$  of these normed algebras are referred to as *factor algebras*.

PROPOSITION 4. *If  $X$  is a Gelfand algebra and  $X/N_p$  is complete, then  $X/N_p$  is a Gelfand algebra.*

*Proof.* Let  $\pi_p$  denote the continuous homomorphism  $x \mapsto x + N_p$  from  $X$  onto  $X/N_p$ . We observe that if  $M$  is a maximal ideal in the Banach algebra  $X/N_p$ , then  $M$  is closed; thus  $\pi_p^{-1}(M)$  is a closed maximal ideal in  $X$  containing  $N_p$ . For any  $x \in X$  there exists  $\mu \in F$  such that  $x - \mu e \in \pi_p^{-1}(M)$  ( $X$  is a Gelfand algebra), so that  $\pi_p(x) - \mu \pi_p(e) \in M$  where  $e$  is the identity of  $X$ . Thus  $(X/N_p)/M$  is algebraically isomorphic to  $F$ . Since  $M$  is closed, the factor structure is a one-dimensional Hausdorff topological vector space and is therefore topologically isomorphic to  $F$ .

PROPOSITION 5. *Let  $P$  be a saturated family of seminorms generating the topology on the NLMC algebra  $X$  and let  $(X_p)_{p \in P}$  denote the associated factor algebras. If each  $X_p$  is a Gelfand algebra, then  $X$  is a Gelfand algebra.*

*Proof.* Let  $M$  be a closed maximal ideal in  $X$ . By [1, p. 466] there exists  $p \in P$  such that  $M \supset N_p$  and  $\inf \{p(e - x) | x \in M\} > 0$ . Consequently  $\pi_p(M)$  is a proper ideal in  $X/N_p$  and  $\pi_p(e)$  is not an adherence point of  $\pi_p(M)$ . Thus  $\overline{\pi_p(M)}$  is a proper ideal in  $X_p$  and is therefore contained in a closed maximal ideal  $N \subset X_p$ . Since  $X_p$  is a Gelfand algebra,  $N$  is the kernel of a continuous nontrivial homomorphism  $f_p$  taking  $X_p$  into  $F$ . Hence  $f = f_p \pi_p$  is a continuous nontrivial homomorphism taking  $X$  into  $F$ . It follows from elementary considerations that the kernel of  $f$  is equal to  $M$ . Consequently  $X/M$  is seen to be algebraically—hence topologically— isomorphic to  $F$ .

A result similar in spirit to this can be found in [2, p. 175]. We turn next to some examples.

EXAMPLE 1. Let  $F$  be a local field, let  $T$  be a 0-dimensional Hausdorff space and let  $F(T)$  carry the topology of uniform convergence on compact sets. The topology on  $F(T)$  is generated by the nonarchimedean multiplicative seminorms  $p_K$  where  $K$  is a compact subset of  $T$  and for any  $x \in F(T)$ ,  $p_K(x) = \sup_{t \in K} |x(t)|$ . We may identify  $F(T)/p_K^{-1}(0)$  with a subalgebra of  $F(K)$ . Moreover we may construct a 'Stone-Cech' compactification  $\beta_F T$  of  $T$  as is done in [3, p. 243] utilizing the compact valuation ring  $V$  of  $F$  in place of the compact interval  $[0, 1]$ . Since  $V$  is Hausdorff and 0-dimensional,  $\beta_F T$  will be compact, Hausdorff and 0-dimensional. Thus the Ellis-Tietze extension theorem ([4]) applies and any function continuous on  $K$  may be extended to a function continuous on  $\beta_F T$ . It follows that  $F(T)/p_K^{-1}(0) = F(K)$ .

The continuous nontrivial homomorphisms of  $F(K)$  into  $F$  are in 1-1 correspondence with the points  $t$  of  $K$  ([11]) and using this result it can be shown [9, p. 31] that the points of  $T$  generate the continuous nontrivial homomorphisms of  $F(T)$  into  $F$ .\*

Topological algebras  $X$  for which all homomorphisms of  $X$  into  $F$  are continuous are called *functionally continuous* [9, p. 51]). What follows is an example of such an algebra.

EXAMPLE 2. Let  $F$  be any complete nonarchimedean nontrivially valued field and  $T$  a 0-dimensional Hausdorff space.  $F(T)$  carries the compact-open topology. A subalgebra  $X$  of  $F(T)$  is "closed under inverses" if when  $x \in X$  and  $x^{-1} \in F(T)$ ,  $x^{-1} \in X$ . We apply Michael's proof [9, p. 54] and observe that if Conditions 1 and 2 below are satisfied, then the homomorphisms of  $X$  are generated by the points of  $T$  and therefore  $X$  is functionally continuous.

