

# Pacific Journal of Mathematics

**AUTOMORPHISMS AND EQUIVALENCE IN VON NEUMANN  
ALGEBRAS**

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Let  $\mathfrak{R}$  be a von Neumann algebra acting on a Hilbert space  $\mathfrak{H}$ . Let  $G$  be a group and let  $t \rightarrow U_t$  be a unitary representation of  $G$  on  $\mathfrak{H}$  such that  $U_t^* \mathfrak{R} U_t = \mathfrak{R}$  for all  $t \in G$ . Two projections  $E$  and  $F$  in  $\mathfrak{R}$  are called  $G$ -equivalent, written  $E \sim_G F$ , if there is for each  $t \in G$  an operator  $T_t \in \mathfrak{R}$  such that  $E = \sum_{t \in G} T_t T_t^*$ ,  $F = \sum_{t \in G} U_t^* T_t^* T_t U_t$ . The main results in this paper state that this relation is indeed an equivalence relation (Thm. 1), that "semi-finiteness" is equivalent to the existence of a faithful normal semi-finite  $G$ -invariant trace on  $\mathfrak{R}^+$  (Thm. 2), and that "finiteness" together with countable decomposability of  $\mathfrak{R}$  is equivalent to the existence of a faithful normal finite  $G$ -invariant trace on  $\mathfrak{R}$  (Thm. 3).

There are two approaches which can be used to prove these theorems. The most natural one would be to develop a comparison theory for projections in  $\mathfrak{R}$  and then to construct the traces. This can be done by means of modifications and extensions of the theory developed by Kadison and Pedersen [4]. The other approach, which we shall follow, is to consider the cross product  $\mathfrak{R} \times G$ , and then show that the canonical imbedding of  $\mathfrak{R}$  into the von Neumann algebra  $\mathfrak{R} \times G$  is close to being an isomorphism of  $\mathfrak{R}$  with the structure of  $G$ -equivalence into  $\mathfrak{R} \times G$  with the usual equivalence relation between projections.

Our main theorems form a link between von Neumann algebras and ergodic theory. If  $G$  is the one element group the equivalence relation  $\sim_G$  reduces to the usual one defined by Murray and von Neumann [8] for projections in a von Neumann algebra. We thus obtain extensions of the theorems on existence of traces in finite and semi-finite von Neumann algebras. If the von Neumann algebra  $\mathfrak{R}$  is abelian we show (Thm. 5), using theorems on the existence of invariant measures, that the equivalence relation  $\sim_G$  is the same as the one defined by Hopf [3] in ergodic theory. He showed that, with some extra assumptions, "finiteness" of the partial ordering is equivalent to the existence of an invariant normal state. Later on the "semi-finite" case was taken care of by Kawada [6] in a well ignored paper, and then independently by Halmos [2]. Thus our theorems are also generalizations of well known results on invariant measures.

We refer the reader to the book of Dixmier [1] for the theory of von Neumann algebras. The author is indebted to the referee for

several valuable comments.

2. **Statements of results.** In the present section we state the main results and definitions. The proofs will be given in §3.

**THEOREM 1.** *Let  $\mathfrak{R}$  be a von Neumann algebra acting on a Hilbert space  $\mathfrak{H}$ . Let  $G$  be a group and  $t \rightarrow U_t$  a unitary representation of  $G$  on  $\mathfrak{H}$  such that  $U_t^* \mathfrak{R} U_t = \mathfrak{R}$  for all  $t \in G$ . If  $E$  and  $F$  are projections in  $\mathfrak{R}$  we write  $E \sim_g F$  if for each  $t \in G$  there is an operator  $T_t \in \mathfrak{R}$  such that*

$$E = \sum_{t \in G} T_t T_t^* , \quad F = \sum_{t \in G} U_t^* T_t^* T_t U_t .$$

*Then  $\sim_g$  is an equivalence relation on the projections in  $\mathfrak{R}$ .*

**REMARK 1.** If  $G$  is the one element group then the equivalence relation  $\sim_g$  is the same as the usual equivalence relation  $\sim$  for projections in a von Neumann algebra.

**REMARK 2.** If  $G$  is the additive group of  $\mathfrak{R}$  and the representation  $t \rightarrow U_t$  is the trivial representation, so  $U_t = I$  for  $t \in G$ , then the equivalence relation  $\sim_g$  is the one defined by Kadison and Pedersen [4, Def. A].

**REMARK 3.** If  $\mathfrak{R}$  is abelian and countably decomposable the equivalence relation  $\sim_g$  coincides with the one defined by Hopf [3] in ergodic theory. For this see Theorem 5 and Remark 6.

**REMARK 4.** If  $E$  and  $F$  are equivalent projections in  $\mathfrak{R}$ , i.e. there is a partial isometry  $V \in \mathfrak{R}$  such that  $E = VV^*$ ,  $F = V^*V$ , then  $E \sim_g F$ . This is clear from the definition of  $\sim_g$ , putting  $T_e = V$ ,  $T_t = 0$  for  $t \neq e$ .

**DEFINITION 1.** With notation as in Theorem 1 we say two projections  $E$  and  $F$  in  $\mathfrak{R}$  are *G-equivalent* if  $E \sim_g F$ . We write  $E <_g F$  if  $E \sim_g F_0 \leq F$ . A projection  $F$  is said to be  *$\sim_g$ -finite* if  $E \leq F$  and  $E \sim_g F$  implies  $E = F$ .  $\mathfrak{R}$  is said to be  *$\sim_g$ -finite* if the identity operator  $I$  is  *$\sim_g$ -finite*.  $\mathfrak{R}$  is said to be  *$\sim_g$ -semi-finite* if every non-zero projection in  $\mathfrak{R}$  majorizes a nonzero  *$\sim_g$ -finite* projection.

**THEOREM 2.** *With notation as in Theorem 1 there exists a faithful normal semi-finite G-invariant trace on  $\mathfrak{R}^+$  if and only if  $\mathfrak{R}$  is  $\sim_g$ -semi-finite.*

**THEOREM 3.** *With notation as in Theorem 1 there exists a faith-*

ful normal finite  $G$ -invariant trace on  $\mathfrak{R}$  if and only if  $\mathfrak{R}$  is  $\sim_G$ -finite and countably decomposable.

3. *Proofs.* We first introduce some notation and follow [1, Ch. I, §9] closely. Following the notation in Theorem 1  $\mathfrak{R}$  acts on a Hilbert space  $\mathfrak{H}$ ,  $G$  is a group, considered as a discrete group, and  $t \rightarrow U_t$  is a unitary representation of  $G$  on  $\mathfrak{H}$  such that  $U_t^* \mathfrak{R} U_t = \mathfrak{R}$  for all  $t \in G$ . For  $t \in G$  let  $\mathfrak{H}_t$  be a Hilbert space of the same dimension as  $\mathfrak{H}$  and  $J_t$  an isometry of  $\mathfrak{H}$  onto  $\mathfrak{H}_t$ . Let  $\tilde{\mathfrak{H}} = \sum_{t \in G} \bigoplus \mathfrak{H}_t$ . We write an operator  $R \in \mathfrak{B}(\tilde{\mathfrak{H}})$ —the bounded operators on  $\tilde{\mathfrak{H}}$ —as a matrix  $(R_{s,t})_{s,t \in G}$ , where  $R_{s,t} = J_s^* R J_t \in \mathfrak{B}(\mathfrak{H})$ . For each  $T \in \mathfrak{R}$  let  $\Phi(T)$  denote the element in  $\mathfrak{B}(\tilde{\mathfrak{H}})$  with matrix  $(R_{s,t})$ , where  $R_{s,t} = 0$  if  $s \neq t$ , and  $R_{s,s} = T$  for all  $s \in G$ . Then  $\Phi$  is a  $*$ -isomorphism of  $\mathfrak{R}$  onto a von Neumann subalgebra  $\tilde{\mathfrak{R}}$  of  $\mathfrak{B}(\tilde{\mathfrak{H}})$ . For  $y \in G$  let  $\tilde{U}_y$  be the operator in  $\mathfrak{B}(\tilde{\mathfrak{H}})$  with matrix  $(R_{s,t})$ , where  $R_{s,t} = 0$  if  $st^{-1} \neq y$ ,  $R_{yt,t} = U_y$  for all  $t \in G$ . Then (see [1, Ch. I, §9])  $y \rightarrow \tilde{U}_y$  is a unitary representation of  $G$  on  $\tilde{\mathfrak{R}}$  such that

$$\tilde{U}_y^* \Phi(T) \tilde{U}_y = \Phi(U_y^* T U_y), \quad y \in G, T \in \mathfrak{R}.$$

If  $\mathfrak{B}$  denotes the von Neumann algebra generated by  $\tilde{\mathfrak{R}}$  and the  $\tilde{U}_y, y \in G$ , then each operator in  $\mathfrak{B}$  is represented by a matrix  $(R_{s,t})$  where  $R_{s,t} = T_{st^{-1}} U_{st^{-1}}$ ,  $T_{st^{-1}} \in \mathfrak{R}$ .

We denote by  $\mathfrak{R}^G$  the von Neumann subalgebra of  $\mathfrak{R}$  consisting of the  $G$ -invariant operators in  $\mathfrak{R}$ .  $\mathfrak{C}$  shall denote the center of  $\mathfrak{R}$ , and  $\mathfrak{D}$  shall denote  $\mathfrak{C} \cap \mathfrak{R}^G$ . Whenever we write  $P \sim Q$  for two projections in  $\mathfrak{B}$  we shall mean they are equivalent as operators in  $\mathfrak{B}$ , i.e. there is a partial isometry  $V \in \mathfrak{B}$  such that  $VV^* = P$ ,  $V^*V = Q$ , and we shall not consider  $P$  and  $Q$  as equivalent in a von Neumann subalgebra of  $\mathfrak{B}$ . The next lemma includes Theorem 1 and shows more, namely that  $\sim_G$ -equivalence is the same as equivalence in  $\mathfrak{B}$ .

LEMMA 1. *Let  $E$  and  $F$  be projections in  $\mathfrak{R}$ . Then  $E \sim_G F$  if and only if  $\Phi(E) \sim \Phi(F)$ . Hence  $\sim_G$  is an equivalence relation on the projections  $\mathfrak{R}$ .*

*Proof.* Suppose  $E \sim_G F$ . Then for each  $t \in G$  there is  $T_t \in \mathfrak{R}$  such that

$$E = \sum_{t \in G} T_t T_t^*, \quad F = \sum_{t \in G} U_t^* T_t^* T_t U_t.$$

Then we have

$$\begin{aligned} \Phi(E) &= \sum \Phi(T_t T_t^*) = \sum \Phi(T_t) \Phi(T_t)^* \\ &= \sum (\Phi(T_t) \tilde{U}_t) (\Phi(T_t) \tilde{U}_t)^*, \end{aligned}$$

and

$$\begin{aligned}\Phi(F) &= \sum \Phi(U_t^* T_t^* T_t U_t) = \sum \tilde{U}_t^* \Phi(T_t^* T_t) \tilde{U}_t \\ &= \sum (\Phi(T_t) \tilde{U}_t)^* (\Phi(T_t) \tilde{U}_t) .\end{aligned}$$

Thus by a result of Kadison and Pedersen [4, Thm. 4.1]  $\Phi(E) \sim \Phi(F)$ .

Conversely assume  $\Phi(E) \sim \Phi(F)$ . Then there is a partial isometry  $V \in \mathfrak{B}$  such that  $VV^* = \Phi(E)$ ,  $V^*V = \Phi(F)$ . Say  $V = (T_{st^{-1}}U_{st^{-1}})$ . Then an easy calculation shows

$$E = \sum_{t \in G} T_t T_t^* , \quad F = \sum_{t \in G} U_t^* T_t^* T_t U_t ,$$

hence  $E \sim_G F$ . The proof is complete.

**LEMMA 2.** *Let  $S = (T_{st^{-1}}U_{st^{-1}})$  belong to the center of  $\mathfrak{B}$ . Then for each  $s \in G$  we have*

- (i)  $TT_s = T_s U_s T U_s^*$  for all  $T \in \mathfrak{R}$ ,
- (ii)  $T_{sy} = U_y^* T_y U_y$  for all  $y \in G$ .

*In particular  $T_e \in \mathfrak{D}$ . Furthermore, if  $R \in \mathfrak{D}$  then  $\Phi(R)$  belongs to the center of  $\mathfrak{B}$ .*

*Proof.* Let  $T \in \mathfrak{R}$ . Then

$$(TT_{st^{-1}}U_{st^{-1}}) = \Phi(T)S = S\Phi(T) = (T_{st^{-1}}U_{st^{-1}}TU_{st^{-1}}U_{st^{-1}}) ,$$

and (i) follows. Let  $y \in G$ . Then an easy computation shows

$$(T_{st^{-1}y^{-1}}U_{st^{-1}}) = S\tilde{U}_y = \tilde{U}_y S = (U_y T_{y^{-1}st^{-1}} U_y^* U_{st^{-1}}) .$$

Replacing  $y$  by  $y^{-1}$  and letting  $t = e$ , (ii) follows. By (i)  $T_e T = TT_e$ , so  $T_e \in \mathfrak{C}$ . By (ii) if  $s = y^{-1}$  we find  $T_e = U_y^* T_e U_y$ , so  $T_e \in \mathfrak{R}^G$ , hence  $T_e \in \mathfrak{D}$ .