1. For any  $x_1, \dots, x_n \in X$  such that  $\bigcap_{i=1}^n x_i^{-1}(0) = \emptyset$ , there exists  $y_1, \dots, y_n \in X$  such that  $\sum x_i y_i = e$  where  $e$  is the constant function  $e(t) = 1$  for all  $t \in T$ .

2. For some positive integer  $m$  there exists  $x_1, \dots, x_m \in X$  such that for all  $\mu_1, \dots, \mu_m \in F$ ,  $\bigcap (x_i - \mu_i e)^{-1}(0)$  is compact.

We note that if  $X = F(T)$ , then by the results of a sequel to this paper [16], it follows that  $X$  satisfies statement 1. If, in addition, there exists a bijection  $x \in X$ , then  $X$  satisfies 2. Hence if we take  $T = F$  and let  $T$  carry any 0-dimensional Hausdorff topology finer than

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\* The result of Example 1 actually obtains if  $F$  is any complete nonarchimedean nontrivially valued field as it can be shown in this case that a bounded continuous function defined on a compact subset  $K$  of  $T$  mapping into  $F$  can be extended to a bounded continuous function mapping  $T$  into  $F$ . The same comment applies to Example 1, parts (c), (d), and (e) of Sec. 2.

the valuation topology on  $F$ , the nontrivial homomorphisms of the algebra  $F(T)$  taking values in  $F$  are generated by the points of  $T$ .

2. **Function algebras over valued fields.** In this section we discuss function algebras over valued fields. First we prove a version of a theorem of Kaplansky ([7, p. 173]) which is relevant to the material to follow; we include this proof because there seems to be an inconsistency in the use of “totally disconnected” in [7].

**LEMMA 1.** (Kaplansky) *Let  $T$  be a topological space and let  $F(T)$  be endowed with the topology of uniform convergence on compact sets.  $I$  is a closed ideal in  $F(T)$ , iff there is some closed subset  $H$  of  $T$  such that  $I = \{f \in F(T) \mid f(H) = \{0\}\}$ .  $I$  is a closed maximal ideal in  $F(T)$  iff there is some  $t \in T$  such that  $I = \{f \mid f(t) = 0\}$ .*

*Proof.* Suppose  $I$  is closed in  $F(T)$  and let  $H = \bigcap_{g \in I} g^{-1}(0)$ . Letting  $J(H) = \{f \mid f(H) = \{0\}\}$ , we see that  $I \subset J(H)$ , and that  $J(H)$  is a closed ideal. We show that if  $f \in J(H)$ , then  $f \in I$ .

Let  $K$  be any compact subset of  $T$ . If  $y \in K$ , then as  $I$  is an ideal, there exists  $g_y \in I$  such that  $g_y(y) = f(y)$ . Since the clopen sets  $\{U_y \mid y \in K\}$  where  $U_y = \{x \in T \mid |f(x) - g_y(x)| < \varepsilon\}$  cover  $K$  for any fixed  $\varepsilon > 0$ , there exist  $y_1, \dots, y_n$  such that  $K \subset \bigcup_{i=1}^n U_{y_i}$ . Since the sets  $U_{y_i}$  are clopen, we see that there exist pairwise disjoint clopen sets  $W_i$  such that  $K \subset \bigcup_{i=1}^n W_i$  where  $W_i \subset U_{y_i}$  for each  $i$ . Letting  $k_A$  denote the characteristic function of the set  $A$ , we see that if  $h = \sum_{i=1}^n g_{y_i} k_{W_i}$ , then  $h \in I$  and  $\sup_{t \in K} |h(t) - f(t)| < \varepsilon$ . As  $\varepsilon > 0$  can be made arbitrarily small, it follows that  $f \in \bar{I} = I$ .

In the proof to follow, “totally disconnected” is used as in [13, p. 380]: distinct points may be separated by clopen sets.

**THEOREM 1.** (Kaplansky) *Let  $S$  and  $T$  be 0-dimensional Hausdorff spaces. Let  $F(S)$  and  $F(T)$  carry their compact-open topologies and suppose that  $F(T)$  is topologically isomorphic to  $F(S)$ . Then  $S$  and  $T$  are homeomorphic.*

*Proof.* Let  $A$  be a topological isomorphism from  $F(S)$  onto  $F(T)$ . If  $K$  is a closed subset of  $S$  and  $J(K)$  denotes the ideal of functions that vanish on  $K$ , note that a mapping  $A'$  is defined by  $A(J(\{s\})) = J(\{t\}) = J(\{A'(s)\})$  for some  $t \in T$ ; i.e.  $A': S \rightarrow T$  is such that  $A'(s) = t$ , and is well-defined as  $T$  is totally disconnected. Since  $A$  is injective and  $S$  is totally disconnected, then  $A'$  is injective as well. For any  $t \in T$ ,  $J(\{t\}) = A(M)$  where  $M$  is a closed maximal ideal in  $F(S)$ .