Finally let  $R \in \mathfrak{D}$ , and let  $S' = (S_{st^{-1}}U_{st^{-1}}) \in \mathfrak{B}$ . Then we have

$$\begin{aligned}\Phi(R)S' &= (RS_{st^{-1}}U_{st^{-1}}) = (S_{st^{-1}}RU_{st^{-1}}) \\ &= (S_{st^{-1}}U_{st^{-1}}R) = S'\Phi(R) ,\end{aligned}$$

hence  $\Phi(R)$  belongs to the center of  $\mathfrak{B}$ . The proof is complete.

**LEMMA 3.** *Let  $E$  be a projection in  $\mathfrak{R}$ . Let  $D_E$  be the smallest operator in  $\mathfrak{D}$  majorizing  $E$ . Then  $D_E$  is a projection, and  $\Phi(D_E)$  is the central carrier of  $\Phi(E)$  in  $\mathfrak{B}$ .*

*Proof.* Since  $\mathfrak{D}$  is an abelian von Neumann algebra its positive operators form a complete lattice under infs and sups. Thus  $D_E = \text{g.l.b.}\{A \in \mathfrak{D} : E \leq A \leq I\}$ , and  $D_E$  is well defined. Since  $E \leq D_E$  and both operators commute we have  $E = E^2 \leq D_E^2$ . But  $D_E \leq I$ , so  $D_E^2 \leq$

$D_E$ . Hence by minimality of  $D_E$ ,  $D_E = D_E^2$ , so it is a projection. By Lemma 2  $\Phi(D_E)$  is a central projection in  $\mathfrak{B}$ , hence if  $C_{\Phi(E)}$  denotes the central carrier of  $\Phi(E)$  in  $\mathfrak{B}$ , then  $\Phi(D_E) \geq C_{\Phi(E)}$ . Now let  $C_{\Phi(E)} = (T_{st^{-1}} U_{st^{-1}})$ . By Lemma 2  $T_e \in \mathfrak{D}$ , and since  $C_{\Phi(E)} \geq \Phi(E)$ ,  $T_e \geq E$ . By definition of  $D_E$ ,  $T_e \geq D_E$ . But  $\Phi(D_E) \geq C_{\Phi(E)}$ , so  $D_E \geq T_e$ , hence  $T_e = D_E$ . The operator  $\Phi(D_E) - C_{\Phi(E)}$  is positive and has zeros on the main diagonal. Therefore it is 0, and  $\Phi(D_E) = C_{\Phi(E)}$  as asserted.

LEMMA 4. *Let  $E$  be a projection in  $\mathfrak{R}$ . Let  $C_E$  be its central carrier in  $\mathfrak{R}$ , and let  $D_E$  be as in Lemma 3. Then  $D_E = D_{C_E}$ .*

*Proof.* Since  $E \leq C_E$ ,  $D_E \leq D_{C_E}$ . But  $D_E \in \mathfrak{C}$  and  $D_E \geq E$ , hence  $D_E \geq C_E$ . Therefore by definition of  $D_{C_E}$ ,  $D_E \geq D_{C_E}$ , and they are equal.

LEMMA 5. *Let  $E$  be a countably decomposable projection in  $\mathfrak{R}$ . Then  $\Phi(E)$  is countably decomposable in  $\mathfrak{B}$ .*

*Proof.* Let  $x$  be a vector in  $E\mathfrak{S}$ . Then  $x$  considered as a vector in  $\sum_{t \in G} \oplus \mathfrak{S}_t$  belongs to  $\mathfrak{S}_e$ . Let  $F$  be the support of  $\omega_x$  in  $E\mathfrak{R}E$ . Then  $F$  is countably decomposable, and  $\omega_x$  is a faithful normal state of  $F\mathfrak{R}F$ . Let  $\{F_\alpha\}_{\alpha \in J}$  be an orthogonal family of projections in  $\mathfrak{B}$  such that  $\sum_{\alpha \in J} F_\alpha = \Phi(F)$ . Let  $F_\alpha = (T_{st^{-1}}^\alpha U_{st^{-1}})$ . Then  $F_\alpha \leq \Phi(F)$ , so  $T_e^\alpha \leq F$ , hence  $T_e^\alpha \in F\mathfrak{R}F$ . Furthermore, since  $x \in \mathfrak{S}_e$ ,  $\omega_x(F_\alpha) = \omega_x(T_e^\alpha)$ . Thus we have

$$1 = \omega_x(F) = \omega_x(\Phi(F)) = \sum \omega_x(F_\alpha) = \sum \omega_x(T_e^\alpha).$$

Therefore  $\omega_x(T_e^\alpha) = 0$  except for a countable number of  $\alpha \in J$ . But then  $T_e^\alpha = 0$  and hence  $F_\alpha = 0$  except for a countable number of  $\alpha \in J$ . Thus  $\Phi(F)$  is countably decomposable in  $\mathfrak{B}$ . Now  $E$  is a countable sum of orthogonal cyclic projections, hence  $\Phi(E)$  is a countable sum of orthogonal countably decomposable projections. Hence  $\Phi(E)$  is countably decomposable. The proof is complete.

DEFINITION 2. We say a projection  $E$  in  $\mathfrak{R}$  is  $\sim_g$ -abelian if  $E\mathfrak{R}E = E\mathfrak{D}$ .

Clearly a  $\sim_g$ -abelian projection is abelian.

LEMMA 6. *There is a projection  $P \in \mathfrak{D}$  such that there exists a  $\sim_g$ -abelian projection  $E \leq P$  with  $D_E = P$ , and  $I - P$  has no nonzero  $\sim_g$ -abelian subprojection.*

*Proof.* Partially order the  $\sim_g$ -abelian projections in  $\mathfrak{R}$  by  $E \ll F$  if  $E \leq F$  and  $D_{F-E} \leq I - D_E$ . Then in particular  $D_E F = E$ . Let  $\{E_\alpha\}$  be a totally ordered set of  $\sim_g$ -abelian projections, and let  $E = \sup E_\alpha$ ,

so  $E_\alpha \rightarrow E$  strongly. Then

$$D_{E_\alpha} E = D_{E_\alpha} \lim_{\beta > \alpha} E_\beta = \lim_{\beta > \alpha} D_{E_\alpha} E_\beta = E_\alpha,$$

hence if  $A \in \mathfrak{K}$  then

$$EAED_{E_\alpha} = E_\alpha AE_\alpha = A_\alpha E_\alpha,$$

where  $A_\alpha \in \mathfrak{D}D_{E_\alpha}$ . Now it is well known that if  $Q_\alpha$  is an increasing net of projections, and  $Q_\alpha \rightarrow Q$  strongly, then  $C_{Q_\alpha} \rightarrow C_Q$  strongly. Thus

$$\Phi(D_{E_\alpha}) = C_{\Phi(E_\alpha)} \rightarrow C_{\Phi(E)} = \Phi(D_E)$$

by Lemma 3, hence  $D_{E_\alpha} \rightarrow D_E$  strongly. The same argument also shows

$$D_{E-E_\alpha} = \lim_{\beta > \alpha} D_{E_\beta-E_\alpha} \leq I - D_{E_\alpha}.$$