Since  $M = J(\{s\})$  for some  $s \in S$ ,  $A'$  is seen to be surjective.

Clearly  $(A')^{-1} = (A^{-1})'$  so to show that  $A'$  is a homeomorphism, it suffices to show that  $A'$  is a closed map. To this end, since  $S$  is 0-dimensional,  $K = \bigcap_{g \in J(K)} g^{-1}(0)$ ; since  $J(K) = \bigcap_{s \in K} J(\{s\})$ , it follows that  $A(J(K)) = J(A'(K)) = \bigcap_{s \in K} J(\{A'(s)\})$ . If  $t \notin A'(K)$ , then  $t = A'(s)$  where  $s \notin K$ . Thus  $J(K) \not\subset J(\{s\})$  and  $J(A'(K)) \not\subset J(\{t\})$ . As  $J(A'(K)) = J(\overline{A'(K)}) \not\subset J(\{t\})$ , we see that  $t \notin \overline{A'(K)}$  and therefore  $A'(K) = \overline{A'(K)}$ .

**EXAMPLE 1.** Let  $T$  be a totally disconnected Hausdorff space and let  $F(T)$  carry the compact-open topology. We note immediately that the set of evaluation maps constitutes a set of distinct continuous homomorphisms of  $F(T)$  into  $F$ . Moreover properties (a)—(e) also hold.

(a) If  $K$  is a compact subset of  $T$ ,  $p_K$  is as in Ex. 1 of Sec. 1, and  $N_K = p_K^{-1}(0)$ , then the completion of the normed algebra  $F(T)/N_K$  is  $F(K)$ .

*Proof.* Since  $T$  is totally disconnected, the characteristic functions in  $F(T)$  separate the points of  $T$ . Thus the functions  $f|_K$  as  $f$  runs through  $F(T)$  separate points in  $K$ . The desired result now follows from an application of Kaplansky's Stone-Weierstrass theorem ([8] or [12] p. 161).

(b) With “ $V^*$ -algebra” as in [12, p. 148], if  $T$  is locally compact, then  $F(T)$  is the projective limit of  $V^*$ -algebras as in [9, p. 17].

*Proof.* The complete NLMC algebra  $F(T)$  is the projective limit of the factor algebras  $F(K)$  as  $K$  runs through the compact subsets of  $T$  and each  $F(K)$  is a  $V^*$ -algebra.

(c) If  $T$  is ultranormal and  $F$  is a local field, then  $F(T)/N_K = F(K)$ .

*Proof.* Use the Ellis-Tietze extension theorem of [4].

(d) If  $T$  is 0-dimensional and  $F$  is a discretely valued field, then  $F(T)/N_K = F(K)$  for any compact subset  $K$  of  $T$ .

*Proof.* Apply a modification of the Ellis-Tietze extension theorem to functions  $f \in F(K)$  and thereby extend  $f$  continuously to a ‘Stone-Cech’ compactification  $\beta_H T$  where  $H$  is any local field. Where Ellis used local compactness of the field  $F$ , we use discreteness of the valuation on  $F$ , and compactness of  $\beta_H T$ .

(e) The points of  $T$  constitute all continuous homomorphisms of  $F(T)$  into  $F$  when  $F$  is discretely valued.

*Proof.* See Ex. 1 of Sec. 1 and use (d).

**3. Main results.** Let  $X$  be a NLMC algebra over a discretely valued  $F$ . Then, as in the classical case ([9, p. 33]), if  $X$  is the projective (dense inverse) limit of a family  $(F(K_n))$  of Gelfand  $V^*$ -algebras by mappings  $\pi_{mn}: F(K_n) \rightarrow F(K_m)$ ,  $m > n$ , where  $(K_n)$  is a family of compact 0-dimensional Hausdorff spaces (it following that  $K_n$  is homeomorphically embedded in  $K_m$ ), then  $X$  is topologically isomorphic to  $F(\cup K_n)$  where\*  $F(\cup K_n)$  carries the compact-open topology. Moreover in this case  $\cup K_n$  can and will be identified with the set of all nontrivial continuous homomorphisms of  $X$  into  $F$  and carries the weak topology generated by  $(K_n)$ .

**DEFINITION 1.** Let  $\mathcal{M}$  denote the nontrivial continuous homomorphisms of an MLHC algebra  $X$  over  $F$  into  $F$ , and let  $\mathcal{M}$  carry the weak-\* topology. Let  $F(\mathcal{M})$  denote the algebra of continuous functions mapping  $\mathcal{M}$  into  $F$  with compact open topology and consider the map  $\psi: X \rightarrow F(\mathcal{M})$  where, for any  $x \in X$ ,  $\psi(x)(h) = h(x)$  for each  $h \in \mathcal{M}$ .  $X$  is called a *full* algebra if the homomorphism  $\psi$  is an isomorphism of  $X$  onto  $F(\mathcal{M})$ .