Thus  $E = E(I - D_{E_\alpha}) + E_\alpha$ , and since  $A_\alpha = A_\alpha D_{E_\alpha}$  we have  $EAED_{E_\alpha} = A_\alpha E \in E\mathfrak{D}$ . Since  $D_{E_\alpha} \rightarrow D_E$  it follows that  $EAE = \lim_\alpha EAED_{E_\alpha} \in E\mathfrak{D}$ . Therefore  $E$  is  $\sim_G$ -abelian. Now let  $E$  be a maximal  $\sim_G$ -abelian projection in  $\mathfrak{K}$ . Let  $P = D_E$ . Suppose  $F$  is a  $\sim_G$ -abelian subprojection of  $I - P$ . Then  $E + F$  is  $\sim_G$ -abelian. Indeed, if  $A \in \mathfrak{K}$  then there are  $A_E \in D_E\mathfrak{D}$  and  $A_F \in D_F\mathfrak{D}$  such that

$$\begin{aligned} (E + F)A(E + F) &= EAE + FAF = EA_E + FA_F \\ &= (E + F)(A_E + A_F) \in (E + F)\mathfrak{D}. \end{aligned}$$

Thus  $E + F$  is  $\sim_G$ -abelian. Since  $E \ll E + F$ , the maximality of  $E$  implies  $F = 0$ . The proof is complete.

Thus in order to prove Theorems 2 and 3 we may consider two cases separately, namely the case when  $\mathfrak{K}$  has a  $\sim_G$ -abelian projection  $E$  with  $D_E = I$ , and the case when  $\mathfrak{K}$  has no nonzero  $\sim_G$ -abelian projection. We first treat the case with a  $\sim_G$ -abelian projection.

**LEMMA 7.** *Let  $E$  be a  $\sim_G$ -abelian projection in  $\mathfrak{K}$ . Then  $C_E$  is not  $G$ -equivalent to a proper central subprojection. Furthermore if  $Q$  is a central projection such that  $Q \leq C_E$  then  $Q = D_Q C_E$ .*

*Proof.* Let  $Q$  be as in the statement of the lemma. Since  $E$  is  $\sim_G$ -abelian there is an operator  $D \in \mathfrak{D}$  such that  $QE = DE$ , hence, since  $E\mathfrak{C} \cong C_E\mathfrak{C}$ ,  $Q = QC_E = DC_E$ , and  $D \geq Q$ . By definition of  $D_Q$ ,  $D \geq D_Q$ . But  $D_Q \geq Q$ , so  $Q = QC_E \leq D_Q C_E \leq DC_E = Q$ , so that  $Q = D_Q C_E$ . Now suppose  $P$  is a projection in  $\mathfrak{C}$  such that  $P \leq C_E$  and  $P \sim_G C_E$ . Then in particular by Lemma 1  $\Phi(P) \sim \Phi(C_E)$ , so they have the same central carrier in  $\mathfrak{B}$ , hence  $D_P = D_{C_E} = D_E$  by Lemma 4. By the preceding,  $P = D_P C_E = C_E$ . The proof is complete.

LEMMA 8. *Let  $E$  be a  $\sim_G$ -abelian projection in  $\mathfrak{R}$ . Let  $Q$  be a central projection orthogonal to  $C_E$ . Then if  $C_E$  and  $C_E + Q$  are  $G$ -equivalent relative to  $\mathfrak{C}$ , i.e. the operators  $T_t$  defining the equivalence belong to  $\mathfrak{C}$ , then  $Q = 0$ .*

*Proof.* Let  $P = C_E$  and assume  $P \sim_G P + Q$  relative to  $\mathfrak{C}$ . Then since  $\mathfrak{C}$  is abelian, for each  $t \in G$  there is  $A_t \in \mathfrak{C}^+$  such that  $P = \sum_{t \in G} A_t$ ,  $P + Q = \sum_{t \in G} U_t^* A_t U_t$ . Since  $E\mathfrak{C} = E\mathfrak{D}$  and  $P\mathfrak{C} \cong E\mathfrak{C}$ , we have  $P\mathfrak{C} = P\mathfrak{D}$ . Since  $A_t \leq P$  there is  $D_t \in \mathfrak{D}^+$  such that  $A_t = PD_t$ . Thus we have

$$\begin{aligned} \sum PD_t &= P = P(P + Q) = \sum PU_t^* A_t U_t \\ &= \sum PU_t^* PD_t U_t = \sum PD_t U_t^* PU_t. \end{aligned}$$

Now  $PD_t U_t^* PU_t \leq PD_t$  for all  $t$ , hence we have  $PD_t U_t^* PU_t = PD_t$  for all  $t$ . Let  $E_t$  denote the range projection of  $D_t$ . Then  $E_t \in \mathfrak{D}$ . Since  $U_t^* PU_t PD_t = PD_t$ ,  $U_t^* PU_t PE_t = PE_t$ . Thus  $U_t^* PU_t \geq PE_t$ , and thus  $U_t^* PE_t U_t = U_t^* PU_t E_t \geq PE_t$ . Consequently  $PE_t \geq U_t PE_t U_t^*$ . By Lemma 7  $P = C_E$  is  $\sim_G$ -finite relative to  $\mathfrak{C}$ , hence so is  $PE_t$ . Therefore  $PE_t = U_t PE_t U_t^*$ , and  $U_t^* PE_t U_t = PE_t$ . Therefore we have

$$U_t^* A_t U_t = U_t^* PD_t U_t = U_t^* PE_t U_t D_t = PE_t D_t = PD_t = A_t,$$

and  $P = P + Q$ , so that  $Q = 0$ . The proof is complete.

LEMMA 9. *Suppose  $E$  is a  $\sim_G$ -abelian projection in  $\mathfrak{R}$  with  $D_E = I$ . Then  $\mathfrak{R}$  is of type I, and there exists a faithful normal semi-finite  $G$ -invariant trace on  $\mathfrak{R}^+$ .*

*Proof.* Since  $E$  is abelian  $C_E \mathfrak{R}$  is of type I. Since every  $*$ -automorphism of  $\mathfrak{R}$  preserves the type I portion of  $\mathfrak{R}$ , and  $D_E = I$ ,  $\mathfrak{R}$  is of type I.