In [9] E. A. Michael stated that he did not know whether or not  $\psi$  was a topological isomorphism in the case where  $X$  is a Fréchet full algebra. S. Warner proved that this was true in the classical case ([15, p. 269]). In this section we show that  $\psi$  is a topological isomorphism if  $F$  is a local field (Theorem 7). It then follows according to some results of van Tiel [14] that  $X$  is the projective limit of a sequence  $(F(K_n))$  of Gelfand  $V^*$ -algebras where  $K_n = V_n^\circ \cap \mathcal{M}(V_n^\circ)$  is the polar of a neighborhood  $V_n$  of 0 in  $X$  coming from a base of  $F$ -convex closed neighborhoods of 0). Thus we will have a partial converse of the result which was described in the opening paragraphs of this section. We also note that by Prop. 5 of Sec. 1,  $X$  is a Gelfand algebra under the hypothesis just mentioned.

In what follows  $F$  is assumed to be discretely valued. In some cases it will also be assumed that  $F$  is a local field so that certain standard results from the duality theory of topological vector spaces ([14]) may be used.

**DEFINITION 2.** Let  $F$  be discretely valued and let  $(a_\mu)_{\mu \in H}$  be a system of distinct representatives of the cosets in the residue class field of  $F$ . We may assume that  $H$  is totally ordered where  $\mu_0$  corresponding to  $a_{\mu_0} = 0$  is the first element. Let  $\pi \in F$  be such that  $|\pi| < 1$  and  $|\pi|$  is a generator of the value group of  $F$ . If  $a$  and  $b$  are any two elements of  $F$  there exist  $(a_{\mu_i})$  and  $(a_{\lambda_i})$  such that  $a = \sum_{i=N}^{\infty} a_{\mu_i} \pi^i$  and  $b = \sum_{i=N}^{\infty} a_{\lambda_i} \pi^i$ . We now define the *supremum*,  $\sup(a, b)$ ,

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\* We may assume  $K_n \subset K_{n+1}$  as there exist sets  $K'_n$  such that  $\mathcal{M} = \cup K'_n$  with  $K'_n \subset K'_{n+1}$ , and  $K'_n$  homeomorphic to  $K_n$  for all  $n$ .



of  $a$  and  $b$  as:

$$\sup(a, b) = \begin{cases} a & \text{if } |a| > |b| \\ b & \text{if } |b| > |a| \\ a & \text{if } a = b \\ a & \text{if } |a| = |b|, a_{\mu_i} = a_{\lambda_i} \text{ for } i = N, \dots, j-1 \text{ and } \mu_j > \lambda_j \end{cases}$$

LEMMA 1. *Let  $T$  be a topological space and let  $f$  and  $g$  be continuous functions mapping  $T$  into  $F$ . Then the function defined at each  $t \in T$  by  $\sup(f(t), g(t))$  and denoted by  $\sup(f, g)$  is continuous.*

*Proof.* Suppose  $(t_s)$  is a net in  $T$  converging to  $t$ . We show that  $\sup(f, g)(t_s)$  converges to  $\sup(f, g)(t)$ . Letting  $f(t) = a$  and  $g(t) = b$ , we need only consider the last possibility for  $\sup(a, b)$ , the first three being trivial. Choose  $\varepsilon > 0$  such that  $\varepsilon < |\pi|^j$ . For  $r$  such that  $|f(t_s) - f(t)| < \varepsilon$  and  $|g(t_s) - g(t)| < \varepsilon$  for  $s \geq r$ , it follows that

$$f(t_s) - f(t) = \sum_{i=M}^{\infty} a_{\mu_i}^s \pi^i \text{ and } g(t_s) - g(t) = \sum_{i=M}^{\infty} a_{\lambda_i}^s \pi^i$$

where  $M > j$ . We may also write

$$f(t_s) = \sum_{i=N}^j a_{\mu_i}^s \pi^i + \sum_{i=j+1}^{\infty} a_{\mu_i}^s \pi^i \text{ and } g(t_s) = \sum_{i=N}^j a_{\lambda_i}^s \pi^i + \sum_{i=j+1}^{\infty} a_{\lambda_i}^s \pi^i.$$

Thus, since  $a_{\mu_i} = a_{\lambda_i}$  for  $i = N, \dots, j-1$ , and  $\mu_j > \lambda_j$ , it follows that  $\sup(f, g)(t_s) = f(t_s)$  for  $s \geq r$ . Thus  $\sup(f, g)(t_s) = f(t_s) \rightarrow f(t) = \sup(f, g)(t)$ .

LEMMA 2. *Let  $F(T)$  denote the algebra of continuous functions mapping the 0-dimensional Hausdorff space  $T$  into the discretely valued  $F$ , with compact-open topology. If  $V$  is an  $F$ -barrel (closed absorbent  $F$ -convex set) in  $F(T)$ , then there is some  $\delta > 0$  such that  $\sup_{t \in T} |f(t)| \leq \delta$  implies that  $f \in V$ .*

*Proof.* Let  $B$  be the sup-norm Banach space of all bounded functions from  $T$  into  $F$ . We note that  $V \cap B$  is an  $F$ -barrel in  $B$ . Since  $B$  is  $F$ -barreled ([14, p. 268]) there is some  $\delta > 0$  such that  $\sup_{t \in T} |f(t)| \leq \delta$  which implies that  $f \in V \cap B$ .

LEMMA 3. *Let  $V, F, T$  and  $F(T)$  be as in Lemma 2, and suppose that for some compact subset  $K$  of  $T$ ,  $\{f \mid f(K) = \{0\}\} \subset V$ . Then there is some  $\mu > 0$  such that whenever  $\sup_{t \in K} |f(t)| < \mu$ , then  $f \in V$ . Thus  $V$  is a neighborhood of 0 in  $F(T)$ .*

*Proof.* Let  $a \in F$  and denote the function sending each  $t \in T$  into  $a$  by  $a$ . With  $\delta$  as in Lemma 2, choose  $a \in F$  such that  $0 < |a| \leq$

$\delta/2$ . Choosing an integer  $n$  so that  $\delta/n < |a|$ , let  $f \in F(T)$  be such that  $\sup_{t \in K} |f(t)| \leq \delta/n$ . With  $g = \sup(f, a) - a$ , it follows that  $g(t) = 0$  for each  $t$  in  $K$ . Thus  $g \in V$ . Since  $|f(t) - g(t)| \leq |a| \leq \delta/2$  for all  $t \in T$ , it follows that  $f - g \in V$ . Since  $V$  is  $F$ -convex,  $g + (f - g) = f \in V$ , and the proof is complete.

We continue towards nonarchimedean analogs of theorems of Nachbin (Theorem 3) and Warner (Theorem 7). First we consider a notion of *support* of a linear functional which serves to replace the classical notion used by Nachbin.

In Lemmas 4 and 5  $F(T)$  again denotes the algebra of continuous functions from the 0-dimensional Hausdorff space  $T$  into  $F$  with compact-open topology and  $\varphi$  denotes a member of the continuous dual  $F(T)'$  of  $F(T)$ . For any subset  $S$  of  $T$ ,  $k_S$  denotes the characteristic function of  $S$  taking values in  $F$  and we note that  $k_S \in F(T)$  iff  $S$  is clopen. Let  $\mathcal{S}$  denote the family of subsets  $U$  of  $T$  such that  $U$  is clopen and  $\varphi(fk_U) = 0$  for all  $f \in F(T)$ .

LEMMA 4. *The family  $\mathcal{S}$  has the following properties: (1) If  $U$  is a clopen subset of  $G \in \mathcal{S}$ , then  $U \in \mathcal{S}$ ; (2)  $\mathcal{S}$  is a ring of sets.*

*Proof.* To prove (1) we observe that  $k_U = k_G k_U$ . (2) follows readily from (1).

DEFINITION 3. The *support* of  $\varphi$ ,  $F_\varphi$ , is defined to be  $C(\cup \mathcal{S})$ .

We observe that since  $\varphi$  is continuous there is some compact set  $K \subset T$  and an integer  $N$  such that if  $f \in F(T)$ , then  $|\varphi(f)| \leq N \sup_{t \in K} |f(t)|$ . Thus, if  $f$  vanishes on  $K$ , then  $\varphi(f) = 0$ .

THEOREM 1. *In the same notation as above (1)  $F_\varphi \subset K$  and therefore  $F_\varphi$  is compact, (2) if  $\varphi$  is nontrivial, then  $F_\varphi$  is not empty, and (3) if  $G \subset T$  is open and  $G \cap F_\varphi$  is not empty, then there exists  $f \in F(T)$  such that  $f(CG) = \{0\}$  and  $\varphi(f) = 1$ .*

*Proof.* (1) If  $G$  is a clopen subset of  $CK$ , then—since  $k_G$  vanishes on  $K$ — $\varphi(fk_G) = 0$  and  $G \in \mathcal{S}$ .

(2) If  $F_\varphi$  is empty,  $T = \cup \mathcal{S}$ , and it follows that for some  $U_i \in \mathcal{S}$ ,  $K \subset \cup_{i=1}^n U_i = G$ . Since  $\mathcal{S}$  is a ring of sets,  $G \in \mathcal{S}$  and since  $CG$  is clopen and contained in  $CK$ ,  $\varphi(f) = \varphi(fk_{CG}) = 0$  for all  $f \in F(T)$ . But then  $\varphi$  is trivial.