$E$  is a sum of orthogonal cyclic projections  $E_\alpha$ . If we can show the lemma for each  $E_\alpha$  then it holds for  $E$ . Therefore we may assume  $E$  is cyclic, say  $E = [\mathfrak{R}'x]$ . Then  $\omega_x$  is faithful on  $E\mathfrak{R}E$ , hence faithful on  $E\mathfrak{C}$ . If  $A \geq 0$  belongs to  $C_E \mathfrak{C}$  and  $\omega_x(A) = 0$ , then  $0 = \omega_x(EA)$ , so  $EA = 0$ . Hence  $A = AC_E = 0$ . Thus  $\omega_x$  is faithful on  $C_E \mathfrak{C}$ , so  $C_E$  is a countably decomposable projection in  $\mathfrak{C}$ .

We shall now apply the previous theory to  $\mathfrak{A} = \mathfrak{C} \times G$  instead of  $\mathfrak{B} = \mathfrak{R} \times G$ . We use the same notation as before. By Lemma 7  $C_E$  is  $\sim_G$ -finite. If  $C_E = D_E = I$  then by Lemma 7  $\mathfrak{C} = \mathfrak{D}$ , and it is trivial that there exists a faithful normal semi-finite  $G$ -invariant trace on  $\mathfrak{C}^+$ . Assume  $C_E \neq I$ . Then there is  $s \in G$  such that  $U_s^* C_E U_s \neq C_E$ . Since by Lemma 7  $C_E$  is  $\sim_G$ -finite, and  $U_s^* C_E U_s \sim_G C_E$ ,  $U_s^* C_E U_s$  is not a subprojection of  $C_E$ . Thus  $Q = U_s^* C_E U_s (I - C_E) \neq 0$ . Since  $C_E$  is

countably decomposable, so is  $Q$ , and hence  $C_E + Q$ . By Lemma 5  $\Phi(C_E + Q)$  is countably decomposable in  $\mathfrak{A}$ . Since  $I = D_E \leq D_{C_E} + Q$ , the central carriers of  $\Phi(C_E)$  and  $\Phi(C_E + Q)$  are by Lemma 3 equal to  $I$ . If  $\Phi(C_E)$  is properly infinite then by [1, Ch. III, §8, Cor. 5]  $\Phi(C_E) \sim \Phi(C_E + Q)$ , so by Lemma 1  $C_E \sim_G C_E + Q$ , contradicting Lemma 8. Thus  $\Phi(C_E)$  is not properly infinite, and there is a nonzero central projection  $P$  in  $\mathfrak{A}$  such that  $P\Phi(C_E)$  is nonzero and finite. Since the central carrier of  $\Phi(C_E)$  is  $I$ ,  $P\mathfrak{A}$  is semi-finite. Let  $\varphi$  be a normal semi-finite trace on  $\mathfrak{A}^+$  with support  $P$  such that  $\varphi(\Phi(C_E)) < \infty$ . For  $A \in \mathfrak{C}^+$  define  $\tau(A) = \varphi(\Phi(A))$ . Then  $\tau$  is a normal  $G$ -invariant trace because  $\tau(U_s^* A U_s) = \varphi(\tilde{U}_s^* \Phi(A) \tilde{U}_s) = \varphi(\Phi(A)) = \tau(A)$ . Since  $\tau(C_E) < \infty$  and  $D_{C_E} = I$ ,  $\tau$  is semi-finite, hence  $\tau$  is a normal semi-finite  $G$ -invariant trace on  $\mathfrak{C}^+$ . Let  $D$  be the support of  $\tau$ . Then  $0 \neq D \in \mathfrak{D}$ . Now apply the preceding to  $(I - D)\mathfrak{C}$  and  $E(I - D)$ , and use Zorn's lemma to obtain a family  $D_\alpha$  of orthogonal projections in  $\mathfrak{D}$  with sum  $I$ , and a normal semi-finite  $G$ -invariant trace  $\tau_\alpha$  of  $\mathfrak{C}^+$  with support  $D_\alpha$ . Let  $\tau = \sum \tau_\alpha$ . Then  $\tau$  is a faithful normal semi-finite  $G$ -invariant trace on  $\mathfrak{C}^+$ .

Now since  $\mathfrak{R}$  is of type I there is a faithful normal center valued trace  $\psi$  on  $\mathfrak{R}^+$  such that  $U_s^* \psi(U_s A U_s^*) U_s = \psi(A)$  for each  $s \in G$ ,  $A \in \mathfrak{R}^+$ , see [11, p. 3]. Then  $\tau \circ \psi$  is a faithful normal semi-finite  $G$ -invariant trace on  $\mathfrak{R}^+$ , see [1, Ch. III, §4, Prop. 2]. The proof is complete.

LEMMA 10. *Suppose  $\mathfrak{R}$  is  $\sim_G$ -semi-finite and there are no non-zero  $\sim_G$ -abelian projections in  $\mathfrak{R}$ . Then there is a faithful normal semi-finite  $G$ -invariant trace on  $\mathfrak{R}^+$ .*

*Proof.* Let  $E$  be a nonzero countably decomposable  $\sim_G$ -finite projection in  $\mathfrak{R}$ . Since  $E$  is not  $\sim_G$ -abelian there is a projection  $H \in E\mathfrak{R}E$  such that  $H \neq ED_H$ . Let  $F = H + (I - D_H)E$ . Then  $F \leq E$ ,  $F \neq E$ , and  $D_F = D_H + (I - D_H)D_E = D_E$ .  $\Phi(F)$  is not properly infinite in  $\mathfrak{B}$ . Indeed, if it were, then since  $\Phi(E)$  is countably decomposable by Lemma 5, [1, Ch. III, §8, Cor. 5] would imply  $\Phi(F) \sim \Phi(E)$ , hence by Lemma 1,  $F \sim_G E$ , contradicting the  $\sim_G$ -finiteness of  $E$ . Therefore there is a nonzero central projection  $P$  in  $\mathfrak{B}$  such that  $P\Phi(F)$  is finite and nonzero. Thus  $P\Phi(D_E)\mathfrak{B} = P\Phi(D_F)\mathfrak{B}$  is semi-finite and nonzero. Let  $\varphi$  be a normal semi-finite trace on  $\mathfrak{B}$  with support  $P\Phi(D_E)$  such that  $\varphi(\Phi(F)) < \infty$ . For  $A \in \mathfrak{R}^+$  define  $\tau(A) = \varphi(\Phi(A))$ . As in the proof of Lemma 9  $\tau$  is a normal  $G$ -invariant trace on  $\mathfrak{R}^+$ . Since  $\tau(F) < \infty$  there is a nonzero central projection  $Q$  in  $\mathfrak{R}$  such that  $\tau$  is faithful and semi-finite on  $Q\mathfrak{R}$  [1, Ch. I, §6, Cor. 2]. Since  $\tau$  is  $G$ -invariant  $Q \in \mathfrak{D}$ . Now a Zorn's Lemma argument completes the proof just as in Lemma 9.