(3) If  $G \cap F_\varphi \neq \emptyset$ , there is some  $t \in G \cap F_\varphi$ . Since  $T$  is 0-dimensional,  $t \in U \subset G$  where  $U$  is clopen. Since  $U \cap F_\varphi \neq \emptyset$ , then  $U \notin \mathcal{S}$  and there is some  $g \in F(T)$  such that  $\varphi(gk_U) \neq 0$ . We of course may assume that  $\varphi(gk_U) = 1$ . Letting  $gk_U = f$ , (3) is seen to be proved.

In order to apply this notion of support to our version of Nachbin's theorem (Theorem 3) we require that  $F_\varphi$  have the property that if  $f$  vanishes on  $F_\varphi$ ,  $\varphi(f) = 0$ . We now develop a case where this is true and which makes the notion applicable to Theorem 3 as well as settling Michael's question in this setting (Theorem 7).

**LEMMA 5.** *Suppose that  $\varphi(g) = 0$  for any  $g \in F(T)$  which vanishes on any clopen set  $G$  containing  $F_\varphi$ . Then if  $f$  vanishes on  $F_\varphi$ ,  $\varphi(f) = 0$ .*

*Proof.* Suppose that  $f \in F(T)$  vanishes on  $F_\varphi$ , and let  $A_n = \{t \in T \mid |f(t)| < 1/n\}$  ( $n = 1, 2, \dots$ ). As  $F_\varphi \subset A_n$  for any  $n$  and  $A_n$  is clopen  $\varphi(f) = \varphi(fk_{A_n}) + \varphi(f(1 - k_{A_n}))$ . By the hypothesis, since  $f(1 - k_{A_n})$  vanishes on  $A_n$ ,  $\varphi(f) = \varphi(fk_{A_n})$ . Let  $K$  be a compact subset of  $T$  such that  $|\varphi(f)| \leq N \sup_{t \in K} |f(t)|$ . Hence  $|\varphi(f)| = |\varphi(fk_{A_n})| \leq N \sup_{t \in K} |fk_{A_n}(t)| < N/n$ . Since this is true for every  $n$ ,  $\varphi(f) = 0$ .

**THEOREM 2.** *Let  $T$  be a Lindelöf space. Then if  $f$  vanishes on  $F_\varphi$ ,  $\varphi(f) = 0$ .*

*Proof.* Let  $G$  be a clopen subset containing  $F_\varphi$ . Since  $CG$  is closed,  $CG$  is Lindelöf. Since  $CG \subset CF_\varphi = \bigcup \mathcal{S}$ , there exist  $U_i \in \mathcal{S}$  such that  $CG \subset \bigcup_{i=1}^\infty U_i$ . Since  $\mathcal{S}$  is a ring, we may assume that the sets  $U_i$  are pairwise disjoint. Since  $CG \cap U_i = V_i$  is clopen and contained in  $U_i$  then  $V_i \in \mathcal{S}$ . Thus  $k_{CG} = \sum_{i=1}^\infty k_{V_i}$  in the topology of pointwise convergence on  $F(T)$ . We claim that the "pointwise convergence" of the preceding sentence may be replaced by "uniform convergence on compact sets."

To prove this last statement, let  $L$  be a compact subset of  $T$  and consider  $L \cap CG$ . As  $L \cap CG$  is compact and contained in  $\bigcup_{i=1}^\infty V_i$  there is some integer  $N_L$  such that  $n \geq N_L$  implies that  $L \cap CG$  is contained in  $\bigcup_{i=1}^n V_i$ . But  $CG \subset \bigcup_{i=1}^n V_i$  so  $L \cap CG = L \cap (\bigcup_{i=1}^n V_i)$ . Thus for  $n \geq N_L$ ,  $CG$  and  $\bigcup_{i=1}^n V_i$  have the same points in common with  $L$ , and  $\sup_{t \in L} |(k_{CG} - \sum_{i=1}^n k_{V_i})(t)| = 0$  for  $n \geq N_L$ . Since  $L$  was an arbitrary compact set, the series is seen to converge in the compact-open topology and  $\varphi(fk_{CG}) = \sum_{i=1}^\infty \varphi(fk_{V_i}) = 0$ .

We now present a version of a theorem of Nachbin ([10, p. 472])

**THEOREM 3.** *Let  $F(T)$  denote the algebra of continuous functions mapping the 0-dimensional Hausdorff space  $T$  into the discretely valued field  $F$ , with compact-open topology. Suppose that for each  $\varphi \in F(T)'$ ,  $f$  vanishing on  $F_\varphi$  implies  $\varphi(f) = 0$ . Then  $F(T)$  is  $F$ -barreled iff for every  $E \subset T$  which is closed and not compact there is some  $f \in F(T)$*

which is unbounded on  $E$ .\*

*Proof.* Suppose that the condition holds and let  $V$  be an  $F$ -barrel in  $F(T)$ . To show that  $V$  is a neighborhood of 0 in  $F(T)$  we begin by letting  $K = \overline{\bigcup_{\varphi \in V^0} F_\varphi}$ . If  $K$  is not compact, let  $f$  be unbounded on  $K$  and consider the sets  $A_n = \{t \in T \mid |f(t)| > n\}$ ,  $n = 1, 2, \dots$ . Each  $A_n$  is clopen and  $A_n \cap K \neq \emptyset$ . Thus there is some  $F_{\varphi_n} \subset K$  such that  $A_n \cap F_{\varphi_n} \neq \emptyset$ . By Theorem 1 (3) there exists  $f_n \in F(T)$  such that  $f_n$  vanishes outside of  $A_n$  and  $\varphi_n(f_n) = 1$ . Since  $\bigcap_{n=1}^\infty A_n = \emptyset$ , the function  $f = \sum_{n=1}^\infty a_n f_n$  is a continuous function for any choice of  $a_n \in F$ . As it is clear that  $A_m \cap F_{\varphi_n} = \emptyset$  for all sufficiently large  $m$ , we may (by considering a subsequence) assume that  $\varphi_n(f_m) = 0$  for all  $m > n$ . By a proper choice of  $a_n$  we see that  $|\varphi_n(f)| \rightarrow \infty$  and as  $\varphi_n \in V^0$ ,  $af$  cannot belong to  $V^{00} = V$  no matter how small  $|a|$  is. Thus we contradict the fact that  $V$  is absorbent and  $K$  must be compact. If  $f$  vanishes on  $K$ , then  $f$  vanishes on  $F_\varphi$  for all  $\varphi \in V^0$ . Thus  $f \in V^{00} = V$  so, by Lemma 3,  $V$  is a neighborhood of 0.

To prove the converse, let  $F(T)$  be  $F$ -barreled and  $E$  be a closed noncompact subset of  $T$ . Let  $V = \{f \mid \sup_{t \in E} |f(t)| \leq \delta\}$ ,  $\delta > 0$ , and let  $K$  be a compact subset of  $T$ . As  $E \cap CK \neq \emptyset$ , using  $\beta_H T$  as in Sec. 2 Ex. 1 (d) we may assert the existence of a sequence  $(f_N)$  of functions which vanishes on  $K$  but  $|f_N(t_N)| \geq N$  for any positive integer  $N$  and some  $t_N \in E$ . Thus the set  $\{f \mid \sup_{t \in K} |f(t)| \leq \varepsilon\} \not\subset V$  for any  $\varepsilon > 0$  and  $V$  is not a neighborhood of 0. It follows that  $V$  is not absorbing and there exists  $f \in F(T)$  which is unbounded on  $E$ .

**COROLLARY.** *Let  $T$  be a 0-dimensional Hausdorff Lindelöf space and  $F$  a discretely valued field. Then  $F(T)$  is  $F$ -barreled.*

*Proof.* We refer to Theorem 2 and the construction of the function in the proof of Theorem 6 for the proof of the corollary.

**THEOREM 4.** *Suppose the 0-dimensional Hausdorff space  $T = \bigcup_{n=1}^\infty K_n$  where each  $K_n$  is compact,  $K_n \subset K_{n+1}$ , and each compact subset of  $T$  is contained in some  $K_n$  (i.e.  $T$  is hemicompact). Then denoting  $T$  endowed with the weak topology ([3], p. 131) generated by the sets  $(K_n)$  as  $T_w$ ,  $F(T)$  is dense in  $F(T_w)$ , each algebra carrying its compact-open topology.*

*Proof.* Since the topology of  $T_w$  is clearly stronger than that of  $T$ ,  $F(T) \subset F(T_w)$ . We note that the topology of  $T_w$  restricted to  $K_n$  is

---

\* In a sequel to this paper we show that Theorem 2 is true for any 0-dimensional Hausdorff space  $T$  and any complete nonarchimedean nontrivially valued field  $F$ . Thus Theorem 3 is true for all spaces  $T$ . We also show that the result of Theorem 3 holds of  $F$  is spherically complete ([16]).

equal to the topology  $K_n$  inherits from  $T$  and the compact subsets of  $T_w$  lie in the sets  $K_n$ . Thus  $F(T)$  is a topological subspace of  $F(T_w)$ . Using Sec. 2 Ex. 1 (d),  $F(T)/N_K = F(K)$  for any compact set  $K \subset T$  and it follows that  $F(T)$  is dense in  $F(T_w)$ .