*Proof of Theorem 2.* By Lemma 6 there is a projection  $P \in \mathfrak{D}$  such that there exists a  $\sim_G$ -abelian projection  $E \in P\mathfrak{R}$  with  $D_E = P$ , and  $I - P$  has no nonzero  $\sim_G$ -abelian subprojection. By Lemma 9 there is a faithful normal semi-finite  $G$ -invariant trace  $\tau_1$  on  $P\mathfrak{R}^+$ . If  $\mathfrak{R}$  is  $\sim_G$ -semi-finite then by Lemma 10 there is a faithful normal semi-finite  $G$ -invariant trace  $\tau_2$  on  $(I - P)\mathfrak{R}^+$ . Thus  $\tau = \tau_1 + \tau_2$  is a faithful normal semi-finite  $G$ -invariant trace on  $\mathfrak{R}^+$ .

Conversely assume there exists a faithful normal semi-finite  $G$ -invariant trace  $\tau$  on  $\mathfrak{R}^+$ . Suppose  $E$  is a projection in  $\mathfrak{R}$  such that  $\tau(E) < \infty$ . Since  $E \sim_G F$  implies  $\tau(E) = \tau(F)$  it is clear that  $E$  is  $\sim_G$ -finite. Thus  $\mathfrak{R}$  is  $\sim_G$ -semi-finite. The proof is complete.

LEMMA 11. *Suppose  $\mathfrak{C}$  is countably decomposable and  $\mathfrak{R}$  is  $\sim_G$ -finite. Then there is a faithful normal finite  $G$ -invariant trace on  $\mathfrak{R}$ .*

*Proof.* Since  $\mathfrak{R}$  is  $\sim_G$ -finite  $\mathfrak{R}$  is in particular finite. By [1, Ch. III, §4, Thm. 3] there is a unique center valued trace  $\psi$  on  $\mathfrak{R}$  which is the identity on  $\mathfrak{C}$ . By uniqueness  $\psi$  is  $G$ -invariant, so if  $\tau$  is a faithful normal finite  $G$ -invariant trace on  $\mathfrak{C}$ , then  $\tau \circ \psi$  is one on  $\mathfrak{R}$ . Therefore we may assume  $\mathfrak{R} = \mathfrak{C}$ . Now there exists a projection  $P \in \mathfrak{D}$  such that  $P\mathfrak{C} = P\mathfrak{D}$ , and  $G$  is freely acting on  $(I - P)\mathfrak{C}$ , i.e. for each projection  $E \neq 0$  in  $(I - P)\mathfrak{C}$  there is a nonzero subprojection  $F$  of  $E$  and  $s \in G$  such that  $U_s^* F U_s \leq I - F$ , see e.g. [5]. Since  $I$  is countably decomposable, so is  $P$ , and there is a faithful normal state on  $P\mathfrak{C}$ , hence a faithful normal finite  $G$ -invariant trace on  $P\mathfrak{C}$ . We may thus assume  $G$  is freely acting. Let  $F$  be a nonzero projection in  $\mathfrak{C}$  and  $s$  an element in  $G$  such that  $U_s^* F U_s \leq I - F$ . Let  $E = I - F$ . Then  $D_E = I$ , and  $F <_G E$ . As in the proof of Lemma 10  $\Phi(E)$  is not properly infinite, so we can choose a central projection  $P \neq 0$  in  $\mathfrak{B}$  such that  $P\Phi(E)$  is finite. Since  $F <_G E$ ,  $\Phi(F) < \Phi(E)$ , by Lemma 1, hence  $P\Phi(F) < P\Phi(E)$ , so  $P\Phi(F)$  is finite. Thus  $P = P\Phi(E) + P\Phi(F)$  is finite in  $\mathfrak{B}$ , and  $P\mathfrak{B}$  is finite. Since  $I$  is countably decomposable in  $\mathfrak{C}(=\mathfrak{R})$   $\Phi(I)$  is countably decomposable in  $\mathfrak{B}$  by Lemma 5, hence so is  $P$ . Therefore by [1, Ch. I, §6, Prop. 9] there is a faithful normal finite trace  $\varphi$  on  $P\mathfrak{B}$ . Then  $\tau$  defined by  $\tau(A) = \varphi(\Phi(A))$  is a normal finite  $G$ -invariant trace on  $\mathfrak{C}$  with support  $D \neq 0$  in  $\mathfrak{D}$ . A Zorn's Lemma argument now gives a family  $\tau_\alpha$  of normal finite  $G$ -invariant traces on  $\mathfrak{C}$  with orthogonal supports  $D_\alpha$  in  $\mathfrak{D}$ . Since  $I$  is countably decomposable the family  $\{\tau_\alpha\}$  is countable, and by multiplying each  $\tau_\alpha$  by a convenient positive scalar we may assume  $\sum \tau_\alpha(D_\alpha) = 1$ . Thus if  $\tau = \sum \tau_\alpha$ , then  $\tau$  is a faithful normal finite  $G$ -invariant trace on  $\mathfrak{C}$ . The proof is complete.

*Proof of Theorem 3.* Suppose there is a faithful normal finite  $G$ -invariant trace  $\tau$  on  $\mathfrak{K}$ . Then  $I$  is  $\sim_\sigma$ -finite, for if  $E$  is a projection in  $\mathfrak{K}$  which is  $G$ -equivalent to  $I$  then  $\tau(E) = \tau(I)$ , hence  $\tau(I - E) = 0$ , hence  $I - E = 0$ , since  $\tau$  is faithful. Thus  $\mathfrak{K}$  is  $\sim_\sigma$ -finite. Again since  $\tau$  is faithful, its support  $I$  is countably decomposable, i.e.  $\mathfrak{K}$  is countably decomposable. The converse follows from Lemma 11.