**THEOREM 5.** *Let everything be as in the preceding theorem. If  $F(T)$  is complete then  $T = T_w$  iff  $T_w$  is 0-dimensional.*

*Proof.* If  $F(T)$  is complete, then  $F(T) = F(T_w)$ . Since they are topologically isomorphic under the identity map by the proof of Theorem 4, if  $T_w$  is 0-dimensional, then  $T = T_w$  by Theorem 1 of Sec. 2. We may also observe that the functions of  $F(T)$  generate the topology of the space  $T$  while those of  $F(T_w)$  generate the topology of  $T_w$ . Thus as  $F(T) = F(T_w)$ , the topologies are equal.

**THEOREM 6.** *Let  $F(T)$  denote the algebra of continuous functions mapping the 0-dimensional Hausdorff space  $T$  into the local field  $F$  and suppose that  $F(T)$  is a complete locally  $F$ -convex metric space with topology  $\mathcal{F}$ . If the homomorphisms determined by the points of  $T$  are the  $\mathcal{F}$ -continuous homomorphisms, then  $\mathcal{F}$  is the compact-open topology.*

*Proof.* Let the set of evaluation maps determined by  $T$  be denoted by  $T^*$  and let  $T^*$  carry the Gelfand topology (i.e. the weakest topology for  $T^*$  with respect to which the maps  $t \rightarrow x(t)$  of  $T^*$  into  $F$  are continuous for each  $x \in F(T)$ ). Since  $T$  is 0-dimensional the Gelfand topology coincides with the original topology on  $T$ , i.e.  $T$  and  $T^*$  are homeomorphic. Since  $(F(T), \mathcal{F})$  is  $F$ -barreled ([14, p. 268]), the polar of any compact subset of  $T^*$  is a neighborhood of 0 in  $F(T)$ . Thus, identifying  $T$  and  $T^*$ ,  $\mathcal{F}$  is seen to be stronger than the compact-open topology on  $F(T)$ . If  $F(T)$  with compact-open topology could be shown to be  $F$ -barreled, the closed graph theorem could be applied to complete the proof. To show that  $F(T)$  is  $F$ -barreled, let  $E$  be a closed noncompact subset of  $T$ . Since  $F(T)$  is a Frechet space,  $T^*$  is 0-dimensional and Lindelöf and therefore  $T$  is 0-dimensional and Lindelöf. Thus  $E$  is Lindelöf and there exists a denumerable clopen cover  $(U_n)$  from which no finite subcover can be extracted. We may assume the family  $(U_n)$  to be pairwise disjoint. Since  $CE$  is open in  $T$ ,  $CE = \bigcup V_\mu$  where each  $V_\mu$  is clopen so that  $T = (\bigcup_{n=1}^\infty U_n) \cup (\bigcup_{n=1}^\infty V_{\mu_n})$  where the  $(V_{\mu_n})$  may be assumed to be pairwise disjoint. Defining  $H_{2n} = V_{\mu_n}$ ,  $H_{2n+1} = U_n$  and setting  $L_m = H_m - \bigcup_{i=1}^{m-1} H_i$  then  $T = \bigcup_{n=1}^\infty L_n$  where each  $L_n$  is clopen and  $(L_n)$  is pairwise disjoint. We note that  $E$  must intersect infinitely many  $L_n$ 's lest  $E$  turn out to be covered by finitely many of the  $U_i$ . Now consider the function  $f: T \rightarrow F$

defined by  $f(t) = \sum_{i=1}^{\infty} a^n k_{L_i}(t)$  where  $|a| > 1$ . We observe that  $f$  is unbounded on  $E$  and therefore  $F(T)$  with compact-open topology is  $F$ -barreled.\*

We now prove a nonarchimedean version of a theorem of Warner ([15, p. 267]).

**THEOREM 7.** *Let the set of nontrivial continuous homomorphisms on the Frechet full algebra  $X$  be denoted by  $\mathcal{M}$ . Let  $\mathcal{M}$  carry the weak-\* (Gelfand) topology and  $F(\mathcal{M})$  the compact-open topology. Then  $X$  is topologically isomorphic to  $F(\mathcal{M})$ .*

*Proof.* Carrying the topology of  $X$  over to  $F(\mathcal{M})$  via the isomorphism  $\psi$  (Def. 1 of Sec. 1) and noting that  $\mathcal{M}$  constitutes the set of nontrivial continuous homomorphisms of  $F(\mathcal{M})$  into  $F$ , we see by the previous theorem that the proof is done.

For complex algebras, Warner ([15]) has proved that the “ $\mathcal{M}$ ” of Theorem 7 is a  $k$ -space ( $\mathcal{M}$  carries the weak topology generated by a sequence of compact sets). This question as well as an attempt to develop a substitute for concept of “ $Q$ -space” ([5, p. 271]) is investigated in subsequent papers ([16]).

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\* As shown here, the hypothesis of Theorem 6 implies  $T$  to be Lindelöf.  $T$  being Lindelöf however implies that all homomorphisms of  $F(T)$  into  $F$  are given by points of  $T$  ([16]).

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