**COROLLARY.** *If  $\mathfrak{K}$  is  $\sim_\sigma$ -semi-finite then  $\mathfrak{B}$  is semi-finite. If  $\mathfrak{K}$  is  $\sim_\sigma$ -finite and there is an orthogonal family of countably decomposable projections in  $\mathfrak{D}$  with sum  $I$ , then  $\mathfrak{B}$  is finite.*

*Proof.* If  $\mathfrak{K}$  is  $\sim_\sigma$ -semi-finite, then by Theorem 2 there is a faithful normal semi-finite  $G$ -invariant trace on  $\mathfrak{K}$ . Thus there is a faithful normal semi-finite trace on  $\mathfrak{B}$  by [1, Ch. I, §9, Prop. 1], hence  $\mathfrak{B}$  is semi-finite. If  $P$  is a projection in  $\mathfrak{D}$  then by Lemma 2  $\Phi(P)$  is a central projection in  $\mathfrak{B}$ . Thus in order to show the last part of the corollary we may assume  $I$  is countably decomposable. Then by Theorem 3 there is a faithful normal finite  $G$ -invariant trace on  $\mathfrak{K}$ , hence by [1, Ch. I, §9, Prop. 1] there is a normal finite trace on  $\mathfrak{B}$ , so  $\mathfrak{B}$  is finite. The proof is complete.

**REMARK 5.** G. K. Pedersen has pointed out that the corollary can be sharpened. Indeed one can show that if  $E$  is a projection in  $\mathfrak{K}$  then  $E$  is  $\sim_\sigma$ -finite if and only if  $\Phi(E)$  is finite in  $\mathfrak{B}$ . In particular  $\mathfrak{K}$  is  $\sim_\sigma$ -finite if and only if  $\mathfrak{B}$  is finite.

**4.  $G$ -finite von Neumann algebras.** Let notation be as in Theorem 1. Following [7] we say  $\mathfrak{K}$  is  $G$ -finite if there is a family  $\mathcal{F}$  of normal  $G$ -invariant states which separate  $\mathfrak{K}^+$ , i.e. if  $A \in \mathfrak{K}^+$ , and  $\omega(A) = 0$  for all  $\omega \in \mathcal{F}$ , then  $A = 0$ . For semi-finite von Neumann algebras it would be natural to compare this concept with those of  $\sim_\sigma$ -finite and  $\sim_\sigma$ -semi-finite. Since a  $\sim_\sigma$ -finite von Neumann algebra is necessarily finite we cannot expect a  $G$ -finite semi-finite von Neumann algebra to be  $\sim_\sigma$ -finite. We say  $G$  acts ergodically on  $\mathfrak{C}$  if  $\mathfrak{D}(=\mathfrak{C} \cap \mathfrak{K}^G)$  is the scalars.

**THEOREM 4.** *Let  $\mathfrak{K}$  be a semi-finite von Neumann algebra acting on a Hilbert space  $\mathfrak{H}$ . Let  $G$  be a group and  $t \mapsto U_t$  a unitary representation of  $G$  on  $\mathfrak{H}$  such that  $U_t^* \mathfrak{K} U_t = \mathfrak{K}$  for all  $t \in G$ . Assume either that  $G$  acts ergodically on the center of  $\mathfrak{K}$  or the center is elementwise fixed under  $G$ . Then  $\mathfrak{K}$  is  $G$ -finite if and only if there is a faithful normal semi-finite  $G$ -invariant trace  $\tau$  on  $\mathfrak{K}^+$  and an orthogonal family  $\{E_\alpha\}$  of  $G$ -invariant projections in  $\mathfrak{K}$  with sum  $I$  and  $\tau(E_\alpha) < \infty$  for each  $\alpha$ .*

*Proof.* Assume  $\mathfrak{R}$  is  $G$ -finite. Suppose first that  $G$  acts ergodically on the center  $\mathfrak{C}$  of  $\mathfrak{R}$ , and suppose  $\omega$  is a faithful normal  $G$ -invariant state on  $\mathfrak{R}$ . Then by [11] there is a faithful normal semi-finite  $G$ -invariant trace on  $\mathfrak{R}^+$ , hence by Theorem 2  $\mathfrak{R}$  is  $\sim_G$ -semi-finite. In general, by Zorn's Lemma there is a family  $\{\omega_\alpha\}$  of normal  $G$ -invariant states with orthogonal supports  $E_\alpha$  such that  $\sum E_\alpha = I$ . Then each  $E_\alpha$  is  $G$ -invariant, and by the first part of the proof  $E_\alpha \mathfrak{R} E_\alpha$  is  $\sim_G$ -semi-finite. In particular,  $E_\alpha$  is the sup of an increasing net of  $\sim_G$ -finite projections. Let  $F$  be a projection in  $\mathfrak{R}$ . We show  $F$  has a nonzero  $\sim_G$ -finite subprojection. By the above considerations there are  $E_\alpha$  and a  $\sim_G$ -finite subprojection  $F_\alpha$  of  $E_\alpha$  such that  $C_{F_\alpha} F \neq 0$ . Let  $F_1 = C_{F_\alpha} F$ . Then there is a nonzero subprojection  $F_0$  of  $F_1$  such that  $F_0 \preceq F_\alpha$ . Say  $F_0 \sim_G G_\alpha \leq F_\alpha$ . Since  $F_\alpha$  is  $\sim_G$ -finite, so is  $G_\alpha$ . Indeed, if  $G_\alpha \sim_G H \leq G_\alpha$  then by Lemma 1  $\Phi(G_\alpha) \sim \Phi(H)$ , hence  $\Phi(F_\alpha) = \Phi(G_\alpha) + \Phi(F_\alpha - G_\alpha) \sim \Phi(H) + \Phi(F_\alpha - G_\alpha)$ , so again by Lemma 1,  $F_\alpha \sim_G H + F_\alpha - G_\alpha$ , so that  $H = G_\alpha$  by finiteness of  $F_\alpha$ . Thus  $G_\alpha$  is  $\sim_G$ -finite. Since  $G_\alpha$  is in particular finite there is by [1, Ch. III, §2, Prop. 6] a unitary operator  $U \in \mathfrak{R}$  such that  $UF_0U^{-1} = G_\alpha$ . But then  $F_0$  is  $\sim_G$ -finite, for if  $F_0 \sim_G F_2 \leq F_0$  then  $UF_2U^{-1} \sim F_2 \sim_G G_\alpha$ , so by transitivity  $UF_2U^{-1} \sim_G G_\alpha$ . Since  $UF_2U^{-1} \leq G_\alpha$ , they are equal by finiteness of  $G_\alpha$ , so  $F_2 = F_0$ , and  $F_0$  is  $\sim_G$ -finite. Therefore the projection  $F$  has a nonzero  $\sim_G$ -finite subprojection  $F_0$ , and  $\mathfrak{R}$  is  $\sim_G$ -semi-finite.

Next assume  $\mathfrak{C} = \mathfrak{D}$ . Then every normal semi-finite trace on  $\mathfrak{R}^+$  is  $G$ -invariant [10, Cor. 2.2], so there exists a faithful normal semi-finite  $G$ -invariant trace on  $\mathfrak{R}^+$ , hence by Theorem 2,  $\mathfrak{R}$  is  $\sim_G$ -semi-finite.

Let by Theorem 2  $\tau$  be a faithful normal semi-finite  $G$ -invariant trace on  $\mathfrak{R}^+$ . Let  $\{\omega_\alpha\}$  be as before with orthogonal supports  $\{E_\alpha\}$ . Then there is a positive self-adjoint operator  $H_\alpha \in L^1(\mathfrak{R}, \tau)$  affiliated with  $\mathfrak{R}^G$  such that  $\omega_\alpha(T) = \tau(H_\alpha T)$  for  $T \in \mathfrak{R}$ , see e.g. [1, Ch. I, §6, no. 10]. Let  $E$  be a spectral projection of  $H_\alpha$  with  $\tau(E) < \infty$ . Then  $E$  is  $G$ -invariant. A Zorn's Lemma argument now gives an orthogonal family of  $G$ -invariant projections in  $\mathfrak{R}$  with sum  $I$  and finite trace.

Conversely assume  $\mathfrak{R}$  has a faithful normal semi-finite  $G$ -invariant trace  $\tau$  and an orthogonal family  $\{E_\alpha\}$  of nonzero  $G$ -invariant projections with sum  $I$  such that  $\tau(E_\alpha) < \infty$ . Let  $c_\alpha = \tau(E_\alpha)^{-1}$ , and let  $\omega_\alpha(T) = c_\alpha \tau(E_\alpha T)$ . Then  $\{\omega_\alpha\}$  is a separating family of normal  $G$ -invariant states on  $\mathfrak{R}$ , hence  $\mathfrak{R}$  is  $G$ -finite. The proof is complete.

The above theorem is probably true without the assumptions of the action of  $G$  on  $\mathfrak{C}$ . A direct proof of this would be quite interesting.

**5. Abelian von Neumann algebras.** Assume  $\mathfrak{R}$  is an abelian von Neumann algebra acting on a Hilbert space  $\mathfrak{H}$ . Let  $G$  be a group and suppose  $t \mapsto U_t$  is a unitary representation of  $G$  on  $\mathfrak{H}$  such that

$U_t^* \mathfrak{R} U_t = \mathfrak{R}$  for all  $t \in G$ . We say two projections  $E$  and  $F$  in  $\mathfrak{R}$  are *equivalent in the sense of Hopf* and write  $E \sim_H F$  if there are an orthogonal family of projections  $\{E_\alpha\}_{\alpha \in J}$  in  $\mathfrak{R}$  and  $t_\alpha \in G$ , for  $\alpha \in J$ , such that  $E = \sum E_\alpha$ ,  $F = \sum U_{t_\alpha}^* E_\alpha U_{t_\alpha}$ . Since each  $U_{t_\alpha}^* E_\alpha U_{t_\alpha}$  is a projection, and their sum is a projection, they are all mutually orthogonal. Since we can collect the  $E_\alpha$ 's for which  $t_\alpha$  coincide the definition of equivalence in the sense of Hopf is equivalent to the existence of an orthogonal family of projections  $\{E_t\}_{t \in G}$  in  $\mathfrak{R}$  such that  $E = \sum_{t \in G} E_t$ ,  $F = \sum_{t \in G} U_t^* E_t U_t$ . This equivalence was introduced by Hopf [3]. Just as for  $\sim_G$  we define  $\sim_H$ -finite,  $\sim_H$ -semi-finite, and  $<_H$ . Note that if  $E \sim_H F$  as above, if we let  $T_t = E_t$ , then  $E = \sum T_t T_t^*$ ,  $F = \sum U_t^* T_t^* T_t U_t$ , so  $E \sim_G F$ . If we assume  $\mathfrak{R}$  is countably decomposable, we shall now prove the converse via a proof which makes use of the known results on invariant measures if  $\mathfrak{R}$  is  $\sim_H$ -finite and  $\sim_H$ -semi-finite. A direct proof would be more desirable.

**THEOREM 5.** *Assume  $\mathfrak{R}$  is countably decomposable, and let notation be as above. Then two projections  $E$  and  $F$  in  $\mathfrak{R}$  are  $G$ -equivalent if and only if they are equivalent in the sense of Hopf.*

*Outline of proof.* It remains to be shown that if  $E \sim_G F$  then  $E \sim_H F$ . Assume  $E \sim_G F$ . By Lemma 1  $\Phi(E) \sim \Phi(F)$ , so they have the same central carrier  $C$ . By Lemma 3  $\Phi(D_E) = C = \Phi(D_F)$ , so  $D_E = D_F$ . Suppose first  $E$  and  $F$  are such that  $EP$  and  $FP$  are  $\sim_H$ -infinite for all nonzero projections  $P \in \mathfrak{D}$ . In a von Neumann algebra two properly infinite countably decomposable projections with the same central carriers are equivalent [1, Ch. III, §8, Cor. 5]. Using the comparison theory for  $\mathfrak{R}$  with the Hopf ordering  $<_H$ , as developed in [6], see also [9], we can modify the proof of the quoted result for von Neumann algebras, to show  $E \sim_H F$ . If  $E$  is  $\sim_H$ -finite then since  $D_E = D_F$ , we may assume  $\mathfrak{R}$  is  $\sim_H$ -semi-finite, so by [6] there is a faithful normal semi-finite  $G$ -invariant trace  $\tau$  on  $\mathfrak{R}^+$  such that  $\tau(E) < \infty$ . From the comparison theorem on  $\mathfrak{R}$  [6, Lem. 16], or [9, Lem. 2.7], there exist two orthogonal projections  $P$  and  $Q$  in  $\mathfrak{D}$  with sum  $I$  such that  $PE <_H PF$  and  $QF <_H QE$ . Since  $PE \sim_G PF$  we have  $\tau(PE) = \tau(PF)$ . But if a proper subprojection  $F_0$  of  $PF$  is such that  $PE \sim_H F_0$  then  $\tau(PE) = \tau(F_0) < \tau(PF) = \tau(PE)$ , a contradiction. Thus  $PE \sim_H PF$ , and similarly  $QE \sim_H QF$ . Thus  $E \sim_H F$ , and the proof is complete.

**REMARK 6.** Theorem 5 is undoubtedly true without the assumption that  $\mathfrak{R}$  is countably decomposable. If  $E$  is  $\sim_H$ -finite then it is still possible to find  $\tau$  as above. If  $E$  is  $\sim_H$ -infinite the above

proof works as long as  $E$  is countably decomposable. Otherwise the theorem seems to be more difficult to prove, cf. proof of [4, Thm. 4.1].

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Received September 27, 1971.

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Printed in Japan by International Academic Printing Co., Ltd., Tokyo, Japan

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